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# Title

Evolution of GOUNDRY, a cryptic subgroup of Anopheles gambiae s.l., and its impact on susceptibility to Plasmodium infection

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1 2 Received Date: 06-May-2015 3 Revised Date : 02-Jan-2016 4 Accepted Date : 18-Jan-2016 Article type : Original Article 5 6 7 8 **Title:** Evolution of GOUNDRY, a cryptic subgroup of *Anopheles gambiae s.l.*, and its 9 impact on susceptibility to Plasmodium infection. 10 11 Running Head: Evolution of the Anopheles GOUNDRY subgroup. 12 Authors: Jacob E. Crawford<sup>1,2</sup>, Michelle M. Riehle<sup>3</sup>, Kyriacos Markianos<sup>4</sup>, Emmanuel 13 Bischoff<sup>5</sup>, Wamdaogo M. Guelbeogo<sup>6</sup>, Awa Gneme<sup>6</sup>, N'Fale Sagnon<sup>6</sup>, Kenneth D. 14 Vernick<sup>5</sup>, Rasmus Nielsen<sup>2</sup>\*, Brian P. Lazzaro<sup>1</sup>\*. 15 16 \* These authors contributed equally to this work. 17 18 Affiliations: 19 1. Department of Entomology, Cornell University, Ithaca, NY, USA 20 2. Department of Integrative Biology, University of California, Berkeley, Berkeley, CA, 21 USA 22 3. Department of Microbiology, University of Minnesota, St. Paul, MN, USA 23 4. Program in Genomics, Children's Hospital Boston, Harvard Medical School 24 5. Unit for Genetics and Genomics of Insect Vectors, Institut Pasteur, Paris, France 25 6. Centre National de Recherche et de Formation sur le Paludisme, 1487 Avenue de 26 l'Oubritenga, 01 BP 2208 Ouagadougou, Burkina Faso. 27 28 **Corresponding Author:** Jacob Crawford, Department of Integrative Biology, 29 University of California, Berkeley, Berkeley, CA, USA, j.crawford@berkeley.edu This is the author manuscript accepted for publication and has undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the Version of Record. Please cite

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- 30
- Key Words: Anopheles gambiae, malaria, population genetics, inbreeding, demography,
  speciation
- 33
- 34 Abstract:

35 The recent discovery of a previously unknown genetic subgroup of Anopheles gambiae 36 sensu lato underscores our incomplete understanding of complexities of vector 37 population demographics in Anopheles. This subgroup, named GOUNDRY, does not rest indoors as adults and is highly susceptible to *Plasmodium* infection in the laboratory. 38 39 Initial description of GOUNDRY suggested it differed from other known Anopheles taxa 40 in surprising and sometimes contradictory ways, raising a number of questions about its 41 age, population size, and relationship to known subgroups. To address these questions, 42 we sequenced the complete genomes of 12 wild-caught GOUNDRY specimens and 43 compared these genomes to a panel of *Anopheles* genomes. We show that GOUNDRY is 44 most closely related to Anopheles coluzzii, and the timing of cladogenesis is not recent, 45 substantially predating the advent of agriculture. We find a large region of the X 46 chromosome that has swept to fixation in GOUNDRY within the last 100 years, which 47 may be an inversion that serves as a partial barrier to contemporary gene flow. 48 Interestingly, we show that GOUNDRY has a history of inbreeding that is significantly 49 associated with susceptibility to *Plasmodium* infection in the laboratory. Our results 50 illuminate the genomic evolution of one of probably several cryptic, ecologically 51 specialized subgroups of *Anopheles* and provide a potent example of how vector 52 population dynamics may complicate efforts to control or eradicate malaria.

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# 56 Introduction:

The continued devastating burden of malaria on human populations in subSaharan Africa (Murray *et al.* 2012; WHO 2013) spurs ongoing searches for novel means
of controlling vector mosquitoes, including through genetic manipulation. However, it is
becoming increasingly appreciated that *Anopheles* species frequently form partially

61 reproductively isolated and ecologically differentiated subpopulations (Costantini et al. 62 2009; Gnémé et al. 2013; Lee et al. 2013; Fontaine et al. 2015), which could complicate 63 control efforts and extend disease transmission across seasons and micro-environmental 64 space. As an example, a recent study showed that subgroups of Anopheles gambiae 65 sensu lato have evolved distinct approaches for surviving the dry season resulting in the 66 presence of vector populations throughout an extended proportion of the year (Dao et al. 67 2014). Comprehensive genomic analysis of evolutionary origins, demography, and 68 adaptation will advance our understanding of such phenotypic divergence and its role in 69 the formation of new Anopheles subgroups. Furthermore, genomic analysis of population 70 diversity and genetic affinity among taxa can elucidate epidemiologically relevant aspects 71 of population ecology like breeding structure and ecological distribution that are 72 important for malaria control efforts.

73 Population structure analysis of a comprehensive Anopheles mosquito sampling 74 effort along a 400-km transect in the Sudan-Savanna ecological zone of central Burkina 75 Faso surprisingly revealed a previously unknown genetic cluster of Anopheles gambiae 76 sensu lato (Riehle et al. 2011). The new subgroup, named GOUNDRY, was found in 77 collections from larval pools but never in collections taken from inside human dwellings, 78 implying an exophilic adult resting habit. GOUNDRY mosquitoes are highly susceptible 79 to *Plasmodium* infection in the laboratory, but the feeding behavior of GOUNDRY adults 80 is unknown. Thus it is unclear whether the subgroup is a major vector of human malaria.

