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Lauritsen, T Korichi, A Zhu, S <u>et al.</u>

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# Characterization of gamma–ray tracking arrays: a comparison of GRETINA and Gammasphere using a <sup>60</sup>Co source

T. Lauritsen<sup>a</sup>, A. Korichi<sup>b</sup>, S. Zhu<sup>a</sup>, A.N. Wilson<sup>c</sup>, D. Weisshaar<sup>d</sup>, J. Dudouet<sup>e</sup>, A.D. Ayangeakaa<sup>a</sup>, M.P. Carpenter<sup>a</sup>, C.M. Campbell<sup>f</sup>, E. Clement<sup>h</sup>, H.L. Crawford<sup>f</sup>, M. Cromaz<sup>f</sup>, P. Fallon<sup>f</sup>, J.P. Greene<sup>a</sup>, R.V.F. Janssens<sup>a</sup>, T.L. Khoo<sup>a</sup>, N. Lalović<sup>k,i</sup>, I.Y. Lee<sup>f</sup>, A.O Macchiavelli<sup>f</sup>, R.M. Perez-Vidal<sup>g</sup>, S. Pietri<sup>i</sup>, D.C. Radford<sup>j</sup>, D. Ralet<sup>b</sup>, L. Riley<sup>l</sup>, D. Seweryniak<sup>a</sup>, O. Stezowski<sup>e</sup>

<sup>a</sup>Argonne National Laboratory, Argonne, Illinois 60439, USA
 <sup>b</sup>C.S.N.S.M, IN2P3-CNRS, bat 104-108, F-91405 Orsay Campus, France
 <sup>c</sup>University of the West of Scotland, Paisley, UK
 <sup>d</sup>NSCL, Michigan state University, USA
 <sup>e</sup>I.P.N. Lyon, IN2P3-CNRS, Lyon Campus, France
 <sup>f</sup>Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA
 <sup>g</sup>Instituto de Fisica Corpuscular, CSIC-Universitat de Valencia, E-46920 Valencia, Spain
 <sup>h</sup>GANIL, CEA/DSM-CNRS/IN2P3, BP 55027, F-14076 Caen, France
 <sup>i</sup>GSI Helmholtzzentrum für Schwerionenforschung GmbH, D-64291 Darmstadt, Germany
 <sup>j</sup>Physics Division, Oak Ridge National Laboratory, Oak Ridge, Tennessee 37831, USA
 <sup>k</sup>Department of Physics, Lund University, SE-22100 Lund, Sweden
 <sup>l</sup>Ursinus College, Collegeville, PA 19426, USA

#### Abstract

In this paper, we provide a formalism for the characterization of the tracking arrays with emphasis on the proper corrections required to extract their photopeak efficiencies and peak-to-total ratios. The methods are first applied to Gammasphere, a well characterized  $4\pi$  array based on the principle of Compton suppression, and subsequently to GRETINA. The tracking efficiencies are then discussed and some guidelines as to what clustering angle to use in the tracking algorithm are presented. It was possible, using GEANT4 simulations, to scale the measured efficiencies up to the expected values for the full  $4\pi$  implementation of GRETA.

*Keywords:* Segmented germanium detectors, efficiency measurements,  $\gamma$ -ray tracking, Gammasphere, GRETINA, GRETA,  $\gamma$ -ray spectroscopy, nuclear structure.

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#### 1. Introduction

<sup>2</sup> The concept of escape suppression revolutionized the field of  $\gamma$ -<sup>57</sup> ray spectroscopy, enabling significant increases in the resolving <sup>58</sup> power of germanium-based detector arrays [1–3]. Now, the new <sup>59</sup> concept of  $\gamma$ -ray tracking and recent advances in germanium <sup>60</sup> (Ge) crystal segmentation technology are leading to another <sup>77</sup> revolution where escape suppression shields are removed and <sup>61</sup> only Ge crystals are used, filling as much of the space around <sup>62</sup> the source of  $\gamma$  rays as possible [4].

<sup>10</sup> The tracking concept is based on the ability to locate, within a <sup>65</sup> few mm, each photon interaction point in the Ge detector and, <sup>66</sup> consequently, to track the scattering sequence of an incident <sup>67</sup> photon through the crystals. The method consists in the recon-<sup>68</sup> struction of the full  $\gamma$ -ray energy by combining the appropriate <sup>69</sup> interaction points [5–9].

This approach provides a significant gain in detection efficiency <sup>71</sup> 16 over escape-suppressed arrays because the Compton suppres-72 17 sion shields (which limit the Ge solid angle) are removed and 73 18 replaced by active Ge detectors. For the first time, a nearly 74 19  $4\pi$  sphere of Ge, with a good peak-to-total ratio, becomes <sup>75</sup> 20 possible. Moreover, the tracking technique provides identifi-76 21 cation of the first interaction point with good angular resolu-77 22 tion and, therefore, allows for an improved Doppler correc-78 23 tion. The expected performance for tracking detector arrays 79 24 are thus well beyond those of escape-suppressed spectrome-<sup>80</sup> 25 ters like EUROBALL [10] and Gammasphere [11, 12]. The <sup>81</sup> 26 most advanced implementations of this concept to date are the 82 27 two arrays AGATA (Advanced GAmma Tracking Array) [13] 83 28 and GRETINA (Gamma Ray Energy Tracking In beam Nu-<sup>84</sup> 29 clear Array) [14]. GRETINA is the early implementation of 30 GRETA (Gamma Ray Energy Tracking Array) [15]. These 31 arrays are built from large, segmented crystals of hyper-pure 85 32 germanium (HPGe) and are the first to use the concept of 33  $\gamma$ -ray energy tracking. This technique enables experiments 34 probing low cross sections and/or measurements using high-86 35 velocity reaction products like those possible with stable and 87 36 radioactive beams at new facilities such as SPIRAL2 [16], 88 37 SPES [17], GANIL [18] and FAIR[19] in Europe and AT- 89 38 LAS/CARIBU [20], NSCL [21] and FRIB [22] in the USA. 39

The resolving power of a  $\gamma$ -ray detector array (*i.e.*, its abil-40 ity to isolate a given sequence of  $\gamma$  rays in a complex spec-41 trum) depends on four main properties [23]: efficiency, energy 42 resolution, peak-to-total ratio (P/T) (the ratio of photopeak 43 efficiency to the total efficiency [24]), and granularity. The 95 44 GRETINA array, and the future  $4\pi$  array GRETA, are being de- 96 45 signed to maximize each of these properties. As these new sys- 97 46 tems begin to be used in experimental campaigns, it is important 98 47 that their performances be evaluated accurately. While Monte 99 48 Carlo simulations using GEANT4 can be used to some extent<sub>100</sub> 49 for this purpose, simulations require precise knowledge of all101 50 the detector parameters, such as geometry, mounting hardware102 51

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12 52 and materials other than germanium. Furthermore, results of
 53 simulations require validation through measurements.

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However, measurements – particularly those related to efficiency calibrations – represent a challenge for tracking arrays, and need to be fully understood and carried out carefully. Both the efficiency and (P/T) depend on parameters that determine whether a tracking algorithm associates a set of interaction points with a single  $\gamma$  ray, multiple  $\gamma$  rays, or a scattered  $\gamma$  ray with partial energy collection. Thus, no single, absolute value of either quantity can be measured. Instead, one must examine the correlation between efficiency and (P/T) in order to find conditions that optimize both.

