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Title

Characterization of transgenic cotton (*Gossypium hirsutum* L.) over-expressing *Arabidopsis thaliana* Related to ABA-insensitive3(*ABI3*)/*Viviparous1* (*AtRAV1*) and *AtABI5* transcription factors: improved water use efficiency through altered guard cell physio...

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1 Running head: AtRAV1 and AtABI5 affect stomata in cotton

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3 Title of article: Characterization of transgenic cotton (*Gossypium hirsutum* L.) over-expressing
4 *Arabidopsis thaliana* Related to ABA-Insensitive3(ABI3)/Vivparous1 (AtRAV1) and AtABI5
5 transcription factors: improved water use efficiency through altered guard cell physiology

6

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18

19 **Potential Summary Text, for the Table of Contents:** Cotton is a major cash crop with farm
20 gate value estimated at > \$40b globally/yr and utilizing ~2.5% of the world's arable land. We
21 characterize mechanisms of improved water use efficiencies via activities of two transcription
22 factor transgene products. These transgene products affect stress hormone responses and impact
23 guard cell development, and they also affect stomatal density and function. Our [results- analyses](#)
24 [of multiple tissues frame a systems approach to understand the complexity associated with](#)
25 [transcription factor effectors and](#) provide [insightsolutions](#) to improved engineering of drought
26 stress adaptation of crop plants.

27

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3
4 **28 Abstract**

5
6 29 We investigated select transgenic lines of *Gossypium hirsutum* that over-express
7
8 30 AtRAV1 or AtABI5 transcription factors. The hypothesis is that these lines ~~previously~~
9
10 31 ~~characterized for their molecular and physiological phenotypes~~, have enhanced responses to
11
12 32 abscisic acid (ABA); resulting in greater water use efficiencies (WUE) ~~under drought stress~~. We
13
14 33 measured leaf surface temperatures (LST), stomatal density, absolute and relative sizes of guard
15
16 34 cell apertures, and ABA concentrations in cotyledons. We ~~also~~ characterized transgene protein
17
18 35 expression and activities in transient assays in *Nicotiana benthamiana*, in transgenic cotton seeds
19
20 36 by immunoblot and by ~~activities of transgenes in leaves assessed by~~ endogenous expression in
21
22 37 leaves of an positive effector of ABA responses (*GhDREB*); ~~and stomatal conductance and~~
23
24 38 photosynthesis rates in greenhouse grown plants. AtRAV1¹⁻¹⁻⁵ and AtABI5¹⁻¹⁻¹ over-expression
25
26 39 lines had trends of lower levels of ABA in well-watered and drought-stressed cotyledons, and all
27
28 40 events tested had ~~significantly~~ higher leaf stomatal conductance and photosynthesis rates under
29
30 41 drought in greenhouse, and lower LSTs than control Coker 312 under drought stress conditions.
31
32 42 Notably, AtRAV1¹⁻¹⁻⁵ cotyledons had significantly higher stomatal densities and 26% smaller
33
34 43 guard cell apertures than Coker312 under drought stress, providing a plausible explanation why
35
36 44 LSTs across lines were lower concordant with smaller stomatal apertures. ~~Results suggest~~
37
38 45 ~~smaller guard cell pores and greater stomatal densities~~ These traits may contribute to intrinsic
39
40 46 WUE and assimilate traits of larger leaf areas and longer boll fibers previously shown in these
41
42 47 and several independent AtRAV1 and AtABI5 events in the greenhouse and field. These results
43
44 48 are consistent with the hypothesis that over-expression of AtRAV1 results in an ABA-
45
46 49 hypersensitive phenotype manifest as reduced expression of endogenous *GhDREB* effector, and
47
48 50 lower levels of endogenous ABA in cotyledons associated with greater reductions in pore
49
50 51 apertures during stress and increased stomatal density.

52
53 53 Keywords: abscisic acid; photosynthesis; transpiration; dicotyledon; stomatal conductance;
54 54 drought tolerance

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56 Introduction

57 There is a growing need for more food and fiber - this demand clearly justifies why crop
58 engineering is important. It is also the case that climate change will increase the frequency and
59 severity of drought events, so crop engineering of drought tolerant crops is vital. Limited water
60 availability is one of the major abiotic factors impacting crop production worldwide. Significant
61 efforts have been taken to enhance drought tolerance (high water use efficiency [WUE], osmotic
62 adjustment) and avoidance (early flowering, increased root biomass) traits (Heschel and Riginos
63 2005; McCann and Huang 2008) in crop plants through two main approaches: 1) traditional plant
64 breeding at the scale of whole plants (so called ‘top-down’ approaches), and 2) advanced
65 bioengineering at the scale of individual genes (so called ‘bottom-up’ approaches) (Sinclair
66 2011). While advances have been made in the development of drought-tolerant cultivars,
67 knowledge about the metabolic and physiological traits that functionally contribute to the
68 drought-tolerant phenotypes remains limited. Understanding how genotypes produce a given
69 phenotype will contribute towards closing the gap between the top-down and bottom-up
70 approaches (Mifflin 2000), and may facilitate development of efficient protocols for screening
71 candidate drought-tolerant cultivars (Fiorani and Schurr 2013).

72 Genomic and genetic analyses primarily of the model plant *Arabidopsis thaliana* (L.
73 Heynh.) have elucidated the biosynthetic and signal transduction pathways of abscisic acid
74 (ABA) and its roles in stress response (reviewed in Rock *et al.* 2010; Cutler *et al.* 2011). Altered
75 expression of several different ABA effectors (e.g. ENHANCED RESPONSE TO ABA1
76 [ERA1/Farnesyl Transferase beta subunit], AtNuclear Factor Y-B1, AtNFY-A5, ABA3/LOW
77 OSMOTIC STRESS RESPONSE5/[LOS5]) have been shown to enhance drought tolerance in
78 canola (*Brassica napus* L.), corn (*Zea mays* L.), and rice (*Oryza sativa* L.) (Wang *et al.* 2005;
79 Nelson *et al.* 2007; Xiao *et al.* 2008; Li *et al.* 2008). Similarly, transgenic cotton (*Gossypium*
80 *hirsutum* L.) lines have been generated that over-express the basic leucine zipper domain
81 transcription factor AtABA-INSENSITIVE5 (Brocard *et al.* 2002) and Basic3/APETALA2-
82 domain transcription factors of the Related to ABI3/Viviparous1 (RAV) clade AtRAV1,
83 AtRAV2/TEMPRANILLO2, and AtRAV2L/TEMPRANILLO1, the latter of which has been
84 shown in *Arabidopsis* to regulate flowering time and stomatal aperture by repression of
85 FLOWERING LOCUS T (FT)(Ando *et al.* 2013; Castillejo and Pelaz, 2008; Kinoshita *et al.*
86 2011; Lu *et al.* 2014; Sgamma *et al.* 2014) and stress and defense pathways in different species

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4 87 (Endres *et al.* 2010; Li *et al.* 2011). The resultant cotton transgenics expressing AtRAV1,
5
6 88 AtRAV2, and AtABI5 show genetic, morphological and agronomic responses, including altered
7
8 89 environment-regulated and fiber-associated gene expression, delayed flowering time, increased
9
10 90 WUE, and greater root biomass and fiber length under drought irrigation stress in the greenhouse
11
12 91 and field (Mittal *et al.* 2014, 2015). These results and others (Liang *et al.*, 2016; Sohn *et al.*
13
14 92 2006; Tang *et al.* 2012; Xin and He 2013) suggest that over-expression of RAV and bZIP genes
15
16 93 in cotton produces drought-avoidance and drought/salt tolerance phenotypes, but the molecular
17
18 94 mechanisms underlying agronomic stress-response traits remain unclear.

19 95 In the present study, we characterized a subset of the AtRAV1 and AtABI5 over-
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21 96 expressing transgenic lines reported in Mittal *et al.* (2014, 2015). Two lines RAV1¹⁻¹⁻⁵ and
22
23 97 RAV1¹³⁻⁷⁻¹ were chosen based on prior characterization of their intermediate levels for
24
25 98 physiological characters of *AtRAV1* overexpression in leaves, effects on larger leaf areas,
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27 99 increased intrinsic WUE under well-watered and drought conditions, and increased fiber lengths
28
29 100 in the field (Mittal *et al.* 2014, 2015). Our goal was to further characterize representative cases
30
31 101 for the role of AtABI5 and AtRAV1 overexpression on a known drought tolerance mechanism:
32
33 102 ABA-sensitivity of guard cells. ABA is an important regulator of plant responses to drought-
34
35 103 stress and is commonly observed at increased levels in drought-stressed plants (Rock *et al.* 2010;
36
37 104 Zeevaart 1980). One such response governed by ABA is the turgidity of guard cells (Kim *et al.*
38
39 105 2010), which determines the size of guard cell pores (i.e., stomatal aperture). Guard cell pores
40
41 106 are the gateway for both CO₂ intake and water loss (transpiration) in plants. In conjunction with
42
43 107 ABA measurements, we measured the areas (μm²) of cotyledonary leaf guard cells and their
44
45 108 pores, leaf surface temperatures (LST), and photosynthetic parameters and stomatal conductance
46
47 109 in five-week-old greenhouse-grown leaves. The density and relative size of guard cell pores
48
49 110 (pore area/guard cell area) were calculated as additional metrics to evaluate the potential effects
50
51 111 of the transgenes on stomatal development and response. We found that, consistent with the
52
53 112 "less stressed" and drought avoidance phenotypes of the transgenic lines previously characterized,
54
55 113 the AtRAV1¹⁻¹⁻⁵ and AtABI5¹⁻¹⁻¹ overexpression lines had greater stomatal densities at the
56
57 114 cotyledonary stage and under drought stress showed relatively lower cotyledonary leaf
58
59 115 temperature rise, lower ABA levels during drought that increased during recovery, and smaller
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61 116 stomatal apertures, allowing inference of a hypersensitivity to ABA and probable homeostatic
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63 117 feedback adaptation of stomata in AtRAV1 over-expressing lines, supported by concordant
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4 118 changes in endogenous ABA effector gene expression [in transient assays and transgenic leaves](#)
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6 119 *GhDREB* as markers of ABA sensitivity.
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8 120

9 121 **Materials and Methods**

10 122 *Germplasm*

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12
13 123 This study originated as an investigation of the effects of drought stress and genotype on
14
15 124 the feeding and fitness of Western Flower Thrips, *Frankliniella occidentalis* (Pergande) (Fiene
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17 125 2012), an intracellular feeding herbivore that infects and damages cotton at predominantly the
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19 126 seedling stage (Fiene *et al.* 2013). Thus cotyledons were studied initially for drought stress by
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21 127 genotype interactions of select lines that showed promising preliminary results. The three
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23 128 transgenic cotton (*Gossypium hirsutum* L.) lines selected were previously generated by
24
25 129 transformation of cotton cultivar ‘Coker 312’ (wild-type; USDA Germplasm Resources
26
27 130 Information Network #PI529278; Plant Variety Protection #7200100), which undergoes facile
28
29 131 regeneration from somatic embryos. The specific transgenes with associated event numbers in
30
31 132 superscript were the following: RAV1¹⁻¹⁻⁵, RAV1¹³⁻⁷⁻², ABI5¹⁻¹⁻¹, and ABI5¹³⁻⁴⁻¹ (Mittal *et al.*
32
33 133 2014, 2015). Effector transgene T-DNA constructs have an N-terminal c-myc epitope tag for
34
35 134 facile immunodetection (Guo and Ecker, 2003). All cotton plants ($n = 21-24$ per cotton line)
36
37 135 were seeded individually in 400 mL pots with Metro-mix 900 soil and simultaneously cultivated
38
39 136 in a room (2.25m x 2.75m x 2.25m) at 34.7° C under continuous light ($13.1 \pm 5.2 \mu\text{mol m}^{-2} \text{s}^{-1}$) for
40
41 137 13 days. Plants emerged from the soil four days after planting (DAP). Plants with fully
42
43 138 expanded cotyledons were treated five DAP (except for photosynthesis measurements, see
44
45 139 below) by withholding water, resulting in a progressive drought stress (DS) for seven days
46
47 140 followed by one day of drought recovery after re-watering with 125 mL of water on 12-DAP
48
49 141 which marked the start of the drought-recovery period. One day later (13 DAP), cotyledons
50
51 142 were harvested from plants.
52

