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### Authors

Desai, P. T.  
Porwollik, S.  
Long, F.  
et al.

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# Evolutionary Genomics of *Salmonella enterica* Subspecies

Prerak T. Desai,<sup>a</sup> Steffen Porwollik,<sup>a,b</sup> Fred Long,<sup>a</sup> Pui Cheng,<sup>a</sup> Aye Wollam,<sup>c</sup> Sandra W. Clifton,<sup>c</sup> George M. Weinstock,<sup>c</sup> Michael McClelland<sup>a,b</sup>

Department of Pathology and Laboratory Medicine, University of California, Irvine, Irvine, California, USA<sup>a</sup>; Vaccine Research Institute of San Diego, San Diego, California, USA<sup>b</sup>; The GENOME Institute, Washington University School of Medicine, St. Louis, Missouri, USA<sup>c</sup>

**ABSTRACT** Six subspecies are currently recognized in *Salmonella enterica*. Subspecies I (subspecies *enterica*) is responsible for nearly all infections in humans and warm-blooded animals, while five other subspecies are isolated principally from cold-blooded animals. We sequenced 21 phylogenetically diverse strains, including two representatives from each of the previously unsequenced five subspecies and 11 diverse new strains from *S. enterica* subspecies *enterica*, to put this species into an evolutionary perspective. The phylogeny of the subspecies was partly obscured by abundant recombination events between lineages and a relatively short period of time within which speciation took place. Nevertheless, a variety of different tree-building methods gave congruent evolutionary tree topologies for speciation. A total of 285 gene families were identified that were recruited into subspecies *enterica*, and most of these are of unknown function. At least 2,807 gene families were identified in one or more of the other subspecies that are not found in subspecies I or *Salmonella bongori*. Among these gene families were 13 new candidate effectors and 7 new candidate fimbrial clusters. A third complete type III secretion system not present in subspecies *enterica* (I) isolates was found in both strains of subspecies *salamae* (II). Some gene families had complex taxonomies, such as the type VI secretion systems, which were recruited from four different lineages in five of six subspecies. Analysis of nonsynonymous-to-synonymous substitution rates indicated that the more-recently acquired regions in *S. enterica* are undergoing faster fixation rates than the rest of the genome. Recently acquired AT-rich regions, which often encode virulence functions, are under ongoing selection to maintain their high AT content.

**IMPORTANCE** We have sequenced 21 new genomes which encompass the phylogenetic diversity of *Salmonella*, including strains of the previously unsequenced subspecies *arizonae*, *diarizonae*, *houtenae*, *salamae*, and *indica* as well as new diverse strains of subspecies *enterica*. We have deduced possible evolutionary paths traversed by this very important zoonotic pathogen and identified novel putative virulence factors that are not found in subspecies I. Gene families gained at the time of the evolution of subspecies *enterica* are of particular interest because they include mechanisms by which this subspecies adapted to warm-blooded hosts.

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Address correspondence to Michael McClelland, mmclelland@sdihr.org.

*Salmonella* spp. cause about 1.3 billion cases of nontyphoidal salmonellosis worldwide each year (1). The economic burden due to salmonellosis in the United States alone is estimated to be ~\$2.3 billion annually (2). *Salmonella* is also a major pathogen of domestic animals causing huge economic losses and providing a source of infection for humans (3). Serotyping-based identification of somatic (O) and flagellar (H) antigens was among the first methods used for taxonomic classification of *Salmonella*, and each serovar was initially considered a different species. However, cell surface antigens are sometimes horizontally transferred, a phenomenon that can cause classification of genetically unrelated strains within the same serovar (4, 5). *Salmonella* taxonomy took a major stride when Falkow and colleagues (6) used DNA hybridization to demonstrate that all tested serovars were related at the species level and identified five distinct subgenera within the species. *Salmonella* is now considered to consist of two species, *Salmonella bongori* and *Salmonella enterica*, and *S. enterica* is further

classified into six subspecies, *arizonae* (IIIa), *diarizonae* (IIIb), *houtenae* (IV), *salamae* (II), *indica* (VI), and *enterica* (I) (7). *S. enterica* subsp. *enterica* (I) strains represent the vast majority of *Salmonella* strains isolated from humans and warm-blooded animals, while all the other subspecies and *S. bongori* are more typically (though not exclusively) isolated from cold-blooded animals (8). Approximately 50 of the nearly 2,600 known *Salmonella* serovars account for ~99% of all clinical isolates of *Salmonella* from humans and domestic mammals (9), and all of these 50 serovars are in subspecies I.

Genome sequencing efforts in *S. enterica* have so far focused on the most prevalent serovars of subspecies *enterica* (I). We have sequenced the genomes of eleven additional members of subspecies *enterica* (I), selected based on their diversity, and those of two different serovars from each of the other five known subspecies. We compared these sequences to the whole-genome sequences of *S. bongori* (10) and to seven previously sequenced subspecies *en-*

*terica* (I) strains. We construct phylogenetic hypotheses for these 29 genomes while taking into account the high rate of recombination among *Salmonella* strains (11–15). Because acquisition and loss of genes is a major force driving the evolution of virulence in *Salmonella* (16), we modeled the gain and loss of gene families at each ancestral node. We reconstructed hypothetical gene contents of the most recent common ancestor (MRCA) of each subspecies. Gene families gained at the subspecies *enterica* (I) node may provide clues to the strategies and virulence factors that contributed to the formation of a lineage which has evolved to infect principally warm-blooded hosts. We also identified gene families undergoing accelerated evolution based on pairwise synonymous-to-nonsynonymous single nucleotide polymorphism (SNP) ratios. This group of gene families is particularly interesting because some of this selection may be driven by newly acquired life strategies or by interactions of the bacterium with the host.

## RESULTS AND DISCUSSION

We sequenced 21 new *Salmonella* genomes, 10 of which were sequenced to completion while the remaining genomes were sequenced to obtain improved high-quality drafts. The strains and sequencing statistics are summarized in Table S1 in the supplemental material along with information regarding other previously published strains and species to which these new genomes were compared.

Among the 21 new genomes, two strains were selected from each of the five previously unsequenced subspecies. In addition, 11 genomes were selected from 305 strains within subspecies *enterica* (I) that lacked many genes found in *S. enterica* subsp. *enterica* serovars Typhimurium LT2 and Typhi CT18 as well as strains representing distant genomovars within a single serovar based on comparative genomic hybridization (17–19) (data accessible at [https://dl.dropbox.com/u/99836585/MMCC\\_all\\_CGH\\_100407.xlsx](https://dl.dropbox.com/u/99836585/MMCC_all_CGH_100407.xlsx)).

The fact that some of the genomes have not been sequenced to completion means that some sequencing errors, misassemblies, annotation errors due to collapsing of duplicate genes, and duplicated annotations of genes that span contig boundaries still exist in a few locations in a few genomes in this data set. The analyses we perform below are designed to mitigate but not eliminate these limitations. The numbers presented are all estimates constrained by these caveats, and some analyses, such as strict tests of orthology and studies of gene duplication, are not possible on our draft genomes. Nevertheless, the obtained high-quality drafts permit a fascinating insight into evolutionary processes during *Salmonella* subspeciation.