This paper describes possible ways to determine array efficiencies, with an emphasis on the proper corrections, and explores how different methods compare. We use a <sup>60</sup>Co source to obtain efficiencies at 1333 keV; because this is a multiplicity two source (*i.e.*, it emits two  $\gamma$  rays), we also investigate the required correction terms. First, we describe in detail the different methods proposed. Each approach is then validated using data from a well-understood, Compton-suppressed  $4\pi$  array: Gammasphere. The approaches are then applied to data obtained with GRETINA in two geometries, one at Argonne National Laboratory (ANL) and one at Michigan State University (MSU). At the time of the measurements, the Gammasphere array consisted of 95 escape-suppressed Ge detectors; the results reported below have, therefore, been scaled to provide the characteristics of the more standard 100 detector set-up. GRETINA was comprised of seven quad modules (28 crystals) in compact setups at its nominal distance (18.5 cm from the center position of the array to the front of the Ge crystals) [14]. The results for the tracking array is then scaled to the future full  $4\pi$  implementation (GRETA) in order to compare the performance with Gammasphere.

#### 2. Efficiency and peak-to-total ratio measurements

The photopeak efficiency,  $\epsilon_p$ , is defined as the probability that a single emitted  $\gamma$  ray is measured in the photopeak in the spectrum. The total efficiency,  $\epsilon_T$ , is defined as the probability that a  $\gamma$  ray adds one or more counts anywhere in the spectrum. The ratio of these efficiencies is known as the (P/T) ratio. In the following, we describe our approaches to obtaining the photopeak efficiency and (P/T) ratio from <sup>60</sup>Co source spectra. For this source, the efficiency is traditionally reported for the 1333-keV transition.

We chose the <sup>60</sup>Co source both because it is commonly used for such measurements and because it allows efficiencies to be obtained using both the so–called calibrated source (CSM) and sum peak (SPM) methods [25–28]. Each of these two approaches can be applied to spectra generated from a given array in different ways. For both conventional and tracking arrays, two spectra can be created using the signals from the central contacts (CC) of the Ge detectors. One, henceforth referred

to as CCsum, is created by producing spectra for each indi-138 103 vidual detector and subsequently adding these together. The139 104 other, referred to as CCcal below, is a calorimetric spectrum<sub>140</sub> 105 obtained by adding up the energies from all central contacts<sub>141</sub> 106 and histogramming these into one single spectrum. Whichever<sub>142</sub> 107 method is used, it is important to apply the proper corrections143 108 when extracting peak areas, taking into account all effects such144 109 as: one  $\gamma$  ray removes counts in the other one (in the case of a<sub>145</sub> 110 <sup>60</sup>Co source) and/or the effect of having random background  $\gamma_{146}$ 111 rays in addition to the  $\gamma$  rays from the source [26, 27]. These 112 considerations are described in sections 2.1 and 2.2. 113 148

A third way of obtaining the efficiency at 1333 keV for a <sup>60</sup>Co<sub>149</sub> source consists of employing either an additional detector outside the array to trigger on the detection of the coincident 1173keV transition, or in using an internal detector in the array in the same manner. These methods are described in Sec. 2.3.

While these approaches can be applied to both conventional and 151
tracking arrays, the latter are designed to produce tracked spec-152
tra and this requires further processing of the data. The ad-153
ditional factors required to take into account the tracking effi-154
ciency are presented in section 3.1.

#### $^{124}$ 2.1. The peak areas in a $^{60}Co$ source spectrum

The CSM relies on a measurement of the observed area of the 125 1333-keV peak, taking into account a number of corrections, 126 and knowledge of the source strength. The SPM relies on the 127 precise determination of the areas of all three of the 1173-, 128 1333- and (sum) 2506-keV peaks in the <sup>60</sup>Co source spectrum. 129 In either case, the peak areas depend on several factors, includ-130 ing the efficiencies at both 1173 and 1333 keV. In fact, the ob-131 served areas of the three peaks in a  $^{60}$ Co source spectrum can 132 be written as: 133 163

$$A^{obs}(1173) = S \epsilon_p(1173)(1 - C_k(1333))$$

$$\times (1 - C_p)(1 - C_s(1173))$$
(1)<sup>165</sup>

$$A^{obs}(1333) = S \epsilon_p(1333)(1 - C_k(1173))$$
<sup>167</sup>

$$\times (1 - C_R)(1 - C_s(1333)), \qquad (2)^{168}$$

$$A^{obs}(2506) = \frac{1}{N} S \epsilon_p(1173) \epsilon_p(1333) C_f(1 - C_R)$$

$$\times (1 - C_s(1173))(1 - C_s(1333)), \qquad (3)$$

134 where

$$C_k(e) = \frac{C_o C_f \epsilon_T(e) (1 + C_s(e))}{N},$$
(5)

$$(P/T) \equiv \epsilon_p / \epsilon_T, \tag{6}$$

$$C_R = \frac{\epsilon_R \Delta t}{N} \frac{dR}{dt},\tag{7}_{171}$$

$$S = A_{\rm S} t L_F.$$
 (8)<sup>17</sup>

<sup>135</sup>  $\epsilon_T$  is the total array efficiency,  $\epsilon_p$  the array photopeak efficiency,<sup>174</sup> <sup>136</sup> and (P/T) is the peak–to-total ratio, all of which are energy de-<sup>175</sup>

pendent. N is the number of crystals in the array with  $N \equiv 1_{176}$ 

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for the calorimetric CCcal spectra and N > 1 for the CCsum spectra. *S* denotes the total number of  $\gamma$  rays emitted by the source (during the acquisition time *t*), corrected for any deadtime or loss in efficiency of the system through the live fraction ( $L_F$ ) [24].  $A_S$  is the source activity and  $C_f$  corrects for the angular correlation between the 1173– and 1333–keV lines in the <sup>60</sup>Co source [29, 30]. The small corrections for internal conversion and branching ratios for the  $\gamma$  rays from the <sup>60</sup>Co source are ignored in the formulas; they are of the order of 0.01%.

To be consistent, one should report the (P/T) ratio at an energy of 1333 keV like the efficiency. However, traditionally, the (P/T) for a <sup>60</sup>Co source is reported as

$$(P/T)_{composite} = \frac{A(1173) + A(1333)}{A_{tot}}$$
(9)

We shall use this composite (P/T) ratio here as well, but will argue that A(2506) needs to be added to the numerator unless it is tracked data. This ratio can be made from observed areas,  $(P/T)^{obs}$ , or for the corrected areas of the peaks (see discussion in Sec. 2.2). The composite (P/T) ratio in Eq. 9 can be written as a weighted average of the energy dependent (P/T) values in Eq. 6, using information from a measured response function [30] or spectra gated on the 1173– and 1333–keV lines. For Gammasphere, it is found that

$$(P/T)(1173) = (P/T)_{composite} \times 1.02212$$
(10)

$$(P/T)(1333) = (P/T)_{composite}/1.02212$$
(11)

The value of  $C_f$  depends on whether the CCsum or CCcal spectra are used as well as on the distance from the Ge crystals to the source. The nominal value of  $C_f$  is found to be 1.1111 at zero degrees [29]. For Gammasphere, taking into account the opening angle of the Ge detectors ( $\pm$  7.5°), the attenuated  $C_f$  value is determined to be 1.109 for CCsum [30]. For CCcal spectra in Gammasphere, because the array covers almost  $4\pi$ ,  $C_f$  is close to one. For GRETINA, at the nominal distance, the  $C_f$  values are specified in Table 1 (see further discussion in Sec. 4.4). Just using the crystal center positions, the  $C_f$  values are calculated to be 1.0076 for GRETINA for CCcal spectra; but measured values will be used in the calculations.

