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Novel Nitro-PAH Formation from Heterogeneous Reactions of PAHs with NO_2 , NO_3/N_2O_5 , and OH Radicals: Prediction, Laboratory Studies and Mutagenicity

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Abstract

The heterogeneous reactions of benzo[a]pyrene- d_{12} (BaP- d_{12}), benzo[k]fluoranthene- d_{12} (BkFd₁₂), benzo[ghi]perylene-d₁₂ (BghiP-d₁₂), dibenzo[a,i]pyrene-d₁₄ (DaiP-d₁₄), and dibenzo[a,l]pyrene (DalP) with NO2, NO3/N2O5, and OH radicals were investigated at room temperature and atmospheric pressure in an indoor Teflon chamber and novel mono NO2-DaiP, and mono NO₂-DalP products were identified. Quartz fiber filters (QFF) were used as a reaction surface and the filter extracts were analyzed by GC/MS for nitrated-PAHs (NPAHs) and tested in the Salmonella mutagenicity assay, using Salmonella typhimurium strain TA98 (with and without metabolic activation). In parallel to the laboratory experiments, a theoretical study was conducted to rationalize the formation of NPAH isomers based on the thermodynamic stability of OH-PAH intermediates, formed from OH-radical-initiated reactions. NO2 and NO3/N2O5 were effective oxidizing agents in transforming PAHs to NPAHs, with BaP-d₁₂ being the most readily nitrated. Reaction of BaP-d₁₂, BkF-d₁₂ and BghiP-d₁₂ with NO₂ and NO₃/N₂O₅ resulted in the formation of more than one mono-nitro isomer product, while the reaction of DaiP- d_{14} and DalP resulted in the formation of only one mono-nitro isomer product. The direct-acting mutagenicity increased the most after NO₃/N₂O₅ exposure, particularly for BkF-d₁₂ in which di-NO₂-BkF-d₁₀ isomers were measured. The deuterium isotope effect study suggested that substitution of deuterium for hydrogen lowered both the direct and indirect acting mutagenicity of NPAHs and may result in an underestimation of the mutagencity of the novel NPAHs identified in this study.

Supporting Information Available This material is available free of charge via the Internet at http://pubs.acs.org.

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Introduction

Nitrated polycyclic aromatic hydrocarbons (NPAHs) are PAH derivatives emitted directly to the atmosphere from combustion sources and/or formed from atmospheric transformation via homogeneous gas-phase OH- and NO₃-radical initiated reactions of PAHs,¹ and some NPAHs are more mutagenic than the parent PAHs.^{2, 3} Gas-phase reactions of PAHs to form NPAHs are initiated by either OH or NO₃ radical attack at the position of highest electron density on the aromatic ring, followed by NO_2 addition with subsequent loss of H_2O or HNO₃, respectively. In contrast, heterogeneous nitration may follow a different mechanism and previous studies have shown that heterogeneous reactions of pyrene and fluoranthene with NO₃/N₂O₅ yield different nitropyrene and nitrofluoranthene isomers than do the corresponding gas-phase reactions.⁴⁻⁷ The kinetics of heterogeneous reactions vary significantly due to the inherent complexity of heterogeneous reactions caused by the characteristics of the substrates, surface chemistry and the substrate-specific kinetics of heterogeneous reactions.⁸⁻¹¹ The formation of NPAHs from the heterogeneous reactions of PAHs containing two to five rings has been studied with NO₂, N₂O₅, ^{5-7, 10, 12-15} whereas a limited number of studies have investigated the formation of NPAHs from the heterogeneous reaction of PAHs with more than five aromatic rings.¹⁶ In field studies, nitrobenzopyrenes and nitropervlene (MW297) were the highest molecular weight NPAHs detected in the atmosphere.^{17, 19-20}

The objectives of this study were to 1) identify NPAHs, including novel NPAHs, formed from the heterogeneous reaction of filter-sorbed, low volatility perdeuterated PAHs with NO₂, NO₃/N₂O₅, and OH radicals using laboratory experiments and theoretical calculations and 2) associate NPAH formation in the laboratory experiments with the mutagenicity of the extracts. Five higher molecular weight PAHs, including benzo[a]pyrene- d_{12} (BaP- d_{12}), benzo[k]fluoranthene-d₁₂ (BkF-d₁₂), benzo[ghi]perylene-d₁₂ (BghiP-d₁₂), dibenzo[a,i]pyrene-d₁₄ (DaiP-d₁₄), and dibenzo[a,l]pyrene (DalP) were selected for this research because of their mutagenicity^{21, 22} and the lack of data on their formation of NPAH products during heterogeneous reactions. Deuterated PAHs were used for the experiments, except for DalP for which the deuterated analog was not commercially available, because they are not present in the environment and allowed us to attribute the formation of deuterated nitro PAH products solely to the reactions in the chamber. Because the mutagenicity of deuterated nitro PAH products may differ from non-deuterated analogs, a deuterium isotope effect study was carried out to investigate the effect of perdeuteration on mutagenicity. To our knowledge, NPAH products of DalP and DaiP have not been previously identified.