52 144 *Leaf surface temperatures*

53
54 145 We measured LST from days four to seven of drought stress using a Fluke 62 IR
55
56 146 thermometer (<http://en-us.fluke.com>) to validate plant responses consistent with drought stress;
57
58 147 namely a reduction in transpiration rate via stomatal closure resulting in elevated LST. Three
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60 148 subsamples of LST were collected from each cotyledonary leaf in rapid succession from the
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4 149 adaxial surface ~two cm from the petiole. The six subsamples per plant (two cotyledons x three
5
6 150 subsamples) were averaged to provide a single data-point per plant ($n = 7-12$). The distance
7
8 151 (roughly 2.5 cm) and angle (90 degrees) between the cotyledonary leaf and IR thermometer was
9
10 152 constant, and each plant was assayed in the same part of the room in order to minimize variation
11
12 153 (Okono 2011). As the drought treatment progressed some of the cotyledonary leaves drooped
13
14 154 (became less turgid), and so a pen was used to lift the leaf to orient it horizontally; after 30s of
15 155 acclimation LSTs were measured. Pre-drought (9 DAP) LST did not meet assumptions of
16
17 156 normality and a generalized linear model (Gaussian error structure) was used with an F test.
18
19 157 LSTs collected during the drought-stress period (10-12 DAP) and recovery (13 DAP) met
20
21 158 assumptions of normality, and a repeated measure ANOVA was used with an error term
22
23 159 indicating DAP nested within plant in the former, and an ANOVA was used in the latter (R
24 160 Development Core Team 2009).

26 161 27 28 162 *Immunoblotting*

29
30 163 Total protein from seeds of two ABI5 lines (1-1-1 and 13-4-1) and RAV1¹⁻¹⁻⁵ line, plus
31
32 164 wild type Coker 312 cotton was extracted with protein lysis buffer (2-mercaptoethanol, EDTA,
33
34 165 Triton-X, Sodium Phosphate Buffer, PMSF) and quantified using Bradford Coomassie assay
35 166 (Pierce; www.piercenet.com). Briefly, 10 µg of total protein was loaded onto a mini vertical
36
37 167 SDS-PAGE gel (Thermo Fisher Scientific; www.thermofisher.com) (Ausubel *et al.* 1995) and
38
39 168 blotted on to Immobilon-P PVDF transfer membrane (Millipore; www.millipore.com).
40
41 169 Monoclonal mouse anti c-myc primary antibody (Pierce, 1:2000 dilution) was used to detect the
42
43 170 protein expressed by the transgene. Horse radish peroxidase-labeled anti mouse secondary
44
45 171 antibody (1:20,000 dilution) was used to bind primary antibody. To validate lane loadings, blots
46
47 172 were re-probed with mouse monoclonal anti-Ubiquitin primary antibody (PD41, Abcam.
48 173 <http://www.abcam.com>). ECL Advance chemiluminescence detection method (Amersham
49
50 174 Biosciences; www.gehealthcare.com) was used to detect protein on X-ray film and on a Biorad
51
52 175 (Hercules, CA; www.bio-rad.com) Chemidoc MP system controlled by Image Lab™ software.

53
54 176 As a positive control for immunoblotting experiments [and to assay transgene activities on](#)
55 177 [endogenous ABA responses](#), transient expression of [AtRAV1](#) [and AtABI5](#) in *Nicotiana*
56
57 178 *benthamiana* leaves was performed by infiltration of a solution of *Agrobacterium tumefaciens*
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59 179 strain GV2260 (Llave *et al.* 2000) harboring the same T-DNA vectors (Guo and Ecker, 2003;
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4 180 Mittal *et al.* 2014) used to generate the stably transformed cotton lines RAV1⁺⁺⁵. Plants were
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6 181 grown at 21°C with a 16 hr/8 hr, light/dark cycle for long day conditions for four weeks. 24
7
8 182 hours post-inoculation (one hour post-inoculation for ABA response), total proteins or RNAs
9
10 183 were extracted from dissected leaf tissue and analyzed by immunoblotting. Quantification of
11
12 184 immunoblot band intensities was with Quantity One® software (BioRad), taking the average of
13
14 185 five band slices of equal dimensions.

17 187 *RNA blot analysis*

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19 188 RNA blot analysis was on six or 10 µg of total RNA extracted respectively from either *N.*
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21 189 *benthamiana* transiently transformed leaf disks, or six pooled leaves from individual greenhouse-
22
23 190 grown transgenic lines, treated as well-watered, non-stressed condition ("WW"; 24 DAP), -
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25 191 drought treatment ("Drt"; 11 Days of no watering; 35 DAP), and -recovery (overnight recovery
26
27 192 from drought stress after rewatering, "Rec"; 36 DAP). Total RNA was resolved on 1.2%
28
29 193 denaturing agarose gel containing formaldehyde and blotted to a Hybond-N+ membrane (GE
30
31 194 healthcare, Piscataway, NJ) according to the manufacturer's instructions. An RNA molecular
32
33 195 weight marker lane was included to verify mRNA transcript size (Ambion Millenium Marker,
34
35 196 cat# AM7151). Oligonucleotide primers were designed using primer 3 design
36
37 197 (<http://frodo.wi.mit.edu/>) and/or 'Perlprimer' (<http://perlprimer.sourceforge.net/>) and synthesized
38
39 199 commercially (Sigma, St. Louis MO). Primer sequences CAGCAAGCGGAGAGAAGCGAAA
40
41 200 and TCGATGATTCCGATGATGAAGCA were used to PCR amplify a 362 bp cDNA amplicon
42
43 201 corresponding to APETALA2/ethylene-responsive transcription factor *Dehydration Response*
44
45 202 *Element-Binding protein-RAP2-1-like (GhDREB)* 866 nt mRNA (GenBankAF509502) using
46
47 203 oligo dT-primed reverse-transcribed cotton leaf total RNA as template. Primers for *N.*
48
49 204 *benthamiana* probes *LEA-5* (solgenomics.net annotation Niben101Scf19584g00018.1; GenBank
50
51 205 Q39644) were forward TTGTTAGCAGGCGTGGGTAT, and reverse
52
53 206 CTCTCGCTCTTGTTGGGTTC as described (Huo *et al.*, 2016). Primers for *ERD10C*
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55 207 (Niben101Scf05385g04007.1, GenBank ADQ73987.1) were forward
56
57 208 GATGAGGAGGAAGAAATAGG and reverse CTCAGTCTTTGAGTGGTAT. Probes were
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59 210 synthesized using Random Primer DNA Labeling Kit Ver.2 (TAKARA, Shiga, Japan) with [α -
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65 ³²P]-dCTP (PerkinElmer, Waltham, MA). Hybridization was carried out with the PerfectHyb
Plus hybridization buffer (SIGMA ALDRICH, Saint Louis, MO) according to the

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4 211 manufacturer's instructions. A storage phosphor screen (GE Healthcare, Piscataway, NJ) was
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6 212 used for autoradiography and it was scanned using a Storm 860 PhosphorImager (GE
7
8 213 Healthcare). For quantification of band intensities, ethidium-bromide stained ribosomal RNA
9
10 214 was quantified as loading control using [Quantity One®](#) or ImageJ software
11
12 215 (<https://imagej.nih.gov/ij/>) and RNA blot band intensity was quantified using ImageQuant TL
13
14 216 software (v2003, GE Healthcare) and normalized relative to loadings to derive fold change by
15 217 treatments.

18 19 219 *LC-MS/MS analysis of plant hormones*

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21 220 Phytohormones and guard cell morphology were analyzed at peak drought (12 DAP) and
22
23 221 drought recovery (13 DAP), and on each day three plants were harvested per treatment with one
24
25 222 cotyledon analyzed for phytohormones and the other for guard cell morphology (see below).
26
27 223 Fresh cotyledon tissue (80-160 mg) was collected from individual cotyledons and flash frozen in
28
29 224 liquid N₂. The quantification of plant hormones was performed by the Proteomics and Mass
30
31 225 Spectrometry Facility at the Donald Danforth Plant Science Center (St. Louis, MO, USA). The
32
33 226 method is similar to Chen *et al.* (2009), but modified to include additional plant hormone species
34
35 227 (Weber *et al.* 1997). Briefly, samples were ground in liquid N₂ and deuterated internal standards
36
37 228 (10 mL of 2.5 mM) were added (Supplementary Table S1). Samples were extracted with 1.5 mL
38
39 229 acetonitrile/methanol (1:1 v:v). After lyophilization, samples were resolubilized in 200 mL of
40
41 230 50% MeOH. For liquid-chromatography separation, a monolithic C₁₈ column (Onyx, 4.6 mm x
42
43 231 100 mm, Phenomenex, CA, USA) with a guard cartridge was used at a flow rate of 1 mL min⁻¹.
44
45 232 The gradient was from 40% solvent A (0.1% (v/v) acetic acid in MilliQ water), held for 2 min, to
46
47 233 100% solvent B (90% acetonitrile (v/v) with 0.1% acetic acid (v/v) in 5 min. The LC was held at
48
49 234 100% B for 3 min and then ramped back to initial conditions and re-equilibrated for an additional
50
51 235 2 min. To minimize variation from the autosampler, the sample loop was overfilled with 52 µL
52
53 236 of sample and the sample storage temperature was set to 8°C. The LC-MS/MS system used was
54
55 237 a Shimadzu LC system with LEAP CTC PAL autosampler coupled to an Applied Biosystems
56
57 238 4000 QTRAP mass spectrometer equipped with a TurboIon Spray electrospray ion source.
58
59 239 Source parameters were set to: CUR: 25, GAS1: 50, GS2: 50 (arbitrary unit), CAD: high, IHE:
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61 240 on, TEM: 550°C, IS: -4500. Both quadruples (Q1 and Q3) were set to unit resolution. Analyst
62
63 241 software (version 1.4.2) was used to control sample acquisition and data analysis. To maximize
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4 242 sensitivity, ABA, salicylic acid, 12-oxo-phytodienoic acid, and jasmonic acid standard solutions
5
6 243 were infused into the 4000 QTRAP with a syringe pump (Harvard 22) at 10 mL min⁻¹ to select
7
8 244 multiple reaction monitoring (MRM) transitions and optimize compound-dependent parameters
9
10 245 for MRM detection (Supplemental Table S1).

11 246 12 13 247 *ABA quantification*

15 248 A dilution series of standards was prepared containing different concentrations of ABA
16
17 249 and D-labeled ABA and SA (250 pmol/sample). Correction factors were obtained by adjusting
18
19 250 the ratio of standard peak areas to that of internal standards in all samples. The peak areas of
20
21 251 endogenous hormones were normalized with the corresponding internal standard and then
22
23 252 calculated according to the standard curve. ABA is reported in ng per mg plant fresh weight.
24 253 H₂JA was also used for the quantification of JA-Ile because no D-standard for that compound is
25
26 254 commercially available.

