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Author Chief Elk, Jackson

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UNIVERSITY OF CALIFORNIA SANTA CRUZ

$\beta\text{-}\mathrm{CYCLODEXTRIN}$ AT THE WATER/1-BROMOBUTANE INTERFACE: MOLECULAR INSIGHT INTO REVERSE PHASE TRANSFER

CATALYSIS

A thesis presented in partial fulfillment

of the requirements for the degree of

Master of Science

 in

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by Jackson Chief Elk

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The thesis of Jackson Chief Elk is

approved:

Professor Ilan Benjamin, chair

Professor Jin Zhang

Professor Joshua Deutsch

Tyrus Miller

Vice Provost and Dean of Graduate Studies

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ABSTRACT

Jackson Chief Elk

$\beta\text{-}\mathrm{CYCLODEXTRIN}$ AT THE WATER/1-BROMOBUTANE INTERFACE: MOLECULAR INSIGHT INTO REVERSE PHASE TRANSFER CATALYSIS

Molecular insight into the role of β -cyclodextrin (β CD) as a phase transfer catalyst at the liquid/liquid interface is obtained by molecular dynamics simulations of the structure and dynamics of β -cyclodextrin (β CD) adsorbed at the interface between water and 1-bromobutane. In particular, we consider the structure and dynamics of the water and bromobutane molecules inside the β CD cavity and compare them with the behavior when β CD is dissolved in bulk water. β CD is preferentially oriented at the interface, with the cavity opening along the interface normal. While in bulk water the cavity includes 6-8 water molecules that are relatively mobile with short residence time, at the interface the cavity is mostly dehydrated and includes a single bromobutane molecule. This inclusion complex is stable in bulk water. The implication of this behavior for reverse phase transfer catalysis is discussed.

1 Introduction

There is considerable interest in the use of cyclodextrins (CD) as hosts for carrying out chemical reactions in solution for a variety of applications¹⁻³. The interior of these cyclic oligosaccharide/polyalcohols, made of n D-glucose monomers (n = 6-9), consists of a hydrophobic ring of C-H groups. It enables the stabilization of a hydrophobic reactant in water, while the exterior hydroxyl groups make the CDs somewhat soluble in water (0.016M at 298K for β CD with n = 7). Specifically, β CD is a distorted, cylindrically shaped object (internal diameter about 0.7nm), with one opening slightly larger than the other. (See Fig. 1)

b



а

Fig. 1. The molecular structure (a) and the dimensions (b) of the β -cyclodextrin molecule.

A specific application of CDs, which is related to the topic of this work, is their increased usage as inverse phase transfer catalysts $(IPTC)^{4-9}$. In this case, a hydrophobic reagent is transferred from the organic phase to the aqueous phase across the water/organic liquid interface, and the reaction takes

place either in bulk water or at the aqueous-side of the interface. This is essentially the reverse process of using crown ethers as normal phase transfer catalysts, a process which has received more attention $^{10-11}$. The use of CDs as IPTC at the liquid/water interface provides exciting new opportunities for green organic synthesis, while also raising fundamental questions in the areas of heterogeneous catalysis, host-guest chemistry and reactions in confined geometries. For example, β CD has been used as an IPTC in the SN2 reaction of 1-bromo-octane with CN⁻, I⁻ and SCN⁻ at the water/1-bromo-alkane interface¹². The reaction rate was significantly enhanced relative to the rate at the interface without the catalyst, and was much faster than when α CD (n = 6) is used. However, the rate is slower than when the reaction takes place with a quaternary ammonium ion catalyst (in the organic phase). It has been suggested that the water hydration of the nucleophile plays a role in slowing this reaction down, but it is unclear whether this is water that resides inside or outside the cavity. Other examples of reactions studied under conditions of IPTC include the hydroformylation of olefins¹³, Wacker oxidation of olefins¹⁴, the hydrogenation of aldehydes¹⁵, and the isomerization reaction of 4-allylanisole¹⁶.

