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Single nucleotide polymorphisms and chromosomal copy number variation may impact the *Sporothrix brasiliensis* antifungal susceptibility and sporotrichosis clinical outcomes

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1 **TITLE:** Single nucleotide polymorphisms and chromosomal copy number variation may
2 impact the *Sporothrix brasiliensis* antifungal susceptibility and sporotrichosis clinical out-
3 comes

4 **RUNNING TITLE:** Chromosomal variation in *S. brasiliensis*

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24

25 **HIGHLIGHTS**

- 26 • *Sporothrix brasiliensis* isolates showed variable antifungal resistance pheno-
27 types;
28 • Infections caused by this species also present varied clinical manifestations;
29 • Antifungal-resistant strains display significant copy number variation (CNVs);
30 • CNVs affect genes involved in lipid and isoprenoid metabolism;
31 • SNP`s in the *tac1* gene may also contribute to antifungal resistance;

32

33 **KEYWORDS** – *Sporothrix brasiliensis*, chromosomal variation, *tac1* gene, lipid metabo-
34 lism, antifungal susceptibility.

35

36

37 **ABSTRACT**

38 Feline-transmitted sporotrichosis has garnered attention due to the recent high in-
39 cidence and the lack of efficient control in the epicenter of the epidemic, Rio de Janeiro,
40 Brazil. *Sporothrix brasiliensis* is the major pathogen involved in feline-to-human sporotri-
41 chosis in Brazil and displays more virulent genotypes than the closely related species *S.*
42 *schenckii*. Over the last two decades, several reports of antifungal-resistant strains have
43 emerged. Sequencing and comparison analysis of the outbreak strains allowed us to ob-
44 serve that the azole non-wild-type *S. brasiliensis* strain CFP 1054 had significant chro-
45 mosomal variations compared to wild-type strains. This includes a region of 231 Kb con-
46 taining 75 duplicated genes in the CFP 1054 genome compared to other *S. brasiliensis*
47 strains, which were overrepresented for lipid and isoprenoid metabolism. We also identi-
48 fied an additional strain (CFP 1055) that was non-wild-type to itraconazole and amphi-
49 tericin B, which had a single nucleotide polymorphism in the *tac1* gene. The patients in-
50 fected with these two strains showed protracted clinical course and sequelae. These re-
51 sults suggest that specific point mutations and large chromosomal duplications may play
52 an important role in antifungal resistance and clinical outcomes of sporotrichosis caused
53 by this pathogen.

54

55 1. INTRODUCTION

56 Sporotrichosis is a globally distributed subacute or chronic subcutaneous fungal
57 disease caused primarily by the thermodimorphic fungi *Sporothrix schenckii*, *Sporothrix*
58 *brasiliensis*, and *Sporothrix globosa*. The disease is most common in tropical and sub-
59 tropical countries but the *Sporothrix* genus has a global distribution (Chakrabarti et al.,
60 2015; Orofino-Costa et al., 2017). While *S. schenckii* and *S. globosa* are cosmopolitan,
61 *S. brasiliensis* is restricted to South America [reviewed in (Etcheopaz et al., 2021; Zhang
62 et al., 2015b)]. The three species also seem to differ in the clinical manifestation of spo-
63 rotrichosis. *Sporothrix schenckii* causes a benign chronic subcutaneous mycosis, *S.*
64 *brasiliensis* frequently causes disseminated or disseminated cutaneous forms (Freitas et
65 al., 2015), and *S. globosa* mainly causes fixed cutaneous lesions (Liu et al., 2021). Other
66 *Sporothrix* species (e.g., *S. mexicana*) have not been commonly associated with disease
67 (Bonifaz et al., 2020). Sporotrichosis transmission usually follows one of two routes. First,
68 the disease is commonly caused by traumatic inoculation into the skin by soil and plant
69 material (e.g., spines or thorns) harboring fungal cells; the second form involves a bite or
70 scratch most commonly from a mammal (e.g., cats or dog) but in some cases by birds
71 (Barros et al., 2011; Orofino-Costa et al., 2017). Other less common forms of transmission
72 include inhalation of conidia and mucosal infection by aerosols containing *Sporothrix* cells
73 (Arinelli et al., 2019; Aung et al., 2013). All *Sporothrix* spp. inhabit soils or are found in
74 association with live and decaying plants as a filamentous mycelia producing infectious
75 conidiogenous cells. After reaching the cutaneous or subcutaneous host tissues, mycelial
76 fragments convert into the pathogenic yeast forms (Barros et al., 2011).

77 For almost a century, the disease was considered a classic implantation mycosis,
78 mostly related to occupational activities, especially farmers (Govender et al., 2015; Hajjeh
79 et al., 1997). The knowledge about sporotrichosis disease transmission changed in the
80 late 90's due to the appearance of multiple sporotrichosis outbreaks related to feline-to-
81 human or feline-to-feline transmission by either contact or bites or scratches caused by
82 cats in Rio de Janeiro (RJ), Brazil (de Lima Barros et al., 2001; Orofino-Costa et al., 2017).
83 The ongoing epidemic has affected at least 7,897 humans, 5,113 cats, and 244 dogs in
84 RJ (Boechat et al., 2018; Gremiao et al., 2020). The cat-transmitted disease in the South-
85 ern states of Brazil is usually caused by the endemic species *S. brasiliensis* (Rodrigues
86 et al., 2013; Rossow et al., 2020). More recently, different states from the Midwestern and
87 Northeastern Brazil have also reported cat-transmitted sporotrichosis due to *S. brasili-*
88 *ensis* (de Oliveira Bento et al., 2021; Eudes Filho et al., 2020; Silva et al., 2021). The
89 geographic range seems to extent out of Brazil, as *S. brasiliensis* has been isolated from
90 soil samples from Argentina (Cordoba et al., 2018) and in a family from Paraguay (García
91 Duarte et al., 2017), suggesting a broader occurrence of this pathogen in South America.

92 Antifungal resistance is arguably one of the main concerns among the medical
93 mycology community (Wiederhold, 2017). Sporotrichosis is commonly diagnosed by iso-
94 lation and identification of *Sporothrix* spp. on Sabouraud and/or Mycosel agar. Addition-
95 ally, serological tests can be used for presumptive diagnosis (Orofino-Costa et al., 2017).
96 Diverse therapeutic strategies are available to treat this fungal infection; topic or oral po-
97 tassium iodide, azoles, in ~~speeial-particular~~ itraconazole and posaconazole, as well as
98 terbinafine, are frequently used to manage this disease. For disseminated sporotrichosis,
99 intravenous amphotericin B is currently recommended (Kauffman et al., 2007).

