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Regulatory genes involved in the determination of frost tolerance in temperate cereals

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Journal

Plant science : an international journal of experimental plant biology, 176(1)

ISSN

0168-9452

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Publication Date

2009

DOI

10.1016/j.plantsci.2008.09.016

Peer reviewed

Review

Regulatory genes involved in the determination of frost tolerance in temperate cereals

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ARTICLE INFO

Article history:

Received 5 August 2008

Received in revised form 25 September 2008

Accepted 26 September 2008

Available online 17 October 2008

Keywords:

Wheat

Frost tolerance

Vernalization

CBF

VRN1

FR2

ABSTRACT

Recent progress in the characterization of two groups of genes responsible for natural differences in frost tolerance in wheat and barley is reviewed here. The first group includes the vernalization genes that delay flowering until the end of the winter and protect sensitive floral primordia. This process is regulated mainly by differences in the regulatory regions of *VRN1* and *VRN3* genes or in the coding regions of *VRN2*. The second group includes a set of tandemly duplicated *CBF* (*C-repeat Binding Factors*) transcription factors at the *FR2* (*Frost Resistance 2*) locus. *CBF* transcription factors are known regulators of the *COR* genes (*Cold Regulated* genes) which are induced by cold and confer tolerance to subsequent freezing temperatures (acclimation). Natural differences in frost tolerance in both wheat and barley have been mapped to the *FR2* locus, and are associated with differences in threshold induction temperatures and/or transcript levels of several *CBF* genes. Higher threshold induction temperatures result in earlier up-regulation of *COR* genes during the fall, whereas higher induction levels by cold are associated with faster cold acclimation rates. Both processes result in longer acclimation periods and improved frost tolerance. Increases in *VRN1* transcript levels in the leaves are associated with reduced responsiveness of *CBF* and *COR* genes to cold and with the end of the acclimation period. Therefore, delays in the induction of *VRN1* and in the transition to the reproductive stage can extend the acclimation period and improve frost tolerance. These observations suggest that the vernalization and cold acclimation regulatory gene networks are interconnected.

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1. Introduction

The capability of fall-sown varieties to survive winter is often referred to as winter hardiness. Winter hardiness is a complex trait involving tolerance to freezing, desiccation, anoxia, ice-encasement,

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Reproductive meristems are more sensitive to frost damage than vegetative meristems and therefore, small differences in developmental stages can affect plant survival to freezing temperatures. As a consequence of this relationship, allelic differences in genes regulating the initiation of the reproductive phase by photoperiod (*PPD* genes) [4,5] or vernalization (*VRN* genes) [6–10] have a large impact on frost tolerance. *VRN* genes are of particular interest to this review as they are regulated by long exposures to cold but non-freezing temperatures, the same conditions required for plant acclimation to freezing temperatures. The recent identification of the main genes responsible for the natural variation in vernalization requirement and cold acclimation has provided new insights into the regulation of frost tolerance in temperate cereals. The roles of these genes and their possible interactions are discussed in this review.

Deletions of the *VRN1* complete gene in two independent diploid wheat mutants have resulted in plants that remained vegetative indefinitely, demonstrating that this gene is essential for the transition to the reproductive stage [17]. *VRN1* codes for a MADS box protein similar to the duplicated meristem identity genes *AP1/CAL/FUL* in Arabidopsis [6,7,10] and is up-regulated (directly or indirectly) by vernalization and by long days (Fig. 1). *VRN1* has been mapped on the long arm of homologous group 5

A useful analogy to understand this regulatory network is to consider *VRN1* to be initially in a “locked” or repressed state (not-competent to flower) (Fig. 1). Vernalization gradually releases the strength of the lock and establishes competence to flower. Alternatively, mutations in the *VRN1* regulatory regions eliminate or reduce the strength of the lock resulting in dominant *Vrn1* alleles without vernalization requirement (Fig. 1). In the lock analogy, *VRN3* is a force that pushes to open the lock. Overexpression of *VRN3* in transgenic winter wheat plants was sufficient to induce flowering (open the lock) even in the presence of recessive *vrn1* alleles and the absence of vernalization [8]. Flowering is the result of a shift in the balance between the strength of the *VRN1* lock and the push of *VRN3* to open that lock. Once *VRN1* reaches a certain threshold, it represses *VRN2* [31–33], thereby releasing *VRN3* and leading to further up-regulation of *VRN1* transcript levels. These interactions result in an irreversible positive feedback loop that leads to flowering. It is currently not known if *VRN2* can repress

VRN1 independently of *VRN3* and also if vernalization can regulate *VRN2* independently of *VRN1*.