Experimental

Chemicals and Materials

Perdeuterated BaP-d₁₂, BkF-d₁₂, BghiP-d₁₂, and DaiP-d₁₄ were purchased from CDN Isotopes (Point-Claire, Quebec, Canada) and Cambridge Isotope Laboratories (Andover, MA). Because perdeuterated DalP was not commercially available we purchased the nondeuterated DalP from Cambridge Isotope Laboratories (Andover, MA). Dichloromethane, ethyl acetate and dimethyl sulfoxide were purchased from Fisher Scientific (Santa Clara,

CA) and EMD Chemicals (Gibbstown, NJ). Salmonella tester strain TA98 was originally purchased from Xenometrix, Inc. Of the mono-NO₂-PAH and di-NO₂-PAH products identified in this study, only 6-NO₂-BaP-d₁₁ was commercially available and was purchased from Chiron AS (Trondheim, Norway). Details of the synthesis of 7nitrobenzo[k]fluoranthene, 3,7-dinitrobenzo[k]fluoranthene, 7-nitrobenzo[ghi]perylene and 5-nitrobenzo[ghi]perylene are given in Supporting Information (SI).

Spiked Filter Preparation and Exposures

Heterogeneous reactions of particulate-bound PAHs have been observed in chamber studies and in the ambient atmosphere^{5-7, 23}. In this study, the quartz fiber filters (QFFs) (8 in x 10 in, No.1851-865, Tisch Environmental, Cleves, OH) were pre-baked (350° C) before use. Each clean QFF was cut into 4 quarters. Ten µg of the individual PAHs in ethyl acetate were deposited separately onto each quarter of the QFFs with a pipette and placed in the laboratory fume hood, allowing ethyl acetate to evaporate at room temperature for approximately 30 minutes. A quarter of clean, unspiked QFF was also placed in the chamber during each experiment as a negative control for toxicological and chemical studies.

Laboratory experiments were carried out in ~7000 L indoor collapsible Teflon chamber equipped with two parallel banks of black lamps and a Teflon-coated fan at room temperature (~297 K) and ~740 Torr.⁷ All the filters were placed on a standing, rotating apparatus inside the Teflon chamber.⁵ Details of how NO₂, NO₃/N₂O₅, and OH radicals were generated are given in SI.

Sample extraction and Analysis

The QFFs were extracted twice with pressurized liquid extraction and dichloromethane using an extraction method previously described in detail¹⁸ and both extracts were combined. The extracts that were subjected to chemical analysis were evaporated and solvent-exchanged to ethyl acetate under a purified N₂ stream with a Turbovap II (Caliper Life Sciences, MA). The extracts subjected to the Salmonella assay were evaporated to dryness under a stream of N₂ and the residue was dissolved in 500 µl of dimethylsulfoxide (DMSO). The extracts from the unexposed filters, that had been spiked with individual PAH, were split in half based on solvent weight. One half of the extract was prepared for mutagenicity testing and the other half was prepared for chemical analysis.

The analyses of parent PAHs and NPAHs in the analytical extracts were conducted using gas chromatographic mass spectrometry (GCMS, Agilent 6890 GC coupled with an Agilent 5973N MSD) in selected ion monitoring (SIM) and scan modes using both electron impact (EI) and negative chemical ionization (NCI) (using CH_4 as the reagent gas), with a programmed temperature vaporization (PTV) inlet (Gerstel, Germany). A 5% phenyl substituted methylpolysiloxane GC column (DB-5MS, $30m \times 0.25mm$ I.D., $0.25 \mu m$ film thickness, J&W Scientific, USA) was used to separate the parent PAHs and NPAHs.

Theoretical Study

In parallel to the laboratory experiments, a theoretical study was conducted using Density Functional Theory (DFT), with the B3LYP functional and the 6-31G(d) basis set, as

implemented in Gaussian03. The thermodynamic stability of the OH-PAH intermediates was used to rationalize the formation of NPAH isomers. From our computations, we used the thermodynamic stability of various isomeric OH-PAH intermediates to predict the regioselectivity of heterogeneous nitration (see discussion below).

Salmonella Mutagenicity Assay

The basic method followed that reported by Maron and $Ames^{24}$ and *Salmonella typhimurium* strain TA98 was used in the study. The experimental details have been described elsewhere.¹⁸ The positive control doses were 2 µg of 2-aminoanthracene (2-AA) and 20 µg of 4-nitro-1,2-phenylenediamine (NPD) for assays with and without metabolic activation (rat S9 mix), respectively. The negative control (DMSO) dose was 30 µl. All filter extracts were tested in triplicate.