81 Current knowledge of GOUNDRY is incomplete, with previous genetic 82 understanding based on sparse microsatellite and SNP data (Riehle *et al.* 2011), but it is 83 essential to global public health to understand the evolution of new subgroups such as 84 GOUNDRY and how they may impact malaria control. GOUNDRY bears an atypical 85 genetic profile for Anopheles in the Sudan-Savanna zone of West Africa that raises 86 questions about its origins, such as whether it is a hybrid between A. coluzzii and A. 87 gambiae, as well as how old it is, and how reproductively isolated it is from other 88 Anopheles species. For example, the diagnostic SNPs that underlie one standard 89 approach for distinguishing between A. coluzzii (previously A. gambiae M form) and A. 90 gambiae (previously A. gambiae S form) were found to be segregating freely at Hardy-91 Weinberg Equilibrium (HWE) in GOUNDRY mosquitoes (Riehle et al. 2011), implying

92 that the population is either hybrid or that it predates the *gambiae-coluzzii* species split. 93 Although high frequencies of hybrids diagnosed with these markers have been identified 94 in coastal regions of West Africa (Ndiath et al. 2008; Oliveira et al. 2008; Caputo et al. 95 2011), hybrid genotypes are quite rare (<1%) in the region where GOUNDRY was 96 collected (della Torre et al. 2001). An independent study used a slightly larger panel of 97 SNPs that differentiate A. coluzzii and A. gambiae in the pericentromeric regions of the X 98 chromosome and autosomes and found that typically diagnostic haplotypes were 99 segregating at HWE in GOUNDRY with evidence of recombination among them (Lee et al. 2013). GOUNDRY also differed from typical Anopheles s.l. populations in the region 100 101 in karyotype frequencies of the large 2La chromosomal inversion. In the Sudan-Savanna 102 zone, the inverted allele of the 2La chromosomal inversion segregates near fixation in A. 103 coluzzii and A. gambiae (Coluzzi et al. 1979), but both forms of the inversion are 104 segregating at HWE frequencies in GOUNDRY (Riehle et al. 2011). Moreover, analysis 105 of microsatellites and SNP markers revealed considerable distinction between 106 GOUNDRY and other described Anopheles in the region and concluded that GOUNDRY 107 is a genetic outgroup to A. gambiae and A. coluzzii (Riehle et al. 2011). However, 108 GOUNDRY was less genetically variable than these other species, raising the possibility 109 that, among other potential explanations, its origin may be more recent. 110 To identify the evolutionary origins, age, and degree of genetic isolation from 111 other genetic subgroups of GOUNDRY, we analyzed full genome data from GOUNDRY 112 and multiple closely related *Anopheles* species as well as SNP chip and phenotype data 113 from an independent study (Mitri et al. 2015). We estimate the demographic history of 114 GOUNDRY and its potential importance for *Plasmodium* infections, and identify a 115 putative, novel X-linked chromosomal inversion in GOUNDRY that may be a barrier to 116 gene flow with closely related subgroups. We discuss these results in the context of

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#### 119 **Materials and Methods**

malaria control efforts.

#### 120 Mosquito samples

121 Mosquito sample collection and species/subgroup identification was previously 122 described for A. coluzzii, GOUNDRY, and A. arabiensis samples (Riehle et al. 2011).

123 Briefly, larvae and adults were collected from three villages in Burkina Faso in 2007 and 124 2008 (Table S1). Larvae were reared to adults in an insectary, and both field caught 125 adults and reared adults were harvested and stored for DNA collection. In addition to 126 standard species diagnostic assays, individuals were assigned to genetic subgroups using genetic clustering analysis based on 3<sup>rd</sup> chromosome SNPs and microsatellites (Riehle et 127 128 al. 2011). One A. gambiae individual was also included in this study. This sample was 129 collected indoors as an adult in the village of Korabo in the Kissidougou prefecture in 130 Guinea in October 2012. Individuals were typed for species, molecular form and 2La 131 karyotype using a series of standard molecular diagnostics (Fanello et al. 2002; White et 132 al. 2007; Santolamazza et al. 2008). All A. coluzzii and A. arabiensis samples are 2La<sup>a/a</sup> 133 homokaryotypes and the A. gambiae sample typed as a heterokaryotype  $(2La^{a/+})$ . As 134 discussed above, both forms of the 2La inversion are segregating in GOUNDRY, and we chose to sequence eleven  $2La^{+/+}$  GOUNDRY samples and one  $2La^{a/a}$  sample 135 136 (GOUND 0446).

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#### 138 DNA extractions, genome sequencing, short-read processing

139 A detailed description of the DNA extractions, sequencing, and processing has 140 been included in a separate publication (Crawford *et al.* 2015), but briefly, genomic 141 DNA was extracted using standard protocols and was sequenced using the Illumina 142 HiSeq2000 platform by BGI (Shenzhen, China). Paired-end 100-bp reads were obtained 143 for all samples. The Anopheles gambiae sample was sequenced on the same platform at 144 the University of Minnesota Genomics Center core facility. Raw Illumina reads were 145 deposited at NCBI SRA under BioProject ID PRJNA273873. Short-reads were aligned in two steps using BWA-mem (v0.7.4) alignment algorithm [(Li 2013); bio-146 147 bwa.sourceforge.net]. First, reads were mapped to the A. gambiae PEST AgamP3 148 reference assembly [(Holt *et al.* 2002); vectorbase.org]. Second, reads were mapped to a 149 new updated sequence where the major allele (frequency in sample  $\geq 0.5$ ) from each 150 population were substituted into the PEST reference to make population specific 151 references. Local realignment around indels was conducted with GATK v.2.5-2 152 (DePristo et al. 2011). Duplicates were removed using the SAMtools v.0.1.18 (Li et al. 153 2009) *rmdup* function. We applied a series of quality filters and identified a set of robust

154 genomic positions that were included in all downstream analysis. As a rule,

155 heterochromatic regions as defined for A. gambiae (Sharakhova et al. 2010) were

excluded from all analyses since short read mapping is known to be problematic in suchregions.

