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Vitamin D3 effects on lipids differ in statin and non-statin treated humans: superiority of free 25-OH D levels in detecting relationships

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ABSTRACT

Context: Inverse associations between 25-OH vitamin D levels and cardiovascular morbidity and mortality have been reported.

Objectives: To 1) investigate effects of correcting inadequate D status on lipids, 2) determine whether free 25-OH D is better correlated with lipids than total 25-OH D.

Design: Randomized, double-blind placebo-controlled trial

Setting: General community

Participants: D3 group: 14 men, 12 women, age 60 ± 8 y (mean \pm SD); placebo: 12 men, 11 women: 59 ± 12 y with inadequate D status

Intervention: 12 week oral vitamin D3 titrated (1000-3000 IU/day) to achieve 25-OH D levels ≥ 25 ng/mL compared to placebo.

Main Outcome Measures: 25-OH D (tandem mass spectrometry), free 25-OH D (direct immunoassay), lipids (directly measured triglyceride, cholesterol and subfractions; plant sterols and cholesterol synthesis precursors), and safety labs before and after 6 and 12 weeks D3 or placebo. Data were analyzed by repeated measures ANOVA and linear regression.

Results: Vitamin D3 was titrated to 1000 IU/day in 15/26 (58%), to 2000 IU/day in 10 and 3000 IU/day in one. D3 had no effect on cholesterol or cholesterol subfractions except for decreases in atorvastatin-treated patients (cholesterol, $p=.08$; LDL-cholesterol, $p=.05$). Decreased campesterol concentrations ($p=.05$) were seen with D3 but not placebo in statin-treated patients. Relationships between total 25-OH D and lipids were not detected, *but*, inverse linear relationships were detected between free 25-OH D and triglycerides ($p=.03$ for all participants ($n=49$), $p=.03$ in all statin-treated ($n=19$) and $p=.0009$ in atorvastatin-treated ($n=11$), and between free 25-OH D and LDL-cholesterol ($p=.08$ overall, $p=.02$ in all statin-treated and $p=.03$ for atorvastatin-treated), and total cholesterol ($p=.09$ overall; $p=.04$ for all statin-treated and $p=.05$ for atorvastatin-treated).

Conclusions: Vitamin D lipid lowering effects appear limited to statin-treated patients and are likely due to decreased cholesterol absorption. Relationships between lipids and D metabolites were only detected when free 25-OH D was measured suggesting the superiority of determining free 25-OH D levels compared to total 25-OH vitamin D levels when analyzing biologic responses.

1 **INTRODUCTION**

2 A role for vitamin D in the atherosclerotic process has been hypothesized as serum vitamin D
3 levels have been inversely correlated with the degree of coronary artery calcification as determined by
4 cardiac computed tomography, prevalence of hypertension, diabetes, obesity, high serum triglyceride
5 levels, and cardiovascular and overall mortality. [1-4] The underlying mechanism of such benefits has
6 not been established.

7 We previously investigated the effects of oral vitamin D supplementation (800 IU /day: 400 IU
8 D3 and 400 IU D2 as part of multivitamins and combined with calcium) in patients receiving
9 atorvastatin in an open label cross-over study. [5] Although not an entry criteria for our study, most
10 participants were vitamin D deficient in the absence of supplementation. We hypothesized that
11 CYP3A-metabolized atorvastatin concentrations would decrease during vitamin D supplementation
12 and that cholesterol levels would rise. We found the hypothesized decrease in atorvastatin
13 concentrations during vitamin supplementation but unexpectedly, LDL-cholesterol and total cholesterol
14 were significantly lower during combined atorvastatin and vitamin D administration despite lower
15 atorvastatin concentrations. The findings suggested a vitamin D effect on cholesterol metabolism or
16 transport.

17 Strong cross-sectional associations between higher 25-OH vitamin D levels and lower total
18 cholesterol, lower LDL cholesterol, higher HDL cholesterol and lower triglycerides have been reported
19 from a large community database with a longitudinal analysis that showed increasing 25-OH D levels
20 affected total and HDL-cholesterol levels but not LDL-cholesterol and triglyceride levels. [6]
21 Prospective data on effects of vitamin D on lipids are limited with differing results in those that have
22 been reported. [7-14] Vitamin D has been reported to lower triglyceride levels and to a lesser extent
23 cholesterol concentrations as well as having no effect on these measures in small studies that largely
24 lacked blinding or placebo groups. [7-14] The studies used relatively low doses of oral vitamin D3
25 (300-1000 IU/day), oral 1 α ,25- (OH) $_2$ vitamin D or i.v. 1 α ,25- (OH) $_2$ vitamin D for relatively short
26 periods of time and only one assessed circulating vitamin D responses (with non-standardized assays).

27 A more recent study of weekly 50,000 IU D3 for 8 weeks in middle-aged men and women with
28 baseline vitamin D deficiency and at risk of cardiovascular disease. This study reported no significant
29 effects on total cholesterol, LDL-cholesterol, small LDL particle number, HDL-cholesterol or
30 triglycerides with mean 25-OH vitamin D levels of $43 \pm 12/3$ ng/mL. Increases in calcium were
31 positively related to LDL-cholesterol and inversely to serum parathyroid hormone. [15] These data
32 suggest a potentially negative clinical effect of vitamin D supplementation on LDL-cholesterol.

33 Our primary goal in the current investigation was to determine effects of individual D3 dose
34 titration with daily oral D3 to correct vitamin D inadequate states on lipid profiles. A secondary goal
35 was to determine the relationship between free 25-OH vitamin D and lipid concentrations.