Results and Discussion

Theoretical Studies

The mechanism of gas-phase OH radical-initiated reaction with PAHs to give NPAH has been previously described.^{1, 4, 7, 25, 26} Scheme 1 shows that, in the gas-phase, the initial addition of OH radical to an aromatic ring leads to an OH-PAH adduct. This radical may react with NO₂ to yield a nitrocyclohexadienyl radical intermediate, followed by water elimination to form the NPAH. Alternatively, in ambient atmospheres, the OH-PAH adduct can also react with O₂ to give other products.²⁶

To verify our computation strategy, computations for pyrene and fluoranthene were carried out and compared with nitro products identified in a previous gas-phase OH-radical chamber study⁴ (Table SI.1). Positions 1 and 3 on pyrene and fluoranthene, respectively, were found to yield the most thermodynamically stable OH-PAH adduct intermediates (pyrene: $G_{rxn} =$ -18.4 kcal/mol and fluoranthene: $G_{rxn} = -16.7$ kcal/mol) (Table SI.1). Followed by NO₂ addition to the *ortho* position, the reactions were predicted to yield 2-nitropyrene and 2nitrofluoranthene as major NPAH products from the OH radical-initiated gas-phase reaction of pyrene and fluoranthene, respectively. The good agreement between the computed and experimental results⁴ for pyrene and fluoranthene suggested that the thermodynamic stability of the OH-PAH adducts in the first step of the gas-phase OH radical-initiated reaction could be used to predict the formation of NPAHs in the gas-phase.

The strong thermodynamic stability of intermediates formed from addition to 1 and 3 positions on pyrene and fluoranthene, respectively, dictates all reactions. Therefore, addition of NO₂ by direct nitration reactions should also occur at the same positions. Unlike the gasphase radical-initiated reactions, the heterogeneous nitration of pyrene and fluoranthene with $N_2O_5^6$ and $NO_2^{12, 27}$ formed 1-nitropyrene and 3-nitrofluoranthene as dominant isomers. Although the isomer distributions of nitropyrenes and nitrofluoranthenes can be used to distinguish between the radical-initiated and heterogeneous reactions and possible reaction mechanisms have been previously discussed ^{5-6, 13, 28}, the reaction mechanism of heterogeneous nitration has not been unequivocally identified. Table 1 shows the calculated free energies of the OH-PAH adducts for all possible OH radical attack positions at

peripheral aromatic carbons and predicts the NPAHs formed from heterogeneous reaction of BaP, BkF, BghiP, DaiP, and DalP. The optimized geometries and energies of the studied PAHs and intermediates are given in the SI.

NPAH Product Identification

All major NPAH product isomers, with peak height in the GC chromatograms greater than three times the noise peak height, were identified based on the GC retention time and full scan EI and/or NCI mass spectra of the standards when they were commercially available. In addition, we synthesized 7-nitrobenzo[k]fluoranthene, 3,7-dinitrobenzo[k]fluoranthene, 7- nitrobenzo[ghi]perylene and 5-nitrobenzo[ghi]perylene because they were not commercially available (see the SI). Table 1 lists the NPAHs identified in the laboratory exposure experiments and whether or not they had been previously detected in the environment.

For NPAH isomers without available standards, a previously published method was used to predict their GC retention time orders.²⁹ White et al. found that the dipole moment of mononitro PAH isomers predicted their GC retention time order on a non-polar SE-52 GC column, a 5% phenyl substituted methylpolysiloxane stationary phase, with the NPAH isomers eluting in order of increasing dipole moment.²⁹ In this study, we predicted the GC retention time orders of the most stable mono-nitro PAH isomers products listed in Table 1 by calculating their dipole moments using Gaussian with B3LYP/6-31G(d) (Table SI.2) and predicted the molecular ion of the NCI mass spectra based on their molecular weight.

