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THE ""RADIAL KINETIC ENERGY"" TERM IN THE SCHROEDINGER EQUATION FOR A CENTRAL FORCE

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Frank S. Crawford, Jr.

September 4, 1963

The "Radial Kinetic Energy" Term in the  
Schroedinger Equation for a Central Force

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ABSTRACT

We discuss the Hermitian operator  $p_r$  that corresponds to the radial component of linear momentum in the central-force problem. The purpose is purely pedagogical—i. e., we are slightly unhappy with the usual manner in which the term

$$-\left(\frac{\hbar^2}{2mr^2}\right) \frac{\partial}{\partial r} \left(r^2 \frac{\partial \psi}{\partial r}\right)$$

makes its entrance, in a derivation of the Schroedinger equation in spherical coordinates.

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INTRODUCTION

The Schrodinger equation for the stationary states  $\psi(\underline{r})$  of a single particle subject to a potential  $V(\underline{r})$  can be written in the form

$$H\psi(\underline{r}) = E\psi(\underline{r}).$$

The operator  $H$  is obtained from the classical Hamiltonian function

$$H = \frac{\underline{p}^2}{2m} + V(\underline{r})$$

by substituting operators for the dynamical variables  $\underline{r}$  and  $\underline{p}$ . The requirement that the eigenvalues  $E$  be real leads to the demand that the operator  $H$  be Hermitian, i.e. one demands

$$\int \psi^* H\psi d\tau = \int (H\psi)^* \psi d\tau, \tag{1}$$

where  $d\tau$  is the three-dimensional volume element, and the integral extends over all space.

If one is dealing with Cartesian coordinates one uses the operators

$$p_x = \frac{\hbar}{i} \frac{\partial}{\partial x} \quad (\text{etc., for } y \text{ and } z).$$

(That  $p_x$  is Hermitian is checked by replacing  $H$  in the left side of Eq. (1) by  $p_x$  and integrating once by parts, with  $\psi \rightarrow 0$  at infinity.)

Then one obtains the Hermitian operator

$$H = -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + V(x y z),$$

i. e. ,

$$H = -\frac{\hbar^2}{2m} \nabla^2 + V(x y z).$$

When one deals with a central potential

$$V(\underline{r}) = V(r),$$

one naturally uses spherical coordinates  $r$ ,  $\theta$ , and  $\phi$ . In most textbooks one proceeds now to obtain the Hermitian operator corresponding to the kinetic energy  $\underline{p}^2/2m$  by transforming the Laplacian operator  $\nabla^2$  [times  $(-\hbar^2/2m)$ ] from Cartesian to spherical coordinates. Then  $\underline{L}^2$ , the square of the Hermitian operator

$$\underline{L} = \underline{r} \times \underline{p} = \underline{r} \times \frac{\hbar}{i} \nabla,$$

is recognized in the "angular" part of the Laplacian, and one finds

$$H = -\frac{\hbar^2}{2m} \frac{1}{r^2} \frac{\partial}{\partial r} r^2 \frac{\partial}{\partial r} + \frac{\underline{L}^2}{2mr^2} + V(r).$$

In this form the "radial" part of the kinetic-energy operator is rather puzzling. It corresponds to the classical radial kinetic energy  $p_r^2/2m$ . But one does not easily recognize here the square of a Hermitian operator  $p_r$ , and the operator  $p_r$  is not usually discussed at all.<sup>1</sup> This can be mystifying to the student, and is therefore poor pedagogy.

## DERIVATION OF RADIAL MOMENTUM OPERATOR

A straightforward and elementary derivation will now be given, in which the Hermitian operator corresponding to the radial momentum  $p_r$  enters naturally.<sup>2</sup> At the same time the student is (lightly) exercised in the noncommutative algebra of operators, and finally obtains the radial kinetic-energy operator in its most useful form. All of this can be done with somewhat less algebra than is often expended in transforming the Laplacian to spherical coordinates.

For  $r \neq 0$  one can resolve the classical linear momentum  $\underline{p}$  into a radial component  $\hat{r} \cdot \underline{p} \equiv p_r$  and a transverse component that has the same magnitude (although not the same direction) as  $\hat{r} \times \underline{p}$ , where  $\hat{r}$  is the unit vector  $\underline{r}/r$ . Thus the kinetic energy can be written

$$\frac{p^2}{2m} = \frac{(\hat{r} \cdot \underline{p})^2}{2m} + \frac{(\hat{r} \times \underline{p})^2}{2m}.$$

