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# Models of Traumatic Cerebellar Injury

Matthew B. Potts · Hita Adwanikar · Linda J. Noble-Haeusslein

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Abstract Traumatic brain injury (TBI) is a major cause of morbidity and mortality worldwide. Studies of human TBI demonstrate that the cerebellum is sometimes affected even when the initial mechanical insult is directed to the cerebral cortex. Some of the components of TBI, including ataxia, postural instability, tremor, impairments in balance and fine motor skills, and even cognitive deficits, may be attributed in part to cerebellar damage. Animal models of TBI have begun to explore the vulnerability of the cerebellum. In this paper, we review the clinical presentation, pathogenesis, and putative mechanisms underlying cerebellar damage with an emphasis on experimental models that have been used to further elucidate this poorly understood but important aspect of TBI. Animal models of indirect (supratentorial) trauma to the cerebellum, including fluid percussion, controlled cortical impact, weight drop impact acceleration, and rotational acceleration injuries, are considered. In addition, we describe models that produce direct trauma to the cerebellum as well as those that reproduce specific components of TBI including axotomy, stab injury, in vitro stretch injury, and excitotoxicity. Overall, these models reveal robust characteristics of cerebellar damage including regionally specific Purkinje cell injury or loss,

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activation of glia in a distinct spatial pattern, and traumatic axonal injury. Further research is needed to better understand the mechanisms underlying the pathogenesis of cerebellar trauma, and the experimental models discussed here offer an important first step toward achieving that objective.

Keywords Cerebellum . Traumatic brain injury. Animal model

#### Introduction

Traumatic brain injury (TBI) is a major cause of morbidity and mortality worldwide, affecting up to ten million people annually [\[1](#page-9-0)]. Approximately 2% of the population in the USA alone shows a long-term disability as a result of TBI [\[2](#page-9-0)], including cognitive, psychological, motor, and sensory deficits. Some of the neurologic findings following TBI may be attributed to cerebellar damage, including ataxia, postural instability, tremor, impairments in balance and fine motor skills, and possibly even cognitive deficits [[3](#page-9-0)–[8](#page-9-0)]. Direct cerebellar injury is much less common than supratentorial trauma [[9\]](#page-9-0), and radiologic studies of TBI confirm that the cerebellum is often affected even when the initial injury does not directly involve this structure [[10,](#page-9-0) [11](#page-10-0)]. It is therefore important to gain an understanding of the factors leading to such cerebellar damage. This review characterizes the current literature on the cerebellar component of TBI with an emphasis on the experimental models that are being used to further understand this important but poorly studied aspect of TBI.

#### Human TBI and Cerebellar Deficits

The Brain-Injured Adult A few studies have specifically addressed the effects of human TBI on the cerebellum,

including both direct and indirect cerebellar injury. One such study showed that only 3.3% of brain-injured adult patients had primary posterior fossa lesions, with 25% of those lesions involving the cerebellum [[9\]](#page-9-0), suggesting that direct cerebellar injury is a relatively uncommon phenomenon. Some of the classically described consequences of direct traumatic injury to the cerebellum are hypotonia, ataxia, dysmetria, tremor, dysdiadochokinesis, and vertigo [[12\]](#page-10-0). Cerebellar mutism, characterized by impairment in verbal communication from cerebellar injury, has also been described [[13\]](#page-10-0). Syndromes of delayed-onset cerebellar symptoms also develop in a subset of TBI patients within 3 weeks to 2 years after injury and include the aforementioned symptoms as well as nystagmus and dysarthria [\[6](#page-9-0), [14\]](#page-10-0). Interestingly, these studies of delayed-onset cerebellar syndromes actually described patients with lesions in the thalamus or brainstem [[6,](#page-9-0) [14](#page-10-0)], suggesting that such TBIinduced symptoms may be due to pathways involving the cerebellum, such as the dentate-rubro-olivary pathway, rather than purely direct cerebellar trauma [[14\]](#page-10-0). The cerebellum exhibits pathological changes including selective cell loss, altered metabolism, and white matter injury after focal and diffuse TBI. There are several clinical reports of cerebellar atrophy following TBI [[15,](#page-10-0) [16](#page-10-0)]. Metabolic changes have also been documented in the cerebellum both ipsilaterally and contralaterally to the cortical injury [\[17](#page-10-0)–[19\]](#page-10-0). Hypermetabolism within the cerebellar vermis compared to the cerebellar hemispheres has been shown in studies investigating cerebellar metabolism after TBI [\[20\]](#page-10-0). The metabolic rate of glucose is depressed within the cerebellum in comatose patients compared to non-comatose brain-injured patients [\[21](#page-10-0)]. In addition, loss of cerebellar gray matter after TBI has been documented [\[16](#page-10-0)].