X-ray studies of β CD crystals and the molecular dynamics simulations of aqueous solutions of β CD show that some water molecules (possibly as many as 7) are located inside the cavity¹⁷⁻¹⁹. These water molecules will influence the binding of the substrate to the cavity, since all or some must be released to enable binding. If any water molecules remain while the guest molecule is in the cavity, they are likely to influence the reaction rate in a way similar to the retarding effect of water on the nucleophilic attack carried out in bulk organic solvents²⁰. Indeed, a recent MD study suggests an effective dielectric constant in the range of 3-8 for the cavity, depending on the probes orientation²¹. Several molecular dynamics simulations of inclusion complexes (in homogeneous solutions) have been published, shedding further light on the nature of the cavity²²⁻²⁷.

As a preliminary step for understanding the role of β CD as an IPTC, it is important to characterize its behavior at the liquid/liquid interface and, in particular, the interplay between water and the organic solvent structure and dynamics at the liquid/liquid interface. While αCD and βCD are not surface-active at the air/water interface, they form surfactants as inclusion complexes with linear oil molecules at the oil-water interface, as was recently demonstrated experimentally²⁸. Molecular dynamics studies of β CD at the water/chloroform and the water/liquid hydrocarbon interfaces $^{22-23}$ suggest that despite its solubility in water, β CD strongly adsorbs at the interface and that this adsorption is key to its catalytic activity in the two-phase hydroformylation reaction of olefins. Since the interior of the cavity is hydrophobic, there is a competition between the hydrophobic substrate and the organic solvent molecules to enter the cavity, and the simulations indeed observed one chloroform molecule inside the cavity complex at the water/chloroform interface²². Furthermore, a key step in the ability of β CD to catalyze a reaction is its acting as a receptor for a hydrophobic reactant that is residing in the organic phase. The complex can then be transferred to the bulk aqueous phase, where it can react with a hydrophilic reactant. (If the hydrophilic reactant has an appreciable surface concentration, the reaction can take place at the interface). The abovementioned simulations show no strong orientational preference regarding the opening of the cavity, but clearly the instantaneous orientation coupled with normal density fluctuations at the interface must be important for a successful insertion of the reactant. Of course, the size of the reactant in relation to the size of the cavity is of prime importance, but in addition, because no covalent bonds are formed or broken in this process, one possible driving force is the release of water molecules from the low polarity cavity to the outside pool, where they can form new hydrogen bonds. This process could also be coupled to surface water fluctuations.

In order to gain molecular insight into these processes, we consider in this paper the structure and dynamics of β CD adsorbed at the interface between water and 1-bromobutane (BrBut). The choice of BrBut is motivated by the important feature of the experimental system in which the solvent is acting as a substrate for an SN2 reaction with a suitable nucleophile (to be considered in future work). We pay particular attention to the structure and dynamics of the water and BrBut molecules inside the β CD cavity and compare them with the behavior when β CD is in bulk water.

The rest of the paper is organized as follows. In section II, we describe the systems studied and the methodology used. In section III, we describe and discuss the results, followed by conclusions in section IV.

2 SYSTEM AND METHODS

MD simulations were performed using version 4.54 of GROMACS²⁹. The simulation box consists of one β CD, 4559 water molecules and 793 1-bromobutane (BrBut) molecules in a box of dimension 5.43 nm x 5.43 nm x10.80 nm. Periodic boundary conditions are applied in all directions resulting in two liquid/liquid interfaces near Z = 5.25 nm and near Z = 0. The temperature and the pressure are held constant at 298.15 K and 1 atm utilizing the V-rescale thermostat (coupling constant of 0.1 ps) and Parrinello Rahman barostat (coupling constant of 2 ps). The equations of motion are integrated using the leapfrog algorithm with a time step of 2 fs. The smooth version of the particle mesh Ewald (PME) is used to treat the long-range electrostatics (grid spacing of 0.16 PME order of 4, real-space cutoff of 1nm).