The second term can be written

$$\frac{(\underline{r} \times \underline{p})^2}{2mr^2} \equiv \frac{\underline{L}^2}{2mr^2},$$

where  $\underline{L} \equiv \underline{r} \times \underline{p}$  is the angular momentum. One easily shows that the operator  $\underline{L} = \underline{r} \times (\hbar/i)\nabla$  is Hermitian, and so is  $\underline{L}^2$ . At this point one profitably studies the eigenfunction-eigenvalue problem for  $\underline{L}^2$  (and for  $L_z$ ) and finds

$$\underline{L}^2 Y_L^M(\theta, \phi) = \hbar^2 L(L+1) Y_L^M(\theta, \phi).$$

One then returns to the Schroedinger equation and writes

$$\psi(\underline{r}) = R(r) Y_L^M(\theta, \phi),$$



thus obtaining the "radial equation" for  $R(r)$ , namely

$$\left[ \frac{p_r^2}{2m} + \frac{\hbar^2 L(L+1)}{2mr^2} + V(r) \right] R(r) = ER(r). \quad (2)$$

It is now natural to look for the Hermitian operator corresponding to  $p_r$ . For the classical momentum  $\underline{p}$  we have  $p_r = \hat{r} \cdot \underline{p} = \underline{p} \cdot \hat{r}$ , analogous to the Cartesian component  $p_x = \hat{x} \cdot \underline{p} = \underline{p} \cdot \hat{x}$ . We now replace  $\underline{p}$  by the operator  $\underline{p} = (\hbar/i)\underline{\nabla}$ . In the Cartesian case we have  $p_x = \hat{x} \cdot (\hbar/i)\underline{\nabla} = (\hbar/i)\underline{\nabla} \cdot \hat{x} = (\hbar/i)(\partial/\partial x)$ . In the spherical case, since  $\hat{r}$  is not a fixed direction,  $\hat{r} \cdot (\hbar/i)\underline{\nabla}$  and  $(\hbar/i)\underline{\nabla} \cdot \hat{r}$  are not equal, and in fact neither  $\hat{r} \cdot \underline{p}$  nor  $\underline{p} \cdot \hat{r}$  is Hermitian, and therefore neither can represent  $p_r$ . In seeing why these operators are not Hermitian we will be naturally led to the correct Hermitian operator for  $p_r$ .

The Hermitian conjugate  $A^\dagger$  of an operator  $A$  is defined by the relation

$$\int \psi^* (A\psi) d\tau = \int (A^\dagger\psi)^* \psi d\tau. \quad (3)$$

If  $A^\dagger = A$  then  $A$  is said to be Hermitian, and one then immediately finds that the eigenvalues of  $A$  are real. A vector operator

$\underline{A} = A_x \hat{x} + A_y \hat{y} + A_z \hat{z}$  is Hermitian if its components are Hermitian.

Thus  $\hat{r} = \underline{r}/r$  and  $\underline{p} = (\hbar/i)\underline{\nabla}$  are easily seen to be Hermitian. If  $A$  and  $B$  are Hermitian operators, then  $(AB)^\dagger = B^\dagger A^\dagger = BA$ , as follows directly from the defining Eq. (3). Thus  $AB$  is not in general Hermitian (unless  $BA = AB$ ). However,  $AB + BA$  is Hermitian.

For vector Hermitian operators,  $(A_x B_x)^\dagger = B_x^\dagger A_x^\dagger = B_x A_x$ , etc.,

for  $y$  and  $z$ , so that  $(\underline{A} \cdot \underline{B})^\dagger = \underline{B} \cdot \underline{A}$ . Thus  $\underline{A} \cdot \underline{B}$  is not in general

Hermitian, but  $\underline{\underline{A}} \cdot \underline{\underline{B}} + \underline{\underline{B}} \cdot \underline{\underline{A}}$  is. Thus the Hermitian operator to be associated with  $p_r$  is clearly

$$p_r = \frac{1}{2} (\hat{r} \cdot \underline{\underline{p}} + \underline{\underline{p}} \cdot \hat{r}),$$

i. e.,

$$p_r = \frac{\hbar}{2i} (\hat{r} \cdot \underline{\underline{\nabla}} + \underline{\underline{\nabla}} \cdot \hat{r}). \quad (4)$$

This operator is easily evaluated in spherical coordinates. For clarity we include the operand wave function  $R(r)$ . We have for the first term in the parentheses of Eq. (4),

$$\hat{r} \cdot \underline{\underline{\nabla}} R = \frac{\partial}{\partial r} R.$$