Table 1: The angular correlation factors,  $C_f$ , used in this work. The values for GRETINA, for the CCcal spectra, are obtained from measurements presented in Sec. 4.4. GRETINA and Gammasphere are abbreviated GS and GT, respectively, in this table.

	$C_f(GS)$	$C_f(\text{GT})$ (ANL)	$C_f(\text{GT})$ (MSU)
CCsum	1.109	1.107	1.107
CCcal	1.0	1.007	1.013

The combined terms  $C_k(e)$  in Eq. 1–2 correct for the fact that one of the  $\gamma$  rays from the <sup>60</sup>Co source may hit the detector and remove counts that should belong to the photopeak of the other transition. If only this effect is included,  $C_o \equiv 1$  [30]. Setting  $C_o > 1$  allows for corrections *beyond* what is already reflected in any decrease of the (P/T) ratio caused by scattered  $\gamma$  rays.

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(4)

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<sup>177</sup>  $C_R$  is the correction for random  $\gamma$  rays hitting the detector in<sub>211</sub> <sup>178</sup> addition to photons from the <sup>60</sup>Co source. In Eq. 7,  $\frac{dR}{dt}$  is the<sub>212</sub> <sup>179</sup> background rate,  $\Delta t$  the coincidence time window and  $\epsilon_R$  the <sup>180</sup> mean efficiency for total absorption of the random  $\gamma$  rays.

Finally, the  $C_s$  coefficient is the probability for a  $\gamma$  ray to scatter 181 out of a crystal, to be detected by other crystals in the array and 182 successfully sum up to the photopeak energy. The coefficient is, 183 per definition, > 0 only for the CCsum spectra. Its value can be 184 185 determined by comparing the counts in the photopeaks of the CCsum and CCcal spectra, taking into account the other cor-186 rection factors in eqs. 1-2 or, alternatively, from Eq. 20 from 187 Sec. 2.2 below. For tracking arrays this coefficient is signifi-188 cant. On the other hand, for Gammasphere, where the BGO 189 Compton suppressors largely prevent direct scattering between 190 neighboring crystals, the coefficient is smaller. 191

The concept behind the  $C_s$  parameter is also known from com-192 posite HPGe detectors, such as Clover detectors, where the 193 energies deposited by a  $\gamma$  ray scattering between crystals are 194 added back and the gain in photopeak counts is measured by 195 the add-back factor F [31]. Treating a tracking array as a single,<sup>214</sup> 196 composite detector, one can also assign an add-back factor  $F^{^{215}}$ 197 describing the gain in photopeak counts by adding up all crystal<sup>216</sup> 198 energies. The relationship between F and  $C_s$  is: 199

$$C_s = \frac{F-1}{F} \tag{12}$$

213

221

222

223

The  $C_s$  factor allows for the use of the CCsum spectrum to de-<sup>217</sup> termine the efficiency of tracking arrays, though, not indepen-<sup>218</sup> dently of the CCcal spectrum.<sup>219</sup>

#### 203 2.2. The efficiencies, true counts and (P/T) ratios

Eqs. 1–3 indicate how the observed peak areas relate to the ac-<sup>224</sup> tual array efficiencies. Once the peak areas have been correctly<sup>225</sup> determined, efficiencies, true peak areas and peak–to–total ra-<sup>226</sup> tios can be extracted.<sup>227</sup>

<sup>208</sup> For the summed peak method (SPM), the efficiency is given by:

$$\epsilon_{p}(1333) = N \left\{ \frac{A^{obs}(2506)}{A^{obs}(1173)C_{f}} \right\} /$$

$$\left\{ 1 - C_{s}(1333) + \frac{A^{obs}(2506)}{A^{obs}(1173)} \frac{C_{o}(1 + C_{s}(1173))}{N(P/T)(1333)} \right\}^{233}_{234}$$
(13)

On the other hand, for the calibrated source method (CSM), the<sup>235</sup> efficiency is given by:

$$\epsilon_{p}(1333) = \frac{A^{obs}(1333)}{S(1 - C_{R})(1 - C_{s}(1333))}$$

$$+ \frac{C_{o}(1 + C_{S}(1173)A^{obs}(2506)}{NS((P/T)(1173))(1 - C_{R})(1 - C_{s}(1173))(1 - C_{s}(1333))}$$
(14)

Combining eqs. 1-3, we find that the true, corrected counts in the peaks are given by:

$$A^{true}(1173) \equiv S \epsilon_p(1173)$$
  
= 
$$\frac{A^{obs}(1173)}{(1 - C_k(1333))(1 - C_R)(1 - C_s(1173))}, \quad (15)$$
$$A^{true}(1333) \equiv S \epsilon_p(1333)$$

$$=\frac{A^{obs}(1333)}{(1-C_k(1173))(1-C_R)(1-C_s(1333))},$$
 (16)  
$$A^{true}(2506) \equiv S \epsilon_p(1173)\epsilon_p(1333)C_f$$

$$=\frac{A^{obs}(2506)}{(1-C_R)(1-C_s(1173))(1-C_s(1333))}.$$
 (17)  
(18)

It follows that the true (P/T) ratio for the spectra is:

$$(P/T)^{true} = \frac{A^{true}(1173) + A^{true}(1333) + A^{true}(2506)}{A_{tot}^{true}},$$
 (19)

where  $A_{tot}^{true}$  is the total number of counts in the spectra up to just past the 2506–keV sum line and is related to the observed counts by:

$$A_{tot}^{obs} = A_{tot}^{true} + \frac{C_s}{(P/T)^{true}} (A^{true}(1173) + A^{true}(1333) + A^{true}(2506))$$
(20)

For CCcal spectra,  $A_{tot}^{true}$  is simply  $A_{tot}^{obs}$ . Note that, for an ideal  $4\pi$  array, all the  $\gamma$  rays from a <sup>60</sup>Co source will be in the 2506–keV peak in the CCcal spectrum; Eq. 19 remains valid in this case.

For Compton–suppressed arrays, the composite (P/T) ratio is traditionally determined using the CCsum spectrum. This spectrum is most relevant for the spectra used in  $\gamma$ –ray spectroscopy with Compton–suppressed arrays. For tracking arrays, where photons can scatter freely between the crystals, obtaining the (P/T) ratio for the array using the CCsum spectrum is possible, but the additional correction factors mean that the result is less precise.

The proper (P/T) ratio values to use in eqs. 1–3, in order to determine  $\epsilon_T = \frac{\epsilon_P}{(P/T)}$  (see Eq. 6), are in fact the  $(P/T)^{true}$  ratio from Eq. 19, not the observed values. Since  $(P/T)^{true}$  is not known until the efficiency is found from Eq. 13 or Eq. 14, followed by eqs. 15–19, a simple iteration procedure is applied to find the  $(P/T)^{true}$  value that reproduces itself.