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### 9 Bioinformatics and population genetic analyses

Detailed descriptions of additional methods, mostly involving standard approaches and previously existing software, can be found in Appendix S1. Included are descriptions of genotype calling, estimation of nucleotide diversity, fixed difference calling, calculation of genetic divergence ( $D_{xy}$ ) and the neighbor-joining tree, ancestral sequence synthesis, demographic model inference, selective sweep dating, and putative inversion breakpoint mapping.

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#### 167 Inbreeding analysis

# 168 Estimating inbreeding coefficients

169 Initial estimates of the global site frequency spectrum (SFS) in GOUNDRY 170 produced distributions of allele frequencies that deviated substantially from standard 171 equilibrium expectations, as well as from those observed in the A. coluzzii and A. 172 *arabiensis* groups. Most notably, the proportion of doubletons was nearly equal to that of 173 singletons in A. gambiae GOUNDRY (see Results). This observation is consistent with 174 widespread inbreeding in the GOUNDRY subgroup. We tested the hypothesis of 175 extensive inbreeding in two ways, with the goals of both characterizing the pattern of 176 inbreeding in this subgroup as well as obtaining inbreeding coefficients for each 177 individual that could then be used as priors for an inbreeding-aware genotype-calling 178 algorithm. We used the method of Vieira et al. (Vieira et al. 2013), which estimates 179 inbreeding coefficients in a probabilistic framework taking uncertainty of genotype 180 calling into account. This approach is implemented in a program called ngsF 181 (github.com/fgvieira/ngsF). ngsF estimates inbreeding coefficients for all individuals in 182 the sample jointly with the allele frequencies in each site using an Expectation-183 Maximization (EM) algorithm (Vieira et al. 2013). We estimated minor allele 184 frequencies at each site (-doMaf 1) and defined sites as variable if their minor allele

- 185 frequency was estimated to be significantly different from zero using a minimum log
- 186 likelihood ratio statistic of 24, which corresponds approximately to a P value of  $10^{-6}$ .
- 187 Genotype likelihoods were calculated at variable sites and used as input into ngsF using
- 188 default settings. For comparison, we estimated inbreeding coefficients for A. coluzzii,
- 189 GOUNDRY, and A. arabiensis using data from each chromosomal arm separately.
- 190
- 191 Recalibrating the site-frequency spectrum and genotype calls

192 We used the inbreeding coefficients obtained above for the GOUNDRY sample 193 as priors to obtain a second set of inbreeding-aware genotype calls and an updated global 194 SFS. We used ANGSD v.0.534 to make genotype calls as described above. However, in 195 this case, we used the -indF flag within ANGSD, which takes individual inbreeding 196 coefficients as priors instead of the global SFS (Vieira et al. 2013). Similarly, we used 197 the inferred inbreeding coefficients to obtain an inbreeding-aware global SFS. We 198 estimated the global SFS from genotype probabilities using -realSFS 2 in ANGSD, 199 which is identical to -realSFS 1 (Nielsen *et al.* 2012) except that it uses inbreeding 200 coefficients as priors for calculations of posterior probabilities (Vieira et al. 2013).

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# 202 IBD Tracts

203 We examined the effects of inbreeding within diploid individuals using FEstim 204 (Leutenegger et al. 2006, 2011), which implements a maximum likelihood method within 205 a Hidden-Markov-Model that models dependencies along the genome. We used the 206 FSuite v.1.0.3 (Gazal *et al.* 2014) pipeline to generate submaps, estimate inbreeding 207 parameters using FEstim, identify IBD tracts, and plot IBD tracts using Circos v.0.67-6 208 (Krzywinski et al. 2009). To minimize linkage disequilibrium that creates non-209 independence among SNPs while maximizing information content, we generated 20 210 independent random subsets of between 187 and 193 SNPs (or submaps) spaced at least 1 211 kb apart, and inbreeding parameters were inferred using all 20 submaps. We used allele 212 frequencies estimated using ANGSD above (-doMaf and -indF) for calculation of 213 emission probabilities in FEstim. We also used genetic maps for Anopheles gambiae 214 from Zheng et al. (Zheng et al. 1996). To convert data from Zheng et al. to dense 215 genetic maps, we mapped primers from that study onto the Anopheles gambiae PEST

216 reference using standard e-PCR approaches that map PCR primers onto a reference

217 sequence using computational sequence matching. Autosomal maps and code for

218 polynomial analysis were kindly provided by Russ Corbett-Detig

(github.com/tsackton/linked-selection/), and we performed e-PCR mapping for the X
chromosome. We fit a polynomial function to the genetic map for each chromosome and
used this function to convert the physical position of SNP marker to genetic distance. For
this analysis, we joined the left and right arms of chromosomes 2 and 3 by adjusting the
physical position of SNPs on the left arms by the full length of the right arm.

FSuite is designed for genotyping array data and does not allow any genotyping errors. Therefore, we took additional steps to minimize the effects of genotyping errors. First, we set a minimum minor allele frequency of 10% and included only genotypes with 95% posterior probability. Second, we set a liberal threshold of 1e<sup>-6</sup> for the minimum posterior probability required for considered IBD. Since this threshold allows many small IBD tracts that are likely to be erroneous, we set a minimum size threshold of 0.1 cM for inclusion in the final set of IBD tracts.