36
37
38 **METHODS**

39
40 **Overall Design.** This was a twelve week double-blind placebo-controlled dose titration study of the
41 effects of D3 on lipid levels.

42 **Subject selection.** Subjects were vitamin D deficient (see below) adults able to provide informed
43 consent, able to take oral non-crushed pills/capsules, clinically stable (no changes in
44 medications/diagnoses within a month, or hospitalization within 6 months), no severe renal disease
45 ($eGFR \geq 28$ ml/min/m²), no hypercalcemia or history of hypercalcemia, no history of recent renal stones
46 or sarcoid, no history of osteoporotic fracture, or uncontrolled thyroid or parathyroid disorder, or
47 intestinal bypass surgery or resection of small bowel, granulomatous disease, active malignancy other
48 than non-melanoma skin cancer, detectable viral load if (HIV-infected), hematocrit <30% for women or
49 <34% if men) or contraindications or allergy to vitamin D.

50 **Subject Enrollment.** After informed consent was obtained for the protocol approved by the University
51 of California, San Francisco Committee on Human Research, demographic and medical data were
52 collected including age, sex, race, height, weight, body mass index (BMI), renal status (eGFR), and
53 disease states (bone disease (osteopenia, osteoporosis, fractures), cardiovascular disease, diabetes,
54 thyroid, cancer, gastric resection, history of malabsorption disease), concomitant medications,

55 nutraceuticals, and sun exposure assessed with a sunshine questionnaire. [16] Blood was drawn on two
56 baseline occasions at least one week apart to confirm vitamin D deficiency (25-OH vitamin D levels
57 <25 ng/mL, Mayo Clinical Laboratories, Rochester, MN, <http://www.mayomedicallaboratories.com/>).
58 Additional tests included creatinine, calcium, phosphorus, HgbA1c (in diabetics), HIV viral load (in
59 HIV-positive subjects taking protease inhibitors) and fasting complete lipid profile, LDL and HDL
60 subfractions, VLDL and/or lipoprotein (a) concentrations, triglycerides (VAP test, Atherotech
61 Diagnostics Lab, Birmingham, AL, <http://www.atherotech.com>). Enrollment was concentrated in
62 spring and summer (84% of participants).

63
64 **Randomization, Study conduct. and Vitamin D Dose Titration.** Subjects were randomized to vitamin
65 D3 1000 IU/day (one capsule) or matching placebo, stratified by sex and instructed to take capsules
66 with the fattiest meal of the day. Subjects with severe vitamin D deficiency (<10 ng/mL) were not
67 randomized but could be assigned to 1000 IU/day D3. Subjects were interviewed every two weeks for
68 health status (illnesses, \ physician visits, medication changes, self-reported health status, side effects),
69 and study-related dispensing. After 6 and 12 weeks, fasting blood samples were obtained for 25-OH
70 vitamin D, calcium, lipids, and weight and blood pressure, measured. Dosing was: 1) Vitamin D3 group
71 a) with baseline 25-OH vitamin D concentrations of ≤ 20 ng/mL, doses were increased to 2000 IU/day
72 (two 1000 IU capsules) if 25-OH D concentrations at week 6 were <25 ng/mL. Doses remained at 1000
73 IU/day (one 1000 IU and one placebo capsule) for weeks 7-12 if measured 25 OH-D was ≥ 25 ng/mL.
74 2) For those with baseline 25-OH vitamin D concentrations of 21-25 ng/mL, D3 dose was increased to
75 2000 IU/day if 25-OH vitamin D level was not increased at least 25% over baseline after six weeks or
76 remained at 1000 IU/day (plus matching placebo) for weeks 7-12 if 25-OH D increased greater than 25
77 per cent of baseline. After 12 weeks, if target 25-OH D levels were not reached, subjects could enroll
78 in a six week open label extension and receive 3000 IU/day. 2) Placebo group. Subjects randomized to
79 placebo received one placebo capsule during weeks 1-6 and two placebo capsules for weeks 7-12.

80 **Vitamin D formulations.** D3 (cholecalciferol) and matching placebo were obtained from Bio-Tech
81 Pharmacal, Inc (Fayetteville, Arkansas, www.Bio-Tech-Pharm.com) with validated vitamin D content
82 at formulation, and after 1 and 2 years. Independent content analysis was performed before dispensing,
83 and every 12 weeks thereafter. (Tai C. Chen, Ph.D., CTSI, Boston University)

84 **Testing Procedures/Measurements**

85 **25-OH Vitamin D3 + D2 Measurements** were determined by CLIA certified liquid chromatography
86 tandem mass spectrometry at Mayo Clinical Laboratories with participation in ODS-funded NIST
87 quality assurance program for analysis of vitamin D metabolites in human serum. The assay has ~10%
88 CV at levels <10 ng/mL. Internal standard is NIST reference standard.