100 Previous surveys have studied the extent of antifungal susceptibility in the *Sporo-*
101 *thrix* genus and have found both inter and intra-specific variability (Waller et al., 2021).
102 Whole genome surveys have also studied the magnitude of genetic variation in two dif-
103 ferent *Sporothrix* species with an emphasis on single nucleotide polymorphism [SNPs,
104 (Eudes Filho et al., 2020)]. To date, no effort has characterized the extent of different
105 genetic mechanisms of antifungal resistance in the genus *Sporothrix*. Resistance can oc-
106 cur through chromosomal aneuploidy (Yang et al., 2019) or SNPs (Billmyre et al., 2020;
107 Sanglard, 2019) in *Candida* spp. or *Cryptococcus* spp. Nothing is known in the genome
108 of *Sporothrix* about how much aneuploidy, or variation in genes known to cause antifungal
109 drugs resistance.

110 Over more than 20 years of a zoonotic sporotrichosis epidemic due to *S. brasili-*
111 *ensis*, some therapeutic failures with different antifungal drugs have been reported in hu-
112 mans and felines (Almeida-Paes et al., 2017; Nakasu et al., 2021). Additionally, we found
113 isolates with high minimum inhibitory concentration (MIC) for five different antifungals
114 (non-wild type – NWT) among 335 *S. brasiliensis* isolates previously tested (Almeida-
115 Paes et al., 2017). We hypothesized that NWT strains could have punctual-to-chromo-
116 some level mutations affecting genes involved in resistance to antifungals (Sanglard,
117 2019).

118 *Sporothrix brasiliensis* is a haploid fungus that displays a varied genome size rang-
119 ing from 25.7 to 34.7 Mb (average 27.4 Mb), distributed among 5 to 7 chromosomes
120 (Sasaki et al., 2014). Despite the designation of *S. brasiliensis* as a clonal species
121 (Teixeira Mde et al., 2015), the highly-variable genomic size differences indicate that
122 SNP's, partial or complete chromosomal duplication or losses are occurring (Sasaki et

123 al., 2014). The reference genome *S. brasiliensis* strain 5110 was sequenced to a 20X
124 coverage using the 454 platform. The assembly resulted in a genome size of 33.2 Mb
125 distributed in 13 scaffolds and 9,091 genes were predicted (Teixeira et al., 2014). Previ-
126 ous studies suggest that *S. brasiliensis* isolates display remarkable chromosomal varia-
127 tion, indicating that genomic polymorphism might impact clinically relevant phenotypic
128 variation, such as virulence and antifungal resistance (Sasaki et al., 2014). In this study,
129 we explored the link between genomic variation, antifungal resistance, and clinical phe-
130 notypes by identifying intraspecific point mutations, SNPs in well-known genes related to
131 antifungal resistance and DNA copy number~~local ploidy shift~~ between *S. brasiliensis*
132 “wild-type” (WT) and NWT strains against itraconazole, posaconazole, ketoconazole and
133 amphotericin B, commonly used in the treatment of human sporotrichosis. Finally, we
134 predict the impact of chromosomal polymorphisms on the *S. brasiliensis* biology based
135 on gene ontology (GO) and functional characterization of affected genes.

136

137 **2. MATERIAL AND METHODS**

138 **2.1. Strains and cultivation**

139 We measured the susceptibility to antifungal drugs of four strains (Table 1). Ini-
140 tially, the strains were sub-cultured on potato dextrose agar (PDA) (Difco Laboratories,
141 Sparks, MD, USA) and kept at the Collection of Pathogenic Fungi of Fundação Oswaldo
142 Cruz (Fiocruz, Brazil). The antifungal susceptibilities to itraconazole (ITR), posaconazole
143 (POS), ketoconazole (KET), amphotericin B (AMB), and terbinafine (TRB) (all from
144 Sigma-Aldrich, Co., St. Louis, MO, USA) were tested by using the Clinical Laboratory
145 Standards Institute M38-A2 protocol (CLSI, 2008), and strains were classified as WT or

146 NWT using validated epidemiological cut-off values (ECV). Experiments were carried out
147 in triplicates and using ten different concentrations of the drugs as reported previously
148 ((Espinel-Ingroff et al., 2017)). These ECV for the WT/NWT differentiation were 2.0 mg/L
149 for KET, ITR, and POS; 4.0 mg/L for AMB; and 0.12 mg/L for TRB.

150

151 **2.2. Patient information**

152 We retrieved the medical records and anonymously evaluated the clinical data of
153 patients infected with the four strains included in this study: sex, age, clinical manifesta-
154 tion, the form of transmission, residence place, treatment and outcome. The research
155 was approved by the Research Ethics Committee of the Evandro Chagas National Insti-
156 tute of Infectious Diseases (INI)/Fiocruz (CAAE 16160619.5.0000.5262).

157

158 **2.3. Whole-genome sequencing (WGS) and chromosomal Copy Number Vari- 159 ation (CNV)**

160 We sequenced the genomes from the four strains we had data on antifungal sus-
161 ceptibility (see above). DNA was extracted after collection of *S. brasiliensis* cells (0.3 g),
162 disruption with liquid nitrogen, treatment with lysis buffer (1 M Tris pH 8.0, 50 mM EDTA,
163 and 20% sucrose), and incubation at 65 °C for five minutes. Equal volume of 24:1 mixture
164 of chloroform - isoamyl alcohol (Sigma-Aldrich, St. Louis, MO) were applied to the sus-
165 pension of DNA following protocols previously published (Woods et al., 1993). Next, the
166 integrity of the extracted DNA was assessed using gel electrophoresis on 0.8% agarose
167 gel stained with 0.5% ethidium bromide. DNA was quantified using the Nanovue Plus™
168 Spectrophotometer (GE Healthcare, Buckinghamshire, UK). Sequencing libraries using 1

169 µg of the purified material was prepared using the NEBNext® Ultra™ DNA Library Prep
170 Kit. Paired-end genomic sequencing (2 X 150bp) was carried out on the NovaSeq 6000
171 platform using the S4 reagent kit (SRA accession number: SRR12483726-
172 SRR12483729). Demultiplexed fastq reads were quality-controlled by removing of
173 adapter sequence and base quality trimmed using Trimmomatic v 0.36 using default pa-
174 rameters (Bolger et al., 2014). The trimmed reads were mapped to the *S. brasiliensis*
175 5110 reference genome (GenBank: AWTV000000000.1) with the Burrows-Wheeler
176 Aligner (BWA) v 0.7.7 (Li and Durbin, 2009) and converted to a BAM format with SAMtools
177 (Danecek et al., 2021). Incompatible DNA intervals were purged by re-aligning the reads
178 to the reference genome with the GATK v 3.3-0 RealignerTargetCreator and IndelRe-
179 aligner tools (McKenna et al., 2010) and SNP's were retrieved. The reference genomes
180 and re-aligned BAM files were uploaded to the Yeast Mapping Analysis Pipeline (YMAP
181 - <http://lovelace.cs.umn.edu/Ymap/>)-(Abbey et al., 2014) to detecting chromosomal poly-
182 morphisms between antifungal WT or NWT *S. brasiliensis* strains. This tool allows the
183 screening of CNVs by 1) processing BAM files into the mpileup format containing relevant
184 information about chromosome positions via SAMtools (Li et al., 2009); 2) correcting po-
185 tential bias at the chromosomal telomeric regions; and 3) locally estimating copy numbers
186 that are evened to the impact of high-frequency noise and multiplied by the *S. brasiliensis*
187 ploidy [set to 1 - (Ferreira et al., 2019)]. We have excluded small CNVs with size lower
188 than 10Kb and only those with unique profiles were considered for further analysis.
189 To identify SNPs, we ~~used~~ assembled each genome using the Automatic Assembly For
190 The Fungi (AAFTF) pipeline version v 0.2.4 (Stajich and Palmer, 2019) which relies on

