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UNIVERSITY OF CALIFORNIA  
RIVERSIDE

The Influence of Landscape Position on Soil Respiration and Urban Microclimate

A Dissertation submitted in partial satisfaction  
of the requirements for the degree of

Doctor of Philosophy

in

Ecology, Evolution & Organismal Biology

by

Steven M Crum

June 2017

Dissertation Committee:

Dr. G. Darrel Jenerette, Chairperson

Dr. Michael Allen

Dr. James Sickman

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2017

The Dissertation of Steven M Crum is approved:

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Committee Chairperson

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## ABSTRACT OF THE DISSERTATION

The Influence of Landscape Position on Soil Respiration and Urban Microclimate

by

Steven M Crum

Doctor of Philosophy, Graduate Program in Ecology, Evolution & Organismal Biology  
University of California, Riverside, June 2017  
Dr. G. Darrel Jenerette, Chairperson

Linking variation in ecosystem functioning to landscape drivers has become an important research need for understanding ecosystem responses to global change. Due to extensive land use and land cover changes many regions have variable distributions of landscape drivers and ecosystem processes. Furthermore, changes in local- to regional-scale climate may impact ecosystem variation and sensitivity to physiological drivers. This dissertation investigates how contrasting scale-dependent drivers of soil temperature, moisture and substrate levels influence soil respiration ( $R_s$ ), a key ecosystem process, using in-situ landscape surveys and experimental subsidies of water and labile carbon. Furthermore, to improve understanding of scale-dependent sources of variation of urban microclimate this dissertation investigates how land cover and vegetation influences local distributions of air temperature ( $T_a$ ), land surface temperature (LST), and relative humidity (RH), using intensive and widely distributed networks of microclimate sensors. Finally, vital in estimates of urban warming, this dissertation examines the relationships between  $T_a$  and LST among common urban land covers.

I found  $R_s$  in intensively managed urban land uses has increased rates, decreased spatial variation, and decreased sensitivity to environmental conditions. Furthermore, among common urban land uses spatial variation in  $R_s$  was positively correlated with soil temperature, and negatively correlated with soil moisture and substrate. Landscape position, or land use and climate distributions, influenced  $R_s$  by altering both levels and  $R_s$  sensitivity of physiological drivers. Next, in my first microclimate study I found negative  $T_a$  and positive RH correlations with vegetation intensity. Vegetation cooling effects were greater in more arid climates and in the evening hours. Furthermore, increasing city-scale mean  $T_a$  was associated with higher spatial variation of  $T_a$  in coastal cities, and lower variation in more arid cities. In my final study I observed vertical height-dependent  $T_a$ -LST relationships associated with land cover composition. Furthermore, I observed decreased nighttime  $T_a$ -LST differences among land covers. These findings can help city planners identify potential heat risk reductions strategies associated with urban vegetation and land cover composition. Together, these systematic evaluations of landscape effects on  $R_s$  and microclimate provide a framework of understanding the effects of interactive global change drivers on urban ecosystem processes.

## Table of Contents

Acknowledgements .....	iv
<b>ABSTRACT OF THE DISSERTATION .....</b>	<b>v</b>
List of Figures.....	ix
List of Tables .....	x
Introduction.....	1
Literature cited.....	6
<b>Chapter 1: Landscape position influences soil respiration variability and sensitivity to physiological drivers in mixed-use lands of southern California, USA</b>	
Abstract.....	9
Introduction.....	10
Methods.....	16
Results .....	21
Discussion.....	26
Literature cited.....	35
Tables .....	41
Figures.....	45
<b>Chapter 2: The influence of vegetation, mesoclimate and meteorology on urban atmospheric microclimate across a coastal to desert climate gradient</b>	
Abstract.....	53
Introduction.....	54
Methods.....	58



<b>Results .....</b>	<b>65</b>
<b>Discussion.....</b>	<b>69</b>
<b>Conclusions.....</b>	<b>75</b>
<b>Literature cited.....</b>	<b>77</b>
<b>Figures.....</b>	<b>83</b>

**Chapter 3: Microclimate variation among urban land covers: The importance of vertical and horizontal structure in air and land surface temperature relationships**

<b>Abstract.....</b>	<b>95</b>
<b>Introduction.....</b>	<b>96</b>
<b>Methods.....</b>	<b>100</b>
<b>Results .....</b>	<b>105</b>
<b>Discussion.....</b>	<b>110</b>
<b>Literature cited.....</b>	<b>117</b>
<b>Tables .....</b>	<b>123</b>
<b>Figures.....</b>	<b>125</b>
<b>Conclusion .....</b>	<b>134</b>
<b>Literature cited.....</b>	<b>138</b>

## List of Figures

Figure 1.1: Study design .....	45
Figure 1.2: VWC at each site .....	46
Figure 1.3: Soil respiration vs. physiological drivers .....	47
Figure 1.4: Spatial variation of soil respiration vs. physiological drivers .....	48
Figure 1.5: Mean soil respiration at each site.....	49
Figure 1.6: Spatial variation of soil respiration at each site .....	50
Figure 1.7: Soil respiration treatment responses .....	51
Figure 1.8: The effects of antecedent VWC on soil respiration pulse response .....	52
Figure 2.1: Site maps and descriptions .....	83
Figure 2.2: Daily changes in the slope of NDVI and $T_a$ .....	84
Figure 2.3: Daily changes in $r$ of NDVI and $T_a$ .....	85
Figure 2.4: Daily changes in the slope of NDVI and $T_a$ , RH, and HI.....	86
Figure 2.5: Percent change in RH for four representative paired plots .....	87
Figure 2.6: Heat map of local vegetation cooling effects .....	88
Figure 2.7: Mean local vegetation cooling effects .....	89
Figure 2.8: Mean local vegetation cooling effects between years .....	90
Figure 2.9: Temporal variation of $T_a$ vs. NDVI .....	91
Figure 2.10: Spatial variation in $T_a$ vs. mean $T_a$ .....	92
Figure 2.11: Mean $T_a$ vs. wind velocity .....	93
Figure 2.12: Effects of mean $T_a$ and wind velocity on spatial variation of $T_a$ .....	94
Figure 3.1: Representative infrared images at each land cover .....	125
Figure 3.2: Daily changes in the slope of $T_a$ vs. RH.....	126
Figure 3.3: Daily changes in $T_a$ and RH lapse rates .....	127
Figure 3.4: Daily changes in VPD lapse rates.....	128
Figure 3.5: $T_a$ lapse rate vs. wind velocity .....	129
Figure 3.6: RH lapse rate vs. wind velocity .....	130
Figure 3.7: Mean and normalized variation of LST .....	131
Figure 3.8: Daily changes in the difference between $T_a$ and LST .....	132
Figure 3.9: $T_a$ lapse rate vs. LST .....	133

## List of Tables

<b>Table 1.1: Hypotheses</b> .....	<b>41</b>
<b>Table 1.2: Site descriptions</b> .....	<b>42</b>
<b>Table 1.3: Effects of climate, land use and season on soil respiration</b> .....	<b>43</b>
<b>Table 1.4: Effects of climate and land use on soil respiration pulse responses</b> .....	<b>44</b>
<b>Table 3.1: Mean LST, T<sub>a</sub>, RH and VPD</b> .....	<b>123</b>
<b>Table 3.2: Linear regression statistics between T<sub>a</sub> and LST</b> .....	<b>124</b>

## Introduction

Metropolitan regions contain a mosaic of distinct land uses, and consequently have highly variable ecosystem functioning and structure (Kaye et al. 2005, Jenerette et al. 2006). Patterns in land use, land cover, and vegetation are directly linked to microenvironmental conditions and biogeochemical cycles (Kaye et al. 2005, Brazel et al. 2007, Tayyebi and Jenerette 2016). Additionally, changes in meso- and regional-scale climate can lead to large differences in ecosystem functioning and structure (Groffman et al. 2009). Identifying the different and interactive effects of landscape position, which includes land use and climate distributions, on urban ecosystems has become a pressing need for understanding responses to multiple global change drivers. In addressing these challenges, a multiple scale perspective is necessary. At fine scales, ecosystem functioning is regulated by organismal responses to microenvironmental conditions including temperature, moisture, and substrate levels (Xu and Qi 2001, Davidson et al. 2012). While at regional scales variation in land cover and mesoclimate may regulate ecosystem processes (Kaye et al. 2005, Chatterjee and Jenerette 2011, Zhang et al. 2012). Bridging fine- and regional-scale drivers of ecosystem functioning are needed to improve understanding of ecosystem responses to rapidly changing environmental conditions (Jenerette et al. 2006, Zhang et al. 2012). The objective of this dissertation is to explore the effects of interactive global change drivers on urban ecosystem processes of soil respiration ( $R_s$ ) and vegetation cooling of urban microclimate.

$R_s$  is an important process describing ecosystem functioning and is a critical component of the carbon cycle within ecosystems and globally (Canadell et al. 2000).  $R_s$  is an integrative variable of ecosystem metabolism, representing interactions between plant and microbial dynamics (Ryan and Law 2005). From an ecosystem or physiological scale,  $R_s$  is primarily regulated by soil temperature, moisture, and substrate levels. Temperature is a fundamental ecosystem property driving chemical, physical and biological processes (Davidson et al. 2006). However,  $R_s$  temperature sensitivity depends on enzymatic processes that are also regulated by soil moisture and substrate availability. At low levels, soil moisture regulates  $R_s$  directly by limiting biological activity or indirectly by limiting diffusion of substrates, while high soil moisture levels constrain  $R_s$  by limiting soil oxygen diffusion (Xu and Qi 2001, Davidson et al. 2012, Oikawa et al. 2014). Regional-scale patterns in land use and climate may also have direct influences on soil temperature, moisture, and substrate levels (Raich and Schlesinger 1992, Zhou et al. 2009).  $R_s$  in urban and agricultural systems is often decoupled with seasonal precipitation patterns and instead respond more to warming (Kaye et al. 2005). In contrast, arid and semi-arid wildland ecosystems experience  $R_s$  pulses several orders of magnitude higher than baseline levels following precipitation (Jarvis et al. 2007, Sponseller 2007). While much effort has been directed towards understanding physiological regulation of  $R_s$  (e.g. Davidson et al. 2006, Oikawa et al. 2014), landscape heterogeneity in  $R_s$  dynamics is noted as a major uncertainty (Koerner and Klopatek 2009, Riveros-Iregui et al. 2012, Zhang et al. 2012, Du et al. 2015).

Landscape heterogeneity in urban land cover and vegetation distributions is also directly linked to patterns in air temperature ( $T_a$ ), land surface temperature (LST), and relative humidity (RH, Brazel et al. 2007, Jenerette et al. 2016, Hall et al. 2016). Since the mid-20<sup>th</sup> century, large cities in the United States are warming twice as fast as surrounding rural areas (Stone et al. 2012), especially in the southwestern United States (Brazel et al. 2000). Urban warming is created by increasing impervious surfaces and decreasing vegetation cover, which warms temperatures in the urban core (Oke 1973, Santamouris 2015). Regionally, the magnitude of vegetation cooling is influenced by patterns in climate, where, particularly in dryland regions, urbanization may increase vegetation intensity compared to rural and wildland areas. However, locally the distribution of urban vegetation and built surfaces may magnify temperature inequities within a city, resulting in unequal vegetation cooling benefits and health consequences for residents (Jenerette et al. 2016). Potentially offsetting cooling benefits, increases in RH associated with highly-vegetated residential areas of arid and semi-arid regions may increase human-perceived temperatures (Steadman 1979, Hall et al. 2016). Vegetation moderation of microclimate may also depend on mesoclimate and meteorological conditions (Zhao et al. 2014). Mesoclimates, or city-scale climates, with relatively high mean daily temperatures may enhance vegetation cooling by increasing the effects of shading and potential transpiration rates (Jenerette et al. 2016, Tayyebi and Jenerette 2016, Ramamurthy and Bou-Zeid 2017). The negative feedback of vegetation cooling may result in greater  $T_a$  spatial variation in cities with warmer climates. Countering mean temperature effects within cities, wind and precipitation may reduce the negative

feedback of vegetation cooling due to increases in air convection and reductions in surface heating (Imhoff 2010, Zhao et al. 2014, Chow et al. 2014). Characterizing how vegetated and built land covers influence microclimate—including  $T_a$ , LST, and RH—and their interrelationships is an important research challenge for reducing and predicting the impacts of urban warming.

To improve understanding of scale-dependent sources of variation and sensitivity of  $R_s$ , in chapter 1 I evaluate the roles of soil temperature, moisture, and substrate levels in three land use types and at three climate positions along the coastal to desert gradient in southern California, USA. I find, from a combination of surveys and manipulative experiments, that interactive physiological, landscape, and seasonal factors are drivers of  $R_s$ . Furthermore, at the interface between landscape and physiological regulation of  $R_s$ , I find regional-scale coordination between physiological drivers and meter-scale spatial variability in  $R_s$ . This evaluation of physiological and landscape level effects on  $R_s$  expands understanding of the impacts of interactive global change drivers on urban ecosystem processes.

To improve understanding of the sources of variation in urban microclimate, for chapter 2 I evaluated the roles of vegetation, mesoclimate, and meteorology on spatiotemporal patterns of summertime  $T_a$  and RH. I found, using a widely distributed observational network of  $T_a$  and RH sensors across a coastal to desert climate gradient, increasing local-scale cooling effects positively correlated with levels of vegetation intensity. Furthermore, I observed increased spatial variation with increasing mean city-wide temperature in coastal cities, however, there was a gradient toward decreased

variation with increased mean temperature across the gradient, likely the result of patterns in wind velocity. Expanding upon this question, for chapter 3 I evaluated the importance of land cover in shaping microscale spatial distributions of  $T_a$ , LST, and RH and their interactions. Through the use of thermal imagery and micrometeorological measures I find land cover specific  $T_a$ -LST relationships that may help improve estimates of urban  $T_a$  using LST, important in predicting atmospheric urban warming effects using remote sensing techniques that capture land surface warming. Understanding the scale-dependent drivers of microclimate across urban landscapes could help city planners better identify land cover and vegetation impacts on urban cooling and heat vulnerabilities.



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**Chapter 1: Landscape position influences soil respiration variability and sensitivity to physiological drivers in mixed-use lands of southern California, USA**

**Abstract**

Linking variation in ecosystem functioning to physiological and landscape drivers has become an important research need for understanding ecosystem responses to global changes. I investigate how these contrasting scale dependent ecosystem drivers influence soil respiration ( $R_s$ ), a key ecosystem process, using in-situ landscape surveys and experimental subsidies of water and labile carbon. Surveys and experiments were conducted in summer and winter seasons and were distributed along a coastal to desert climate gradient and among the dominant land use classes in southern California, USA. I found  $R_s$  decreased from lawn to agricultural and wildland land uses for both seasons and along the climate gradient in the summer while increasing along the climate gradient in the winter.  $R_s$  variation was positively correlated with soil temperature, and negatively to soil moisture and substrate. Water additions increased  $R_s$  in wildland land uses, while urban land uses responded little or negatively. However, most land uses exhibited carbon limitation, with wildlands experiencing largest responses to labile carbon additions. These findings show intensively managed land uses have increased rates, decreased spatial variation, and decreased sensitivity to environmental conditions in  $R_s$  compared to wild lands while increasing aridity has the opposite effect. In linking scales, physiological drivers were correlated with  $R_s$  but landscape position influenced  $R_s$  by altering both the physiological drivers and the sensitivity to the drivers. Systematic evaluation of

physiological and landscape variation provides a framework for understanding the effects of interactive global change drivers to ecosystem metabolism across multiple scales.

## **Introduction**

Because of extensive land use changes, many regions include a mosaic of urban, agriculture and wild land uses, and consequently have highly variable rates of ecosystem functioning and structure (Kaye et al. 2005, Jenerette et al. 2006). Similarly, increases in aridity, both within and among regions, can also lead to large differences in ecosystem functioning and structure (Groffman et al. 2009). Identifying the different and interactive effects of land use and climate on ecosystems has become a pressing need for understanding ecosystem responses to multiple global change drivers. In addressing this challenge, a multiple scale perspective is necessary. At fine scales, ecosystem functioning is regulated by plant and soil physiological responses to local environmental conditions including moisture, temperature, and substrate availability (Vargas et al. 2011, Davidson et al. 2012). While at regional scales, variation in land use and climate may regulate rates of ecosystem functioning (Kaye et al. 2005, Chatterjee and Jenerette 2011, Zhang et al. 2012). Reconciling and linking the variation in ecosystem functioning due to physiological and landscape level drivers has become a valuable research approach (Riveros-Iregui et al. 2012). Bridging fine and regional-scale drivers of ecosystem functioning are needed to improve understanding of ecosystem dynamics and prediction

of ecosystem responses to rapidly changing environmental conditions (Jenerette et al. 2006, Zhang et al. 2012).

Soil respiration ( $R_s$ ), commonly measured as  $\text{CO}_2$  efflux, is an important process describing ecosystem functioning and is a critical component of the carbon (C) cycle within ecosystems and globally (Canadell et al. 2000).  $R_s$  is an integrative variable of ecosystem metabolism, representing interactions between plant and microbial dynamics and sensitivities to above and belowground biophysical conditions, and varies both within and among ecosystems by several orders of magnitude (Ryan and Law 2005, Vargas et al. 2011). While much effort has been directed towards understanding physiological regulation of  $R_s$  (Davidson et al. 2006), landscape heterogeneity is noted as a major uncertainty for this flux (Koerner and Klopatek 2009, Riveros-Iregui et al. 2012, Zhang et al. 2012, Du et al. 2015). Spatial variation in soil biogeochemical processes are frequently explained using "hotspot" theory, where spatial variation in ecosystem processes are dominated by patches of high reaction rates (McClain et al. 2003, Chatterjee and Jenerette 2011, Kuzyakov and Blagodatskya 2015). These patches vary in size from field-scale microsites to regional-scale land use types. Along with hot spots, hot moments are periods of time that have relatively higher biogeochemical reaction rates (McClain et al. 2003). Similar to hot spots, hot moments influence temporal variability, as well as temporally dependent spatial variability, highlighting the importance for seasonal assessments of biogeochemical processes (Jenerette and Chatterjee 2012). An understanding of the terrestrial C cycle that can better account for these non-linear

ecosystem dynamics requires measures of how soil physiological drivers, landscape position, and season shape patterns in  $R_s$ .

From a soil physiological hypothesis, which encompasses responses in both heterotrophic and autotrophic communities,  $R_s$  is primarily regulated by soil temperature, soil moisture, and substrate availability (Table 1.1). One attempt to describe the interactions among these individual hypotheses has been the Dual Arrhenius Michaelis Menten (DAMM) model (Davidson et al. 2012, Oikawa et al. 2014). Temperature is a fundamental ecosystem property driving chemical, physical and biological processes (Davidson et al. 2006). However,  $R_s$  temperature sensitivity depends on enzymatic processes that are also regulated by soil volumetric water content (VWC) and substrate availability. Soil moisture regulates  $R_s$  at low VWC directly by limiting microbial and root activity or indirectly by limiting diffusion of substrates, while high VWC limits soil oxygen concentration thereby constraining  $R_s$ . Peak rates of  $R_s$  levels typically occur at intermediate VWC (Skopp et al. 1990, Xu and Qi 2001, Austin et al. 2004, Davidson et al. 2012, Oikawa et al. 2014). The relationships of  $R_s$  with substrate availability have been described with models using Michaelis-Menten enzyme kinetics that express  $R_s$  as a saturating function of substrate concentration (Davidson et al. 2006, Oikawa et al. 2014, Eberwein et al. 2015). The biogeochemical explanations of these physiological drivers predict that sites with higher soil temperature and substrate availability and intermediate soil moisture levels, will have higher rates of  $R_s$  (Xu and Qi 2001, Larionova et al. 2007, Davidson et al. 2012). However, substrate diffusion covaries with temperature and VWC to create a dynamic environment where each driver can cancel or work synergistically

with the effects of other drivers (Davidson et al. 1998). Furthermore, while the activation energy of enzymatic reactions is always positive, at low VWC temperature becomes virtually irrelevant in predicting fluxes (Davidson et al. 2012). These effects have consequences on biogeochemical hotspots. At the local ecosystem scale, increasing availability of soil moisture and substrate may lead to reduced importance of hotspots while increasing temperature positively influence hotspot distributions (Jenerette et al. 2006, Chatterjee and Jenerette 2011). These relationships are further complicated by higher level controls such as climate and land use.

Landscape position, which includes climate and land use distributions (Zhang et al. 2012, Lewis et al. 2014), also influences  $R_s$  variation (Table 1.1). Landscape regulation of  $R_s$ , which includes a mixture of climate and land use processes, occurs through a direct influence to the physiological drivers of  $R_s$  and may also have indirect effects by influencing the sensitivity of  $R_s$  to the physiological drivers (Jenerette and Chatterjee 2012). Regional scale climate patterns have direct influence on soil temperature and moisture conditions and are further associated with variation in soil organic matter (SOM) distributions (Raich and Schlesinger 1992, Zhou et al. 2009). Land use, and urbanization in particular, also has a major influence on  $R_s$  and soil C pools (Kaye et al. 2005, Pouyat and Carreiro 2006, Jenerette et al. 2006). Land cover and land use can influence physiological drivers through processes such as irrigation, resource amendments, and modification of local temperatures (Kaye et al. 2005, Hall et al. 2011, Jenerette et al. 2012). Additionally, long-term variation in soil environmental conditions associated with landscape patterns can alter sensitivities to environmental drivers,



through temperature acclimation (Luo et al. 2001), altered wetting sensitivity (Jarvis et al. 2007, Jenerette and Chatterjee 2012), or altered carbon use efficiency (Manzoni et al. 2012, Eberwein et al. 2015).

The interactions between land use and physiological regulation may further vary in response to changes in climate. Aridity may increase differences in soil temperature, VWC, and SOM between managed and wildland land uses (Table 1.1). As a consequence, in arid climates extensive irrigation may increase rates of  $R_s$  while in more mesic climates irrigation may reduce rates of  $R_s$  in urban sites compared to associated non-urban sites (Raich and Potter 1995, Chen et al. 2013, Lewis et al. 2014). Seasonal differences may further influence how variation in land use and climate affect  $R_s$ .  $R_s$  in urban and agricultural ecosystems is often decoupled with seasonal precipitation patterns and instead respond more to warming of the soil environment (Kaye et al. 2005). In contrast, arid and semi-arid wildland ecosystems experience  $R_s$  several orders of magnitude higher than baseline following precipitation (Jarvis et al. 2007, Sponseller 2007). Counteracting the reduced precipitation sensitivities in urban and agricultural systems, resource amendments, including top soil and fertilizers, may increase both recalcitrant and labile carbon availability (Jenerette et al. 2006, Lewis et al. 2014), which generally increases  $R_s$  in response to water amendments (Kaye et al. 2005, Hall et al. 2011). However, agricultural and urban land uses may decrease summer soil temperatures in arid systems (Kaye et al. 2004), which can then decrease  $R_s$  under optimal moisture and substrate conditions (Davidson et al. 2012).

While extensive work has been directed to evaluating the influence of physiological factors and landscape factors independently on ecosystem functioning, linking these two sources of ecosystem variation operating at greatly different scales remains an important need. To improve understanding of scale-dependent sources of variation and dynamics of  $R_s$ , I asked: what regulates the sensitivity of  $R_s$  among different land uses throughout the coastal to desert climate gradient of southern California, USA? In answering this question I evaluated the roles of soil temperature, moisture, and substrate availability in three land use types, lawn, agriculture, and wildland, and at three climate positions along the coastal to desert gradient (Figure 1.1). Climate position encompasses direct changes in soil temperature and moisture and has corresponding indirect effects to substrate availability and plant community composition. My study did not specifically evaluate any one climate factor on  $R_s$ , instead I evaluated overall climate gradient effects on physiological drivers and their sensitivities to  $R_s$ . The effects of physiological and landscape drivers on  $R_s$  were assessed using both landscape surveys and experimental additions of water and C substrates. At the physiological scale, the DAMM model framework predicts wetter, warmer, and higher substrate availabilities will have interactive nonlinear influences on  $R_s$ . At the landscape scale, the land use hypothesis predicts greater fluxes and lower variability in lawn and agricultural land uses where irrigation provides a consistent water source. Similarly, the climate hypothesis predicts greater fluxes with more water availability at coastal regions and higher variability in the hot and dry desert regions. Across scales, the landscape and physiological hypotheses suggests that landscape position influences the magnitude of the

physiological driver and the sensitivity of  $R_s$  to these drivers. Thus, while the physiological drivers remain important within all sites, I expect landscape regulation of the drivers and sensitivities to have a predominant influence on the magnitude of  $R_s$  fluxes and their heterogeneity throughout the region.

## Methods

### *Study Sites*

The study region is situated in the Los Angeles megacity of 18 million residents within southern California, USA. The area is characterized by a Mediterranean climate with hot-dry summers and cool-wet winters. A network of nine observational and experimental sites was established consisting of the three most common land use types in the greater Los Angeles area: lawn, agriculture, and wildland. These nine sites were distributed across an approximately 150 km transect from coastal Irvine to desert Palm Desert that encompassed a mild coastal to hot desert climate gradient (Figure 1.1). Given the large spatial extent of the study and extensive number of measurements full replication of this transect was not performed. Furthermore, unlike the lawn and agricultural sites, the wildland sites have dissimilar plant communities. Wildland sites in the coastal and inland sub-regions are characterized by coastal sage scrub communities dominated by *Eriogonum fasciculatum*, while the desert site is characterized as a desert scrub community dominated by *Larrea tridentata* (Table 1.2). Mean annual precipitation (MAP) varies between 300 mm at the coast and 103 mm in the desert; mean annual

temperature (MAT) varies between 17.0 °C at the coastal wildland site and 23.9 °C in the desert [<http://cimis.water.ca.gov/WSNReportCriteria.aspx> Accessed Jul/10/2015]. The climate gradient is more pronounced in summer when average maximum temperatures in August at the coastal wildland site are 28.4 °C, and 41.2 °C in the desert.