27 28 255 29 30 256 *Guard cell morphology*

31
32 257 Guard cell morphology was analyzed by a modified technique described by Travaglia *et*
33
34 258 *al.* (2010). A layer of clear nail polish (nitrocellulose in ethyl acetate) was brushed on the
35
36 259 abaxial side of a cotyledon, allowed to dry for 15s, and then carefully extracted with forceps and
37
38 260 mounted on a microscope slide. The slide was examined using a standard compound microscope,
39
40 261 and digital photomicrographs taken of the abaxial leaf impression. The photomicrographs were
41
42 262 then imported into Image-J (Rasband, 1997-2012), and the numbers and area (μm²) of guard
43
44 263 cells (i.e., individual stoma) and their pore (i.e., aperture) were analyzed. Stomata measurements
45
46 264 were chosen at random from those that could be clearly focused as to avoid distortion in the
47
48 265 measurements. Because of variation in the clarity of individual guard cells in images, the
49
50 266 number of guard cells per cotyledon characterized for aperture ranged from 15-20 stomata per
51
52 267 cotyledon, and the total number of stomata counted per genotype was from 300 to 440, with 12
53
54 268 individual plants for each genotype sampled from seven to eight times. The area of guard cells
55
56 269 and pores were summed to produce a single data point for that cotyledon, and then scaled to 15
57
58 270 to correct for the uneven number of guard cells collected per cotyledon. We also investigated the
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60 271 area of guard cell pores relative to the size of guard cells (pore area/guard cell areas) by dividing
61
62 272 the total areas of 15 guard cells by the summed areas of the pores.

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Root and shoot biomass, stomatal conductance and photosynthesis measurements

To further characterize the possible underlying mechanisms for apparent lower transpiration in RAV1¹⁻¹⁻⁵, we analyzed the effects of drought stress on biomass accumulation, photosynthesis, and stomatal conductance in individual greenhouse-grown homozygous transgenic lines over-expressing AtABI5 or AtRAV1 using a Licor LI-6400XT Portable Photosynthesis System. Measurements were taken on near-fully-expanded leaves of five week-old plants for six days. Plants were grown in potting mix, field soil and sand (3:1:1) in 3.5 gallon pots to better imitate field conditions. Biomass of individual plants was determined by weighing oven-dried material harvested at 90 DAP.

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Statistical analysis

To determine the effects of each transgenic event on responses to drought-stress and recovery, we conducted pair-wise analyses of Coker312 against each transgenic cotton line under specific treatment conditions. This was done using a two-tailed Student's *t*-test, assuming equal variance except for ABA quantification, where a one-tailed *t*-test was used on grounds prior work (Mittal *et al.* 2014, 2015) showed the test genotypes were drought tolerant/ABA hypersensitive.

290

Results

292

Characterization of transgene protein accumulation in cotton seeds and endogenous ABA response marker expression in transient assays overexpressing AtRAV1 and AtABI5

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Previous work showed high levels of mRNA expression in leaves and developing ovules for numerous independent *ABI5* and *RAV1* transgene events driven by the 35S promoter (Mittal *et al.* 2014, 2015), so we endeavored to validate transgene protein accumulation in the select events under study, which represented typical reproducible phenotypes described previously. We focused on seeds and cotyledons because our initial hypothesis was that AtABI5 would affect seed development/maturation. Figure 1 shows a slightly higher level (~5% by dosimetry) of c-myc: epitope-tagged :ABI5¹⁻¹⁻¹ expression compared to :ABI5¹³⁻⁴⁻¹ expression in protein extracts of transgenic T2 (homozygous, second generation) seeds. This observation is consistent with prior results from greenhouse and field that the AtABI5¹⁻¹⁻¹ event has more extreme phenotypic effects than AtABI5¹³⁻⁴⁻¹ for longer fiber lengths, larger leaf area, greater root

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4 304 biomass, and higher WUE (Mittal *et al.*, 2014, 2015). ~~LA~~ lower, ~~but still~~ detectable (inset arrow);
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6 305 levels of c-myc::RAV1¹⁻¹⁻⁵ and c-myc::RAV1¹³⁻⁴⁻¹ expression ~~were~~ observed in transgenic
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8 306 seeds. The relatively higher levels of c-myc signal in RAV1¹³⁻⁷⁻² versus RAV1¹⁻¹⁻⁵ seeds
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10 307 correlate with *AtRAV1* mRNA levels quantified by real-time-PCR in leaves from these transgenic
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12 308 lines from 51- 80 DAP and relatively increased effects of RAV1¹³⁻⁷⁻² overexpression on fiber
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14 309 length and delayed boll cracking in the field versus RAV1¹⁻¹⁻⁵ under drought conditions (Mittal *et*
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16 310 *al.*, 2015), and for intrinsic water use efficiency (Mittal *et al.*, 2014). In order to verify the faint
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18 311 bands observed corresponded to bona fide c-myc::RAV1, a transient gene expression assay was
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20 312 performed and proteins extracted and immunoblotted after 72 hr infiltration of *Nicotiana*
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22 313 *benthamiana* leaves with a culture *Agrobacterium tumifaciens* harboring the same vector
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24 314 construct used for stable transformation (Fig. 1A). High non-specific background signals using c-
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26 315 myc antibodies on leaf extracts of cotton precluded our efforts to convincingly quantify
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28 316 expression of transgene effectors (data not shown; see Fig. 1A and prior results in maize (Jia *et*
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30 317 *al.*, 2009)). Feng *et al.* (2014) showed that the transcription of *RAV1* in Arabidopsis is very low
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32 318 in imbibed seeds, consistent with our result.

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32 319 In order to assess the function of overexpressed heterologous transcription factors
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34 320 associated with stress adaptation, we analyzed by RNA blot the expression of endogenous ABA
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36 321 response markers *LEA-5* and *ERD10C* (Hou *et al.*, 2016) in transient transformation assays with
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38 322 *N. benthamiana*. Fig. 1B-D shows that overexpression for 24 hours of *AtRAV1*, and to a lesser
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40 323 extent *AtABI5*, in leaves resulted in two-fold trans-activation of endogenous ABA response
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42 324 marker genes. These results are consistent with prior results (Mittal *et al.*, 2014) which showed
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44 325 that endogenous stress response markers *Responsive to ABA18* (*GhRAB18*), *GhRAV*,
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46 326 *GhCuZnSOD*, *GhGST*, and *GhP5CS* mRNAs were similarly elevated in leaves of the stable
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48 327 cotton transgenic lines, whereas *GhAdhA* was expressed correspondingly lower than control
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50 328 during drought recovery. Correlations between higher stress marker expression, photosynthesis,
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52 329 and WUE support that the transgenic lines have a 'less-stressed' or stress-adapted phenotype via
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54 330 increased ROS scavenging. Taken together the evidence supports that relative expression levels
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56 331 of effector transgenes in seeds generally correlate with relative expressions of mRNAs in other
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58 332 vegetative tissues associated with physiological effects of transgene expression.

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4 §34 ***Drought stress results in lower ABA accumulations in RAV1¹⁻¹⁻⁵ and ABI5¹⁻¹⁻¹ seedlings, and***
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6 §35 ***higher accumulations during recovery***
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8 336 Previous evidence showed that AtRAV1- and AtABI5-over-expressing cotton had “less
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10 337 stressed” and drought avoidance phenotypes under imposed drought (Mittal *et al.* 2014, 2015),
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12 338 therefore we measured ABA levels in cotyledons in response to drought stress to independently
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14 339 address the hypothesis that ABA signaling processes may be impacted in these lines. On day
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16 340 seven under drought-stress, control Coker312 plants showed a ‘wilty’ phenotype and had ABA
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18 341 concentrations that were about three-fold higher than in well-watered plants ($P < 0.05$, Fig. 2A),
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20 342 demonstrating the efficacy of the drought treatments. During drought-recovery, ABA levels in
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22 343 well-watered samples were higher than samples harvested the day before, an observation that
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24 344 held across all genotypes which suggest an uncontrolled or non-specific environmental effect not
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26 345 observed in the drought-stressed samples. However, ABA levels in control Coker 312 and
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28 346 ABI5¹³⁻⁴⁻¹ decreased in drought-stressed plants by ~43% and 37% during one day recovery after
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30 347 rewatering, respectively (Fig. 2A), which is consistent with previous results in *Xanthium*
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32 348 *strumarium* (Zeevaart 1980) and served to validate the experimental system for ABA
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34 349 determinations, notwithstanding observed higher ABA levels across the board in well-watered
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36 350 recovery treatments.

35 351 Unexpectedly, in the ABI5¹⁻¹⁻¹ and RAV1¹⁻¹⁻⁵ lines ABA levels trended higher than
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37 352 control (Fig. 2A; significantly for RAV1) after re-watering for drought recovery, suggesting a
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39 353 homeostatic mechanism for ABA sensitivity and ABA metabolism may operate as observed in
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41 354 Arabidopsis (Leung *et al.* 1997; Liu *et al.* 2015). Consistently, in the well-watered control for
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43 355 drought recovery (eight days after initiation of the experiment), all the lines had significantly
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45 356 higher ABA levels than lines harvested the day before, and ABI¹⁻¹⁻¹ had significantly lower ABA
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47 357 level than Coker 312, a trend also observed for RAV1¹⁻¹⁻⁵. In ABI5¹³⁻⁴⁻¹ and ABI5¹⁻¹⁻¹, ABA
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49 358 levels (albeit non-significant for ABI5¹⁻¹⁻¹) in response to drought treatment (Fig. 2A) were
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51 359 inversely correlated with apparent ABI5 protein accumulation in seeds (Fig. 1; slightly more
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53 360 ABI5¹⁻¹⁻¹ than ABI5¹³⁻⁴⁻¹). RAV1¹⁻¹⁻⁵ and ABI5¹⁻¹⁻¹ had a trend towards lower ABA levels in
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55 361 response to drought, 48% ($P < 0.06$) and 28% lower, respectively, than Coker 312 (Fig. 2A),
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57 362 which is consistent with the pattern seen for well-watered plants after eight days (Fig. 2A) and
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59 363 previous molecular and physiological results that showed these transgenics have a “less stressed”
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61 364 phenotype (Mittal *et al.* 2014, 2015). With the caveat that relative AtABI5 protein levels in
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4 365 seeds (Fig. 1) may not reflect similar levels in leaves, taken together with prior results for
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6 366 phenotypic effects of these specific ABI5 events correlating with increases in leaf area, WUE,
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8 367 root biomass, and fiber length (Mittal *et al.*, 2014, 2015), the immunoblot and ABA
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10 368 quantification results are consistent with the working hypothesis of increased ABA
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12 369 sensitivity/homeostasis in ABI5- and RAV1 over-expressing lines.

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15 371 **RAV1¹⁻¹⁻⁵ cotyledonary leaves have smaller guard cell apertures and higher stomatal density**
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17 372 **during drought stress and recovery**

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19 373 Guard cell pores (i.e., stomatal apertures) are the primary means of water loss and CO₂
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21 374 uptake in plants. Recent work has revealed that guard cell ABA sensitivity increases as the leaf
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23 375 ages, and ABA controls plasticity of stomatal patterning in cotyledons (Chater *et al.* 2014; Pantin
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25 376 *et al.* 2013; Serna 2014; Tanaka *et al.* 2013). Drought stress conditions cause guard cell pores to
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27 377 shrink in size (literally area as measured, μm^2) to minimize water loss, and to increase in size
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29 378 during drought recovery to promote uptake of CO₂, thereby enhancing photosynthetic
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31 379 capabilities. Guard cell pores can change in two different ways when observed in two
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33 380 dimensions by microscopic analysis of epidermal casts: (i) the area (μm^2) of the guard cells (i.e.,
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35 381 stomata) *per se* could change by fluctuations in plant turgor pressure, with the pore area (μm^2)
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37 382 changing proportionally, or (ii) the area (μm^2) of guard cell pores might change differently with
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39 383 respect to the overall size of the guard cells. To tease apart the two different possibilities for
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41 384 changes in guard cell pores, we quantified the area of both guard cells and their pores, and
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43 385 analyzed the relationship between pore size and guard cells (i.e., pore area / guard cell area).