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#### 232 Ruling out bioinformatic and sequencing artifacts

Since the observation of high rates of inbreeding stem directly from intermediate 233 234 coverage (~10X) next-generation sequencing data that can be prone to bioinformatic 235 errors and biases, we conducted several tests to determine whether such artifacts could 236 explain the observed inbreeding signal. One possible artifact could stem from mapping 237 or alignment biases against divergent next-generation reads that could lead to excess 238 homozygosity. If mapping is unbiased, the proportion of reference bases at heterozygous 239 sites should be distributed with a mean of 0.5. We find that the mean proportion of 240 reference bases at heterozygous sites is 0.4893 ( $\sigma = 0.1646$ ) in A. coluzzii and 0.4757 ( $\sigma =$ 241 0.1581) in GOUNDRY indicating very similar read distributions in these populations 242 (Figure S1). Although both populations show a small deviation from 0.5 at biallelic sites, 243 this deviation cannot explain large regions of homozygosity in GOUNDRY. 244 We also asked whether excess homozygosity could stem from erroneous 245 assignment of homozygous genotypes at true heterozygous sites. Such errors could result

if short read depths were exceptionally low in some genomic regions. We calculated read

247 depths at sites in different genotype classes in GOUNDRY and find that the mean read 248 depth is 12.3569 ( $\sigma = 5.3917$ ) at homozygous reference sites, 12.2156 ( $\sigma = 5.1235$ ) at 249 homozygous alternative sites, and 12.6871 ( $\sigma = 5.5163$ ) at heterozygous sites, indicating 250 that the distribution of read depth is very similar between all three classes (Figure S2). 251 We find a similar pattern in A. coluzzii, which shows no evidence of inbreeding. In this 252 population, the mean read depth is 10.4773 ( $\sigma = 4.7555$ ) at homozygous reference sites, 253 11.0082 ( $\sigma = 4.1849$ ) at homozygous alternative sites, and 10.6660 ( $\sigma = 4.7755$ ) (Figure 254 S2). Moreover, the distributions of read depths at heterozygous sites and homozygous 255 sites are very similar in both the A. coluzzii and GOUNDRY populations (Figure S2). 256 These results strongly suggest that bioinformatic artifacts cannot explain the excess 257 homozygosity and IBD tracts observed in GOUNDRY.

258 Large variations in observed sequence diversity could also stem from issues 259 related to DNA sequencing. Importantly, the same DNA preparation and library 260 preparation protocols were used for GOUNDRY as well as A. coluzzii and A. arabiensis, 261 so the increased IBD observed in GOUNDRY is not likely attributable to a difference in 262 sample preparation. Low DNA input could also lead to artifacts in sequencing, but the 263 total mass of DNA used for library preparation did not differ between GOUNDRY and 264 the other samples that were all sequenced together (Table S1). In general, DNA mass 265 and handling was similar between GOUNDRY and the other populations examined here 266 that do not harbor long IBD tracts, suggesting that such differences cannot explain the 267 signals of increased inbreeding in GOUNDRY.

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#### Inbreeding-phenotype association test

Since 12 GOUNDRY genomes is not a large enough sample size for association testing, we obtained SNP genotype data for 274 GOUNDRY individuals who had also been phenotyped in a *Plasmodium* infection experiment as part of an independent study (Mitri *et al.* 2015). Full details of Illumina SNP chip assay design and data collection and infection experiments are available in that publication but will be summarized here. Briefly, larvae were collected in three villages in central Burkina Faso, and raised to 278 adulthood in the laboratory where females were given *Plasmodium falciparum*-infectious 279 bloodmeals from local volunteers. Fully fed females were dissected 7-8 days later for 280 oocyst quantification and DNA extraction. For the Illumina SNP chip, SNPs were 281 identified from raw sequence reads generated for genome sequencing projects for A. 282 *coluzzii* and *A. gambiae* as well as from independent deep sequencing efforts. DNA 283 hybridization and genotype calling were conducted using standard procedures followed 284 by stringent quality filtering of genotype calls and independent confirmation using 285 duplicate hybridizations and independent Sequenom assays using a subset of SNPs. We used a set of SNPs distributed approximately uniformly across the autosomes. Among 286 287 these sites, we included only sites (n = 678) that were variable in the GOUNDRY 288 subgroup.

We used ngsF (Vieira *et al.* 2013) to estimate inbreeding coefficients for each of the 274 females using the SNP genotype data as input. Since genomic estimates of inbreeding coefficients are statistically noisy with less than 1000 SNPs, we used a bootstrap approach by sampling the SNPs with replacement to make 1000 new bootstrapped datasets of the same size, estimating inbreeding coefficients using ngsF. Point estimates of the inbreeding coefficients were obtained by taking the mean of log 10 transformed bootstrap values and re-transforming the mean value.

296 To test whether infection prevalence was higher in inbred individuals, we used a two-by-two  $\gamma^2$  test. The table cells corresponded to 'infected' and 'not infected' 297 298 phenotypes as well as high and low inbreeding coefficients. Since the distribution of 299 inbreeding coefficients was not bimodal, we categorized individuals as either 'high' or 300 'low' inbreeding levels based on whether their inbreeding coefficient was above or below 301 a cutoff value, respectively. We included two cutoff values: 1) F = the median 302 coefficient value of 0.026, and 2) The maximum F estimated from genome sequencing in 303 A. coluzzii, which does not show signs of inbreeding. To establish statistical significance 304 while preserving correlations among mosquitoes within each blood donor cohort, we 305 randomly permuted infection phenotype among mosquitoes within donor cohort, and recalculated the  $\chi^2$  value. We compared the empirical  $\chi^2$  value to 10<sup>4</sup> values from 306 307 permuted datasets in a one-tailed statistical test. We further tested the association and the 308 effect of blood-donor using the Cochran-Mantel-Haenszel procedure (cmh.test in R)

309 that directly accounts for additional factors within the contingency test. To test for

310 correlations between inbreeding coefficients and the number of oocysts (infection

intensity), we fit a linear model to relate inbreeding coefficients (log transformed) to the

number of oocyts (log transformed) with blood-donor as a factor in the model. Only

313 mosquitoes with at least one oocyst were included in this part of the analysis.