Benzo[a]pyrene—Figures SI.1A-C show the NCI full scan chromatograms of BaPd₁₂exposed to NO₂, NO₃/N₂O₅, and OH radical overlaid with the chromatogram of the unexposed BaP-d₁₂. The m/z 264 peak is the molecular ion of BaP-d₁₂. Because the extracts from the unexposed filters were split, in some cases, the parent PAH peaks in the unexposed extracts had lower abundances than those in the exposed extracts. BaP-d₁₂ reacted with NO₂ and NO₃/N₂O₅ (Figures SI.1A and SI.1B) and yielded significant amounts of mono NO₂-BaP-d₁₁ isomers. In contrast, after the OH radical exposures, noticeably lower amounts of mono NO₂-BaP-d₁₁ products were formed (Figures SI.1C). Three mono NO₂-BaP-d₁₁ isomers (Figures SI.1A-C, peaks 1-3) were identified from the reaction of BaP-d₁₂ with NO₂, NO₃/N₂O₅, and OH radicals (Table 1). No dinitro PAH isomers were identified in the $BaP-d_{12}$ exposures. Based on the G values shown in Table 1, we predicted that the most reactive position for OH attack of BaP was 6, followed by 1 and 3, respectively. This prediction was consistent with a previous study which determined the distribution of NO₂-BaP isomers based on the calculated reactivity numbers.³⁰ The calculated dipole moments of these isomers suggested a GC retention time order of 6-, 1- and 3-NO₂-BaP-d₁₁ (Table SI.2) and this same retention time order was previously observed for these isomers using the same type of nonpolar GC column.³¹ Therefore, the earliest eluting peak with m/z 308 (Figures SI.1A and SI.1B, peak 1) was identified as 6-NO₂-BaP-d₁₁ and its retention time was confirmed with a standard of 6-NO₂-BaP-d₁₁. This peak had the highest peak height (~20-490 times higher than 1- and 3-NO₂-BaP-d₁₁) in both EI and NCI, and corresponded to the highest stability of the calculated 6-OH-BaP adduct (Table 1). In addition, 6-NO₂-BaP was recently identified as a major product from the heterogeneous reaction of BaP coated soot particles with NO₂.¹⁴ A slight difference in dipole moments of 1- and 3-NO₂-BaP-d₁₁

(6.06 and 6.16 Debye, respectively) predicted close GC retention times for these two isomers and peaks 2 and 3 (both with m/z 308) were tentatively assigned to 1- and 3-NO₂-BaP-d₁₁, respectively. 1- and 3-NO₂-BaP were previously found to be minor products from a study of heterogeneous reaction of BaP with NO₂ and N₂O₅.^{8, 12} However, to date, 1- and 3-NO₂-BaP have not been measured in the environment (Table 1).

Benzo[k]fluoranthene—Figures SI.2A-C show the NCI full scan chromatograms of BkF-d₁₂ exposed to NO₂, NO₃/N₂O₅, and OH radical overlaid with the chromatogram of the unexposed BkF-d₁₂. The m/z 264 peak is the molecular ion of BkF-d₁₂. Two mono NO₂-BkF-d₁₁ peaks (m/z 308), 3- and 7-NO₂-BkF-d₁₁, were identified from the reaction of BkF d_{12} with NO₂ (Figure SI.2A) based on the G values shown in Table 1. The weaker calculated dipole moment of 7-NO2-BkF-d11, relative to 3-NO2-BkF-d11, suggested it would elute first. The retention time of 7-NO2-BkF-d11 was confirmed with the non-deuterated 7-NO₂-BkF standard, noting a slight difference in retention times due to the deuterium isotope effect. Therefore, peaks 1 and 2 were identified as 7-NO₂-BkF-d₁₁ and 3-NO₂-BkF-d₁₁, respectively. The formation of 3-NO2-BkF-d11 was expected to be more favorable than 7-NO₂-BkF-d₁₁ based on the stability of the various OHBkF adducts (Table 1). However, the intensity of the 3-NO₂-BkF-d₁₁ peak was significantly lower than that of 7-NO₂-BkF-d₁₁. The same observation was made in the EI full scan chromatogram and may suggest that 3-NO₂-BkF-d₁₁ was more prone to further nitration, yielding di-NO₂-BkF-d₁₀ compared to 7-NO2-BkF-d11. BkF-d12 was nitrated during the NO3/N2O5 exposure and five mono-NO2-BkF-d₁₁ products (m/z 308) were tentatively identified in the NCI full scan chromatogram (Figure SI.2B). As shown in Table 1, the predicted order of product formation, based on the thermodynamic stability of the OH-BkF adducts, was: 3, 7, 8, 1 and 9 positions of mono-NO₂-BkF-d₁₁. Based on the calculated dipole moments of these compounds, the predicted GC retention time elution order was: 7-, 1-, 8-, 3- and 9-NO₂-BkF-d₁₁ (Table SI.2). It should be noted that all of the extracts were also run in SIM mode and the mono-NO₂-BaP-d₁₁ peaks were baseline resolved in the selected ion chromatograms (data not shown).