44 386 Coker 312 had the largest guard cell pores under drought stress conditions (Fig. 2B). In
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46 387 response to drought recovery, guard cell pores of Coker 312 increased in size in both absolute
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48 388 (~6%, Fig. 2B) and proportional terms (22%, $P < 0.05$, Fig. 2C). The transgenic line RAV1¹⁻¹⁻⁵
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50 389 had the smallest guard cell pores under drought-stress conditions (26% smaller than control
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52 390 Coker 312, $P < 0.05$, Fig. 2B). Furthermore, drought-stressed RAV1¹⁻¹⁻⁵ plants had
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54 391 disproportionally smaller pores (after correcting for the size of guard cells) than Coker 312 (19%
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56 392 smaller, $P < 0.05$, Fig. 2C). In response to drought recovery, guard cell pores increased by
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58 393 ~20% in RAV1¹⁻¹⁻⁵ ($P < 0.05$), but remained significantly smaller (~21%) than Coker 312 ($P <$
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60 394 0.05, Fig. 2B). Similarly, the proportional size of RAV1¹⁻¹⁻⁵ guard cell pores increased by 11%
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62 395 during drought recovery ($P < 0.001$), but remained significantly smaller (26%) than Coker 312

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4 396 ($P < 0.05$, Fig. 2C). For ABI5¹⁻¹⁻¹, the absolute (Fig. 2B) and proportional sizes (Fig. 2C) of
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6 397 guard cell pores trended smaller than Coker 312 under drought stress. The proportional size of
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8 398 ABI5¹⁻¹⁻¹ guard cells pores (but not absolute size) during drought recovery was significantly
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10 399 smaller than Coker 312 (Fig. 2C, $P < 0.05$). For the ABI5¹³⁻⁴⁻¹ line, the absolute and
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12 400 proportional sizes of guard cell pores trended smaller than Coker 312 under drought stress.
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14 401 Drought recovery in ABI5¹³⁻⁴⁻¹ caused a ~40% and 35% increase ($P < 0.05$) in the absolute and
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16 402 proportional sizes of guard cell pores, respectively. The absolute size of guard cell pores trended
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18 403 larger than Coker 312 during drought recovery, while the proportional size of guard cell pores
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20 404 was significantly smaller ($P < 0.05$). These results suggest that RAV1 and ABI5 transgenics
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22 405 may have reduced ABA sensitivity and/or ABA metabolism that impact stomatal development
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24 406 and function, as has been observed in Arabidopsis.

24 407 Since our prior work with these representative and other independent RAV1 transgenic
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26 408 cotton lines found transgene effects on photosynthesis and stress response physiology, we
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28 409 measured stomatal density in cotyledons. Figure 3 shows that the RAV1¹⁻¹⁻⁵ overexpression line
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30 410 had significantly more stomata on the abaxial side of cotyledons than Coker312, and that ABI5¹⁻
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32 411 ¹⁻¹ genotype trended toward higher stomatal density, correlating with observed significantly
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34 412 lower ABA levels (Fig. 2A) and work of Tanaka *et al.* (2013) who showed the ABA-deficient
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36 413 *aba2-2* mutant of Arabidopsis has increased number/proportion of stomata and reduced
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38 414 expansion of cotyledon pavement cells mediated by SPCH and MUTE, master regulators for
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40 415 stomatal formation. The observed trend for ABI5¹³⁻⁴⁻¹ stomatal density was slightly lower (Fig.
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42 416 3) than Coker312 but not statistically significant, and was in line with ABA concentrations and
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44 417 trend of lower guard cell relative pore areas compared to Coker312 (Fig 2A,C), consistent with
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46 418 prior results showing ABI5¹³⁻⁴⁻¹ had generally lower WUE than ABI5¹⁻¹⁻¹ in the field (Mittal *et*
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48 419 *al.* 2014) and was not considered further.

50 421 ***Lower cotyledon surface temperatures in drought-stressed transgenic plants***

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52 422 We measured LST to infer transpiration stress physiology of the ABI5 and RAV1
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54 423 transgenic lines. Our prediction was that drought-stressed plants would have higher LSTs than
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56 424 well-watered plants due to lower transpiration rates under drought-stressed conditions (Grant *et*
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58 425 *al.* 2006). The results for LSTs are shown in Fig. 4. In general, our results for all the cotton
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60 426 plants supported the prediction of higher LST for drought-stressed plants. Coker 312 plants
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4 427 showed a trend of cooler LSTs as the experiment progressed, possibly due to developmental
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6 428 increases in ABA sensitivity/physiological homeostatic mechanisms as observed in Arabidopsis
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8 429 (Leung et al. 1997; Liu et al. 2015). Coker 312 plants under drought stress had significantly
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10 430 higher LST than well-watered plants ($P < 0.01$). As hypothesized and consistent with ABA
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12 431 dynamics (Fig. 2A), there was a marked reduction in LST during drought recovery, especially
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14 432 for RAV1¹⁻¹⁻⁵ and ABI5¹⁻¹⁻¹ lines (Fig. 4B,C).

15 433 Under peak drought conditions (7D-Drt), all three transgenic cotton lines had lower LST
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17 434 than Coker 312, with ABI¹⁻¹⁻¹ having the lowest LST ($P < 0.05$; Fig. 4E), consistent with
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19 435 observed ABA dynamics (Fig. 2A). In addition, the relative increase in LST as the drought
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21 436 experiment progressed was highest for Coker 312. On days five through seven of the drought
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23 437 treatment, Coker 312 averaged a daily increase in LST of 1.7°C, whereas RAV1¹⁻¹⁻⁵, ABI5¹⁻¹⁻¹,
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25 438 and ABI5¹³⁻⁴⁻¹ averaged 1.1, 0.4, and 0.4°C increases per day, respectively (Fig. 4A-D). [The](#)
26 439 [lower observed LST of transgenics versus Coker312 during drought is contradicted by observed](#)
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28 440 [smaller guard cell pore areas of transgenics \(Fig. 2C\), but can be reconciled by the higher](#)
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30 441 [observed stomatal densities in the transgenics \(Fig. 3\).](#) Together, these results allow inference
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32 442 that the transgenics had higher transpiration rates, manifest as lower LST, under drought stress
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34 443 conditions and had more stable transpiration rates over the course of the drought stress period.
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37 445 **RAV1¹⁻¹⁻⁵ and ABI5¹⁻¹⁻¹ mature leaves have higher stomatal conductance and** 38 39 446 **photosynthetic rates under drought stress**

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41 447 We previously characterized the molecular and physiological phenotypes of four
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43 448 independent transgenic events for AtRAV1 and the two AtABI5 events studied here and showed
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45 449 that AtRAV1¹⁻¹⁻⁵ is expressed lower than AtRAV1¹³⁻⁷⁻² in leaves and has less extreme
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47 450 phenotypes than AtRAV1¹³⁻⁷⁻² of longer fiber length, larger leaf area, longer internode length,
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49 451 and increased WUE under well-watered and drought conditions in the greenhouse and field
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51 452 (Mittal *et al.*, 2014, 2015). Those studies likewise established the AtABI5¹⁻¹⁻¹ event has more
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53 453 extreme phenotypic effects than AtABI5¹³⁻⁴⁻¹ for longer fiber lengths, larger leaf area, greater
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55 454 root biomass, and higher WUE. To integrate the working hypothesis from cotyledonary to
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57 455 mature leaves, we directly measured photosynthetic parameters including stomatal conductance
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59 456 in five- week-old greenhouse-grown plants, and the results are shown in Fig. 5. Coker 312
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61 457 (wild-type) plants had a strong wilting phenotype in the afternoon of day four after withholding
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4 458 water (data not shown). This strong drought stress resulted in significant and progressive
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6 459 inhibitory effects on stomatal conductance and photosynthesis, which were partially relieved
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8 460 after two days of re-watering. The ABI5¹⁻¹⁻¹, ABI5¹³⁻⁴⁻¹, RAV1¹⁻¹⁻⁵, and RAV1¹³⁻⁷⁻² transgenic
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10 461 lines all showed significantly higher stomatal conductance at six days of drought (Fig. 5), where
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12 462 the greater number of biological replicates allowed statistical inference, and under drought stress
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14 463 did not show wilting symptoms (data not shown), as previously shown (Mittal *et al.* 2014). The
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16 464 RAV1¹³⁻⁷⁻² and ABI5¹⁻¹⁻¹ transgenic lines at six days of drought showed significantly higher
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18 465 photosynthetic rates, whereas ABI5¹³⁻⁴⁻¹ and RAV1¹⁻¹⁻¹ photosynthetic rates trended 19- 27%,
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20 466 higher respectively, than Coker 312 consistent with prior results (Mittal *et al.* 2014, 2015) and
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22 467 data presented in Figs. 1, 2, and 4.

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24 469 ***GhDREB*, an effector of ABA response, is up-regulated in RAV1 under well-watered**
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26 470 **conditions and down regulated in RAV1¹³⁻⁷⁻² and ABI5¹³⁻⁴⁻¹ transgenics under drought and**
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28 471 **recovery treatments**

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30 472 In order to characterize RAV1 and ABI5 transgenic cotton at the level of transgene
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32 473 activity, we quantified endogenous transcript abundance of a *DREB/CBF* (dehydration-
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34 474 responsive element/C repeat binding factor) in a greenhouse drought stress and recovery
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36 475 experiment. DREB TFs specifically bind to dehydration-responsive element (DRE)/C-repeat
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38 476 (CRT) cis-acting promoter elements (A/GCCGAC) and are sufficient for transactivation of many
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40 477 stress-inducible genes (Maruyama *et al.* 2004). We examined *GhDREB* expression in the
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42 478 transgenics as a molecular marker for stress signaling state.

43 479 Fig. 6 shows an RNA blot result for *GhDREB* transcript in well-watered, drought- and
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45 480 recovery stage in transgenic cotton lines. *GhDREB* was highly induced in response to drought
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47 481 (~5.7 fold ; compare lanes 4 and 8 “Drt/WW”) . In response to re-watering its expression was
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49 482 reduced (to ~0.3 fold of the drought expression; compare lane 8 and 12 “Rec/Drt”). Its
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51 483 expression was higher in unstressed RAV1 and a RAV1 x ABI5 stacked line compared to control
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53 484 (1.34-1.27 fold, respectively; compare lane 1 and 3 to lane 4). Remarkably, its expression was
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55 485 lower in all the transgenics and RAV1 x ABI5 cross (0.42-0.60 fold reduction; compare lanes 5-
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57 486 7 to lane 8; “Line/Coker in specified treatment”) compared to wild type Coker in response to
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59 487 imposed drought stress. Interestingly, even during the recovery stage its expression was 0.50-
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61 488 0.65 fold (lower) in the transgenics and stacked cross compared to wild type. Taken together

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4 489 with prior results that stress-responsive DREBs activate expression of stress-inducible genes and
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6 490 improve tolerance to not only drought, salinity, and freezing but also growth retardation
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8 491 (Maruyama et al. 2004), our result indicates that RAV1, and to a lesser extent ABI5, unstressed
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10 492 and drought-stressed transgenic plants exhibit a “less stressed phenotype”. In order to further
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12 493 substantiate that constitutive overexpression is beneficial for transgenics plants in terms of
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14 494 overall growth performance, we measured root and shoot biomass in 90 DAP greenhouse-grown
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16 495 lines under well-watered and drought stress conditions. Results shown in Supplemental Fig. S1
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18 496 extend prior results from field and greenhouse (Mittal *et al.* 2014, 2015) that RAV1
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20 497 overexpressing- and ABI5¹⁻¹⁻¹ greenhouse-grown lines have significantly increased root biomass
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22 498 compared to control Coker312 under well-watered and drought-stressed conditions, which
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24 499 trended to increased shoot biomass under well-watered conditions in RAV1 and ABI5 x RAV1-
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26 500 stacked lines.