89 **Free (unbound) 25-OH vitamin D** concentrations were determined by immunoassay (Future Diagnostics
90 B.V., Wijchen, The Netherlands, <http://www.future-diagnostics.nl/>) [17]

91 **D3 capsule content.** Analyses of capsule content were performed by HPLC after saponification and
92 correction of the yield according to recovery of added external D3 (NIST) standard (Tai C. Chen,
93 Ph.D., CTSI, Boston University). [18, 19]

94 **Dietary vitamin D intake** Dietary vitamin D and cholesterol intake was estimated by Block 2005 Food
95 Frequency I questionnaire (NutritionQuest, Berkeley, CA). [20]

96 **Lipid and cholesterol subfraction concentrations** (total and cholesterol-r(eal), LDL and subfractions,
97 HDL and subfractions, VLDL and/or lipoprotein (a) concentrations, triglycerides
98 were determined. (Atherotech Diagnostics Lab, Birmingham, AL, <http://www.atherotech.com>).
99 [21]

100 Total plasma cholesterol precursor lathosterol and the plant sterol campesterol were determined by gas
101 chromatography-mass spectrometry-selected ion monitoring (GC-MS-SIM) using epicoprostanol as internal
102 standard. Cholesterol was measured from the same plasma sample by GC-flame ionization detection (GC-
103 FID) using 5 α -cholestane as internal standard. [22, 23]

104 **Safety measures.** Calcium, phosphorus, creatinine, and HgbA1c were performed by Quest
105 Diagnostics, San Jose, CA (<http://www.questdiagnostics.com>). HIV viral copy number was performed

106 in clinical laboratories of San Francisco General Hospital, San Francisco, CA.

107 (<http://labmed.ucsf.edu/sfghlab/>)

108 **Other Measurements.** Weight and height were measured using balance beam scale. Body mass index
109 was calculated (weight (kg) divided by height² (M)). Blood pressure and heart rate were measured
110 using automated aneroid devices.

111 **Adherence** was determined from pill counts o.

112 **Statistical Design and Data Analysis.** Demographic and baseline characteristics of groups are
113 presented as mean ± S. D. and compared using ANOVA or Kruskal-Wallis for continuous variables, as
114 appropriate, and Chi-square tests for categorical variables. Effects of D3 compared to placebo were
115 determined by repeated measures ANOVA. A priori sample size estimates for the main effect
116 (vitamin D vs. placebo) were based on our prior report [5] with an effect size of 0.3,
117 intracluster correlations of 0.5 and analysis by repeated measures ANOVA with alpha=.095,
118 beta=0.8, yielding an estimated total sample of 58. Relationships between total or free, unbound
119 25-OH vitamin D and lipid concentrations were tested by linear regression.

120 **ClinicalTrials.gov.** The study was registered with clinicaltrials.gov as NCT 00723385

121

122

123 **RESULTS**

124

125 **Subjects.** Fifty-six subjects had total 25-OH D levels <25 ng/mL on initial screening with two
126 ineligible due to 25-OH D concentrations ≥ 25 ng/mL on repeat baseline measurement. Fifty-four
127 subjects underwent randomization stratified by sex and season; there was one subject dropout due to
128 physical relocation and four were removed due to study non-adherence (sunburn at visits, stated non-
129 adherence with capsule intake, stated significant change in diet). Data were analyzed for forty-nine
130 subjects (a priori power calculations for this sample size estimates power of avoiding a type II error of
131 0.7). Twenty-six received vitamin D3 (14 men and 12 women; 19 Caucasian, 5 African American, 2
132 Asian) and 23 received placebo (twelve men and 11 women; 15 Caucasian, 6 African American, 1
133 Asian, 1 other). Subject characteristics are summarized in Table 1. The D3 and placebo group were

134 similar in age, weight, medical status, renal status, estimated dietary intake of D, estimated sun
135 exposure, and baseline 25-OH vitamin D levels. There were few smokers (two in the vitamin D group
136 reporting smoking about one-half pack/day and 4 in the placebo group reported smoking about 1
137 pack/day) and more subjects reported alcohol intake in the vitamin D3 group. Of the 17 in the D3 group
138 reporting alcohol consumption, 5 consumed it daily and twelve less frequently (8 on a weekly basis, 3
139 on a monthly basis and one annually). For the 10 placebo group subjects reporting alcohol
140 consumption, consumption was daily in 2 and less frequently in ten (weekly in 4, monthly in 4 and once
141 yearly in two). One woman in the D3 group took birth control pills (estrogen and progesterone)., No
142 other sex hormones were taken. Other medications taken by >2 subjects per group were: HMG CoA
143 reductase inhibitors (D3 group n=9, placebo n=10; more frequent in diabetics than non-diabetis
144 ($p<.001$), diuretics (D3 n=7, placebo n=4; more frequent with hypertension ($p<.001$)), ACE inhibitors
145 (D3 n=4, placebo n=7); aspirin (D3 n=5, placebo=6), NSAID's (D3 n=4, placebo =1), thyroid (D3 n=4,
146 placebo n=5), insulin (D3 n=3, placebo n=1), proton pump inhibitors (D3 n=3, placebo n=3) .

147 Study Capsule Content. Mean content of capsules during the period subjects received capsules was 917
148 \pm 168 IU/capsule.

149 Vitamin D3 doses and Resultant 25-OH Vitamin D Concentrations (see Table 2). Final vitamin
150 D3 doses were 1000 IU/day (25 mcg/day) in 15/26 (58%), 2000 IU/day (50 mcg/day) in 10 (38%), and
151 3000 IU/day (75 mcg/day) in one. Dietary vitamin D intake did not differ between groups titrated to
152 1000 or 2000 IU/day (110 ± 71 and 84 ± 28 IU/day, respectively). The D3 dose to achieve target 25-OH
153 D levels was positively related to weight ($p<.03$) and BMI ($p<.008$), and higher in subjects with
154 hypertension ($p<0.05$) and/or receiving diuretics ($p<.06$). Diuretics were used in all but one subject
155 with hypertension so effects of hypertension or diuretic use could not be distinguished. No effect of age,
156 diabetes, statin intake, thyroid disease, heart failure, ACE inhibitors, aspirin or NSAID's, smoking
157 status, alcohol intake, or season of enrollment and participation was detected on dose requirements to
158 reach target 25-OH vitamin D concentrations.