#### 2.3. The external trigger method

A third approach, using the CCcal spectrum, provides another way to measure the efficiency of an array. If a <sup>60</sup>Co source is placed at the target position and an external detector is used to detect the 1173–keV line, then the counts in the 1333–keV peak of the CCcal spectrum can be written as:

$$A^{obs}(1333) = A^{obs}_{ext}(1173) \times \epsilon_p(1333) C_f(1 - C_R)$$
(21)

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where  $A_{ext}^{obs}(1173)$  is the number of counts seen in the 1173–keV<sub>287</sub> peak in the external detector. Using this method, it should only<sub>288</sub> be necessary to correct for random events in the coincidence<sub>289</sub> time window and for angular correlation effects. It follows that:

$$\epsilon_p(1333) = \frac{A^{obs}(1333)}{A^{obs}_{ext}(1173)C_f(1-C_R)} \tag{22}^{291}$$

The external detector could be made part of the tracking array<sub>292</sub> data acquisition system (DAQ). In that case, one can keep track of how many times a 1333–keV line is seen in the tracking array when the channel with the external detector has observed a photopeak absorption of 1173 keV. This ensures that a 1333–keV  $\gamma$ ray has indeed been emitted. A variation of this method is to identify events in which the<sup>294</sup>

<sup>252</sup> 1173–keV transition was detected in one of the array's crystals,<sup>295</sup> and then exclude that specific crystal from the counts contribut-<sup>296</sup> ing to the CCcal spectrum. The exclusion of one crystal from<sup>297</sup> the CCcal spectrum can be taken into account by adding a  $\frac{N}{(N-1)}^{298}$ correction factor to Eq. 22, where N is the number of crystals.<sup>299</sup>

#### 257 **3. Tracking**

The previous sections lay out the procedures for obtaining the<sup>304</sup> 258 array's efficiency at 1333 keV and for measurements of the  $100^{300}$ 259 (P/T) ratio for two types of *untracked* spectra, CCsum and CC-<sup>300</sup><sub>307</sub> 260 cal. These spectra enable direct comparisons between conven-261 tional, escape-suppressed and new-generation tracking arrays.308 262 However, we are ultimately interested in the sensitivity of the<sub>309</sub> 263 arrays when used in the tracking mode. In the following, both<sub>310</sub> 264 the tracking efficiency and the tracking *deficiency* are consid-311 265 ered, and we argue that the latter is an important quantity to 266 evaluate. 267

#### 268 3.1. The tracking efficiency

In tracking arrays, the signals from the preamplifiers are digi-<sup>313</sup> 269 tized into signal traces of a few micro-seconds length at typi-270 cally 100 MHz sampling. In the decomposition, or pulse shape 271 analysis, traces from the segments of the crystals are analyzed 272 and the interaction positions are inferred from fits that compare 273 these traces with a basis data set. Tracking algorithms are then<sub>315</sub> 274 used to reconstruct the trajectories of the incident  $\gamma$  rays in or-275 der to determine their energy and direction. To accomplish this, 276 the algorithms must group interaction points into those likely<sub>318</sub> 277 originating from a given  $\gamma$  ray and establish their scattering se-278 quence (or order). Tracking algorithms can be divided into two319 279 classes: those based on back tracking [5] and those based on<sup>320</sup> 280 clustering and forward tracking [6]. The latter approach is used<sup>321</sup> 281 in this work. 322 282 323

For photon energies of interest (tens of keV to 20 MeV), the<sub>324</sub> main physical processes that occur when a photon interacts in germanium are Compton scattering, Rayleigh scattering, pair creation and photo absorption. Since Compton scattering is the

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dominant process between 150 keV and 10 MeV, all current tracking algorithms are based on the properties of this interaction process.

How closely the interaction points follow the Compton scattering formula

$$E'_{\gamma} = \frac{0.511}{1 + \frac{0.511}{E_{\gamma}} - \cos(\theta)}$$
(23)

is evaluated by the Figure of Merit (FOM)

$$FOM = \sum_{i} \frac{\sqrt{(\sum_{i} (\theta_i^{theo} - \theta_i^{obs})^2)}}{n_i - 1}; n_i > 1$$
(24)

where  $\theta_i^{obs}$  are the observed scattering angles and  $\theta_i^{theo}$  are the angles (in radians) from the Compton scattering formula, based on the energy deposited,  $E_{\gamma}$ -  $E'_{\gamma}$ , at the interaction points and  $n_i$  is the number of interaction points. If the angle  $\theta$  becomes unphysical, based on the scattering energy, a penalty in the FOM sum, Eq. 24, is added. For photons with more than one interaction point, typically upper limits on the FOM for a  $\gamma$  ray to be considered "good" are in the range from zero up to  $0.6 < \text{FOM}_{max} < 0.8$ . Gamma rays that have been assigned higher FOM by the tracking algorithm are rejected. An interaction point that is not clustered with other ones (i.e., when  $n_i \equiv 1$ ) is referred to as a *single-interaction point*  $\gamma$  ray. Such photons cannot be tracked and are assigned a FOM of zero, unless they are located beyond their range in the crystals, in which case they are assigned a FOM value of 1.85 (see Appendix B).

With a calibrated <sup>60</sup>Co source, the number of 1333–keV  $\gamma$  rays absorbed in the tracking array should be  $S \epsilon_p(1333)$  (see eqs. 1–5). Thus if, in the tracked spectrum,  $A_T(1333)$  counts are measured instead, the tracking efficiency for a given FOM cut is:

$$\epsilon_{track} = \frac{A_T(1333)}{S\epsilon_p(1333)} \tag{25}$$

If an uncalibrated  ${}^{60}$ Co source is used, this ratio can still be found using Eq. 16 as

$$\epsilon_{track} = \frac{A_T(1333)}{\frac{A^{obs}(1333)}{(1 - C_k(1173))(1 - C_k)(1 - C_k)}} \equiv \frac{A_T(1333)}{A^{true}(1333)}$$
(26)

where  $A^{true}(1333)$  is the true counts in the CCcal spectrum defined in Eq. 16. For tracked data, the experimental photopeak efficiency is the array efficiency, eqs. 13 and 14, multiplied by this tracking efficiency. These are the efficiencies that we obtain below and that we present in Fig. 6.

For tracked spectra, the area of the 2506–keV peak should not be included in the (P/T) ratio as it should ideally be absent since such events should have been tracked and resolved into two  $\gamma$  rays of 1173 and 1333 keV. Thus, we suggest that the proper (P/T) ratio to be used and reported for *tracked* spectra is simply:

$$(P/T)^{tracked} = \frac{A_T(1173) + A_T(1333)}{A_{tot}},$$
 (27)

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where  $A_{tot}$  is the number of counts from some lower-energy 325 limit up to just past the 2506-keV line in the tracked spectra. 326 Any counts in the 2506-keV peak should be considered to be-327 long to the total part,  $A_{tot}$ . In this paper, the (P/T) ratio is mea-328 sured with background subtraction under the 1173-, 1333- and 329 2506-keV lines. 330

We propose that a measure of the tracking deficiency for a <sup>60</sup>Co 331 source is 332

$$TrD = \frac{A_T(2506)}{A_T(1173) + A_T(1333)},$$
(28)

where the areas  $A_T$  are from the tracked spectra (for a given 333 FOM range acceptance). Tracking can, for real data, never 334 completely remove the summed 2506 peak and, if TrD is too 335 large, there will be artificially summed peaks in the actual 336 tracked spectra. Getting a high tracked efficiency, (P/T) ratio 337 and yet a small tracking deficiency requires compromises in the 338 values of the tracking parameters as will be discussed in the fol-339 lowing. For a <sup>60</sup>Co source, the tracking deficiency as a function 340 of FOM cuts has been found to be small (less than 1 % for the 341 size of the tracking array examined in this work). The concept 342 of the tracking deficiency can be generalized for any source as: 343

$$TrD = \frac{\sum_{i} \sum_{j} A_T(E_i + E_j) + \sum_{i} \sum_{j} \sum_{k} A_T(E_i + E_j + E_k) \dots}{\sum_{i} A_T(E_i)},$$
(29)

where the sum is over peak areas of  $\gamma$  rays in coincidence and 344 where i < j < k. 345

