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### Title

Performance of infectious diseases specialists, hospitalists, and other internal medicine physicians in antimicrobial case-based scenarios: Potential impact of antimicrobial stewardship programs at 16 Veterans' Affairs medical centers.

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### Authors

Graber, Christopher J  
Simon, Alissa R  
Zhang, Yue  
[et al.](#)

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1 **Performance of Infectious Diseases Specialists, Hospitalists, and Other Internal Medicine**

2 **Physicians in Antimicrobial Case-Based Scenarios: Potential Impact of Antimicrobial**

3 **Stewardship Programs at 16 VA Medical Centers**

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6 Christopher J. Graber, M.D., M.P.H.[1,2,3], Alissa R. Simon, M.A.[2], Yue Zhang, Ph.D.[4,5,6],

7 Matthew Bidwell Goetz, M.D.[1,2,3], Makoto M. Jones, M.D., M.S.[4,5,6], Jorie M. Butler,

8 Ph.D.[4,5,7], Ann F. Chou, Ph.D., M.P.H.[8], Peter A. Glassman, M.B.B.S., M.Sc. [2,3,9]

9  
10 1. Infectious Diseases Section, VA Greater Los Angeles Healthcare System, Los Angeles,

11 CA, USA

12 2. Center for Healthcare Innovation, Implementation and Policy, VA Greater Los Angeles

13 Healthcare System, Los Angeles, CA, USA

14 3. David Geffen School of Medicine, University of California-Los Angeles, Los Angeles,

15 CA, USA

16 4. IDEAS Center, VA Salt Lake City Healthcare System, Salt Lake City, UT, USA

17 5. Department of Medicine, University of Utah, Salt Lake City, UT, USA

18 6. Division of Epidemiology, University of Utah, Salt Lake City, UT, USA

19 7. Department of Biomedical Informatics, University of Utah, Salt Lake City, UT, USA

20 8. Department of Family and Preventive Medicine, College of Medicine, Oklahoma

21 University Health Sciences Center, Oklahoma City, OK, USA

22 9. VA Pharmacy Benefits Management Services, Washington, DC, USA

23

24

25 Corresponding author:

26 Christopher J. Graber, MD MPH

27 Infectious Diseases Section

28 VA Greater Los Angeles Healthcare System

29 11301 Wilshire Blvd, 111-F

30 Los Angeles, CA 90073

31 Phone: (310) 478-3711 x40275

32 Fax: (310) 268-4928

33

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36

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41

42 **Abstract:**

43 **Objective:**

44 As part of a project to implement antimicrobial dashboards at select facilities, we assessed  
45 physician attitudes and knowledge regarding antibiotic prescribing.

46 **Design:**

47 An online survey explored attitudes toward antimicrobial use and assessed respondents'  
48 management of four clinical scenarios: cellulitis, community-acquired pneumonia, non-catheter-  
49 associated asymptomatic bacteriuria, and catheter-associated asymptomatic bacteriuria.

50 **Setting:**

51 Sixteen VA medical centers in 2017.

52 **Participants:**

53 Physicians working in inpatient settings specializing in infectious diseases (ID), hospital  
54 medicine, and non-ID/hospitalist internal medicine.

55 **Methods:**

56 Scenario responses were scored by assigning +1 for answers most consistent with guidelines, 0  
57 for less-guideline-concordant but acceptable answers and -1 for guideline-discordant answers.  
58 Scores were normalized to 100% guideline-concordant to 100% guideline-discordant across all  
59 questions within a scenario, and mean scores were calculated across respondents by specialty;  
60 differences in mean score per scenario were tested using ANOVA.

61 **Results:**

62 One-hundred-thirty-nine physicians completed the survey (19 ID physicians, 62 hospitalists, 58  
63 other internists). Attitudes were similar across the three groups. There was a significant

64 difference in cellulitis scenario scores (concordance: ID 76%, hospitalists 58%, other internists  
65 52%,  $p=0.0087$ ). Scores were numerically but not significantly different across groups for  
66 community-acquired pneumonia (concordance: ID 75%, hospitalists 60%, other internists 56%,  
67  $p=0.0914$ ) and for either of the asymptomatic bacteriuria scenarios (concordance: ID 65%,  
68 hospitalists 55%, other internists 40%,  $p=0.322$  (non-catheter-associated); ID 27% concordant,  
69 hospitalists 8% discordant, other internists 13% discordant,  $p=0.12$  (catheter-associated).