159 At study end, 25-OH vitamin D concentrations were about double baseline values in the
160 vitamin D3 group (from 16.2 ± 4.5 to 32.7 ± 6.2 ng/mL. $p < .0001$) and unchanged in the placebo group
161 ($f 16.7 \pm 4.4$ ng/mL at entry and 17.9 ± 8.3 at study end). Free 25-OH vitamin D levels at baseline ranged
162 from 1.7 to 4.5 pg/mL and undetectable in one participant. Free 25-OH levels increased with D3 to a
163 mean of 5.7 ± 1.1 pg/mL ($p < .0001$; range 1.6 to 7.9 pg/mL) at study end and were unchanged with
164 placebo (see Table 2). 25-OH vitamin D2 levels were detectable in 4 subjects at baseline (3 assigned to
165 D3 and 1 assigned to placebo) and two at study end (both assigned to placebo).

166 Lipid Responses by Group Assignment. Vitamin D3 did not significantly affect lipids or cholesterol
167 subfractions of LDL and HDL, IDL, LDL-R-c, remnant fractions). Total cholesterol, LDL-cholesterol,
168 HDL-cholesterol, VLDL-cholesterol, Lipoprotein (LP)a and triglyceride concentrations for vitamin D3
169 and placebo are shown in on-line Tables 1 and 2 . As results differed from our observation in patients
170 receiving vitamin D with atorvastatin, responses of atorvastatin treated participants (D3 group $n=5$
171 receiving 45 ± 25 mg/day; placebo, $n=6$ receiving 43 ± 27 mg/day) were analyzed. Lipid concentrations
172 were lower in patients receiving atorvastatin compared to those not receiving atorvastatin throughout
173 (repeated measures ANOVA, $p < .001$). Vitamin D3 in the atorvastatin-treated patients lowered total
174 cholesterol 12% ($p = .06$) and LDL-cholesterol 14% ($p = 0.05$) compared to placebo (Figure 1).

175 To investigate potential underlying mechanisms for decreases in LDL-cholesterol, surrogate
176 serum markers of cholesterol absorption (campesterol) and endogenous whole body cholesterol
177 biosynthesis, (lathosterol) were examined in the statin-treated participants ($n=21$, 19 completed the
178 study and two with baseline and midstudy data) and a subset ($n=6$) of non-statin treated participants for
179 whom serum were available. Results are presented in Fig 2 and on-line Table 3. Campesterol
180 concentrations were lower after D3 administration in statin-treated patients compared to baseline (from
181 0.710 ± 0.41 to 0.582 ± 0.31) while unchanged in the statin-treated group that received placebo (0.408
182 ± 0.186 and 0.408 ± 0.173 , $p = 0.05$ for the D3 and campesterol interaction, repeated measures ANOVA).
183 The decrease in the ratio of campesterol to cholesterol in the D3 treated compared to placebo did not

184 reach significance ($p=0.12$). No D3 vs. placebo treatment effects were detected on lathosterol
185 concentrations. In D3 treated subjects not receiving statins, lathosterol concentrations were higher than
186 statin-treated subjects ($p=.001$) but neither lathosterol or campesterol concentrations were affected by
187 vitamin D3 administration.

188 Relationships between total or free 25-OH vitamin D and Lipids. No relationships were detected
189 between circulating total 25-OH vitamin D levels and lipid levels (triglyceride ($p=.62$), cholesterol
190 ($p=.67$), LDL-cholesterol ($p=.52$) or HDL-cholesterol ($p=0.76$). In contrast, relationships were detected
191 between free 25-OH vitamin D concentrations and lipid parameters with D3 administration but with
192 placebo administration. Relationships for total cholesterol, LDL-cholesterol and triglycerides and free
193 25-OH concentrations at baseline and at study end for the placebo and vitamin D groups are presented
194 in Figure 3. No significant relationships were detected at baseline but after vitamin D3 administration,
195 inverse relationships for LDL-cholesterol and free 25-OH vitamin D were significant ($p=.009$), and
196 approached significance for total cholesterol ($p=.07$). No relationships between free 25-OH vitamin D
197 and lipids were detected in the placebo group.

198 Relationships between free 25-OH vitamin D levels and total and LDL_cholesterol and
199 triglycerides were also examined for statin- treated participants. Individual data are in Figure 4. As
200 more participants received atorvastatin than other statins (none on pravastatin, and one on lovastatin)
201 and randomization was not stratified by statin, relationships were largely determined by atorvastatin
202 participants. Inverse relationships were significant for free 25-OH vitamin D and triglycerides
203 (atorvastatin users $p=0.0009$, all statin users, $p=0.03$), total cholesterol (atorvastatin users $p=0.05$; all
204 statin users, $p=.04$), and for LDL-cholesterol (atorvastatin users $p=.03$ all statin users, $p=0.02$). For
205 HDL-cholesterol, no relationships were detected for all statin-treated (0.186), with a possible trend for a
206 positive relationship in atorvastatin-treated subjects ($r^2=0.145$, $p=0.07$).

207 Safety measures. Calcium concentrations did not change with D3 or placebo (Table 2) nor did
208 phosphorus or creatinine change (data not shown). HgbA1c in diabetics ($n=4$ D3 group, $n=5$ placebo)
209 was not altered during the study. Five HIV-infected with undetectable viral load on protease inhibitors

210 had viral load undetectable throughout except for a blip at mid-stud (60 detectable copies) in one with
211 return to non-detectable at twelve weeks.