**Phylogenetic analysis.** We used three different approaches to predict phylogenetic relationships between orthologous “core” regions shared by all subspecies of *Salmonella*. We first used Mauve (20) to align the 29 *Salmonella* genomes included in our study and used *Escherichia coli* K-12 as an outgroup. We identified 737,062 SNPs in the “core” regions of ~2.6 Mb that were present and aligned with high confidence in all taxa. We used these data to construct a bootstrapped maximum likelihood (ML) tree using RAxML (version 7.2.6) (21). Figure 1A shows the cladogram constructed using this approach. The relationship between the subspecies was supported in all 1,000 bootstrap replicates using random samples of 50% of the SNP data.

To estimate the divergence times of each subspecies, codon alignments were constructed for 2,025 genes present across all 30

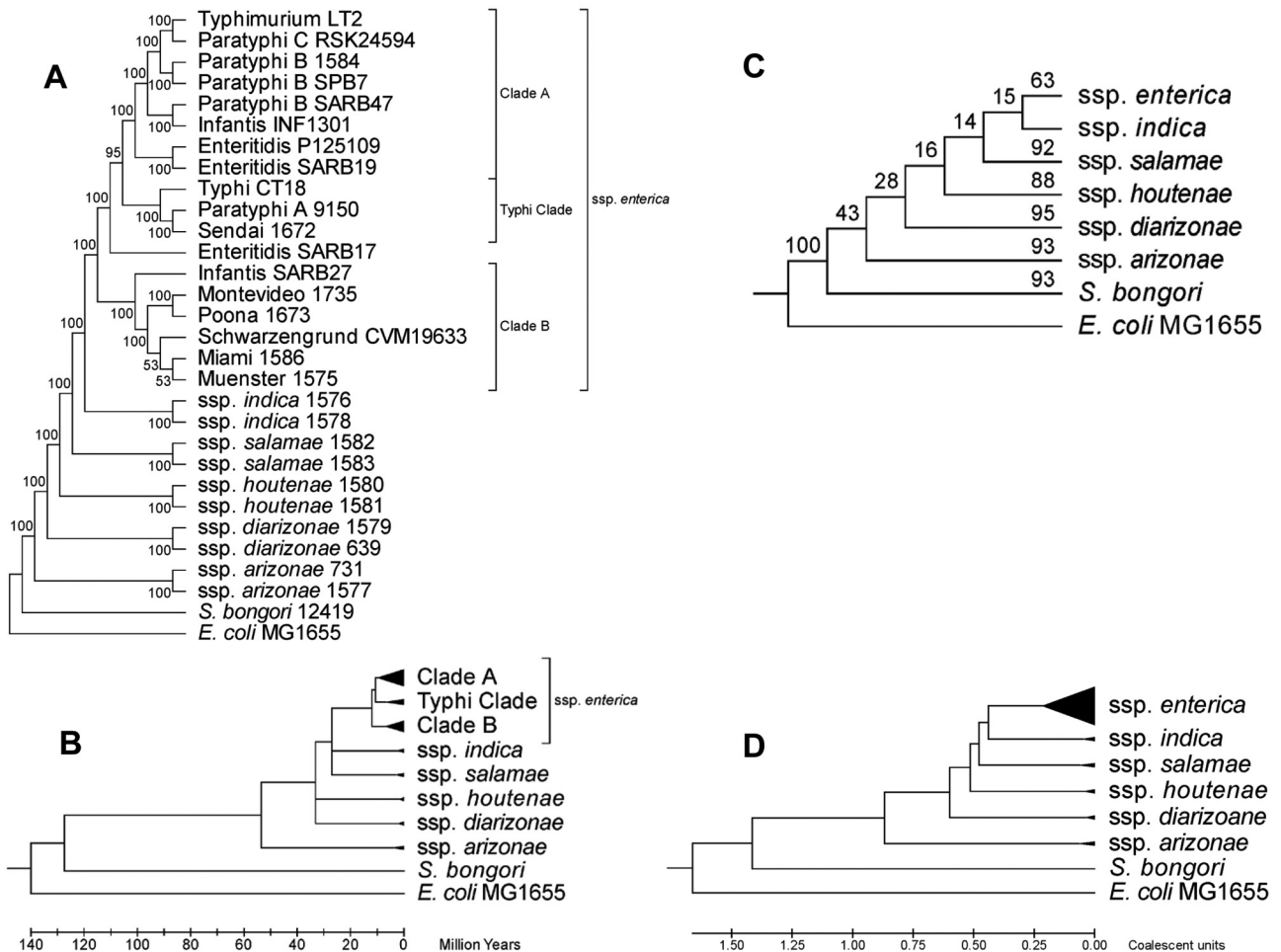
genomes in single copies, as annotated by automated RAST (22) or annotated in the publically available genomes. A total of 348,642 synonymous SNPs, which did not change the amino acid sequence, were identified. Figure 1B shows a condensed and linearized version of the tree built using these SNPs (with 1,000 bootstraps on 50% of the data), calibrated based on a previously estimated 140-million-year divergence time between *Salmonella* and *E. coli* (23). The topology of this tree (Fig. 1B) was in agreement with the tree of all “core” SNPs (Fig. 1A).

Using synonymous SNPs, it was estimated that subspecies *enterica* (I) diverged from its last common ancestor ~27 million years ago and that the most recent common ancestor (MRCA) of all analyzed subspecies *enterica* strains arose ~12 million years ago. This estimate supports the possibility that the subspecies evolved long after their respective preferred hosts.

As a distinct alternative strategy to determine phylogeny, we built individual maximum likelihood (ML) trees for each of the 2,025 core genes. DNA sequences for each potentially orthologous gene family were aligned using Muscle (version 3.8.1) (24), and ML trees were constructed using RAxML (version 7.2.6) with 1,000 bootstraps on 50% of the data (21). A consensus tree based on these 2,025 trees was generated in Phylip (version 3.69) (25) using the extended majority consensus rule (Fig. 1C). The numbers on the internal nodes indicate the fractions of gene trees which support the partition of the taxa at that node. The low numbers of compatible trees at each node indicate a high level of incongruence between individual gene trees and the consensus tree. Nevertheless, the consensus tree for subspeciation displayed the same topology as the SNP trees in Fig. 1A and B. There was generally more congruence at each terminal subspecies node than at ancestral nodes. This may either reflect that the subspecies are acting like incipient species, with a barrier to intraspecies recombination, or indicate that there was insufficient time in which recombination could have taken place after the subspecies arose, or both.

Two mechanisms that can introduce phylogenetic discordance between orthologous genes in gene trees and species trees are intergenomic recombination (gene conversion) and incomplete lineage sorting (ILS) (26). Given the relatively short branch lengths within which the subspecies arose (Fig. 1B), incomplete lineage sorting as a source of gene tree discordance is possible. However, gene conversion events can reintroduce previously lost allelic changes into recombining populations. It is not possible to distinguish between these two mechanisms using data from contemporary *Salmonella* strains.