The 2012 to 2013 study period was unusually dry for southern California. Precipitation for the 2011 to 2012 hydrological year at the coastal wildland site was 184 mm, and 99 mm in the desert. For the 2012 to 2013 hydrological year precipitation at the coastal wildland site was 150 mm, and 45 mm in the desert. Air temperature remained within normal ranges. MAT at the coastal wildland site was 16.3 °C and 17.5 °C, and in the desert 23.5 °C and 22.9 °C for 2012 and 2013, respectively.

### *Landscape Surveys*

To quantify fine-scale ecosystem variation within each site  $R_s$ , VWC, temperature, and SOM were measured at 2 m intervals replicated along three 50 m linear transects for a summer and winter sampling period (Figure 1.1). This sample size is consistent with previous work that found a sample size between 7 and 27 was needed to estimate the mean  $R_s$  within 20% and 10% accuracy, respectively, at a ponderosa pine plantation (Xu and Qi 2001). Each transect was a spatially independent replicate, separated by greater than 50 m. Sampling did not occur within 48 hours of rainfall, this precaution was important during the winter since most precipitation falls between the months of November through April. All measurements at each site were completed within 2.5 h and were collected between 11:00 to 16:00 local time. Consistent temporal

sampling is important because  $R_s$  measurements can change considerably throughout the day (Xu and Qi 2001).

$R_s$  was measured using a 10 cm diameter surface sampling chamber attached to a  $CO_2$  sensor (LI-8100, Li-Cor Biosciences, Lincoln, Nebraska, USA). Each sample was measured by calculating the slope of  $CO_2$  accumulation in the chamber measured at 1 hz with an infra-red gas analyzer over an interval of one minute and a between measurement purge time of 45 s. The survey measurements include both autotrophic and heterotrophic components, and similar to many previous surveys I was not able to partition these different sources of  $R_s$ . Soil temperature and VWC were measured at 5 cm depth for each sample using hand-held probes (51II Thermometer, Fluke Corporation, Everett, Washington, USA and CS-620, Campbell Scientific, Logan, Utah, USA, respectively). Soil samples were collected at 5 cm depth and SOM content was measured by mass loss on ignition at 550 °C for 4 h in a muffle furnace.

Spatial heterogeneity of  $R_s$  was quantified with two measures of spatial variability. First, the mean of  $R_s$  was used to evaluate land use and climate differences in  $R_s$  among sites at regional scales. Second, the coefficient of variation (CV) was used as a measure of variation within patches, land uses and climates. The CV is a dimensionless quantity of variation standardized by the sample mean and commonly expressed as a whole-number percent.

Regression analysis was used to examine how variation in  $R_s$  is influenced by hypothesized physiological drivers of soil temperature, moisture, and organic matter. Relationships between spatial variability in  $R_s$ , measured by CV, and each physiological

driver were used to examine how spatial variability is functionally related to the hypothesized drivers. For both mean and CV in  $R_s$  I used the jackknife method to assess the significance of the relationships (Efron and Stein 1981). This method evaluates statistical significance by repeatedly selecting a subset of  $n-1$  samples from the data.  $R_s$  data were log-transformed to meet homogeneity of variance requirements before analysis using a fixed-effect model ANOVA. Comparisons between unlike land uses among sub-regions were not included in the post-hoc analysis. Alternatively, Tukey post-hoc comparisons within individual sub-regions and among land uses, and within individual land uses and across the climate gradient were included in the text for relevant comparisons.

### *Landscape Experiments*

While my survey looks for correlations between land use and physiological variables, they do not provide direct evidence of causation. One goal of the growing field of experimental landscape ecology is to identify process variation within landscapes using networks of widely distributed experiments (Jenerette and Shen, 2012). Following such approaches, I also measured  $R_s$  following experimentally manipulated soil moisture and substrate levels by means of water additions and dextrose plus water additions, respectively. At each sub-region, sites were located on lawn, agriculture, and wildland land uses, for a total of nine locations throughout the study region.  $R_s$  measurements were performed using the same chamber based  $R_s$  system used for soil surveys. Soil temperature and VWC were measured adjacent to every  $R_s$  measurement at 5cm depth

using hand-held probes. Soil samples were collected at each experiment for analysis of SOM. At each site, fifteen 10 cm diameter polyvinyl chloride (PVC) collars, in five groups of three, were inserted in the soil to a depth of 5 cm, exposing the additional 5 cm aboveground. The groups of collars were installed at random locations in the lawn sites, and at random locations under the dominant plant species in the agriculture and wildland sites (see Table 1.2 for dominant plant species). The collars were inserted into the soil at least two weeks before measurements for conditioning. Vegetation within the collars was removed to exclude above ground autotrophic respiration. Approximately one hour before measurements, at five locations per site, 20 mL of deionized water was added to the water treatment collars, and 20 mL of a 60 g/L solution of dextrose and deionized water was added to the substrate treatment collars. All measurements were acquired between 11:00 and 15:00 local time. The experimental amendments allow more clear evaluation of heterotrophic responses independent of autotrophic emissions at the time scale investigated. These measurements were timed to quantify the maximum pulse response as assessed in previous arid environment pulse studies (Rey et al. 2005, Jenerette and Chatterjee 2012, Oikawa et al. 2014). Each site had two measurement dates, one in the summer, between July to September 2013, and one in the winter between November to December 2012. While this excludes many temporal aspects of  $R_s$  pulse dynamics, my goal was to evaluate potential differences between contrasting seasons. For standardization, experiments were performed at least 48 h after measurable precipitation.

For testing the effects of water and dextrose on  $R_s$ , I performed a two-way analysis of variance (ANOVA). The data were analyzed using a response ratio, calculated

as the treatment divided by the control (water / control and [dextrose + water] / water) commonly used in meta-analyses that evaluate multiple experiments (Elser et al. 2007). The control for the water treatment was no treatment and the control for the dextrose plus water treatment was the water treatment. This isolates the effects of dextrose outside the influence of the water addition. The response data were displayed using a log scale to represent the pulse effect as pulse magnitudes varied by several orders of magnitude. A log response ratio of zero indicates no treatment effect, and significance was evaluated using Student's *t*-test.

## Results

### *Surveys: Physiological Drivers*

Climate, land use, season, and their interactions had significant correlations with soil temperature, VWC, and SOM ( $p < 0.001$ , three-way ANOVA, Table 1.3). Season had the strongest effects on temperature, followed by land use and climate ( $F_{2,1323} = 13265.66$ ,  $F_{1,1323} = 1058.71$ , and  $F_{2,1323} = 173.08$ , respectively). Land use had the strongest effects on VWC, followed by season and climate ( $F_{2,1323} = 1044.92$ ,  $F_{1,1323} = 355.47$ , and  $F_{2,1323} = 71.41$ , respectively, Figure 1.2). Land use was correlated with SOM more strongly than climate ( $F_{1,1323} = 164.18$  and  $F_{2,1323} = 94.34$ , respectively). Likewise, for both seasons, soil VWC was positively related to SOM. VWC was negatively correlated with temperature ( $R^2 = 0.18$ ;  $p < 0.0001$ ), and positively correlated



with SOM ( $R^2 = 0.13$ ;  $p < 0.0001$ ). SOM and temperature showed little correlation ( $R^2 = 0.02$ ;  $p < 0.0001$ ).

During the summer, soil temperatures increased from lawn to agricultural to wildland land uses in the coastal sub-region, while in the inland and desert sub-regions temperature increased from agricultural to lawn to wildland land uses (Table 1.2). For both lawn and agricultural land uses soil temperatures were the highest in the desert sub-region, while the inland sub-region had higher wildland temperatures (Table 1.2). During the winter, in all climates soil temperatures increased from lawn to agriculture to wildland land uses (Table 2). For both seasons, VWC increased from wildland to agriculture to lawn land uses. VWC decreased from coastal to desert during the winter for all land uses, but had no clear climate pattern during the summer (Table 1.2). SOM decreased from coastal to desert sub-regions in the wildlands, but had no clear climate pattern for the other land uses (Table 1.2).

#### *Surveys: Soil $R_s$ Sensitivity to Physiological Drivers*

Across the study region, soil temperature was negatively correlated with  $R_s$  for both summer ( $R^2 = 0.34$ ;  $p < 0.0001$ ) and winter ( $R^2 = 0.13$ ;  $p < 0.0001$ ) measurement dates, with the summer exhibiting a higher magnitude and range of both  $R_s$  and temperature (Figure 1.3a). Generally, Soil VWC was positively related to  $R_s$  for both summer ( $R^2 = 0.30$ ;  $p < 0.001$ ) and winter ( $R^2 = 0.20$ ;  $p < 0.001$ ). Percent SOM was positively correlated with  $R_s$  for both summer and winter (Figure 1.3b;  $R^2 = 0.14$ ,  $p <$

0.001, and  $R^2 = 0.28$ ;  $p < 0.001$ ). Correlations were reported as  $\log(R_s)$  to accommodate the wide range of flux rates.

The relative levels of soil physiological drivers were correlated with spatial variation of  $R_s$  ( $p < 0.02$ ). The mean CV in  $R_s$  for each transect (Figure 1.4a) was positively linearly related to soil temperature for both seasons ( $R^2 = 0.48$  and  $R^2 = 0.46$ , respectively). The CV in  $R_s$  (Figure 1.4b) was non-linearly negatively related to percent VWC for both seasons ( $R^2 = 0.21$  and  $R^2 = 0.56$ , respectively). Percent SOM for both seasons (Figure 1.4c) was non-linearly negatively related to the CV in  $R_s$  ( $R^2 = 0.48$  and  $R^2 = 0.53$ , respectively).

#### *Surveys: Soil $R_s$ Sensitivity to Landscape Influences*

Climate, land use, season, and their interactions had significant correlations with  $R_s$  (Table 1.3;  $p < 0.05$ ; three-way ANOVA); and was marginally significant for the interactive effects of all four factors and  $R_s$  ( $p = 0.051$ ). Land use had the strongest effects on  $R_s$ , followed by season and climate ( $F_{2,1323} = 466.56$ ,  $F_{1,1323} = 267.37$ , and  $F_{2,1323} = 3.20$ , respectively). Within each sub-region in the summer there was a decreasing  $R_s$  from lawn to agriculture and wildland land use types (Figure 1.5a;  $p < 0.001$ ), with  $14.26 \mu\text{mol m}^{-2} \text{s}^{-1}$  or 53 times higher fluxes in lawn compared to wildland land uses when averaged over the region (Figure 1.5c). During the winter the differences were less pronounced with lower  $R_s$  in the agriculture than the lawn land use type (Figure 1.5a and c;  $p < 0.001$ ). Additionally, wildland land use types showed a clear downward trend in  $R_s$  from coastal to inland to desert sub-regions (Figure 1.5a;  $p < 0.001$ ).  $R_s$  decreased from

summer to winter for all sub-regions (Figure 1.5b;  $p < 0.001$ ). For land use effects, wildland sites had fluxes 12 times higher in the winter than the summer, contrasting that of lawn and agricultural land uses that had decreased fluxes from summer to winter (Figure 1.5c;  $p < 0.001$ ).

Both landscape factors and seasonality had effects on the spatial variation of  $R_s$  ( $p < 0.001$ ; three-way ANOVA), measured as the CV; land use had the strongest effects on variation, followed by season and climate when assessed using the jackknife method ( $F_{2,1323} = 812.03$ ,  $F_{1,1323} = 503.30$ , and  $F_{2,1323} = 15.08$ , respectively). The coastal sub-region in summer had decreasing variation in  $R_s$  from lawn to agricultural to wildland land use types, while in inland and desert sub-regions the opposite trend was observed (Figure 1.6a;  $p < 0.001$ ). The coastal and inland sub-regions in the winter had decreasing variation in  $R_s$  from agricultural to wildland to lawn land use types, while the desert sub-region had a similar trend to summer variation (Figure 1.6a;  $p < 0.001$ ). Sub-region and land use variation in  $R_s$  decreased from summer to winter, except for the desert sub-region and two out of three agricultural sites (Figure 1.6b and c;  $p < 0.001$ ). Overall during the summer, variation decreased from coastal to inland and desert sub-regions, with 115% more spatial variation in the coastal than the desert sub-region (Figure 1.6b). The opposite trend was observed in the winter sampling period with increasing variation from coastal to inland and desert sub-regions, with 144% more spatial variation in the desert than the coastal sub-region (Figure 1.6b). Variation in each land use was highest in the summer sampling period, with increasing variation from lawn to agricultural and wildland land use types, with 128% more spatial variation in the wildland than the lawn

land uses (Figure 1.6c). In the winter the most variation was found in agricultural land use types, with 229% more spatial variation than the lawn (Figure 1.6c). Variation in  $R_s$  did not correlate with variation in soil temperature, VWC, and SOM for both summer and winter samples ( $p > 0.05$ ; regression analysis).

### *Landscape Experiments*

Both water and substrate additions induced changes to  $R_s$ , although the response magnitude and direction were affected by landscape position and seasonality. For water and substrate treatments, summer land use had the strongest effects (Table 1.4;  $F_{2,35} = 23.61$ ,  $F_{2,35} = 20.53$ , respectively;  $p < 0.001$ ). For substrate treatments, summer interactive effects did not influence  $R_s$  (Table 1.4). All wildland sites positively responded to water additions in the summer, with 21.0 to 33.4 times higher flux than no treatment (Figure 1.7a and b); there were reduced positive water response for the winter (Figure 1.7a and b). Wildland land use types responded more to water additions than to substrate additions in summer, with marginally significant substrate responses over water ( $p < 0.1$ ), while winter substrate response ranged from 2.0 to 3.0 times higher flux than water alone (Figure 1.7b). In summer, the coastal and inland lawn and desert agricultural land use patches had negative responses to water additions ( $p < 0.05$ ), with 27 and 53% decreased fluxes than controls, respectively (Figure 1.7b). The inland agriculture and desert lawn responded to dextrose additions in summer ( $p < 0.05$ ), all other managed sites except desert agriculture were marginally significant (Figure 1.7b). Furthermore, response ratios across sites were negatively non-linearly related to antecedent VWC for

both summer and winter (Figure 1.8,  $R^2 = 0.74$ ,  $p < 0.01$ ,  $R^2 = 0.74$ ,  $p < 0.01$ , respectively).

## Discussion

My findings, from a combination of observational surveys and manipulative experiments, show interactive physiological, landscape, and seasonal factors are important drivers of  $R_s$ , an ecosystem process central to terrestrial C cycling and metabolism. At regional scales, landscape position has important contributions to  $R_s$  rates, their physiological drivers, local variability, and sensitivities to environmental changes. Landscape position, reflecting a combination of land use and climate influences, lead to differences in both the direction and magnitude of responses to physiological drivers, with contrasting inhibition and pulsed  $R_s$  responses to water additions and varying responses to substrate addition. At the interface between landscape and physiological regulation of  $R_s$ , I found regional-scale coordination between physiological drivers and variability in  $R_s$ — higher temperatures, lower moisture, and lower organic matter all increased local variability. Together, these findings improve understanding of how interactions between landscape and physiological levels regulate ecosystem functioning — at regional scales climate, land use, and season alter biophysical drivers of  $R_s$  and sensitivities to these drivers. Furthermore, regional variability was consistent with an urban homogenization hypothesis (Groffman et al. 2014), as lawns had considerably less  $R_s$  variation than wildland land uses across all climates. Surprisingly, variation in soil

temperature, VWC, and SOM did not correlate with variation in  $R_s$ . Instead, I attribute these differences to biogeochemical hot spots. Sites with higher fluxes and lower pulse responses had lower spatial variation in  $R_s$ , consistent with the hypothesis that urban sites are less sensitive to changes in soil conditions. With increasing efforts directed to modeling ecosystem functioning and C fluxes in mixed land use regions that include urbanization (Churkina 2008, Zhang et al. 2012, Zhang et al. 2013), incorporating both the changes in physiological drivers and ecosystem sensitivities will become increasingly valuable.

#### *Soil Physiological Drivers of Regional $R_s$*

Using the DAMM model framework (Davidson et al. 2012) to evaluate hypotheses of soil physiological regulation of soil respiration, I observed interactive effects of soil temperature, moisture and substrate influences that are broadly consistent with physiological predictions of the interaction between drivers. While at the global scale soil temperature is the best predictor and positively correlated with  $R_s$  (Raich and Schlesinger 1992, Raich and Potter 1995), I found soil temperature to be negatively correlated with  $R_s$ . I interpret this finding as the confounding effect of soil moisture (Davidson et al. 1998), which was negatively correlated with temperature. This negative relationship was primarily influenced by wildland sites which were strongly limited by VWC. In contrast, irrigated sites were generally not limited by VWC and notably  $R_s$  increased in the summer in six of the nine sites, indicating positive temperature responses. The negative temperature –  $R_s$  relationships do not imply a negative activation

energy but rather that the activation energy becomes irrelevant at low VWC (Davidson et al. 2006) and the inhibition of  $R_s$  by low VWC was much stronger than the direct temperature effects. These interactions are consistent with  $R_s$  patterns in other arid and semiarid ecosystems and predictions from the DAMM model (Rey et al. 2002, Janssens and Pilegaard 2003, Davidson et al. 2006, Oikawa et al. 2014).

The confounding interactions of soil temperature, moisture, and substrate highlight the location and season specific importance of physiological regulation. All wildland locations were sensitive to experimental wetting in summer with strong pulse responses, consistent with findings in other unmanaged dryland ecosystems (Cable et al. 2008, Jenerette and Chatterjee 2012). However, in highly managed ecosystems this sensitivity to moisture addition was absent or resulted in  $R_s$  inhibition. The wetting induced inhibition is consistent with an oxygen ( $O_2$ ) limitation hypothesis (Linn and Doran 1984, Davidson et al. 2012, Riveros-Iregui et al. 2012, Oikawa et al. 2014), where wetting of moist soils can inhibit diffusion of  $O_2$  to sites of microbial and root activity and reduce  $R_s$  rates. The negative responses to water additions in lawn ecosystems suggest water saturation of the soil environment and subsequent anoxic soil conditions following wetting. Although these inhibitions were likely dominated by heterotrophic processes at the time scale investigated, a limitation to these experiments and most pulse studies in general, is the difficulty in partitioning autotrophic and heterotrophic contributions. In contrast to water availability, almost all ecosystems were substrate limited, also consistent with previous findings in diverse unmanaged and managed dryland ecosystems (Jenerette and Chatterjee 2012, Eberwein et al. 2015). The magnitude

of substrate amendment sensitivity varies throughout the region, however, in the highly managed lawn and agricultural land uses the substrate sensitivities are similar. These findings suggest that in highly managed sites, where moisture limitation has been overcome, substrate availability still imposes limits on  $R_s$ . New physiological models that account for interactions between C and  $O_2$  as influenced by water and temperature, could be useful for reconciling the large variation in  $R_s$  responses to wetting (Oikawa et al. 2014). These findings are important for improving ecosystem models used at regional scales that increasingly rely on complex soil microbial and biophysical schemes (Zhang et al. 2012, Zhang et al. 2014).

#### *Landscape Drivers of Regional $R_s$*

Landscape variation in both land use and climate is an important factor influencing  $R_s$ . Landscape effects arise from an interaction between changes in the physiological drivers and changes in sensitivities to the physiological drivers (Davidson et al. 2006, Lewis et al. 2006, Lewis et al. 2014, Jenerette and Chatterjee 2012). These differences result from the combined changes in land use and climate, which lead to altered soil chemical and physical structure and soil trace gas fluxes (Jenerette et al. 2006, Hall et al. 2009, Lewis et al. 2014). My use of a climate gradient analysis is similar to much more widely used elevation gradients, which generally include large changes in community composition and do not solely represent the direct effects of changing temperature and precipitation on  $R_s$  (e.g. Conant et al. 2000, Smith et al. 2002, Groffman et al. 2009, Anderson-Teixeira et al. 2011, Lybrand and Rasmussen 2014). In these



studies climate is compounded with landscape position, or changes in ecosystem structure or land use. I extend the findings from such elevation gradients by using a different, though related, climate gradient. Furthermore, while there are studies investigating land use (Kaye et al. 2005, Koerner et al. 2009) and climate effects on  $R_s$  (e.g. Conant et al. 2000, Anderson-Teixeira et al. 2011), to my knowledge there are no studies that have investigated the interactive effects of land use and climate on  $R_s$  variation.

Consistent with previous studies, I found much higher soil VWC and SOM in highly managed land uses contrasting with wildland land use types (Jenerette et al. 2006, Hall et al. 2009). In the summer there was no difference among sub-regions for the wildland land use, while during the winter there was a clear gradient with higher fluxes towards the coast, likely a consequence of higher precipitation and reduced evaporative demand at the coast. The wildland land use in the summer for all sub-regions had very low fluxes, a consequence of near zero soil VWC. Irrigation for both lawn and agricultural land uses increased the differences between land uses, and I expect was more important for accounting for differences than the temperature variation between sub-regions. In the experimental study, substrate solubilization and microbial resurrection from dormancy likely accounted for larger seasonal effects in the wildland sites, where water additions induced a larger  $R_s$  response in the summer period when the soil was the driest (Fierer and Schimel 2003, Davidson et al. 2006, Jarvis et al. 2007, Jenerette and Chatterjee 2012). During the winter, seasonal precipitation inputs likely muted  $R_s$  sensitivity to further wetting events.

Changes in the sensitivity to physiological drivers creates considerably more complexity in understanding the sources of regional variation in ecosystem functioning. Notably, I found a decoupling of  $R_s$  from further moisture additions in highly managed land uses, and more sensitivity to changes in substrate. Conversely,  $R_s$  in drier soils was less sensitive to changes in substrate, and more sensitive to levels of soil moisture (Davidson et al. 2012). Differences in water and substrate response between managed and wildland ecosystems are predicted to result in alternate regulations of  $R_s$ . The management induced decoupling of dryland urban ecosystem functioning from precipitation has previously been observed from remotely sensed analyses (Buyantuyev and Wu 2009, Jenerette et al. 2013) and models (Zhang et al. 2013) and I provide a first experimental assessment of these ecosystem effects.

*Toward a Synthesis of Physiological and Landscape Regulation of Ecosystem Processes in Mixed-use Regions*

Variation in physiological drivers provides key information for linking patch and regional-scale patterns of  $R_s$ . Soil metabolic rates respond non-linearly to changes in drivers, which results in higher variability when conditions in general reduce flux rates. The importance of changing soil moisture and substrate levels is magnified in water and substrate scarce environments. In resource scarce environments biogeochemical hot spots create greater resource discontinuity and spatial variation in  $R_s$ . Conversely, fluxes in sites with saturated water and substrate levels are less sensitive to changes in resources. Additionally, fluxes in warmer environments have greater responses to spatial and

temporal changes in water and substrate, as resource availability regulates temperature sensitivity (Davidson et al. 2006). The variation in landscape induced sensitivities to water and C substrate addition highlight the differences in how physiological drivers influence  $R_s$  and how landscape variation modifies these sensitivities. Ecosystems with the highest responses to water and substrate additions also have the greatest spatial variability in surveyed  $R_s$ . This trend is particularly noticeable in the wildland sites, where flux responses to water additions are orders of magnitude higher than that of other locations. The physiological underpinnings of  $R_s$  variability have extensive nonlinear interactions. My data do not determine if landscape position changes the activation energy of enzymatic reactions, or the shape of the  $R_s$  response curve to VWC. Instead sensitivity is likely determined through where the sites fall within different positions of the non-linear Michaelis-Menton and substrate diffusion functions.

My findings, that variation in  $R_s$  is driven not only by landscape position but is a function of the absolute levels of soil physiological drivers, are consistent with previous findings where ecosystems with low VWC and SOM are also those that have more resource heterogeneity (Austin et al. 2004). However, my results suggest the connection between environmental variability and  $R_s$  variability is indirect. Fine-scale variation in soil physiological drivers did not correlate with variation or absolute levels of  $R_s$ . Alternatively, sites with mean VWC and SOM high enough to be on the flat part of the physiological saturating response functions,  $R_s$  will be less spatially variable, regardless of microsite variation in these drivers. In contrast, when substrates are low enough to fall within the dynamic ranges of the response functions, then microsite differences become

important in determining flux rates. This evidence supports a hypothesis that  $R_s$  spatial variability is a consequence of limitations in soil moisture or substrate supply. Xu and Qi (2001) found that in a ponderosa pine plantation spatial variation in  $R_s$  was the highest during the non-growing season (August to April) when soil moisture was the lowest. In these conditions, small changes in soil moisture may have large consequences on the sensitivity of  $R_s$  to other physiological drivers. Localized areas within these patches that have elevated levels of soil moisture and substrate represent biogeochemical hotspots.