26 501 **Discussion**

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28 502 Among the three transgenic lines tested, RAV1¹⁻¹⁻⁵ had the lowest ABA levels during
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30 503 drought stress compared to control Coker312. The evidence showing ABI5¹⁻¹⁻¹, ABI5¹³⁻⁴⁻¹,
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32 504 RAV1¹⁻¹⁻⁵, and RAV1¹³⁻⁷⁻² plants had significantly higher stomatal conductances and higher
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34 505 photosynthesis rates (Fig. 5) during extreme drought stress, and corresponding lower
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36 506 cotyledonary LSTs (as a proxy for higher whole leaf transpiration rates)(Fig. 4) support a “less
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38 507 stressed” phenotype under drought stress, which agrees with previous results (Mittal *et al.* 2014,
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40 508 2015). [We frame a model of sensitivity to ABA in transgenics affecting stomatal](#)
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42 509 [development/physiology that integrates results of lower ABA levels during drought and higher](#)
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44 510 [ABA levels during recovery \(Fig. 2A\) which correlate with relative guard cell pore areas \(Fig.](#)
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46 511 [2C\), including during drought recovery when LSTs completely recover to unstressed levels for](#)
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48 512 [ABI5¹⁻¹⁻¹ and RAV1¹⁻¹⁻⁵ \(Fig. 4\).](#) The results of lower ABA contents and smaller guard
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50 513 cell pore areas for the transgenic lines AtRAV1¹⁻¹⁻⁵ and AtABI5¹⁻¹⁻¹ under unstressed or drought
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52 514 conditions (Fig. 2), while at the same time having higher stomatal densities, stomatal
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54 515 conductance resulting in leaf evaporative cooling (lower LST), and photosynthetic rates than
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56 516 wild type counterparts (Figs. 3-5) are reconciled by inferring an increased ABA sensitivity in the
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58 517 transgenics (less ABA improves physiology generally, i.e. "less stressed" ~ higher function).
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60 518 This interpretation is consistent with the observation that during recovery from drought stress,
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62 519 ABA levels of these two transgenic lines 'flipped' and became elevated compared to wild type
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4 520 control (Fig. 2A), suggesting changes in temporal fluxes of ABA during and after stress. It is
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6 521 postulated that three major processes are involved in the over-expression lines: altered regulation
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8 522 of ABA biosynthesis/catabolism (Liu *et al.* 2015; Zeevaart 1980), altered ABA sensitivities *per*
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10 523 *se*, and activity of a known ABA homeostatic feedback loop (Leung *et al.* 1997). Further studies
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12 524 using $^{18}\text{O}_2$ to quantify metabolic fluxes to and from ABA in the transgenics could shed light on
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14 525 the issue of ABA metabolic fluxes in the transgenics, as has been shown for an Arabidopsis
15 526 mutant of ABA signaling (Xiong *et al.* 2001).

17 527 A plausible mechanism of the observed RAV1 guard cell phenotypes is through
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19 528 repression of endogenous FT, as has been shown in Arabidopsis (Ando *et al.* 2013; Castillejo
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21 529 and Pelaz, 2008; Kinoshita *et al.* 2011) and in RAV1 and RAV2-overexpressing cotton lines
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23 530 (Mittal *et al.* 2015). Although these results were obtained with embryonic leaves and therefore
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25 531 extrapolation to later stages of development is qualified due to possible differences in tissue
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27 532 specificity/sensitivity, we interpret these results as representative of older leaf tissue because: i)
28 533 we observed complimentary results for mature leaf stomatal conductance (Fig. 5A) as we did for
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30 534 whole cotyledon transpiration rates measured as LST (Fig. 4); ii) we previously showed in
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32 535 Arabidopsis that the *abi1* and *abi2* mutants, which are impaired in ABA sensitivity by disruption
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34 536 of ABA receptor activity (Cutler *et al.* 2011), show similar effects on guard cell-specific ABA-
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36 537 inducible gene expression in cotyledonary leaves (Chak *et al.* 2000); and iii) recent work on
37 538 leaves of various developmental stages corroborates this interpretation that guard cells of
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39 539 cotyledons and true leaves respond to ABA similarly, albeit through homeostatic interplay of
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41 540 stomatal patterning plasticity and ABA sensitivity mediated by downstream signaling
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43 541 components and enlargement of pavement cells in cotyledons (Chater *et al.* 2014; Pantin *et al.*
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45 542 2013; Serna 2014; Tanaka *et al.* 2013).

46 543 Our initial hypothesis was the transgenic lines would exhibit drought tolerance through
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48 544 an enhanced responsiveness to ABA, because previous research has shown that these transgenes
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50 545 are effectors of (i.e., downstream respondents to endogenous) ABA. Somewhat unexpectedly,
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52 546 despite smaller pore spaces and stomatal opening, the cotyledons of independent AtRAV1 and
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54 547 AtABI5 events had increased stomatal conductance (Fig. 5) and transpirational cooling (Fig. 4,
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56 548 observed LST as proxy for transpiration rate). The finding that stomatal densities in the ABI5¹⁻¹⁻¹
57 549 and RAV1¹⁻¹⁻⁵ transgenic cotyledonary leaves (Fig. 3) correlated with ABA accumulation
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59 550 dynamics (Fig. 2A) and lower LST (Fig. 4) during and after drought stress supports the model,
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4 551 with the caveat we did not measure stomatal developmental dynamics during the timecourse of
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6 552 the experiments. ABA directly affects guard cell physiology (Kim *et al.* 2010), and in our study
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8 553 guard cell pores of RAV1¹⁻¹⁻⁵ were 26% smaller during drought stress than control Coker312.
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10 554 Interestingly, after correcting for the size of the guard cells, the guard cell pores of drought-
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12 555 stressed RAV1¹⁻¹⁻⁵ plants were disproportionately smaller (19%) than control Coker312, a trend
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14 556 that held for both ABI5 transgenics (Fig. 2C). Given that lower levels of ABA in RAV1¹⁻¹⁻⁵
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16 557 correlated with greater stomatal density and a larger reduction in guard cell pores than in control
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18 558 Coker312, which in turn correlated with lower LST (Fig. 4) and higher stomatal conductance
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20 559 (Fig. 5), taken together we interpret these data as consistent with a hypersensitive response of
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22 560 transgenic guard cell pores to ABA, concomitant with other known attendant drought adaptation
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24 561 mechanisms, e.g. feedback homeostasis of ABA sensitivity (Cutler *et al.* 2011; Leung *et al.*
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26 562 1997) and catabolism (Liu *et al.* 2015). Hypersensitivity to ABA through over-expression of
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28 563 RAV1 was also found by Sohn *et al.* (2006) who showed that exogenous ABA applied to
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30 564 Arabidopsis lines over-expressing a heterologous RAV1 resulted in ABA-hypersensitive
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32 565 inhibition of germination and root elongation. Interestingly, in that study, over-expression of
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34 566 capsicum RAV1 also resulted in enhanced resistance to the pathogen *Pseudomonas syringae* pv.
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36 567 Tomato DC3000, which infects hosts through guard cell pores (Xin and He 2013). This result is
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38 568 intriguing in the context of our data showing smaller guard cell pores in over-expressing
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40 569 AtRAV1 cotton plants, and begs the question of whether smaller guard cell pores in Arabidopsis
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42 570 lines over-expressing RAV1 explain their enhanced resistance to pathogen infection. Moreover,
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44 571 guard cell pores serve many critical functions in plants (i.e., water loss, CO₂ uptake, and
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46 572 pathogen susceptibility) and, in light of our results and those by Sohn *et al.* (2006), RAV1
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48 573 transgenics may have value for the development of drought-tolerant and pathogen-resistant crop
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50 574 varieties. RAV1 has recently been shown in Arabidopsis to be genetically upstream of ABI5 in
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52 575 ABA regulation of seed germination and subject to ABA-dependent SNF1-RELATED
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54 576 PROTEIN KINASE SnRK2 negative regulation by phosphorylation (Feng *et al.*, 2014). We
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56 577 speculate that the low levels of c-myc::RAV1¹⁻¹⁻⁵ observed in transgenic seeds (Fig. 1) may be
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58 578 due to homeostatic feedback of ABA responses (Leung *et al.* 1997), or possibly targeted
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60 579 degradation by interaction with the response regulator GIGANTEA (Sawa and Kay, 2011), a
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62 580 general protein chaperone with myriad roles in growth, development, and stress responses (Cha
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64 581 *et al.* 2017) and itself subject to ABA modulation (Riboni *et al.* 2016). Such feedback loops
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4 582 resulting in low AtRAV1 in seeds (assumed low in cotyledons) complicates interpretation of
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6 583 observed phenotypic effects of "overexpression." Our demonstration that drought-inducible
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8 584 expression of an endogenous positive effector of ABA responses, *GhDREB*, as molecular marker
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10 585 of ABA sensitivity correlates with AtABI5- and AtRAV1 overexpression phenotypes (Mittal *et*
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12 586 *al.* 2014, 2015) and physiology (Figs. 2-5) under well-watered and drought-stress/response
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14 587 treatments (Fig. 6) establishes the transgene products are functional and can interact. Our results
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16 588 suggesting increased stomatal sensitivity to ABA and those of Sohn *et al.* (2006) appear at odds
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18 589 with those of Feng *et al.* (2014) who showed lowering endogenous *RAV1* in Arabidopsis results
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20 590 in ABA hypersensitivity for inhibition of seed germination. Further work is required to elucidate
21
22 591 the molecular mechanisms of AtRAV1 action in different tissues of transgenic cotton.

23 592 The "less stressed" phenotypes observed for AtRAV1¹⁻¹⁻⁵ and AtRAV1¹³⁻⁴⁻¹ could be due
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25 593 to better WUE through disproportionately smaller guard cell pores. Mittal *et al.* (2014) found an
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27 594 additional mechanism of drought avoidance in these AtRAV1 and AtABI5 events by showing
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29 595 that drought-stressed plants grew ~12-80% more root dry biomass in the greenhouse than Coker
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31 596 312. We have also observed a late flowering phenotype of these AtRAV1 and AtRAV2 over-
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33 597 expressing cotton lines grown in the field (Mittal *et al.* 2015), supporting the drought avoidance
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35 598 mechanism. Taken together, the "less stressed" phenotype by RAV1 over-expression may
36
37 599 therefore be due to multiple mechanisms of drought avoidance (late flowering, greater root
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39 600 biomass) and drought tolerance (improved WUE through stomatal regulation and attendant
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41 601 increases in photosynthesis) (Fig. 5).