70 **Conclusions:**

71 Significant differences in performance on management of cellulitis and low overall performance  
72 on asymptomatic bacteriuria point to these conditions as being potentially high-yield targets for  
73 stewardship interventions.

74

75 **Introduction:**

76           The goal of antimicrobial stewardship is to promote proper antimicrobial therapy to  
77 improve not only the care of the patient at hand, including reducing or preventing complications  
78 such as *Clostridioides difficile*-associated colitis, but also to preserve antimicrobial treatment  
79 options on an individual and population level in the future through reduction of antimicrobial  
80 resistance.<sup>1,2</sup> The Veterans Health Administration (VHA) has made a concerted effort to increase  
81 antimicrobial stewardship implementation over the past decade, starting with the charter of the  
82 VA Antimicrobial Stewardship Task Force in 2011 and a nationwide directive in 2014 that all  
83 VA facilities implement antimicrobial stewardship programs (ASPs),<sup>3</sup> with much of the initial  
84 focus being on inpatient care. While reductions in inpatient antimicrobial use have resulted,<sup>3</sup>  
85 strategies are evolving regarding the involvement and education of inpatient providers who may  
86 have differing training backgrounds, clinical experiences and familiarity with antimicrobial  
87 stewardship principles. As part of a project implementing antimicrobial dashboards designed to  
88 provide feedback to antimicrobial stewards at select VA facilities nationwide, we assessed  
89 attitudes, knowledge, and prescribing practices with regard to antimicrobial use and stewardship  
90 among different groups of physicians who typically provide inpatient care at VA facilities.

91

92 **Methods:**

93           The Cognitive Support Informatics for Antimicrobial Stewardship project enrolled eight  
94 university-affiliated VA sites across the nation to participate in implementation of electronic  
95 antimicrobial dashboards that allow for inter- and intra-facility comparisons of antimicrobial  
96 utilization across common inpatient conditions (skin-soft tissue infection, pneumonia, and  
97 urinary tract infection) over the time frame of a typical hospital admission.<sup>4</sup> To assess facility-

98 level physician knowledge of appropriate antibiotic use, we administered an electronic survey  
99 via REDCAP ([www.project-redcap.org](http://www.project-redcap.org)) to physicians who attend on inpatient medical services  
100 at all eight intervention sites along with eight control sites matched by complexity and  
101 geographic location during October-December of 2017. The full survey instrument is included  
102 in the Supplemental Materials. We contacted medical leadership at each participating facility to  
103 provide rosters of physicians who had attended on the inpatient acute general medicine service  
104 during the prior year and invited those physicians to participate in the survey anonymously via  
105 email. One pre-notification email, one invitation with a survey link, and one reminder email to  
106 initial non-respondents were sent over the course of 30 days. No incentives were provided for  
107 participation. The first portion of the survey collected information regarding physicians' VA  
108 appointments, practice characteristics, attitudes toward antimicrobial use, and antibiotic  
109 prescribing practices. Questions asking about agreement with certain statements used Likert  
110 scales that were converted into numerical scores for analysis (1: Strongly Disagree; 2: Disagree;  
111 3: Neutral; 4: Agree; 5: Strongly agree). The second part of the survey explored how  
112 respondents would manage four clinical scenarios: cellulitis, community-acquired pneumonia  
113 (CAP), non-catheter-associated asymptomatic bacteriuria (NC-ASB), and catheter-associated  
114 asymptomatic bacteriuria (C-ASB). A final part of the survey addressed availability and use of  
115 antibiotic prescribing resources.

116 For each scenario, responses were scored by assigning +1 for answers most concordant  
117 with Infectious Diseases Society of America guidelines at the time ("correct"),<sup>2,5-7</sup> 0 for less  
118 concordant but acceptable answers (or no answer given), and -1 for guideline-discordant answers  
119 ("incorrect"). Guidelines were interpreted with an eye towards antimicrobial stewardship and  
120 practicality. One generalist (P.A.G.) and two infectious diseases physicians (C.J.G. and M.B.G.)