Together both survey and experimental findings support an inverse-metabolic pulses hypothesis (Huxman et al. 2004), where ecosystems with higher fluxes before wetting are associated with reduced pulse sensitivities. The variation among patches in flux rates and local variability show an enhanced sensitivity to environmental conditions during unfavorable periods for plants and soil microbes. Some of the highest fluxes were observed in wildland plots that received water additions, despite having the lowest SOM. This is likely the result of labile soil C accumulation, a consequence of extensively reduced heterotrophic metabolism. Other studies show that relatively small rain events, as low as 2 mm (Austin et al. 2004, Huxman et al. 2004), can induce soil metabolic pulses, and that subsequent rain events have reduced pulse rates, likely resulting from labile C depletion (Oikawa et al. 2014). While the inverse-metabolic pulse process has been observed in semiarid and arid wildland ecosystems (Reynolds et al. 2004, Huxman et al. 2004, Jenerette and Chatterjee 2012), these are the first results that extends such frameworks across mixed land use regions including urban and agricultural uses.

At regional scales,  $R_s$  variation is the consequence of both physiological and landscape patterns. These drivers are interrelated, as temperature, and inputs of water and substrate depend on landscape position. A key aspect of landscape effects is a combination of changes to both the physiological drivers and the ecosystem sensitivity to these drivers. Across scales these variables are coupled, as soil physiological drivers consistently influence the local variability in  $R_s$ . Strong interactions between land use and climate influence patterns of  $R_s$  at multiple scales through complex direct and indirect effects on physiological dynamics. Systematic evaluation of physiological and landscape variation provides a key framework for understanding the effects of interactive global change drivers to ecosystem metabolism.

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**Table 1.1** Soil physiological and landscape level hypotheses for patterns in soil respiration

	<b>Hypothesis</b>	<b>Description</b>
<b>Physiological</b>	(1) Temperature sensitivity	Activation energy requirements of enzymatic reactions are temperature sensitive
	(2) Water availability	Microbial functioning requires moisture and indirectly moisture influences substrate (C and O <sub>2</sub> ) diffusion
	(3) Substrate availability	Substrate availability and quality influences R <sub>s</sub> as a primary limiting resource
<b>Landscape</b>	(4) Climate	Climate regulates R <sub>s</sub> by altering soil temperature, substrate, and water availability
	(5) Land use	Land use regulates R <sub>s</sub> by altering physical, chemical, and biological processes. Including soil temperature, substrate, and water availability

**Table 1.2** Site descriptions including geographic location, soil conditions and properties of the coastal to desert study region in southern California, USA

Site	Location	Elev. (m)	Soil T (°C) <sup>a</sup> b	Soil VWC (%) <sup>ab</sup>	Soil texture <sup>a</sup>	SOM (%) <sup>a</sup>	Soil pH <sup>a</sup>	Dominant vegetation
Coastal Lawn	33.6492, -117.8499	36	28.6/ 11.6	14.8/ 37.7	Sandy loam	6.5	7.53	<i>Cynodon dactylon</i>
Coastal agriculture	33.6937, -117.7217	122	31.0/ 12.1	9.7/ 17.1	Loam	4.4	8.76	Varieties of Citrus
Coastal wildland	33.6342, -117.8462	85	33.7/ 15.0	0.8/ 9.2	Clay loam	11.6	5.85	<i>Eriogonum fasciculatm</i>
Inland lawn	33.9735, -117.3262	329	29.7/ 12.0	24.4/3 2.1	Sandy loam	16.5	7.06	<i>Cynodon dactylon</i>
Inland agriculture	33.9615, -117.3352	305	27.8/ 15.5	5.4/ 6.2	Loam	5.2	7.25	Varieties of Citrus
Inland wildland	33.9667, -117.3219	390	51.4/ 15.9	0.8/ 8.4	Sandy loam	3.7	7.30	<i>Eriogonum fasciculatm</i>
Desert lawn	33.7732, -116.3539	73	33.2/ 10.7	15.7/2 4.3	Sandy loam	7.5	6.72	<i>Cynodon dactylon</i>
Desert agriculture	33.5220, -116.1503	12	32.0/ 11.7	4.3/ 5.5	Sand	3.4	8.57	Varieties of Citrus
Desert wildland	33.6708, -116.3721	243	47.8/ 20.1	1.4/ 3.3	Sand	1.6	7.79	<i>Larrea tridentata</i>

<sup>a</sup> Measurements and samples collected at 5 cm depth

<sup>b</sup> Summer and winter values, respectively

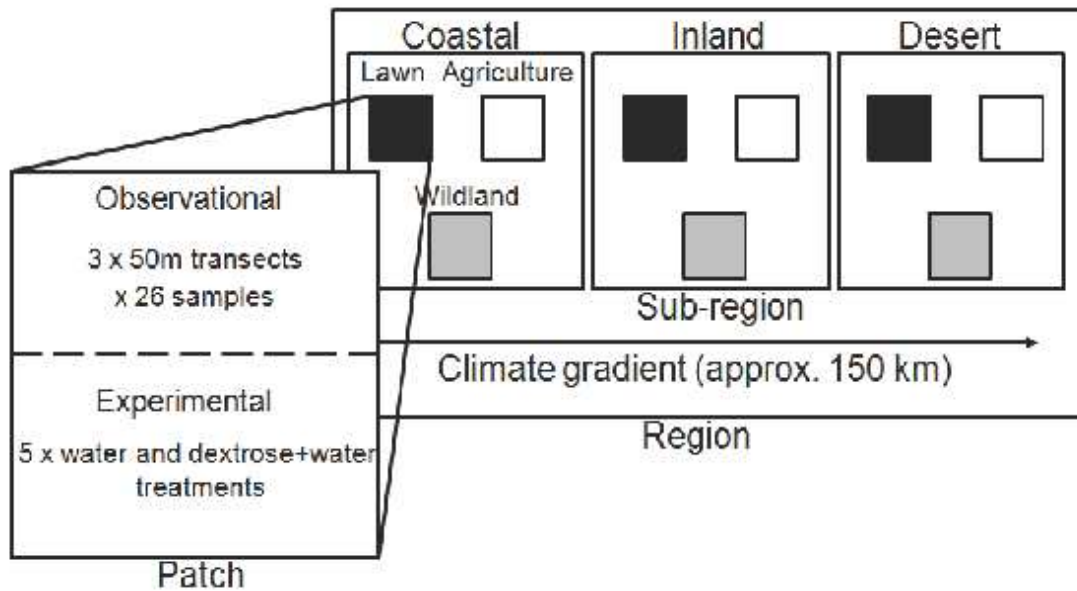
**Table 1.3** Effects of climate, land use, and season on soil respiration, temperature, volumetric water content, and organic matter in southern California, USA. Reported as ANOVA F-statistic and level of significance.

	Soil respiration		Soil temperature <sup>a</sup>		Soil volumetric water content <sup>a</sup>		Soil organic matter <sup>a</sup>	
	Statistic(d.f.)	P-value	Statistic(d.f.)	P-value	Statistic(d.f.)	P-value	Statistic(d.f.)	P-value
Climate	F <sub>2,1323</sub> = 3.20	0.041	F <sub>2,1323</sub> = 173.08	<0.001	F <sub>2,1323</sub> = 71.41	<0.001	F <sub>2,1323</sub> = 94.34	<0.001
Land use	F <sub>2,1323</sub> = 466.56	<0.001	F <sub>2,1323</sub> = 1058.71	<0.001	F <sub>2,1323</sub> = 1044.92	<0.001	F <sub>2,1323</sub> = 164.18	<0.001
Season	F <sub>1,1323</sub> = 267.37	<0.001	F <sub>1,1323</sub> = 13265.66	<0.001	F <sub>1,1323</sub> = 355.47	<0.001		
C X L	F <sub>4,1323</sub> = 5.91	<0.001	F <sub>4,1323</sub> = 109.45	<0.001	F <sub>4,1323</sub> = 24.02	<0.001	F <sub>4,1323</sub> = 125.90	<0.001
C X S	F <sub>2,1323</sub> = 13.07	<0.001	F <sub>2,1323</sub> = 68.24	<0.001	F <sub>2,1323</sub> = 52.76	<0.001		
L X S	F <sub>2,1323</sub> = 292.58	<0.001	F <sub>2,1323</sub> = 256.18	<0.001	F <sub>2,1323</sub> = 62.02	<0.001		
C X L X S	F <sub>4,1323</sub> = 2.37	0.051	F <sub>4,1323</sub> = 127.93	<0.001	F <sub>4,1323</sub> = 11.18	<0.001		

<sup>a</sup>Samples collected at 5 cm soil depth

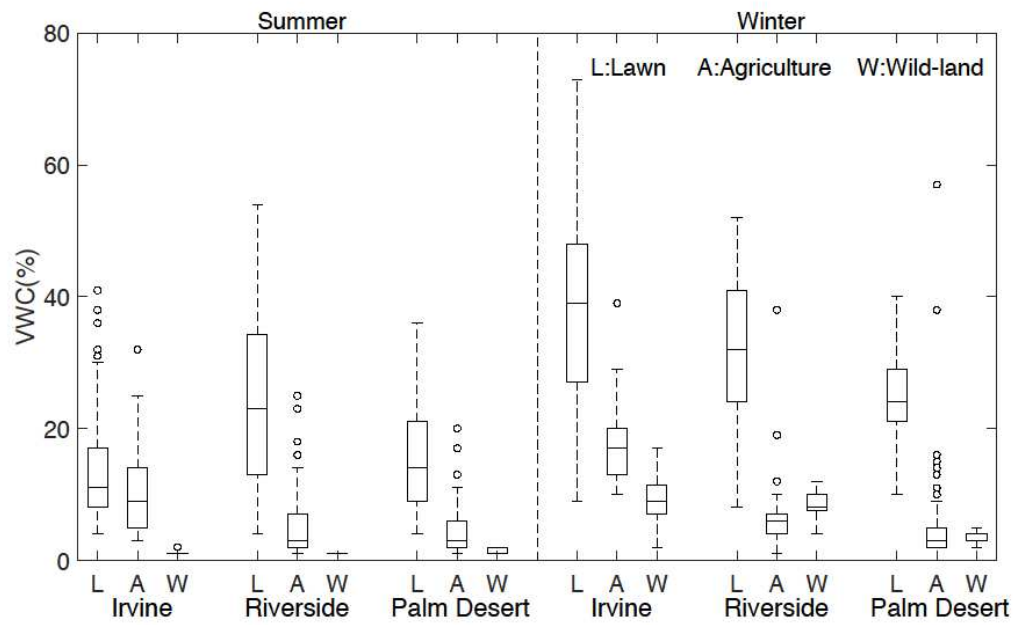
**Table 1.4** Effects of climate and land use on soil respiration for both treatments of water and dextrose and water in a coastal to desert climate gradient in southern California, USA. Reported as ANOVA F-statistic and level of significance.

	Water		Dextrose and Water	
	Summer	Winter	Summer	Winter
Climate	2.96/ 0.064	3.88/ 0.030	4.32/ 0.021	11.30/ <0.001
Land use	23.61/ <0.001	12.20/ <0.001	20.53/ <0.001	6.89/ 0.003
Climate X Land use	1.55/ 0.209	2.49/ 0.060	1.35/ 0.272	4.25/ 0.006

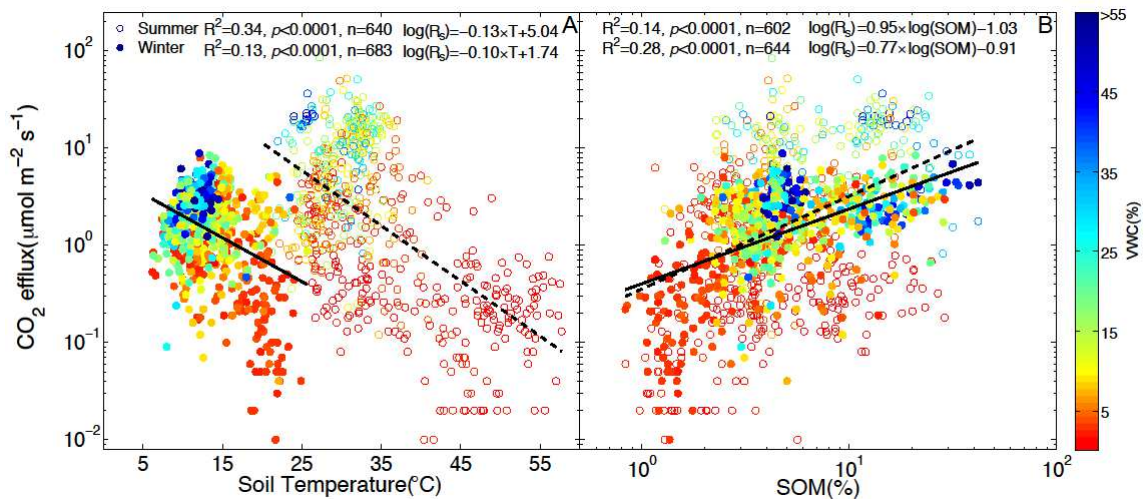


**Figure 1.1** Diagram of the design used for this study. Both *in-situ* observational and experimental studies measured soil respiration within three land use types, spanning a coastal to desert regional climate gradient that encompasses three sub-regions. Spatially independent replicates were used for both observational (3 transects) and experimental studies (5 treatment triplets).

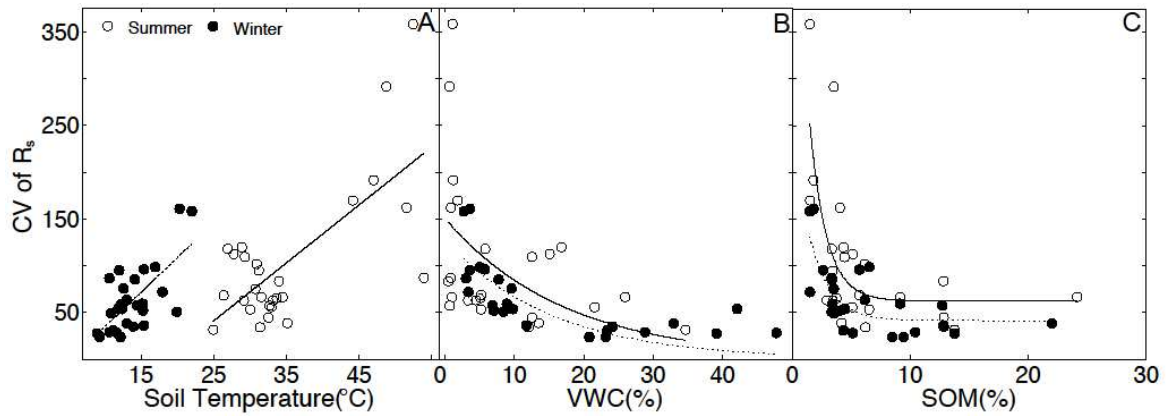




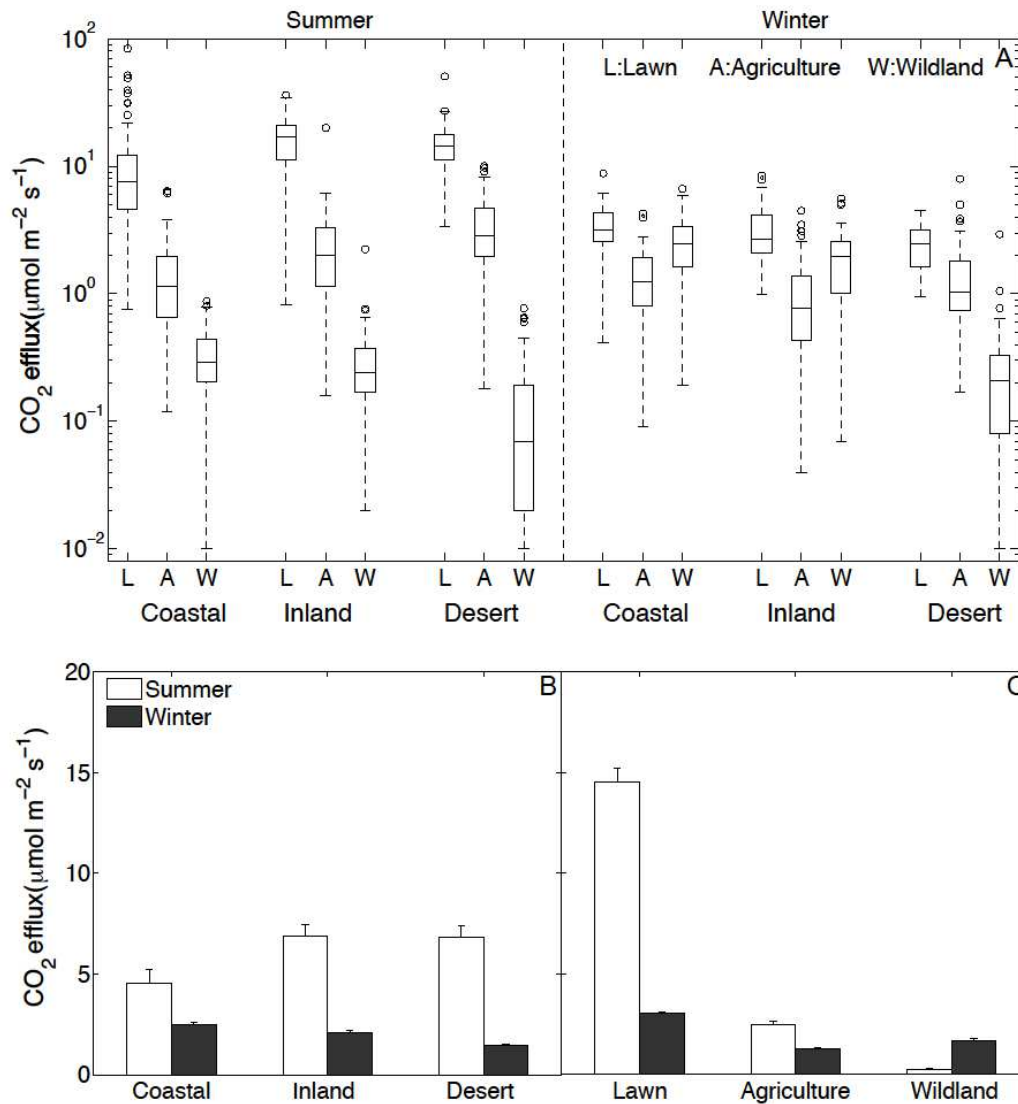
**Figure 1.2** Box plots showing VWC for each site during the summer and winter months in 2012 to 2013 ( $n = 1323$ ). Climate ( $F_{2,1323} = 71.41$ ), land use ( $F_{2,1323} = 1044.92$ ), and season ( $F_{1,1323} = 355.47$ ) were significant ( $p < 0.05$ ) in a three-way fixed-effect ANOVA.



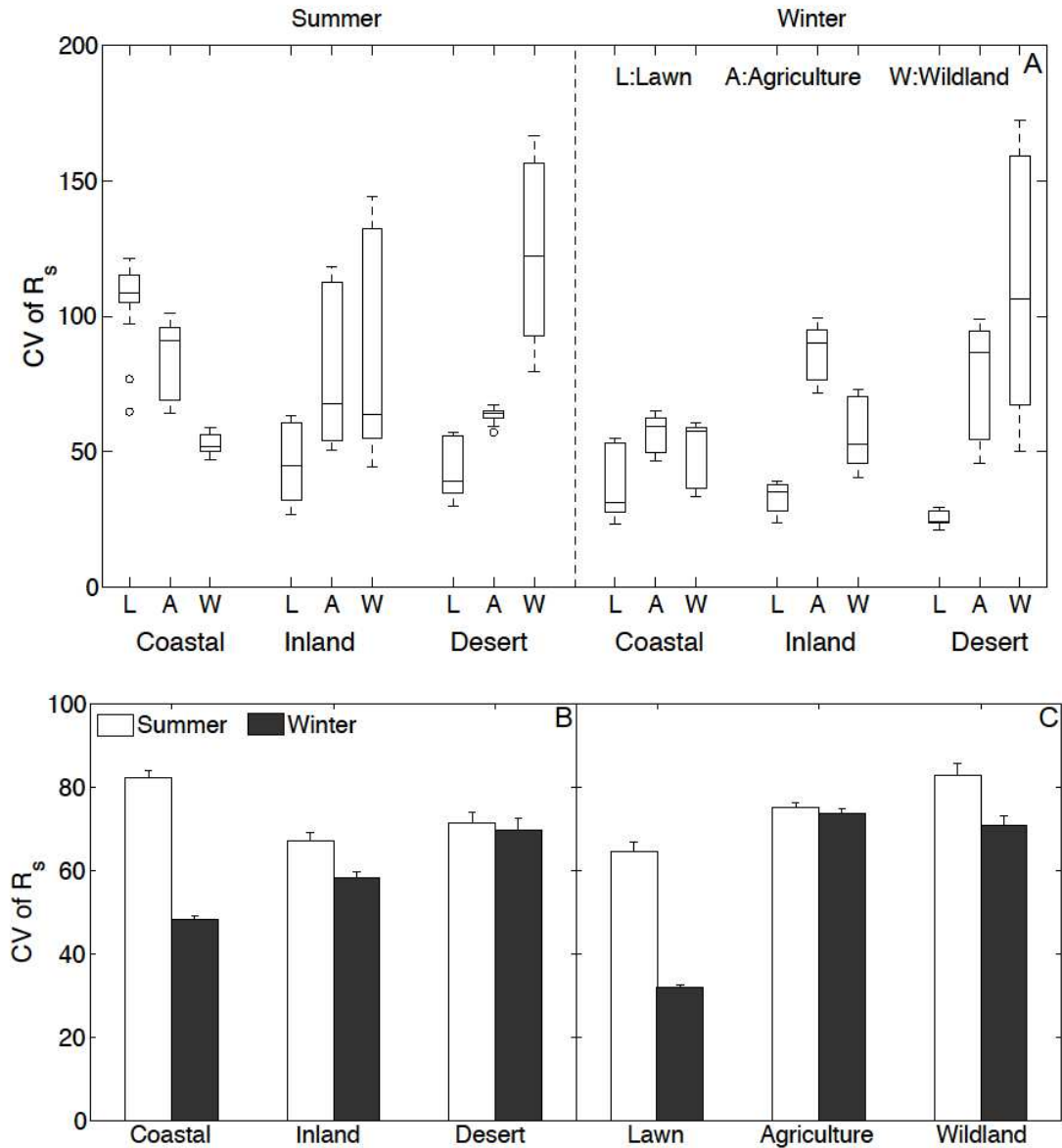
**Figure 1.3** Over the entire study region, (a)  $R_s$  is negatively related to soil temperature (5 cm depth) and positively related to (b) percent SOM (5 cm depth) for both summer (dash line,  $R^2 = 0.31$ ,  $p < 0.0001$ , and  $R^2 = 0.14$ ,  $p < 0.0001$ , respectively) and winter (solid line,  $R^2 = 0.13$ ,  $p < 0.0001$ , and  $R^2 = 0.28$ ,  $p < 0.0001$ , respectively). Percent VWC (5 cm depth) is plotted using the color scale to the right. VWC is negatively correlated with temperature ( $R^2 = 0.18$ ;  $p < 0.0001$ ), and positively correlated with SOM ( $R^2 = 0.13$ ;  $p < 0.0001$ ). Each point represents a single chamber flux measurement.