42 602 We found evidence of a drought tolerance phenotype in ABI5¹⁻¹⁻¹ based on lower ABA
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44 603 levels, lower LST, and higher stomatal conductance and photosynthetic rates than control
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46 604 Coker312. However, we were unable to strictly correlate this phenotype with another transgenic
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48 605 event ABI5¹³⁻⁴⁻¹ based on apparent ABI5 protein expression (in seeds, Fig. 1) or changes in
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50 606 stomatal density (Fig. 3) or guard cell pore sizes (Fig. 2B,C), which suggests there may exist
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52 607 alternative mechanisms for drought tolerance/avoidance in ABI5¹⁻¹⁻¹ than postulated for AtRAV1
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54 608 in this study. However, Mittal *et al.* (2014, 2015) provided evidence of drought-avoidance traits
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56 609 in both ABI5¹³⁻⁴⁻¹ and ABI5¹⁻¹⁻¹ events, namely longer fiber lengths, larger leaf area, greater root
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58 610 biomass, and higher WUE, the degrees of which correlated with phenotypic effects of these lines
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60 611 characterized in this study. Furthermore, stacked transgenic lines from crosses between ABI5
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62 612 and AtRAV1 (Fig. 6) and AtRAV2 showed synergy in plant yield, among other responses
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613 (Mittal *et al.* 2014). It seems possible therefore that multiple mechanisms of drought tolerance
614 and avoidance operate in ABI5 and RAV1 lines studied here, which could potentially explain
615 why stacked transgenic lines of ABI5 plus RAVs showed synergies in some, but not all,
616 characterized phenotypes (Mittal *et al.* 2014, 2015).

617 The results of this study suggest that over-expression of RAV1 results in drought
618 tolerance through adaptive hypersensitive responses of guard cells to endogenous ABA. Given
619 that Mittal *et al.* (2014, 2015) also found drought avoidance in RAV1 and AtABI5
620 overexpression lines through greater root dry biomass, it is possible that over-expression of
621 RAV1 or ABI5 influences multiple plant traits which each contribute to different facets of a
622 complex of drought adaptation phenotypes.

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Conflict of Interest: [Two of the authors \(CDR, AM\) have filed \(June 9, 2017\) a USPTO patent application #62089567 entitled "Transcription factors and method for increased fiber length of cotton."](#) The authors declare that they have no conflict of interest. The sponsors had no role in the design and conduct of the study; in the collection, analysis, and interpretation of data; or in the preparation, review, or approval of the manuscript or decision to submit the article for publication.

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Figure Captions and Legends

Fig. 1 Relative quantification of transgene products and mRNAs in seeds of independent events and transient gene expression assays. **A)** Immunoblot of proteins extracted from seeds of transgenic AtABI5 and AtRAV1 overexpressing lines and from leaves of *Nicotiana benthamiana* ("**bB**enth") transiently transformed with Agrobacterium harboring the same T-DNA vector (Guo and Ecker, 2003; Mittal *et al.* 2014) for production of c-myc N-terminal epitope tagged-RAV1 and -ABI5 stable cotton transformants. **-A)** Upper panel; **i**Immunoblot probed with monoclonal anti c-myc antibody. c-myc:ABI5 protein expected size is 61 kDa; c-myc:RAV1 protein expected size is 52 kDa (inset arrow). Middle panel:**B)** ~~Same blot probed with immunoblot probed with~~ anti-ubiquitin antibody; as loading control; MW ~8.5 kDa. Below is relative c-myc quantification (\pm s.e.m., n= 5) by band dosimetry, normalized to ubiquitin. Lower panel:**C)** Coomassie-stained gel, marking migration of Rubisco large subunit, MW ~56 kDa. **B)** RNA blot from *N. benthamiana* leaves transformed with Agrobacterium harboring the same T-DNA vectors for production of tagged-RAV1 and -ABI5 stable cotton transformants, probed with *LEA-5* marker 24 hours after inoculation. **C)** RNA blot probed with *ERD10C* from *N. benthamiana* transient assay showing response after one hour treatment with 50 μ M ABA. **D)** Average relative dosimetric quantification of RAV1 and ABI5 overexpression effects on ABA response sensitivity of markers *LEA-5* and *ERD10C* in *N. benthamiana* transient assays measured 24 hours after inoculation, compared to ABA response after one hour.

Fig. 2 Changes in the (A) abscisic acid and (B,C) guard cell pore metrics in response to 7 days drought-stress (7D-Drt) and 1 day recovery (1D-Rec) in cotyledonary leaves of transgenic cotton (*Gossypium hirsutum* L.) over-expressing AtABI5 or AtRAV1. WW, well watered; DS, drought

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4 813 stressed. For panel B, each data point represents the summed area of 15 guard cell pores from an
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6 814 individual cotyledonary leaf. For panel C, the area of guard cell pores was divided by the area of
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9 815 the guard cells (stomata). Analysis of plant hormones was conducted using mass spectrometry
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11 816 (Supplemental Table S1). Bars represent means \pm S.E.; $n = 3$ per treatment. Asterisk (*)
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14 817 indicates significantly different ($P < 0.05$) than Coker312; plus (+) indicates significant difference
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16 818 between well-watered (WW) and drought-stressed (DS) plants for a specific cotton line; caret (^)
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19 819 indicates significant effect of drought recovery treatment for a specific cotton line.
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24 821 **Fig. 3** Stomatal densities on abaxial side of 13-day-old cotyledons of Coker 312 and AtABI5 and
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26 822 AtRAV1 overexpressing transgenics. Bars represent mean density \pm S.E.; $n = 11-12$ individual
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29 823 plants per genotype, seven to eight images per plant. Asterisk (*) indicates significantly different
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31 824 ($P < 0.00001$) from Coker312 control.
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36 826 **Fig. 4** Timecourse of drought effects and recovery one day after watering (1D-Rec) on
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38 827 cotyledonary leaf surface temperature in transgenic cotton (*Gossypium hirsutum* L.) lines over-
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41 828 expressing AtABI5 or AtRAV1. A) Control Coker312. B) AtRAV1¹⁻¹⁻⁵. C) AtABI5¹⁻¹⁻¹. D)
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43 829 AtABI5¹³⁻⁴⁻¹. E) Histogram for genotype effects on leaf surface temperature after seven days
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46 830 drought (7D-Drt). Well-watered (solid lines) plants were given water on days 4 and 7 after
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48 831 initiation of drought stress (solid arrow; 4D-Drt and 7D-Drt), whereas drought-stressed plants
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51 832 (dashed lines) were given water on day 7 only (dashed arrow). Bars represent means \pm S.E.; $n =$
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53 833 10-12 on days 4-7D, $n = 7-9$ on day 1D-Rec. Asterisk (*) indicates significantly different ($P <$
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55 834 0.05) than Coker312.
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4 836 **Fig. 5** Timecourse of stomatal conductance rate (A) and photosynthetic assimilation (B) over six
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6 837 days of drought stress and two days recovery of five-week old greenhouse-grown transgenic
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8 838 cotton (*Gossypium hirsutum* L.) over-expressing AtABI5 or AtRAV1. Abbreviations: nD-Drt,
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10 n= 3,5, or 6 days of drought; nD-Rec, n days recovery from drought after re-watering on D10.
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12 839 Control is 4D well-watered (time = 0). Bars represent means \pm S.E.; $n = 3-6$ on Control, 3D-Drt,
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14 840 5D-Drt and 1/2D-Rec, except 3D-Drt RAV1¹³⁻⁷⁻² and 2D-Rec RAV1¹⁻¹⁻⁵ ($n=2$); $n = 5-10$ on 6D-
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16 841 Drt. Asterisk (*) indicates significantly different ($P < 0.05$) than Coker312. \$ indicates $P = 0.07$.
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24 844 **Fig. 6** RNA blot assay on wild type and select transgenic cotton lines for *Gossypium hirsutum*
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26 845 *DEHYDRATION RESPONSE ELEMENT-BINDING PROTEIN A (GhDREB)* (AF509502)
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28 846 homologue. Ethidium Bromide (EtBr)-stained total RNA was quantified by ImageJ and was used
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30 as loading control. Results are presented as a ratio relative to the wild type Coker 312 in each
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33 848 respective watering treatment (set to unity). Lanes 1-4, 5-8, and 9-12 represent well-watered
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35 (WW; 24 DAP), 11 days of no watering (35 DAP), and overnight recovery (after re-watering)
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37 conditions, respectively. For transgenic lines, RAV1 = 13-7-2, ABI5= 13-4-1. Line/Coker in
38 850
39 specified treatment refers to the ratio of signal in transgenic line compared to wild type for each
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42 specified treatment. Drt/WW refers to the ratio of signal in each individual line in response to
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44 drought relative to well-watered control. Rec/Drt refers to the signal ratio in individual line in
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46 response to re-watering relative to drought treatment. Rec/WW refers to signal ratio in an
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49 individual lines after re-watering relative to respective well-watered plants.
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55 857 **Supplemental Fig. S1.** RAV1 overexpressing- and ABI5¹⁻¹⁻¹ greenhouse-grown lines (90 DAP)
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58 858 have significantly increased root biomass under well-watered and drought-stressed conditions
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859 compared to control Coker312, which trends to increased shoot biomass under well-watered
860 conditions in RAV1 and ABI5 x RAV1-stacked lines. Error bars are s.e.m., n=10 plants for
861 Coker312, n=3-4 plants for transgenics. Asterisk (*) indicates $P < 0.05$; carat (^) indicates P
862 < 0.08 (Student's two-sided t-test, equal variance assumed).

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863 Table S1. Optimized compound-dependent mass spectrometry parameters^a for
864 hormone^b quantifications.

Compound	Q1	Q3	DP	EP	CE	CXP
SA	137	93	-49	-22	-5	-5
ABA	263.1	153	-60	-16.7	-9	-9
JA	209	59	-60	-24	-2	-2
H ₂ JA	211	59	-60	-24	-2	-2
D ₄ SA	142	98	-49	-22	-7	-7
OPDA	291.1	165	-75	-30	-5	-5
JA-Ile	322.1	130	-65	-32	-7	-7
D ₆ ABA	269.1	159	-70	-16	-13	-13

865 ^adeuterium-labeled (D) D₆ABA was used as the internal standard for ABA, D₄SA
866 for SA, dihydrojasmonic acid (H₂JA) for JA, JA-Ile, and OPDA. Abbreviations
867 of the compound-dependent parameters are as follows: Q1, selected m/z of the
868 first quadruple; Q3, selected m/Z of the third quadruple; DT, dwell time
869 monitoring each MRM transition (ms); DP, de-clustering potential of TIS source
870 (Volts); CE, collision energy (arbitrary unit); CXP, collision cell exit potential
871 (Volts); EP, collision cell exit potential (Volts).

872 ^bSA: salicylic acid; ABA: abscisic acid; JA: jasmonic acid; JA-Ile: JA-Isoleucine
873 conjugate; OPDA: JA precursor 12-oxo-phytodienoic acid.

Fig. 1 Relative quantification of transgene products and mRNAs in seeds of independent events and transient gene expression assays. A) Immunoblot of proteins extracted from seeds of transgenic *AtABI5* and *AtRAV1* overexpressing lines and from leaves of *Nicotiana benthamiana* ("benth") transiently transformed with *Agrobacterium* harboring the same T-DNA vector (Guo and Ecker, 2003; Mittal *et al.* 2014) for production of c-myc N-terminal epitope tagged-RAV1 and -ABI5 stable cotton transformants. Upper panel; immunoblot probed with monoclonal anti c-myc antibody. c-myc:ABI5 protein expected size is 61 kDa; c-myc:RAV1 protein expected size is 52 kDa (inset arrow). Middle panel: immunoblot probed with anti-ubiquitin antibody, as loading control; MW ~8.5 kDa. Below is relative c-myc quantification (\pm s.e.m., n= 5) by band dosimetry, normalized to ubiquitin. Lower panel: Coomassie-stained gel, marking migration of Rubisco large subunit, MW ~56 kDa. B) RNA blot from *N. benthamiana* leaves transformed with *Agrobacterium* harboring the same T-DNA vectors for production of tagged-RAV1 and -ABI5 stable cotton transformants, probed with *LEA-5* marker 24 hours after inoculation. C) RNA blot probed with *ERD10C* from *N. benthamiana* transient assay showing response after one hour treatment with 50 μ M ABA. D) Average relative dosimetric quantification of RAV1 and ABI5 overexpression effects on ABA response sensitivity of markers *LEA-5* and *ERD10C* in *N. benthamiana* transient assays measured 24 hours after inoculation, compared to ABA response after one hour.