Clinical observations implicate the cerebellum in cognitive function. Impairments in learning tasks and planning as well as a lack of awareness of incorrect responses have been correlated with cerebellar damage [\[22](#page-10-0), [23](#page-10-0)]. These findings, which suggest a functional link between the cerebellum and higher order cognitive function, are supported by anatomical evidence of projections from the cerebellum to an area of the prefrontal cortex known to be involved in spatial memory [\[24](#page-10-0)]. Thus, behavioral deficits commonly observed in brain-injured patients may have a basis in the vulnerability of the cerebellum.

The Brain-Injured Child Although TBI-induced cerebellar damage has been primarily studied in the adult, cerebellar damage may likewise be evident in the brain-injured child. Braga et al. [\[3](#page-9-0)] investigated brain magnetic resonance (MRI) findings and neuropsychological sequelae in a series of children who suffered severe TBI and showed an association between cerebellar lesions and deficits in visual recognition memory, arithmetic, object assembly, and overall intelligence quotient. Cerebellar mutism has also been reported in children with cerebellar trauma [[13,](#page-10-0) [25](#page-10-0)]. Similar to the adult, indirect supratentorial trauma can lead to cerebellar damage in the brain-injured child. Spanos et al. [[10\]](#page-9-0) examined MRI images in children an average of 3.1 years after severe TBI and found significant cerebellar white and gray matter loss when compared to age-matched uninjured children (Fig. [1\)](#page-3-0). This difference held true with white matter loss even when children with focal cerebellar lesions were excluded. These investigators examined other brain structures with known connections to the cerebellum such as the thalamus, pons, and dorsolateral frontal cortex. In uninjured children, there was a significant positive correlation between the volumes of white matter in the cerebellum and each of these regions. In injured children, however, such a relationship was lost between the cerebellum, thalamus, and dorsolateral frontal cortex. These findings suggest that cerebellar white matter loss may not be entirely due to retrograde axonal degeneration from other damaged structures but that other mechanisms may be involved. Sato-Ares et al. [\[11](#page-10-0)] similarly studied cerebellar findings in brain-injured children an average of 16 months after moderate to severe TBI and demonstrated cerebellar atrophy in patients whose initial injury was to the frontal and temporal regions. In these children, injury-induced cerebellar atrophy was correlated with poor performance on standard intelligence quotient tests.

Matschke et al. [\[26\]](#page-10-0) have also demonstrated the neuropathologic consequences of TBI in a unique case report of a 4-year-old girl who had suffered mild TBI with an occipital skull fracture and expired 3 weeks later due to unrelated causes. At autopsy, they noted significant cerebellar atrophy with loss of Purkinje cells and activation of microglia. These findings correlate the cerebellar atrophy seen in radiologic studies with vulnerability of Purkinje cells to TBI.

Summary of Clinical Findings Overall, these clinical studies show that the cerebellum is often affected in TBI, both directly and indirectly. However, the causes of indirect cerebellar damage resulting from supratentorial trauma are not completely understood. Moreover, given the complexity of human TBI, the long-term consequences of such cerebellar damage have yet to be completely elucidated. It is also unknown if age at the time of injury is a determinant of cerebellar vulnerability and influences pathogenesis and long-term behavioral outcomes.

Experimental models have and will continue to play an important role in furthering our understanding of traumatic injury to the cerebellum. We will now discuss the current models of traumatic cerebellar injury with respect to their technical aspects, the findings they have provided, and how those findings translate to the human condition.

<span id="page-3-0"></span>Fig. 1. Comparison of cerebellar images from a 16-year-old boy after TBI (a, b, c) and an age-matched uninjured patient (d, e, f). a, d T1-weighted sagittal magnetic resonance image (MRI); b, e T2-weighted axial MRI; c, f T1-weighted coronal MRI. Cerebellar atrophy can be clearly seen in the injured brain. Reproduced with permission from Spanos

et al. [\[10\]](#page-9-0)



## Models of TBI Leading to Indirect Cerebellar Damage

Human TBI is characterized by a complex pathophysiology due to the fact that it encompasses a wide range of possible injury mechanisms and locations. Here, we consider four experimental models of TBI, each of which has examined the cerebellum after traumatic injury to the cerebral cortex. These include fluid percussion injury, controlled cortical impact injury, weight drop impact acceleration injury, and rotational acceleration injury.