3. The role of the *FR2* locus in the regulation of cold induced genes

To survive freezing temperatures, many temperate plants use the low non-freezing temperatures before the first frost as a signal to increase their ability to withstand the subsequent freezing, a process known as cold acclimation or cold hardening [34]. Cold acclimation is accompanied by changes in many physiological and biochemical processes including increases in abscisic acid, soluble sugars, free proline, membrane lipid unsaturation, alterations in plasma membrane ultra structure and synthesis of antifreeze proteins (reviewed in [35]). It has been estimated that the expression of hundreds of genes may be altered when plants are exposed to low temperatures [36,37].

Among the cold-regulated genes, *COR14b* and *WCS120* are well characterized in wheat and barley. These genes are differentially expressed in freezing-sensitive and freezing-tolerant plants under both laboratory and field conditions. The *COR14b* gene encodes a polypeptide that accumulates in the stroma fraction of the chloroplasts in the presence of light, and its transcription is specifically induced by low temperature [38]. The *COR14b* protein helps to protect the photosynthetic apparatus from photodamage during light exposure following freezing [39]. *WCS120* (=DHN5) belongs to the dehydrin group of proteins and is likely involved in low temperature-induced physiological dehydration. During cold acclimation, the accumulation of the *WCS120* protein shows a positive correlation with freezing tolerance [40].

Increased transcript levels of *COR14b* and *WCS120* are observed in most winter cereals when plants are exposed to temperatures between 2 and 4 °C. However, when plants are exposed to milder cold temperatures (e.g. 12–15 °C) the induction profiles of these two genes show intraspecific variation. Crosses between accessions that differed in their *COR14b* and *WCS120* transcription levels at 12–15 °C were used to map these expression QTL in *T. monococcum* [29,41] and barley [25]. In both species the peak of the expression QTL for *COR14b* and *WCS120* mapped to the long arm of chromosome 5, approximately 30 cM proximal to *VRN1*. This chromosome region differs from the chromosome location of the *COR14b* and *WCS120* genes themselves, indicating that this is a *trans*-acting expression QTL [42].

The expression QTL for *COR14b* overlaps with a QTL for survival to freezing temperatures in both diploid wheat [29] and barley [25]. The locus under the peaks of these overlapping QTL was designated *FR2* (*Frost Resistance 2*) [29], and was shown to play also an important role in frost tolerance in polyploid wheat [43–45]. A high-density map of the *FR2* region in diploid wheat confirmed that the peaks of the QTL for frost tolerance and for *COR14b* and *WCS120* expression both map within a small 0.7 cM region [41]. This suggests that these two traits are either pleiotropic effects of the same gene or the result of tightly linked genes within this small genetic interval.

The molecular characterization of the *FR2* locus in both wheat and barley revealed a complex structure including multiple *CBF* genes [25,29,43,44,46–48]. The diversity of *CBF* genes among the temperate cereals and their roles in other plant species are described in the next section.

4. Roles and diversity of *CBF* genes

The *CBF* genes, also known as Dehydration Responsive Elements (DRE)-binding factors, were first studied in *Arabidopsis*. These genes encode for transcription factors that bind to the conserved

core sequence CCGAC [C-repeat (CRT)/dehydration element (DRE)] in the promoters of many dehydration-responsive genes including those with early response to dehydration and cold [49,50]. The DNA-binding activity of some barley *CBF* proteins is observed only at low temperatures [51,52]. The *CBF* genes have no introns, and their proteins are characterized by a nuclear localization sequence, an AP2 binding domain flanked by conserved *CBF* family signature motifs, and an acidic C-terminal domain that may act as a transcriptional activation region. The C-terminal domain also exhibits conserved areas including several clusters of hydrophobic residues and an LWS(Y) motif at the end of most *CBF* proteins (reviewed by [51,53,54]).