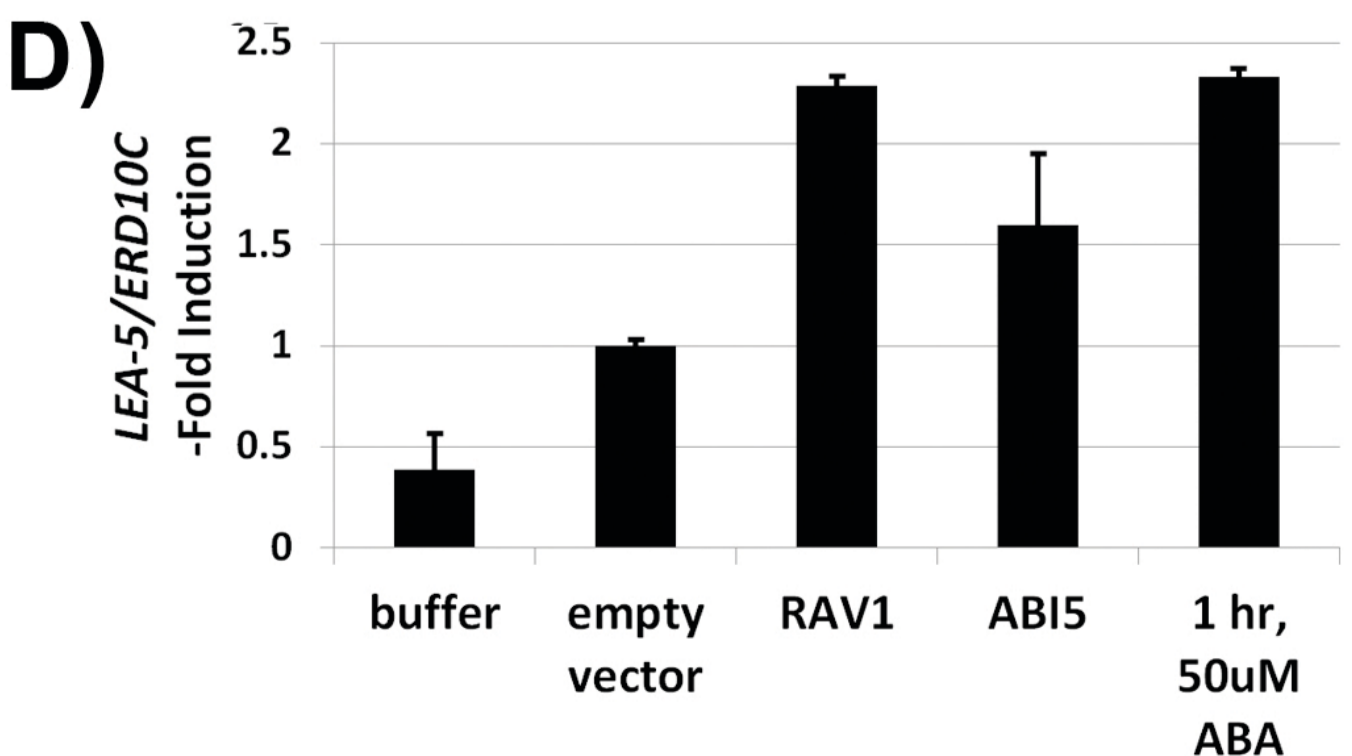
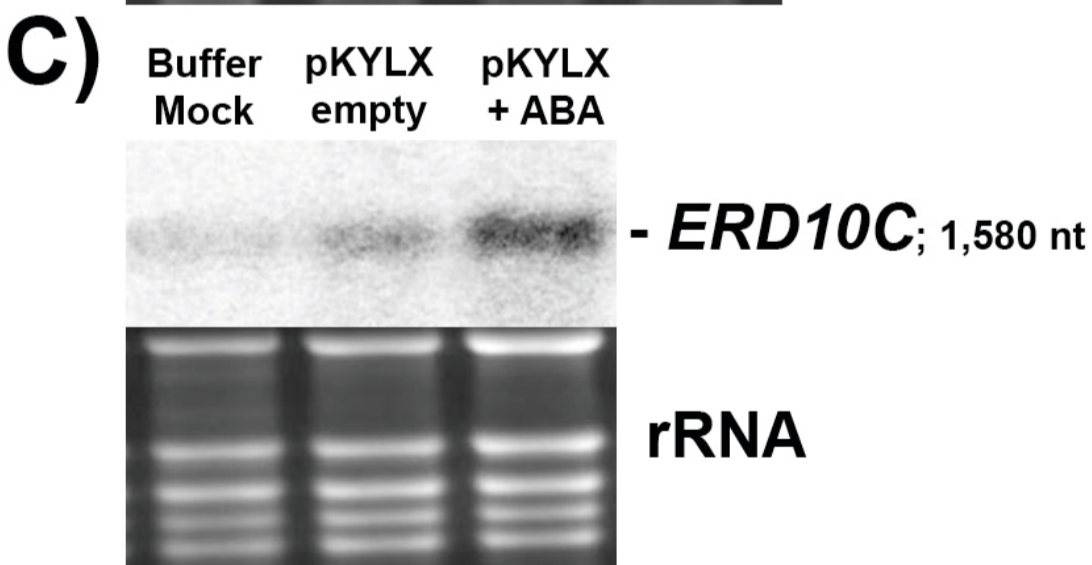
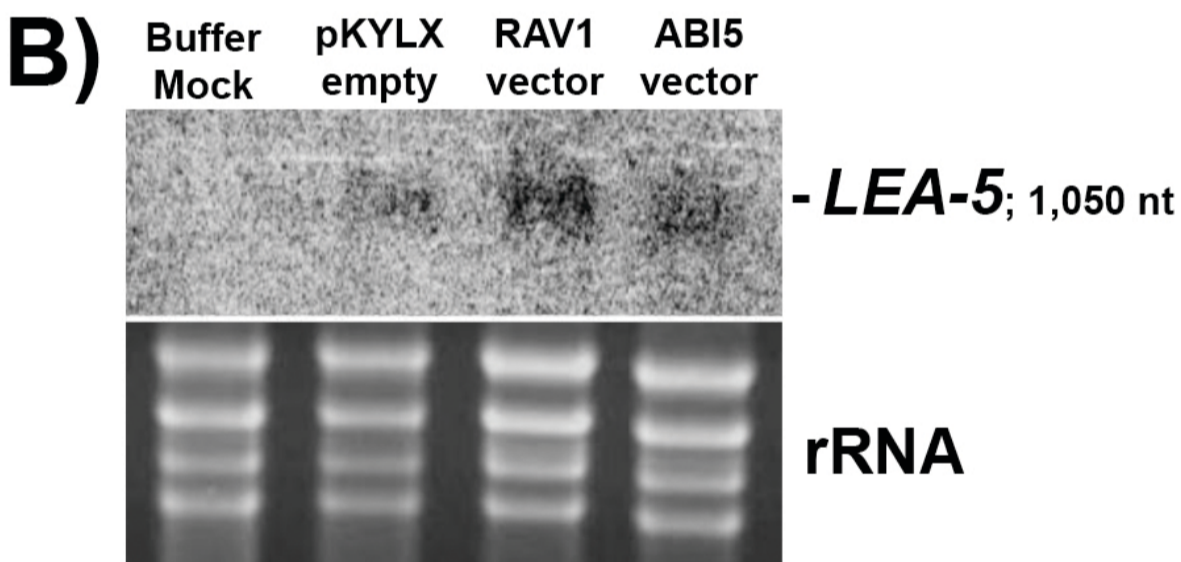
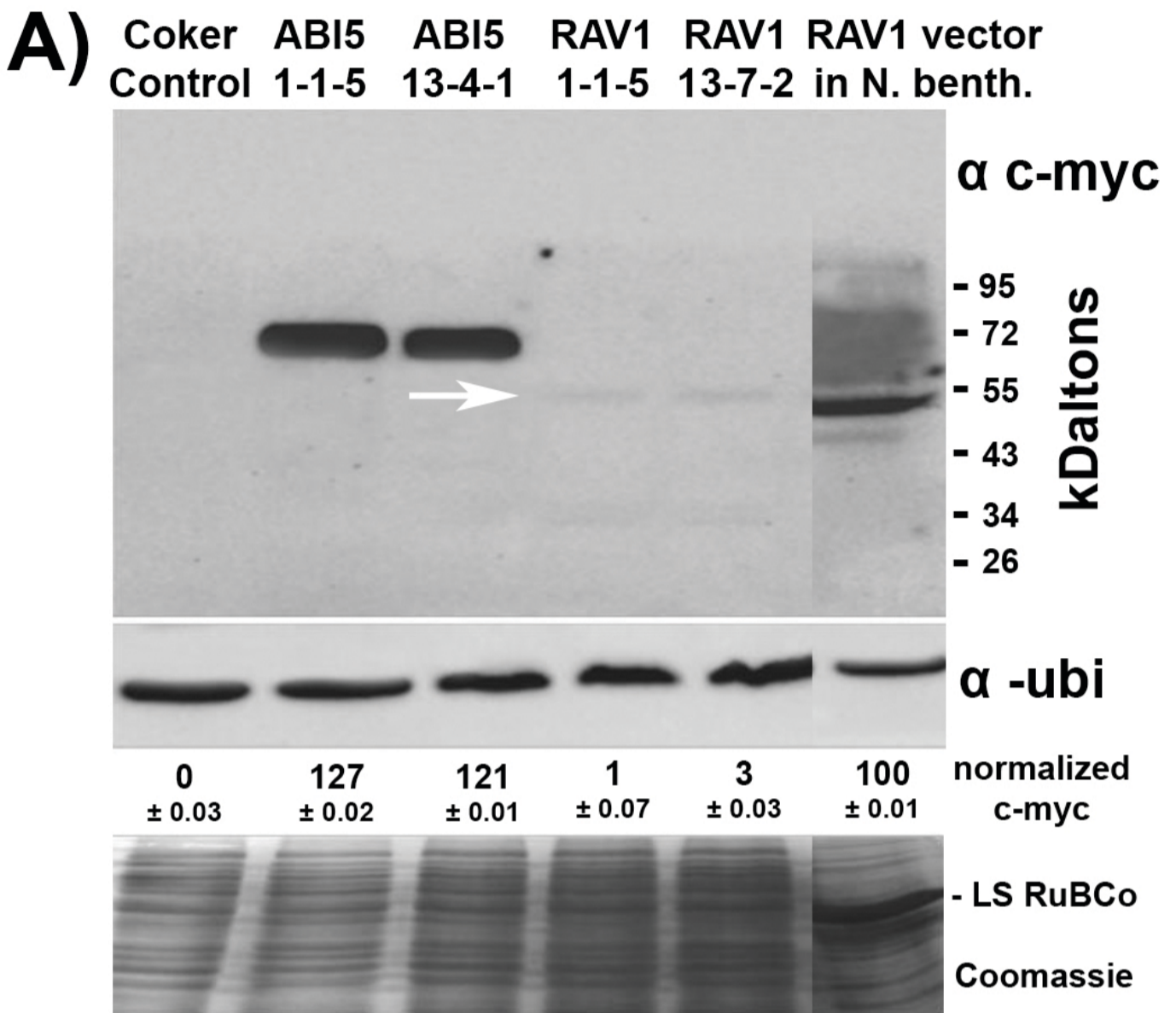


Fig. 2 Changes in the (A) abscisic acid and (B,C) guard cell pore metrics in response to 7 days drought-stress (7D-Drt) and 1 day recovery (1D-Rec) in cotyledonary leaves of transgenic cotton (*Gossypium hirsutum* L.) over-expressing AtABI5 or AtRAV1. WW, well watered; DS, drought stressed. For panel B, each data point represents the summed area of 15 guard cell pores from an individual cotyledonary leaf. For panel C, the area of guard cell pores was divided by the area of the guard cells (stomata). Analysis of plant hormones was conducted using mass spectrometry (Supplemental Table S1). Bars represent means \pm S.E.; n = 3 per treatment. Asterisk (*) indicates significantly different ($P < 0.05$) than Coker312; plus (+) indicates significant difference between well-watered (WW) and drought-stressed (DS) plants for a specific cotton line; caret (^) indicates significant effect of drought recovery for a specific cotton line.