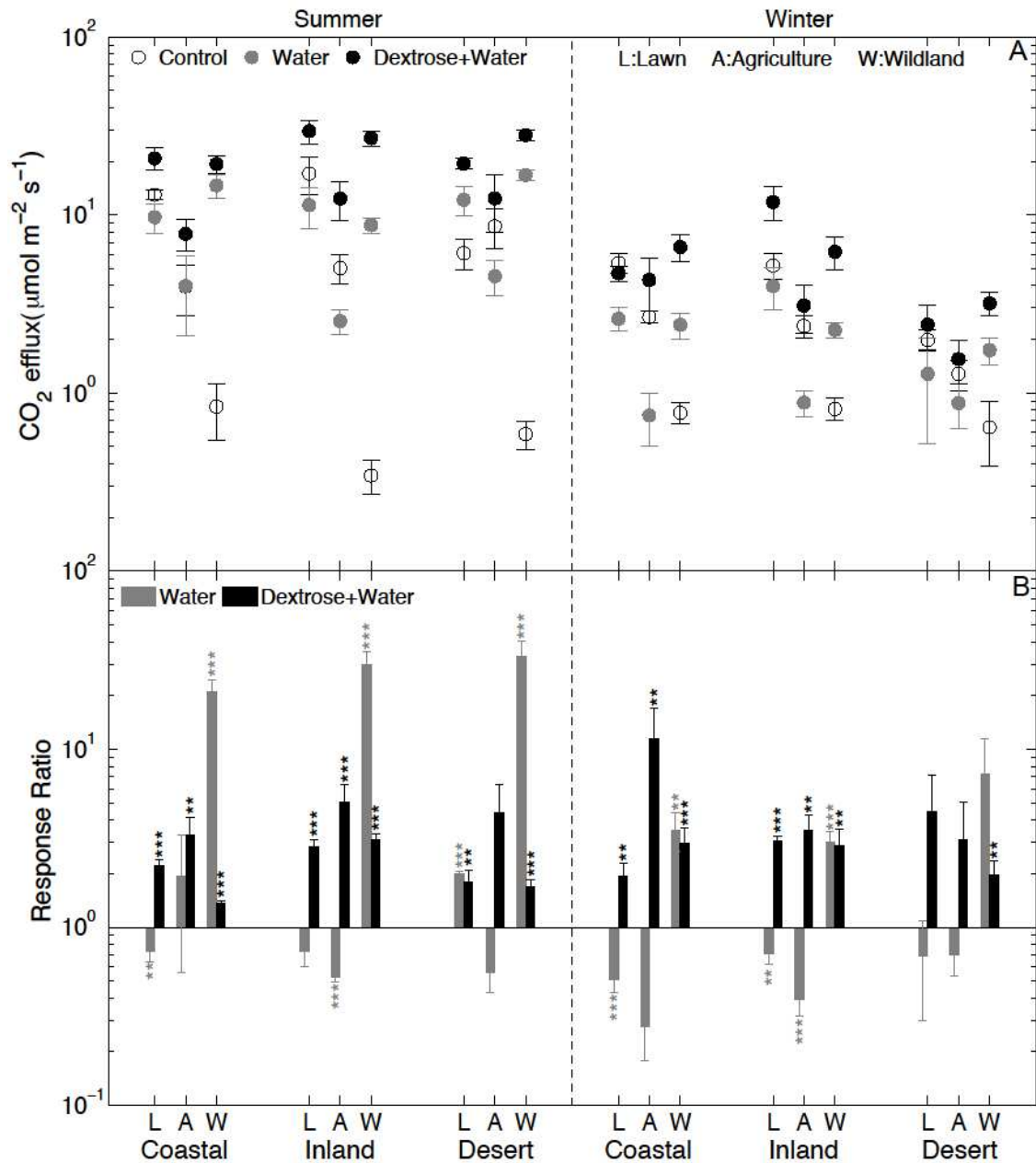
**Figure 1.4** The mean coefficient of variation (CV) in  $R_s$  for each transect was positively related to (a) soil temperature (5 cm depth) for both summer (solid line,  $R^2 = 0.48$ ,  $p < 0.001$ ) and winter (dash line,  $R^2 = 0.46$ ,  $p < 0.001$ ). While the mean CV in  $R_s$  was negatively related to (b) percent VWC (5 cm depth) and (c) SOM (5 cm depth) for both summer ( $R^2 = 0.21$ ,  $p < 0.03$ ,  $R^2 = 0.48$ ,  $p < 0.01$ , respectively) and winter ( $R^2 = 0.56$ ,  $p < 0.02$ ,  $R^2 = 0.53$ ,  $p < 0.001$ , respectively).



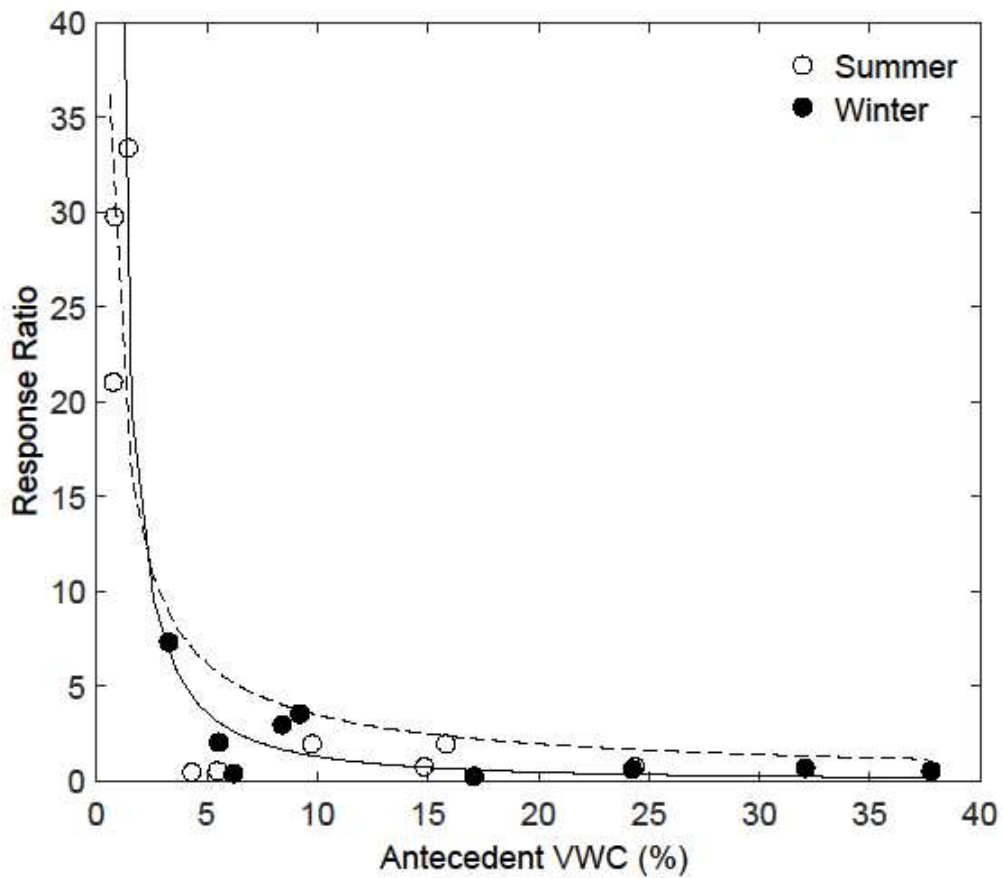
**Figure 1.5** Box plots showing (a)  $R_s$  for each site during the summer and winter months in 2012 to 2013 ( $n=1323$ ). (b) Mean  $R_s$  ( $\pm$ SE) decreased from summer to winter for all sub-regions. Wildland sites (c) experience the highest fluxes in the winter, contrasting that of lawn and agricultural land uses. The mean was measured using the jackknife method to assess the significance of the data. Climate ( $F_{2,1323} = 3.20$ ), land use ( $F_{2,1323} = 466.56$ ), and season ( $F_{1,1323} = 267.37$ ) were significant ( $p < 0.05$ ) in a three-way fixed-effect ANOVA. There were significant effects ( $p < 0.001$ ) between each land use within each sub-region, and between each sub-region within each land use for both seasons for Tukey post-hoc comparisons.



**Figure 1.6** Box plots showing (a) coefficient of variation (CV) measured using the jackknife method to assess the significance of the data. Mean ( $\pm$  SE) CV in  $R_s$  for three (b) sub-regions and (c) land use types in summer and winter 2012 to 2013 showing climate and land use regulation ( $n = 1323$ ). Climate ( $F_{2,1323} = 15.08$ ), land use ( $F_{2,1323} = 812.01$ ), and season ( $F_{1,1323} = 503.30$ ) were significant ( $p < 0.001$ ) in a three-way fixed-effect ANOVA. There were significant effects ( $p < 0.001$ ) between each land use within each sub-region, and between each sub-region within each land use for both seasons for Tukey post-hoc comparisons.



**Figure 1.7** Mean  $R_s$  ( $\pm$ SE) (a) for each site and treatment during summer 2013 and winter 2012 ( $n = 270$ ). Response ratios (b) were calculated using  $R_s$  for water divided by the control value, and  $R_s$  for the dextrose + water divided by the water value for each treatment. A log response ratio of zero indicates no treatment effect. \* denotes treatment response  $p$ -value level of significance using Student's  $t$ -test, where  $** < 0.05 < *** < 0.01$ .



**Figure 1.8** The mean response ratio of  $R_s$  for each transect was negatively related to antecedent soil VWC (5 cm depth) for both summer (solid line,  $R^2 = 0.74$ ,  $p < 0.01$ ) and winter (dash line,  $R^2 = 0.70$ ,  $p < 0.01$ ). Response ratios were calculated using  $R_s$  for water divided by the control value.

## **Chapter 2: The influence of vegetation, mesoclimate and meteorology on urban atmospheric microclimates across a coastal to desert climate gradient**

### **Abstract**

Many cities are increasing vegetation in part due to the potential microclimate cooling. However, the magnitude of vegetation cooling and sensitivity to mesoclimate and meteorology are uncertain. To improve understanding of the variation in vegetation's influence on urban microclimates I asked: how do meso- and regional-scale drivers influence the magnitude and timing of vegetation-based moderation on summertime air temperature ( $T_a$ ), relative humidity (RH) and heat index (HI) across dryland cities? To answer this question I deployed a network of 180 temperature sensors in summer 2015 over 30 high- and 30 low-vegetated plots in three cities across a coastal to inland to desert climate gradient in southern California, USA. In a followup study, I deployed a network of temperature and humidity sensors in the inland city. I found negative  $T_a$  and HI and positive RH correlations with vegetation intensity. Furthermore, vegetation effects were highest in evening hours, increasing across the climate gradient, with reductions in  $T_a$  and increases in RH in low-vegetated plots. Vegetation increased temporal variability of  $T_a$ , which corresponds with increased nighttime cooling. Increasing mean  $T_a$  was associated with higher spatial variation in  $T_a$  in coastal cities and lower variation in inland and desert cities, suggesting a climate dependent switch in vegetation sensitivity. These results show that urban vegetation increases spatiotemporal patterns of microclimate with greater cooling in warmer environments and during nighttime hours. Understanding urban



microclimate variation will help city planners identify potential risk reductions associated with vegetation and target at-risk populations and develop effective strategies ameliorating urban microclimate.

## **Introduction**

Metropolitan areas contain a mosaic of land covers that include contrasting patches of high- and low-vegetation intensity, and consequently have highly variable ecosystem structures and functions (Grimm et al. 2000). Patterns in vegetation intensity are directly linked to urbanization and mesoclimate distributions (Brazel et al. 2007, Jenerette et al. 2007). Since the mid-20<sup>th</sup> century, large cities in the United States are warming twice as fast as surrounding rural and wildland areas (Stone et al. 2012), especially in the dry southwestern United States (Brazel et al. 2000). Regional urban warming, commonly described as the urban heat island (UHI), is created by increasing impervious surfaces and decreasing vegetation cover, which warms temperatures in the urban core compared to surrounding rural and wildland areas (Oke 1973, Santamouris 2015). However, at finer scales vegetation may create heterogeneous cool refugia within cityscapes (Jenerette et al. 2011, Imhoff et al. 2010, Davis et al. 2016). Vegetation increases latent heat flux via transpiration and decreases sensible heat flux via shading, which cools local microclimates (Yang et al. 2011, Jenerette et al. 2011, Chakraborty et al. 2015). Regionally, the magnitude of vegetation cooling is influenced by climate

patterns, where, particularly in dryland regions, urbanization may increase vegetation intensity compared to rural and wildland areas. In these regions higher vegetation intensity creates an "oasis effect" and, as a result, reduces summer temperatures within some neighborhoods (Brazel et al. 2000, Jenerette et al. 2007, Buyantuyev and Wu 2010, Imhoff et al. 2010, Lazzarini et al. 2013, Jenerette et al. 2013). Locally, the distribution of urban vegetation may magnify temperature inequities within a city, resulting in unequal benefits and health consequences for residents (Jenerette et al. 2016). Within cities vegetation cooling is strongest in neighborhoods that are near parks or have high-vegetation cover and water consumption (Shashua-Bar and Hoffman 2000, Harlan et al. 2009, Cao et al. 2010, Jenerette et al. 2011, Declet-Barreto et al. 2013). Increases in relative humidity (RH) associated with highly-vegetated residential ecosystems of arid and semi-arid regions may counter this cooling effect through increases in human-perceived temperatures, or heat index (HI, Steadman 1979, Hall et al. 2016). HIs are used to combine  $T_a$  and RH into a single model that approximates human-perceived equivalent temperature in shaded areas (Rothfus 1990). The spatiotemporal distributions of temperature and humidity create an "urban heat riskscape" where microclimates create varying levels of human exposure to heat hazards (Jenerette et al. 2011). Characterizing interactions between vegetation and  $T_a$  and RH in urban ecosystems may be used to predict urban responses to future climate change scenarios.

Patterns and influences of vegetation and landscape factors on fine-scale urban air temperature ( $T_a$ ) within cities have been primarily analyzed using limited weather station data (e.g. Davis et al. 2016), with a small number of studies utilizing a distributed

network of sensors (e.g. Feyisa et al. 2014, Hall et al. 2016, Shiflett et al. 2017). These studies have found the greatest  $T_a$  differences are typically observed at night and morning hours (Landsberg 1981, Coseo and Larson 2014). Increased nighttime  $T_a$  variation likely arises as a consequence of differences in heat flux from urban covers. Impervious surfaces have high heat storage capacity, and thus absorb heat during the day and release it at night, creating contrasting responses in air and surface temperatures (Roth et al. 1989, Gallo et al. 1993, Grimmond 2007, Chakraborty et al. 2015, Hall et al. 2016, Davis et al. 2016). Some studies indicate that urban vegetation at the block or neighborhood scale (<250 m) may be highly influential on  $T_a$  (Skelhorn et al. 2014, Feyisa et al. 2014), greater than a comparable volume of built cover (Davis et al. 2016). If local vegetation patterns modulate daily changes in  $T_a$ , then the greatest difference between high- and low-vegetated locations should occur at night, because vegetation reduces heat storage and sensible heat flux from urban land covers (Chow et al. 2011). This reduced heat flux is predicted to result in a greater range and temporal variation in  $T_a$  for highly vegetated locations. Alternatively, urban vegetation may increase nighttime  $T_a$  by providing insulation from high wind velocities (Gillner et al. 2015). Investigations into how urban landscapes affect  $T_a$  is essential to uncovering sources of inequities in cooling benefits and developing urban management policies for reducing heat vulnerabilities.

Important drivers of the influence of vegetation on  $T_a$  may be distributions of mesoclimate and meteorological conditions (Zhao et al. 2014). Mesoclimates, or city-scale climates, with high mean daily temperatures, or heat wave conditions in moderate climates may enhance vegetation cooling and UHI effects by increasing the effect of

shading and increasing potential transpiration rates (Jenerette et al. 2011, Jenerette et al. 2016, Tayyebi and Jenerette 2016, Ramamurthy and Bou-Zeid 2017). The negative feedback of vegetation cooling leads to a mean temperature-temperature variability hypothesis (the  $\bar{T}_a - T_a$  variability hypothesis), that predicts warmer mesoclimates and warmer meteorology will lead to greater  $T_a$  spatial variation. Countering mean temperature effects within cities, there is some evidence that precipitation reduces urban heating effects on variation due to increases in air convection and reductions in surface heating (Imhoff 2010, Zhao et al. 2014, Chow et al. 2014). Wind is also predicted to minimize vegetation microenvironment effects through increased air mixing that reduces plant canopy insulating effects and temperature inequities (Grimmond 2007).

To assess the role of hypothesized drivers of variation in urban  $T_a$ , I asked: (1) what are the spatiotemporal patterns of summertime vegetation  $T_a$  cooling in dryland urban landscapes and (2) if patterns in vegetation intensity are correlated to spatial and temporal variation in  $T_a$ , how are these variables related to mesoclimate drivers of mean daily temperature, wind, and precipitation? I then expanded this question of microclimate climate variation by asking: how does vegetation distribution within a dryland city influence the spatiotemporal patterns of summertime RH and HI? To address these questions of variation in vegetation induced microclimate effects, I analyzed the patterns of  $T_a$  and RH in response to vegetation, climate, and meteorological sources of variation at three cities along a coastal to inland to desert climate gradient in urban landscapes of the greater Los Angeles metropolitan region of southern California, USA. The combination of a prominent climate gradient of increasing  $T_a$  and airdity and

generally similar pattern of urbanization provide a unique opportunity to study the effects of mesoclimate on urban microclimate. Understanding spatial and temporal variations in  $T_a$  and RH across urban landscapes will expand the urban heat "riskscape" concept to include micro-, meso- and regional-scale dynamics of urban microclimates, allowing city planners to better identify effectiveness of vegetation for urban cooling and reduce heat vulnerabilities, especially in areas of high heat risk.

## **Methods**

### *Study sites and design*

My study region is situated in the Los Angeles megacity of 18 million residents within southern California, USA, an area characterized by a Mediterranean climate with hot-dry summers and cool-wet winters. I distributed an  $T_a$  sensor network in mature street-side trees in three cities within this region along an approximately 150 km transect from mild coastal Irvine to inland Riverside to hot desert Palm Desert. These cities were selected to test hypotheses of mesoclimate effects on microclimate. Elevation of sensor plots ranged from 4 to 60 m in Irvine, 238 to 331 m in Riverside, and 0 to 144 m in Palm Desert. The surrounding native vegetation community for Irvine and Riverside is Coastal Sage Scrub and Sonoran Desert Scrub for Palm Desert. Across sites, mean annual precipitation (MAP) varies between 300 mm at the coast to 103 mm in the desert. Mean annual temperature (MAT) varies between 17.0 °C at the coast and 23.9 °C in the desert.

The climate gradient is more pronounced in summer, when average maximum temperatures in August are 28.4 °C and 41.2 °C in the coastal and desert cities, respectively.

In each of the three cities I established a network of twenty observational pairs, consisting of ten high and ten low vegetation density plots (Figure 2.1). Each high- and low-vegetation paired plot was positioned 1 to 1.5 km apart to quantify local-scale effects of vegetation while accounting for large-scale gradients in  $T_a$  related to geography and topography. Sites were selected using initial selection from high resolution imagery and later confirmed on the ground. I subsequently quantified vegetation differences as differences in the Normalized Difference Vegetation Index (NDVI, Tucker 1979, Turner et al. 1999), a proxy for vegetation patterns and readily obtained from remotely sensed imagery (van Leeuwen et al. 2006) commonly used for characterizing urban vegetation (Gallo et al. 1993, Shiflett et al. 2017). I chose NDVI over other indices because of the global availability and high repeat frequency of these data and its association with LST and  $T_a$  in prior studies (Jenerette et al. 2016, Shiflett et al. 2017). Average paired-plot level difference in NDVI between all paired high- and low-vegetated plots was  $0.22 \pm 0.08$ ,  $0.31 \pm 0.12$ , and  $0.28 \pm 0.12$  at Coastal, Inland, and Desert cities, respectively (Student's  $t$ -test  $P < 0.05$ ; Figure 2.1e).

Using this design, the average changes in microclimate across paired-plots and correlations of NDVI with microclimate were quantified. All temperature measurements were collected in a 61-day time period in 2015 from July 18th to September 16th (corresponding to Julian day of year (DOY) 199 and 259), encompassing the warmest

months of the year. Subsequently, the following summer, relative humidity measurements were collected in the inland city in a 17-day time period from August 17<sup>th</sup> to September 13<sup>th</sup> 2016 (DOY 230 to 257) using the same sampling locations as the  $T_a$  measurements.

#### *Micrometeorological sensors*

Ten high- and ten low-vegetated plots consisted of three replicate temperature sensors (iButton Thermocron DS1922L, Maxim Integrated Products, Inc., San Jose, California, USA) with an accuracy of  $\pm 0.5^\circ\text{C}$  and range from  $-10$  to  $65^\circ\text{C}$  mounted on the trunks of three neighboring trees within 10 m of each other 2 m from the ground ( $n=180$ ). To explore RH effects, in a follow-up study in 2016 one temperature and humidity sensor (iButton Hydrocron DS1923, Maxim Integrated Products, Inc., San Jose, California, USA) with a temperature accuracy of  $\pm 0.5^\circ\text{C}$  from  $-10$  to  $65^\circ\text{C}$  and RH accuracy of  $\pm 0.5\%$  from 0 to 100% was mounted on the same trees 2 m from the ground at each plot in the inland city ( $n=20$ ). The added cost of these temperature and humidity sensors limited this addition to one replicate per plot in the inland city. The iButton sensors are small, self-contained units with onboard memory, measuring 15 mm in diameter and 5 mm high. Readings were collected hourly throughout the study period. To shield each sensor from direct solar radiation, they were housed in custom polystyrene cylindrical white cups. Additionally, each sensor was mounted on the north side of the trees to avoid any remaining direct effects of solar radiation.

Since these sensors are mounted under the tree canopies,  $T_a$  and RH may be different than that of open spaces. Prior studies have found that individual tree canopies may increase (Gillner et al. 2015), decrease (Streiling and Matarakis 2003, Lin and Lin 2010), or have no effect on  $T_a$  (Armson et al. 2013). Furthermore, both increases and decreases in canopy level RH have been observed (Souch and Souch 1993, Gillner 2015). While these effects may influence my results, trees were generally pruned, which may minimize their effects at my sensor heights, and my design is a practical solution for embedding sensors within a populated urban environment. To test the accuracy of the custom made radiation shield systems I hung three sensors less than a meter away from a research-grade temperature sensor (HMP-60, Viasala, Helsinki, Finland) housed in a non-aspirated gilled radiation shield underneath an orange tree at the University of California Riverside's Agricultural Operations facility for seven days. Temperature differences between the iButton and the HMP-60 sensors were not observed (2-sample t-test,  $P=0.64$ ). Furthermore, most iButton measurements fell within two standard deviations of the mean difference ( $SD=0.42$  °C), with only 2% of measurements below and 2% above this indicator, with no outliers ( $SD \geq 3$ , Osborne and Overbay 2004).

Reference  $T_a$ , wind velocity, and precipitation data were obtained from California Irrigation Management Information System (CIMIS) using stations at University of California Irvine's South Coast Research and Extension Center, University of California Riverside's Agricultural Experiment Station, and the Shadow Hills Golf Club in Indio, California (<http://cimis.water.ca.gov/WSNReportCriteria.aspx> Accessed Feb/4/2016). These stations were 10.6 to 26.4, 0.6 to 11.3, and 4.9 to 27 km away from iButton plots in



Irvine, Riverside, and Palm Desert, respectively. During the study period the average diurnal range in  $T_a$  was  $10.96\pm 3.52$ ,  $13.32\pm 2.95$ , and  $13.64\pm 2.48$  °C at Coastal, Inland, and Desert cities, respectively. Furthermore, the average diurnal range during sustained wind periods was  $2.40\pm 0.32$ ,  $3.71\pm 0.58$ , and  $2.83\pm 0.58$  m s<sup>-1</sup> at Coastal, Inland, and Desert cities, respectively. Although wintertime precipitation was predominant, the summer 2015 study period was unusually wet for coastal and inland regions, following three years of drought. Precipitation from July to September was 65 and 57 mm at the coastal and inland cities, respectively. There were unseasonable rain events at the beginning (DOY 199 to 201) and end (DOY 259) of the study period. For comparison, the average precipitation from July to September is 13 and 10 mm at the coastal and inland cities, respectively. The desert city did not experience above average precipitation with 10 mm of rain, 6 mm below average. Characteristic of summer in this region, no precipitation occurred during the 2016 sampling period.

#### *Remote sensing of vegetation*

NDVI was derived from the Airborne Visible / Infrared Imaging Spectrometer (AVIRIS) data from the August, 2014 Hyperspectral Infrared Imager (HyspIRI) preparatory mission on a cloud free day. These data were obtained prior to my 2015 and 2016 study periods but provide a recent and relative consistency was confirmed visually. In a subsequent study in Riverside, California, reductions in NDVI between 2014 and 2015 were identified but these changes were proportional to 2014 values (Liang et al. *In Revisions*). The AVIRIS data collection consists of calibrated images with spectral

radiance in 224 10 nm contiguous spectral bands with wavelengths from 400 to 2500 nm (Roberts et al. 2015). Using a scanning mirror, AVIRIS produces 677 pixels for each of the 224 bands on each scan and at the altitude of data collection resulted in a spatial resolution of 20 m pixels. Level 2B post-processed data were used for analysis, which included atmospheric correction using Atmospheric CORection Now (ACORN) software (Roberts et al. 2015). We processed AVIRIS data to obtain NDVI using Eq. 1, where B29 and B51 correspond to AVIRIS spectral channels 29 and 51 with wavelengths 0.64  $\mu\text{m}$  and 0.83  $\mu\text{m}$ .

$$\text{NDVI} = \text{B29} - \text{B51} / \text{B29} + \text{B51} \quad (1)$$

NDVI was analyzed at each sample plot in post processing using a single pixel and 90 m radius circular buffer.

### *Analysis*

$T_a$ , RH, and HI spatial heterogeneity and vegetation effects were quantified with four measures that compared variation in sensor measurements to local land cover distributions. In a preliminary comparison of the individual pixel and 90 m radius buffers, the land cover signal was more pronounced at the 90 m scale, likely in part due to noise at the individual pixel scale, and I chose the 90 m scale for subsequent analyses. My choice of buffer size agrees with prior research that has found urban microclimate vegetation effects on  $T_a$  strongest at scales of 50 to 500 m (Sashua-Bar and Hoffman

2000, Feyisa et al. 2014, Davis et al. 2016, Shiflett et al. 2017). First, as a direct measure of vegetation intensity on microclimate, the slope of the linear regression between NDVI and  $T_a$  was calculated hourly within each city. Additionally, the slope of the linear regression between NDVI, and RH and HI was calculated hourly within the inland city for 2016. HI was calculated using the Rothfusz (1990) model (EQ 2), which has been adopted by the United States National Weather Service (Steadman 1979).

$$\begin{aligned}
 HI = & -42.379 + 2.049(T_a) + 10.143(RH) - 0.225(T_a)(RH) - 0.007(T_a)(T_a) - 0.055(RH)(RH) \\
 & + 0.001(T_a)(T_a)(RH) + 0.0008(T_a)(RH)(RH) - 0.000002(T_a)(T_a)(RH)(RH) \quad (2)
 \end{aligned}$$

HI is a subjective index of human perceived temperatures and contains assumptions about human physiology, clothing, solar radiation exposure, and wind velocity (Rothfusz 1990). Second, the difference between low- and high-vegetated paired plots, expressed as  $\Delta T_a$  and  $\Delta RH$ , was used to evaluate vegetation effects on  $T_a$  and RH, respectively. These measures capture the mean  $T_a$  and RH difference between paired plots, while accounting for regional sources of climate variation. To obtain plot-level  $T_a$  an average was calculated using all three replicates from the 2015 study. Third, the mean  $T_a$  and RH difference between high- and low-vegetated plots was expressed as the mean percent change in  $T_a$  and RH, calculated by dividing  $\Delta T_a$  and  $\Delta RH$  by mean  $T_a$  and RH and expressed as a whole number percent, to show a normalized average. Fourth, the coefficient of variation (CV) was used to quantify spatial and temporal variations. The CV is a dimensionless quantity of variation normalized by the sample mean; commonly

expressed as a whole number percent frequently used to assess spatiotemporal landscape variation (Crum et al. 2016). Temporal heterogeneity in vegetation effects on  $T_a$  was analyzed at both daily and seasonal scales using correlation between NDVI and temporal CV of  $T_a$ . Daily-scale spatial averages were analyzed using the slope of correlation in NDVI and  $T_a$ , percent change in  $T_a$ , and the slope of correlation in mean  $T_a$  and spatial CV of  $T_a$ . Daily scale temporal variation is the average hourly data using all days of the study period. Seasonal variation includes data from all days of the study period, from DOY 199 to 259.