191 BMAP v 38.86 (<https://sourceforge.net/projects/bbmap>) and SPAdes v 3.13.1 (k: auto-
192 matic selection based on read length, with enabled Repeat resolution, and MismatchCor-
193 rector (Bankevich et al., 2012). We also used coverage cutoff with an auto-detected
194 threshold. Finally, we used Pilon v1.22 (Walker et al., 2014) for assembly correction, and
195 vector contamination screening with NCBI BLAST. To verify potentially contaminated ma-
196 terial or chimeric scaffolds, BlobTools v 1.0 (Laetsch and Blaxter, 2017) ([https://ze-](https://zenodo.org/record/845347#.YXIMvtbMKqA)
197 [node.org/record/845347#.YXIMvtbMKqA](https://zenodo.org/record/845347#.YXIMvtbMKqA)) was carried out for taxonomic interrogation of
198 the sequenced paired-end reads and purged any identified prokaryotic scaffolds.
199 (~~Basenko et al., 2018~~)

200

201 **2.4. Functional annotation of duplicated loci.**

202 Initially, we exported the segmental duplications found in different scaffolds defined
203 by YMAP as tracks into the JBrowse server. Next, the gene models from the *S. brasili-*
204 *ensis* 5110 genome into JBrowse was imported to precisely investigate whether or not
205 duplications involved protein-coding loci. Gene IDs were retrieved and used as queries
206 for the functional characterization of the duplicated loci based on the *S. brasiliensis* 5110
207 annotation available at the FungiDB database (<https://fungidb.org/fungidb/> - (Basenko et
208 al., 2018)). The protein domains and structures were analyzed and categorized according
209 to their GO groups and used the hypergeometric tests in expanded or contracted GO
210 categories.

211

212 **2.5. Characterization of point mutations and SNPs in *S. brasiliensis* in well-** 213 **known antifungal-resistance genes for human fungal pathogens**

214 We selected 28 genes with SNPs that are known to be important for antifungal re-
215 sistance phenotype in human pathogenic fungi such as *Candida albicans* (Chen et al.,
216 2019; Feng et al., 2020; Feng et al., 2017; Ramirez-Zavala et al., 2018; Whaley et al.,
217 2016; Zhang et al., 2015a), *C. tropicalis* (Tan et al., 2015), *C. glabrata* (Ahmad et al.,
218 2019; Arastehfar et al., 2019; Culakova et al., 2015; Delliere et al., 2016; Hou et al., 2019;
219 Whaley et al., 2018), *C. parapsilosis* (Rybak et al., 2017), *C. dubliniensis* (Asadzadeh et
220 al., 2017), *Saccharomyces cerevisiae* (Chen et al., 2014), *Cryptococcus* spp. (Billmyre et
221 al., 2020; Son et al., 2018), *Aspergillus* spp. (Losada et al., 2015; Ukai et al., 2018; Wei
222 et al., 2017) (55–57), and *Trichophyton interdigitale* (Rudramurthy et al., 2018) based on
223 a literature search. These alleles of these genes are associated with resistance against
224 several classes of antifungal drugs, such as azoles, polyenes, allylamines, echi-
225 nocandins, and pyrimidine analogues (Supplementary Table 1).

226 The antifungal-resistance homologues present in the *S. brasiliensis* genome were
227 identified using BLASTP, and individually extracted individual genes (Teixeira et al.,
228 2014). The 28 antifungal-resistance genes were aligned across five *S. brasiliensis* ge-
229 nomes (1 reference and 4 tested) using MAFFT version 7 (Kato and Standley, 2013)
230 and retrieved all identified missense and nonsense mutations.

231 We also retrieved high confident SNP's deciphered by the GATK pipeline and those
232 were characterized based on the genomic annotations available at the FungiDB reposi-
233 tory (Basenko et al., 2018). We used the Integrative Genomics Viewer (IGV) to help in
234 identifying the point mutations by uploading (i) the genomic scaffolds of the *S. brasiliensis*
235 5110 reference genome, (ii) annotation files (in .gff3 format) and individual variant call
236 files (in .vcf format).

237

238

239 3. RESULTS

240 3.1. Antifungal susceptibility and sporotrichosis definition

241 ~~Clinical manifestations~~The clinical outcomes of the four cases of sporotrichosis
242 differed among them. ~~A~~All patients presented with the lymphocutaneous form of the
243 disease with lesions on the upper limbs that resulted from being injured by cats with
244 sporotrichosis. Table 2 presents the clinical, demographic, therapeutic, and prognostic
245 data of patients infected with these strains. Of the four cases, case 4 was the most
246 serious as it also presented a contiguous osteoarticular involvement on her fourth
247 metacarpophalangeal joint. Treatment of patients infected with the NWT strains was
248 challenging, requiring a higher dose of antifungal, and changes in the regimen of ap-
249 plication, as well as adjuvant non-pharmacologic therapeutics such as cryosurgery
250 and curettage. Although all patients achieved clinical cure, two of them, infected with
251 the CFP 1055 and CFP 1054 strains, developed permanent sequelae such as fibrous
252 cord and amputation of the metacarpus respectively. We determined the MIC of each
253 antifungal drug for the *S. brasiliensis* strains as presented in Table 1. Strain CFP 1056
254 displays a WT phenotype for all antifungal drugs tested, whereas strains CFP 1062
255 and CFP 1054 are NWT for all the azoles tested and strain CFP 1055 is NWT for
256 itraconazole and amphotericin B.

257 3.2. Chromosomal variation within *S. brasiliensis*

258 It was observed low genomic variability in the 13 scaffolds surveyed within the four
259 strains from Rio de Janeiro (CFP 1054, CFP 1055, CFP 1056, and CFP 1062). First, it
260 was detected no major ploidy-copy number variations in most lines. All but one rese-

261 quenced lines from ~~this population~~ the *S. brasiliensis* RJ population, show a uniform dis-
262 tribution of read coverage along the 5110 reference genome. We did noted two significant
263 copy number variation ~~shifts to a local diploid~~ signature in the azole NWT strain CFP 1054.
264 The two duplicated chromosome segments are located in scaffolds 4 and 10 of the *S.*
265 *brasiliensis* reference strain 5110 (Figure 1). The gene content of these two segments
266 were explored.