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# 315 Results

### 316 Genome Sequencing and Population Genetic Analysis

317 We have completely sequenced the genomes of 12 field-captured female 318 Anopheles GOUNDRY mosquitoes from Burkina Faso and Guinea using the Illumina 319 HiSeq2000 platform. We compared these genomes to full genomes from A. coluzzii 320 (n=10), A. gambiae (n=1) and Anopheles arabiensis (n=9). Most individuals were 321 sequenced to an average read depth of 9.79x, while one individual each from 322 GOUNDRY, A. coluzzii, and A. gambiae was sequenced to at least 16.44x (Table S1). 323 We also used publicly available genome sequences from Anopheles merus (Anopheles 324 gambiae 1000 Genomes Project) as an outgroup. We conducted population genetic 325 analysis of aligned short-read data using genotype likelihoods and genotype calls 326 calculated using the probabilistic inference framework ANGSD (Korneliussen et al. 327 2014).

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# 329 Genetic Relatedness Among Species and Subgroups

330 To determine the genetic relationship of the GOUNDRY subgroup to other 331 known species and subgroups of Anopheles, we calculated an unrooted neighbor-joining 332 tree based on genome-wide genetic distance  $(D_{xy})$  at intergenic sites (Figure 1). Previous 333 findings indicated that the recently discovered GOUNDRY subgroup of A. gambiae is a 334 genetic outgroup to A. coluzzii (formerly known as M molecular form) and A. gambiae 335 (formerly S form) (Riehle et al. 2011). However, our data indicate that GOUNDRY is 336 actually genetically closer to A. coluzzii ( $D_{GAc} = 0.0109$ ; 100% bootstrap support) than 337 either group is to A. gambiae ( $D_{GAg} = 0.0149$ ;  $D_{AcAg} = 0.0143$ ). 338 It has been speculated that GOUNDRY may be a recently formed backcrossed

339 hybrid of A. coluzzii and A. gambiae (Lee et al. 2013). This hypothesis also predicts that

340 GOUNDRY will be segregating chromosomes that are mosaics of haplotypes derived 341 from A. coluzzii and A. gambiae, and therefore most, if not all, polymorphisms found in 342 GOUNDRY should also be found in one of these putative parental taxa. In contrast, we 343 find 7,383 fixed differences between A. coluzzii and GOUNDRY [excluding 2L since it is 344 dominated by the large 2La inversion known to have crossed species boundaries 345 (Fontaine *et al.* 2015)], of which 27% are putatively GOUNDRY-specific alleles not 346 shared with A. gambiae, A. arabiensis, or A. merus. GOUNDRY shares an allele with the 347 A. gambiae individual sampled here at an additional 31.5% of the fixed sites, although 348 this number may increase if more A. gambiae samples are included. These results do not 349 exclude the possibility of gene flow between GOUNDRY and A. gambiae, but they fail to 350 support the hypothesis that GOUNDRY is simply a very recent hybrid of A. coluzzii and 351 A. gambiae. Instead, the substantial number of putatively GOUNDRY-specific fixed 352 alleles support GOUNDRY as a unique subgroup that may have originated as an offshoot 353 of A. coluzzii and experienced subsequent gene flow from A. gambiae.

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### 355 Origins of GOUNDRY

356 It has been hypothesized that the advent of agriculture in sub-Saharan Africa ~5-357 10 kya played a role in driving diversification and expansion of *Anopheles* mosquitoes 358 (Coluzzi et al. 2002). The two-dimensional site-frequency spectrum reveals substantial 359 differentiation in allele frequencies between GOUNDRY and A. coluzzii with many fixed 360 differences differentiating these groups and is not compatible with a very recent origin of 361 GOUNDRY (Figure 2). To test whether the origin of GOUNDRY could have been 362 associated with habitat modification driven by agriculture, we fit four population 363 historical models with increasing complexity (Figure 2; Table 1; Methods) to the two-364 dimensional site frequency spectrum for GOUNDRY and A. coluzzii using dadi 365 (Gutenkunst et al. 2009). The 2D spectra from the empirical data and the best-fit model 366 for each demographic model are presented in Figure 2. We first fit a simple one-epoch, 367 split model with no migration. The maximum-likelihood model under this scenario gave 368 a poor fit to the empirical data with a likelihood value (L) of -176,635.8. We then added 369 asymmetrical migration to the model (one-epoch, split with migration), which resulted in 370 a nearly three-fold improvement of the likelihood value improvement of the fit of the

371 model to the data with  $L_{1-ep-splt-mig} = -59.896.75$  -, providing strong evidence that 372 migration has played a key role in the history of these taxa. Residual differences between 373 the 2D spectra from the model and the data (Figure 2), however, were unevenly 374 distributed across the spectra, suggesting that one-epoch models are missing potentially 375 important features of the demographic history. To improve flexibility in the model fitting, 376 we fit both two-epoch and three-epoch population split-with-migration models (Table 1). 377 Interestingly, the adding a second epoch did not result in a substantial improvement of 378 the fit to the data as indicated by the remaining large residuals and decreased likelihood value ( $L_{2-ep-splt-mig} = -59,949.49$ ) relative to the one-epoch model. Adding a third epoch, 379 380 however, achieved a considerable improvement of the fit to the data  $(L_{3-ep-splt-mig} = -$ 381 49,023.85). Residuals indicating differences between the model and data are also 382 presented and suggest that deviations between spectra associated with the model and data 383 are well correlated.

384 The best-fitting three-epoch-split-with-migration model (Table 1) predicts that 385 these subgroups diverged  $\sim 111,200$  ya (95% CI 96,718 – 125,010), followed by a 100-386 fold reduction in the size of both subgroups after isolation (Methods). The timing of this 387 model rejects any role of modern agriculture in subgroup division, although it should be 388 noted that estimates of such old split times inherently carry considerable uncertainty. Our 389 inferred model is inconsistent by an order of magnitude with agriculture as a driving 390 force in cladogenesis and is more consistent with habitat fragmentation and loss due to 391 natural causes, potentially including climatic shifts such as changes in pluviometry that 392 would lead to increased population size. The model supports a >500-fold population 393 growth in A. coluzzii and 19-fold growth in GOUNDRY with extensive gene flow 394 between them ~85,300 ya, consistent with a re-establishment of contiguous habitat and 395 abundant availability of bloodmeal hosts. Interestingly, the model supports additional 396 population growth in both subgroups in the most recent epoch, which spans the last 397 10,000 years and coincides with the advent of agriculture. Any hybridization related to 398 secondary contact during this period has not led to complete homogenization, as we 399 conservatively identified nearly 8,000 fixed nucleotide differences distributed across the 400 genomes of the two subgroups.

