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Permalink

<https://escholarship.org/uc/item/7v85c0wc>

Authors

Toh, Chris
Brody, James P

Publication Date

2020

DOI

10.1101/2020.07.06.20147637

Peer reviewed

1 **Human chromosomal-scale length variation and**
2 **severity of COVID-19 infection using the UK Biobank dataset.**

3 **Authors:** Chris Toh¹ and James P. Brody^{1*}

4 **Affiliations:**

5 ¹Department of Biomedical Engineering, University of California, Irvine.

6 *Correspondence to: jpbrody@uci.edu.

7 **Abstract:**

8 **Introduction.**

9 The course of COVID-19 varies from asymptomatic to severe (acute respiratory distress,
10 cytokine storms, and death) in patients. The basis for this range in symptoms is unknown.
11 One possibility is that genetic variation is responsible for the highly variable response to
12 infection. We evaluated how well a genetic risk score based on chromosome-scale length
13 variation and machine learning classification algorithms could predict severity of response to
14 SARS-CoV-2 infection.

15 **Methods.**

16 We compared 981 patients from the UK Biobank dataset who had a severe reaction to SARS-
17 COV-2 infection before 27 April 2020 to a similar number of age matched patients drawn for
18 the general UK Biobank population. For each patient, we built a profile of 88 numbers
19 characterizing the chromosome-scale length variability of their germ line DNA. Each number
20 represented one quarter of the 22 autosomes. We used the machine learning algorithm
21 XGBoost to build a classifier that could predict whether a person would have a severe
22 reaction to Covid-19 based only on their 88-number classification.

23 **Results.**

24 We found that the XGBoost classifier could differentiate between the two classes at a
25 significant level $p = 2 \cdot 10^{-11}$ as measured against a randomized control and $p = 3 \cdot 10^{-14}$
26 measured against the expected value of a random guessing algorithm (AUC=0.5). However,
27 we found that the AUC of the classifier was only 0.51, too low for a clinically useful test.

28 **Conclusion.**

29

30 **Introduction:**

31 The course of COVID-19 varies from asymptomatic to severe (acute respiratory distress,
32 cytokine storms, and death) in patients. The basis for this range in symptoms is unknown. One
33 possibility is that genetic variation is responsible for the highly variable response to infection.

34 Human genetic variation can affect susceptibility and resistance to viral infections[1]. For
35 instance, variants in the gene IFITM3 affect the severity of seasonal influenza[2]. Patients
36 hospitalized from seasonal influenza had a particular allele of the gene IFITM3 at a higher rate
37 than expected from the general population. Laboratory work determined that this particular allele
38 can alter the course of the influenza virus infection.

39 We have previously shown that chromosome-scale length variation is a powerful tool to
40 analyze genome wide associations[3]. This method is particularly appealing for genetic risk
41 scores because it includes epistatic effects that might be missed with conventional genome wide
42 association studies.

43 The purpose of this paper is to evaluate how well a genetic risk score based on
44 chromosome-scale length variation and machine learning classification algorithms can predict
45 severity of response to SARS-CoV-2 infection. We evaluated this approach on a dataset of 931
46 patients who had a severe reaction to Covid-19 in 2010. These patients had been previously
47 genotyped as part of the UK Biobank.

48 **Methods:**

49 Data was obtained from the UK Biobank under Application Number 47850. First, we
50 downloaded the “12r” files from the UK Biobank. Each chromosome has a separate “12r” file.
51 Each “12r” file contained 488,377 columns and a variable number of rows. Each column
52 represented a unique patient in the dataset, who can be identified with an encoded ID number.

53 Each row represented a different SNP. The values in the file represent the log base 2 ratio of
54 intensity relative to the expected two copies measured at the SNP location.

55 After downloading the “l2r” data from the UK Biobank, we computed the mean l2r value
56 for a portion of the chromosome for each patient in the dataset. This process produced a dataset
57 where each person was represented by a series of 88 numbers. Each number represents the length
58 variation for 25% of the 22 non-sex chromosomes. A value of 0 represents the nominal average
59 length of that portion of the particular chromosome. We call this dataset the chromosome-scale
60 length variation (CSLV) dataset.

61 This CSLV dataset was matched with the UK Biobank Covid-19 dataset. The Covid-19
62 data were provided to UK Biobank by Public Health England. UK Biobank matched the person
63 in the Public Health England data with UK Biobanks internal records to produce the person’s
64 encoded participant ID. The dataset we have, provided by UK Biobank contains the participant
65 ID, date the specimen was taken, laboratory that processed the sample, whether the patient was
66 an inpatient when the sample was taken, and the result (positive/negative) of the test. The UK
67 Biobank continues to update the data approximately biweekly.