267 The duplicated loci localized on the scaffolds IV and X ~~corresponded~~ has to 161
268 Kb and 70 Kb, respectively, ~~totaling~~ totalizing 231 Kb (0.71% of the *S. brasiliensis* 5110
269 genome – Figure 2). To exclude the possibility of genome sequencing contamination, it
270 was running BlobTools on this assembly and it was not find any significant bacterial or
271 eukaryotic (non-*Sporothrix* DNA) contaminant contigs (Supplementary Figure 1)–. The
272 duplicated genomic segments detected in the *S. brasiliensis* CFP 1054 strain were asso-
273 ciated with 75 protein-coding genes as listed in the Supplementary Table 2. Among these
274 duplicated loci, two GO slim terms were significantly overrepresented: lipid metabolism
275 (GO:0006629, p=0.0231) and lipid binding (GO:0008289, p=9.00 × 10⁻⁵,) are affected by
276 copy number variation ~~local ploidy variation~~. Individual GO categories that are overrepre-
277 sented in the duplicated loci are shown on Supplementary Table 3. Next, REVIGO was
278 applied to summarize the lists of GO terms by discarding redundancy. The curated terms
279 were visualized in semantic similarity-based scatterplots (Figure 3). Overrepresented GO
280 slim terms are the metabolism and biosynthesis of lipids and isoprenoids and proton-
281 transporting V-type and two-sector ATPases in the NWT strain CFP 1054. The genes
282 related to metabolism and biosynthesis of lipids and isoprenoids are SPBR_05677,

283 SPBR_05940, SPBR_05903 and SPBR_05498 while the gene codified for a proton-trans-
284 porting V-type protein is SPBR_06076. Genes related to the lipid binding function are
285 SPBR_04543, SPBR_04589, SPBR_05377 and SPBR_05521 (see Supplementary Ta-
286 ble 3)

287 **3.3. Point mutations and Single nucleotide polymorphisms in resistance-re-** 288 **lated genes**

289 The literature search retrieved 22 manuscript reporting 28 distinct genes containing
290 SNP's that impacts ~~associate with~~ amphotericin B (n=2), azoles (n=22), echinocandins
291 (n=2), terbinafine (n=1), and flucytosine (n=2) resistance in several fungi, including *As-*
292 *pergillus fumigatus* (n=5), *Aspergillus flavus* (n=1), *Candida albicans* (n=8), *Candida*
293 *dubliniensis* (n=1), *Candida glabrata* (n=7), *Candida parapsilosis* (n=1), *Candida tropi-*
294 *calis* (n=1), *Cryptococcus neoformans* (n=2), *Saccharomyces cerevisiae* (n=1), and *Tri-*
295 *chophyton interdigitale* (n=1). By searching these well-characterized antifungal resistance
296 homologues in the *S. brasiliensis* genomes, ~~it was found all~~ we identified all 28 ~~genes~~
297 homologues in all 4-5 analyzed genomes ~~and including~~ the *S. brasiliensis* 5110 reference
298 ~~5110~~ genome (Supplementary Table 1). A single non-synonymous mutation was ob-
299 served in one of the 28 genes evaluated among the 4 analyzed *S. brasiliensis* strains: a
300 nonsense mutation in the amino acid position 672 of the *Tac1* protein in strain CFP 1055
301 (Supplementary Figure 2). This strain is NWT to both itraconazole and amphotericin B.

302 In addition, we characterized 70 point mutations in the 4 *S. brasiliensis* isolates
303 analyzed. It's worth nothing the most of the point mutations herein identified were ob-
304 served in the NWT strains CFP 1054 and CFP 1055 that exhibit antifungal resistance
305 phenotypes or are associated with poor clinical sporotrichosis outcomes. Moreover, we

306 observed that most non-synonymous point mutations were accumulated in those above-
307 mentioned strains. We observed that 4 non-synonymous point mutations in the CFP 1055
308 (SPBR 03899, SPBR 00299, SPBR 00791, SPBR 06930) while 12 non-synonymous
309 point mutations were identified in the CFP 1055 strain (SPBR 03648, SPBR 05529
310 SPBR 02188, SPBR 02191, SPBR 02295, SPBR 02181, SPBR 09104,
311 SPBR 00274, SPBR 07141, SPBR 06450, SPBR 05046, SPBR 08716). The annota-
312 tions and characteristics of all mutations are available at Supplementary Table 4. Partic-
313 ularly for the CFP 1055 strain, the SPBR 00299 gene codifies for a MATE (multidrug and
314 toxic compound extrusion) efflux transporter and such non-synonymous mutation might
315 impact in the antifungal resistant phenotype. Another mutation of particular interest was
316 observed in the CFP 1054 strain at the SPBR 02295 gene. This gene codifies for a volt-
317 age-gated chloride channel protein and such mutation might alter the permeabilization of
318 fungal cells. Overall, such finding needs to be further characterized using gain-and-loss
319 of function studies to prove that such polymorphisms are related to poor disease out-
320 comes or antifungal resistance phenotypes.

321

322 4. DISCUSSION

323 The evolution of antifungal-resistant phenotypes in fungal species is determined
324 by the strength of selection on the trait (Fisher et al., 2020). In the past 24 years, zoonotic
325 sporotrichosis, a disease initially observed in Rio de Janeiro, has spread over Brazil and
326 has gained attention among health authorities. *Sporothrix brasiliensis*, the causal agent
327 of the disease, is characterized by its high virulence, increased zoonotic transmissibility,
328 and higher antifungal resistance, leading to a wide spectrum of severe clinical presenta-
329 tions (Almeida-Paes et al., 2017; Nakasu et al., 2021; Orofino-Costa et al., 2017). Genetic
330 studies reveal that this species is haploid (Ferreira et al., 2019; Teixeira et al., 2014), a
331 pattern confirmed by the analyses of the four strains in this report. Both MLST and whole-
332 genome SNP suggest that the Rio de Janeiro isolates have low levels of nucleotide di-
333 versity (π) consistent with the possibility of a clonal outbreak (Eudes Filho et al., 2020;
334 Teixeira Mde et al., 2015). Despite the low SNP genetic variability of *S. brasiliensis*, phe-
335 notypic variation in *in vitro* responses to antifungal drugs is extensive in *S. brasiliensis*
336 (Almeida-Paes et al., 2017; Espinel-Ingroff et al., 2017; Sanchotene et al., 2017), includ-
337 ing complete antifungal resistance (Almeida-Paes et al., 2017; Guterres et al., 2014;
338 Nakasu et al., 2021). MLST suggest that strains with high itraconazole MIC seem to be
339 genetically differentiated from susceptible strains (Rodrigues et al., 2014). Such differen-
340 tiation was not observed for strains with differences in their susceptibility to posaconazole
341 and amphotericin B (Rodrigues et al., 2014). Given the low genetic variability and the
342 extent of phenotypic variation, the differences in susceptibility to itraconazole trait might
343 be amenable to fine genetic mapping.