<span id="page-4-0"></span>

Fig. 2. Fluid percussion injury (FPI) and controlled cortical impact (CCI) injury are two of the most commonly used models in the study of traumatic cerebellar injury. a FPI is generated when a pendulum strikes a fluid-filled piston that transmits a fluid pulse to the surface of the brain. b CCI injury is generated when an electronically controlled piston strikes the surface of the brain. a Photograph provided by Guy Blankenship, Custom Design and Fabrication, Department of Radiology, Virginia Commonwealth University Medical Center

Fluid Percussion Injury This model of brain trauma generates both focal and diffuse injury, including a focal cerebral contusion and subarachnoid hemorrhage at the site of impact as well as more diffuse neuronal loss within the ipsilateral hippocampus, thalamus, striatum, amygdala, and medial septum [\[27](#page-10-0)]. To generate a fluid percussion injury (FPI), a fluid-filled reservoir is cemented to the skull overlying a region of exposed brain. A pendulum then strikes the reservoir to deliver a fluid pulse to the brain [\[27](#page-10-0)]. The severity of the injury is determined by the height from which the pendulum is dropped (Fig. 2a). Variations of this model include midline and lateral (parasagittal) impact sites, and it has been described in several species, including mice, rats, cats, and rabbits [[27\]](#page-10-0).

A distinct pattern of cerebellar damage has been observed following FPI. Fukuda et al. [[28\]](#page-10-0) evaluated a lateral fluid percussion-induced injury to the frontoparietal cortex and found Purkinje cell loss within the cerebellar vermis beginning 1 day post-injury and continuing through 7 days post-injury (Fig. [3](#page-5-0)). This finding was associated with microglial activation seen in a distinct pattern characterized by "stripes" of microglia extending from the Purkinje cell layer outward to the cerebellar cortex. This study found no evidence of early neuronal activation using c-Fos in both the cerebellum and the inferior olivary nucleus, which is the principle source of synaptic input to Purkinje cells. Such a finding suggests that Purkinje cell death may not be mediated through activation of climbing fibers from the inferior olive. Further studies revealed activation of the stress protein heme oxygenase-1 within Bergmann glia in a similar "striped" distribution within the cerebellar vermis [[29\]](#page-10-0) as well as evidence of Purkinje cell degeneration through 14 days post-injury [[15\]](#page-10-0). Heme oxygenase-1 expression in the cerebellum mirrored the pattern of Purkinje cell loss, suggesting an association. A similar pattern of Purkinje cell loss and associated gliosis was found with a medial FPI, but such cell loss was most significant in the posterior cerebellar vermis compared to the middle and anterior regions of the vermis [\[30](#page-10-0)]. This could reflect an injury that is both less severe and located more medially than previous studies. Purkinje cell loss was also found to be dose-dependent in the context of injury severity [\[30](#page-10-0)]. Cells consistent with the morphology of Purkinje neurons in the cerebellum have been shown after FPI with Fluoro-Jade staining, which delineates degenerating neurons and their processes [\[15](#page-10-0), [30](#page-10-0), [31\]](#page-10-0). Behaviorally, significant motor deficits on the beam walk and inclined plane and memory deficits in the radial arm maze and Morris water maze have also been observed [[31\]](#page-10-0).

A midline cortical FPI shows a similar pattern of cerebellar damage. Mautes et al. [\[32](#page-10-0)] reported Purkinje cell degeneration in the cerebellar vermis. Reactive microglia were localized to the dendritic arborization of degenerating Purkinje cells by 7 days post-injury. In addition, widespread axonal degeneration has been demonstrated after FPI, including within the cerebellar vermis [[33,](#page-10-0) [34](#page-10-0)]. These white matter changes are associated with deficits in motor tasks, suggesting that TBI-induced motor deficits may be in part related to cerebellar damage [[33\]](#page-10-0).