68 The criteria for testing and interpretation of results in the UK Biobank Covid-19 data has
69 evolved. A positive test in this dataset earlier than 27 April 2020 was a good indication that the
70 person had severe disease. During this early time period, SARS-CoV-2 testing was only
71 performed on symptomatic people and this dataset only includes people tested in a hospital.
72 After 27 April 2020, NHS instructed hospitals to test all non-elective patients admitted, including
73 asymptomatic patients. The UK Biobank dataset released after 27 May 2020 includes “pillar 2”
74 positive test results. These “pillar 2” tests include people in hospitals for non-elective

75 procedures, staff screening, for care homes, and can include asymptomatic patients.

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77 Table 1. We segmented the dataset into three overlapping subsets.
78 The first, which we called “1930” contained all UK Biobank
79 participants born after 1930 who had a severe reaction to SARS-
80 CoV-2 infection before 27 April 2020. The two subsets contained
81 people born after 1940 and after 1950.

Dataset	Number
1930 (< 90 years of age)	981
1940 (< 80 years of age)	880
1950 (<70 years of age)	468

82 Using the CSLV-Covid-19 dataset, we selected all people who tested positive before 27
83 April 2020 and labelled these as people having a severe reaction to Covid-19. We segmented
84 these into three overlapping datasets, as shown in Table 1. We constructed an age-matched
85 control group of the same size that had an identical age profile as those in the severe reaction
86 group. The age-matched control group was selected from the entire UK Biobank dataset,
87 excepting those few who had a severe reaction to Covid-19. Since only a small fraction of the
88 people in the UK Biobank had a severe reaction to Covid-19, we could rerun the analysis with a
89 different age-matched control group many times to build up statistics. We chose this control
90 group based on the data available and the finding that severe reactions to Covid-19 are a strong
91 function of age and uncommon (only about 20% of those infected with SARS-CoV-2 require
92 ICU admission even among those in their 70s)[4,5].

93 We used the H2O machine learning package in R to create XGBoost[6] models that were
94 trained to classify a person in the dataset, consisting of those who had a severe reaction and age-
95 matched controls, based solely on their chromosome scale length variation data.

96 **Results:**

97 The results are presented in Figure 1 and Table 2. As Figure 1 shows, we found a
98 significant difference between all three age groupings and their corresponding random controls.
99 This finding indicates that germ line genetics of the infected patient, as represented by the set of
100 chromosome-scale length variation numbers, has an effect on the severity of COVID-19.

101 Figure 1 and Table 3 also show that the AUC (area under the curve of the receiver
102 operating characteristic curve) for the XGBoost classification model was about 0.51. A
103 classification model with an AUC of 0.51 is just slightly better than guessing.

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Figure 1. This boxplot figure presents the results of the machine learning predictions. We created three different datasets, one which includes all patients less than 90 years old, the second includes every patient less than 80 years old, and the third with every patient less than 70 years old. These are indicated as the oldest birthyear “data”. Each dataset included an equal number of patients with a “severe reaction” to Covid-19 and an equal number of age matched people drawn from the general UK Biobank population, “normal”. For comparison, we took those three datasets and randomly permuted the status (“severe reaction” or “normal”) and repeated the process. This randomly permuted dataset is labelled oldest birthyear “random”. For each dataset, we repeated the whole process 100 times, each time with a different set of age matched people from the general UK Biobank population.

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Table 2. We compared the difference in mean AUC values between the various datasets using a t-test. The datasets consisting of people born after 1930, 1940, and 1950 all showed significant differences with the corresponding random control. Those three datasets also showed significant differences between the mean AUC and 0.5. The three random controls did not show a significant difference between the mean AUC and 0.5, as expected. An AUC value of 0.5 represents a random classification test, one in which the algorithm is no better than guessing.

		p-value of t-test
1930 data	1930 random	$2 \cdot 10^{-11}$
1940 data	1940 random	$1 \cdot 10^{-9}$
1950 data	1950 random	$1 \cdot 10^{-4}$
0.5	1930 data	$3 \cdot 10^{-14}$
0.5	1940 data	$4 \cdot 10^{-13}$
0.5	1950 data	$3 \cdot 10^{-4}$
0.5	1930 random	0.1
0.5	1940 random	0.4
0.5	1950 random	0.08

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Table 3. The mean, and standard deviation, of the area under the curve of the receiver operating characteristic curve was recorded after 100 different runs of the XGBoost model. Each run used a different set of people who did not have a severe reaction to Covid-19. The mean AUC for all three datasets was well described by a normal distribution, as confirmed by a Shapiro normality test.

	Mean AUC	SD AUC
1930 data	0.515	0.017
1940 data	0.516	0.019
1950 data	0.511	0.030

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139 **. Discussion:**

140 The two conclusions of this study are divergent. First, a genetic difference exists

141 between those who have the most severe course of the disease and the general population.
142 Second, we were not able to exploit this difference to develop a clinically useful test to
143 distinguish between people who will experience a severe course of the disease and those who
144 will not. We could only demonstrate a genetic risk test with an AUC of 0.51, only slightly above
145 0.50 which represents random guessing.