344 Two types of mutations have been associated with antifungal resistance, structural
345 variation and SNPs. The first type, aneuploidy and copy number variation~~structural vari-~~
346 ~~ation~~, seems to be pervasive in fungi (Yang et al., 2019). Karyotype profiling based on
347 Pulsed-Field Gel Electrophoresis coupled with chromosomal blotting analysis suggested
348 that chromosomal polymorphisms exist within *S. brasiliensis* strains (Sasaki et al., 2014).
349 In this study, we find that the extent of genome structure variation is not only restricted to
350 chromosome number. WGS indicates that the *S. brasiliensis* strain CFP 1054, isolated
351 from a refractory case of sporotrichosis with poor prognosis and reduced susceptibility to
352 antifungal drugs, shows copy number variation~~local ploidy variation~~ (Figure 1). Our results
353 suggest that the duplicated segments in the *S. brasiliensis* NWT strain CFP 1054 genome
354 are enriched for genes involved in the metabolism and biosynthesis of lipids and isopre-
355 noids, genes encoding proton-transporting V-type and two-sector ATPases. Azoles, the
356 family of compounds in several antifungals, directly affect membrane-bound enzymes and
357 membrane lipid biosynthesis (Shafiei et al., 2020). For example, the modulation of iso-
358 prenoid and lipid biosynthesis impacts the plasma membrane lipid bilayer symmetry and
359 fluidity, which increases virulence and multi-drug-resistant phenotypes in pathogenic bac-
360 teria and fungi (Rizzo et al., 2019). Moreover, the fungal plasma membrane and vesicles
361 harbor a high number of proton ATPases that are associated with the maintenance of
362 electrochemical proton gradients (Monk and Perlin, 1994). These enzymes are responsi-
363 ble for numerous processes of intracellular protein trafficking and translocation, and their
364 overexpression might be related to azole resistance in *S. brasiliensis*. Lipid transporters
365 have been recurrently associated with virulence and drug resistance in different species
366 of pathogenic fungi (Mago and Khuller, 1989; Pan et al., 2018; Rizzo et al., 2019). The

367 results altogether suggest that strain CFP 1054 might have duplicated genes that directly
368 affect the structure and organization of the plasma membrane. This association of copy
369 number variations~~local ploidy variation~~ and resistance to antifungals warrants more in-
370 vestigation.

371 Structural genomic variation is unlikely to be the only source of increased re-
372 sistance to antifungals. Strain CFP 1055, classified as NWT for multiple drugs, including
373 itraconazole, did not show significant copy number variation~~local ploidy alterations~~. The
374 strain does have a missense mutation on the gene that encodes the transcriptional acti-
375 vator *tac1*. In *C. albicans*, the TAC1 transcriptional activator regulates the expression of
376 *cdr1* and *cdr2*, which encode transporters involved in azole resistance (Coste et al.,
377 2004). In *C. haemulonii*, the overexpression of the CDR1 gene may confer triazole re-
378 sistance (Zhang et al., 2019). The presence of extra copies of this gene on the isochro-
379 mosome i (5L) of *C. albicans* also confers azole resistance (Selmecki et al., 2008). Sim-
380 ilarly, variation at *tac1* has been associated with azole-resistant phenotypes in the emerg-
381 ing pathogen *C. auris* (Li et al., 2021). Our findings do not conclusively prove that muta-
382 tions in *tac1* are the mechanism of resistance, but these analyses provide a working hy-
383 pothesis for linking antifungal resistance and nucleotide variation in fungal pathogens.
384 Lastly, we did not identify any DNA polymorphism in the candidate genes, or copy number
385 variation~~local ploidy shift~~ associated with the multi-azolic resistant strain CFP 1062. Other
386 genes currently not associated with antifungal resistance might be responsible for azole
387 resistance in this strain.

388 Our report opens at least two research lines to be pursued in *Sporothrix*. Even
389 though the number of isolates included in our survey is low, our study serves as a spring-
390 board to broadly analyze the extent of genome plasticity in *Sporothrix*. More generally,
391 antifungal resistance mechanisms in endemic fungal pathogens are poorly understood.
392 Due to their dimorphic nature, the effect of antifungals might differ at distinct life stages;
393 consequently the genetic architecture of the trait might also differ when measured at dif-
394 ferent stages. Additionally, population structure within species of *Sporothrix* might be of
395 relevance to understand the spread of antifungal resistance syndromes. Recent work
396 suggests that *S. brasiliensis* lineages from the epicenter of zoonotic sporotrichosis epi-
397 demic in Rio de Janeiro (Southeast) are genetically different from those recovered in Mid-
398 Western Brazil (Eudes Filho et al., 2020). Such differences appear to have an impact on
399 the *in vitro* susceptibility of this emerging pathogen and, more importantly, on the clinical
400 outcome of sporotrichosis.

401 Genome plasticity is a common phenomenon in fungi [e.g., (Todd et al., 2019)]
402 and has been often associated to emergent resistance to antifungals (Ford et al., 2015;
403 Todd and Selmecki, 2020). WGS approaches are becoming cheaper and more accessi-
404 ble and, therefore, will be crucial to not only understand the extent of genome variability
405 within *S. brasiliensis* strain typing, but also understand the drivers of virulence and anti-
406 fungal resistance in the causal agents of sporotrichosis. The causes and phenotypic con-
407 sequences of such genome variability need to be studied closely as they are likely to have
408 relevance for the clinical manifestations of sporotrichosis and ultimately affect the epide-
409 miology of the disease (Boechat et al., 2018; de Carvalho et al., 2020; Rodrigues et al.,
410 2014; Rodrigues et al., 2013).

411

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424

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426 Conceptualization: R.M.Z-O, R.A.P, A.R.B.E; Data curation: R.A.P, M.M.T, P.M.M,
427 D.F.S.F; Formal analysis: M.M.T, R.A.P, A.R.B.E; Funding acquisition: R.M.Z-O; Investi-
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430 B.M.B, J.E.S.; Supervision: R.M.Z-O, B.M.B, J.E.S.; Validation: D.R.M, A.M.N; Visualiza-
431 tion: M.M.T, R.A.P ; Roles/Writing - original draft: M.M.T, R.A.P; Writing - review & editing
432 M.M.T, D.R.M, B.M.B, J.E.S, R.M.Z-O.

433

434 **FIGURE CAPTIONS**

435 **Figure 1** – Copy number variation (CNV) analysis of *Sporothrix brasiliensis*. The vertical
436 histograms represent local copy number variation shifts in the ploidy (changes in ploidy
437 from n=1 to n=24→2) of each analyzed strain based on read depth across the *S. brasil-*
438 *iensis* reference 5110 genome. Significant changes in the copy number variation ploidy of
439 the *S. brasiliensis* CFP1054 strain are highlighted, indicating the presence of CNVs in two
440 scaffolds (Chr 4 and 10).

441

442 **Figure 2** – Genomic representation of duplicated genes of the *S. brasiliensis* CFP1054
443 strain. Genes are represented according to their orientation on chromosomes 4
444 (AWTV01000004.1) and 10 (AWTV01000010.1).

445

446 **Figure 3** – Enriched biological process (A) and molecular function (B) categories defined
447 by the Gene Ontology (GO) of the *S. brasiliensis* CFP1054 strain. The GO terms were
448 clustered using a neighbor-joining approach resulting in a two-level hierarchical structured
449 data are displayed in a two-dimensional space according to their semantic similarities and
450 closeness.