401 The dates reported here depend on assumptions about both the physiological 402 mutation rate as well as the number of generations per year, neither of which are well 403 known in Anopheles. As such, the details of these results would differ somewhat if 404 different estimates were used. However, we would have to invoke extreme values of 405 these parameters that are outside reasonable expectation in order to obtain estimates for 406 the time of the GOUNDRY- A. coluzzii split that coincides with the advent of agriculture. 407 Overall, the model suggests that the origin of GOUNDRY is not recent and both 408 GOUNDRY as well as A. coluzzii have both undergone bouts of population growth and 409 increased rates of hybridization in more recent evolutionary time.

410 The initial description of GOUNDRY (Riehle et al. 2011) suggested that it 411 harbored lower allelic diversity than other sampled subgroups potentially suggesting a 412 small effective population size while being proportionally more numerous than other 413 subgroups at the time and place of collection. Our model suggests that the recent 414 effective population size is approximately 98,400 (95% CI 55,100 – 158,500) compared 415 to a recent A. coluzzii effective size of approximately 1,558,000 (95% CI 848,000 – 416 2,508,000). The disparity between recent effective sizes of these two subgroups suggests 417 that, while GOUNDRY may have been locally abundant at the time and place of the 418 initial study, it is not likely to be geographically widespread on a scale similar to A. 419 coluzzii.

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#### 421 Novel X-linked chromosomal inversion in GOUNDRY

422 A large cluster of fixed differences (~ 530; Figure S3) identified between 423 GOUNDRY and A. coluzzii falls within a 1.67 Mb region on the X chromosome that is 424 nearly absent of polymorphism (Figure 3), despite sequence read coverage comparable to 425 neighboring genomic regions (Figure S3). The remarkably large size of the region 426 devoid of diversity would imply exceptionally strong positive selection under standard 427 rates of meiotic recombination. For comparison, previously identified strong sweeps 428 associated with insecticide resistance span approximately 40 Kb and 100 kb in freely 429 recombining genomic regions of *Drosophila melanogaster* and *D. simulans*, respectively 430 (Schlenke & Begun 2004; Aminetzach et al. 2005). The swept region in GOUNDRY is 431 marked by especially sharp edges (Figure 3), implying that recombination has been

432 suppressed at the boundaries this region. Collectively, these observations suggest that the 433 swept region may be a small chromosomal inversion, which we have named Xh in 434 keeping with inversion naming conventions in the Anopheles system. Notably, this 435 pattern is virtually identical to the pattern of diversity in a confirmed X-linked inversion 436 discovered in African populations of D. melanogaster (Corbett-Detig & Hartl 2012). The 437 Xh region in GOUNDRY includes 92 predicted protein coding sequences (Table S2), 438 including the *white* gene, two members of the gene family encoding the TWDL cuticular 439 protein family (TWDL8 and TWDL9), and five genes annotated with immune function 440 (CLIPC4, CLIPC5, CLIPC6, CLIPC10, PGRPS1). The lack of diversity in the region 441 implies that the presumed Xh inversion has a single recent origin and was quickly swept 442 to fixation in GOUNDRY. We estimated the age of the haplotype inside the sweep 443 region to be 78 years with a standard deviation of 9.15 by assuming that all segregating 444 polymorphisms in the region postdate fixation of the haplotype (see Methods). Such extraordinarily recent adaptation is consistent with the selection pressures related to 19<sup>th</sup> 445 446 and  $20^{\text{th}}$  century human activity such as insecticide pressure or widespread habitat 447 modification.

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- 449

# Xh is a barrier to introgression

450 Chromosomal inversions are thought to play important roles as barriers to gene 451 flow between taxa diverging with ongoing gene flow (Rieseberg 2001; Noor et al. 2001; 452 Navarro & Barton 2003), so we hypothesized that this putative X-linked chromosomal 453 inversion in GOUNDRY may serve as a barrier to gene flow with A. coluzzii. If this 454 inversion has acted as a barrier to gene flow with A. coluzzii, or taxa undergoing 455 secondary contact after divergence, we would expect the X chromosome to be more 456 diverged than the autosome and the inversion would be more diverged than other regions 457 of the X chromosome.

One approach to estimate differences in divergence among genomic regions is to compare divergence between a focal pair of subgroups (GOUNDRY and *A. coluzzii*) to divergence between one of the focal groups and an outgroup (GOUNDRY and *A. gambiae*) in order to scale divergence levels by differences among regions in mutation rate and the effects of selection on linked sites. This approach estimates what is known

463 as Relative Node Depth (RND =  $D_{GAc}/D_{GAg}$ , where subscripts G, Ac, and Ag indicate 464 GOUNDRY, A. coluzzii, and A. gambiae respectively), and a higher RND indicates 465 greater divergence between the focal groups (Feder et al. 2005). We find that RND is 466 0.7797 on the autosomes and 0.8058 on the X, indicating higher genetic divergence 467 between GOUNDRY and A. coluzzii on X relative to the autosomes. To explicitly test 468 whether such a pattern could be obtained under a pure split model with no gene flow, we 469 obtained expected values of Relative Node Depth (RND) assuming a phylogeny where A. 470 *coluzzii* and GOUNDRY form a clade with *A. gambiae* as the outgroup (Methods).