#### 3.2. The clustering angle ( $\alpha$ ) 346

One of the most critical parameters in tracking algorithms is the<sup>372</sup> 347 clustering angle used to associate a set of interaction points with<sup>373</sup> 348 (potential)  $\gamma$  rays. The  $\gamma$  rays reconstructed in this manner may<sup>374</sup> 349 later be re-clustered (split and combined) depending on their<sup>375</sup> 350 FOM values. Even though the tracking algorithm has the ability<sup>376</sup> 351 to split and combine clusters, the initial clustering angle that is<sup>377</sup> 352 378 used has a strong influence on the quality of tracked spectra. 353 379

The minimum clustering angle required for good tracking can380 354 be estimated by examining the spread of angles between inter-381 355 action points for a <sup>60</sup>Co source in the tracking array, as shown 356 in Fig. 1. This curve reveals the minimum clustering angle to<sup>382</sup> 357 be used if a given probability for collecting all the interaction<sup>383</sup> 358 points for the  $\gamma$  rays emitted by a <sup>60</sup>Co source is to be reached. <sup>384</sup>

Figure 2, which is an integral of the curve in Fig. 1, suggests<sub>386</sub> 360 that the clustering angle should be no less than around  $11^{\circ} - 12^{\circ}_{_{387}}$ 361 in order to collect at least 90% of the interaction points cre-388 362 ated in the detectors for a <sup>60</sup>Co source. Although it is tempting<sub>389</sub> 363 to increase the clustering angle to achieve increasingly better 364 tracked spectra, this cannot be done for in-beam data with high 365  $\gamma$ -ray multiplicity, as this would result in the mistaken cluster-366 ing of separate  $\gamma$  rays. The probability for at least two  $\gamma$  rays 367



Figure 1: The measured angle spread of interaction points for the  $\gamma$  rays from a <sup>60</sup>Co source in the GRETINA tracking array based on the decomposed (pulse shape analyzed) data from the spectrometer.

in a cascade to be wrongly "double-clustered",  $P_{dc}$ , is approximately:

$$P_{dc} \approx \epsilon_T \left( 1 - \prod_{i=1}^{m-1} \left( 1 - \frac{i\epsilon_T}{n} \right) \right) \tag{30}$$

$$n = \frac{2}{(1 - \cos(\alpha/2))} \tag{31}$$

where  $\alpha$  is the clustering angle,  $\epsilon_T$  the total array efficiency and *m* is the multiplicity of the  $\gamma$ -ray cascade from a source or from in-beam reaction residues; n is the number of clusters for the clustering angle  $\alpha^1$ . If one wants to keep this double–clustering probability below 1%, 5% or 10%, for a given clustering angle and calculated for the full GRETA array with 120 crystals, the maximum  $\gamma$ -ray multiplicity, m, that can be accepted is given in Table 2. Thus, for typical heavy-ion induced fusion reactions producing high multiplicity  $\gamma$ -ray cascades, the choice of clustering angle is a compromise between tracking widelyscattered  $\gamma$  rays and reducing the number of false double clusters.

The above discussion provides some guidance as to the value of the clustering angle to use for a given data set. One could try to optimize the  $\alpha$  angle by maximizing at the product  $[P \times P/B]$ for a representative line in the spectra. Here, P is the area of the peak and B is the background level under the same peak. This measure optimizes both the efficiency and the (P/T) ratio of the tracked spectra, thus finding the best compromise for the clustering angle.

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<sup>&</sup>lt;sup>1</sup>If  $\epsilon_T = 1$  and  $\alpha = 12^{\circ}$  (n = 365), eqs. 30 and 31 solve the well known 'birthday problem'; i.e., how many people have to be in a room before there is a 50% chance that two have the same birthday.



Figure 2: The minimum clustering angle needed to group interaction points into a  $\gamma$  ray for GRETINA with the resulting clustering efficiency given on the y axis. These curves are obtained by a simple integration of the curve displayed in Fig. 1.

#### 4. Results and comparisons

As mentioned in the introduction, a suitable approach to test 391 the formulas and procedures discussed above is to first apply<sup>409</sup> 392 these to data from Gammasphere. The results are presented in410 393 section 4.1. The array efficiency is extracted for GRETINA in<sup>411</sup> 394 section 4.2. Sec. 4.4 presents tracking angular correlation re-412 395 sults while Sec. 4.5 compares the <sup>60</sup>Co spectra obtained in the<sup>413</sup> Gammasphere and GRETINA arrays. Finally, Sec. 4.6 com-414 397 415 pares the (P/T) versus efficiency data for the arrays. 398

Table 2: The maximum multiplicity, m, that can be accepted for a given cluster-419

ing angle,  $\alpha$ , in order to keep the double clustering probability,  $P_{dc}$ , in the full<sub>420</sub> GRETA array below the limits of 1, 5 or 10% for a  $^{60}$ Co source. For GRETA, the photopeak efficiency has been extrapolated to be 34% (see Sec. 4.2) and a (P/T) ratio of the order of 0.6 is expected. Thus, the total array efficiency (see<sup>422</sup> Eq. 30), is expected to be about 62%, the value used to produce this table. 423

$P_{dc}$	<1%	<5%	<10%
$\alpha$ [deg]	m	m	m
8	7	15	22
10	5	12	17
12	4	10	14
14	4	8	12
16	3	7	11
18	3	7	10
20	3	6	9

#### 4.1. The efficiency of Gammasphere

Table 3 presents measurements of the efficiency for Gammasphere. Two calibrated <sup>60</sup>Co sources were used, one isotopically pure and one mixed. The mixed-isotope source was weak and calibrated, containing 60Co, 137Cs and small traces of other radioisotopes. With these data, a good test of the random correction terms in the efficiency formulas is possible. With two sources, two methods and both the CCsum and CCcal spectra, there are eight measurements and the results are compared in Table 3.

Table 3: Measured array efficiencies for Gammasphere, scaled to 100 detectors, using two methods, two spectra and two sources. Traditionally, the (P/T) value derived from the CCsum spectrum is reported as the ratio for the Gammasphere array because it is the relevant ratio for spectra where gates are placed on  $\gamma$ rays. The deadtimes used in the CSM analysis are discussed in Appendix A.1.

	SPM	CSM				
CCsum spectrum, $C_s=0.040(5)$						
$\epsilon_P(\text{mixed})$	8.6(9)%	8.0(3)%				
$\epsilon_P(\text{pure})$	8.8(2)%	7.6(8)%				
$(P/T)^{obs}$	0.471(5)	0.471(5)				
$(P/T)^{true}$	0.514(5)	0.492(5)				
$C_o$	1.10(5)	1.10(5)				
CCcal	CCcal spectrum, $C_s=0$					
$\epsilon_P(\text{mixed})$	7.9(2)%	8.3(3)%				
$\epsilon_P(\text{pure})$	7.9(2)%	7.8(4)%				
$(P/T)^{obs}$	0.460(5)	0.460(5)				
$(P/T)^{true}$	0.537(5)	0.540(5)				
$C_o$	1.10(5)	1.10(5)				

For the CSM method, in calculating the live fraction  $L_F$  (see Eq. 8) for Gammasphere, we take into account various deadtimes of the system as well as other inefficiencies of the DAQ readout system, see Appendix A.1. Using all the methods and sources, with proper corrections, the efficiency of Gammasphere is determined to be 8.2(1)% with a (P/T) ratio of 0.52 using the weighted sum of all the results. The (P/T) ratio and efficiency are somewhat lower than those reported in Ref. [30] because the light collection efficiency in the BGO Compton Suppressors has deteriorated somewhat over time. In 2007, the efficiency of Gammasphere was measured to be 8.9(1) with a (P/T) ratio of 0.54, using slightly less accurate formulas compared to those presented in this work. For the comparison with the GRETINA tracking array, the 2007 optimal Gammasphere performance regarding the (P/T) will be used as the standard.