451 **Supplementary Figure 1** – **Taxonomical assignments of each scaffold of the *S.***
452 *brasiliensis* CFP1054 strain. The coverage and the G and C base proportion were ob-
453 tained by mapping the Illumina reads to its correspondent assembly and are displayed in
454 a two-dimensional scatter plot. Genomic sequences are represented by dots and are col-
455 ored based on taxonomic affiliation according to the sequence similarity search results.

456 **Supplementary Figure 2** – Amino acid alignment of the Tac1 protein of all *S. brasiliensis*
457 strains screened for missense and nonsense mutations of antifungal resistance genes.
458 In this particular case, we observed a stop codon (*) in position 672 of the *S. brasiliensis*
459 CFP 1055 strain.

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463

464 Table 1: Minimum inhibitory concentrations of *Sporothrix brasiliensis* strains included in
465 the study
466

Strain	Minimum inhibitory concentration (mg/L)				
	AMB	ITR	KET	POS	TRB
CFP 1056	0.12	0.5	0.03	0.25	<0.015
CFP 1062	1.0	4.0	8.0	>8.0	0.015
CFP 1055	>8.0	>8.0	4.0	0.25	0.015
CFP 1054	0.25	8.0	4.0	8.0	0.03

467
468
469

470 Table 2: Clinical, demographic, therapeutic, and prognostic information of patients with
 471 zoonotic sporotrichosis infected with the *Sporothrix brasiliensis* strains included in this
 472 study.
 473

Case	Sex	Age	Origin ¹	Strain	Year	Treatment		Outcome
						Drugs and other methods	Months	
1	M	32	Anchieta	CFP1056	1999	ITZ 100mg/day KTZ 200mg/day	2	Clinical cure
2	F	66	Sepetiba	CFP1062	2005	ITZ 100mg/day Cryosurgery and curettage	14	Clinical cure
3	M	68	Nova Iguaçu	CFP1055	2007	TRB up to 500 mg/day ITZ up to 200 mg/day Cryosurgery	38	Clinical cure Cord of scarring fibrous tissue
4	F	73	Duque de Caxias	CFP1054	2014	ITZ up to 400 mg/day ² TRB 250 mg/day	34	Clinical cure Amputation of 4 th left finger

474 M: male, F: female. ITZ: itraconazole, KTZ: ketoconazole, TRB: terbinafine. ¹Anchieta
 475 and Sepetiba are neighborhoods in the city of Rio de Janeiro. Nova Iguaçu and Duque
 476 de Caxias are cities in the metropolitan region of Rio de Janeiro. ²Due to bone sporotri-
 477 chosis.
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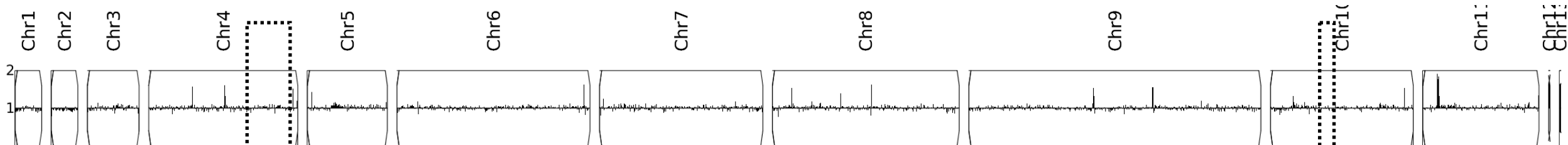
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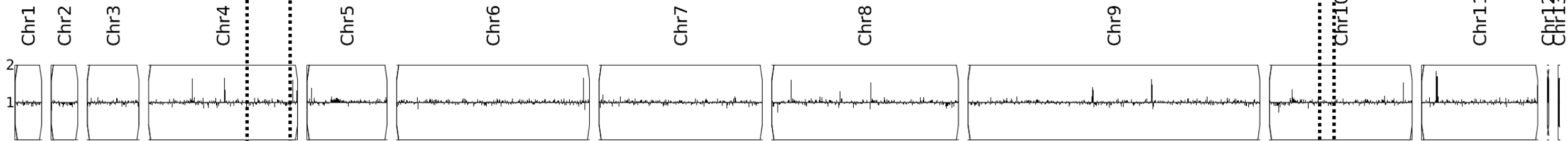
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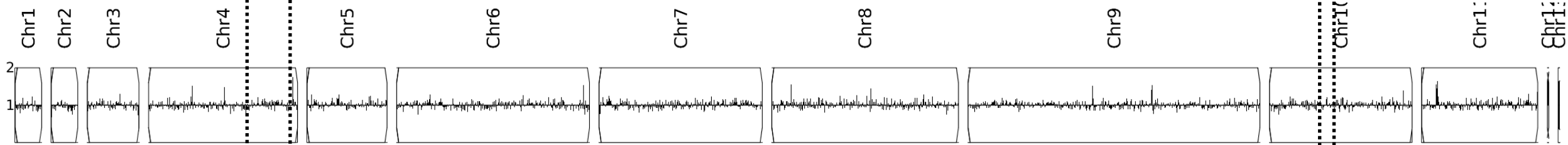
CFP1056