The second term is

$$\begin{aligned} \underline{\underline{\nabla}} \cdot \hat{r} R &= \underline{\underline{\nabla}} \cdot \left( \frac{r}{r} R \right) \\ &= \frac{R}{r} (\underline{\underline{\nabla}} \cdot \underline{\underline{r}}) + R \underline{\underline{r}} \cdot \underline{\underline{\nabla}} \frac{1}{r} + \frac{1}{r} \underline{\underline{r}} \cdot \underline{\underline{\nabla}} R \\ &= \frac{R}{r} 3 + R r \left( -\frac{1}{r^2} \right) + \frac{1}{r} r \frac{\partial}{\partial r} R \\ &= \left( \frac{2}{r} + \frac{\partial}{\partial r} \right) R. \end{aligned}$$

Thus we have

$$\begin{aligned} (\hat{r} \cdot \underline{\underline{\nabla}} + \underline{\underline{\nabla}} \cdot \hat{r}) R &= 2 \left( \frac{\partial}{\partial r} + \frac{1}{r} \right) R \\ &= \frac{2}{r} \left( r \frac{\partial}{\partial r} + 1 \right) R \\ &= \frac{2}{r} \left( \frac{\partial}{\partial r} r \right) R. \end{aligned}$$

Finally we have from Eq. (4)

$$p_r = \frac{1}{r} \left( \frac{\hbar}{i} \frac{\partial}{\partial r} \right) r. \quad (5)$$

Then for  $p_r^2$  we have

$$p_r^2 = \left( \frac{1}{r} \frac{\hbar}{i} \frac{\partial}{\partial r} r \right) \left( \frac{1}{r} \frac{\hbar}{i} \frac{\partial}{\partial r} r \right),$$

i. e.,

$$p_r^2 = \frac{1}{r} \left( \frac{\hbar}{i} \frac{\partial}{\partial r} \right)^2 r.$$

The radial equation (1) becomes

$$\left[ \frac{1}{2m} \frac{1}{r} \left( \frac{\hbar}{i} \frac{\partial}{\partial r} \right)^2 r + \frac{L(L+1)\hbar^2}{2mr^2} + V(r) \right] R(r) = E R(r).$$

This is just the form one wishes for the radial kinetic-energy term; one sees by inspection that if one multiplies the radial equation by  $r$  and defines  $rR(r) \equiv u(r)$ , one has the useful "equivalent one-dimensional" form

$$\left[ \frac{1}{2m} \left( \frac{\hbar}{i} \frac{\partial}{\partial r} \right)^2 + \frac{L(L+1)\hbar^2}{2mr^2} + V(r) \right] u(r) = E u(r).$$

#### HERMITICITY OF RADIAL MOMENTUM OPERATOR

Next we check that  $p_r$  is indeed Hermitian. In doing so we gain more insight into the way in which  $u(r) = rR(r)$  enters naturally.

In spherical coordinates, with the separation  $\psi(\underline{r}) = R(r) Y_L^M(\theta, \phi)$ , the question of the Hermiticity of  $p_r$  is just the question

$$\int_0^\infty R^* (p_r R) r^2 dr \stackrel{?}{=} \int_0^\infty (p_r R)^* R r^2 dr. \quad (6)$$

Starting with the left-hand side of Eq. (6) (and setting  $\hbar = 1$  for convenience) we introduce  $u = rR$ ; we then integrate once by parts, demanding that  $u(r)$  vanish at  $r = 0$  and  $r = \infty$ . The minus sign introduced in the integration by parts is cancelled by the complex conjugation of  $i = \sqrt{-1}$ . Thus we have

$$\begin{aligned}
\int_0^{\infty} R^* (p_r R) r^2 dr &= \int_0^{\infty} R^* \left( \frac{1}{r} \frac{1}{i} \frac{\partial}{\partial r} r R \right) r^2 dr \\
&= \int_0^{\infty} u^* \left( \frac{1}{i} \frac{\partial}{\partial r} u \right) u dr \\
&= \frac{1}{i} \left[ |u(\infty)|^2 - |u(0)|^2 \right] - \frac{1}{i} \int_0^{\infty} \left( \frac{\partial u^*}{\partial r} \right) u dr \\
&= 0 + \int_0^{\infty} \left( \frac{1}{i} \frac{\partial}{\partial r} u \right)^* u dr \\
&= \int_0^{\infty} \left( \frac{1}{r} \frac{1}{i} \frac{\partial}{\partial r} r R \right)^* R r^2 dr \\
&= \int_0^{\infty} (p_r R)^* R r^2 dr,
\end{aligned}$$

and the answer to  $\stackrel{?}{=}$  in Eq. (6) is "yes."

This last demonstration is instructive in showing us how the operator  $(\hbar/i)(\partial/\partial r)$  is in a certain sense Hermitian with respect to the wave function  $u(r)$ , for which "the inverse-square law" (for a conserved flux) has been factored out in order to reduce the three-dimensional problem to an equivalent one-dimensional problem.