In addition to the mono-NO₂-BkF-d₁₁ products, di-NO₂-BkF-d₁₀ products (m/z 352) were also identified after the NO₃/N₂O₅ exposure (Figure SI.2B). Dinitro-PAHs are believed to form from reaction of mono-nitro PAHs with the oxidizing agent^{5, 13} and, therefore, the predicted most abundant mono-NO₂-BkF-d₁₁ product (3-NO₂-BkF-d₁₁), with the most stable OH-BkF-d₁₁ intermediate, was most likely to further react with an oxidizing agent. To predict the most likely di-NO₂-BkF-d₁₀ products, we calculated the thermodynamic stability of the OH-3-NO₂-BkF-d₁₀ adducts. If 3-NO₂-BkF-d₁₁ were the only mono-NO₂-BkF-d₁₁ isomer that underwent further nitration, the five dominant di-NO₂-BkF-d₁₀ products were predicted to be: 3,12-, 3,7-, 3,4-, 3,6- and 3,8-NO₂-BkF-d₁₀ (Figure SI.3). Because 3-NO₂-BkF-d₁₁ was not the only mono-NO₂-BkF-d₁₁ product formed, other dinitro-BkF-d₁₀ products required authentic standards which were not commercially available. Only the identity of 3,7-NO₂-BkF-d₁₀ (peak 11) was confirmed with the non-deuterated 3,7-NO₂-BkF standard.

The OH radical exposure chromatograms indicated the presence of 7, 3, 8, and $1-NO_2-BkF-d_{11}$, but not $9-NO_2-BkF-d_{11}$ (Figure SI.2C). The NCI full scan chromatograms for OH

radical exposures showed traces of other degradation products, possibly oxygenated PAHs, mostly eluting before the mono-NO₂-BkF-d₁₁ and di-NO₂-BkF-d₁₀ isomers (Figures SI.2C). To date, the mono-NO₂-BkF and di-NO₂-BkF isomers identified in this study have not been measured in the environment (Table 1).

Benzo[ghi]perylene—Unlike the other PAHs, BghiP-d₁₂ was not effectively nitrated by NO₂ and only one small unidentified peak (m/z 483) was observed in the NCI full scan chromatogram (Figure SI.4A). In contrast, after NO₃/N₂O₅ exposure, three apparent mono-NO₂-BghiP-d₁₁ isomers (m/z 332) were identified (Figure SI.4B). Based on the G values shown in Table 1, we predicted that 5-, 7-, and 4-NO₂-BghiP-d₁₁ would be the most abundant mono-NO₂-BghiP-d₁₁ products. A previous study also identified 5-NO₂-BghiP as a dominant nitro product formed from the reaction of BghiP adsorbed on silica gel particles with NO₂.¹⁶ The calculated dipole moments suggested a retention time elution order of 7-, 4-, and 5-NO₂-BghiP-d₁₁ (Table SI.2). After OH radical exposure, only 5-NO₂-BghiP-d₁₁, the predicted most abundant NO₂-BghiP-d₁₁ isomer, was identified in the NCI full scan chromatogram (Figure SI.4C). To date, the mono-NO₂-BghiP isomers identified in this study have not been measured in the environment (Table 1).

Dibenzo[a,i]pyrene—As shown in Figures SI.5A and SI.5B, the NO₂ and NO₃/N₂O₅ exposures resulted in only one mono-NO₂-DaiP-d₁₃ product (m/z 360). Based on the G values shown in Table 1, we predicted that 5-NO₂-DaiP-d₁₃ would be the most abundant mono-NO₂-DaiP-d₁₃ product. To date, 5-NO₂-DaiP has not been measured in the environment (Table 1). The exposure of DaiP-d₁₄ to OH radicals did not result in any mono-NO₂-DaiP-d₁₃ products (Figures SI.5C).

Dibenzo[a,I]pyrene—Because a perdeuterated DalP standard was not commercially available, we used a nondeuterated DalP standard for our experiments. The presence of DalP in a blank exposed filter was below the detection limit. A single mono-NO₂-DalP peak (m/z 347) was observed after the NO₂ and NO₃/N₂O₅ exposures (Figure SI.6A and SI.6B). Based on the G values shown in Table 1, we predicted that 6-NO₂-DalP would be the most abundant mono-NO₂-DalP product and, to date, 6-NO₂-DalP has not been measured in the environment (Table 1). Similarly, OH radical exposure also resulted in the formation of a single mono-NO₂-DalP peak (m/z 347), likely 6-NO₂-DalP, but to a much lesser extent than the NO₂ and NO₃/N₂O₅ exposures (Figure SI.6C).

Estimated Effectiveness of Nitration—The percent of NPAH product formation relative to the amount of unexposed parent PAH was calculated and used to estimate the effectiveness of nitration for the different PAHs tested, under the various exposure conditions. We estimated the percent of nitro product formation from the sum of identified NPAH product peak areas (in exposed extracts) to its parent PAH peak area (in unexposed extracts) from the EI full scan chromatograms (Table SI.3). These values should be considered rough estimates because the objective of this research was to qualitatively identify nitro products and not quantitatively determine their concentrations (there was no surrogate addition for quantitation). BaP-d₁₂ was the most readily nitrated, in comparison to the other PAHs tested, by the NO₂, NO₃/N₂O₅ and OH radical exposures (90%, 41%, and

20%, respectively), while BghiP- d_{12} was the least effectively nitrated (Table SI.3). Among the various exposures, the percent NPAH product formation was highest for the NO₂ exposures.