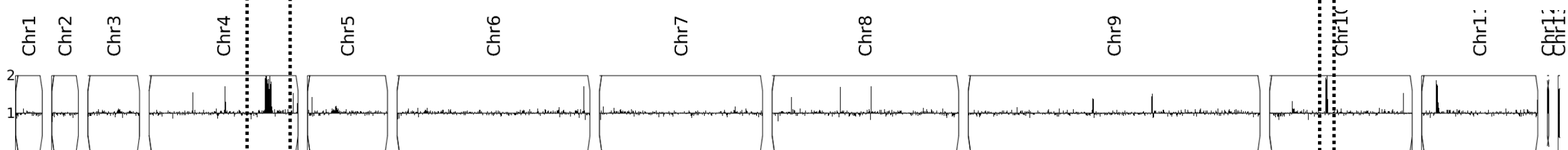
CFP1062



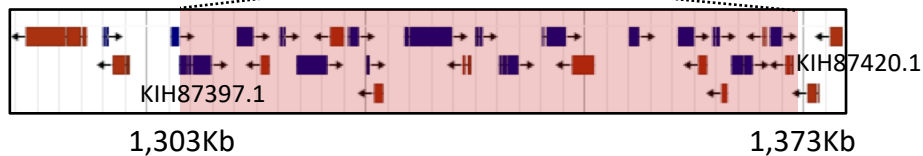
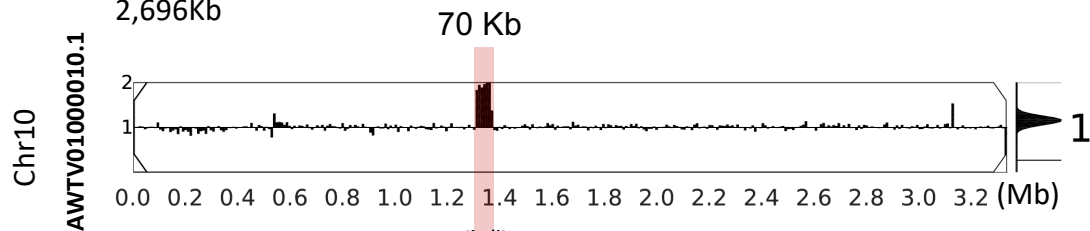
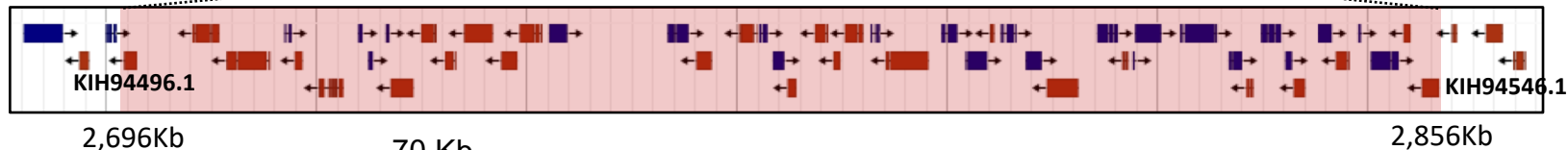
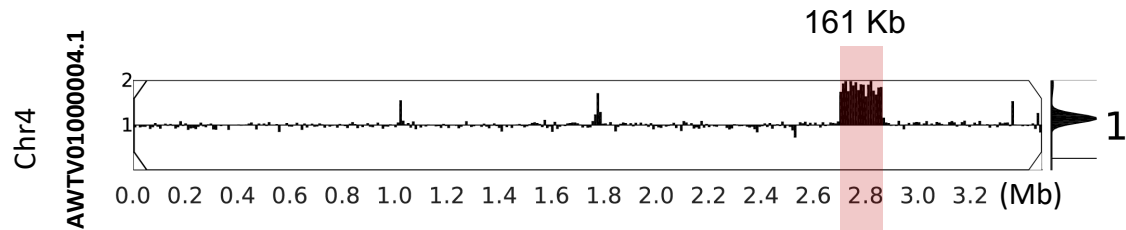
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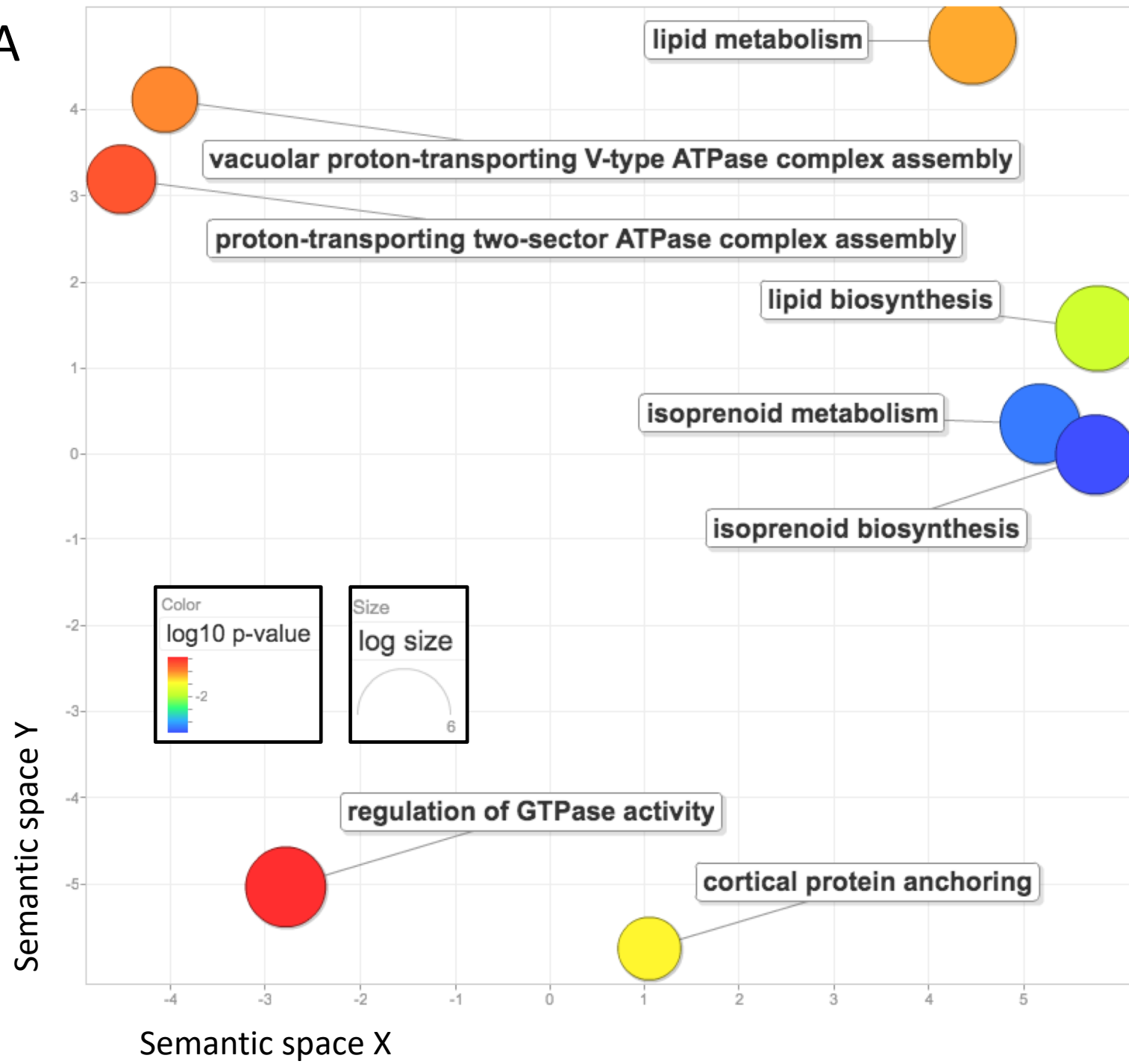