*Salmonella* genomes undergo frequent intergenomic recombinations (11–15) and thereby disrupt the clonal inheritance pattern within the recombined regions. Clonal Frame (27) takes recombination into account during inference of phylogenetic relationships. Clonal Frame also attempts to reconstruct the mutation and recombination events that give rise to a particular lineage on the branches of the phylogenetic tree. The program is computationally intensive; therefore, it was necessary to use only a subset of all available data. Core genome regions of greater than 10 kb that were shared by all 30 taxa were extracted from Mauve-based whole-genome alignments. This resulted in extraction of 8 blocks whose total alignment size was 104,029 bp, representing ~4% of the core genome, which was used as an input for Clonal Frame. Two replicate runs of 200,000 Markov chain Monte Carlo (MCMC) iterations were performed. The tree topology for the



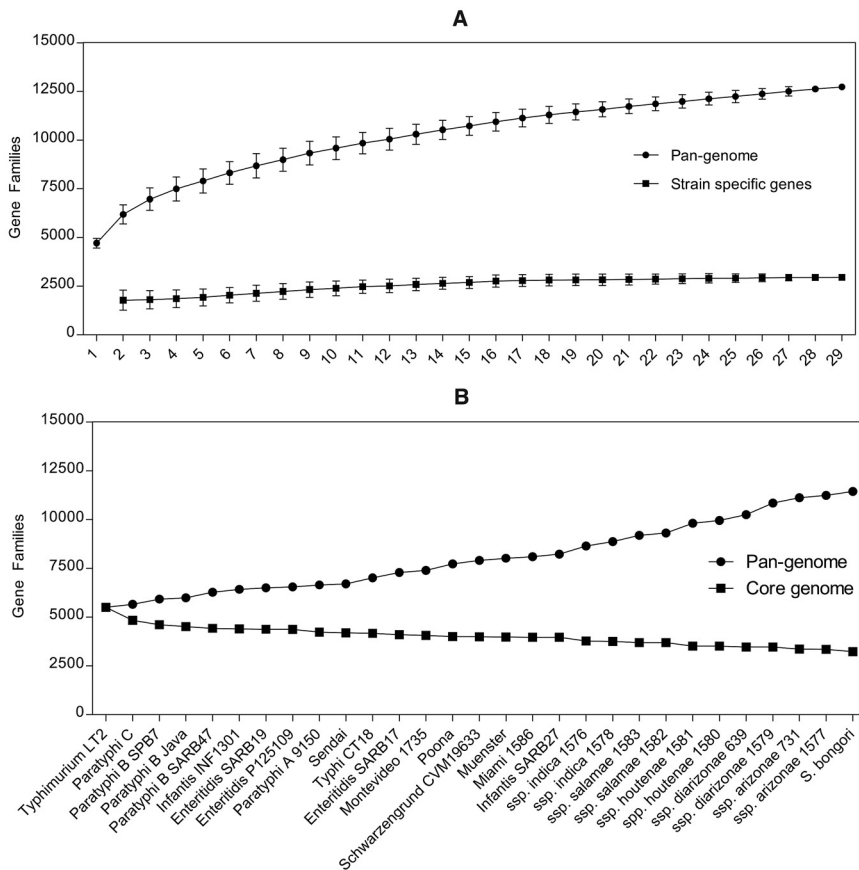
**FIG 1** Evolution of *Salmonella* subspecies, as revealed by different phylogenetic tree-building algorithms. (A) Maximum likelihood cladogram. An alignment of an ~2.6-Mb core sequence conserved across all genomes (737,062 SNPs) was used, and the presented cladogram was constructed using RAxML version 2.7.6 (21). Internal nodes show bootstrap support values from 1,000 replicates. (B) Condensed and linearized maximum likelihood phylogram. Exactly 348,642 synonymous substitutions in 2,025 core genes present across all genomes in single copies were used. Distances were estimated using RAxML version 2.7.6 with 1,000 bootstraps (21), and the temporal calibration is based on the 140-million-year divergence time between *E. coli* and *Salmonella* reported previously (23). (C) Majority-rule consensus cladogram of 2,025 core phylogenetic trees constructed using PhyIip version 3.69 (25). Internal nodes indicate the fractions of gene trees which support the partition of the multiple taxa at that node. (D) Condensed phylogram obtained from a Clonal Frame (27) analysis of concatenated 104,029-bp alignment. The tree represents a consensus of two replicate analyses of 200,000 Markov chain Monte Carlo iterations.

subspecies obtained from Clonal Frame (Fig. 1D) predicted a branching order of the subspecies similar to that of the other tree-building methods and further suggested that, within subspecies *enterica* (I), the previously defined clade B is a separate ancient lineage (13, 28, 29). Five of the subspecies *enterica* (I) genomes we sequenced proved to be new members of clade B. No additional consensus was achieved for the branching order within the strains we used in subspecies *enterica* (I).

**Recombination.** The importance of recombination in the evolution of *S. enterica* is well established (11–15). Clonal Frame analysis suggested that recombination played an equally important role as mutation in the evolution of the subspecies (recombination-to-mutation ratio  $[r/m] = 0.94$ ). We used the Recombination Detection Program (RDP) (30) to determine the most likely regions of recombination in each genome. This tool suggested hundreds of such events per genome (data not shown). As an illustration, we annotated the potential gene conversion events in just one strain, the archetype strain for *S. enterica* subsp.

*enterica* (I) serovar Typhimurium—strain LT2 (31). We annotated only the recombination events in which the potential source of the sequence in LT2 was not within subspecies *enterica* (I). This information is presented in Table S2 in the supplemental material. In brief, approximately 120 conversion events encompassing around 347 kb (336 genes) of *S. Typhimurium* LT2 sequence were predicted to have a source outside subspecies *enterica* (I).

**Gain and loss of genes during the evolution of *Salmonella*.** Gain and loss of genes is one of the major mechanisms that drives diversification within bacteria (32). Homologous gene families among the 29 *Salmonella* genomes were identified using FastOrtho (33), a reimplementation of OrthoMCL (34), and is available at <http://enews.patricbrc.org/fastortho/>. For the 29 *Salmonella* genomes covering the known phylogenetic diversity of *Salmonella*, we identified 11,443 FastOrtho gene families (including orthologs and close paralogs) in the pangenome, of which 3,221 gene families were conserved across all analyzed strains. Figure 2 shows the rate of identification of new gene families observed



**FIG 2** Gene accumulation enumerations and rarefaction across 29 *Salmonella* strains. (A) Rarefaction curves were estimated by bootstrapping 100 permutations of randomized sample order. Error bars indicate the bootstrap standard deviation (SD) based on variation in sample order among randomizations. Rarefaction curves were calculated using EstimateS (35). See the text for details. (B) Gene accumulation curve calculated based on the presence/absence gene profile. Strains were ranked in ascending distance from the Typhimurium LT2 reference genome. Core and pangenomes were estimated after addition of one strain at a time.

with each new sequence, based on a rarefaction curve that was calculated using EstimateS (35), where the number of gene families equals  $4,922.9 \times (\text{number of genomes})^{0.2492}$  and  $R^2 = 0.9985$ . This approximation, which is highly reliant on the level of diversity already sampled, estimates that about  $100 \pm 63$  (mean  $\pm$  SD) new families would be observed in the next additional *Salmonella* genome sequence.