The role of subarachnoid hemorrhage in the pathogenesis of TBI-induced cerebellar injury was also examined using the FPI model. Endothelin-1 is a potent vasoconstrictor found in cerebrospinal fluid after TBI [[35\]](#page-10-0) and is thought to play a role in subarachnoid hemorrhage-induced

<span id="page-5-0"></span>damage after TBI. Administration of an endothelin-1 receptor antagonist (Ro 61-1790) resulted in reduced microglial activation in the cerebellum of the drug-treated group at 3 days post-injury [\[36](#page-10-0)]. This change, however, was not evident by 7 days post-injury and did not improve Purkinje cell survival, suggesting that the pathogenesis of TBI-induced Purkinje cell death is not limited to the acute post-injury period.

Controlled Cortical Impact Similar to FPI, controlledcortical impact (CCI) produces a contusion at the site of impact and neuronal loss in such distant sites as the hippocampus, thalamus, and striatum. In general, however, CCI injuries are more focal than FPI, with the contusion being a larger component [[37\]](#page-10-0). CCI injury is generated by striking a piston against the exposed surface of the brain [[38,](#page-10-0) [39](#page-10-0)]. Changing either the velocity of the piston or the depth to which the brain is compressed typically modulates the severity of injury (Fig. [2](#page-4-0)b).

Interestingly, the pathology of injury in the cerebellum after CCI is similar to that resulting from FPI, including Purkinje cell loss and microglial activation within the cerebellar vermis [\[40\]](#page-10-0). As with FPI, pathogenesis is severity-dependent (Fig. 3). The similarities between FPI and CCI indicate that the sensitivity of the cerebellar vermis is a robust finding and not dependent upon a particular injury model.

Although studies of the cerebellum in the context of CCI have been primarily conducted in the adult brain, a few have considered the developing brain. When performed in mice at post-natal day21, this model reproduces many of the consequences of TBI that have been reported in children, such as focal contusions, subdural hematomas, and diffuse axonal injury [\[41](#page-10-0)]. Axonal degeneration within the cerebellar peduncles has been described as early as 3 days post-injury [[41](#page-10-0)], giving further evidence that cerebellar sensitivity is a robust finding that extends across injury models, species, and ages.

Weight Drop Impact Acceleration Injury Compared to the FPI and CCI models, the weight drop impact acceleration injury (WDIA) model aims to generate a more diffuse TBI by delivering a force to the intact skull as opposed to the exposed brain [\[42](#page-10-0), [43](#page-10-0)]. A rotational component to the injury is produced when the head, positioned against a soft pad, is displaced upon impact. Altering the height from

Fig. 3. Cerebellar sensitivity is a robust finding that is dependent on injury severity and extends across injury models. Both Purkinje cell injury (a, Fluoro-Jade staining) and microglial activation (b, OX-42 staining) have been demonstrated in models of trauma such as FPI (a) and CCI (b) as well as other models of cerebellar injury, including excitotoxic injury. The magnitudes of both Purkinje cell loss (c) and microglial activation (d) have been shown to be dependent upon injury severity, as demonstrated in the CCI model. a Degenerating Purkinje cell body (black arrow) and associated process (white arrow); adapted with permission from Sato et al. [[15](#page-10-0)]. b–d Adapted with permission from Igarashi et al. [[40](#page-10-0)]. Scale bars 50μm



which the weight is dropped modulates the severity of injury. The resulting injury is characterized by diffuse edema and axonal injury that includes the cerebellar peduncles but no focal supratentorial lesion [\[42](#page-10-0)]. In this model of injury, Tuzgen et al. [[44\]](#page-10-0) reported reduced expression of nitric oxide metabolites within the cerebellum just 5 min post-injury, suggesting a relationship to decreased blood flow seen immediately after TBI.

This model has been paired with induction of post-injury hypoxia (WDIA+H) to generate a model of TBI that may more closely mimic clinical TBI. When compared with the lateral FPI model in terms of neurodegeneration and behavioral outcomes, Hallam et al. [[31\]](#page-10-0) found a slightly delayed time course of cerebellar Purkinje cell injury. FPI results in marked Fluoro-Jade immunostaining of Purkinje cells and their dendrites within the molecular layer within 24 h post-injury. In contrast, after WDIA+H, there are only a few degenerating Purkinje cells and dendrites at 24 h, but these numbers dramatically increased by 48 h. By 7 days post-injury, only degenerating dendrites remain.