146 Although the AUC we found here is too low to be clinically useful, several avenues for
147 improving the AUC exist. We were constrained by the data available to compare those who had
148 a severe reaction to Covid-19 with the general population, but the general population probably
149 contains a substantial number of people who would also have a severe reaction to Covid-19. A
150 better approach would be to compare those who had a severe reaction to Covid-19 with those
151 who were asymptomatic or had a mild reaction. Simply having more data on those patients who
152 had a severe reaction might also lead to an increase in AUC. We could also have more data on
153 each individual patient. The algorithm we used for transforming “12r” data into our final
154 chromosome-scale length variation data took averages over each quarter of a chromosome. We
155 could instead include smaller chromosome segments. Finally, an alternative machine learning
156 algorithm might provide improved AUC. Different algorithms perform differently on different
157 classes of problems. [7] We did a brief test of different algorithms before choosing XGBoost for
158 this problem, but, for instance, a deep learning algorithm might have superior performance with
159 proper tuning.

160 Our results add to the recent work done by other on the link between genetics and
161 severity of Covid-19. A detailed study of this UK Biobank Covid-19 dataset identified that
162 Black and Asian patients were at a significantly higher risk of testing positive compared to white
163 patients [8]. This study also attempted to derive a polygenic risk score. However, when they

164 applied the polygenic risk score to a hold-out group, they found that the mean score was
165 indistinguishable between the group of people who had tested positive and the group that had no
166 positive test. In comparison, our work found that these two groups are distinguishable with a
167 genetic risk score, but only very slightly. We measured the AUC at 0.51. They do not report an
168 AUC, but an indistinguishable test is the equivalent of an AUC of 0.50.

169 Other more comprehensive metastudies have identified one specific genetic component
170 behind the severity of Covid-19. For instance, one study of Covid-19 patients who experienced
171 respiratory failure at seven hospitals in Italy and Spain found a fairly strong association in a
172 cluster of genes lying on part of chromosome 3 and a borderline association in chromosome 9
173 encompassing the ABO blood group locus [9]. The June 2020 results posted by the Covid-19
174 Host Genetics Initiative [10,11], also indicate a strong association in Chromosome 3, but fail to
175 reproduce the association in chromosome 9. The Covid-19 Host Genetics Initiative “ANA_B2”
176 study represents hospitalized Covid-19 patients compared to the general population and are
177 derived from mostly patients in Europe and Brazil. Neither study attempted to derive a genetic
178 risk score.

179 **Conclusion:**

180 In conclusion, we found a significant difference exists between the structural genomics of
181 those patients in the UK Biobank who had a severe reaction to the SARS-CoV-2 virus and the
182 general UK Biobank population. However, a test based upon this difference would not be
183 clinically useful in its present state, since it had an AUC of 0.51.

184 **Acknowledgements:**

185 The data used in this study was obtained from the UK Biobank under Application
186 Number 47850.

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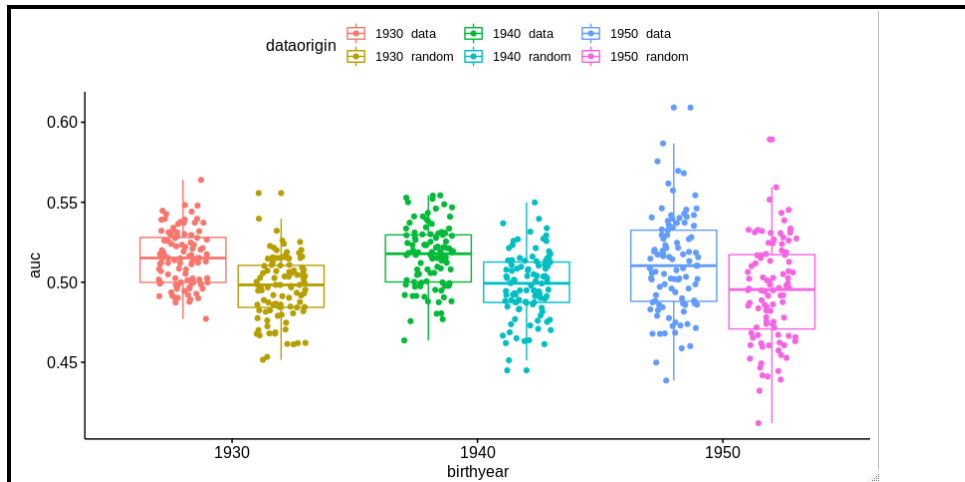
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dataorigin 1930 data 1940 data 1950 data
1930 random 1940 random 1950 random