The bonded and nonbonded β CD force field parameters were previously derived to be consistent with the AMBER99SB-ILDN force field³⁰. A rigid TIP3P water model was used. For BrBut, bonded and nonbonded terms were generated using the python program ACPYE³¹, and further partial charge optimizations were performed using the Yasara AutoSMILES server^{32–33}. The alkane parameters were tested at liquid/liquid interfaces by Brooks and coworkers³⁴. The simulated bulk density of BrBut in contact with water agrees very well with the experimental value (see below).

The intermolecular potential energy functions used here are pair-wise additive, with the polarizable nature of the solvent and the β CD being effectively included by the proper adjustment of the Lennard-Jones parameters and the point charges. While many-body polarizable effects have been shown to be important for ions at hydrophobic interfaces $^{35-38}$ and could be important here as well, they are unlikely to significantly alter our main conclusions.

The β CD is inserted near Z = 5 nm, and the system is equilibrated for 600 ps, followed by a 73 ns trajectory with all atomic configurations saved every 0.5 ps. As a reference, a 50 ns simulation was also performed of a single β CD in bulk water using 1285 water molecules in a cubic box of size 3.43³ nm. An additional 50 ns simulation of an inclusion complex made of a single BrBut molecule inside the β CD cavity in bulk water was carried out, as will be discussed below. The saved configurations from these simulations were used to compute structural and dynamical information, as detailed below. The calculation of water molecules orientational correlation functions requires shorter spaced configurations, and for this a 1 ns trajectory, where configurations are saved every 5 fs (using an integration time step of 1 fs), was also run.

3 RESULTS AND DISCUSSION

The density profiles of water (oxygen site) and the BrBut center of mass, together with the probability distribution of the β CD center of mass, are shown in Fig. 2. The Gibbs dividing surface (where the water density is approximately 50 % of the bulk value) is near Z = 5 nm. The β CD center of mass probability distribution reflects the observation that this solute remains adsorbed at the liquid/liquid interface for the duration of the 73 ns trajectory and is thus consistent with the assumption that despite its solubility in water, it is surface active. During the simulation, the β CD samples the entire 1.5 nm width of the interface, while freely moving in the plane parallel to the interface, sampling the full width of the lamella. The presence of β CD at the interface slightly increases its width. This can be inferred by comparing the density profile of water at the two liquid/liquid interfaces in the simulation box.



Fig. 2. Density profiles (relative to bulk solvent density, left axis) of water oxygen (blue line), BrBut center of mass (green line), and the probability distribution of the β CD center of mass (red line, right axis).

Since our focus is on the cavity region of β CD, in order to clearly define this region, we show in Fig. 3 the water (O)- β CD (center of mass) radial distribution function when the β CD is at the liquid/liquid interface and in bulk water. In bulk water, the peak near 0.2 nm represents water molecules trapped in the cavity. The peak is shifted away from the r = 0 central position by 0.2 nm, which is in agreement with neutron-diffraction studies³⁹. This suggests that the water molecules are more likely to wet the inside walls of the cavity, rather than accumulate in the center. The tail beginning at 0.4 nm and continuing with the plateau up to about 0.6 nm represents, in part, water molecules at both mouths of the cylindrically shaped cavity. The peak near 0.9 nm represents water molecules hydrating the OH groups on the outside of β CD, with a second hydration shell near 1.2 nm. The integral of the radial density:

$$\mathbf{n}(\mathbf{r}) = 4\pi\rho_{BW} \int_0^r \mathbf{x}^2 \mathbf{g}(\mathbf{x}) \, \mathrm{d}\mathbf{x} \quad (1)$$

where $\rho_{BW} = 33.43 \text{ nm}^{-3}$ is the density of bulk water at 298K, gives the number of water molecules between 0 and **r** and is shown as a red line (corresponding values on the right axis). This integral indicates that if we define the cavity region to be the sphere centered at zero that reaches up to but does not include the water molecules at the mouth of the cavity, then there are 7 water molecules inside the cavity. This value is in reasonable agreement with experimental data⁴⁰⁻⁴² and other simulations⁴³⁻⁴⁴. For example, Cezard et al have compared the performance of several force fields for the structure of several CDs and their derivatives in water¹⁸. Depending on the choice of force fields, the number of water molecules inside the cavity ranges from 6.5 to 8.2. Their q4MD-CD force field seems to offer the best agreement with experimental data and with the results reported here.