471 Our analytical results support the hypothesis that  $D_{GAc}$  is downwardly biased on 472 the autosomes relative to  $D_{GAC}$  on the X as a result of higher rates of gene flow on the 473 autosomes relative to the X. We find that under some parameter combinations (Figure 4), 474 RND decreases with increasing effective A.coluzzii -GOUNDRY effective population 475 size, which could result in a smaller RND value on the autosomes since the autosomes 476 should have an effective size at least as big as the X. However, most parameter 477 combinations suggest that this pattern is unexpected (i.e. most regions of the curves 478 predict that RND should increase with increasing effective population size), and the 479 estimate for the ancestral effective size of A. coluzzii-GOUNDRY we obtained in a 480 separate demographic analysis above suggests that these subgroups exist in a parameter 481 space where the RND function is consistently increasing with increasing effective sizes.

482 To test the second expectation that the inversion is more diverged than other 483 regions on the X chromosome, we compared divergence with A. coluzzii in windows 484 inside and outside of the inverted region. Absolute sequence divergence  $(D_{yy})$  is not 485 sensitive to detect differential gene flow for relatively recent changes in gene flow 486 (Cruickshank & Hahn 2014), and we expect that the putative Xh inversion is likely too 487 young for measurable differences to have accumulated, so we tested for excess 488 divergence in the Xh inversion using a more sensitive approach. For comparison, we find 489 that the inverted region is significantly more diverged between A. coluzzii and GOUNDRY relative to the remaining X chromosome ( $\overline{D}_{GAC}$  (Xh) = 0.0103,  $\overline{D}_{GAC}$  (non-490 Xh) = 0.0071; M-W  $P < 2.2 \times 10^{-16}$ ), but nucleotide diversity in A. coluzzii is also 491 492 significantly higher in this region ( $\pi_{dx}$  (Xh) = 0.0080,  $\pi_{dx}$  (non-Xh) = 0.0061; M-W P <  $5.49 \times 10^{-14}$ ), implying that the increased divergence could be partially explained by 493

494 increased mutation rate in this region. However, when absolute divergence along the X 495 chromosome is explicitly scaled by the mutation rate inferred from levels of 496 polymorphism in the A. coluzzii sample  $(D_a)$ , the putatively adaptive Xh inversion 497 between GOUNDRY and A. coluzzii is proportionally much more divergent than is the 498 remainder of the X chromosome ( $\overline{D}_{\alpha}$  (Xh) = 0.0022,  $\overline{D}_{\alpha}$  (non-Xh) = 0.0013; M-W P <  $4.89 \times 10^{-08}$ ; Figure 5). Although relative measures of divergence, such as  $D_a$ , are known, 499 500 for example, to be confounded by reductions in nucleotide diversity related to natural 501 selection on linked sites (Charlesworth 1998; Noor & Bennett 2009), we believe that this 502 analysis is robust to these concerns because the comparison is among only X-linked 503 windows and the region of interest is in a region of the chromosome that is highly diverse 504 in subgroups where there is no evidence of selective sweeps (Figure 3).

505 Both of these tests indicate that sequence divergence between A. coluzzii and 506 GOUNDRY is greater inside the putative inversion relative to the X as a whole, which 507 likely reflects both the accumulation of a small number of new private mutations inside 508 the inversion as well as a greater proportion of shared polymorphisms outside the 509 inversion, consistent with higher rates of introgression outside the inversion. Taken 510 together with the demographic inference, the above results suggest that, after initial 511 ecological divergence between these taxa approximately 100,000 years ago, this genomic 512 barrier to introgression has established in the face of ongoing hybridization only within 513 the last 100 years, presumably owing to the accumulation and extended effects of locally 514 adapted loci or genetic incompatibility factors within the large swept/inverted Xh region 515 on the **GOUNDRY** X chromosome, meiotic drive, or an uploidy resulting from 516 nondisjunction in heterokaryotypes.

517

#### 518 GOUNDRY is inbred

519 Unexpectedly, we found that GOUNDRY exhibits a deficiency of heterozygotes 520 relative to Hardy-Weinberg expectations and extensive regions of Identity-By-Descent 521 (IBD), a pattern that is not observed in any of our other *Anopheles* collections. Individual 522 diploid GOUNDRY genomes are checkered with footprints of IBD, even though the 523 genome as a whole harbors substantial genetic variation indicating a relatively large 524 genetic (effective) population size (Figure 6a). The observation of stochastic tracts of 525 IBD is most consistent with an unusually high rate of close inbreeding. To explicitly test 526 for elevated inbreeding coefficients (F), we used a maximum likelihood framework to 527 infer F for each individual without calling genotypes. We found that values of F range 528 from 0.0087 to 0.2106 genome wide (Figure S4). In contrast, estimates of inbreeding 529 coefficients for 10 A. coluzzii genomes and 9 A. arabiensis genomes were consistently 530 low ( $F_{Ac} < 0.03$ ;  $F_{Aa} < 0.04$ ). The relatively high inbreeding coefficients in GOUNDRY 531 suggest that this population has a history of mating among relatively closely related 532 individuals.

533 The lengths of these tracts provide information about the timing and nature of 534 inbreeding in the population since recombination is expected to break up large tracts 535 generated by recent inbreeding. All 12 GOUNDRY genomes analyzed here are marked 536 by IBD tracts of various lengths, and the specific chromosomal locations of the IBD 537 regions are random and vary among the sequenced GOUNDRY individuals (Figure 6b). 538 While many IBD tracts are relatively short, several individuals harbor tracts that span 30-539 40 cM (Figure 6c). This mixture of tract lengths is most consistent with both a 540 generations-old history of inbreeding (short tracts) as well as the possibility of mating 541 among half-siblings or first-cousins (long tracts).