121 collectively assigned a value to each answer to each sub-question *a priori* with free-text  
122 responses analyzed *post hoc* independent of knowledge of the type of practitioner giving the  
123 answer. For questions that allowed for multiple answers, 0 points were assigned when a less  
124 guideline-concordant answer was combined with a guideline-concordant answer, and -1 point  
125 was assigned when a guideline-discordant answer was combined with either a guideline-  
126 concordant or less guideline-concordant answer. Scores were then compiled across all questions  
127 within each scenario and normalized to 100% concordant (all “correct”) to 100% discordant (all  
128 “incorrect”). Mean scores were calculated across respondents who self-identified as belonging to  
129 one of three categories: infectious diseases (ID) specialists, hospitalists, and other internists  
130 (general internal medicine and non-ID internal medicine subspecialists). For each question  
131 within a scenario, we tabulated percentages of responses based on total number of survey  
132 participants in each physician category rather than the number in each category that responded to  
133 the individual question. Statistical significance of differences between groups was evaluated via  
134 Kruskal-Wallis Rank Sum test, Pearson’s Chi-squared test, and F-test where appropriate. This  
135 study was approved by the Veterans Health Administration Central Institutional Review Board.

136

### 137 **Results:**

138 *Practice characteristics and antimicrobial attitudes, prescribing practices, and resource*  
139 *utilization:*

140 A total of 467 physicians who attended on inpatient wards from all sites were contacted  
141 regarding participation in the survey; 159 answered at least one question (19 ID physicians, 71  
142 hospitalists, and 69 other internists). 140 respondents answered up to the first scenario (30.4%  
143 overall response rate): 19 ID physicians, 62 hospitalists, 58 other internists, and one respondent



144 who did not identify a specialty. This respondent was excluded, leaving 139 respondents to be  
145 analyzed. Of the 58 non-ID, non-hospitalist “other” internist respondents, 43 (74.1%) identified  
146 as generalists, 3 as rheumatologists, 3 as nephrologists, 2 as geriatricians, 2 as endocrinologists,  
147 2 as pulmonologists, 2 as oncologists, and 1 as an endocrinologist and rheumatologist. No  
148 remarkable differences were seen between physician characteristics at intervention and control  
149 sites for any portion of the survey (data not shown). Practice characteristics and attitudes toward  
150 antimicrobial use are shown in Table 1. Significant differences were found in proportion of time  
151 in clinical care ( $p=0.023$ ) and in inpatient care ( $p<0.001$ ), with hospitalists having the highest  
152 proportions. Attitudes toward antimicrobial use were largely similar across the 3 groups, though  
153 ID physicians more frequently felt that antibiotics were overused by clinicians at their facility  
154 ( $p=0.002$ ) and less likely felt that the harm of antibiotic overuse in livestock is exaggerated  
155 ( $p=0.001$ ). Most physicians (94/139, 67.6%) felt that antimicrobial stewardship programs were  
156 of at least moderate benefit to patient care at their institution, and most (108/139, 77.7%) were  
157 satisfied or very satisfied with the assistance they have received from their facility regarding  
158 antibiotic prescribing over the prior year. Answers regarding antibiotic prescribing practices and  
159 resource utilization among provider groups are shown in Supplemental Table 1. ID physicians  
160 were significantly more confident of their optimal use of antibiotics in the inpatient setting  
161 ( $p<0.001$ ) and less likely to believe they may be overprescribing antibiotics in the inpatient  
162 setting ( $p=0.019$ ). ID physicians relied more on antibiograms ( $p=0.017$ ) than hospitalists and  
163 other internists in making antibiotic prescribing decisions. Numerically, they tended to rely less  
164 on electronic health record (EHR) templates ( $p=0.144$ ) and local infectious diseases online  
165 resources ( $p=0.181$ ) than the other two groups, but these differences were not statistically  
166 significant. Hospitalists and other internists frequently noted that they would find feedback on

167 prescribing practices to be extremely or very helpful (82.3% hospitalists, 72.4% other internists,  
168 52.6% of ID physicians,  $p=0.033$ ), and hospitalists frequently noted that additional education or  
169 guidance on antibiotic prescribing would be extremely or very helpful (74.2% hospitalists,  
170 46.6% other internists and 26.3% of ID physicians,  $p<0.001$ ). While non-ID respondents  
171 infrequently noted that their facility provided any new general guidance for antibiotic prescribing  
172 for skin-soft tissue infection, pneumonia, and urinary tract infection across different timepoints  
173 of a typical hospital course, they frequently noted that the guidelines, when present, did impact  
174 their antibiotic prescribing practices (Supplemental Table 2). Among non-ID physicians,  
175 guidance regarding tailoring antibiotic courses after 3 days impacted antibiotic prescribing  
176 practices for pneumonia (97.1%) significantly more frequently as compared to skin and soft  
177 tissue infection (80%) and urinary tract infection (90%) ( $p=0.0079$ ); no significant differences  
178 across these conditions were observed for guidance regarding initial choice and completion of an  
179 antibiotic course.