Fig. 3. Water(O)-βCD center of mass radial distribution function in bulk water (A) and at the water/BrBut interface (B). The red lines correspond to the integrated number of water molecules (right axis).

Panel B shows the corresponding results when the β CD is adsorbed at the water/BrBut interface from the 73 ns simulation. While the main features observed in the bulk (peak at 0.2 nm, the plateau region and peaks at 0.9 nm and 1.2 nm) are present here, their magnitude is much reduced. The integrated density shown in red suggests that the cavity is occupied on average by only 0.35 water molecules (or one water molecule during an average total 1/3 of the simulation time). Note also that the asymptotic value reflects the fact that the center of mass of β CD is near the Gibbs dividing surface, so approximately

50 % of a spherical shell (with radius i 1.5 nm) centered at the β CD center of mass contains water molecules.

Fig. 4 shows the radial distribution function calculated between the Br atom of BrBut and the center of mass of β CD. Our focus on the Br atom of the molecule is due to the fact that this is the reaction center of interest. In the absence of water molecules, using the above definition of the cavity region of β CD, this region is occupied by an average of 1.33 BrBut molecules. This number is slightly greater than the number one expects from the relative size of the water and the BrBut solvent: (which gives 7.0/6.0 = 1.17 BrBut molecules). This represents the affinity of the hydrophobic cavity of β CD to the hydrophobic solvent and is consistent with the relatively sharp peak near 0.2 nm.



Fig. 4. Brombutane(BrBut)- β CD center of mass radial distribution function at the water/BrBut interface. The red line corresponds to the integrated number of BrBut molecules (right axis).

A different perspective regarding the composition of the β CD cavity is provided in Fig. 5. This figure shows that the content of the cavity region experiences large fluctuations. While the cavity includes on average 7 water molecules when β CD is in bulk water, there is a significant probability of having 6 or 8 water molecules present in the cavity. When β CD is at the interface, the cavity is mostly empty of water, but there is a non-negligible probability of finding one water molecule inside the cavity. The probability of finding up to 7 water molecules inside the cavity is not strictly zero. On the other hand, there is a significant probability of finding one or two BrBut molecules inside the cavity when β CD is at the interface (bottom left panel). The two-dimensional joint probability distribution (bottom right panel) shows that one water molecule is more likely (P = 0.1) to be found inside the cavity if it is simultaneously occupied by one BrBut molecule than when there are no BrBut molecules (Pi 0.01). This is due to the single water association with the Br atom, but it must be stressed that the probability that the cavity is empty is P i 0.001. Thus, when β CD is adsorbed at the liquid/liquid interface, the water content is nearly fully replaced by the organic solvent, in contrast with the 50 % distribution of the surrounding medium, reflecting the hydrophobic nature of the cavity. This has an important implication for the ability of βCD to serve as a carrier for a hydrophobic substrate for a nucleophilic attack by a water-soluble nucleophile. The association of a single water molecule with the Br atom in the cavity can have implications for lowering the rate of a nucleophilic attack. Interestingly, a similar affinity for the (somewhat) hydrophobic solvent was observed by Laria and coworkers for β CD in water/DMSO 50:50 mixtures⁴⁵. Because of a different definition of the cavity region (cylindrical with 20 % smaller volume than our definition), the cavity contains on average 5 water molecules when the β CD is in bulk water and 1 DMSO molecule when in bulk DMSO. In the 50:50 mixture, the cavity contains mostly one DMSO molecule (probability = 0.7) and infrequently 1 water molecule (probability = 0.2).