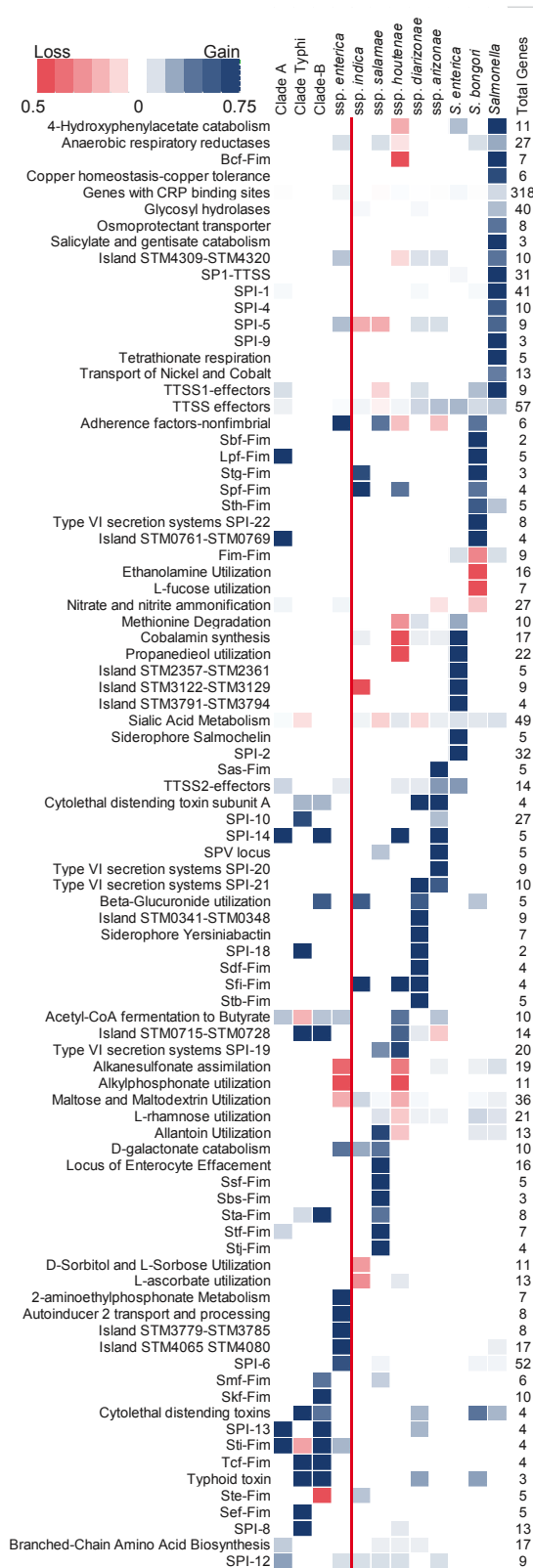
Some of the genomes we analyzed are incomplete, leading to some annotation inconsistencies, especially at contig boundaries and in multicopy genes. To reduce the rate of false negatives (i.e., calling a gene absent because it was not annotated), we augmented the phyletic pattern with a tbltx analysis as detailed in Materials and Methods. The augmented phyletic pattern for all identified gene families (see Table S2 in the supplemental material) was created based on the presence and absence of a homologous gene across all strains. The DNA sequence of an exemplar for each family is included in Table S2, and Table S3 contains the locus tags of all annotated genes that belong to each gene family. These data were used to model gain and loss of gene families at all nodes of the phylogenetic hypothesis (Fig. 1A) by computing posterior probabilities for gain and loss of gene families at inner nodes using Count (36). Gitools (37) was then used to estimate statistical over-

representation of gene families gained and lost at each ancestral node within specific gene sets. Figure 3 shows the gain and loss of specific gene sets that were statistically enriched (false-discovery rate [FDR],  $\leq 0.1$ ) at major ancestral nodes. Figure 4 summarizes the presence/absence profile of important gene sets across the 30 strains analyzed. A complete list of all predicted gene family gains and losses can be found in Table S4. Using Count (36), 3,379 gene families within the observed pangenome were predicted to have been gained more than once (homoplasies) across the evolutionary tree. Hence, at least  $\sim 30\%$  of the pangenome appears to be shared within the genus by multiple horizontal transfers. Gene sets enriched for harboring homoplastic gene families are indicated in Fig. 4. This list is dominated by virulence factors (SPI-7, -8, -10, -12, -13, -14, and -19 and the yersiniabactin cluster) and includes eleven fimbrial clusters and six uncharacterized islands. Metabolic traits enriched for homoplastic gene families include allantoin utilization and inositol catabolism.

Due to some fragmented genes being annotated twice at contig boundaries and the collapse of some regions of known duplication in the incomplete genomes, we refrained from modeling gene duplications onto the phylogenetic tree. However, because fimbrial gene clusters are of general interest due to their many paralogs and their suspected role in pathoadaptation, we manually curated the phyletic pattern of genes in fimbrial gene

families, taking into account genomic context whenever possible (see Table S5 in the supplemental material). For all other genes, the individual gene trees provided in Table S2 can be used to resolve the paralogs on a gene-by-gene basis.

**Gene families gained by the most recent common ancestor of all *Salmonella* subspecies.** Count (36) predicted that the most recent common ancestor (MRCA) of all *Salmonella* subspecies. gained an estimated 657 gene families (including orthologs and close paralogs) while diverging from a common ancestor with *Escherichia*. A total of 454 of these gene families are present in all 29 analyzed *Salmonella* genomes. A total of 347 out of the 657 genes were estimated to be gained in 90 islands of two or more genes. Important acquisitions from a virulence perspective included SPI-1 (encoding a type III secretion system [T3SS] and effectors required for invasion of eukaryotic cells [38]), SPI-4 (a type I secretion system for toxin delivery [38]), five genes in SPI-5 (T3SS effectors [38]), the tetrathionate respiration cluster (39), and the Bcf fimbrial cluster required for colonization of Peyer's patches (40). Other genes gained included 9 glycosyl hydrolases, 41 genes with cyclic AMP receptor protein (CRP) binding sites (genes involved in carbohydrate catabolism under catabolite repression), and 9 genes (three operons) coding for anaerobic re-