#### 180 *Clinical scenario performance:*

181 Clinical scenario scores are summarized in Table 2, with full descriptions of each  
182 scenario and all responses tabulated in Supplemental Table 3. Scenario 1 describes a case of  
183 simple spreading cellulitis of the lower extremity with blood cultures on admission that turn  
184 positive for group A streptococcus. There was a significant difference in scores on this scenario  
185 (ID physicians 76% concordant, hospitalists 58% concordant, other internists 52% concordant,  
186  $p=0.0087$ ), driven mostly by differences in appropriately classifying the clinical condition as  
187 cellulitis alone ( $p=0.019$ ). Scenario 2 describes a case of community-acquired pneumonia where  
188 high-quality respiratory cultures grow *Streptococcus pneumoniae*. Scores were numerically but  
189 not significantly different across specialties for this scenario (ID 75% concordant, hospitalists

190 60% concordant, other internists 56% concordant,  $p=0.0914$ ), though ID physicians were  
191 significantly more likely to select appropriate oral antimicrobial therapy on day 3 ( $p=0.006$ ).  
192 Scenarios 3 and 4 presented cases of asymptomatic bacteriuria (in a non-catheterized patient in  
193 Scenario 3 and a catheterized patient in Scenario 4) where there were two questions each: what is  
194 the clinical presentation (guideline-concordant answer: asymptomatic bacteriuria) and what is the  
195 antibiotic treatment (guideline-concordant answer: none). All specialties (including infectious  
196 diseases) did poorly on both scenarios, with ID physicians answering 65% concordant,  
197 hospitalists 55% concordant, and other internists 40% concordant ( $p=0.322$ ) on Scenario 3, and  
198 ID physicians answering 27% concordant, hospitalists 8% discordant, and other internists 13%  
199 discordant ( $p=0.12$ ) on Scenario 4. Other internists were more likely to incorrectly select an  
200 antibiotic in Scenario 3 ( $p=0.034$ ).

201 Physicians were asked after each scenario what resources they would most likely use in  
202 management of the case at hand. General medical resources (such as UpToDate or a medical  
203 textbook) were most frequently selected, though pre-specified guidance from the facility and  
204 information/input from an inpatient ward pharmacist were also commonly selected  
205 (Supplemental Table 4). After each scenario, physicians were asked about their confidence in  
206 making antibiotic prescribing decisions for the patient in the scenario without the use of those  
207 resources. ID physicians were significantly more confident in all scenarios, particularly for  
208 Scenario 1 (cellulitis) (84.2% “very confident” vs. 27.4% for hospitalists and 39.7% for other  
209 internists ( $p<0.001$ )) (Supplemental Table 5), but confidence did not correlate with performance  
210 (data not shown).

211 Finally, we examined whether non-ID physicians’ awareness of new guidance within the  
212 prior 12 months from their facilities’ ID division or antimicrobial stewardship team on the initial

213 choice, tailoring, and completion of an antibiotic course was associated with their performance  
214 on the clinical scenarios. While no significant associations were seen for hospitalists, other  
215 internists' overall awareness of this guidance was associated with higher performance across all  
216 scenarios (p=0.011), driven mostly by awareness of guidance regarding management of  
217 pneumonia (p=0.001) (data not shown).

218

## 219 **Discussion:**

220 We found significant differences in survey responses between ID physicians, hospitalists,  
221 and generalists on how to manage infectious conditions that are commonly seen in the practice of  
222 inpatient internal medicine and are frequently targets for antimicrobial stewardship interventions.  
223 Most notably, the low overall scores in management of asymptomatic bacteriuria (both non-  
224 catheter-associated and catheter-associated) point to the difficulties inherent in recognizing  
225 and/or avoiding antimicrobial treatment for this situation and the need for education and  
226 interventions in this domain that target all physicians who practice inpatient internal medicine,  
227 even ID physicians. Implementation of algorithm-based peer feedback has been shown to be  
228 successful in this regard.<sup>8</sup> A knowledge gap between ID physicians and other specialties on the  
229 management of cellulitis also points to opportunities for developing stewardship interventions  
230 targeted at non-ID physicians and focusing on the management of skin and soft tissue disease.  
231 All specialties scored highest on the community-acquired pneumonia scenario, but opportunities  
232 exist for improvement in this domain as well. As with cellulitis, de-escalation of antimicrobial  
233 therapy when culture data returns and the patient is improved clinically was a particular weak  
234 point where interventions can be focused.