Fig. 5. Top panels: Probability histograms of finding n_W water molecules inside the β CD cavity region when β CD is dissolved in bulk water (left) and when the β CD is at the water/BrBut interface (right panel). Bottom left: Probability histograms of finding n_B BrBut molecules inside the β CD cavity region. Bottom right: Joint probability histogram of simultaneously finding n_W water molecules and n_B BrBut molecules inside the cavity.

The dynamics of solvent exchange between the cavity and the surrounding medium can be examined by calculating the residence time correlation function. We define a dynamical variable $h_i(t) = 1$ when a solvent molecule i is inside the cavity at time t, given that it was inside the cavity at t = 0. The residence time correlation function C(t) is defined as the equilibrium time correlation function:

$$C(t) = \frac{1}{N} \sum_{i=1}^{N} \frac{\langle h_i(t)h_i(0)\rangle}{\langle h_i(0)h_i(0)\rangle} \quad (2)$$

where the sum is over all the solvent molecules, and the ensemble average is over all time origins. A plot of Eq. 2 for CD in bulk water and at the interface is given in Fig. 6. This figure shows that when β CD is in bulk water, the cavitys water molecules exchange quite rapidly with surrounding water molecules, with an average decay time of C(t) about 50 ps. Dielectric relaxation measurements give an exchange rate of $20-25 \text{ ps}^{46}$. A time delay τ introduced into the definition of the residence time correlation function g molecules that have exited for a time period smaller than τ . An increase in τ increases the residence time because molecules that reenter the cavity within this time period are considered to have never left. Our average residence time is in reasonable agreement with that of Cezard et al^{18} and of Rodriguez et al^{45} . Tidemand et al calculated much shorter residence time (7.8 ps), but their cavity is defined to be a sphere of radius 0.5 nm, which corresponds to a larger water pool (10 water molecules), so it is probable that their rate is dominated by rapid movement of water molecules near the mouth of the cavity. It is interesting to note that the average time for a TIP3P water molecule to diffuse $(D = 6x10-3 \text{ nm}^2/\text{ps})$ across the 0.8 nm cavity (from one mouth to the opposite, along the diameter of our spherically defined cavity) is $\ell_2/2D$. However, a significant number of trajectories that contribute to the relaxation

of C(t) involve water molecules that rapidly exchange across one end of the cavity. If we chose $\tau = 10$ ps, our average relaxation time increases to 75 ps, likely representing trajectories that transverse the cavity and thus correspond to a slower than bulk diffusion process.

When β CD is at the interface, the much smaller water content of the cavity (mostly just a single water molecule) is longer-lived (180 ps) while the one or two BrBut molecules are much longer-lived. A short transient (reflecting a small percentage of molecules leaving and reentering at the mouth of the cavity) is followed by a very slow exponential decay with a time constant of 3 ns. The slow exchange of BrBut compared with the more rapid water exchange suggests a relatively stable inclusion complex that can live long enough to allow for an attacking nucleophile to complete a reaction.



Fig. 6. Water cavity residence time correlation functions (Eq. 2). The lines labeled BW, IW are for water molecules when β CD is in bulk water and at

the interface, respectively. The line labeled IB is for BrBut residence when the β CD is at the interface.

As the β CD diffuses away from the interface and carries the single BrBut molecule, it is interesting to consider the stability of this complex. We have performed a separate 50ns-simulation of β CD with a single BrBut in its cavity. As shown in Fig. 7, the BrBut remained inside the cavity for the entire 50ns-trajectory. Unlike the situation at the interface, there is a significant probability that the cavity also has water molecules co-existing with the single BrBut molecule. We find that the cavity includes 0, 1, 2 or 3 water molecules with probabilities 0.41, 0.36, 0.16 and 0.06, respectively.



Fig. 7. The distance between the Br atom of BrBut and the center of the β CD cavity during a 50 ns trajectory of an inclusion complex in bulk water.