#### 4.2. The efficiency of GRETINA at ANL

At the time of these measurements, GRETINA consisted of 28 425 crystals. The array efficiency at 1333 keV was measured with 426 two sources, as was the case with Gammasphere (see Sec. 4.1). 427 The clustering angle for tracking was set to  $20^{\circ}$ . The results 428 are presented in Table 4. As discussed in Sec. 3, the tracking 429 efficiency is obtained by comparing the number of counts in the 430 photopeaks of the tracked spectrum with the corrected counts 431

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from Eq. 16. The tracking efficiency is given for tracked spectra without FOM cuts. The subscripts *wsi* and *nsi* refer to spectra that include and exclude single interactions in the tracking, respectively. An experimentally measured value of  $C_f = 1.00645$ was used (see Sec. 4.4).

Table 4: Measured array efficiencies for GRETINA with 28 crystals at the nominal distance of 18.5 cm at ANL. The deadtimes used in the CSM analysis are discussed in Appendix A.2. See text for details.

	SPM cal	CSM cal	SPM sum
$\epsilon_P(\text{mixed})$	6.02(15)%	6.24(18)%	-
$\epsilon_P(\text{pure})$	6.40(6)%	6.0(6)%	6.5(6)%
$(P/T)^{obs}$	0.321(3)	0.321(3)	0.192(2)
$(P/T)^{true}$	0.386(4)	0.382(3)	0.363(11)
$\epsilon_{track,nsi}$	91(1)%	92(2)%	92(1)%
$\epsilon_{track,wsi}$	93(1)%	94(2)%	93(1)%
$C_s$	0	0	0.293(5)
$C_0$	1.02(2)	1.02(2)	1.02(2)

The efficiency was also measured with an external detector  $(as_{475})$ described in section 2.2) to be 6.39(17)%. The errors in Table 4<sub>476</sub> take into account the full error propagation for all the variables<sub>477</sub> in eqs. 13 and 14 above.

The photopeak efficiency for GRETINA is determined to be<sup>479</sup> 6.45(4)%, using a weighted mean of the values in Table 4,<sup>480</sup> combined with the external detector measurement, and the true<sup>481</sup> (P/T) ratio is measured to be 0.38.

From these results, the expected efficiency of the full GRETA 445 spectrometer can be estimated. The full  $4\pi$  array will have 120 446 crystals; the occupancy of GRETINA for the current measure-447 ment was thus 28/120=23.3%. The efficiency per crystal is de-448 termined to be 0.229(2)%. Hence, for a  $4\pi$  array, an efficiency 449 of at least 27.4(2)% would be expected using simple scaling. 450 This is, however, only a lower limit since the more crystals fill 451 the array, the less 'open' surface there is where  $\gamma$  rays can es-452 cape and the scaling should, therefore, not be linear. Using 453 the AGATA-GEANT4 code [32] (with an uncertainty of 10% 454 in the simulations) for this scaling yields a  $4\pi$  array photopeak 455 efficiency of 34(4)%, or about 4 times that of Gammasphere. 456

#### 457 4.3. The efficiency of GRETINA at MSU

At MSU, the GRETINA array was configured slightly more
compact (see Sec. 5) and the analysis of the data from the MSU
setup is presented in Table 5. A weak, calibrated <sup>60</sup>Co source
was used, thus, all spectra were background subtracted.

#### 462 4.4. Angular correlations in tracking arrays

The fact that tracking algorithms cluster together interactions within a given solid angle impacts angular correlation measure-

<sup>465</sup> ments from tracked data. The extent of this impact is illus-<sup>483</sup> <sup>466</sup> trated by extracting angular correlation information for the  $\gamma_{484}$ 

rays from a  $^{60}$ Co source. The procedure is as follows: for each<sub>485</sub>

Table 5: Measured array efficiencies for GRETINA at MSU with 28 crystals at the nominal distance of 18.5 cm from the target position. See text for details.

	SPM cal	SPM sum
$\epsilon_P(\text{pure})$	6.30(14)%	6.58(44)%
$(P/T)^{obs}$	0.366(5)	0.215(3)
$(P/T)^{true}$	0.434(5)	0.428(20)
$\epsilon_{track,nsi}$	89(1)%	89(3)%
$\epsilon_{track,wsi}$	92(1)%	93(3)%
$C_s$	0	0.316(5)
$C_0$	1.02(2)	1.02(2)

event where one 1173– and one 1333–keV  $\gamma$  ray are present, the angle between the first interaction points for the two photons is found and is histogrammed, herewith revealing the set of *correlated* events.

This event is also stored and used when the next coincidence event is encountered to construct angles between *uncorrelated* first interaction points from pairs of  $\gamma$  rays originating from events measured at different times. The ratio of the spectrum of correlated angles to that of uncorrelated ones reveals the angular correlation and is presented in Fig. 3 for GRETINA, while using a clustering angle of 10°. Conveniently, the uncorrelated spectrum also allows us to experimentally determine the  $C_f$  value discussed in Sec. 2.1. The angular correlation function [29],  $\omega(\theta) = 1 + 0.102041P_2(\cos\theta) + 0.00907P_4(\cos\theta)$ , is simply weighted with the normalized uncorrelated spectrum.



Figure 3: Angular correlation extracted from tracked GRETINA (GT) data for a  $^{60}$ Co source using a clustering angle of 10°. For the tracking, a FOM acceptance from zero to 0.8 was used and the theoretical spectrum is shown without any attenuation. See text for details.

The drop at small angles in Fig. 3 comes from the fact that, if two  $\gamma$  rays are within the pre-determined clustering angle, they will (using current tracking codes) mostly be added up rather

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than be recognized as individual photons (see the tracking deficiency discussion in Sec. 2.2). As can be seen in Fig. 3, the *effective* clustering angle is slightly larger than the 10° specified for the tracking because two nearby  $\gamma$  rays may have *some* interaction points that are within the clustering angle.

<sup>491</sup> The tracking arrays offer an angular resolution of  $1^{\circ} - 2^{\circ}$ . If <sup>492</sup> needed, the  $\gamma - \gamma$  angular correlation can be extended towards <sup>493</sup> lower angles using a "mix before track" method developed <sup>494</sup> within the AGATA collaboration [33].

### 495 4.5. Comparing <sup>60</sup>Co source spectra



Figure 4: The FOM spectrum for GRETINA for a  ${}^{60}$ Co source. The single– ${}^{519}$  interaction point  $\gamma$  rays that occurred too deep in the crystals are marked with  ${}_{520}$  a FOM $\equiv$ 1.85 rather than zero. Overflows are marked with at FOM of 2.0. See text for details.