#### EIGENFUNCTIONS OF RADIAL MOMENTUM OPERATOR

Lastly we look at the eigenfunction-eigenvalue problem for  $p_r$ .

We have

$$p_r R(r) = p'_r R(r),$$

where  $p_r$  is given by Eq. (5) and  $p_r^1$  is the eigenvalue. That is, for  $r \neq 0$ ,

$$\left( \frac{1}{r} \frac{\hbar}{i} \frac{\partial}{\partial r} r \right) R = p_r^1 R,$$

i. e.,

$$\left( \frac{\hbar}{i} \frac{\partial}{\partial r} \right) u(r) = p_r^1 u(r),$$

where  $u = rR$ . This differential equation has the solutions

$$u = \exp(ikr) \quad (\text{outgoing wave})$$

and

$$u = \exp(-ikr) \quad (\text{incoming wave}),$$

where  $k$  is real and positive, and where

$$p_r^1 = +\hbar k \quad (\text{outgoing}), \quad \text{or} \quad -\hbar k \quad (\text{incoming}).$$

At first sight it may seem that we actually have not solved the eigenfunction-eigenvalue problem, because in the derivation following Eq. (6) we assumed  $u(r) \rightarrow 0$  at  $r \rightarrow 0$  and  $r \rightarrow \infty$  (in the step in which we integrated by parts), whereas our present solution,  $u = \exp(\pm ikr)$ , does not vanish at  $r = 0$  or at infinity. Nevertheless, the integrated term [in the derivation of Eq. (6)] still gives zero, because it has the same value at  $r = 0$  and at infinity, namely  $u^* u = 1$ . Thus  $u = \exp(\pm ikr)$  is an acceptable eigenfunction. Of course, these eigenfunctions are unnormalizable, in essentially the same way that the free-particle eigenfunctions of  $p_x$ , namely  $\exp(\pm ikx)$ , are unnormalizable.

From Eq. (2) we see that the eigenfunctions of  $p_r$  correspond to stationary states (definite energy) only for free particles

[ $V(r) = \text{constant}$ ] in an S state ( $L = 0$ ); otherwise, we may not replace  $p_r^2$  by a constant in Eq. (2). For free particles in an S state, flux conservation is satisfied if we have the linear combination

$$u(r) = \exp(-ikr) + \exp(ia) \exp(ikr).$$

There seems to be no compelling reason to require that  $\exp ia = -1$ , i. e. to require  $u(r) = 0$  at  $r = 0$ .<sup>4</sup>

From Eq. (2) we see that there is another special case for which a stationary state (definite E) may be simultaneously an eigenstate of  $p_r$ ; that can occur if  $V(r)$  is the rather peculiar function of  $r$  (and  $L$ ),

$$V(r) = - \frac{\hbar^2 L(L+1)}{2mr^2} .$$

## FOOTNOTES AND REFERENCES

\*Work done under the auspices of the U. S. Atomic Energy Commission.

1. See for instance David Bohm, Quantum Theory (Prentice-Hall, New York, 1951); L. I. Schiff, Quantum Mechanics (McGraw-Hill Book Co., New York, 1955); E. Merzbacher, Quantum Mechanics (John Wiley and Sons, Inc., New York, 1961); J. L. Powell and B. Crasemann, Quantum Mechanics (Addison-Wesley Publishing Co., Inc., Reading, Mass., 1961); V. Rojansky, Introductory Quantum Mechanics (Prentice-Hall, New York, 1946); and Linus Pauling and E. B. Wilson, Jr., Introduction to Quantum Mechanics (McGraw-Hill Book Co., Inc., New York, 1935).
2. The derivation of  $p_r$  given here is not found in the representative sample of popular texts listed in references 1 and 3. No attempt was made to search the vast literature of quantum mechanics to see whether a similar treatment has been given elsewhere. See reference 3, however.
3. P. Dirac [The Principles of Quantum Mechanics (Oxford University Press, 3rd ed., 1947), p. 152] introduces the operator  $p_r' = (\hbar/i)(\partial/\partial r)$  and then shows that  $p_r' - i\hbar r^{-1}$  is canonically conjugate to  $r$ . L. Landau and E. Lifshitz [Quantum Mechanics (Addison-Wesley Publishing Co., Inc., Reading, Mass., 1958), p. 108, footnote] mention without discussion the operator  $p_r$  of our Eq. (5). E. C. Kemble [The Fundamental Principles of Quantum Mechanics (McGraw-Hill Book Co., New York, 1937), pp. 297 and 335, footnote] discusses the unsatisfactory character of the operator  $(\hbar/i)(\partial/\partial r)$ .

4. For a discussion of boundary conditions at  $r = 0$ , see  
B. H. Armstrong and E. A. Power, Am. J. Phys. 31, 262  
(1963).