Rotational Acceleration Injury This newly developed model mimics a rotational force against the brain and is characterized by diffuse subarachnoid hemorrhage, cortical hemorrhages, and astrogliosis [\[45](#page-10-0)]. The head is fixed within a frame that rotates about the head's sagittal center of mass. A piston then strikes the frame causing the animal's head to rotate into extension [\[45](#page-10-0)]. In this model of head injury, brain edema and an excitotoxic neuronal microenvironment result in extensive delayed neuronal cell death by apoptotic necrosis in various brain regions including the cerebellum [[46\]](#page-10-0). TdT-Mediated dUTP nick-end labeling (TUNEL) positive cells are noted in the cerebellum beginning 24 h post-injury and peaking 3 days later [[46\]](#page-10-0). The inducible transcription factor, c-Jun, expressed during apoptosis, is observed within the cerebellum in the nuclei of granule cells and cytoplasm of Purkinje and Golgi cells, astrocytes, and microglia [\[46](#page-10-0)]. Although there is co-localization of TUNEL staining and c-Jun in a subset of granule cells, indicative of apoptosis, c-Jun is also localized within the perikarya of TUNEL-negative cells, supporting a link between c-Jun expression and regenerating cells.

The rotational acceleration injury model has also been used to study the effects of TBI on elements of the neuronal cytoskeleton. Hamberger et al. [\[47](#page-10-0)] reported loss of the heavy phosphorylated subunit of neurofilament in axons within the cerebellar molecular layer by 3 days postinjury, indicating axonal injury. Of note, this finding is associated with abnormal accumulation of this neurofilament subunit within the perikarya of neurons in the olivary nucleus, further suggesting a link between damage to the cerebellum and injury within the olivary nucleus.

#### Models of Direct Cerebellar Trauma

Although direct trauma to the cerebellum does not have a strong clinical relevance, it does provide a basis for understanding the underlying events that contribute to cerebellar vulnerability. These models of direct trauma are typically adaptations of those used to produce injuries to the cerebral cortex, as discussed in the preceding paragraphs.

Ai and Baker [\[48](#page-10-0), [49\]](#page-10-0) applied a FPI over the posterior fossa in order to study the electrophysiology of a direct cerebellar insult. This resulted in presynaptic hyperexcitability within mossy fiber granule cell synapses at 3 days post-injury followed by hyperexcitability within granule cell–Purkinje cell synapses 3–7 days post-injury. These findings may account for the vulnerability of Purkinje cells to TBI. They further demonstrated that direct cerebellar injury alters the presynaptic potential in parallel fibers and suggest that this mechanism could be responsible for selective Purkinje cell death in TBI via a group II metabotropic glutamate receptor-dependent mechanism [\[49](#page-10-0)].

Allen and Chase [\[50](#page-11-0)] adapted the weight drop injury model to generate direct injury to the left cerebellar hemisphere. They used this model to investigate expression of heat shock proteins within cerebellar cell types. Mild injury induced hsp-27 protein expression in Purkinje cells and Bergmann glia, while severe injury also induced hsp-27 protein expression in neurons of the deep cerebellar nuclei. This study also examined sensorimotor function using the beam walk test and found that while mild injury led to no detectable deficits, severely injured animals showed significant deficits by 2 days post-injury. These deficits did not fully recover even by 20 days post-injury, suggesting a profound effect of focal cerebellar injury on motor behavior and cellular indicators of neuronal damage and repair.

#### Models that Characterize Specific Components of TBI

As with human TBI, the injury generated by experimental models such as CCI and FPI is complex, involving multiple regions of the brain and resulting in diverse types of neuronal injury. Several models of TBI have aimed to simplify the findings from CCI and FPI by focusing on specific aspects of TBI.

Axotomy One of the earliest methods of investigating the response of neurons to injury was axotomy, whereby the cerebellar peduncles are unilaterally severed [[51\]](#page-11-0). Because of the unique properties of Purkinje cells, this model has become a valuable tool in the study of neuronal repair and plasticity [\[52](#page-11-0)]. Variations of this injury target the specific white matter tracts to provide directed axonal injury either to climbing fibers from the olivary nucleus within the inferior cerebellar peduncle [[53\]](#page-11-0) or Purkinje cell fibers [\[54](#page-11-0)]. Such studies have revealed a stark contrast in the response to axotomy between Purkinje cells and climbing fiber input [[55\]](#page-11-0). Climbing fibers show a strong response to axonal injury characterized by axonal regression, but they have a remarkable ability to regenerate if provided a suitable environment. On the contrary, Purkinje cells are relatively resistant to such injury but seem to lack the ability to regenerate regardless of environmental conditions [\[52](#page-11-0), [55](#page-11-0)]. These findings contradict the vulnerability of Purkinje cells to the previously discussed models of TBI, suggesting either that Purkinje cell axonal injury may not be a strong component of TBI or that other injury mechanisms overshadow this resistance to axonal damage.