Figure 4 provides the FOM distributions (see Sec. 3.1) for<sup>522</sup> 496 tracked  $\gamma$  rays obtained from the GRETINA spectrometer with<sup>523</sup> 497 a <sup>60</sup>Co source. In GRETINA, ~7% of the  $\gamma$  rays are assigned<sup>524</sup> 498 FOM=0 by the tracking algorithm and  $\sim 8\%$  are single inter-<sup>525</sup> 499 actions happening too deep into the Ge crystal for this to be526 500 probable (see Appendix B). Thus, the latter events are marked<sup>527</sup> 501 with a FOM=1.85, so that they can be rejected in the ensuing<sup>528</sup> 502 529 sorting. 503

Figure 5 compares <sup>60</sup>Co source spectra from GRETINA at 504 ANL, with and without including single interaction  $\gamma$  rays, and <sub>530</sub> 505 a spectrum from Gammasphere. A FOM cut of 0-0.64 for 506 GRETINA was applied. This particular FOM cut was selected 507 so that 70% of the  $\gamma$  rays are accepted in GRETINA (see Fig. 4).<sup>531</sup> 508 The spectra are normalized such that the same number of counts<sup>532</sup> 509 are present in the photopeaks and no background subtraction<sup>533</sup> 510 534 has been applied. 511 535



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Figure 5: Comparison of spectra from  $^{60}$ Co from GRETINA (GT), with and without single interactions at ANL, and Gammasphere (GS). In all cases, the spectra have been normalized to have the same number of counts in the photopeaks.

bound of 200 keV because Gammasphere was equipped with Ta/Cu absorbers which affect spectra below this energy. At the time the data was recorded, GRETINA had Ta absorbers in front of the seven modules. Hence, a lower bound of 200 keV was applied to all the tracked spectra and provides for a fair comparison of the measured (P/T) ratios.

# 4.6. Comparing the (P/T) ratios versus the efficiency curves for GRETINA

The (P/T) ratio vs. photopeak efficiency curves for GRETINA can be found in Figs. 6 and 8, for clustering angles of 20° and 10°, respectively. The clustering angle is typically chosen between these limits, depending on the  $\gamma$ -ray multiplicity (see discussion in Sec. 3.2). The two curves in the figures demonstrate the effect of *including* (wsi) and *excluding* (nsi) photons with a single–interaction points. The curves are provided for FOM cuts of 0–0.2, 0.4...2.0 (from left to right) where a FOM cut of 0–2.0 is equivalent to no cut at all.

## 5. Discussion

As can be seen in Figs. 6–8, in GRETINA there is not much difference between the nsi and wsi curves in terms of efficiency (see also Table 4). Indeed, when extracting the probability for a photopeak event as a function of the number of interaction points, after tracking, it is clear that there are many single–interaction points that do not contribute to the photopeaks for



Figure 6: The (P/T) ratio vs. photopeak efficiency curves for GRETINA (ANL setup), with 7 closed-packed modules, when a clustering angle of 20° is used. The lower curve includes single interactions (wsi) and the upper curve is obtained without these interactions (nsi).

a <sup>60</sup>Co source: this is shown in Table 6. Only 2% of the pho-537 topeaks contain single interactions. Data from GEANT4 simu-553 538 lations suggest that ~10% of the photopeaks ought to be from<sup>554</sup> 539 single-interaction events. The data from the GEANT4 simula-540 tions were smeared to have the same position and energy  $un_{556}$ 541 certainty as data from the tracking array [7, 34] and a packing<sub>557</sub> 542 parameter of 6 mm was used (*i.e.*, GEANT4 interactions within 543 6 mm were combined into one interaction). It was not possible 559 to find realistic packing parameters that could fully reproduce 545 the data in Table 6. 546 561

Table 6: Distribution of the number of interaction points in the tracked *photo-563 peak*  $\gamma$  rays for a <sup>60</sup>Co source in GRETINA and those obtained from a GEANT4<sub>564</sub> simulation with the parameters outlined in the text.

number of	GRETINA	GEANT4
interaction points	photopeak	photopeak
1	2%	10%
2	21%	27%
3	35%	31%
4	24%	21%
5	13%	10%
6	4%	3%
7	1%	1%

In the GRETINA decomposition, the fits of the segment traces [14] allow for more than one interaction per segment.<sup>576</sup> One might suspect that the fitting function sometimes places.<sup>577</sup> two interaction points in a segment where there should have.<sup>578</sup> been only one – because it results in a better  $\chi^2$  in the fitting.<sup>579</sup> procedure. Hence, it is possible that, in general, the GRETINA.<sup>580</sup>



Figure 7: The (P/T) ratio vs. photopeak efficiency curves for GRETINA (MSU setup), with 7 closed-packed modules, when a clustering angle of 20° is used. The lower curve includes single interactions (wsi) and the upper curve is obtained without these interactions (nsi).

decomposition overestimates the number of interaction points associated with a photon.

Both the array efficiency and, especially, the tracking efficiency depend on the degree to which a tracking array is compact, *i.e.*, how closely the crystals are packed in the array. A measure of the compactness of a tracking array may be obtained as follows: for each crystal, one can count how many of the sides of the crystal have a near (contact) neighbor, add up the numbers for the individual crystals and divide by the number of crystals times six (*i.e.*, the total number of sides). With 28 crystals during the campaign at ANL, a compactness value of 63% is obtained (see section 4.2). In an earlier setup at MSU, a compactness of 70% was achieved (see section 4.3). The detailed effect of compactness on the tracking performance is under investigation [35].

Figure 9 presents the absolute efficiency for Gammasphere and GRETINA as a function of  $\gamma$ -ray energy. The GRETINA data were tracked with a clustering angle of 20° and a FOM cut of 0–0.8. It was possible to determine the efficiency only up to ~3 MeV because of the energy range selected for the central contact during the measurements. The Gammasphere curve is given for the standard 100 detectors, as well as when scaled to the same occupancy as GRETINA; *i.e.*, 28/120.

Finally, using a <sup>60</sup>Co source, we suggest that it is possible to numerically compare Gammasphere and GRETINA by evaluating a figure of merit defined as  $[\epsilon_p \times (P/T)]$ , and using the optimum place on the (P/T) ratio vs photopeak efficiency curves presented in Figs. 6–8. Using spectra from the GRETINA tracking

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Figure 8: Same as Fig. 6; but now using a clustering angle of 10°.

array with a clustering angle of 20°, and excluding (or includ ing) the single–interaction points, the results are given in the
 second (third) column of Table 7.

If one takes  $[\epsilon_p \times (P/T)]$  as the measure, with 100 modules in 584 Gammasphere and 28 crystals in GRETINA, Gammasphere is 585 about twice as sensitive as GRETINA. However, when scaled 586 to an occupancy of 23.3% (i.e., that of GRETINA), GRETINA is approximately twice as sensitive as Gammasphere (see Ta-588 ble 8). If a figure of merit of  $[\epsilon \times (P/T)]^2$  was used (Ta-589 ble 7), which would be more relevant for gated coincidence<sub>601</sub> 590 spectra [23], GRETINA would be about four times as sensitive<sub>602</sub> 591 as Gammasphere. The  $[\epsilon_p \times (P/T)]$  figure of merit used here<sub>603</sub> 592 is, of course, only one of many possible measures. In many in-604 593 beam experiments, the superior angular resolution and, thus,605 594 Doppler correction offered by the tracking arrays will be  $of_{606}$ 595 much more importance [36]. 596

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Table 7: Numerical comparison of Gammasphere and GRETINA using the fig- $_{609}$  ure of merit measure of  $[\epsilon_p \times (P/T)]$ , including single–interaction  $\gamma$  rays (wsi) and excluding them (nsi). See text for details.

	$[\epsilon_p \times (P/T)]$	
device	(nsi)	(wsi)
Gammasphere	0.0427	0.0427
GRETINA	0.0236	0.0223

#### 597 6. Conclusions and outlook

<sup>598</sup> We have found that, generally speaking, tracking  $\gamma$  detector ar-<sup>620</sup> <sup>599</sup> rays are more challenging to characterize than the Compton-<sup>621</sup> <sup>600</sup> suppressed  $\gamma$ -ray spectrometers of the previous generation.<sup>622</sup>



Figure 9: The absolute efficiency of Gammasphere and GRETINA (with 28 crystals) as a function of energy.