212 Vital Signs. Weight and heart rate were unchanged during the study. Systolic and blood pressure
213 decreased slightly but significantly ($p<.04$) for both the placebo and vitamin D group; from 128 ± 21
214 mmHg to 123 ± 15 after 6 weeks and 124.8 ± 22 mmHg in the D3 group and from 125 ± 15 mmHg to
215 118 ± 14 after 6 weeks and 124 ± 17 mmHg after 12 weeks in the placebo group.

216 Adherence. Mean adherence was $98 \pm 6\%$ in the vitamin D3 group and $97 \pm 7\%$ in the placebo group.

217
218 **DISCUSSION**

219
220 Vitamin D plays a role in the regulation of hundreds of genes involved in bone and mineral
221 metabolism, the renin-angiotensin-aldosterone system, the immunologic system, the cardiovascular
222 system, muscle metabolism and strength, cellular proliferation and differentiation and survival of cells
223 in disorders such as cancer. [24-26] With increased recognition of the role of vitamin D in health and
224 disease has come the incentive to assure adequate vitamin D status in people.

225 We investigated effects of administering vitamin D3 to correct vitamin D inadequacy on lipid
226 concentrations. Based on our prior observations that LDL-cholesterol and total cholesterol were
227 significantly lower during combined atorvastatin and vitamin D administration compared to atorvastatin
228 without D supplementation, we hypothesized that cholesterol concentrations would be lower after
229 vitamin D supplementation. We chose a dose titration to a target 25-OH vitamin D concentration
230 strategy and began titration with 1000 IU/day vitamin D3 as previously 800 IU/day vitamin D did not
231 achieve 25-OH D concentrations over 20 ng/mL in one third of subjects. [5] In this study, three
232 participants (of 26 assigned to D3) did not increase concentrations above 20 ng/mL with 1000 IU/day
233 D3 and slightly over one third of subjects did not achieve concentrations above 25 ng/mL. With 2000
234 IU/day, all but one very obese subject achieved 25-OH D concentrations over 25 ng/mL.

235 We detected no effect of vitamin D3 supplementation and increased total circulating 25-OH
236 vitamin D concentrations on cholesterol, cholesterol subfractions, or triglyceride measurements in the

237 total group of subjects randomized to vitamin D3 compared to those randomized to placebo. These
238 results are in agreement with conclusions from a recent meta-analysis of randomized studies of effects
239 of varying doses of vitamin D on lipids. [27] The randomized double-blind placebo-controlled studies
240 included in the meta-analysis excluded patients receiving statins leaving the question of the effects of
241 vitamin D supplementation in the large number of people receiving statins with inadequate vitamin D
242 status unanswered. [28] Importantly, we found a lowering of LDL-cholesterol and total cholesterol in
243 patients receiving statins with correction of vitamin D inadequacy and identified a potential mechanism
244 for lipid lowering in these statin-treated patients. The decreases in LDL-cholesterol in atorvastatin-
245 treated patients with baseline vitamin D inadequacy were of the same magnitude of 12-14% as our
246 results in a different group of atorvastatin-treated patients. [5] Potential explanations include vitamin D
247 or vitamin D metabolite direct effects on cholesterol metabolism – either decreases in absorption or
248 decreases in endogenous cholesterol synthesis. In vitro, vitamin D has been reported to inhibit HMG-
249 CoA reductase in cultured human skin fibroblasts, transformed human liver cells and mouse peritoneal
250 macrophages and to inhibit lanosterol-14- α demethylase (CYP51A1) involved in cholesterol
251 biotransformation. [29] The lack of effect of vitamin D3 to lower cholesterol in non-statin treated
252 participants in our studies and those of others argues against a clinically relevant inhibition of HMG
253 Co-A reductase or cholesterol biotransformation.

254 In humans, while statins inhibit cholesterol synthesis they also upregulate cholesterol
255 absorption. [30, 31] Cholesterol balance studies of cholesterol synthesis and intestinal
256 cholesterol absorption have demonstrated that the plasma sterol campesterol can serve as a
257 marker of fractional cholesterol absorption and the plasma sterol lathosterol as a surrogate
258 serum marker of endogenous whole body cholesterol biosynthesis. [32] We found campesterol
259 concentrations decreased in statin-treated participants after vitamin D3 administration
260 compared to statin-treated participants receiving placebo that had no changes in campesterol
261 concentrations. Furthermore, no effect of vitamin D3 administration on campesterol

262 concentrations was seen in non-statin treated participants. We also failed to find any effect of
263 vitamin D3 on lathosterol concentrations compared to placebo administration. The lack of
264 changes in lathosterol argue against a decrease in cholesterol synthesis either by direct effects or due to
265 indirect vitamin D immunomodulatory and cytokine suppressive effects [33]. The data suggest that
266 decreased cholesterol absorption contributed to reduced LDL and total cholesterol
267 concentrations in response to vitamin D in the statin-treated participants. Inhibition of
268 cholesterol absorption would be predicted to be greatest in atorvastatin-treated participants as
269 atorvastatin has greater effects on increasing cholesterol absorption compared to simvastatin (or
270 rosuvastatin). [30, 31] A vitamin D3 effect to decrease cholesterol absorption might also have been
271 undetected in people not receiving lipid lowering therapy as dietary absorption contributes less to
272 circulating cholesterol levels than endogenous production. It is also plausible that D3 effects on lipids
273 might only be seen in patients with underlying abnormal lipid metabolism or metabolic disorders such
274 as hypercholesterolemia or diabetes.