Stab Injury Stab injury is another simple model that has been used to study direct trauma to the cerebral cortex and cerebellum. This method uses a sharp instrument to penetrate the surface of the brain. Culic et al. [[56\]](#page-11-0) used this model to study the electrocortical response of the cerebellum to forebrain injury. They demonstrated decreases in the mean power and desynchronization of various cerebellar electrocortical signals within minutes after injury and lasting up to 4 h post-injury, further demonstrating the vulnerability of the cerebellum to indirect injury. Chen and Aston-Jones [[57\]](#page-11-0) studied direct cerebellar injury by stabbing the lateral cerebellum with either a glass micropipette or a razor blade to study nitric oxide synthase activity using the marker NADPH diaphorase. They reported staining of this marker within the cell bodies, dendrites, and proximal axons of Purkinje cells adjacent to the injury area. These cells lost dendrites and shrank in size over 6 weeks following injury, suggesting degeneration in the Purkinje cells residing closest to the injury site. NADPH diapohrase positivity in neurons within the inferior olivary nucleus was also noted. Similar to the degenerating Purkinje cells, these cells also demonstrated dendritic loss and cell body shrinkage.

In Vitro Stretch Injury To further study the mechanical aspects of TBI, Ellis et al. [\[58](#page-11-0)] developed an in vitro model of stretch injury. Cells are grown in culture on a silastic membrane. The membrane is then distorted by a pulse of nitrogen to stretch the overlying cells. The extent of stretch injury can be modified by altering the amplitude and duration of the pressure pulse. This model has been used to characterize the response of neurons and glia from various regions to stretch injury. Slemmer et al. [\[59](#page-11-0)] cultured cerebellar cells (both neurons and glia together) in this model. This insult produced cell membrane disruption, release of injury markers, cell loss of both Purkinje cells and non-Purkinje neurons, and reactive astrogliosis by Bergmann glia. In addition, they measured increased levels of S-100β in injured co-cultures. To test the effect of this molecule on uninjured cells, they added it to control cultures and found a significant reduction in Purkinje cell numbers. While not yet used to study cerebellar injury, several other methods of in vitro traumatic injury exist, including axotomy, compression, barotrauma, acceleration/ deceleration, and hydrodynamic injury [\[60](#page-11-0)].

Excitotoxicity There are several models of excitotoxic damage to the cerebellum, including both genetic mutations and toxins [\[61](#page-11-0)]. One of the most relevant to the study of cerebellar trauma is the psychoactive drug ibogaine. Ibogaine is an analog of melatonin and has been shown to reduce the addictive potential of drugs such as cocaine and morphine in animal models [\[62](#page-11-0)]. In animals, it also causes tremor and results in loss of Purkinje cells and activation of Bergmann astrocytes and microglia [\[62](#page-11-0), [63](#page-11-0)]. Similar to CCI and FPI, degenerating Purkinje cells are found within the cerebellar vermis aligned in parasagittal stripes [[64](#page-11-0)]. O'Hearn and Molliver [\[65](#page-11-0)] demonstrated that such cerebellar damage is mediated via excitement of the inferior olivary nucleus. Chemical ablation of this nucleus protects the Purkinje cells from ibogaine-induced damage. These findings suggest that sustained excitation of the olivocerebellar projections leads to the distinct pattern of Purkinje cell loss seen in models of TBI.

### Transmission of Injury to the Cerebellum

The human studies and animal models discussed here suggest that traumatic injury, either directly to the cerebellum or indirectly following trauma to a distant part of the brain, leads to pathophysiologic findings in the cerebellum. The indirect damage can be transmitted by several methods, such as mechanical forces, metabolic changes, and presynaptic hyperexcitability.