The expression of *CBF* genes in *Arabidopsis* is up-regulated after exposure of 15 min to 14 °C or lower temperatures [55], and then returns to basal levels after continuous exposure to cold temperatures. Overexpression of the *CBF* genes significantly altered transcript levels of approximately 35 cold-regulated genes in *Arabidopsis* microarray experiments [36] and 256 metabolites [56]. Although, *CBF* constitutive expression increased frost tolerance, it also had negative pleiotropic effects on plant growth in *Arabidopsis* [50,57,58]. The orthologous *CBF* genes from rice, maize, barley, rye, and wheat [29,59–62] can also be induced by cold and/or drought. In barley and wheat *CBF* transcript levels are also affected by photoperiod [28] and diurnal cycles [63]. Some *CBF* genes are expressed at low levels in non-stressed conditions [28,44,52,63,64] and may lead to continual, albeit low, accumulation of their target genes.

The *CBF* family is greatly expanded in the temperate cereals. Rice has five *CBF* members [61], whereas at least 13 *CBF* genes are present in *T. monococcum* [46] and 17 in barley [51]. Some *CBF* groups are found only in the Poaceae, suggesting that this expansion represents an adaptation of this group of species to colonize diverse temperate habitats [63]. At least 11 different *CBF* genes have been mapped within a small 0.7–0.8 cM region encompassing the *FR2* locus in both *T. monococcum* and barley [46–48,65]. Since the order of these genes is conserved between these two species (Fig. 2) a common gene-numbering system was adopted [46,51]. The different strategies used so far to determine the roles of individual *CBF* genes within the complex *FR2* locus are described in the next section.

5. Identification of candidate *CBF* genes for frost tolerance within the *FR2* locus

Transcription profiling and high-density mapping strategies have been used to identify possible candidate genes for frost tolerance within the *FR2*-*CBF* cluster. Quantitative PCR systems were first developed to study the transcription profiles of different *T. aestivum* *CBF* genes [44]. Most of the genes studied at this locus were induced by cold treatment at 2 °C for 2 h, with *TaCBF14* and 15 showing the highest transcript levels [44]. More importantly, *TaCBF14*, 15, and 16 transcript levels were more than four-fold higher in lines carrying a frost tolerant *FR-A2* allele than in those carrying the frost sensitive allele (*TaCBF12* was not included in this study) [44]. A barley population segregating for frost tolerance also showed higher *CBF* transcript levels associated with the *FR-H2* allele from the frost tolerant parent, but the specific *CBF* genes (*HvCBF2* and *HvCBF4*) were different from those found in the previous wheat study [28]. Taken together these results suggest that although different combinations of *CBF* genes may be involved in different segregating populations, increases in *CBF* transcript levels may be frequently associated with increases in frost tolerance.

This seems to be also the case for the *CBF* genes identified in *T. monococcum* using a high-density mapping approach. Using lines

Fig. 2. Comparison of the high-density genetic map of the *FR2* locus in barley [65] and the physical and genetic map of the same region in diploid wheat [41,46]. The figure shows the relative positions of the mapped frost resistance (*FR*) and vernalization (*VRN*) genes on barley chromosome 5H and diploid wheat chromosome 5A^m. The *FR2* chromosome region is magnified. Genetic distances are in cM (Kosambi). Rectangles with different backgrounds represent different BAC clones. As no recombination events (0.0 cM) were detected between *TmCBF2* and *TmCBF17*, or between *TmCBF13* and *TmCBF10* the relative orientation of the flanking BACs was inferred from the order in barley. *HvCBF13* and *HvCBF3A* were found within a single genomic λ clone [65], whereas *HvCBF3A* and *HvCBF6* were found within a single BAC clone [51].

with recombination events within the *FR2* locus, the main differences in frost tolerance and in the differential regulation of *COR14b* and *WCS120* genes were mapped to the central region of the *CBF* gene cluster, completely linked to *TmCBF12*, *TmCBF14* and *TmCBF15* [41]. A smaller effect on frost tolerance was also associated with *TmCBF16*. This set of *CBF* genes partially overlaps with those described above in polyploid wheat [44].