## **Results**

### *Daily patterns in cooling intensity*

Vegetation cooling effects, measured as the slope of NDVI and  $T_a$ , had a strong daily pattern throughout the climate gradient (Figure 2.2). Slopes were generally negative; increases in NDVI tended to decrease  $T_a$ , although during mid-day hours slopes approached zero or were not significant ( $P > 0.05$ ). Furthermore, slopes decreased along the climate gradient, with hourly average slopes ranging from -0.25 to -3.83 and -1.82 to -6.79, at the coastal and desert cities, respectively. Despite steeper relationships in the desert at night, there were fewer significant correlations compared to coastal and inland cities ( $P < 0.05$ ). Daily changes in the strength of the relationship, measured using the Pearson correlation coefficient, mirrored that of the slope, with the exception of the desert city where I observed weaker nighttime correlations than the other cities (Figure

2.3). Correlations decreased in the daytime more at the coast than the desert, with  $r$ -values reducing 0.67 at the coast and 0.33 at the desert.

In my follow-up study, vegetation effects on RH, measured as the slope of NDVI and RH, had a strong daily pattern in the inland city (Figure 2.4). Slopes were all positive with mean values ranging from 7.41 to 23.93, indicating that increases in NDVI consistently increased RH, with much lower slopes during the mid-day hours. During the evening the effects were driven by large differences in only some pairs. Two paired plots had unusually high nighttime  $\Delta$ RH, with two hourly values greater than two standard deviations of the mean hourly difference (Figure 2.5). Unlike correlations found between temperature and NDVI, there was a less noticeable daily pattern in percentage of insignificant correlations for RH ( $P > 0.05$ ). Similar to 2015, slope of NDVI and  $T_a$  had a strong daily pattern in the inland city (Figure 2.4). This cooling effect was slightly reduced during the day where there were no significant correlations between 12:00 and 18:00 when factoring in heat index values (Figure 2.4).

The strength of vegetation effects varied throughout the study period, but generally vegetation cooling effects were greater at night for  $\Delta T_a$ , the average of the local scale temperature change from low- to high-vegetated plots (Figure 2.6).  $\Delta T_a$  was mostly positive with values as high as 4.07°C. There were some exceptions where there was a reversal in temperature differences, mostly in the daytime hours, with values as low as -0.14°C. When comparing  $\Delta T_a$  during the rainiest day (DOY 259) with the hottest (DOY 252 at the coast and DOY 227 at the inland and desert cities) there are  $\Delta T_a$  reductions ( $P < 0.001$ ) of 43% in coastal, 71% in inland, and 32% in desert cities. Along with reduced

vegetation effects, the coastal city had 20% reductions in spatial variation between local pairs, while there was increased variation between inland (132%) and desert (32%) cities. Daily averages of local scale vegetation cooling effects from the entire study period were measured as the average  $\Delta T_a$  divided by mean  $T_a$  or percent change in  $T_a$  (Figure 2.7). A strong "U-shaped" daily pattern emerged throughout the climate gradient ranging from 1.12% to 8.11%. Furthermore, daily range in vegetation  $T_a$  effects increased along the climate gradient, with average percent change in  $T_a$  ranging from 1.12 to 4.82% and 1.43 to 8.11%, at the coastal and desert cities, respectively. Supporting findings from 2015,  $\Delta T_a$  for the 2016 campaign for the inland city was the same (Figure 2.8,  $P > 0.05$ , Student's  $t$ -test). There was no daily pattern in  $\Delta RH$  in the inland city, but RH of low vegetated plots decreased by  $4.93\% \pm 4.36$  ( $P < 0.01$ ).

#### *Vegetation and climate effects on air temperature variability*

Temporal variation in  $T_a$  increased with NDVI at the 90 m radius scale in the coastal, inland, and desert cities, with consistent relationships along the climate gradient (Figure 2.9). These relationships have similar slopes across the climate gradient for both seasonal (Slope=5.3, 3.0, and 4.9, respectively) and daily (Slope=5.8, 4.2, and 6.0, respectively) scales, with differences in overall variation. For both temporal scales, there was higher overall variation in the inland city, with lower variation in the desert and coastal cities. Seasonal variation was higher than daily variation. The strength of these relationships was fairly consistent across the climate gradient at both seasonal ( $R^2=0.26$ ,

0.25, and 0.21, respectively) and daily ( $R^2=0.29$ , 0.37, and 0.24, respectively) scales ( $P<0.05$ ). There were no significant correlations at the individual pixel scale ( $P>0.05$ ).

Spatial variation of air temperature (CV of  $T_a$ ) is positively correlated to mean  $T_a$  for the coastal city, while negatively correlated in the inland and desert cities (Figure 2.10a, Slope=0.10, -0.07, and -0.26, respectively). Additionally, the strength of these relationships increased across the climate gradient ( $R^2=0.07$ , 0.09, and 0.40, respectively,  $P<0.05$ ). These relationships were not consistent throughout the day, with large daily changes (Figure 2.10b). The coastal city had 12 significant positive relationships between  $T_a$  and CV of  $T_a$  from 9:00 to 21:00, with three negative relationships between 5:00 and 7:00. The inland city had both positive and negative relationships. There were five significant negative relationships between 12:00 to 18:00, and 12 positive relationships between 16:00 to 10:00. The desert city had mostly negative relationships with six between 13:00 to 19:00, with one positive relationship at 23:00. There was a strong daily pattern in slopes ranging from -0.35 to 1.99, -5.16 to 3.50, and -3.14 to 0.74 from coastal to inland to desert cities, respectively. Daily changes in the strength of the relationship mirrored that of the slope, with r-values ranging from -0.32 to 0.60, -0.52 to 0.66, and -0.59 to 0.34 from coastal to inland to desert cities, respectively.

## Discussion

I found that vegetation reduces summer  $T_a$  primarily at night, or around the period when daily minimum temperatures occur. This finding supports the hypothesis that urban vegetation reduces  $T_a$  through reductions in heat fluxes from impervious surfaces that had been shaded during the daytime period. Importantly, this finding is in contrast with remotely sensed LST measurements, that show vegetation cooling of urban surfaces is largest during the daytime period (Buyantuyev and Wu 2010, Myint et al. 2013, Jenerette et al. 2016). During the daytime, urban vegetated surfaces may be directly cooled through increased evapotranspiration with large LST effects and relatively less  $T_a$  cooling. Consistent with the evapotranspiration hypothesis, I observed consistent increases in RH in more vegetated areas. Evapotranspiration is not a likely mechanism explaining the effects on nighttime microclimate variation, also consistent with limited nighttime LST cooling by vegetation, because it primarily occurs during active photosynthesis. However, relationships between vegetation and RH at night were stronger than the daytime, which could be attributed to nighttime irrigation associated with urban vegetation. Nevertheless, this finding has important implications for urban microclimates in that an increasing RH may counteract the human health benefits of vegetated  $T_a$  cooling at the local scale.

Across the coastal to desert climate gradient I found increasing local scale cooling effects ( $\Delta T_a$ ) positively correlated with NDVI, confirming studies that have found increased vegetation cooling intensity in hot arid regions, contributing to a negative



climate feedback effect (Imhoff et al. 2010, Tayyebi and Jenerette 2016). As the result of greater nighttime cooling effects, higher NDVI is associated with increased  $T_a$  temporal variability. This effect is reflected in seasonal scale variability, where changes in weather patterns, like heat waves, wind, and precipitation contribute to variation in addition to land cover drivers. Furthermore, supporting the  $\bar{T}_a - T_a$  variability hypothesis I observed increased spatial variation with increasing mean temperature at the coast, however, wind may have played a larger role in inland and desert cities where there was a gradient toward decreased variation with increased mean temperature.

*Mesoclimate and Meteorological influences on microclimate variation: mean temperature, wind, and precipitation*

I found large differences in the  $\bar{T}_a - T_a$  variability relationships among cities suggesting that mesoclimate may drive vegetation microclimate cooling effects. Mean  $T_a$  was positively correlated to variation of  $T_a$  at the coastal city, supporting the  $\bar{T}_a - T_a$  variability hypothesis. Counter to this hypothesis, however, inland and desert cities exhibited reduced  $T_a$  variation with increased mean  $T_a$  at the city scale. These seemingly contradictory findings can be better understood by examining changes in the  $\bar{T}_a - T_a$  variability relationship throughout the day. Negative relationships in inland and desert cities are consistent with daily patterns that show stronger negative correlations during the day, with an opposite pattern in the coastal city. Spatial variation in  $T_a$  was higher during warm summer nights and weakest during warm summer days for inland and desert cities, while the opposite daily trend occurred in the coastal city. Warm nights are more

variable in inland and desert cities resulting in increased nighttime  $T_a$  inequity between high- and low-vegetated neighborhood plots. This increased  $T_a$  variation on hot nights supports the  $\bar{T}_a - T_a$  variability hypothesis, as urban surfaces re-emit absorbed heat in the evening (Roth et al. 1989).

There are two findings that do not support the  $\bar{T}_a - T_a$  variability hypothesis. First,  $\bar{T}_a - T_a$  variability relationships during the day for inland and desert cities were negative. Second, although both inland and desert cities exhibited positive nighttime slopes, relationships were stronger in the inland city than in the desert city, even though the desert city is hotter. These results were partially explained by examining patterns of wind and precipitation.

While wind may have little effect on patterns of surface heat storage and fluxes,  $T_a$  is influenced by air convection and mixing (Landsberg 1981, Imhoff 2010, Zhao et al. 2014). At whole city scales, temperature differences between rural and urban areas are driven by daily weather conditions and are reduced during windy days (Landsberg 1981, Gallo et al. 1993). Using a representative meteorological station for each city, I found the inverted daily relationships of mean  $T_a$  and spatial variation in inland and desert cities are partially explained by wind velocity. Wind velocity at each plot location would clarify relationships on the local dynamics of  $T_a$  and wind. Here, the lack of safe mounting locations and the cost associated with installing anemometers on street-side trees at each plot ( $n=60$ ) precluded their deployment in my study – microclimate wind distributions remain an important research need (Vahmani and Ban-Weiss 2016). Among cities, wind velocity is highest during the day for coastal and inland cities, and warmer days are often

windier ( $R^2=0.51$ ,  $P<0.001$ , Figure 2.11). Air mixing with increased wind velocity on warm days can result in lower  $T_a$  spatial variation. Furthermore, wind velocity is often reduced at night and there are weaker relationships between mean  $T_a$  and wind velocity ( $R^2=0.39$ ,  $P<0.001$ , Figure 2.11). Thus at night, when wind velocity is lower, surface heat flux may more strongly drive spatial variation in  $T_a$ . Coastal regions, on the other hand, have different wind patterns, likely resulting in distinct diel  $\bar{T}_a$ - $T_a$  variability relationships. Coastal regions receive onshore wind in the daytime hours, since the land heats up faster than the neighboring ocean, which can interact with urban land cover influences on local climate (Ramamurthy and Bou-Zeid 2017). These onshore winds reduce  $T_a$ , thus the warmest days are often the *least* windy days. This unique coastal wind pattern would generate the least air mixing during warm days, which in combination with existing surface heat flux, would generate greater daytime  $T_a$  spatial variation.

For an initial evaluation of these predictions I compared the slope of wind velocity and variation of  $T_a$  (CV of  $T_a$ ). Correlation was found for 8hrs of the day at the coastal city and 5 hrs of the day for the inland and desert cities (Figure 2.12). The inland city has faster winds in the day ( $2.37 \text{ m s}^{-1}$  from 6:00 to 20:00) than at night ( $0.95 \text{ m s}^{-1}$  from 20:00 to 6:00), while the desert city has similar average wind velocities both day ( $2.20 \text{ m s}^{-1}$ ) and night ( $2.26 \text{ m s}^{-1}$ ) over the entire study period. Greater daytime wind velocity in the inland city could help explain the larger daily range of correlations between wind velocity and CV of  $T_a$ , where higher daytime wind velocities were correlated with decreased spatial variation between 7:00 and 15:00 (Figure 2.12). Furthermore, similar average day and night wind velocities in the desert may help explain

the relatively consistent statistically significant correlations between NDVI and  $T_a$  in the daytime. Other weather events, particularly precipitation, may further drive daily patterns.

Like wind, rain also reduced vegetation effects along the climate gradient likely through reduced tree shading effects, direct cooling of impervious land surfaces, and homogenization of evapotranspiration (Landsberg 1981, Imhoff 2010, Zhao et al. 2014). Some rainy days had greater reductions in vegetation effects, which are a likely result of precipitation magnitude, timing, and duration. The largest reductions in  $\Delta T_a$  were on the wettest day (DOY 259). Contrary to reduced vegetation effects, there were increases in spatial variation of  $T_a$  during rainy days for inland and desert cities. These increases in variation were less predictable since they were not correlated with increased vegetation effects. Examination of individual weather events on urban microclimate remains an important future research area.

### *Building on the "urban heat riskscape"*

Understanding the spatiotemporal variation and drivers of vegetative cooling is important for reducing heat vulnerability (Demuzere et al. 2014, Vargo et al. 2016). I found at the local paired plot-scale (1 to 1.5 km) low vegetated areas have higher mean  $T_a$  and lower temporal variation in  $T_a$  primarily as a result of reduced nighttime vegetation cooling effects. Increases in temperatures that result from regional and global climate changes may reduce citywide  $T_a$  variation in arid and semi-arid cities. Although, urban environments typically have more intricate arrangements of land covers, so factors such

as changes in height-to-width ratio of the street, anthropogenic heat sources, surface albedo, tree canopy density, tree species, below tree ground cover, and tree age and vitality will likely add complexity to my findings (Taha 1997, Sashua-Bar and Hoffman 2000, Middle et al. 2014, Gillner et al. 2015). Furthermore, future work on the effects of buffer size when computing NDVI could refine vegetation effects on microclimate variation. My findings are in contrast with LST studies that have shown warming conditions may lead to greater urban vegetation cooling effects (Jenerette et al 2011, Jenerette et al. 2016, Tayyebi and Jenerette 2016). Nighttime vegetation cooling effects, important for mitigating urban warming, are driven by divergent processes across the region. While hotter nights are associated with increased spatial variation only in the inland city, exacerbating city-wide temperature inequities, there are reduced spatial effects of hotter nights in coastal and desert cities. Such regional climate considerations are important for designing geographically specific mitigation strategies.

Increasing urban vegetation is one strategy for mitigating urban warming (Larsen 2015), but there are confounding impediments. These include economic and resources costs associated with purchasing, planting and maintaining vegetation over its entire life cycle (Jenerette et al. 2011, Pataki et al. 2011, McPherson and Kendall 2014, Demuzere et al. 2014). Increasing vegetation offers greater nighttime cooling effects in inland and desert cities but may do little to reduce daytime  $T_a$ , especially on hotter days associated with higher wind velocity. Regardless, trees may decrease daytime human perceived temperatures through shading (Klemm et al. 2015, Taleghani et al. 2016). Furthermore, with increased irrigation and decreased wind velocity high-vegetated areas increase RH,

subsequently increasing the HI, potentially countering  $T_a$  cooling benefits (Potchter et al. 2006). Nevertheless, I found increases in HI are minimal compared to cooling benefits. More humid environments may be affected differently as high  $T_a$  was often associated with low RH in my study system. There are a wide range of mitigation strategies to reduce the effects of urban warming besides increasing urban vegetation; some of these include increasing albedo of building surfaces and spacing between buildings, and constructing a variety of different green infrastructures to increase evaporative cooling (Grimmond 2007, Demuzere et al. 2014, Wong and Jim 2015, Taleghani et al. 2016). Any mitigation strategy should consider trade-offs between geographic and temporally specific urban cooling benefits, and economic and resource costs.

## **Conclusions**

I found the greatest vegetation cooling effects and  $T_a$  reductions in the evening hours, with minimal effects observed during midday. This effect increased in strength from coastal to desert cities. This "U-shaped" daily  $T_a$  vegetation cooling effect resulted in more daily and seasonal variation in high-vegetated areas which had a broader range of temperatures. Vegetation also increased RH and HI in the inland city, although these effects were limited. Furthermore, in the coastal city hotter days were correlated with increases in spatial variation in  $T_a$  while in inland and desert cities hotter days were correlated with reductions in spatial variation, and consequently areas of temperature refuge. Nighttime spatial variation in microclimate also differed among cities. In the

inland city, hotter nights were associated with increases in spatial variation in  $T_a$ , which likely increase inequities in urban temperatures. These patterns were partially explained by differences in wind velocity. Higher wind velocity was associated with reductions in spatial variation most in the inland areas, but this effect was not consistent across the climate gradient. Together these findings show that urban vegetation had consistent microclimate atmospheric cooling effects that primarily occur during the evening and are influenced by mesoclimate distributions and meteorological conditions.

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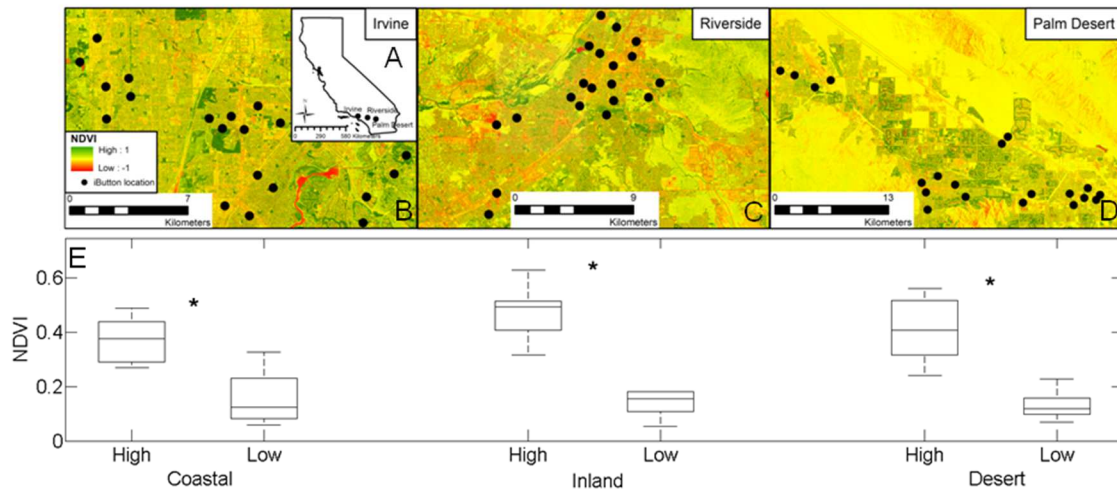
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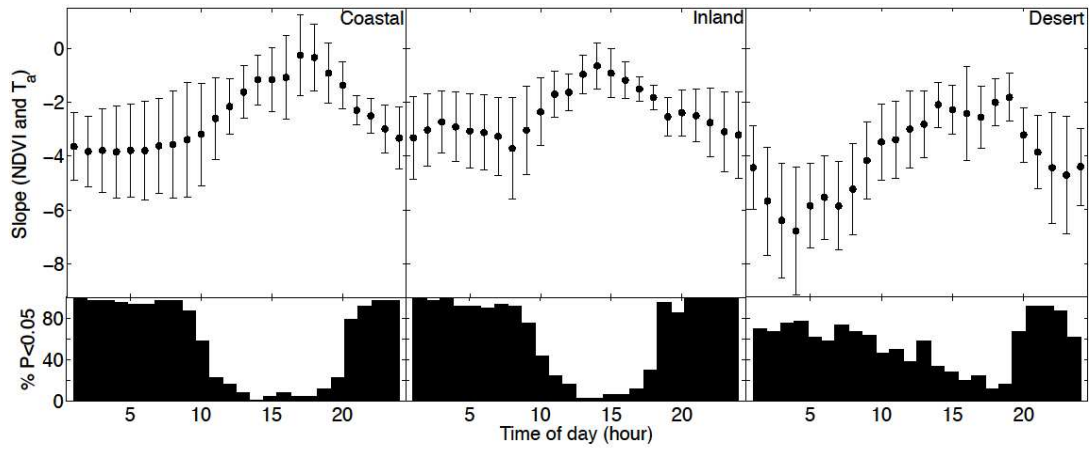
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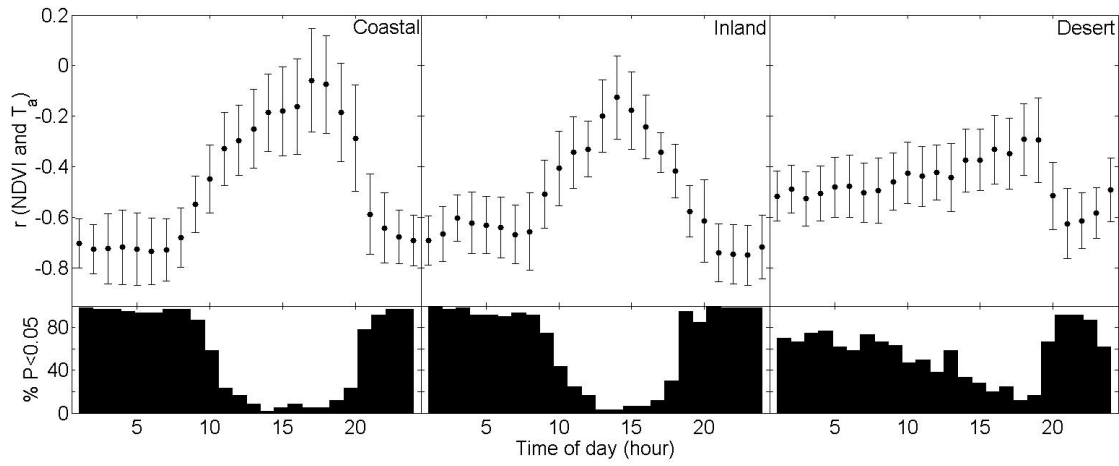
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**Figure 2.1** Site description for summer 2015 and 2016 study periods. (a) Coastal to desert transect in southern California including the three study cities Irvine, Riverside, and Palm Desert. (b,c,d) NDVI of each city with iButton air temperature sensor locations. Relative humidity and air temperature iButton sensors were placed in the same locations in Riverside during the 2016 study period. All sensors were mounted in street side trees. (e) Boxplot of NDVI in high- and low-vegetated locations at 90m radius resolution along the climate gradient. In all cases high-vegetated sites had greater NDVI than low-vegetated sites using Student's *t*-test ( $P < 0.05$ ).

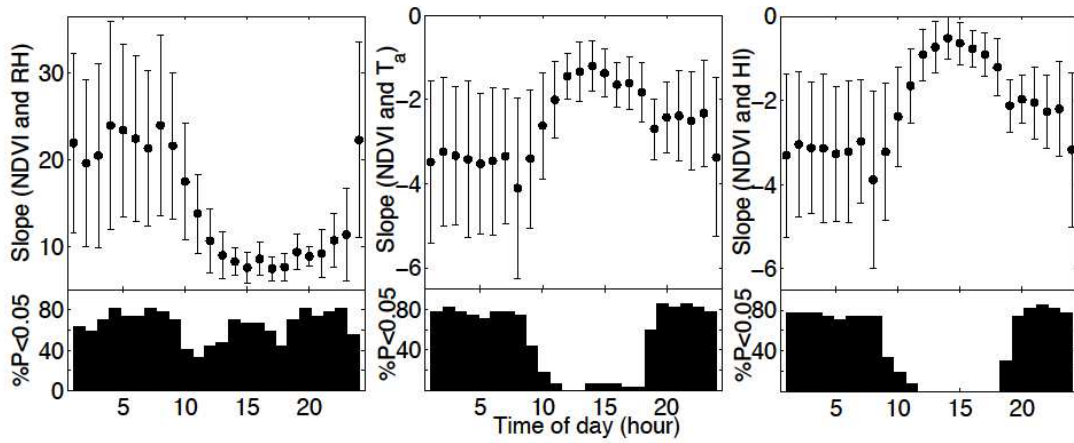


**Figure 2.2** Daily changes in slope of NDVI at 90m radius resolution and air temperature ( $\pm$ SD), with frequency of  $P < 0.05$  along the climate gradient in 2015.