275 A unique aspect of our work is that we analyzed responses of lipid parameters in relation to
276 circulating free as well as total 25-OH vitamin D concentrations, and in subgroups receiving or not
277 receiving statins. We failed to detect relationships between total 25-OH vitamin D concentrations and
278 lipids or lipid subfractions. Variation in vitamin D binding protein levels and properties affect levels of
279 the free fraction of circulating 25-OH vitamin D available to be converted to the active $1\alpha,25$ (OH)₂
280 vitamin D moiety. [34-38] If vitamin D actions are similar to that of other hormones such as
281 testosterone, free concentrations may be more relevant to biologic responses. In support of this
282 hypothesis, it has been recently reported that free 25-OH vitamin D concentrations are more closely
283 related to iPTH concentrations than total 25-OH vitamin D circulating concentrations in renal failure
284 patients. [39] At baseline, free 25-OH vitamin D concentrations were low and not detectable in some
285 subjects. With D3 supplementation, free 25-OH vitamin D concentrations increased, and inverse
286 relationships between free 25-OH vitamin D concentrations and triglycerides, cholesterol and LDL-

287 cholesterol became apparent. These clinically favorable trends were seen in the vitamin D treated
288 group as a whole and were significant in the statin treated participants, especially for triglycerides in
289 atorvastatin-treated subjects. Effects may have been more apparent in atorvastatin treated subjects as
290 there were more participants on atorvastatin than other statins, or because diabetics that are more likely
291 to have abnormalities in lipid and triglyceride metabolism received atorvastatin than other statins.
292 These data indicate the potential utility of free 25OH vitamin D measurements when assessing biologic
293 actions *in vivo*.

294 There are potential limitations to our study. The duration was relatively short although
295 adequate to reach steady-state circulating 25-OH vitamin D levels [40] and we did not study lower
296 dosages previously shown to be inadequate, or higher weekly replacement regimens used in severe
297 vitamin D deficiency. The study could not fully investigate the full spectrum of lipid effects in the
298 statin-treated subgroup or investigate individual statins prospectively. Circulating vitamin 1,25-OH₂ D
299 levels were not measured as changes in circulating concentrations are not seen during vitamin D
300 supplementation despite changes in 25-OH vitamin D and may not reflect conversion to active 1,25
301 OH₂ D in tissues. Results were obtained from subjects with limited and constant sun exposure
302 representative of most adults using sun protection but may not reflect requirements of those with
303 significant sunshine or UV exposure. Extrapolation to recommendations for commercial formulations
304 may not be exact as commercial preparations may not have s labeled content.

305 In summary, our data support the existence of an interaction of vitamin D₃ with lipids in HMG
306 CoA reductase (statin) treated patients in directions that would be clinically favorable and that a
307 contributing underlying mechanism is likely to be reduced cholesterol absorption. The data further
308 suggest that this interaction is better demonstrated with free 25-OH vitamin D measurements than with
309 total 25-OH vitamin D measurements. The results warrant further study.

310
311

312

313 **ACKNOWLEDGEMENTS**

314 This study and its contents are solely the responsibility of the author and do not necessarily represent
315 the official view of NCRR of NIH. Information on NCRR is available at <http://www.ncrr.nih.gov/>.

316 Information on Re-engineering the Clinical Research Enterprise can be obtained from

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FIGURE LEGENDS

Figure 1. Mean (\pm S.E.) cholesterol responses to daily oral vitamin D3 administration (solid circles connected by solid lines) or placebo (open circles connected by dashed lines) at baseline, after at least 6 weeks (mid-study) and at least 12 weeks (Study End) in atorvastatin-treated participants are shown in the upper panel and responses of LDL-cholesterol are shown in the lower panel. Differences in responses were significant ($p < .05$)

Figure 2. Mean (\pm S.E.) campesterol responses (upper panel) and lathosterol responses (lower panel) to daily oral vitamin D3 administration (solid circles connected by solid lines) or placebo (open circles connected by dashed lines) at baseline and study end for statin-treated participants are presented. Differences in campesterol responses between the D3 treated vs. placebo treated approached significance ($p = .05$)

Figure 3. Relationships between free 25-OH vitamin D concentrations and cholesterol (total), triglycerides, and LDL-cholesterol are presented for the D3- supplemented group at baseline (open circles, and dotted lines) and at the end of supplementation (closed circles and solid lines) in the left panels and for the placebo group at baseline (open triangles and dotted lines) and at the end of the study (closed triangles and solid lines) in the right panels. Regression results are presented with baseline values above the 0 point and relationships at study end toward the right of each panel. Significant relationships existed after D3 supplementation for LDL cholesterol ($p < .007$) and approached significance for total cholesterol, $p < .08$). At no time points were significant relationships detected in the placebo-treated subjects, likely due to the narrow range of free 25-OH D concentrations. No significant relationships at any time were detected for total 25-OH vitamin D concentrations and lipids (data not shown).

Figure 4. Relationships between circulating free 25-OH vitamin D concentrations and triglycerides, LDL cholesterol, and total Cholesterol are shown for the for the HMG CoA reductase inhibitor (statin) treated participants. Atorvastatin data are represented by solid circles, simvastatin data by open circles and lovastatin data by inverted triangles. Significant inverse relationships were detected between free 25-OH

vitamin D concentrations and triglycerides, LDL-cholesterol and cholesterol concentrations (results are presented within the figures for atorvastatin-treated participants, see text for additional details).