Sequence comparison of the *CBF* proteins revealed that the *TmCBF12* protein from the frost susceptible parent contains a deletion of five amino acids in the AP2 DNA binding domain relative to the same protein in the frost tolerant parent and other Triticeae species. Electrophoretic Mobility Shift Assays confirmed the inability of this mutant protein to interact with the *COR* gene promoters, providing a possible explanation for the differences in frost tolerance observed between these *T. monococcum* lines [41]. Similar studies will be required to determine which *CBF* genes are responsible for interspecific and intraspecific differences in frost tolerance, as mutations in different *CBF* genes may be present in different species and accessions within species. It is interesting to point out here that the frost susceptible *T. monococcum* parent is a cultivated accession from a region with mild winters (Titograd, Montenegro), suggesting the possibility of reduced selective pressures for frost tolerance alleles.

6. Threshold induction temperatures and acclimation rates

Maximum frost tolerance is attained after several weeks of subjecting plants to temperatures low enough to induce the acclimation process [66]. Due to the long period required to reach maximum frost hardiness, an earlier start of the cold acclimation process has the potential to increase survival to a subsequent frost

event. Indeed, different cereal genotypes start to acclimate at different temperatures under field conditions [67]. These differences are associated with differences in the induction temperatures of some cold-regulated genes [68]. The term “threshold induction temperature” will be used hereafter to indicate temperatures that are low enough to up-regulate cold-regulated genes or initiate the cold acclimation process.

Threshold induction temperatures for cold acclimation in wheat, barley and rye are positively correlated with freezing tolerance at full acclimation both between and within species [69]. For example, after 2 days of acclimation, the cold-hardy winter variety Norstar has a 5.4 °C warmer activation temperature than a tender winter-Manitou line [69]. Differences in threshold induction temperatures for the *CBF*-regulon seem to play an important role in the determination of frost tolerance differences in *T. monococcum* [41]. These two traits were both mapped to a small chromosome region of 0.7 cM in a cross between frost tolerant and frost sensitive *T. monococcum* lines. Three of the *CBF* genes mapped to this region (*TmCBF12*, *TmCBF15* and *TmCBF16*) were induced at 12–15 °C in the leaves and crowns of recombinant lines carrying the *FR2* allele from the frost tolerant parent but not in those with the allele from the frost susceptible parent [41]. The fact that all three *CBF* genes differ in their threshold induction temperatures can be explained either by independent mutations in the regulatory regions of the three genes or, by differences in a single gene within the *FR2* region that regulates these three *CBF* genes.

Since *CBF* proteins bind to the *COR* gene promoters and regulate their transcription [49–51], the differential threshold induction temperatures of the *TmCBF* alleles provide a simple explanation for the differences in *COR14b* and *WCS120* induction at 12 °C observed between the two *T. monococcum* parental lines [41]. The

Fig. 3. Effect of cold hardening duration on wheat frost tolerance. Frost tolerant Cheyenne and Chinese Spring(Cheyenne 5A) chromosome substitution line and frost sensitive Chinese Spring plants were cold hardened for different number of days and subjected to frost tests (-10°C). Frost tolerance was evaluated as percent survival. Plants were initially grown at $15/10^{\circ}\text{C}$ (day/night), then pre-hardened at $10/5^{\circ}\text{C}$ and finally exposed to cold hardening at $4/2^{\circ}\text{C}$ for 3, 11, 19, 27, 35, 43 and 51 days before the frost test [72].

differences in threshold induction temperatures of these genes were precisely mapped to the same 0.7 cM region including the differentially regulated *CBF* genes. Higher threshold induction temperatures of *COR14b* in frost resistant genotypes relative to frost susceptible ones have been also reported for common wheat [68] and barley [70,71]. The differences in *COR14b* induction between frost susceptible and frost tolerant genotypes observed at mild cold temperatures disappear when plants are exposed to lower temperatures ($<4^{\circ}\text{C}$) [41,68].