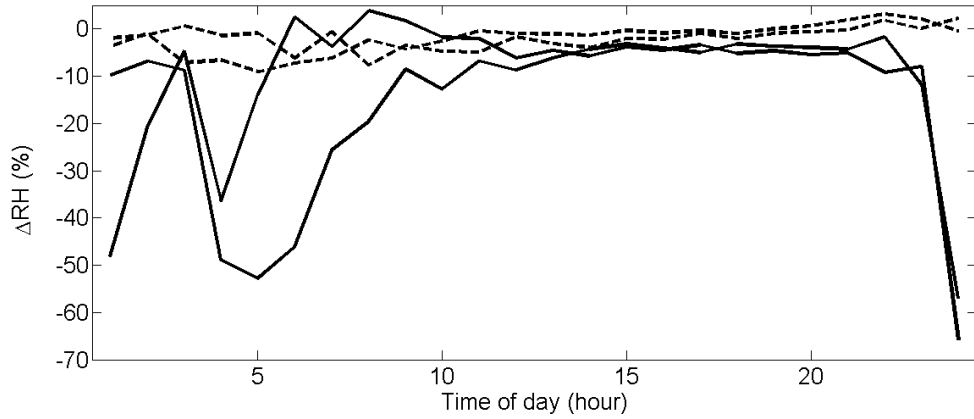


**Figure 2.3** Daily changes in the Pearson correlation coefficient ( $r$ ) of NDVI at 90m radius resolution and air temperature ( $\pm$ SD), with frequency of  $P < 0.05$  along the climate gradient. Equivalent relationships were found at 30m radius resolution.

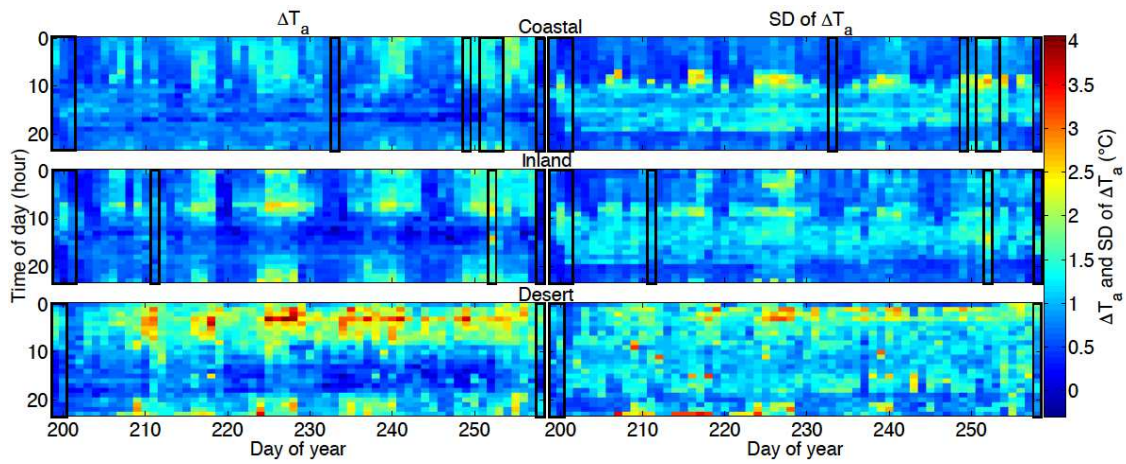




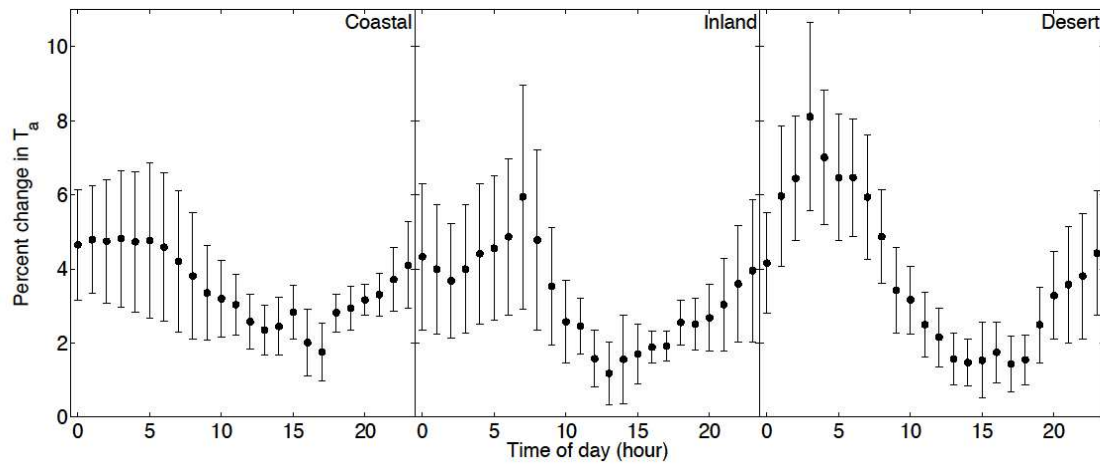
**Figure 2.4** Daily changes in slope of NDVI at 90m radius resolution and relative humidity, air temperature, and heat index ( $\pm$ SD) with frequency of  $P < 0.05$  in the inland city in 2016. Plots were in the same locations as the 2015 study ( $n=20$ ).



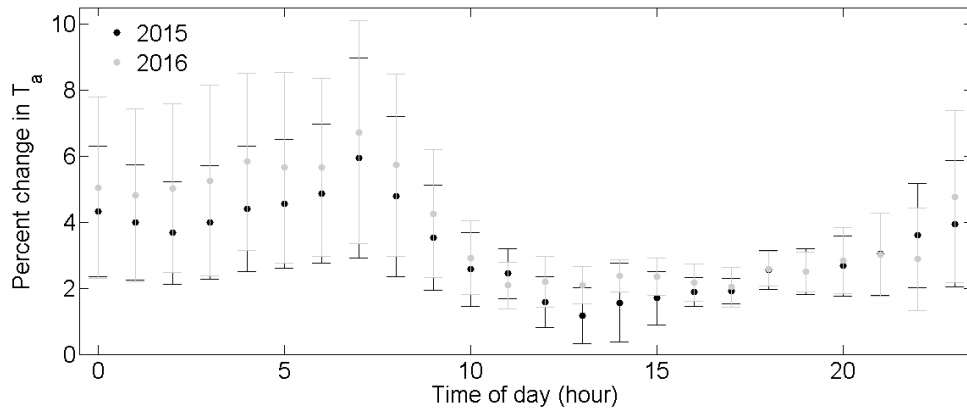
**Figure 2.5** Percent change in relative humidity ( $\Delta RH$ ) for four representative paired plots in the inland city for 2016 (DOY 230). Some paired plots diverged radically in nighttime hours (solid lines), while others had consistent relationships throughout the day (dash lines). Two paired plots had unusually high nighttime  $\Delta RH$ , with two hourly values greater than two standard deviations of the mean hourly difference. This indicates that the strong relationships between NDVI and RH at night may be driven by irrigation, which occurs primarily during the evening.



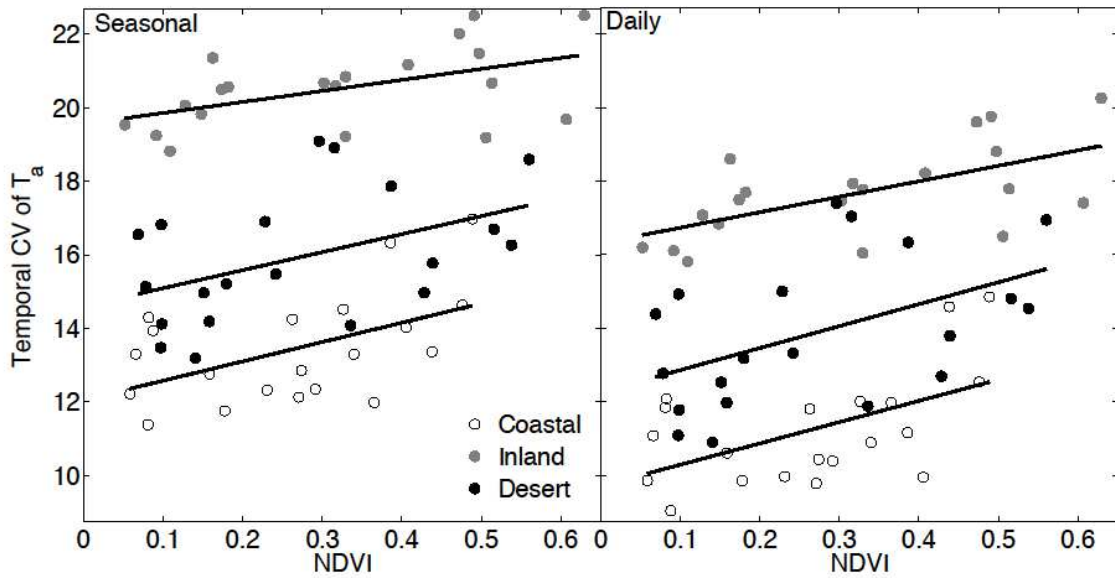
**Figure 2.6** Heat map of the local vegetation temperature effects throughout the study period, low-vegetated minus high-vegetated cover ( $\Delta T_a$ ), with spatial standard deviation along the climate gradient. Black boxes indicate days with measurable precipitation.



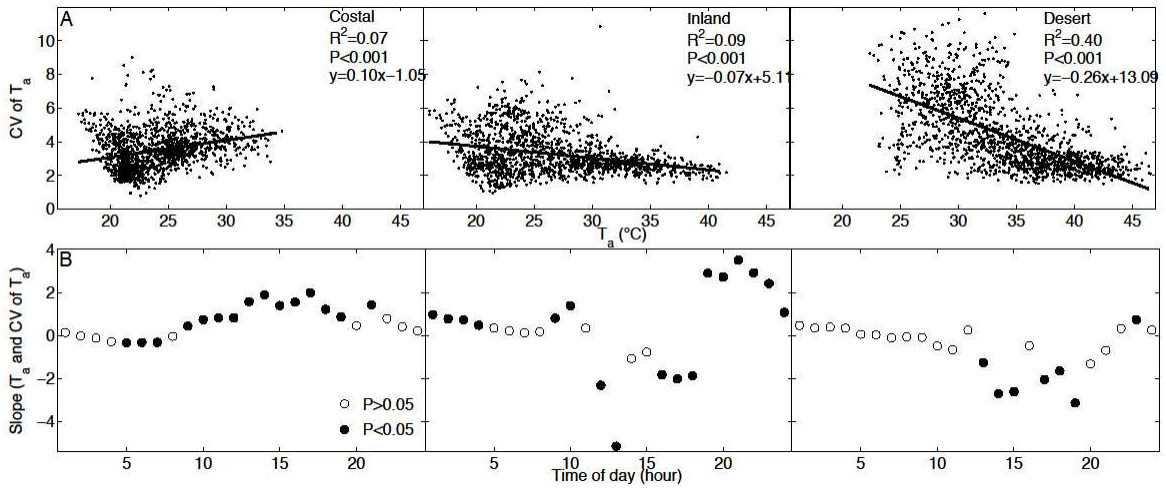
**Figure 2.7** Normalized daily temperature effects ( $\Delta T_a$ ), or the percent change in air temperature between high- and low-vegetated plots ( $\pm$ SD), along the climate gradient. Low- and high-vegetated locations have little temperature difference in the day and greater difference at night. The effect is increased from coastal to desert cities.



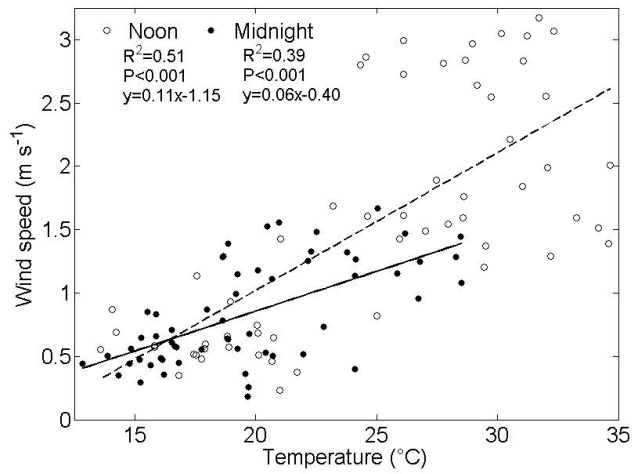
**Figure 2.8** Normalized daily temperature effects ( $\Delta T_a$ ), or percent change in air temperature ( $\pm SD$ ), for 2015 and 2016 campaigns in the inland city. Low- and high-vegetated locations have little temperature difference in the day and greater difference at night.  $\Delta T_a$  was the same for both sampling periods ( $P > 0.05$ , Student's  $t$ -test).



**Figure 2.9** Temporal coefficient of variation of  $T_a$  is positively correlated to NDVI at 90m radius scale in the coastal, inland, and desert cities at both seasonal ( $R^2=0.26, 0.25$ , and  $0.21$ , respectively) and daily ( $R^2=0.29, 0.37$ , and  $0.24$ , respectively) scales ( $P < 0.05$ ). The relationships have similar slopes for both seasonal (Slope= $5.3, 3.0$ , and  $4.9$ , respectively) and daily (Slope= $5.8, 4.2$ , and  $6.0$ , respectively) scales across the climate gradient, with differences in overall variation. There are no significant correlations at 30 m radius scale.

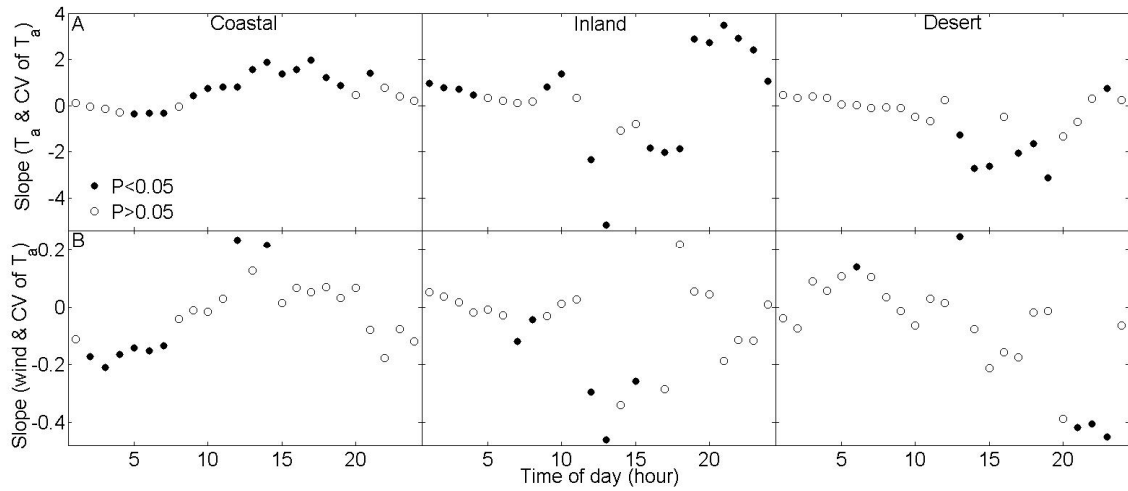


**Figure 2.10** (a) Spatial variation of air temperature (CV of  $T_a$ ) is positively correlated to mean air temperature ( $T_a$ ) for the coastal city, while negatively correlated in the inland and desert cities. (b) In the day CV of  $T_a$  is positively correlated to  $T_a$  for the coastal city, while negatively correlated in the inland and desert cities, with reversed patterns at night. Each data point corresponds to the slope of the linear regression between citywide mean  $T_a$  and CV of  $T_a$  calculated hourly ( $n=60$  per hour).



**Figure 2.11** Mean air temperature is positively correlated to mean wind speed during both day and night in the inland city. Mean wind speed is generally higher during the day.





**Figure 2.12** (a) Spatial variation of air temperature (CV of  $T_a$ ) is positively correlated to mean air temperature ( $T_a$ ) for the coastal city during the day, while negatively correlated in the inland and desert cities. (b) The correlations of CV of  $T_a$  and mean wind velocity explain some relationships found in the first panel. Each data point corresponds to the slope of the linear regression between citywide mean  $T_a$  and wind, and CV of  $T_a$  calculated hourly ( $n=60$  per hour).

### **Chapter 3: Microclimate variation among urban land covers: The importance of vertical and horizontal structure in air and land surface temperature relationships**

#### **Abstract**

Air and land surface warming effects from urbanization are of increasing concern due to expanding heat-related human health impacts in cities. While many studies have investigated land cover effects on air temperature ( $T_a$ ) or land surface temperature (LST) individually, relatively few studies have examined the spatiotemporal relationships between these two heat indicators and other metrological variables. Here I asked: how does land cover influence local distributions of LST,  $T_a$ , and relative humidity (RH) and their interactions? I deployed a network of 30 air temperature and humidity sensors at two heights above the ground (0.1 and 1.5 m), along with a thermal camera and anemometer, during July 2016 over five common urban land covers— asphalt, bare surface, turf grass, short trees, and tall trees. Stronger  $T_a$ -LST relationships were observed at 0.1 m for asphalt ( $b=0.59$ ), bare surface ( $b=0.63$ ), and grass ( $b=1.08$ ) land covers and, 1.5 m for short and tall tree covers ( $b=0.72$ ,  $0.89$ , respectively). Excluding the grass land cover, I found greater daytime than nighttime  $T_a$ -LST differences. Adding complexity to  $T_a$ -LST relationships, I found increasing spatial variation in LST during the day for short and tall tree land covers. Furthermore, both wind velocity and LST were correlated with  $T_a$  lapse rates. Finally, I found increased RH, and decreased LST,  $T_a$ , and VPD in vegetated covers. Through the use of thermal imagery and meteorological

measures I found that land cover affects patterns in microclimate, and that estimates of urban  $T_a$  using LST may improve with the use of land cover specific relationships.

## **Introduction**

Urban microclimates are highly variable and respond in uncertain ways to characteristics of local land cover composition. Land cover may influence horizontal and vertical distributions of land surface temperature (LST), air temperature ( $T_a$ ), and relative humidity (RH). Each of these microclimate components can impact human health and energy demand (Taha 1997, Harlan et al. 2006, Parris and Hazell 2005, Barreca and Schimshack 2012). At "human" scales of 1 to 100 meters vegetated and built land covers create heterogeneous patterns in air and land surface temperature that lead to cool refugia and warming hot spots within cities (Jenerette et al. 2016, Imhoff et al. 2010, Coseo and Larson 2014, Davis et al. 2016, Shiflett et al. 2017). Characterizing how vegetated and built land covers influence each of these microclimate components and their interrelationships remains an important research challenge.

$T_a$  and LST are two distinct and complementary metrics of urban temperatures. Intraurban variation in  $T_a$ , associated with urban warming and cooling effects, is often greatest at night as surfaces re-emit heat at different rates and wind velocity is low (Oswald et al. 2012, Shiflett et al. 2017). However, LST variation is greatest during the day due to dynamic inputs in solar radiation (Buyantuyev and Wu 2010, Myint et al. 2013, Jenerette et al. 2016). Furthermore, maximum intraurban variation in  $T_a$  is

considerably less (e.g., 8 °C, Stabler et al. 2005) than that of LST (e.g., 25 °C, Jenerette et al. 2011). Thus, both the difference in timing and magnitude of variation contributes to a disconnect between  $T_a$  and LST. While land surfaces affect LST at micro-scales less than 10 m (Jenerette et al. 2016), land cover effects on  $T_a$  are frequently observed at larger scales of 20 to 500 m (Sashua-Bar and Hoffman 2000, Feyisa et al. 2014, Davis et al. 2016, Shiflett et al. 2017). These scaling differences in part are associated with lack of straightforward relationships between  $T_a$  and LST reflecting the complexities in the vertical and horizontal structure of urban land covers and its interaction with the local atmosphere (Hartz et al. 2006).

However, if relationships between  $T_a$  and LST can be identified, thermal imagery could then be used to model  $T_a$ —the standard metric of both regional and global climate warming. LST is typically measured from a relatively coarse spatial resolution, at 90m or larger scales (e.g., Roth et al. 1989, Imhoff et al. 2010, Zhao et al. 2014), a single time of day, and at varying heights both within and among pixels spanning tree canopies or roof tops to the ground. In contrast, most  $T_a$  measurements are recorded continuously at one height above the ground and over standardized land cover types—negating vertical and land cover variation in  $T_a$ . While fine-scale spatiotemporal variation in LST has begun to be studied in natural and built environments (Hartz et al. 2006, Tonolla et al. 2010, Gillner et al. 2015), little is known about land cover effects on fine-scale spatiotemporal variation of LST. Due to these potential sources of uncertainty, the  $T_a$ -LST linkage is rarely made and remains an important research challenge (Hartz et al. 2006, Schwarz et al. 2012). In support of this direction, some studies have identified significant

correlations between  $T_a$  and LST (e.g., Unger et al. 2009, Klock et al. 2012). When identified, the linkage between these two temperature metrics may vary throughout the day, with stronger relationships often observed at night (Kawashima et al. 2000, Schwarz et al. 2012, Shiflett et al. 2017). Stronger nighttime relationships between  $T_a$  and LST may potentially be due to lower wind velocities and reduced active heating of surfaces at night (Shiflett et al. 2017). While wind may have minimal effects on patterns of surface heat fluxes,  $T_a$  is influenced by air convection and mixing (Landsberg 1981, Imhoff 2010, Zhao et al. 2014, Stantamouris 2015). Thus, using LST to represent local atmospheric conditions can lead to uncertainties in cooling effectiveness of different urban management strategies.

In moderating urban heat, vegetation is frequently highlighted as a valuable urban management strategy. However, the effects of vegetation on microclimate are not well characterized at fine scales of human experience. Vegetation can decrease  $T_a$  and LST by increasing latent heat flux via evapotranspiration, increasing surface albedo relative to built surfaces, and decreasing sensible heat flux via shading (Yang et al. 2011, Jenerette et al. 2011, Chakraborty et al. 2015). Alternatively, vegetation may increase nighttime temperatures by providing insulation from high wind velocities which reduces the dissipation of surface radiation to the surrounding environment (Gillner et al. 2015). These microclimate effects may vary with the extent of canopy cover and vegetation type. Individual large tree canopies can reduce  $T_a$  from 1 to 2.5 °C (Streiling and Matarakis 2003, Lin and Lin 2010, Lee et al. 2013), while small-isolated tree canopies

may have no effect on  $T_a$  (Armson et al. 2013). Likewise, turf grass land cover may reduce both  $T_a$  (Chang et al. 2008) and LST (Jenerette et al. 2016) in urban areas.

In addition to influencing LST and  $T_a$ , vegetated land covers in arid and semi-arid environments are also associated with higher rates of evapotranspiration and lower wind velocity which may lead to higher RH (Souch and Souch 1993, Potchter et al. 2006, Gillner et al. 2015). Higher humidity associated with vegetation may counter the direct cooling benefits through greater human-perceived temperatures (Steadman 1979; Hall et al. 2016), heat related mortality (Barreca and Schimshack 2012), and incidents of respiratory system diseases (Gao et al. 2014). Increasing RH will also lower vapor pressure deficits (VPD, Chen et al. 2012, Litvak et al. 2013, Hall et al. 2016)—an important variable determining aridity and tree physiological performance (Chen et al. 2012). The changes in RH and VPD associated with vegetation may vary with height above the ground due to moisture inputs from crown-level transpiration and ground-level irrigation.

To address the uncertainties in the relationships among measures of microclimate and the influence of built and vegetated land covers on these relationships, I asked: how does land cover influence microscale distributions of LST,  $T_a$ , and RH and their interactions? To address these questions, I analyzed patterns of  $T_a$  and RH lapse rates using sensors at two heights (0.1 and 1.5 m) at five common urban land cover types— asphalt, bare surface, turf grass, small tree, and tall tree—in Riverside, California, USA. To investigate air and surface temperature linkages, I measured LST at each land cover using tower-mounted high resolution thermal imagery over a 24 hour period. I further

explored the potential role of wind velocity on  $T_a$  and RH lapse rates. At vegetated land covers I predicted lower  $T_a$  and higher RH at the height of transpiring vegetation. Furthermore, I predicted higher  $T_a$  and lower RH at 0.1 m at asphalt and bare surfaces, due to increased surface temperatures and heat fluxes. Reflecting the vertical height of sun exposed surfaces, stronger  $T_a$ -LST relationships were predicted at 0.1 m at asphalt, bare surface, and grass land covers, and 1.5 m at short and tall canopies land covers.  $T_a$ -LST differences and  $T_a$  lapse rates were predicted to be larger during the day due to increased evaporative cooling effects and surface heat fluxes. Finally, I predicted that intra-land cover variation in LST would increase in the morning hours due to differential heating of surfaces. Understanding these land cover drivers of microclimate is a necessary step in predicting and mitigating urban warming effects (Oswald et al. 2012, Coseo and Larson 2014, Gillner et al. 2015, Davis et al. 2016).