Table 1 . Subject Characteristics at Baseline

	Vitamin D3 (n=26)	Placebo (n=23)	Between Group Difference
Age (y)	60 ± 8	59 ± 12	ns
Weight (kg)	88.4 ± 24.4	82.7 ± 19	ns
Height (cm)	169.4 ± 9.1	165.2 ± 9.4	ns
BMI (kg/m ²)	30.7 ± 7.6	30.4 ± 7.4	ns
Creatinine (mg/dL)	1.0 ± 0.4	0.9 ± 0.2	ns
eGFR (ml/min m ²)*	78 ± 22	82 ± 20	ns
Charlson Co-morbidity Score	3.4 ± 2.5	3.4 ± 4.1	ns
Diabetes	4	6	ns
Hypertension	11	14	ns
Coronary Artery Disease	4	4	ns
Heart failure	3	2	ns
Number of daily medications	5.2 ± 5.1	5 ± 5.5	ns
Receiving HMG CoA reductase inhibitor (n)	9	10	ns
Vitamin D from diet (IU/day)	111 ± 74	128 ± 74	ns
Estimated sun exposure/day (hr)	2.9 ± 2.7	2.9 ± 2.7	ns
Calcium (mg/dL)	9.4 ± 0.4	9.5 ± 0.4	ns
Serum 25- OH Vitamin D (ng/mL)	16.2 ± 4.5	16.7 ± 4.4	ns
Cigarette Smoker	2	4	
Alcohol Drinker	17	10	
Birth Control Pills	1	0	
Diuretic	7	4	

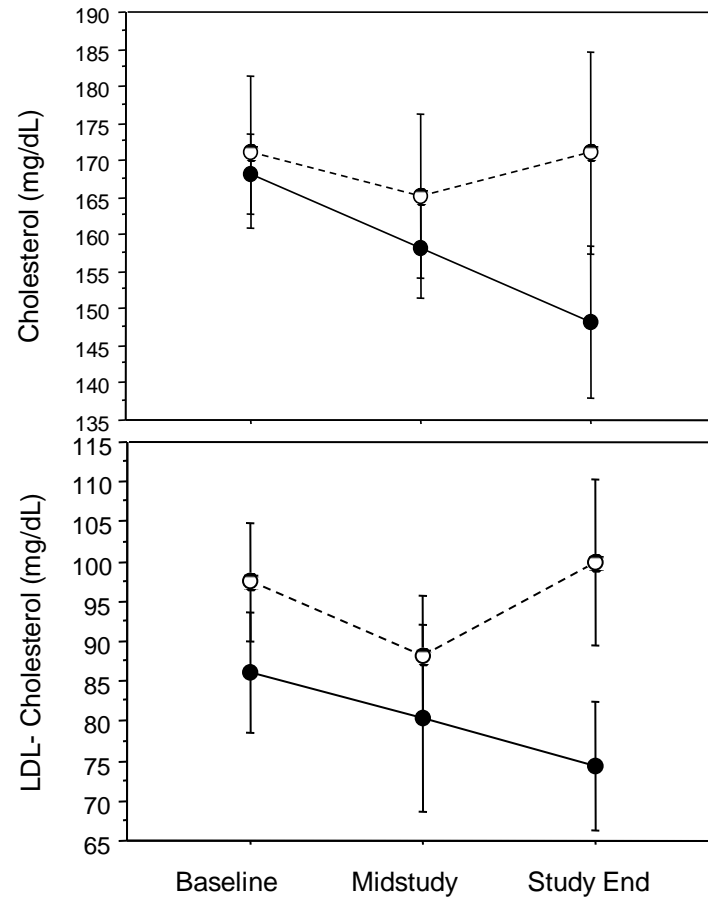
Data are mean ± S. D. * Modification of Diet in Renal Disease (MDRD) formula.

Table 2 . Responses to Vitamin D3 or Placebo

	Baseline	Mid-study	Study End	Between Group Differences in Responses*
Total 25-OH Vitamin D (ng/mL)				
Vitamin D3 Group	16.2 ± 4.5	28.2 ±6.1	32.7 ±6.2	p <.0001
Placebo Group	16.7 ± 4.4	18.2 ±7.1	17.9 ±8.3	
Free 25-OH Vitamin D3 (pg/mL)				
Vitamin D3 Group	2.9 ± 0.9	4.7 ± 1.1	5.7 ±1.1	p <.0001
Placebo Group	2.9 ± 0.7	3.2 ± 0.8	3.2 ± 1	
25-OH Vitamin D2 (ng/mL)				
Vitamin D3 Group	0.4 ±1.8	0.04 ± 0 0.1	0.5 ±2.1	ns
Placebo Group	0.5 ±2.2	0.8 ± 2.4	1.2 ±2.9	
Calcium (mg/dL)				
Vitamin D3 Group	9.4 ±0.4	9.4 ±0.4	9.3 ±0.4	ns
Placebo Group	9.4 ±0.4	9.3 ±0.5	9.3 ±0.4	

Data are mean ± S.D. * repeated measures ANOVA.