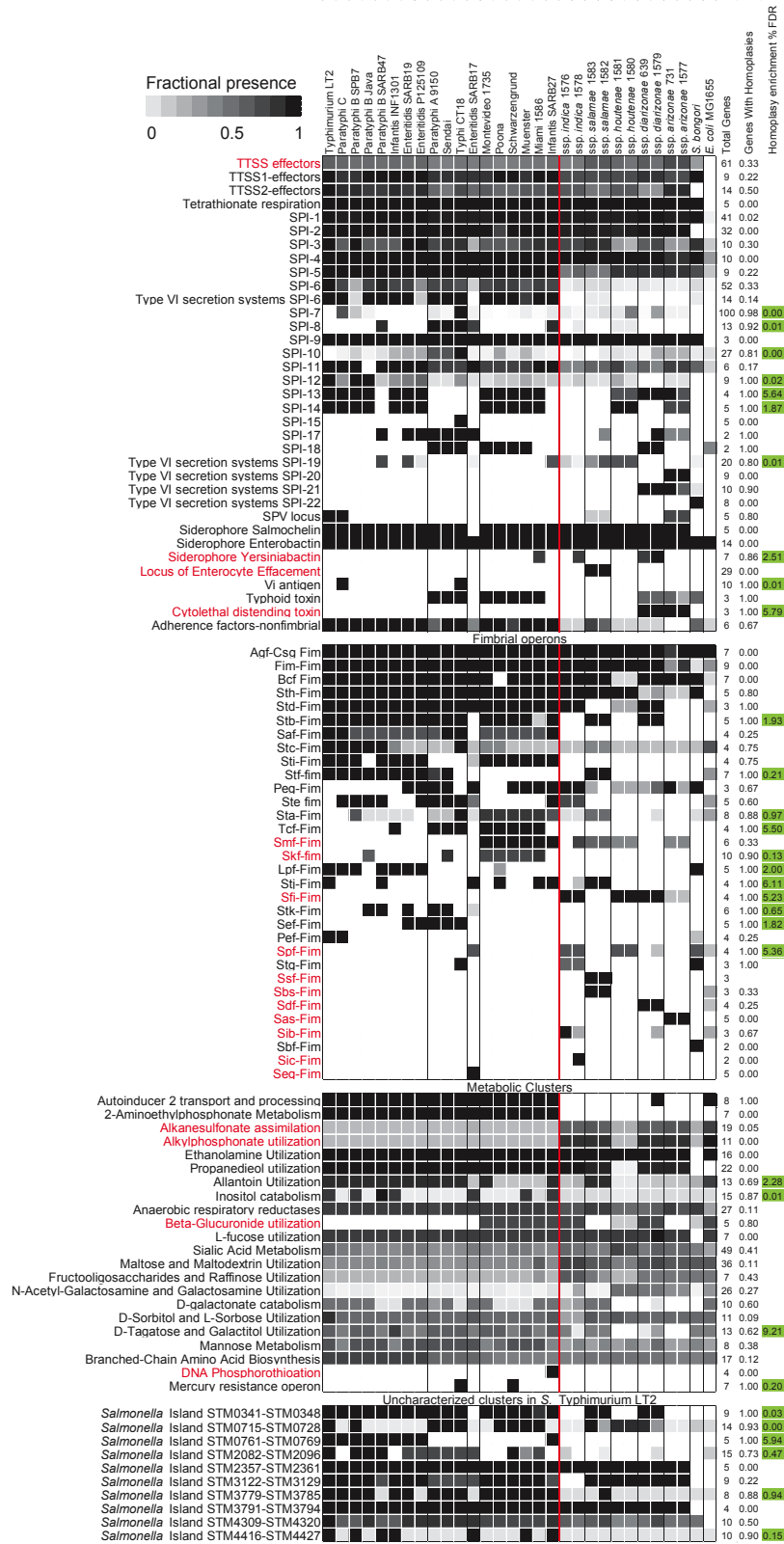
**FIG 3** Gene sets gained and lost at major ancestral nodes. Only statistically overrepresented gene sets (FDR < 10%) are shown. Subspecies *enterica* (I) is to the left of the vertical red line. Each cell is colored based on the ratio of genes gained/lost to the total number of genes in the gene set. See Table S7 in the supplemental material for identification of genes belonging to each gene set.

ductases (other than the tetrathionate reductase), which enable use of alternate electron acceptors. Hence, after diverging from *E. coli*, the most recent common ancestor of all *Salmonella* subspecies appears to have gained genes that enable utilization of different carbohydrates under anaerobic conditions. A total of 224 hypothetical proteins with no currently attributed function were also gained at this node, of which 145 were conserved in all 29 *Salmonella* strains analyzed. The latter class is a particularly intriguing subgroup for further study.

**Genes gained and lost by the hypothetical *S. bongori* ancestor.** Using an assumption of maximum parsimony, *S. bongori* retained all ancestral genes gained by the MRCA of *Salmonella* but lost 63 genes ancestral to the MRCA of *Salmonella* and *Escherichia*. These losses included islands required for ethanolamine utilization (STM2454-STM2470), fucose catabolism (STM2974-STM2979), and nitrate and nitrite ammonification (STM4277-STM4280). Hence, *S. bongori* either failed to gain or lost specific carbon utilization and anaerobic respiratory pathways that may contribute to survival in an inflamed gut (41). This species also gained five fimbrial clusters. *S. bongori* gained a phylogenetically distinct type VI secretion system (54736.3.peg.1295-54736.3.peg.1308) which seems to remain unique to *S. bongori* (10). In addition to the TTSS effectors gained by the MRCA of *Salmonella*, *S. bongori* gained seven additional effectors, all of which were apparently gained in multiple other lineages (homoplasies). These data are illustrated in Table S6 in the supplemental material, which lists the absence/presence status of all potential effector gene families identified in *Salmonella*.

**Gene families gained by the most recent common ancestor of the species *S. enterica*.** Under the assumption of maximum parsimony, when *S. enterica* separated from the MRCA of *Salmonella*, it gained 213 gene families (including orthologs and close paralogs), of which about 120 gene families were gained in 15 islands of two or more genes, for a total of approximately 108 insertion events. Gene families gained in islands of three or more included SPI-2 (STM1391-STM1422), which triggers colitis in the warm-blooded *Salmonella* host (42) and enables survival and replication in macrophages (42, 43), a vitamin B<sub>12</sub> synthesis and propanediol utilization cluster (STM2019-STM2058) (44), a salmochelin-mediated iron acquisition cluster (STM2773-STM2777) that results in salmochelin-mediated lipocalin resistance in an inflamed environment (45), a putative sialic acid metabolism cluster (STM1127-STM1131), and three more islands (Fig. 3) encoding putative metabolic clusters. Although the putative sialic acid metabolism cluster has not been associated with virulence, its gain might also provide a metabolic advantage in the usually sialic acid-rich environment of the gut (46). A total of 71 hypothetical proteins were also gained at the node, of which 38 were conserved across all 28 *S. enterica* strains. The latter genes may define new capabilities that are preserved in all *S. enterica* strains.

**Gene families gained and lost by the MRCA of *S. enterica* subsp. *enterica* (I).** Among all the subspecies, strains in subspecies *enterica* (I) cause the vast majority of infections in warm-blooded animals. Hence, genes gained at this ancestral node might be important for the evolution of virulence in mammalian and bird hosts. A total of 285 gene families (including orthologs and close paralogs) were modeled as being gained by the MRCA of subspecies *enterica* (I), of which 167 were unique to that subspecies while 118 genes may have been acquired by multiple lineages through horizontal transfer (homoplasies) (see Table S4 in the supplement-



**FIG 4** Phyletic distribution of major groups of genes. Gene classes that include novel genes are shown in red. The number of genes in a gene set and the proportion of homoplastic genes within each gene set are shown on the right. The FDR (<10%) for homoplasmy enrichment is in green. Serovars of subspecies *enterica* (I) are shown to the left of the vertical red line. Each cell is shaded based on the ratio of genes present, compared to the total number of genes in the gene set. See Table S7 in the supplemental material for identification of genes belonging to each gene set.

tal material). A total of 185 gene families were gained in 47 islands of two or more genes; hence, subspecies *enterica* (I) gained 285 gene families in about 147 events.

The majority of genes gained by the common ancestor of subspecies *enterica* (I) had little or no homology to known functions. Among identifiable genes, notable gains without any homoplasies included SPI-6 (a type VI secretion system and Saf fimbriae), a 2-aminoethylphosphonate metabolism cluster (STM0426-STM0432), two islands (STM3779-STM3785 and STM4065-STM4080) needed for unknown carbohydrate utilization, and the autoinducer 2 (AI-2) transport and processing (STM4072-STM4079) cluster. No subspecies *enterica* (I)-specific effectors were discovered in this analysis, indicating that the acquisition of effectors may not have been part of the driving force in the foundation of this subspecies.