235           Specialties likely differ in terms of how they can best be targeted by stewardship  
236 interventions. A recent study of inpatient services at an academic medical center demonstrated  
237 that generalist-led services prescribed more broad-spectrum therapy than hospitalist-led  
238 services.<sup>9</sup> In our survey, hospitalists and other internists tended to rely less on antibiograms than  
239 ID physicians in their clinical practice. While hospitalists and other internists tended to rely  
240 more on EHR templates and local infectious diseases online resources, overall reliance on these  
241 modalities was low. This illustrates an antimicrobial stewardship principle that occurs frequently  
242 in the literature: educational or informational resources make an impact when accompanied by  
243 patient-level antimicrobial stewardship team intervention.<sup>10-14</sup> More involvement of  
244 antimicrobial stewardship teams in provider-facing activities such as audit and feedback and in-  
245 person presence on rounds (“handshake stewardship”) may be particularly high-yield.<sup>2,15-19</sup>  
246 Physicians in our survey highlighted online general medical resources such as UpToDate  
247 (Wolters Kluwer) as most frequently referenced when making antibiotic prescribing decisions;  
248 antimicrobial stewards should routinely ensure that these resources reinforce antimicrobial  
249 prescribing principles at their facilities. Hospitalists and other internists particularly noted a  
250 desire for more feedback on prescribing practices, signifying awareness of their knowledge gaps  
251 and interest in improving upon them. Other internists seemed particularly influenced by  
252 guidance on antimicrobial prescription for pneumonia, particularly when tailoring therapy  
253 around hospital day 3.

254           Our study is subject to several limitations. A low number of ID physician respondents  
255 significantly limits our ability to make inferences on the ID community at-large. Overall  
256 response rate was also relatively low. The survey was lengthy: not all respondents answered all  
257 questions, and there may be a bias towards those who had more available time, altruism, or

258 interest in the subject. While clinical scenarios can be effective in demonstrating physician  
259 proficiency independent of patient case mix and other factors that may influence patient care-  
260 related metrics,<sup>20</sup> our scenarios may have been worded in a way that was less clear or not fully  
261 representative of real-life circumstances. For example, we noted in the community-acquired  
262 pneumonia case that the patient presented “from home” but did not give details that further  
263 suggested community vs. healthcare-associated acquisition. Factors such as this may have  
264 influenced respondents to invoke underlying biases and experience that may not truly reflect  
265 antibiotic prescribing expertise. Finally, the small number of questions pertaining to management  
266 of asymptomatic bacteriuria increases variance in our estimate of provider understanding of its  
267 management. However, the overall detailed information we received on antimicrobial  
268 prescribing practices should serve as a useful roadmap for stewards who are trying to best  
269 balance the attitude, knowledge, and practice differences of the practitioners at their facility in  
270 planning antimicrobial stewardship interventions.

271

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276 investigator P.A.G. All authors otherwise report no conflicts of interest.

277

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341

342 **Table 1: Practice characteristics and attitudes toward antimicrobial use among survey**  
 343 **respondents (by specialty)**

	<b>ID (n=19)</b>	<b>Hospitalists (n=62)</b>	<b>Other Internists (n=58)</b>
<i>Demographics:</i>			
Age (years): 25 or under, n (%)	0 (0%)	0 (0%)	0 (0%)
26-35, n (%)	5 (26.3%)	17 (27.4%)	11 (19%)
36-45, n (%)	5 (26.3%)	25 (40.3%)	16 (27.6%)
46-55, n (%)	3 (15.8%)	10 (16.1%)	12 (20.7%)
56-65, n (%)	2 (10.5%)	4 (6.5%)	9 (15.5%)
Over 65, n (%)	3 (15.8%)	0 (0%)	1 (1.7%)
No answer, n (%)	1 (5.3%)	6 (9.7%)	9 (15.5%)
Sex: Male, n (%)	14 (73.7%)	30 (48.4%)	27 (46.6%)
Female, n (%)	3 (15.8%)	27 (43.5%)	22 (37.9%)
<i>Practice Characteristics:</i>			
Years since completing clinical training, median (range)	6 (1-46)	7 (0-34)	13 (0-38)
Years practicing within VA, median (range)	6 (1.5-46)	5 (0.5-23)	7 (0.5-38)
Full-time practice at VA, n (%)	14 (73.7%)	45 (72.6%)	46 (79.3%)
% time spent in clinical care, median (range)*	50 (15-100)	65 (0-100)	50 (0-100)
% clinical time spent on inpatient care, median	75 (33-100)	100 (0-100)	40 (2-100)