Mechanical Forces Mechanical forces, such as contrecoup injury, are likely due to the impact of the brain on the skull caused by deceleration and inertial forces. Some of the effects of TBI, such as Purkinje cell death and cytoskeletal derangement, could be mediated by these mechanical forces. Purkinje cells are especially susceptible to mechanical forces [\[66](#page-11-0)]. Cytoskeletal derangements include significant axonal shearing, loss of cytoskeletal proteins (NF68, NF200, and microtubule-associated protein 2), focal neurofilament compaction, and alteration in axolemmal permeability [[67,](#page-11-0) [68](#page-11-0)]. These cytoskeletal derangements may not be exclusively restricted to sites of contusion and cell death [\[69](#page-11-0), [70](#page-11-0)]. In addition, preferential dendritic cytoskeletal derangements may be an early morphological feature of experimental TBI in vivo.

Metabolic Changes Metabolic changes, such as crossed cerebellar diaschisis (CCD), and ischemic conditions can contribute to the transmission of injury effects to the cerebellum [\[17](#page-10-0)]. These involve depressed metabolic activity in the contralateral cerebellar hemisphere associated with ipsilateral metabolic changes following the initial trauma [\[19](#page-10-0)]. In a model of stroke, CCD is shown to be a result of functional deafference as well as transneuronal degeneration [\[71](#page-11-0)].

Presynaptic hyperexcitability Presynaptic hyperexcitability at cerebellar synapses has been reported at 3–7 days following FPI. This involves an increase in neurotransmission in the cerebellar pathways from mossy fibers to granule cells (mossy fibers) and from granule cells to Purkinje cells (parallel fibers) [[48\]](#page-10-0). This leads to loss of neurons in the cerebellum [\[48](#page-10-0)]. Purkinje cells may also be particularly vulnerable to climbing fiber hyperexcitability because of the large number of synaptic connections between them. The olivary nucleus, from which climbing fiber connections originate, has been implicated in rotational acceleration injury, stab injury, and excitotoxic injury models [\[47](#page-10-0), [57](#page-11-0), [65](#page-11-0)] and could explain the vulnerability of the vermis to trauma.

These different methods of indirect injury transmission contribute in varying degrees to the manifestations of cerebellar vulnerability in different models of traumatic brain injury and are summarized in Fig. 4.

#### Mechanisms Underlying Cerebellar Injury

Following either direct or indirect cerebellar injury, several pathophysiologic mechanisms mediating TBI-induced cerebellar damage can be identified. These include Purkinje cell loss due to excitotoxic injury, activation of an



Fig. 4. The pathophysiology of traumatic cerebellar injury is complex, involving multiple mechanisms of cellular injury as well as multiple modes of transmission in the case of indirect cerebellar injury. FPI fluid percussion injury, CCI controlled cortical impact,  $WDIA+H$  weight drop impact acceleration + hypoxia

inflammatory response, and traumatic axonal injury [\[48](#page-10-0), [50,](#page-11-0) [57](#page-11-0)]. The various factors contributing to the consequences of traumatic injury to the cerebellum in the experimental models described in this review are summarized in Fig. 4.

Excitotoxic Injury Purkinje cell loss is evident in all models of injury to the cerebellum and exhibits a specific spatial pattern as described earlier. While the mechanisms involved are not well understood, several studies suggest that this loss is due to excitotoxic injury to the neurons [\[61](#page-11-0), [72](#page-11-0)]. Purkinje cells receive input from two sources, both of which are excitatory—climbing fibers from neurons within the inferior olivary nucleus of the medulla and parallel fibers from granule cells within the cerebellum [[72\]](#page-11-0). Each Purkinje cell receives input from only a single climbing fiber, but has an estimated 1,500 synaptic connections with that climbing fiber. Nearly 100,000 parallel fibers synapse with a single Purkinje cell. This anatomical connectivity of the cerebellum, as well as the specialized neuronal–glial interactions between Purkinje cells and Bergman glia [\[73](#page-11-0)], could explain the unique spatial pattern of susceptibility of the Purkinje neurons to TBI.