## **Methods**

### *Study site*

My study site is located at the Agricultural Experiment Station (33.965, -117.338) at the University of California Riverside, USA. Riverside is a part of the Los Angeles metropolitan region of 18 million residents. The region is characterized by a Mediterranean climate with hot-dry summers and cool-wet winters, and spans a semi-arid coastal to arid desert climate gradient. Riverside is situated at an intermediate position of this climate gradient, with mean annual precipitation of 262 mm and mean annual

temperature of 19.6 °C. Average maximum summer temperatures are warm at 35.0 °C in July. At neighborhood scales, vegetation in this region can have a prominent effect on both  $T_a$  and LST (Tayyebi and Jenerette 2016, Shiflett et al. 2017). Characteristic of summer in this region, there was no precipitation during the sampling period.

Five plots located within 650 m of each other, representing common land covers—asphalt parking lot, bare soil surface, turf grass (*Festuca arundinacea* and *Poa pratensis* mixture), short Valencia orange orchard (*Citrus x sinensis*), and tall Valencia orange orchard—were selected. The bare surface cover was unvegetated loam soil with low gravimetric water content ( $0.22 \pm 0.1\%$  at 5 cm,  $n=3$ ). Both tree height and canopy cover were greater at the tall (4 m and 62.5%, respectively) compared to the short orchard (3 m and 50%, respectively). Interspace widths were the same for both short and tall orchards (3 m), with different tree widths (3 and 5 m, respectively). Interspace soil gravimetric water content was similar for both short and tall orchard covers ( $3.4 \pm 2.5\%$  and  $2.8 \pm 2.9\%$  at 5 cm, respectively,  $n=3$ ). The land cover plots were similar in size, ranging from approximately 6000 to 8500 m<sup>2</sup>. All temperature and humidity measurements were collected in a 17-day time period from July 15<sup>th</sup> to July 31<sup>st</sup> 2016 (corresponding to Julian day of year (DOY) 197 and 213), during the warmest period of the year. Sunrise, solar noon, and sunset mid-way through the study (DOY 204) were at 5:55, 12:56, and 19:57 Pacific Daylight Time (PDT; UTC -7 h), respectively. Simultaneous with temperature and humidity measurements infrared imagery and wind velocity were recorded for a 24 hr period at each land cover.



### *Data acquisition*

In order to test the effect of land cover on  $T_a$  at each of the five plots, three replicate temperature sensors separated by approximately four meters (iButton Thermocron DS1922L, Maxim Integrated Products, Inc., San Jose, California, USA) with an accuracy of  $\pm 0.5$  °C from -10 to 65 °C were mounted at two heights (0.1 and 1.5 m) from the ground on white PVC pipes near the center of each plot (n=30). To explore RH effects, in each plot one temperature and humidity sensor (iButton Hydrocron DS1923, Maxim Integrated Products, Inc., San Jose, California, USA) with a temperature accuracy of  $\pm 0.5$  °C from -10 to 65 °C and RH accuracy of  $\pm 0.5\%$  from 0 to 100% was mounted at two heights (0.1 and 1.5 m) from the ground (n=10). These sensors are small, self-contained units with onboard memory, measuring 15 mm in diameter and 5 mm high. Readings were collected every hour throughout the study period. To shield each sensor from direct solar radiation, they were housed in custom 0.2 mm thick rigid polystyrene cylindrical white cups measuring 47 mm in diameter and 30 mm high. The bottoms of the shields were exposed to air, allowing adequate ventilation, with an additional radiation shield hanging 20 mm below the sensor to preclude direct surface long-wave radiation. To test the accuracy of the custom made radiation shield systems I hung three iButton sensors less than a meter away from a research-grade temperature sensor (HMP-60, Viasala, Helsinki, Finland) housed in a non-aspirated gilled radiation shield at the Agricultural Experiment Station for seven days. Temperature differences between the iButton and the HMP-60 sensors were not observed (2-sample t-test,  $P=0.64$ ). Furthermore, most iButton measurements fell within two standard deviations of the mean

difference (SD=0.42 °C), with only 2% of measurements below and 2% above this indicator (Altman and Bland 1983), with no outliers (SD $\geq$ 3, Osborne and Overbay 2004), and good linear fit ( $R^2=0.995$ ,  $b=0.993$   $P<0.001$ , RMSE=0.20 °C).

Alongside  $T_a$  and RH observations, tower mounted LST thermal imagery in the 7.5 to 13  $\mu\text{m}$  spectral range (SC660, FLIR, Inc., Nashua, New Hampshire, USA) with an accuracy of  $\pm 1.0$  °C from -40 to 1500 °C was recorded every 15 min for a 24 hr period at each land cover. All five 24 hr sequences were recorded in succession on cloud-free days with average maximum solar irradiance of  $861.00 \pm 6.26$  W/m<sup>2</sup> (<http://cimis.water.ca.gov/WSNReportCriteria.aspx> Accessed Dec/7/2016) from July 16<sup>th</sup> to July 21<sup>st</sup> 2016. The thermal camera was mounted on a mobile tower 6 m above each surface at a 55° angle capturing approximately 20 x 15 m of surface area. This was the highest allowable angle at this height since steeper angles capture the platform of the mobile tower. Each 640 x 480 pixel thermal image was corrected for surface emissivity, distance of camera to surface, atmospheric temperature and humidity, and estimated camera temperature (Figure 3.1). Surface emissivity was estimated using values from prior studies (Lo and Quattrochi 2003, Chen 2015, Gao et al. 2015). I used emissivity values of 0.95 for asphalt, and short and tall trees, 0.93 for bare surface, and 0.97 for grass. Continuous thermal imaging of urban (e.g., Gillner et al. 2015) and natural (e.g., Tonolla et al. 2010) surfaces is a recent development. The methods I used to measure LST have been demonstrated to correlate well with, generally-reliable, thermocouple-measured surface temperature (Aubrecht et al. 2016). Furthermore, wind velocity effects on microclimate lapse rate were measured using an anemometer (Anemometer #3002,

Young, Inc., Traverse city, Michigan, USA) with an accuracy of  $\pm 0.5 \text{ ms}^{-1}$  from 0 to 50  $\text{ms}^{-1}$ , data were recorded every 15 min for a 24 hr period at each land cover. The anemometer was mounted on a leveled tripod 2 m above the ground.

### *Analysis*

$T_a$ , RH, VPD, LST land cover effects were quantified using several measures. Lapse rates in  $T_a$ , RH, and VPD were quantified at each land cover using the average difference between high (1.5 m) and low (0.1 m) sensors over the change in vertical height.

$$\text{Lapse rate} = (\text{value at 1.5m} - \text{value at 0.1 m}) / (\Delta \text{Height}) \text{ (Eq. 1)}$$

Daily variation in land cover effects on lapse rates and the slope of the linear regression between  $T_a$  and RH were calculated using hourly averages from the entire study period. Steep hourly slopes from  $T_a$  and RH relationships indicate that warmer periods have a larger effect on RH. To quantify wind and LST effects on lapse rates of  $T_a$  and RH, linear regressions between  $T_a$  lapse rate and wind velocity,  $T_a$  lapse rate and LST, and RH lapse rate and wind velocity were analyzed. To quantify air and surface temperature relationships linear regressions between mean  $T_a$  at two heights and mean LST were calculated. Furthermore, differences between  $T_a$  and LST ( $\Delta T$ ) were calculated using hourly averages among  $T_a$  replicates over one representative 24 hr period. Finally, the coefficient of variation (CV) was used to quantify spatial variations in LST. The CV is a

dimensionless quantity of variation normalized by the sample mean; commonly expressed as a whole number percent, frequently used to assess spatiotemporal landscape variation (Crum et al. 2016). Unstandardized measures of variation (e.g., standard deviation) would not be suitable for this study since values change with the sample mean, obscuring relative changes in variation.

## Results

There were differing land cover effects on microclimate, with greater cooling, RH, and lower VPD at vegetated compared to unvegetated land covers (Table 3.1). The cooling effect was largest between asphalt and grass land covers at 0.1 m with a mean  $T_a$  reduction of  $5.54 \pm 2.97$  °C. The largest mean  $T_a$  difference at 1.5 m was between asphalt and short tree land covers with a mean  $T_a$  reduction of  $2.17 \pm 1.59$  °C. RH varied among land covers, with the largest effect between grass and asphalt land covers with a mean reduction of  $31.98 \pm 10.64$  and  $6.40 \pm 4.53$  % at 0.1 and 1.5 m, respectively. Likewise, the largest land cover effect on mean VPD was between grass and asphalt with a mean increase of  $1.21 \pm 0.55$  and  $0.23 \pm 0.14$  kPa at 0.1 and 1.5 m, respectively. Correlations between  $T_a$  and RH at both heights over all land cover types were stronger during the night than the day, with weaker relationships at 0.1 m (Figure 3.2). There were no distinguishable changes in this relationship among land covers.

### *Microclimate lapse rates*

$T_a$  lapse rate, measured as the difference between  $T_a$  at 1.5 and 0.1 m over the change in vertical height, had strong daily patterns among land cover types (Figure 3.3). Lapse rates were generally negative for asphalt and bare surface land covers during the day (minimum lapse rate =  $-2.29 \pm 0.41$  and  $-3.38 \pm 0.30$  °C m<sup>-1</sup> at 11:00 and 10:00, respectively) with values approaching zero at night. In contrast, lapse rates were generally positive for short and tall tree land covers during the day (maximum lapse rate =  $2.52 \pm 1.57$  and  $1.47 \pm 0.42$  °C m<sup>-1</sup> at 14:00 and 13:00, respectively) with values approaching zero at night. The grass land cover had a different pattern, with positive lapse rates at night (maximum lapse rate =  $3.02 \pm 0.91$  °C m<sup>-1</sup> at 21:00) and rapidly decreasing lapse rates during the day (minimum lapse rate =  $-1.92 \pm 1.40$  °C m<sup>-1</sup> at 10:00). Approximately one hour after sunrise (7:00) at the asphalt land cover there was an outlier in lapse rate. This outlier was  $>3$  SD from the daily mean ( $-1.18 \pm 0.80$  °C m<sup>-1</sup>), and 6:00 and 8:00 values ( $-0.70 \pm 0.20$  and  $-0.75 \pm 0.54$  °C m<sup>-1</sup>, respectively). After re-inspection of the site, solar reflection from nearby surfaces likely caused this unexpected value.

RH lapse rate had less noticeable daily patterns among land cover types than  $T_a$  lapse rates (Figure 3.3). RH lapse rates varied from positive (asphalt and bare surface) to negative (grass, and short and tall trees). There was a steep increase in lapse rates at 8:00 for both asphalt and bare surface land covers (maximum lapse rate =  $6.57 \pm 2.37$  and  $7.74 \pm 3.92$  % m<sup>-1</sup> at 8:00 and 10:00, respectively), while grass had a steady decline starting at 11:00 (minimum lapse rate =  $-20.24 \pm 4.04$  % m<sup>-1</sup> at 14:00). There were

considerable differences in the range of lapse rates among land cover types from 2.47 % m<sup>-1</sup> at the tall tree land cover to 13.38 % m<sup>-1</sup> at the grass land cover.

VPD, which is derived from both T<sub>a</sub> and RH data, had strong daily changes in lapse rate among land cover types that, excluding the grass land cover, reflected patterns in T<sub>a</sub> lapse rate (Figure 3.4). Lapse rates were generally negative for asphalt and bare surface land covers during the day (minimum lapse rate = -0.95±0.17 and -1.40±0.15 kPa m<sup>-1</sup> at 11:00 and 12:00, respectively) with values approaching zero at night. In contrast, lapse rates were generally positive for short and tall tree land covers during the day (maximum lapse rate = 1.02±0.73 and 0.65±0.37 kPa m<sup>-1</sup> at 15:00 and 14:00, respectively) with values approaching zero at night. The grass land cover had a different pattern, with positive and increasing lapse rates during the day (maximum lapse rate = 1.70±0.67 kPa m<sup>-1</sup> at 14:00).

For asphalt and bare surface land covers I observed a negative relationship between T<sub>a</sub> lapse rate and wind velocity, with a stronger correlation at the asphalt land cover (Figure 3.5; R<sup>2</sup>=0.41, P<0.001 and R<sup>2</sup>=0.32, P<0.01, respectively). The counter-clockwise hysteresis-like effect at the bare surface plot may explain its weaker correlation. For short and tall tree land covers, I observed a positive relationship between T<sub>a</sub> lapse rate and wind velocity, with a stronger correlation at the short tree land cover (R<sup>2</sup>=0.71, P<0.001 and R<sup>2</sup>=0.32, P<0.01, respectively). Conversely, I observed a negative relationship between RH lapse rate and wind velocity, with a stronger correlation at the short tree land cover (Figure 3.6; R<sup>2</sup>=0.54, P<0.001 and R<sup>2</sup>=0.52, P<0.001, respectively).

### *Land surface temperature variability*

Land cover effects on LST differed in magnitude, variation, and timing (Figure 3.7a). The time of maximum LST varied between 12:00 and 14:00. The average maximum LST was at 13:00, near solar noon (12:55). The highest range in LST among land covers was 29.98 °C during the day at 14:00, and the lowest range was 7.77 °C before sunrise at 5:00. Asphalt was consistently the warmest surface throughout the day, while grass, and short and tall tree land covers were the coolest at different times.

Spatial CV of LST varied throughout the day among land covers (Figure 3.7b). There was increasing variation in both short and tall tree land covers during the day, with maximum values of 24.4 and 20.98 at 13:30 and 13:00, respectively. These increasing trends began shortly after sunrise around 6:30 and returned to nighttime levels shortly after sunset around 21:00. Asphalt, bare surface, and grass land covers have less noticeable patterns in variation of LST. Variation in the asphalt land cover was consistent throughout the day, except for a dip in variation shortly after sunrise and a spike in variation at 8:30. Variation of LST in the grass land cover was highest at night, before decreasing around sunrise with a steady increase in variation until topping out at 13:00. Furthermore, relative to bare and asphalt covers, vegetated covers had elevated variation at night.

### *Air and land surface temperature linkage*

There were divergent  $T_a$ -LST relationships among land covers and heights (Table 3.2). The strongest correlation was between  $T_a$  at 0.1 m and LST in the asphalt land cover ( $R^2=0.97$ ), while the weakest correlation was at 1.5 m in the grass land cover ( $R^2=0.84$ ). For asphalt, bare surface, and grass land covers there were higher correlations at 0.1 m ( $R^2=0.97$ , 0.94, and 0.93, respectively) than 1.5 m ( $R^2=0.96$ , 0.92, and 0.84, respectively). Likewise, there were steeper slopes at 0.1 m (0.59, 0.63, and 1.08, respectively) than 1.5 m (0.53, 0.49, and 0.88, respectively). Conversely, for short and tall tree land covers there were higher correlations at 1.5 m ( $R^2=0.94$  and 0.96, respectively) than 0.1 m ( $R^2=0.92$  for both). Likewise, there were steeper slopes at 1.5 m (0.72 and 0.89, respectively) than 0.1 m (0.61 and 0.74, respectively).

Daily changes in the difference between  $T_a$  and LST ( $\Delta T$ ) at 1.5 and 0.1 m over five land covers show divergent relationships (Figure 3.8). While asphalt and bare surface land covers have the greatest  $\Delta T$  at 1.5 m during the day ( $-24.82\pm 0.34$  and  $-18.74\pm 0.60$  °C at 13:00 and 12:00, respectively), grass, and short and tall tree land covers have the greatest  $\Delta T$  at 0.1 m ( $11.19\pm 0.94$ ,  $-9.6\pm 0.66$ , and  $-5.1\pm 1.44$  °C at 18:00, 12:00, and 12:00 respectively).  $\Delta T$  was smallest for asphalt, grass, bare surface, and short and tall tree land covers during the night, morning, or late afternoon hours ( $-4.70\pm 0.10$ ,  $-0.5\pm 0.28$ , and  $0.39\pm 0.45$ ,  $0.20\pm 0.24$ , and  $-0.06\pm 1.34$  °C at 4:00, 4:00, 23:00, 7:00 and 17:00 respectively). Differences in  $\Delta T$  between 1.5 and 0.1 m was greatest during the day for all land covers (3.64, 4.29, 5.31, 2.63, and 0.76 °C at 12:00, 13:00, 20:00, 13:00, and 14:00, respectively).



For asphalt, bare surface, and grass land covers I observed a negative relationship between  $T_a$  lapse rate and LST, with the strongest correlation at the bare surface land cover (Figure 3.9;  $R^2=0.65, 0.81, \text{ and } 0.51$   $P<0.001$ , respectively). For short and tall tree land covers, I observed a positive relationship between  $T_a$  lapse rate and LST, with a stronger correlation at the short tree land cover ( $R^2=0.93$  and  $0.90$ ,  $P<0.001$ , respectively).

## **Discussion**

Important for human health and tree physiology (Jenerette et al. 2011, Barreca and Schimshack 2012, Litvak et al. 2013), I found vegetated land covers increased canopy level RH and decreased LST,  $T_a$ , and VPD. I further found relationships among wind velocity and micrometeorological lapse rates across contrasting land cover types. The resulting vertical profiles in micrometeorology were influenced by land cover specific LST relationships. The differences in surface and atmosphere temperatures among relatively homogeneous land covers likely explains the frequent uncertainties associated with LST data at coarse scales ( $<90$  m) that include mixtures of land covers. Identifying how urban land covers differ in their surface-atmosphere temperature coupling has been noted as an important research challenge for predicting and reducing urban warming effects (Hartz et al. 2006, Schwarz et al. 2012, Shiflett et al. 2017) and this is the first study to quantify relationships between vertical  $T_a$  gradients and LST among common urban land covers. My single-patch evaluation provides direction for subsequent study of

$T_a$ -LST relationships and other microclimate evaluations in more complex land cover configurations.

*Relationships between vertical  $T_a$  gradients and  $T_a$ -LST relationships*

I found that  $T_a$  lapse rates were coupled to  $T_a$ -LST relationships. Variation in lapse rates among land covers account in part for poor relationships between  $T_a$  and LST found previously in the literature where standard meteorological measurements generally do not characterize land cover effects on the vertical structure of  $T_a$ . In plots that have little three-dimensional structure, LST predominantly measures the ground surface. Supporting this hypothesis, in asphalt, bare surface, and grass plots I found stronger  $T_a$ -LST relationships at 0.1 m. While asphalt and bare surface land covers had higher  $T_a$  at 0.1 m, the grass land cover had lower temperatures at 0.1 m, indicating near-surface evaporative cooling by grass. Conversely, in plots that had greater three-dimensional structure, LST measures varying heights above ground level from the ground surface to the top of the canopy. Consistent with greater three-dimensional structure, at short and tall tree plots I found stronger  $T_a$ -LST relationships at 1.5 m. Additionally, positive  $T_a$  lapse rates at short and tall tree plots indicate canopy level warming or surface shading effects. Furthermore, indicating canopy size effects, I found stronger  $T_a$ -LST relationships at 1.5 m in the tall tree cover. Other micrometeorological factors, including wind velocity, may add complexity to the  $T_a$ -LST relationship.

While wind may have minimal effects on patterns of LST,  $T_a$  is strongly influenced by air convection and mixing (Landsberg 1981, Imhoff 2010, Zhao et al.

2014). At whole city scales,  $T_a$  differences between rural and urban areas are reduced during windy days (Landsberg 1981, Gallo et al. 1993, Santamouris 2015).

Microadvection in the canopy layer, which mixes surface sensible and latent heat fluxes to the wider environment, is noted as a potential driver of  $T_a$  lapse rates and  $T_a$ -LST linkages (Roth et al. 1989, Schwarz et al. 2012, Shiflett et al. 2017). Using wind velocity data at each land cover plot, I found that as wind velocity approached zero so did  $T_a$  lapse rates for several land covers. Tree canopy sheltering effects from high daytime wind velocity are consistent with higher  $T_a$  lapse rates. Likewise, stronger nighttime  $T_a$ -LST linkages may have been driven by decreased nighttime wind velocity, creating less microadvection and a more direct connection between surface heat flux and  $T_a$  (Voogt and Oke 2003). Although, the three-dimensional structure of land cover surfaces, and associated canopy level warming or surface shading effects, may have been a stronger driver of  $T_a$  lapse rates and  $T_a$ -LST linkages (Kawashima et al. 2000, Schwarz et al. 2012, Shiflett et al. 2017). Both wind velocity and LST have similar daily patterns, while I did not find hysteresis, or lags, in their relationships with  $T_a$  lapse rate, isolating their individual effects remains an important research challenge. Investigating scaling effects on  $T_a$  lapse rate, and  $T_a$ -LST linkages, may further refine the role of wind velocity and LST drivers.

#### *Implications of small-scale spatial variation in $T_a$ and LST on their linkages*

Due to differences in surface thermal properties and shading effects, there is typically greater daytime variability in LST between surfaces than within (Urger et al.

2009, Armson et al. 2012, Gillner et al. 2015). The short and tall tree land covers contain a mixture of surfaces, both canopy and bare soil interspace, and greater three-dimensional structure relative to the other land covers. Increased canopy shading effects and daytime differences in LST between canopy and interspace likely contributed to greater spatial variation I observed at short and tall tree land covers. The largest inter-land cover temperature range was observed at 14:00, near the time of highest intra-land cover spatial variation for short and tall trees. Also, there were lower levels of daytime LST variation in the tall tree land cover, likely due to the reduction of interspace area and increased ground level shading. These trends in variation may impact  $T_a$ -LST relationships, since land cover will contribute differently to near surface  $T_a$  (Oke 1982, Roth et al. 1989). Surfaces with higher daytime LST than  $T_a$  re-emit heat during the night as sensible heat flux (Landsberg 1981, Roth et al. 1989). Consistent with other urban warming studies, I found effects of small-scale surface thermal properties on LST, and cumulative-three dimensional thermal property and wind velocity effects on  $T_a$  (Voogt and Oke 2003, Lo and Quattrochi 2003, Stathopoulou and Cartalis 2007). In conjunction with resolving land cover effects on  $T_a$  and LST relationships, an important next step to my findings is a determination of the effects of spatial resolution on this linkage.

When quantifying land cover temperature effects, I further found important distinctions between LST and vertical gradients in  $T_a$ . As this study shows, LST does not have a consistent relationship with  $T_a$  across land cover types. Land use and land cover patches have irregular boundaries, contributing to mixed pixel error and poor  $T_a$ -LST linkage when using relatively coarse and spatially uniform units (Stone and Norman

2006, Schwarz et al. 2012). Furthermore, I found that vertical height and wind velocity impacted  $T_a$ -LST linkages. Slopes between  $T_a$  and LST among land covers at 1.5 m ranged from 0.49 to 0.89, consistent with prior estimates of urban  $T_a$  at 70% of LST warming (Klock et al. 2012). These findings suggest remote sensing studies that quantify urban warming effects through surface temperatures may over estimate (e.g., asphalt, bare surface, and tree land covers) or underestimate (e.g., grass land cover) the extent of  $T_a$  warming. Instead, a land cover specific approach of estimating urban  $T_a$  spatial variation using remotely sensed LST will likely be more useful especially for pixels with mixed land uses as typically acquired from satellite platforms.

#### *Vegetation feedbacks to humidity and vapor pressure deficit*

My results of higher canopy level RH at short and tall tree compared to asphalt land covers (6.2 and 6.1 %, respectively) were consistent with other studies that saw 0.5 to 6.4% (Gillner et al. 2015) and 9.0 to 20.0% (Souch and Souch 1993) increases beneath trees. I also observed higher RH in turf grass compared to other land covers (6.4 and 4.7 % increase over asphalt and bare surface, respectively). This finding, along with observed decreases in  $T_a$  at the grass land cover, is important since standardized weather measurements are typically made at 1.5 m over turf grass (WMO, 2008), thus studies that analyze urban microclimate using standardized meteorological stations may not capture upper range of  $T_a$  and lower range of RH in semi-arid environments. While I observed higher RH at short and tall tree land covers, there was not an increase in crown level (1.5 m) RH associated with transpiration compared to near surface (0.1 m) RH. This is

consistent with other studies that have found no effects, or a decrease, in crown level RH in urban trees, particularly at night when canopies provide shelter from dew (Gillner et al. 2015). Instead, I found increasing wind velocity minimizes RH lapse rates, indicating that wind may have larger effects on the upper canopy boundary layer. Despite no canopy level increases in RH, consistent with evapotranspiration feedbacks to reduced plant stress responses (Chen et al. 2012, Litvak et al. 2013) and increased irrigation effects on microclimate, I found both higher RH and lower VPD over vegetated land covers. This effect was most clear in the grass land cover where there were positive and increasing daytime VPD lapse rates despite a decreasing trend in  $T_a$  lapse rates.

### *Synthesis*

I empirically evaluated vertical microscale atmospheric profiles and explicitly connected these to remotely sensed surface temperatures and show how land surface characteristics, from relatively simple bare surfaces to complex shading from trees, differ in their microclimate distributions. These findings empirically show that vertical variation can be as large as the differences between land covers as predicted from modeling studies (Taleghani et al. 2016). The large variation among land cover types in their vertical microclimate distributions is coupled to surface characteristics and is also influenced by larger scale meteorological variation. My findings help develop better assessments of urban heat risk and vulnerability that consider both surface and air characteristics. With the rapidly expanding use of surface temperature measurements to assess heat vulnerability (Jenerette et al. 2016), my results show how this remotely

sensed heat metric is connected to air temperature through land cover specific vertical functions. The vertical temperature profiles within the first 2 meters from the surface can have a strong influence on heat vulnerability, especially for children whose body core is closer to the ground (Vanos 2015, Vanos et al. 2016). Policy assessments of heat mitigation strategies that evaluate altered urban land cover patterns to reduce heat vulnerability currently do not factor the vertical temperature variation (Georgescu et al. 2014, Vahmani and Ban-Weiss 2016). Research directed to evaluating microclimate lapse rates and  $T_a$ -LST relationships in mixed urban land covers are important future directions to minimize potential urban heat vulnerability.