The role of SPI-6 in macrophage survival and cell invasion has been documented previously (44, 47), while the 2-aminoethylphosphonate cluster has been reported to be essential for long-term persistence in mice (48). STM3779-STM3785 is an AT-rich island and encodes a complete phosphotransferase system (PTS) with unknown specificity, along with a transcriptional regulator and a fructose-bisphosphate aldolase. This island was reported to be under histone-like nucleoid structuring protein (H-NS)-mediated repression (49). An analysis of all publicly available expression data for *S. Typhimurium* using Colombos (50) suggested that the expression of this island was induced upon treatment with H<sub>2</sub>O<sub>2</sub> (51). Hence, this island might be important during intracellular survival of *Salmonella*.

STM4065-STM4071 codes for a permease, a transcriptional regulator, and metabolic enzymes for utilization of unknown carbohydrates. Colombos analysis revealed that expression of this cluster was induced during swimming motility compared to swarming motility in *S. Typhimurium* (Gene Expression Omnibus data set GSE1633 at <http://www.ncbi.nlm.nih.gov/geo/>). A recent screen of a complete single-gene deletion library in mice revealed that four genes in this island were of functional importance for *S. Typhimurium* survival in the liver of mice (M. McClelland, unpublished data).

The role of AI-2 in *Salmonella* signaling and metabolism is not clear (52). The only known regulon under direct AI-2-mediated transcriptional control codes for active uptake and processing of AI-2 (53). Colombos analysis suggested that the AI-2 loci might be under *arcA*-mediated negative regulation in anaerobic conditions (54). LuxS-mediated production of AI-2 is conserved across all *Salmonella* spp., but the mechanism to sense AI-2 is present only in subspecies *enterica* (I) and absent in all other subspecies as well as in *S. bongori*. However, orthologs for AI-2 transport and processing are present in many other *Enterobacteriaceae*.

**Gene families specific to other subspecies.** A total of 2,807 gene families, which were found in one or more of the other five *S. enterica* subspecies but not present in any member of *S. enterica* subsp. *enterica* (I) or *S. bongori*, were identified. The majority of these gene families had little or no homology to known functions. A comprehensive list can be easily extracted from data presented in Table S2 in the supplemental material. Novel gene clusters encoding putative virulence functions include the following:

- SPI-20 (55), which encodes a type VI secretion system present only in subsp. *arizonae* (IIIa)
- SPI-21 (55), which encodes a type VI secretion system pres-

ent only in subsp. *arizonae* (IIIa) and subsp. *diarizonae* (IIIb)

- The locus of enterocyte effacement (LEE) (56), which—within *Salmonella*—is specific to subsp. *salamae* (II). The locus harbors a complete T3SS and is homologous and syntenic to the LEE in *E. coli* O157:H7. However, homologs of only 5 of the 24 known effectors translocated through this machinery in *E. coli* O157:H7 (57, 58), namely, *tir*, *nleD*, *cseAB*, *cesD2*, and *cesT*, were present in subsp. *salamae*.
- Seven new fimbrial operons (named *sib*, *sic*, *sas*, *sdf*, *sbs*, *sti*, and *ssf*) (Fig. 4)
- A novel family of cytolethal distending toxins (523839.5.peg.1805, 523839.5.peg.1806, 523839.5.peg.1807) which was specific to subsp. *arizonae* (IIIa) and subsp. *diarizonae* (IIIb). This cluster codes for all three subunits of a functional multimeric toxin. The cluster is homologous to the *cdt* cluster found in *E. coli* (59).
- A total of 13 new putative effector families (see Table S6 in the supplemental material). Eight of these were specific to subsp. *salamae* (II), five of which were part of the LEE. One of these eight effectors (523838.4.peg.2994) may encode a chimeric protein with parts similar to EspH from *E. coli* O103:H25 and SopA from subsp. *enterica* (I).

**Gene families with higher evolutionary rates.** Different parts of the genomes diverge at different rates under different evolutionary pressures. To identify proteins evolving at a higher rate than the rest of the pangenome, pairwise ratios of synonymous substitutions per synonymous sites to nonsynonymous substitution per nonsynonymous sites (dS/dN) were calculated for all protein coding genes within each gene family for all pairs of taxa. The pairwise ratios within each gene family were averaged to assign a mean evolutionary rate to each family. Using this approach, we observed that recent protein coding gene acquisitions evolve faster than ancestral genes after normalizing for the rate of neutral divergence. Figure 5 shows the distribution of mean log<sub>10</sub> values (dS/dN) for all nonhomoplastic genes that were recruited into the genomes at different times. There was a statistically significant difference ( $P < 0.01$ ) in the evolutionary rate of genes gained at different evolutionary time points. Younger proteins evolved faster than ancient proteins. We also calculated the averages of log<sub>10</sub> values (dS/dN) within only subspecies *enterica* (I), in which this same trend was also observed (data not shown). Genes acquired through horizontal gene transfer (HGT) have previously been observed to evolve faster than core genes in *E. coli* (60).

An enrichment analysis of the pangenome ranked by mean log<sub>10</sub> dS/dN values was performed to estimate the overabundance of fast-evolving proteins within gene sets grouped by a variety of criteria. This analysis (Table 1) suggested a higher proportion of fast-evolving proteins among genes with abnormal GC content, genes encoding plasmid-related functions (type IV secretion, toxin-antitoxin systems), and genes important for the interaction of *Salmonella* with the host. Among the known SPIs, SPI-2, SPI-6, SPI-7, SPI-8, SPI-10, and SPI-12 were also enriched for fast-evolving proteins.

**Evolution of differences in GC content across the genome.** The ancestral orthologous regions shared by *Salmonella* and *E. coli* have evolved to contain a different GC content, with *Salmonella* genomes being relatively more GC rich (see Fig. S1 in the supple-



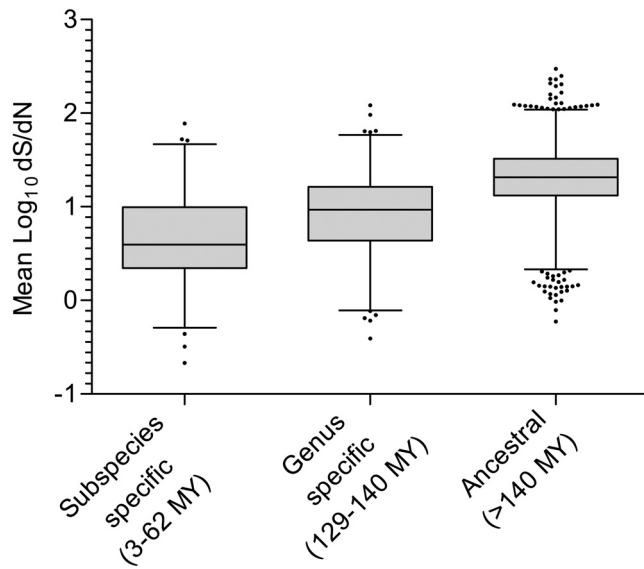


FIG 5 Mean  $\log_{10}$  values (dS/dN) for genes recruited into the genomes at different time points. The time at which the genes were recruited into their respective genomes was estimated based on the tree in Fig. 1B.