Another factor underlying the specific spatial patterning of Purkinje cell injury is differential gene expression. Purkinje cell expresses multiple glutamate receptors, but it is thought that  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors play the largest role in glutamate-mediated excitotoxic damage to Purkinje cells in many disease processes [\[61](#page-11-0)]. Slemmer et al. [\[74](#page-11-0)] found that Purkinje neurons lacking aldolase C expression were more susceptible both in the CCI model of injury and AMPAmediated excitotoxicity. Aldolase C is a glycolytic enzyme which has been shown to co-localize with excitatory amino acid transporter 4 in Purkinje cells, conferring two possible methods of neuroprotection in this subgroup of cells [\[75](#page-11-0)]. In the cortex, downregulation of glial glutamate transporter proteins following CCI has been shown. This may lead to defective glutamate uptake and a chronic low-level increase in glutamate concentrations, suggesting that regulation of glutamate-mediated excitotoxicity plays a role in secondary neuronal damage after TBI [[76\]](#page-11-0). Similarly, alteration in the normal function of metabotropic glutamate receptors and the glutamate reuptake system in the cerebellum following FPI could underlie the hyperexcitability in the cerebellum [\[49\]](#page-10-0), consistent with the hypothesis that excitotoxic mechanisms are involved in traumatic Purkinje cell death.

Inflammatory Response Strong microglial activation is a common inflammatory response observed following injury to the cerebellum. Following either FPI or CCI, activated microglia are organized perpendicular to the Purkinje cell layer [[28,](#page-10-0) [32](#page-10-0)]. Importantly, this activation precedes evi<span id="page-9-0"></span>dence of neuronal loss. Activated microglia may be sensitive indicators of Purkinje cell injury and serve as cellular scavengers to remove debris and promote wound healing [[77\]](#page-11-0). Thus, neuroprotective mechanisms may play a role in the selective vulnerability displayed by the Purkinje neuron population [[30\]](#page-10-0). Alternatively, activated microglia may be involved in promoting Purkinje cell death [[28,](#page-10-0) [78](#page-11-0)]. An endothelin receptor antagonist transiently attenuates microglial reactivity when administered for the first 24 h post-injury, but does not effect Purkinje cell death [\[36](#page-10-0)], suggesting that microglial activation is possibly a response to Purkinje cell death. The relationship between microglial activation and Purkinje cell death in the context of trauma needs to be further elucidated.

Traumatic Axonal Injury Injury to cerebellar white matter is another mechanism by which the functional consequences of TBI can be mediated. Several animal models of brain trauma have reported traumatic axonal injury (TAI) in the cerebellum [\[34](#page-10-0), [79](#page-11-0)]. Current literature supports the idea that TAI is an evolving process, progressing from focal axonal damage at the time of injury to eventual complete disconnection [[80\]](#page-11-0). Extensive bilateral axonal degeneration is observed in the cerebellum as a result of the biomechanical forces during CCI injury [[34,](#page-10-0) [79](#page-11-0), [81\]](#page-11-0). TBI-induced functional and structural deficits in cerebellar white matter have also been characterized. In FPI, early neurofilament degradation and persistent calpain-mediated degradation of ∝II-spectrin is observed [[82\]](#page-11-0). In a rotational acceleration head injury model, a redistribution of neurofilaments from axons to neuronal perikarya occurs in several brain regions including the cerebellum [[47\]](#page-10-0). This is associated with the appearance of beta-amyloid positive neurons, findings that have been demonstrated after human TBI as well as in neurodegenerative diseases [[83\]](#page-11-0). Cerebellar white matter function after TBI can be further assessed using compound action potential recordings. There is a significant decline in electrophysiological function in the posterior and middle cerebellum, which persists at 14 days post-injury, indicating persistent cerebellar white matter dysfunction [\[82](#page-11-0)]. Although structural axonal degeneration following trauma is well established, the varying elecrophysiologic susceptibilities of myelinated and demyelinated axon populations in the cerebellum remain to be further investigated.

#### Conclusion

Cerebellar injury has been shown to be an important component of human TBI. While still a relatively poorly studied phenomenon, the models discussed in this review have begun to elucidate the nature of cerebellar damage

following both direct and indirect injury. In the case of indirect injury to the cerebellum, different methods of transmission of injury to the cerebellum have been identified, including mechanical forces, metabolic changes, and presynaptic hyperexcitability. Several pathophysiologic mechanisms mediating TBI-induced cerebellar damage have also been described following direct or indirect injury: excitotoxicity leading to Purkinje cell loss, an evolving TAI, and a distinct spatial pattern of microglial activation coincident with Purkinje cell loss. Importantly, many of these features of injury have been noted across multiple injury models, suggesting common underlying mechanisms of cerebellar vulnerability that may lead to many of the behavioral sequelae of TBI. The models discussed in this review are necessary tools to further explore these processes.

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