(range)**			
<i>Attitudes toward antimicrobial use: Likert 1-5; † mean (standard deviation)</i>			
Antibiotics are overused nationally	4.74 (0.45)	4.63 (0.48)	4.44 (0.66)
Antibiotics are overused by clinicians at my facility*	4.32 (0.67)	3.63 (0.73)	3.72 (0.77)
Better use of antibiotics will reduce problems with antibiotic-resistant organisms	4.63 (0.50)	4.53 (0.59)	4.60 (0.53)
Strong knowledge of antibiotics is important in my medical career	4.84 (0.37)	4.74 (0.44)	4.55 (0.57)
Prescribing broad spectrum antibiotics when equally effective narrower-spectrum antibiotics are available increases antibiotic resistance	4.53 (0.61)	4.56 (0.64)	4.59 (0.56)
Hand washing/cleaning practices are not utilized to the recommended extent at my facility	3.37 (1.30)	2.73 (1.16)	2.59 (1.08)
Inappropriate use of antibiotics can harm patients	4.84 (0.37)	4.72 (0.49)	4.62 (0.53)
The harm of antibiotic overuse in livestock is exaggerated*	1.37 (0.50)	1.87 (0.82)	2.16 (0.81)
The harm of antibiotic overuse in humans is exaggerated	1.58 (0.77)	1.58 (0.76)	1.81 (0.85)
<i>At your facility, how much of an obstacle or benefit are antimicrobial stewardship programs to good patient care?</i>			
Considerable benefit	6 (31.6%)	24 (38.7%)	22 (37.9%)
Moderate benefit	9 (47.4%)	20 (32.3%)	13 (22.4%)
Others	4 (21%)	18 (29%)	23 (39.7%)
<i>What is your overall satisfaction with the assistance you have received from your facility</i>			

<i>regarding antibiotic prescribing for your inpatients over the past 12 months?</i>			
Very satisfied	8 (42.1%)	26 (41.9%)	23 (39.7%)
Satisfied	8 (42.1%)	22 (35.5%)	21 (36.2%)
Others	3 (15.8%)	14 (22.6%)	14 (24.1%)

344 \*: p <0.05

345 \*\*: p <0.001

346 †: 1: Strongly Disagree; 2: Disagree; 3: Neutral; 4: Agree; 5: Strongly agree

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348 **Table 2: Summary of clinical scenario scores by practice type**

	Average points		
	ID (n=19)	Hospitalists (n=62)	Other Internists (n=58)
<i>Scenario 1: Simple cellulitis</i>			
Syndrome classification*	+1.0	+0.65	+0.69
Initial antibiotic choice	+0.53	+0.21	+0.34
De-escalation antibiotic choice	+0.84	+0.65	+0.45
Discharge antibiotic choice	+0.84	+0.71	+0.52
Treatment duration	+0.58%	+0.68	+0.60
SCENARIO 1 TOTAL POINTS (p-value 0.0087 by F-test)*	+3.8 (76% CONCORDANT)	+2.9 (58% CONCORDANT)	+2.6 (52% CONCORDANT)
<i>Scenario 2: Community-acquired pneumonia</i>			
Syndrome classification	+0.74	+0.61	+0.69
Initial antibiotic choice	+0.58	+0.56	+0.52
De-escalation antibiotic choice*	+0.74	+0.34	+0.33
Treatment duration	+0.90	+0.89	+0.69
SCENARIO 2 TOTAL POINTS (p-value 0.091 by F-test)	3.0 (75% CONCORDANT)	2.4 (60% CONCORD- ANT)	2.23 (56% CONCORD- ANT)
<i>Scenario 3: Asymptomatic bacteriuria (non-catheter-associated)</i>			
Syndrome classification	+0.63	+0.56	+0.41
Antibiotic choice*	+0.63	+0.52	+0.34
SCENARIO 3 TOTAL POINTS (p-value 0.322 by F-test)	1.3 (65% CONCORDANT)	1.1 (55% CONCORDANT)	0.8 (40% CONCORDANT)
<i>Scenario 4: Asymptomatic bacteriuria (catheter-associated)</i>			

Syndrome classification	0	-0.18	-0.21
Antibiotic choice	+0.53	+0.016	-0.052
SCENARIO 4 TOTAL POINTS (p-value 0.12 by F-test)*	0.53 (27% CONCORDANT)	-0.16 (8% DISCORDANT)	-0.26 (13% DISCORDANT)

349 \*: p <0.05

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