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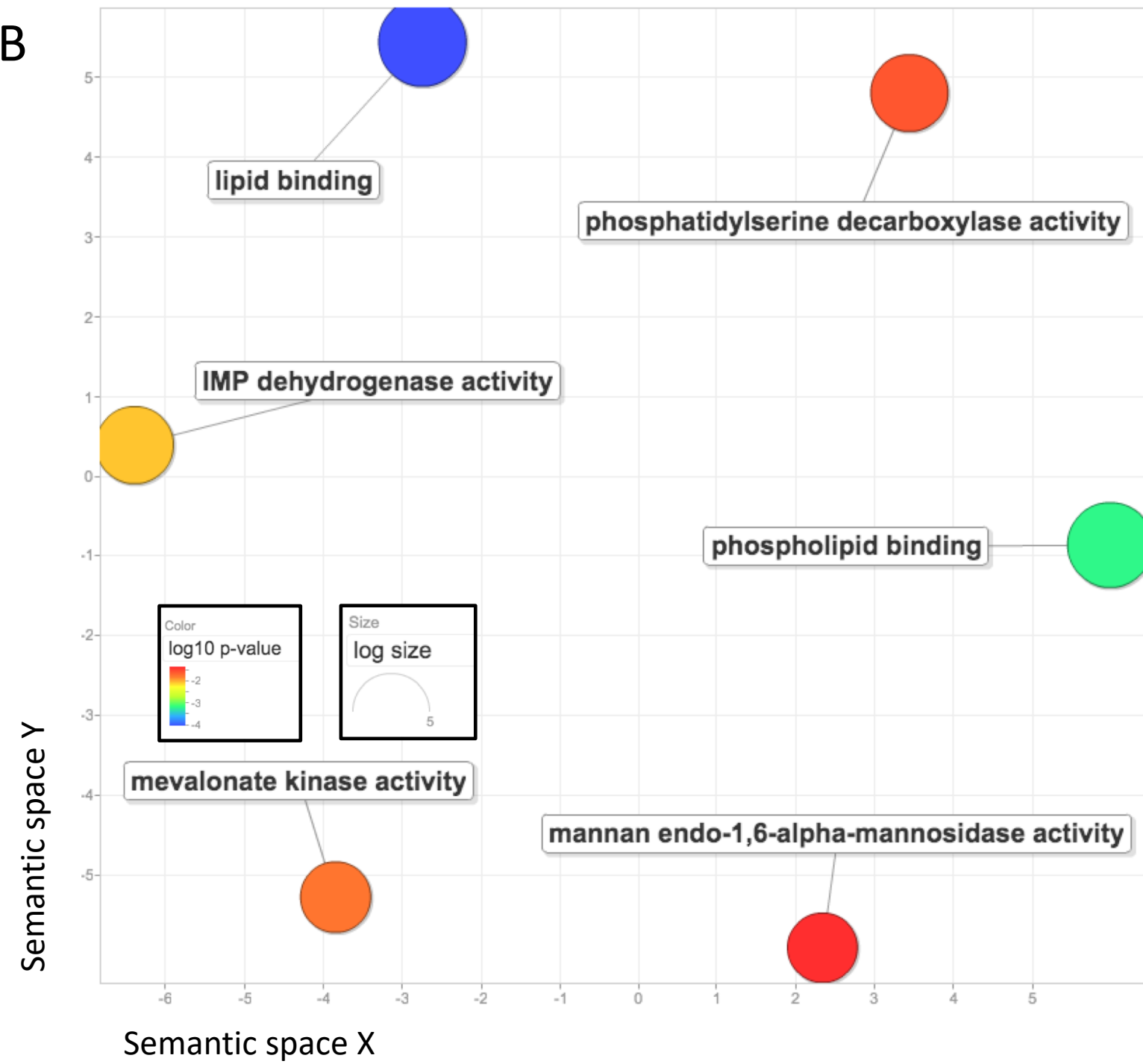
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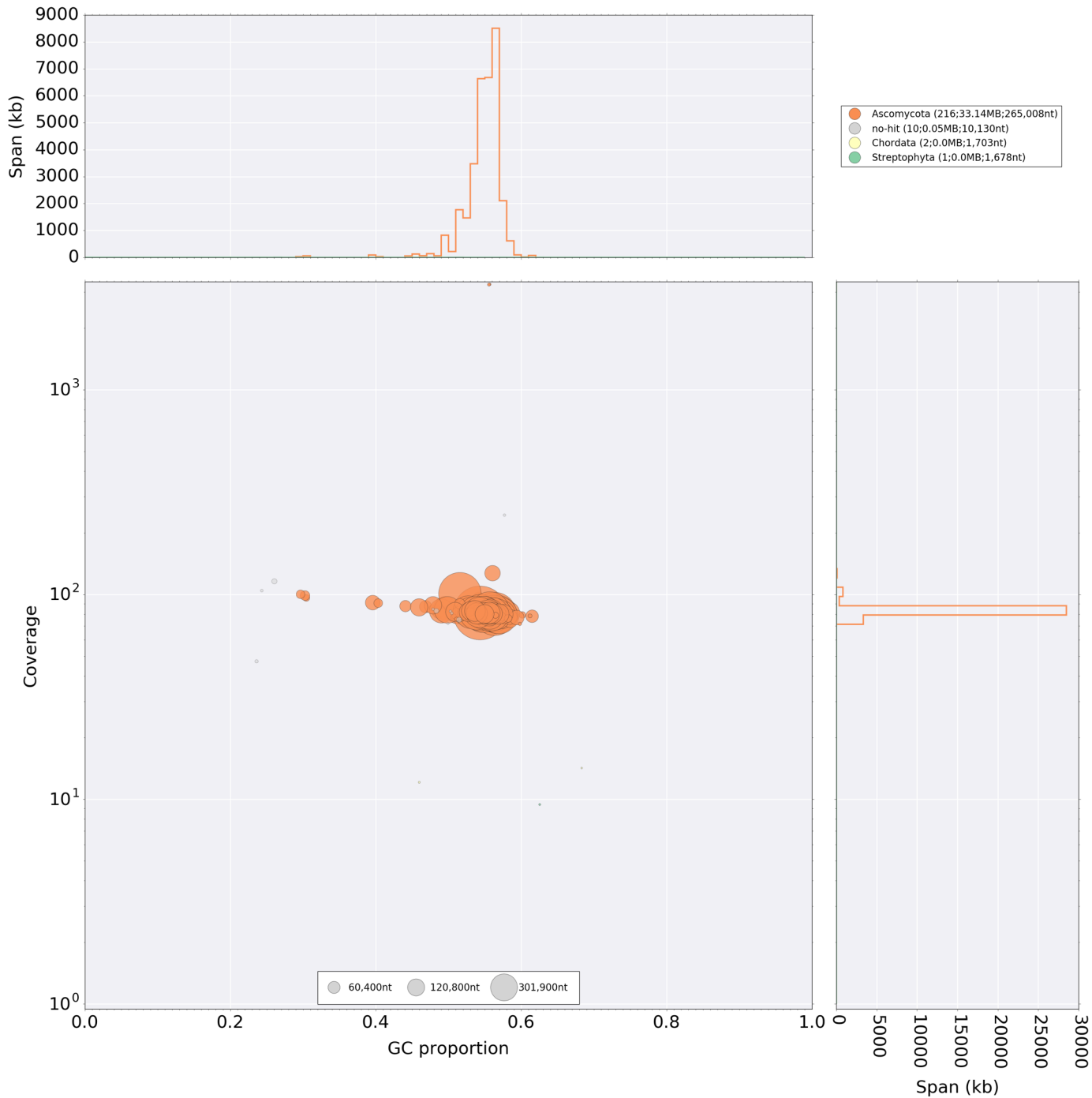


A



B





Tac1

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