In addition to the differences in threshold induction temperatures, wheat frost tolerant genotypes usually acclimate faster than frost susceptible ones at constant cold temperatures [27,72]. Fig. 3 shows that the percent survival at -10°C for the frost tolerant variety Cheyenne starts to increase 3 days after exposure to 2°C , whereas it takes 11 days at the same temperature for the frost susceptible Chinese Spring. The replacement of chromosome 5A from Cheyenne into Chinese Spring results in a significant acceleration of the acclimation process (Fig. 3). Since both Cheyenne and Chinese Spring chromosomes 5A have recessive *vrn-A1* alleles, the differences between the two lines cannot be attributed to differences in vernalization requirement. Polymorphisms at the *FR-A2* locus seem to be a more likely explanation for the different rates of cold acclimation because transcript levels of three *CBF* genes (see Section 5) were more than four-fold higher in lines carrying the Cheyenne *FR-A2* allele than in those carrying the Chinese Spring allele [44]. Differences in initial rates of cold acclimation have been also mapped to the *FR-A2* locus in a cross between frost tolerant Norstar and frost susceptible winter-Manitou. In this cross the *FR-A2* QTL explained 40% of the variation in frost tolerance [43]. These results suggest that higher transcript levels of *CBF* genes might be associated with faster cold acclimation rates.

The *FR2* locus seems to play a major role in the determination of both the initial rates of cold acclimation and the threshold induction temperatures, but the decay in frost tolerance observed at the end of the acclimation period seems to be associated mainly with differences at the *VRN1* locus. Fig. 3 shows a slower decay in cold acclimation in the winter variety Cheyenne (*vrn-A1 vrn-B1 vrn-D1*) than in Chinese Spring or Chinese Spring (Cheyenne 5A) substitution line, which are both spring with dominant *Vrn-D1* alleles. The first genotype has a winter growth habit (recessive *vrn1* alleles at all three homoloci), whereas the last two have a spring

growth habit (*Vrn-D1*). The effects of the dominant *Vrn1* alleles on cold acclimation are discussed in detail in the next section.

7. Interaction between frost tolerance and vernalization

In the temperate cereals, up-regulation of *VRN1* by vernalization is observed in both apices and leaves [10,73]. *VRN1* transcripts are also abundant in the leaves of other non-grass monocot species [74]. This contrasts with *Arabidopsis* or rice, in which transcripts of the homologous gene (*AP1*) are mainly restricted to the apices and are not detected or present at very low levels in some specialized leaves (e.g. in the vascular tissues of cotyledons [75]). In *Arabidopsis* the FD protein binds to FT (the *VRN3* homologue) in the apex and together they induce *AP1* [76]. The absence of FD in *Arabidopsis* leaves explains the limited expression of *AP1* in this tissue, as demonstrated by high *AP1* transcript levels in transgenic *Arabidopsis* lines overexpressing FD in the leaves [76]. In wheat, a homologue of FD (*TaFDL2*) is expressed at high levels in the leaves. *TaFDL2* has the ability to interact with *VRN3* and to bind to the *VRN1* promoter *in vitro* [30], and that explains how *VRN1* is induced in the wheat leaves. However, it does not explain why the expression of a meristem identity gene has been retained in the leaves of all temperate grass species studied so far (wheat, barley, oats and ryegrass) [6,7,10,77,78].

A tentative answer to this question was proposed by Limin and Fowler [27] based on the observation that frost tolerance increases during the acclimation of wheat plants in the vegetative stage, but decreases after the transition from vegetative to reproductive apices, the time point when *VRN1* transcript levels increase. Near-isogenic lines for the *VRN1* gene carrying the recessive *vrn1* allele (winter growth habit) can tolerate 11°C lower freezing temperatures than lines carrying the dominant *Vrn1* allele (spring growth habit). Similarly, spring lines grown under short days, which down-regulates the *VRN1* transcript levels, can tolerate 8.5°C lower temperatures than the same lines cultivated under long days. Based on these results Limin and Fowler [27] concluded that the association between freezing tolerance and winter growth habit can be explained by pleiotropic effects of the *VRN-A1* locus.