Salmonella Mutagenicity Assay

Spontaneous revertant counts of DMSO (30 µl) alone were ~25/plate for both assays. The mutagenicity of the chamber air system was tested by placing clean filters in all chamber experiments. In the assays without S9, the revertant counts for the blank filters were 27, 38, and 28 revertants/plate for the NO₂, NO₃/N₂O₅, and OH exposures, respectively. In the assays with S9, the revertant counts for the blank filters were 44, 42, and 35 revertants/plate for the NO₂, NO₃/N₂O₅, respectively. Overall, they were comparable to the spontaneous revertant counts. This shows that the chamber environment and our sample preparation process had no substantial effect on the mutagenicity results. The split extracts of the unexposed filters, containing ~1 nmol of the individual PAHs tested, resulted in 43 to 65 revertants/plate and 24 to 49 revertants/plate for the assays with and without S9, respectively (Figure 1).

Direct-acting mutagenicity—Many NPAHs are direct-acting mutagens, independent of metabolic activation³². Figure 1A shows the means and standard errors of the direct-acting mutagenicity of the various exposure extracts. The direct-acting mutagenicity increased the most after NO₃/N₂O₅ exposure, particularly for BkF-d₁₂. For all of the PAHs tested, the NO₃/N₂O₅ exposure resulted in 6- to 432-fold increase in the direct-acting mutagenicity. The sharp increase in the direct-acting mutagenicity of the NO₃/N₂O₅ exposed BkF-d₁₂ (432-fold) extract may correspond to the formation of the di-NO₂-BkF-d₁₀ products. The dose-response profiles of two non-deuterated NO₂-BkF standards indicated that 3,7-NO₂-BkF is a strong direct-acting mutagen, whereas 7-NO₂-BkF is not (Figure SI.7A). Higher mutagenic activities of di-NO₂-PAH-d₁₀ products, in comparison to mono-nitro isomers, were reported for dinitropyrenes in which their direct-acting mutagenicity, in TA98, was 272- to 467-fold higher than that of 1-nitropyrene.³³

The increases in the direct-acting mutagenicity of BaP-d₁₂ were less pronounced after exposure to NO₂ and OH radicals, compared to the NO₃/N₂O₅ exposure where the directacting mutagenicity increased by 43-fold (Figure 1A). This sharp increase was due to the formation of 1- and 3-NO₂-BaP-d₁₁ products, rather than the formation of 6-NO₂-BaP-d₁₁ because 6-NO₂-BaP-d₁₁ contains a nitro group perpendicular to the aromatic moiety.³⁴ Previous studies suggested that NPAHs with a perpendicular orientation of the NO₂ group relative to the aromatic plane, have a high first half-wave reduction potential, which restricts the nitro-reduction process by bacteria.^{33, 34} A mixture of 1-NO₂-BaP and 3-NO₂-BaP was previously found to be 2.5 fold more mutagenic with TA98, than 6-NO₂-BaP.⁸ Some studies have reported that 1- and 3-NO₂-BaP were direct-acting mutagens in a Salmonella assay, but 6-NBaP was not.^{35, 36} In a more recent study, 1- and 3-NO₂-BaP induced less than 1 revertant/nmol.³³ However, the direct-acting mutagenicity of the NO₂ exposed BaP-d₁₂ extract was surprisingly low given that the same mono-NO₂-BaP-d₁₁ products were measured as in the NO₃/N₂O₅ exposure (Figures 1A and SI.1A) and the percent NPAH

For BghiP-d₁₂, the direct-acting mutagenicity of the NO₂ exposed extract was not significantly different from the unexposed extract. This finding was consistent with the chemical analysis which showed insignificant NPAH formation after the NO₂ exposure. However, there were 97 and 12-fold increases in the direct-acting mutagenicity after BghiP- d_{12} was exposed to NO₃/N₂O₅ and OH radicals, respectively (Figure 1A), corresponding to the formation of mono-NO₂-BghiP- d_{11} products. Of the three identified mono-NO₂-BghiP- d_{11} products, 7-NO₂-BghiP- d_{11} is expected to contribute the least to the direct-acting mutagenicity due to the NO₂ orientation (Table SI.4). Dose-response profiles of non-deuterated 5-NO₂-BghiP and 7-NO₂-BghiP standards showed that both were non-mutagenic (< 1 rev/nmol) (Figure SI.7).