mental material). In contrast, laterally transferred islands acquired by *Salmonella* since divergence from *E. coli*, which often contain virulence functions, are usually very AT rich compared to the rest of the genome (49). Genetic acquisitions within the *Salmonella* pangenome after the speciation of *Salmonella* from *E. coli* are enriched for AT-rich regions (Fig. S2). An H-NS-mediated regulatory mechanism controls the transcription of AT-rich regions (49). Hence, newly acquired AT regions might need to remain AT rich or become even more AT rich if they were to rely on this regulatory mechanism. Table 2 shows the maximum composite likelihood (MCL) estimate of the pattern of nucleotide substitution of three sets of genes; the concatenated alignment of 2,025 genes shared by all the *Salmonella* and *E. coli* strains (the core), the AT-rich SPI-1, and the similarly AT-rich SPI-4. The core has evolved from a lower GC to maintain a higher GC in *Salmonella*, as evidenced by a higher AT-to-GC substitution rate than GC-to-AT substitution rate (46.6% versus 39.1%). In contrast, the two AT-rich islands (SPI-1, SPI-4) have a substitution ratio that maintains them at their current level of AT richness (37.8% versus 47.2% for SPI-1 and 33.3% versus 49.2% for SPI-4), consistent with selective pressure to maintain regulation by the silencer of AT-rich regions, H-NS.

We investigated SPI-1 T3SS homologs in a wide variety of species. *Enterobacteriaceae*, such as *Escherichia*, *Shigella*, *Citrobacter*, and *Erwinia*, are closely related to *Salmonella*, and the T3SS homologs are similarly AT rich. However, in certain other high-GC organisms, such as *Pseudomonas*, *Burkholderia*, *Xanthomonas*, *Chromobacterium*, and *Bordetella*, xenologs for the T3SS have GC contents similar to that of their host genome (data

TABLE 1 Statistically enriched gene sets containing a disproportionately high number of genes evolving faster than the rest of the pangenome

Gene set	FDR $q$ value
GC between 30 and 40	0
GC less than 30	0
GC above 65	0
T3SS effectors	0
Fimbrial operons	0
Type IV secretion and conjugative transfer	0
Type VI secretion systems	0.01
SPI-8	0.02
Spv locus	0.02
Toxin-antitoxin systems (other than RelBE and MazEF)	0.02
SPI-6	0.04
SPI-12	0.05
SPI-2	0.05
Saf-Fim	0.05
Tcf-Fim	0.06
Stf-Fim	0.06
<i>Salmonella</i> island STM0340-STM0348	0.1
Siderophore yersiniabactin biosynthesis	0.1
Sta-Fim	0.12
SPI-14	0.23
<i>Salmonella</i> island STM0715-STM0728	0.24

not shown). Hence, even though H-NS homologs are widely distributed (61) and present in all the above-mentioned organisms, different groups of bacteria seem to tolerate foreign genes with distinct GC content via different mechanisms.

**Conclusion.** We predicted the evolutionary descent of each *Salmonella enterica* subspecies using three distinct approaches. All three methods were congruent in predicting the subspeciation topology. Highly incongruent individual gene trees suggested significant ancestral recombination during the evolution of the subspecies. We also identified lineage-specific gene clusters and genes undergoing accelerated evolution.

Each of the subspecies has a distinct repertoire of genes. Some convergence is seen in independently acquired, phylogenetically distinct but functionally similar gene clusters like T6SSs, a few functionally redundant T3SS effectors, fimbrial

TABLE 2 AT-to-GC and GC-to-AT nucleotide substitutions in 29 *Salmonella* genomes, compared to the predicted state of the common ancestor<sup>a</sup>

	Rate of change to:		% equilibrium GC	% observed GC
	AT	GC		
Core				
AT		46.64	54.36	54.52
GC	39.16			
SPI-1				
AT		37.77	44.47	44.65
GC	47.17			
SPI-4				
AT		33.33	40.4	40.57
GC	49.16			

<sup>a</sup> Three sets are shown: (i) the concatenated core codon alignments, (ii) the AT-rich SPI-1, and (iii) the AT-rich SPI-4. Maximum composite likelihood estimates were compared for 2,025 single-copy genes, the AT-rich SPI-1 (3009898 to 3046643 in LT2), and SPI-4 (4477857 to 4501818 in LT2). The observed GC is the average GC content of all sequences compared. % equilibrium GC equals the rate of AT-to-GC substitutions divided by the sum of the rate of AT-to-GC substitutions and the rate of GC-to-AT substitutions. Gaps and missing data were excluded from the analysis.

clusters, iron acquisition systems, cytolethal distending toxins, and anaerobic respiratory reductases.

Genes recruited at the subspecies *enterica* (I) node may ultimately give clues to the adaptation of this lineage into warm-blooded animals. However, of 167 nonhomoplastic genes acquired at the subspecies *enterica* (I) node, 61 are hypothetical proteins and 93 have poorly defined putative functions. Functional characterization of these genes may reveal new mechanistic details of how subspecies *enterica* (I) adapted to warm-blooded hosts.

## MATERIALS AND METHODS

**Growth conditions and DNA isolation.** Bacterial strains were grown under standard conditions, at 37°C in Luria broth, with aeration. Genomic DNA for sequencing was prepared from stationary cultures using the GenElute genomic DNA isolation kit for bacteria (Sigma) by closely following the manufacturer's recommendations.

**Genome sequencing and assembly.** Genomes were sequenced and assembled at The Genome Institute (Washington University). Sequencing technology used and coverage obtained for each genome are shown in Supplementary Table S1. The sequencing reads for *S. enterica* serovar Paratyphi B BAA 1250, *S. enterica* subsp. *diarizonae* BAA639, and *S. enterica* serovar Enteritidis BAA1734 were assembled using the PCAP assembly program (62), while the rest of the genomes were assembled using the Newbler assembly program (454 Life Sciences, CT). All assemblies were run through a contamination screening process, which involves generation of %GC plots based on the assembly consensus, blasting the assembly consensus against the nucleotide database (NCBI) and ribosomal DNA database (63), identification and removal of contaminating sequences, and regeneration of new assembly output files and statistics after contamination removal. The finishing phase, which includes closure of gaps, resolution of ambiguous bases, and correction of misassembled regions, was completed for the assemblies of *Salmonella enterica* subsp. *indica* BAA1576, *Salmonella enterica* subsp. *houtenae* BAA1580, *S. Enteritidis* BAA1587, *S. Paratyphi B* BAA 1250, *S. enterica* subsp. *diarizonae* BAA639, and *S. enterica* subsp. *arizonae* BAA731. The remaining genome sequences were improved to high-quality drafts by automated and manual review and editing of the sequence data and orientation of contigs.

**Genome annotation and pangenome analysis.** For each genome, contigs from the *de novo* assemblies were ordered and concatenated into a pseudocontig, using the *S. Typhimurium* LT2 genome as the reference. Mummer (64) was used to align and order the contigs to the reference, and the alignment was parsed using custom Perl scripts. The ordered contigs were concatenated by inserting 50 N between contig breaks. These sequences were submitted to RAST (22) for automated annotation. Sieve (65) was used for *de novo* prediction of putative T3SS effectors. Putative effectors predicted using SIEVE were also analyzed using BPBAac (66), T3MM (58), and Effective T3 (67). Genes either annotated as effectors by RAST based on homology to known effector families or predicted to be effectors by at least 2 of the 4 methods tested were considered to be putative T3SS effectors.