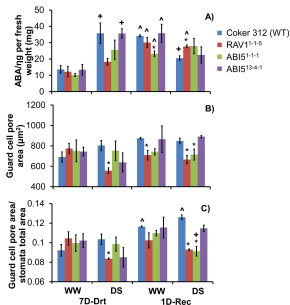


Fig. 3 Stomatal densities on abaxial side of 13-day-old cotyledons of Coker 312 and AtABI5 and AtRAV1 overexpressing transgenics. Bars represent mean density \pm S.E.; n= 11-12 individual plants per genotype, seven to eight images per plant. Asterisk (*) indicates significantly different ($P < 0.00001$) from Coker312 control.

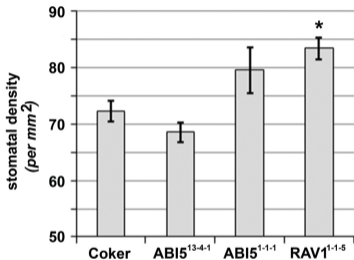


Fig. 4 Timecourse of drought effects and recovery one day after watering (1D-Rec) on cotyledonary leaf surface temperature in transgenic cotton (*Gossypium hirsutum* L.) lines over-expressing AtABI5 or AtRAV1. A) Control Coker312. B) AtRAV1¹⁻¹⁻⁵. C) AtABI5¹⁻¹⁻¹. D) AtABI5¹³⁻⁴⁻¹. E) Histogram for genotype effects on leaf surface temperature after seven days drought (7D-Drt). Well-watered (solid lines) plants were given water on days 4 and 7 after initiation of drought stress (solid arrow; 4D-Drt and 7D-Drt), whereas drought-stressed plants (dashed lines) were given water on day 7 only (dashed arrow). Bars represent means \pm S.E.; $n = 10-12$ on days 4-7D, $n = 7-9$ on day 1D-Rec. Asterisk (*) indicates significantly different ($P < 0.05$) than Coker312.

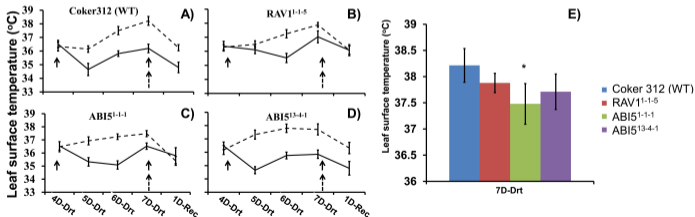


Fig. 5 Timecourse of stomatal conductance rate (A) and photosynthetic assimilation (B) over six days of drought stress and 2 days recovery of five-week old greenhouse-grown transgenic cotton (*Gossypium hirsutum* L.) over-expressing AtABI5 or AtRAV1. Abbreviations: nD-Drt, n= 3,5, or 6 days of drought; nD-Rec, n days recovery from drought after re-watering on D10. Control is 4D well-watered (time = 0). Bars represent means \pm S.E.; $n = 3-6$ on Control, 3D-Drt, 5D-Drt and 1/2D-Rec, except 3D-Drt RAV1¹³⁻⁷⁻² and 2D-Rec RAV1¹⁻¹⁻⁵ ($n=2$); $n = 5-10$ on 6D-Drt. Asterisk (*) indicates significantly different ($P < 0.05$) than Coker312. \$ indicates $P = 0.07$.

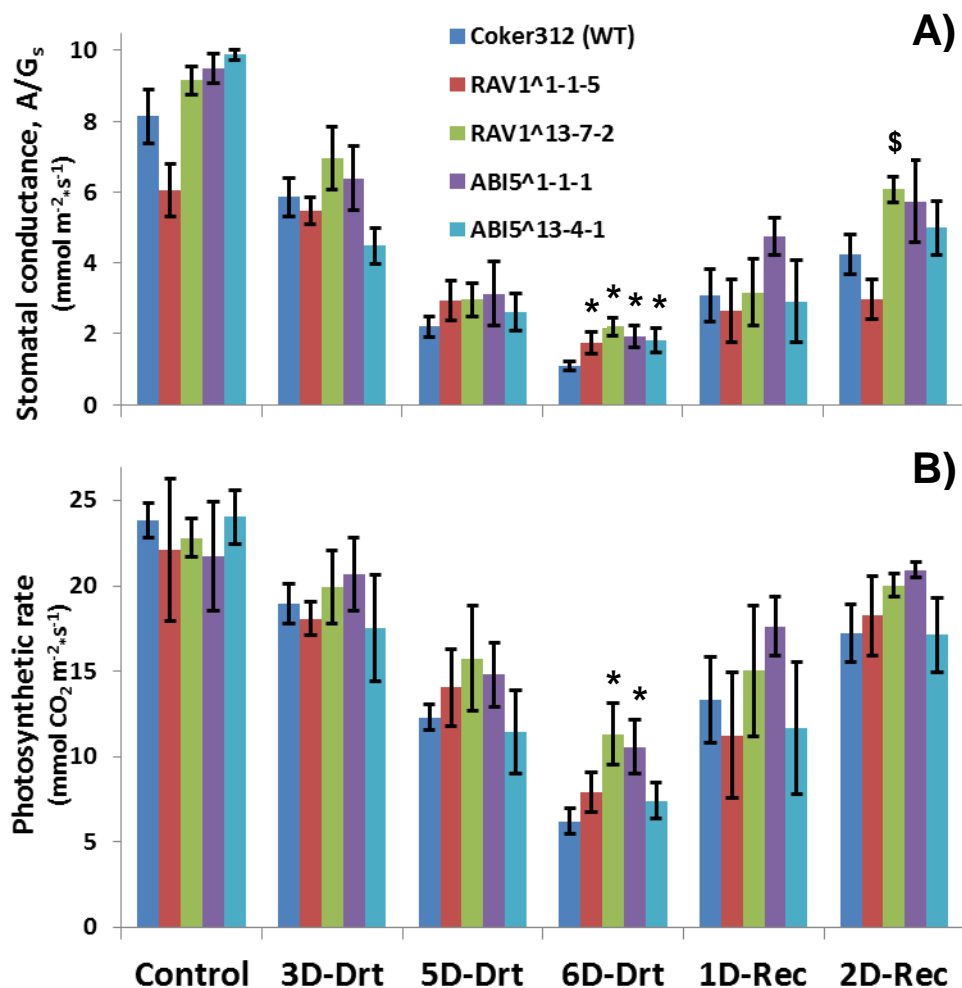
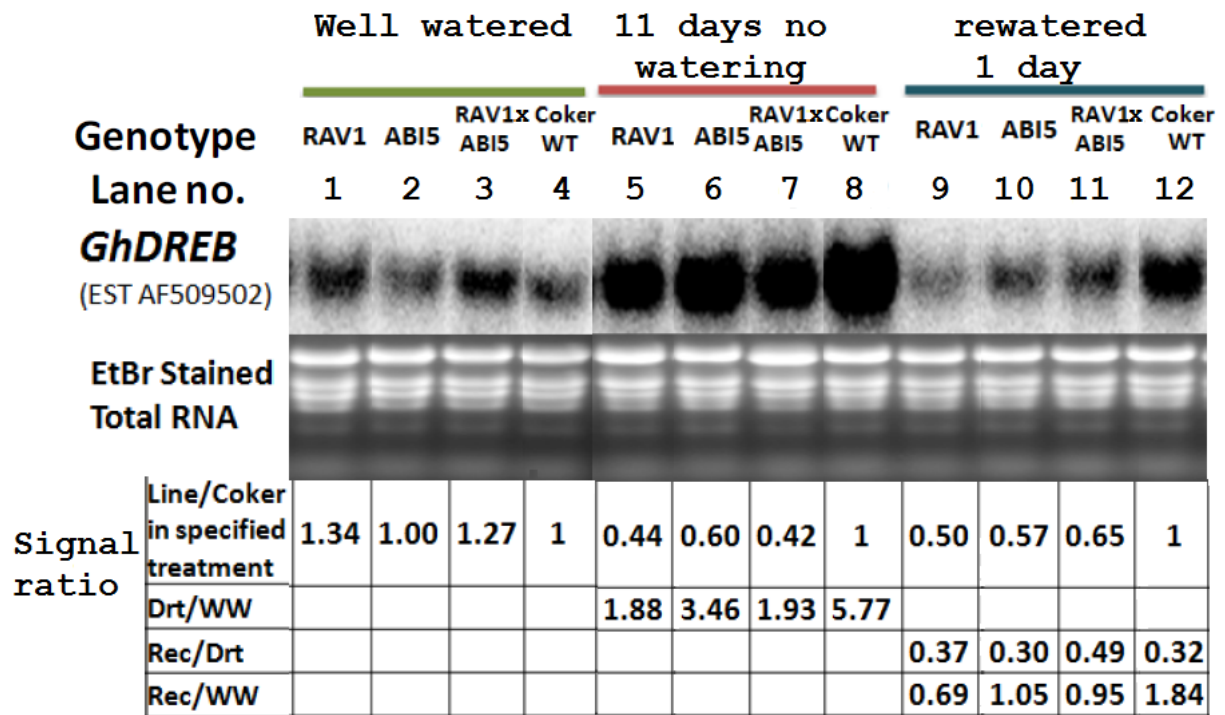


Fig. 6 RNA blot assay on wild type and select transgenic cotton lines for *Gossypium hirsutum* *DEHYDRATION RESPONSE ELEMENT-BINDING PROTEIN A* (*GhDREB*) (AF509502) homologue. Ethidium Bromide (EtBr)-stained total RNA was quantified by ImageJ and was used as loading control. Results are presented as a ratio relative to the wild type Coker 312 in each respective watering treatment (set to unity). Lanes 1-4, 5-8, and 9-12 represent well-watered (WW; 24 DAP), 11 days of no watering (35 DAP), and overnight recovery (after re-watering) conditions, respectively. For transgenic lines, RAV1 = 13-7-2, ABI5= 13-4-1. Line/Coker in specified treatment refers to the ratio of signal in transgenic line compared to wild type for each specified treatment. Drt/WW refers to the ratio of signal in each individual line in response to drought relative to well-watered control. Rec/Drt refers to the signal ratio in individual line in response to re-watering relative to drought treatment. Rec/WW refers to signal ratio in an individual lines after re-watering relative to respective well-watered plants.



Supplemental Fig. S1. RAV1 overexpressing- and ABI5¹⁻¹⁻¹ greenhouse-grown lines (90 DAP) have significantly increased root biomass under well-watered and drought-stressed conditions compared to control Coker312, which trends to increased shoot biomass under well-watered conditions in RAV1 and ABI5 x RAV1-stacked lines. Error bars are s.e.m., n=10 plants for Coker312, n=3-4 plants for transgenics. Asterisk (*) indicates $P < 0.05$; carat (^) indicates $P < 0.08$ (Student's two-sided t-test, equal variance assumed).