Since a key condition for the ability of BrBut to enter the β CD cavity is the mobility of water molecules, it is interesting to examine the rotational dynamics of the water molecules in the cavity. Let di(t) be the unit vector along the electric dipole of the ith water molecule at time t. We define the single molecule dipole autocorrelation function $C_W(t)$ for water molecules in the cavity of β CD as follows:

$$C_W(t) = \frac{1}{N} \sum_{i=1}^{N} \langle d_i(t) d_i(0) \rangle \quad (3)$$

where the sum is over all the water molecules that remain in the cavity during the time period t, and the ensemble average is over all time origins. Fig. 8 compares the water dipole autocorrelation function for cavity water molecules and for bulk water (far away from the β CD). We find that the cavity water average reorientation time (defined as $\int_0^{\infty} C_W(t)dt$) is 7.5 ps compared with 2.3 ps for bulk water. These results are similar to those found for water near hydrophobic surfaces and in the interior of micelles⁴⁷. The slowing down of water molecules inside a hydrophobic cavity can be explained using the jump mechanism suggested by Laage and Hynes⁴⁸. In this mechanism, bulk water reorientation follows concertedly with the breaking of a hydrogen bond with an over-coordinated first-shell neighbor to form a hydrogen bond with a second-shell water molecule. Inside a hydrophobic cavity, where only a few water molecules are available to form a hydrogen bond, the extra stability of this bond and the lack of available neighbors make the reorientation dynamics slower.



Fig. 8. Water molecule dipole time rotational correlation function for water molecules in the cavity of β CD (red line) compared with bulk water (blue line).

Clearly, for the BrBut to enter the cavity while the β CD is adsorbed at the interface, the orientation of the main axis along the cylindrical cavity with respect to the interface and the reorientation dynamics of this vector are of interest. Denoting by the unit vector in this direction (defined using the normal to the plane made of three oxygen atoms, see Fig. 11), Fig. 9 shows the probability distribution of cos, where is the angle between and the normal to the interface, calculated in different 0.2 nm-wide slabs parallel to the interface. This figure shows that the β CD is oriented with the opening of the cavity pointing towards the interface (either the narrow or the wide ends with nearly equal probabilities). The orientational preference is largely gone when the β CDs center of mass is about 0.6 nm away from the Gibbs surface.



Fig. 9. Probability distributions for the cosine angle between the main axis of β CD and the normal to the water-BrBut interface in different slabs. The Gibbs surface is at 5.0 nm.

Fig. 10 shows the equilibrium time correlation function (of course, $\ell(0)\ell(0) = 1$)

$$C_{\ell}(t) = \langle \ \vec{\ell}(t) \cdot \vec{\ell}(0) \ \rangle, \quad (4)$$

calculated using the entire 73 ns trajectory. The β CD exhibits reorientation dynamics on two time scales: the wobbling of the main axis is responsible for the initial relatively rapid ≈ 80 ps decay, followed by the slower diffusion of the main axis with a 1.3 ns time constant. This is slower than the reorientation dynamics in the bulk, where the two components are ≈ 35 ps and ≈ 300 ps. Since the surface reorientation time is significantly slower than the time scale for the BrBut to diffuse the few tenths of a nm required to enter the cavity, we conclude that structural and dynamical considerations promote the easy insertion of the BrBut molecules into the cavity.



Fig. 10. Equilibrium reorientational time correlation function of the main axis of β CD at the water-BrBut interface (red line, labeled S) compared with that in bulk water (blue line, labeled B). (Eq. 4)



Fig. 11. Graphical description of vector $\vec{\ell}$

CONCLUSIONS

Our simulations show that β CD is adsorbed at the water/1-bromobutane interface and oriented with the opening of the cavity along the interface normal. In bulk water, the cavity includes 6-8 water molecules with a short residence time (50 ps), in good agreement with experiments. At the interface, the cavity most likely includes a single bromobutane molecule with a relatively long residence time (3 ns) and no appreciable water molecules. This inclusion complex is stable in bulk water on the 50 ns time scale, but has a 60 % chance of simultaneously including 1-3 water molecules. These results suggest that β CD could act as a reverse phase transfer catalyst for accelerating a nucleophilic attack in bulk water, but the rate may be lower (than in bulk organic liquid) due to the co-existence of water molecules in the cavity.

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