Figure
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Figure

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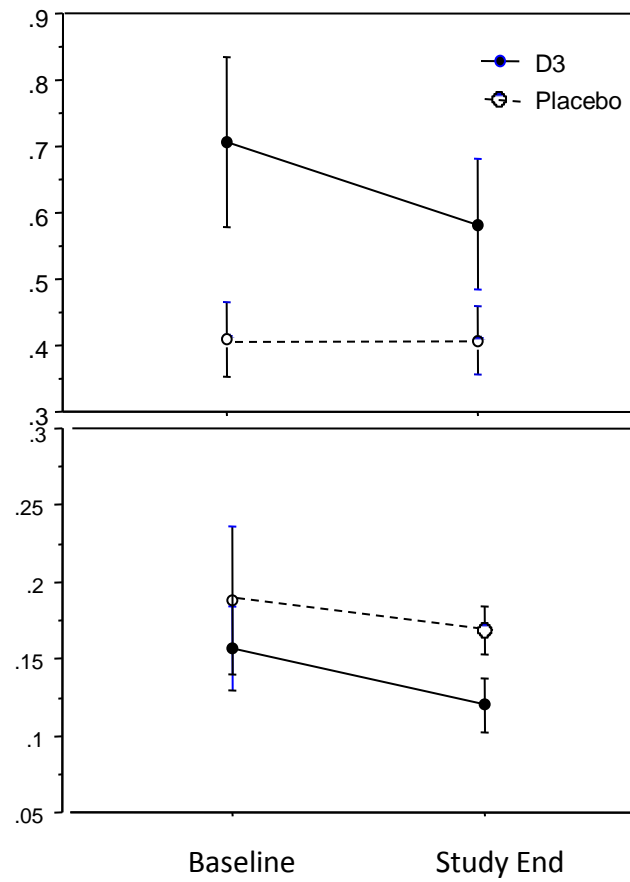
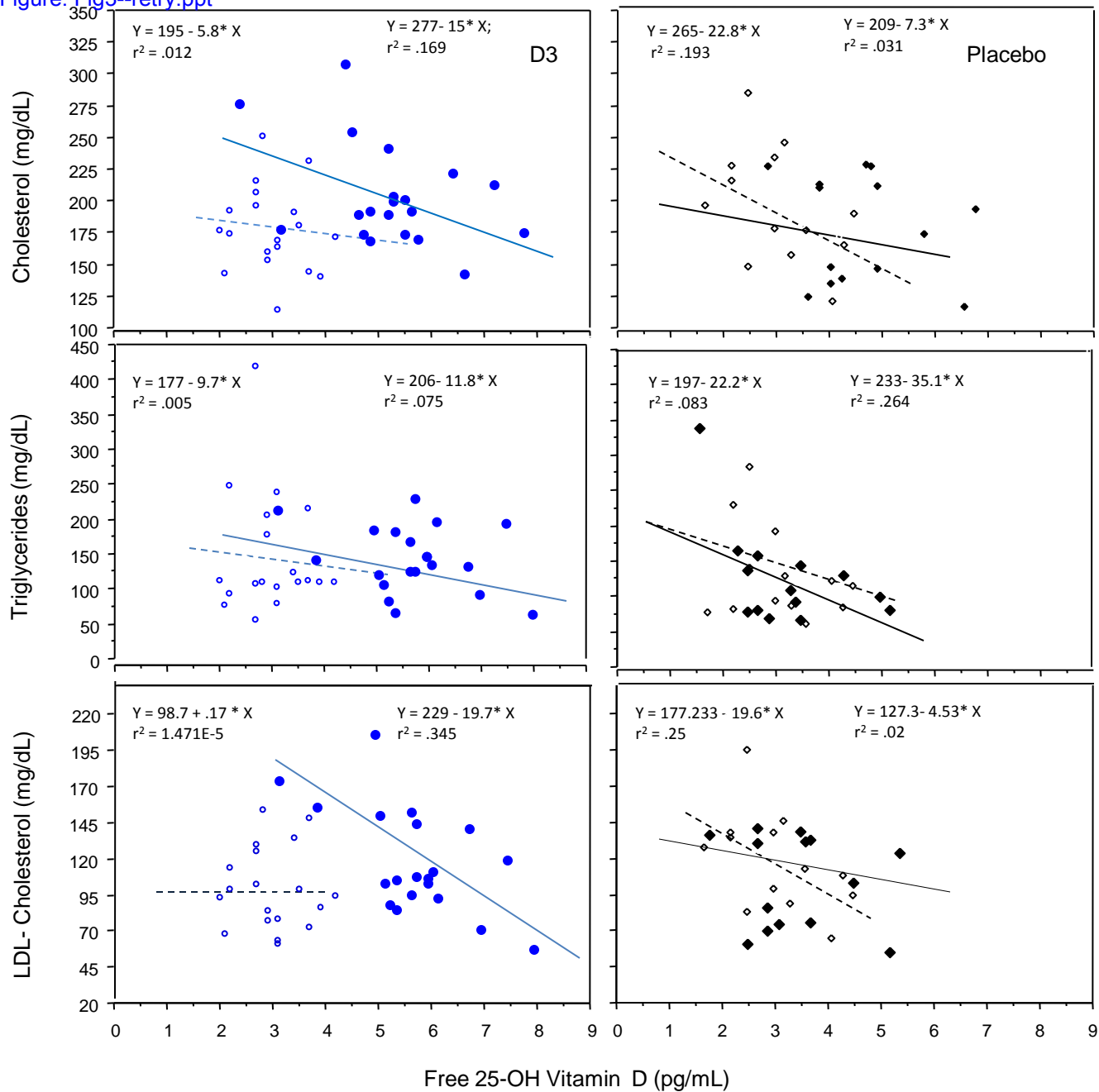


Fig 2

Figure
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Figure

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