Orthologous and paralogous gene families were initially constructed based on RAST annotations. An "all-against-all" tblatx matrix was constructed using BLAT (68). The blat matrix was used as an input to construct orthologous/paralogous gene families using FastOrtho (<http://enews.patricbr.org/fastortho/>), which is a faster reimplementation of OrthoMCL (34). A representative nucleotide sequence from each gene family was collated with strain-specific genes not present in any gene families. This set of representative sequences was again subjected to a tblatx analysis against the whole-genome nucleotide sequences to identify candidate genes that may have been missed by RAST. A gene and/or close paralog was considered "present" if it had at least 90% nucleotide sequence identity covering at least 20% of the sequence length. The phyletic table generated from the tblatx analysis was consolidated with the phyletic table generated from the OrthoMCL analysis to compute a comprehen-

sive pangenome matrix. Rarefaction curves for pangenome size estimates were calculated based on the phyletic pattern, using EstimateS (version 8.2.0) (35).

A representative nucleotide sequence from each gene family was used for annotation augmentation using a variety of different methods. SignalP (69) was used to annotate sequences for presence of signal peptides, while TMHMM (70) was used to predict presence of transmembrane helices. Representative sequences from individual gene families were also annotated using KAAS (71) to overlay KEGG pathways onto the pangenome. Gene sets for metabolic pathways were constructed based on the KEGG and SEED annotations (22) from RAST. Other gene sets were constructed based on Virulence Factor Database (VFDB) annotations (72) and manual curation. Membership of each gene family within individual gene sets is listed in Table S7 in the supplemental material.

**Gain/loss analysis.** The pangenome matrix was used as an input for Count (36) to calculate posterior probabilities for gain and loss of each gene family across all nodes of the phylogenetic hypothesis. The posterior probability matrix was converted into a binary matrix using a threshold of 0.75. This binary matrix was used to estimate overabundance of gain or loss of gene families within specific gene sets across major ancestral nodes using Gitools (37). Within Gitools, the Fisher exact test was used to estimate the significance of enrichment of specific gene sets, and false-discovery rates (FDR) were used to adjust the *P* values for multiple comparisons.

Any gene family gained more than once across the phylogenetic tree was marked as a homoplastic gene family. This binary information was used as an input with Gitools (37) to assess enrichment of homoplastic genes within specific gene sets.

**Evolutionary rate of gene families.** Individual gene sequences (DNA and amino acid sequences) for all genes in gene families predicted by FastOrtho were extracted using custom Perl scripts. Codon alignments within gene families were constructed using PAL2NAL (73). Amino acid alignments needed as input for PAL2NAL were constructed using Muscle (24). The dS/dN ratios for all possible pairwise comparisons within a gene family were calculated based on the codon alignments using SNAP (74). Mean dS/dN ratios were assigned for individual gene families by averaging all pairwise ratios within each family. The pangenome was ranked based on log<sub>10</sub> dS/dN ratios, and enrichment analysis for gene sets containing relatively fast-evolving gene families was conducted using gene set enrichment analysis (GSEA) (75). Within GSEA, false-discovery rates were used to adjust *P* values for multiple comparisons.

**Phylogenetic analysis.** Whole-genome alignments for all 30 strains were constructed using Mauve (version 2.3.1) (20). The SNP matrix was parsed to remove positions with gaps and unknown characters using custom Perl scripts. This matrix was used to infer an ML tree using RAXML (version 7.2.6) (21). The DNA sequences within each family were aligned using Muscle (24), and the alignments were used as an input to construct individual gene trees using RAXML (version 7.2.6) (21). As a distinct alternative, a majority rule consensus tree of all gene family trees that were conserved across all genomes in exactly one copy (2,025 gene families) was constructed using Phylip (version 3.69) (25). A concatenated codon alignment was also constructed for the above-mentioned 2,025 genes. All synonymous positions from the concatenated codon alignment were extracted using DnaSP (version 5/10/01) (76). An ML tree was constructed from the synonymous SNP matrix using RAXML (version 7.2.6) (21). Divergence times for the subspecies were estimated by calibrating the tree based on a divergence time of 140 million years for *E. coli* and *Salmonella*, as estimated by Ochman et al. (23) using MEGA (version 5.1) (77).

**Recombination analysis.** Orthologous regions that were conserved across all genomes and at least 10 kb in length were extracted from the Mauve-based multiple-genome alignments. This resulted in extraction of eight fragments totaling 104 kb. This alignment was used as input for Clonal Frame (version 1.2) (27). Two independent runs, each consisting of 200,000 MCMC iterations, in which the first 100,000 iterations were discarded as burn-in, were performed. The runs were compared for con-

vergence using the Gelman and Rubin statistic (78), which was found to be satisfactory.

As a second alternative, pairwise alignments of *S. enterica* serovar Typhi strain CT18 and all strains of non-subspecies *enterica* genomes, including *S. bongori*, were constructed using Mauve (version 2.3.1) (20) with *S. Typhimurium* LT2 as a reference. The pairwise alignments were parsed to show orthologous bases for all positions in the *S. Typhimurium* LT2 genome using custom Perl scripts. Regions in any strain where an orthologous region in *S. Typhimurium* LT2 was absent were discarded. This pseudo-multigenome alignment was used as input for the Recombination Detection Program (RDP) (version 4.16) (30) to detect recombination tracts in *S. Typhimurium* LT2. Nine different algorithms, RDP, Geneconv (79), Bootscan (80), Maxchi (81), chimaera (82), SiScan (83), PhylPro (84), LARD (85), and 3Seq (86), were used to determine recombination breakpoints across the alignment. Recombination events detected within the *S. Typhimurium* LT2 genome by at least 2 methods were annotated as possible recombination events.

## SUPPLEMENTAL MATERIAL

Supplemental material for this article may be found at <http://mbio.asm.org/lookup/suppl/doi:10.1128/mBio.00579-12/-DCSupplemental>.

- Figure S1, PDF file, 0 MB.
- Figure S2, PDF file, 0 MB.
- Table S1, XLSX file, 0.1 MB.
- Table S2, XLSX file, 12 MB.
- Table S3, XLSX file, 1.9 MB.
- Table S4, XLSX file, 16.3 MB.
- Table S5, XLSX file, 0.4 MB.
- Table S6, XLSX file, 0.1 MB.
- Table S7, XLSX file, 0.2 MB.

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# Evolutionary Genomics of *Salmonella enterica* Subspecies

Prerak T. Desai,<sup>a</sup> Steffen Porwollik,<sup>a,b</sup> Fred Long,<sup>a</sup> Pui Cheng,<sup>a</sup> Aye Wollam,<sup>c</sup> Veena Bhonagiri-Palsikar,<sup>c</sup> Kymberlie Hallsworth-Pepin,<sup>c</sup> Sandra W. Clifton,<sup>c</sup> George M. Weinstock,<sup>c</sup> Michael McClelland<sup>a,b</sup>

Department of Pathology and Laboratory Medicine, University of California, Irvine, Irvine, California, USA<sup>a</sup>; Vaccine Research Institute of San Diego, San Diego, California, USA<sup>b</sup>; The Genome Institute, Washington University School of Medicine, St. Louis, Missouri, USA<sup>c</sup>

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