Changes in direct-acting mutagenicity of DaiP-d₁₄ and DalP with the different exposures were less pronounced than the other PAHs tested (Figure 1A), suggesting that the single nitro product formed did not exhibit strong direct-acting mutagenicity. The orientation of nitro groups in both 5-NO₂-DaiP-d₁₄ and 6-NO₂-DalP, identified as the major products from all exposures, are nearly perpendicular to the aromatic plane (Table SI.4) and may cause these products to be less mutagenic.

Indirect-acting mutagenicity—Unsubstituted PAHs are known to be indirect-acting mutagens which require metabolic activation to express mutagenicity and unreacted parent PAHs may contribute to the indirect-acting mutagenicity of exposed extracts. In addition, not all NPAHs are direct-acting mutagens. For example, 6-NO2-BaP was previously found to be an indirect-acting mutagen^{36, 37} and some NPAHs, including 1-NO₂-BaP and 3-NO₂-BaP, exhibit both direct- and indirect-acting mutagenicity.³⁶ As shown in Figure 1B, the overall indirect-acting mutagenicity profile was similar to the directing-acting mutagenicity profile, with increased indirect-acting mutagenicity after the NO₃/N₂O₅ exposures. This shows that NO₃/N₂O₅ are not only strong oxidants in transforming PAHs to NPAHs, but also in forming potential direct and indirect-acting mutagens. In particular, BaP-d₁₂, BkFd₁₂, and BghiP-d₁₂ were degraded to both strong direct-acting and strong indirect-acting mutagenic products. BkF-d₁₂ and BghiP-d₁₂ were the only two compounds that induced indirect-acting mutagenic activities significantly higher than the background after OH radical exposure (Figure 1B and Figure SI.8B). Dose-response profiles of non-deuterated 7-NO₂-BkF and 3,7-NO₂-BkF standards showed that the 3,7-NO₂-BkF exhibited both directand indirect-acting mutagenicity (96 and 513 rev/nmol, respectively), while 7-NO₂-BkF was not mutagenic in either assay (Figure SI.7). This implies that the presence of the five di-NO₂-BkF-d₁₁ products in the NO₃/N₂O₅ exposed extract contributed significantly to the direct-acting mutagenicity, as well as the indirect-acting mutagenicity. On the other hand, 5-NO₂-BghiP was mutagenic to TA98 with S9 (27 rev/nmol), while 7-NO₂-BghiP was not (Figure SI.7).

Deuterium Isotope Effect on Mutagenicity—The results from the deuterium isotope effect mutagenicity studies of BaP/BaP-d₁₂, 6-NO₂-BaP/6-NO₂-BaP-d₁₁, PYR/PYR-d₁₀ and

1-NO₂-PYR/1-NO₂-PYR-d₉ are shown in Figures SI.9A-D and Figures SI.10A-D. ANOVA analysis was carried out to determine the statistical significance of differences between deuterated and nondeuterated pairs of PAHs. There was no statistically significant deuterium isotope effect (ANOVA, P > 0.05) for the parent BaP/BaP-d₁₂ and PYR/PYR-d₁₀ in the direct acting mutagenicity assay (Figures SI.9A and SI.10A). However, a statistically significant deuterium isotope effect (ANOVA, P < 0.05) was observed for 6-NO₂-BaP/6-NO₂-BaP-d₁₁ and 1-NO₂-PYR/1-NO₂-PYR-d₉ (Figures SI.9C and SI.10C) in the direct acting mutagenicity assay. While 6-NO2-BaP exhibited a weak direct-acting mutagenicity, the activity of $6-NO_2-BaP-d_{11}$ was comparable to the background response (Figure SI.9C). GC/MS analysis of the 6-NO₂-BaP standard, with both EI and NCI, showed no contamination of 3- and/or 1-NO₂-BaP. However, both 1-NO₂-PYR and 1-NO₂-PYR-d₉ were direct acting mutagens. The difference in the magnitudes of the deuterium isotope effect on the direct-acting mutagenicity of 1-NO₂-PYR/1-NO₂-PYR-d₉ and 6-NO₂-BaP/6-NO₂-BaP-d₁₁ cannot be explained by this study alone. Additional studies on other NPAHs and/or the use of different bacterial strains may help to understand the difference in the metabolic pathways.

In the indirect acting mutagenicity assay, no statistically significant deuterium isotope effect was observed for the parent BaP/BaP-d₁₂ and PYR/PYR-d₁₀ (ANOVA, P > 0.05) (Figure SI.9B and SI.10B). However, a statistically significant deuterium isotope effect was observed for 6-NO₂-BaP/6-NO₂-BaP-d₁₁ and 1-NO₂-PYR/1-NO₂-PYR-d₉ in the indirect acting mutagenicity assay (ANOVA, P < 0.05) and substitution of deuterium isotope effect study suggested that substitution of deuterium for hydrogen lowered the direct and indirect acting mutagenicity of NPAHs and may result in an underestimation of the mutagencity of the novel NPAHs identified in this study. Further discussion is provided in the SI.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Mean (\pm standard error) of A) direct-acting and B) indirect-acting mutagenicities (revertants/plate) of filter extracts. All extracts were tested in triplicate for mutagenic activity.