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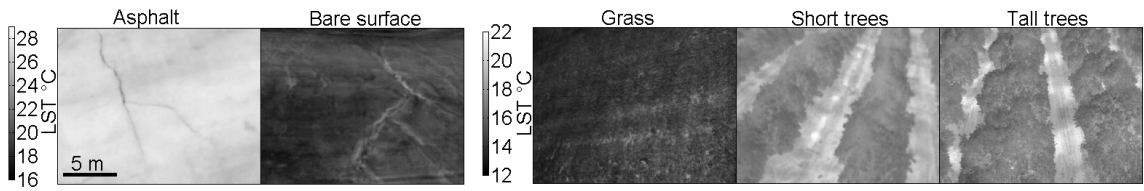
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**Table 3.1** Mean ( $\pm$ SD) for land surface temperature (LST) over 24-hr study period and air temperature ( $T_a$ ), relative humidity (RH), and vapor pressure deficit (VPD) at two heights above the ground over a 17-day study period for five land covers

	LST ( $^{\circ}$ C)	$T_a$ ( $^{\circ}$ C)	RH (%)	VPD (kPa)
Asphalt	39.24 $\pm$ 13.92			
0.1 m		31.81 $\pm$ 8.32	36.08 $\pm$ 21.64	3.75 $\pm$ 2.62
1.5 m		30.10 $\pm$ 7.59	40.09 $\pm$ 22.38	2.53 $\pm$ 1.66
Bare surface	31.98 $\pm$ 13.70			
0.1 m		30.92 $\pm$ 9.17	38.02 $\pm$ 23.98	3.64 $\pm$ 2.77
1.5 m		29.25 $\pm$ 7.53	41.75 $\pm$ 23.25	2.48 $\pm$ 1.66
Grass	22.31 $\pm$ 7.74			
0.1 m		26.27 $\pm$ 7.89	68.06 $\pm$ 22.86	1.53 $\pm$ 1.42
1.5 m		28.48 $\pm$ 7.25	46.49 $\pm$ 23.96	2.30 $\pm$ 1.62
Short trees	25.33 $\pm$ 8.88			
0.1 m		26.46 $\pm$ 5.83	53.75 $\pm$ 20.37	1.91 $\pm$ 1.39
1.5 m		27.94 $\pm$ 6.80	46.33 $\pm$ 22.18	2.30 $\pm$ 1.60
Tall trees	24.39 $\pm$ 6.96			
0.1 m		27.13 $\pm$ 6.15	49.74 $\pm$ 21.46	2.18 $\pm$ 1.57
1.5 m		28.10 $\pm$ 6.91	46.19 $\pm$ 22.28	2.31 $\pm$ 1.62

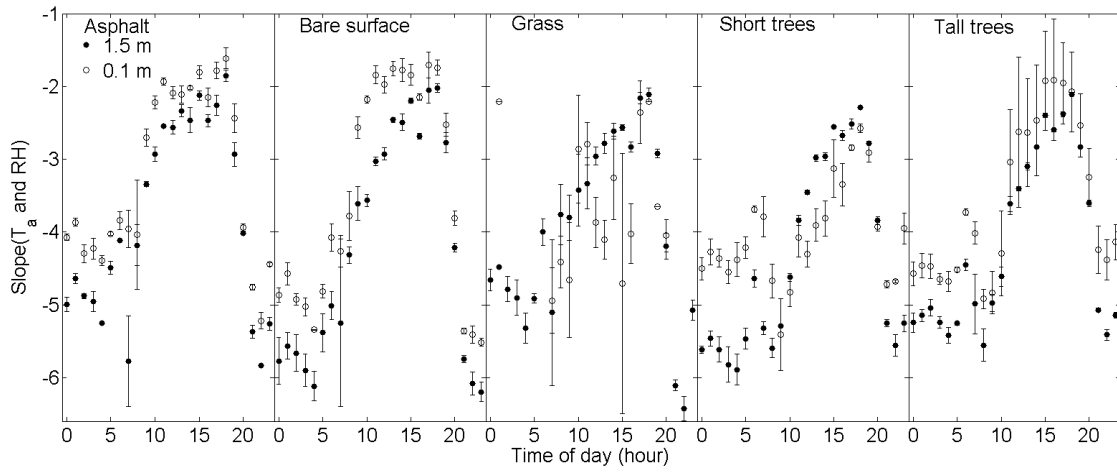
**Table 3.2** Linear regression statistics between air temperature at two heights above ground level and land surface temperature for five land covers.  $P < 0.001$  for all regressions.

	$R^2$	F-value	Slope	Intercept
Asphalt				
0.1 m	0.97	618.56	0.59	5.79
1.5 m	0.96	539.08	0.53	6.11
Bare surface				
0.1 m	0.94	339.00	0.63	8.63
1.5 m	0.92	267.61	0.49	11.09
Grass				
0.1 m	0.93	294.12	1.08	0.48
1.5 m	0.84	118.80	0.88	7.81
Short trees				
0.1 m	0.92	257.54	0.61	8.36
1.5 m	0.94	325.07	0.72	6.21
Tall trees				
0.1 m	0.92	244.83	0.74	5.51
1.5 m	0.96	487.16	0.89	2.70

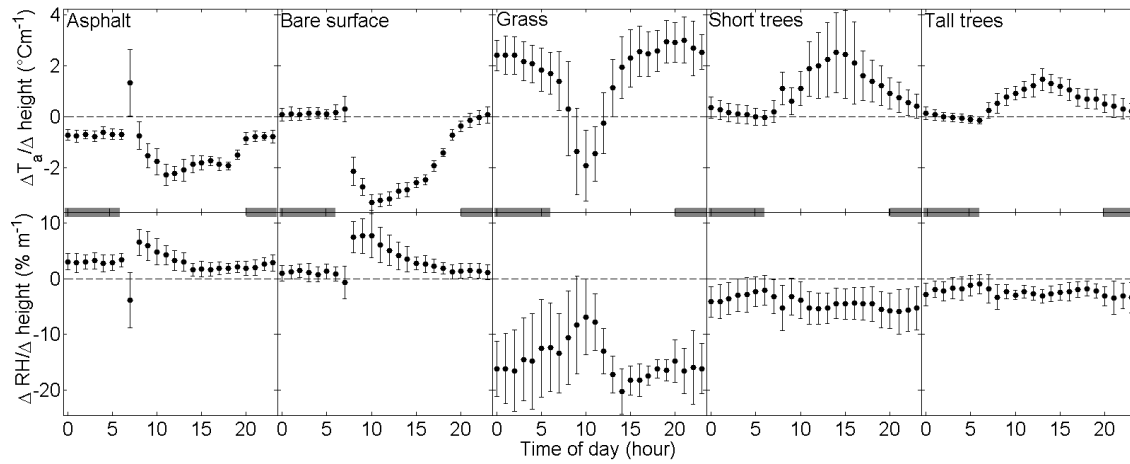


**Figure 3.1** Representative infrared images from asphalt, bare surface, grass, short tree, and tall tree land covers at midnight used to assess land surface temperature (LST) relationships.

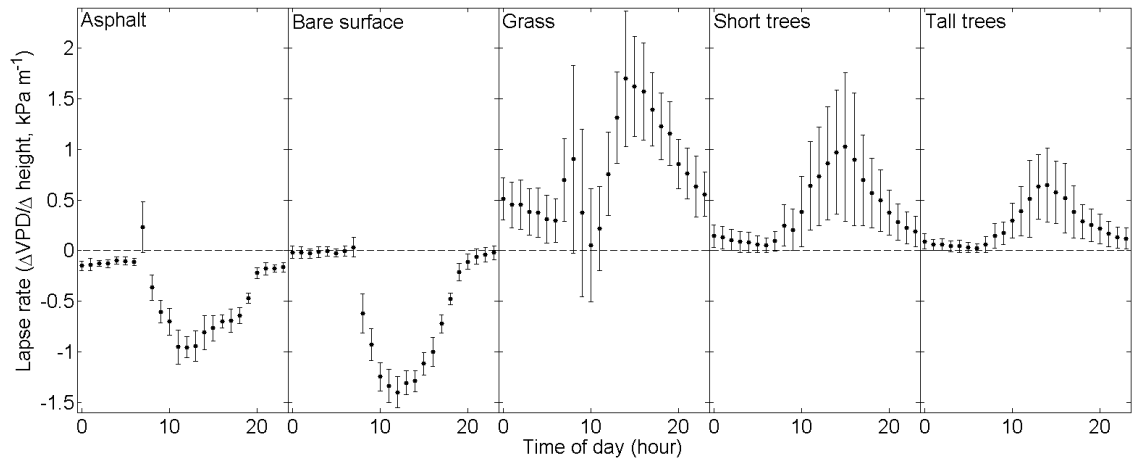




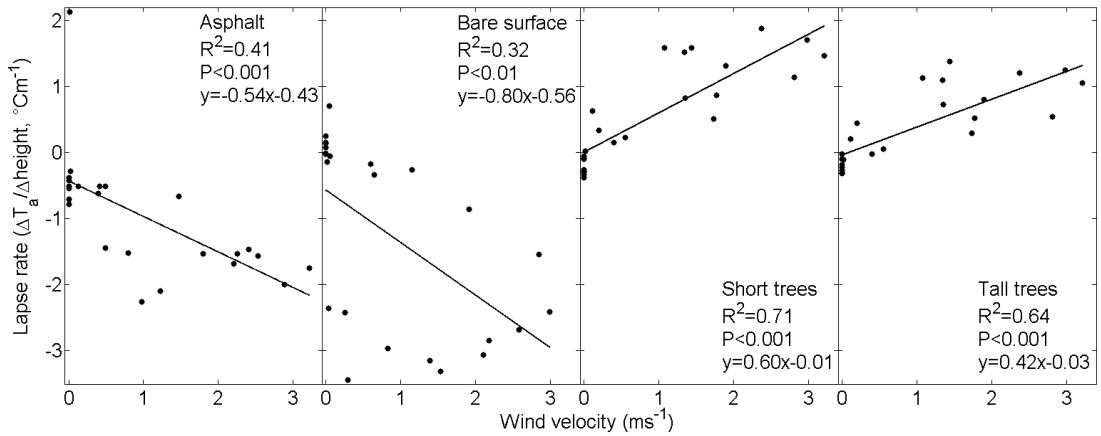
**Figure 3.2** Daily changes in slope of air temperature ( $T_a$ ) and relative humidity (RH) at 1.5 and 0.1 m over five land cover types ( $\pm$ SD) were stronger during the night than the day, with weaker relationships at 0.1 m. Each data point corresponds to the slope of the linear regression between mean  $T_a$  and RH ( $P < 0.05$ ) calculated hourly ( $n = 17$  per hour).



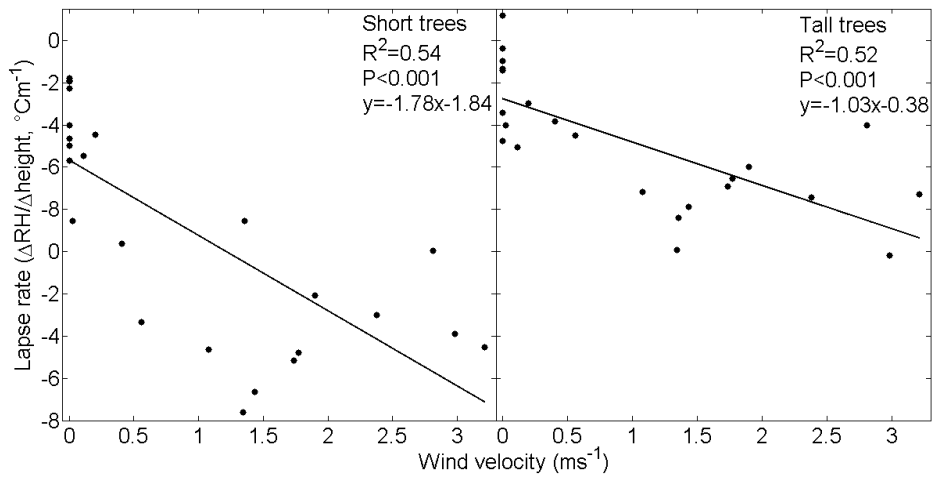
**Figure 3.3** Divergent daily changes in air temperature lapse rate ( $\Delta T_a / \Delta \text{sensor height}$ ,  $\pm \text{SD}$ ) over five land cover types varied from decreasing daytime lapse rates to increasing daytime lapse rates. Relative humidity lapse rate ( $\Delta \text{RH} / \Delta \text{sensor height}$ ,  $\pm \text{SD}$ ) over five land cover types varied from positive lapse rates (asphalt and bare surface) to negative lapse rates (grass, and short and tall trees) with little daily trends. Gray bars indicate approximate nighttime hours after sunset and before sunrise.



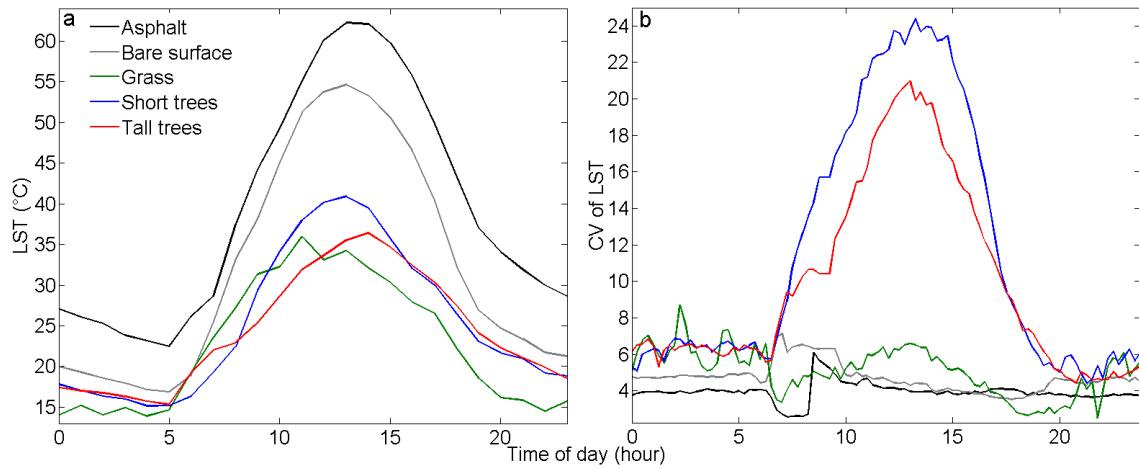
**Figure 3.4** Divergent daily changes in vapor pressure deficit lapse rate ( $\Delta\text{VPD}/\Delta\text{sensor height}$ ,  $\pm\text{SD}$ ) over five land cover types varied from decreasing daytime lapse rates (asphalt and bare surface) to increasing daytime lapse rates (grass, and short and tall trees). Land cover effects on lapse rate were significant ( $F=2141.24$ ,  $P<0.001$ ) in a one-way ANOVA.



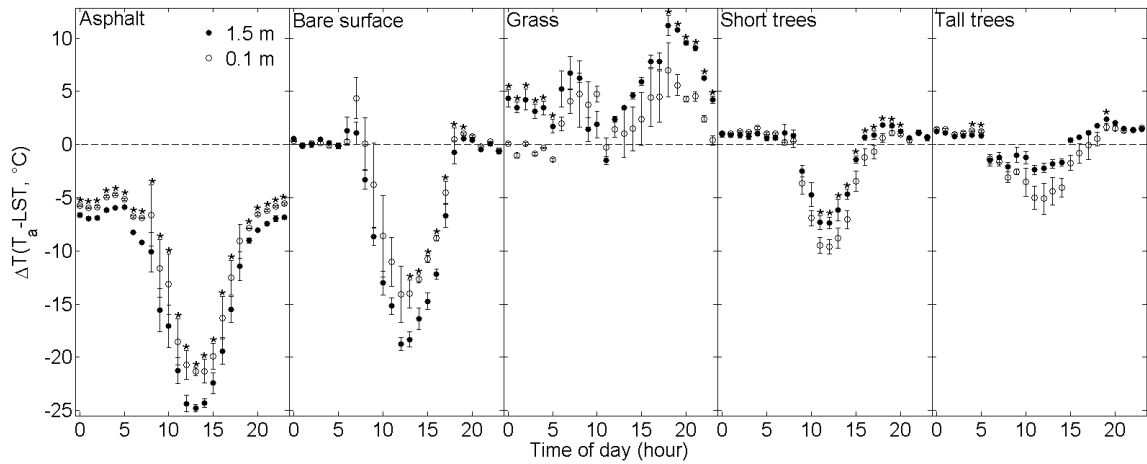
**Figure 3.5** Air temperature lapse rate ( $\Delta T_a / \Delta \text{sensor height}$ ) is negatively correlated to wind velocity for asphalt ( $R^2=0.41$ ,  $P<0.001$ ) and bare surface ( $R^2=0.32$ ,  $P<0.01$ ) land cover types, and positively related to wind velocity in short ( $R^2=0.71$ ,  $P<0.001$ ) and tall ( $R^2=0.64$ ,  $P<0.001$ ) tree land covers. There was not a significant relationship ( $P>0.05$ ) for grass cover. Wind velocity was measured for a 24 hr period at each land cover ( $n=24$ ).



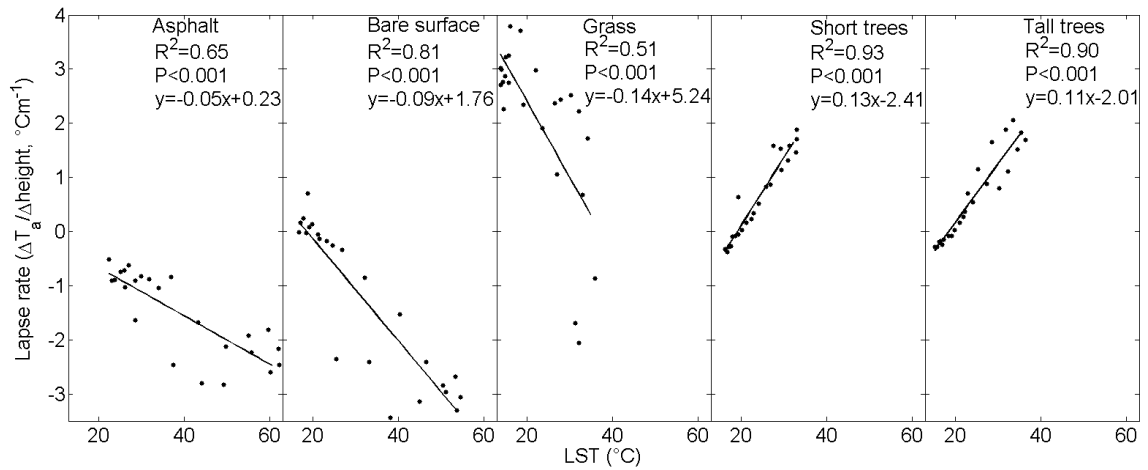
**Figure 3.6** Relative humidity lapse rate ( $\Delta RH / \Delta \text{sensor height}$ ) is negatively correlated to wind velocity for short ( $R^2=0.54$ ,  $P<0.001$ ) and tall ( $R^2=0.52$ ,  $P<0.001$ ) tree land covers. There were no significant relationships ( $P>0.05$ ) for asphalt, bare surface, and grass covers. Wind velocity was measured for a 24 hr period at each land cover ( $n=24$ ).



**Figure 3.7** (a) Land surface temperature (LST) varied between land cover types, with the highest range in temperatures during mid-day. (b) Spatial coefficient of variation of land surface temperature (CV of LST) increased during the day for short and tall tree land covers, with relatively consistent levels for asphalt, bare surface, and grass land cover types.



**Figure 3.8** Daily changes in the difference between air and land surface temperature ( $\Delta T$ ,  $T_a-LST$ ) at 1.5 and 0.1 m over five land cover types ( $\pm SD$ ) show different relationships among land cover types. While asphalt and bare surfaces have the greatest  $\Delta T$  at 1.5 m during the day, grass, and short and tall tree land covers have the greatest  $\Delta T$  at 0.1 m. Each data point corresponds to the average difference between mean  $T_a$  and LST calculated hourly for one day. The asterisk denotes significant differences ( $P < 0.05$ ) between 1.5 and 0.1 m  $\Delta T$  values using a paired Student's  $t$ -test.



**Figure 3.9** Air temperature lapse rate ( $\Delta T_a / \Delta \text{sensor height}$ ) is negatively related to land surface temperature (LST) for asphalt ( $R^2=0.65$ ,  $P<0.001$ ), bare surface ( $R^2=0.81$ ,  $P<0.001$ ), and grass ( $R^2=0.51$ ,  $P<0.001$ ) land cover types, and positively related to LST in short ( $R^2=0.93$ ,  $P<0.001$ ) and tall ( $R^2=0.90$ ,  $P<0.001$ ) tree land cover. LST was measured for a 24-hr period at each land cover ( $n=24$ ).



## Conclusions

The research presented in this dissertation found land use, land cover, vegetation composition and climate to be directly linked to biogeochemical cycles and microenvironmental conditions. At fine-scales I found  $R_s$ , an important measure of ecosystem functioning, was regulated by land use and seasonally specific organismal responses to microenvironmental conditions including temperature, moisture, and substrate levels. While at regional-scales, landscape position strongly regulated  $R_s$ . Bridging fine and regional-scale drivers of ecosystem functioning I found that heterogeneity in urban land cover drove patterns in vegetation cooling. Using a multi-scale approach this dissertation explored the effects of interactive global change drivers on urban ecosystem processes.

In chapter 1 I found, from a combination of observational surveys and manipulative experiments, variation in physiological drivers linked to meter- and regional-scale patterns of  $R_s$ . I further found  $R_s$  responded non-linearly to changes in drivers, which resulted in higher variability when conditions in general reduced rates. The importance of soil moisture and substrate levels was magnified in water and substrate limited environments. In resource scarce environments the greater relative importance of biogeochemical hot spots may have created greater resource discontinuity and spatial variation in  $R_s$ . Ecosystems with the highest responses to water and substrate additions also had the greatest spatial variability in surveyed  $R_s$ . Fine-scale spatial variation in soil physiological drivers did not correlate with variation or absolute levels of  $R_s$ . Instead,

sites with mean VWC and SOM high enough to be on the flat part of physiological saturating response functions had less variable  $R_s$ , regardless of microsite variation in these drivers. In contrast, when soil water and substrates are low enough to fall within the dynamic ranges of the response functions, then microsite differences become important in determining  $R_s$ . In these conditions, small changes in soil moisture and substrate levels may have large consequences on the sensitivity of  $R_s$  to other physiological drivers. This evidence supports the hypothesis that  $R_s$  spatial variability is a consequence of limitations in soil moisture or substrate supply (Xu and Qi 2001). This systematic evaluation of physiological and landscape variation provides a key framework for understanding the effects of interactive global change drivers of land use and climate to ecosystem metabolism.

In chapter 2 I found, from an extensive observational network of microclimate sensors imbedded in urban environments, the greatest vegetation  $T_a$  cooling effects in the evening hours and in warmer cities. Additionally, vegetation cooling effects resulted in more daily and seasonal variation in high-vegetated areas which had a broader range of temperatures. Potentially offsetting cooling benefits, vegetation also increased RH and HI, although these effects were limited. Furthermore, in the coastal city hotter days were correlated with increases in spatial variation in  $T_a$ , supporting a  $\bar{T}_a$ - $T_a$  variability hypothesis, while in inland and desert cities spatial variation was likely regulated by wind velocity. Nighttime spatial variation in microclimate also differed among cities. In the inland city, hotter nights were associated with increases in spatial variation in  $T_a$ , exacerbating inequities in urban temperatures and potentially human heat-risks (Vargo et

al. 2016). Together these findings show that urban vegetation had consistent microclimate atmospheric cooling effects that primarily occur during the evening and are influenced by mesoclimate distributions and meteorological conditions.

In chapter 3 I evaluated vertical micro-scale atmospheric profiles and explicitly connected these to remotely sensed LST. I found land surface characteristics, from relatively simple bare surfaces to complex shading from trees, differ in their microclimate distributions and interactions. These findings empirically show that vertical variation can be as large as the differences between land covers as predicted from modeling studies (Taleghani et al. 2016). The large variation among land cover types in their vertical microclimate distributions is coupled to surface characteristics and is influenced by larger scale meteorological variation. My findings help develop better assessments of urban heat risk that consider both surface and air characteristics. With the rapidly expanding use of surface temperature measurements to assess heat vulnerability (Jenerette et al. 2016), my results show how remotely sensed temperature is connected to  $T_a$  through land cover specific vertical functions.

This dissertation explored the effects of interactive global change drivers of climate and land cover on urban ecosystem processes. The research I presented in chapter 1 is important for improving biogeochemical models used at regional scales that increasingly rely on complex soil microbial and biophysical schemes (Zhang et al. 2012, Zhang et al. 2014). Furthermore, the research I presented in chapters 2 and 3 may be incorporated into policy assessments of heat vulnerabilities and mitigation strategies that

currently do not factor meteorological drivers of vegetation cooling, vertical  $T_a$  variation, and  $T_a$ -LST discrepancies (Georgescu et al. 2014, Vahmani and Ban-Weiss 2016).

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