Table 8: Comparison of Gammasphere and GRETINA using the figure of merit measures of  $[\epsilon_p \times (P/T)]$  and  $[\epsilon_p \times (P/T)]^2$  and where Gammasphere data have been scaled to have the same occupancy as GRETINA. The (nsi) results exclude single interactions and the (wsi) results include them. See text for details.

	$[\epsilon_p \times (P/T)]$		$[\epsilon_p \times (P/T)]^2$			
device	s(nsi)	s(wsi)	s(nsi)	s(wsi)		
Gammasphere	0.0110	0.0110	1.21 10 <sup>-4</sup>	1.21 10 <sup>-4</sup>		
GRETINA	0.0236	0.0223	5.57 10 <sup>-4</sup>	4.97 10 <sup>-4</sup>		
Gammasphere GRETINA	0.0110 0.0236	0.0110 0.0223	$ \begin{array}{r} 1.21 \ 10^{-4} \\ 5.57 \ 10^{-4} \end{array} $	1.21 10 <sup>-4</sup> 4.97 10 <sup>-4</sup>		

However, based on current extrapolations to a full  $4\pi$  array, they will provide superior performance mainly due to the large HPGe coverage while maintaining a good (P/T) ratio. Possible improvements in electronics, signal–decomposition and tracking algorithms could translate into a better (P/T) ratio and further enhance their potential.

In this work, an attempt was made to provide a formalism to determine the array photopeak efficiencies, tracking efficiencies and true peak–to–total ratios. Some guidelines regarding clustering angles to be used in the  $\gamma$ –ray tracking algorithm have also been proposed.

Throughout this work, a <sup>60</sup>Co source was used to characterize the arrays. Many optimizations of the tracking parameters will remove low–energy  $\gamma$  rays in the <sup>60</sup>Co spectra and, thus, *appear* to improve the peak–to–total ratio. However, further analysis often reveals that the photopeaks associated with low energies are much reduced as well. We suggest that a <sup>166</sup>Ho source is a better choice to use for the characterization of tracked spectra. This source has transitions that are in coincidence with each other and this will allow to improve the tracking algorithms and optimize their parameters. In addition, it has low-energy lines that a <sup>60</sup>Co source lacks and it has a strong branch with four  $\gamma$  rays in coincidence with respective energies of: 711.7, 810.3,670

<sup>624</sup> 184.4 and 80.6 keV. Other  $\gamma$  rays in coincidence can be used

as well. Work is in progress on improving the tracking of data<sup>671</sup>

from the GRETINA spectrometer using this source [37].

We have developed software that can translate AGATA data into<sub>674</sub> data in the GRETINA data format (*i.e.*, data containing the in- $_{675}$ teraction point coordinates, energies and timestamps of the  $\gamma$ - $_{676}$ ray interactions in the crystals). This would allow for a direct<sub>677</sub> comparison of the performance of the two tracking arrays. Un- $_{678}$ fortunately, results of an analysis of AGATA data will be pub- $_{679}$ lished elsewhere [38].

#### 634 7. Acknowledgments

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#### 647 Appendix A. Deadtime and random rates

If calibrated sources are used to determine the efficiencies of
 the spectrometers, the deadtimes of the DAQ systems need to
 be determined. This, of course, also holds if the array is used to
 determine absolute cross sections.

#### 652 Appendix A.1. Deadtimes in Gammasphere

In the analog Gammasphere data acquisition system (DAQ),702 653 there are two deadtimes. The first is in the the pre-trigger cir-703 654 cuitry and is about 1-2  $\mu$ s. The second deadtime is in the read-<sup>704</sup> 655 out system and is about 19-21  $\mu$ s depending on the setup. The<sup>705</sup> 656 total DAQ live fraction is taken to be the product of the resulting<sup>706</sup> 657 live fractions. The fact that the analog DAQ stops, for the order<sup>707</sup> 658 of a minute, every time the analog Gammasphere event builder<sup>708</sup> 659 is reset must also be taken into account, herewith resulting in an709 660 additional deadtime. This deadtime can be found by inspection710 661 711 of the rate spectra. 662 712

The formulas of Ref. [24] were used to calculate the live fractions. The rates in Gammasphere for the the mixed and pure sources were 1.47– and 11.0 kHz, respectively and the live frac-<sub>713</sub> tions were found to be 0.967 and 0.711, see Table 3. The  $C_R$ values for the mixed source are determined to be 26(6)10<sup>-6</sup> and<sup>714</sup> 31(7)10<sup>-8</sup> for the CCcal and CCsum spectra, respectively. For<sub>716</sub> the pure source a value of zero was used.

#### Appendix A.2. Deadtimes in tracking arrays

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Both GRETINA and digital Gammasphere (DGS) have DAQ systems that, as opposed to the analog Gammasphere DAQ system, only have channel deadtimes. Thus, unlike analog Gammasphere, the DAQs for GRETINA and DGS are never totally blocked at any given time, but the overall efficiency is, however, reduced by the unavailability of the channels that are busy (*i.e.*, dead). Using the CSM with the CCcal spectrum, it is mathematically possible to take this into account in Eq. 8, through the  $L_F$  factor – even though, in this case,  $L_F$  reflects a reduction in efficiency rather than a traditional live fraction of the DAQ. For the CCcal spectrum, the channel live fraction is also the overall array live fraction. However, for the CCsum as well as tracked spectra, the overall array live fraction will be different and will depend on, among other things, the  $\gamma$ -ray multiplicity.

The rate in GRETINA was 3.49 kHz when the weak mixed source was placed at the target position. The channel deadtime was measured to be 22  $\mu$ s. To be able to handle the rate in GRETINA caused by the 'pure' source, the DAQ was pulsed on and off with an on fraction of 8.92(8)%. The average rate was observed to be 445 Hz, so the actual rate, while the GRETINA DAQ was on, was therefore 5.00 kHz. It follows that the per–crystal counting rates for the two sources were 125 Hz and 179 Hz, resulting in effective live fractions of 0.997 and 0.996, respectively, for the mixed and 'pure' source. Thus, for both sources, the effect of deadtime is negligible. The random rates for the mixed source resulted in  $C_R = 25(5)10^{-6}$  and  $89(17)10^{-8}$  for the CCcal and CCsum spectra, respectively. For the pure source the  $C_R$  value was set to zero.

#### Appendix B. Range of $\gamma$ rays in Ge

Photons penetrating a Ge crystal are absorbed with a probability of

$$p(z) = 1 - e^{-(\mu/\rho)\rho z}$$
 (B.1)

where z is the depth in the crystal from the front face,  $\rho$  the density of Ge and  $(\mu/\rho)$  the mass attenuation coefficient for Ge which depends on the energy of the photon and are tabulated in Ref. [39]. One can, for a given energy of a  $\gamma$  ray, determine the depth in the crystal,  $z_{85\%}$ , where the  $\gamma$  ray has been fully absorbed with a 85% probability. Fig. B.10 shows the  $z_{85\%}$  range values for energies relevant for  $\gamma$ -ray spectroscopy. These range values are used in the tracking procedure to mark (with a FOM of 1.85) single-interaction  $\gamma$  rays that have less than a 15% probability for having interacted at the z range determined by the decomposition and tracking algorithms.

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Figure B.10: The depth in a Ge crystal at which a  $\gamma$  ray has been absorbed with a 85% probability. See text for details.

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