Scheme 1.

General mechanism for the nitration of PAHs via gas-phase reaction with OH radical.

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Table 1

Free energies (Grxn) of OH-PAH adducts calculated using density functional theory (B3LYP) and the 6-31G(d) basis set, list of NPAHs measured in the laboratory studies, and whether or not the NPAH have been to date identified in the environment. The NPAH isomers are listed in order of predicted expected abundance.

PAH Studied	Numbering Scheme	Predicted OH-PAH- Adduct G _{rxn} (Kcal/mol)	NPAH products identified in laboratory experiments	Laboratory exposures NPAH were identified in [±]	Previously detected in environment?
Benzo[a]pyrene	$\overset{9}{\overset{10}{\overset{11}{\overset{11}{\overset{12}{\overset{1}{\overset{12}{\overset{1}{\overset{12}{\overset{1}{1$	$\overset{-13.4}{\overset{-13.4}{\overset{-13.4}{\overset{-17.0}{\overset{-20.6}{\overset{-20.6}{\overset{-13.3}{\overset{-17.2}{\overset{-17.2}{\overset{-17.2}{\overset{-17.2}{\overset{-17.3}{\overset{-20.6}{\overset$	6-nitrobenzo[a]pyrene ψ 1-nitrobenzo[a]pyrene 3-nitrobenzo[a]pyrene	NO ₂ , NO ₃ /N ₂ O ₅ , OH NO ₂ , NO ₃ /N ₂ O ₅ , OH NO ₂ , NO ₃ /N ₂ O ₅ , OH	Y ^{17, 19, 20, 38} N N
Benzo[k]fluoranthene	$9 \frac{10}{8} \frac{11}{7} \frac{12}{6} \frac{1}{6} \frac{2}{5}$	-19.5 -10.8 -11.8 -17.9	3-nitrobenzo[k]fluoranthene * 7-nitrobenzo[k]fluoranthene $^{\theta}$ 8-nitrobenzo[k]fluoranthene * 1-nitrobenzo[k]fluoranthene * 9-nitrobenzo[k]fluoranthene * 3,7-dinitrobenzo[k]fluoranthene *	NO ₂ , NO ₃ /N ₂ O ₅ , OH NO ₂ , NO ₃ /N ₂ O ₅ , OH NO ₃ /N ₂ O ₅ , OH NO ₃ /N ₂ O ₅ NO ₃ /N ₂ O ₅ NO ₃ /N ₂ O ₅ NO ₃ /N ₂ O ₅	Z Z Z Z Z Z Z
Benzo[ghi]perylene	9 9 6 8 4 4 4 4 5 3 4 4 4 3 3 4 4 4 4 3 3 4 4 4 4 4 4 4 4 4 4	-118 -141 -157 -156 -61	5-nitrobenzolghi]perylene $ heta$ 7-nitrobenzolghi]perylene $ heta$ 4-nitrobenzolghi]perylene *	NO ₃ /N ₂ O5, OH NO ₃ /N ₂ O5 NO ₃ /N ₂ O5	ZZZ
Dibenzo[a,i]pyrene	$[1]{(1)}{(1)}{(1)}{(1)}{(1)}{(1)}{(1)}{(1)}$	-13.8 -13.9 -0.0 -13.8 -13.9 -0.0 -14.4 -16.1 -16.1 -23.3 -23.3 -23.3 -16.1 -23.3 -2	5-nitrodibenzo[a,i]pyrene*	NO2, NO3/N2O5	z
Dibenzo[a,l]pyrene	$\overset{12}{\overset{12}{\overset{0}{\overset{0}{\overset{0}{\overset{0}{\overset{0}{\overset{0}{\overset{0}{$	$\begin{array}{c} \begin{array}{c} -0.3 & -10.8 \\ -0.3 & -11.6 \\ -7.9 & -11.2 \\ -14.3 & -1.2 \\ -14.3 & -1.2 \\ -11.3 & -2.9 & -16.6 \end{array}$	6-nitrodibenzo[a,l]pyrene*	NO ₂ , NO ₃ /N ₂ O ₅ , OH	Z

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 $\psi^{\rm w}$ verified with deuterated standard.

 θ verified with non-deuterated standard.

 $^\pm\mathrm{Perdeuterated}$ forms were measured in the experiments.

* Not verified, no standards available.

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