The previous hypothesis is supported by the negative correlation observed between the abundance of *VRN1* transcripts and the ability of *COR* transcripts and proteins to accumulate in response to cold [6,64,79]. In addition to the down-regulation of several *COR* genes, the presence of dominant *Vrn1* alleles seems to affect the regulation of some *CBF* genes. *TaCBF2* is down-regulated in spring wheat isogenic lines expressing dominant *Vrn1* alleles relative to near-isogenic winter lines [64] and several other *CBF* genes have been reported to have lower transcript levels in spring relative to winter common wheat varieties [63]. This negative correlation has been also observed in barley, where double-haploid lines carrying recessive *vrn-H1* alleles had higher *CBF* transcript levels than those carrying dominant *Vrn-H1* alleles [28]. In addition, when these lines were grown under short day conditions (reduced *VRN-H1* levels) *CBF* transcript levels were about two-fold higher than when the same lines were grown under long day [28]. Based on these results it is tempting to speculate that the temperate cereals have evolved the ability to use the presence of *VRN1* in the leaves as a signal to down-regulate the frost/cold-tolerance genes (Fig. 1). This hypothesis does not necessarily imply a direct interaction between *VRN1* and the *CBF* or *COR* genes, as several genes are up- or down-regulated after the expression of *VRN1* in the leaves and can act as intermediaries in such interaction.

A better understanding of the molecular mechanisms involved in the association between the up-regulation of *VRN1* and the

Fig. 4. Schematic representation of *VRN1* (meristem identity), *VRN2* (flowering repressor) and *VRN3* (flowering promoter) transcript levels during fall and spring, and their potential effect on the ability of *CBF* and *COR* genes to respond to cold temperatures. Individual genes are in different scales, so comparisons among genes are not valid. In the fall, the apical meristem is in a vegetative stage. After vernalization and induction of *VRN1*, the apex transitions to the double ridge stage that marks the beginning of the reproductive development. This transition is correlated with a decrease in frost tolerance. Pictures below each season correspond to frost tested wheat plants (-10°C) before and after the transition to the reproductive phase.

down-regulation of the *COR* genes, will be necessary to determine if it is possible to uncouple these two mechanisms. Even though this may result in improved frost tolerance in spring varieties, it would also have a cost associated with the induction of a large number of cold-regulated genes when they are not necessary.

8. Conclusions and future directions

The down-regulation of the *COR* genes by *VRN1* can explain how plants differentiate mild cold temperatures in the fall from similar temperatures in the spring (Fig. 4). This distinction is important because mild cold temperatures in the fall preclude future frost events, whereas that is not the case for similar temperatures in the spring. In the fall, when *VRN1* is transcribed at low basal levels in the leaves, a mild cold temperature will result in the normal up-regulation of the *CBF*-regulon and the initiation of the acclimation process for the winter. In contrast, the same mild cold temperatures during the spring, when *VRN1* and its downstream target genes are up-regulated in the leaves, will not result in the unnecessary up-regulation of the *COR* genes. According to this hypothesis, the *VRN1* gene or one of its downstream targets in the leaves (question sign in Fig. 1) are responsible for the reduced responsiveness of the *CBF* and *COR* genes to cold. Transgenic or mutant lines for *VRN1* will be required for a final validation of this hypothesis.

In addition, the generation of mutants for the different *CBF* genes would be useful to investigate their individual roles in initial rates of cold acclimation and threshold induction temperatures of *COR* genes. However, functional redundancy between some of the *CBF* genes may complicate the interpretation of the effect of individual mutations. The tandem organization of these genes will also limit the possibility to use crosses to combine mutations in different *CBF* genes into double or triple mutants. RNAi strategies may be used to simultaneously down-regulate several *CBF* genes

and avoid the limitations imposed by gene redundancy (for a review of RNAi in wheat see [80]). The functional characterization of the different *CBF* genes and alleles, and the generation of improved haplotypes combining optimum *CBF* alleles may provide a useful tool to enhance frost tolerance and hardiness in wheat and barley breeding programs.

Acknowledgements

This project was supported by the National Research Initiative of the USDA Cooperative State Research, Education and Extension Service, project number 2008-01010, and by the Hungarian, Plant Resource" OMFB-000515/2007 (2006–2009) German–Hungarian Research Collaboration and the Hungarian Research Fund 'OTKA' No. K68894.

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