542

#### 543 Effect of inbreeding on Plasmodium-resistance

544 Inbreeding is known to have detrimental effects on various phenotypes, including 545 resistance to parasite infection (Hamilton et al. 1990; Luong et al. 2007). To test whether 546 inbreeding in GOUNDRY increases intrinsic susceptibility to *Plasmodium falciparum* 547 infection in this group, we studied a larger panel of 274 GOUNDRY females that were 548 experimentally infected with local wild isolates of P. falciparum and genotyped at 1,436 549 SNPs across the genome (Mitri et al. 2015). After filtering, we estimated inbreeding 550 coefficients with the program ngsF (Vieira et al. 2013) using 678 autosomal variable sites 551 (Methods) and found that F ranges from 0 to 0.3797 in this sample of GOUNDRY 552 females (Figure S5). Although it is possible that some GOUNDRY individuals are truly 553 not inbred, all 12 GOUNDRY individuals subjected to whole genome sequencing showed 554 significant evidence of inbreeding, so we suspect that the relatively sparse genotyping (1

per ~400 kb) assay used on this panel of mosquitoes failed to capture IBD tracts in someindividuals.

Blood feeding experiments were conducted using five human *Plasmodium* gametocyte donors, and blood donor had a significant effect on both infection prevalence (ANOVA;  $P = 1.593 \times 10^{-9}$ ) and intensity (ANOVA;  $P = 1.194 \times 10^{-13}$ ). Importantly, the distributions of mosquito inbreeding coefficients did not differ significantly between blood donor cohorts (ANOVA, P = 0.0934).

562 Of the females that fed on infectious blood-meals, 104 (37.9%) had no parasites at 563 the time of dissection, and we asked whether this infection prevalence is statistically 564 associated with inbreeding in the mosquito host. Inspection of the distribution of F in 565 this sample indicates that categorization of individuals as inbred or outbred is difficult 566 since a substantial proportion of individuals were assigned values of F close to 0 (Figures 567 S5 and S6) and even individuals from outbred populations such as A. coluzzii and A. 568 *arabiensis* can have estimates of F as high as 0.03 or 0.04 (Figure S4). Therefore, we 569 used the median value of F estimated from genome-wide SNPs in GOUNDRY (0.026) 570 and categorized mosquitoes as more inbred (F > 0.026) or less inbred ( $F \le 0.026$ ). We used a  $\chi^2$  test with this categorization approach to test whether higher inbreeding 571 572 significantly associated with higher infection prevalence and find that females with 573 higher inbreeding coefficients are overrepresented in the 'infected' class (P = 0.0205; 574 Table 2). We also used the Cochran-Mantel-Haenszel procedure to directly account for 575 blood-donor in the test for association and found very similar results (P = 0.025 for 576 median cutoff). As an alternative assignment approach, we defined the inbreeding 577 categories using the highest inbreeding coefficient value obtained from full genome 578 sequencing of an outbred population, A. coluzzii (F = 0.0292), which should be more 579 robust to statistical uncertainty than estimates from the SNP chip data, and find that the 580 association is on the borderline of significance (P = 0.0546; Table 2). These analyses 581 indicate that an increase in the proportion of genes with alleles that are Identical by 582 Descent may decrease the ability of adult female mosquitoes to resist parasite infection, 583 although the effect is small enough that detection of the association is sensitive to how 584 the distribution of F is categorized.

585 We also asked whether the degree of inbreeding has an effect on the intensity of 586 infection (number of oocysts per midgut). Of the 274 females that fed on natural 587 gametocytemic blood samples and were assayed for infection status, 170 harbored at least 588 one oocyst, while the remaining 104 females were uninfected, corresponding to an 589 infection rate of 0.62. Among the infected females, infection intensity varied from 1 to 590 38 with a mean of 5.73 oocysts per individual. We fit linear models for mosquitoes fed 591 on each blood donor separately and find no significant correlation (P > 0.05) between 592 inbreeding coefficients and infection intensity.

593

# 594 **Discussion**

595 It is not known how many such cryptic subpopulations of Anopheles exist or how 596 much gene flow they share with described subgroups, although there is evidence gene 597 flow may be common (Lee et al. 2013). Epidemiological modeling and vector-based 598 malaria control strategies must account for populations like GOUNDRY if they are to 599 effectively predict disease dynamics and responses to intervention (Griffin et al. 2010). 600 Failure to account for such subpopulations will undermine malaria control efforts, as in 601 the case of the Garki malaria control project in Nigeria in the 1970s that did not account 602 for genetic variation in adult resting behavior and missed outdoor resting adults 603 (Molineaux et al. 1980).

604 Here, we present an analysis of complete genome sequences from the newly 605 discovered cryptic GOUNDRY subgroup of A. gambiae. Our results help clarify some 606 outstanding questions raised by the initial description of this subgroup. We show that, in 607 contrast to initial suggestions (Riehle et al. 2011), GOUNDRY subgroup of A. gambiae 608 falls genetically within Anopheles gambiae sensu lato and is not an outgroup. 609 GOUNDRY shows strongest genetic affinity with A. coluzzii and therefore may be an 610 ecologically specialized subgroup of A. coluzzii. The discrepancy between our findings 611 and previously published results is likely due to the fact that the first description was 612 based on a small number of microsatellite markers and SNPs and was based on 613 differences in allele frequency, while the current study is based on absolute sequence 614 divergence calculated from whole genome sequencing data, and therefore included both 615 shared and private mutations. Our demographic analysis suggests that GOUNDRY has

616 existed for approximately 100,000 years and represents a recent example of the frequent 617 speciation dynamics in *Anopheles* that appears to be common (Crawford *et al.* 2015; 618 Fontaine et al. 2015). Since GOUNDRY was identified using an outdoor sampling 619 approach not common in previous studies, it was unclear whether or not this subgroup 620 may be more broadly distributed and just un-sampled. We estimate that the recent 621 (effective) population size of GOUNDRY is approximately 5% that of A. coluzzii, 622 suggesting that GOUNDRY is likely restricted to a relatively small region of the Sudan-623 Savanna zone in West Africa.

624 In addition to thousands of mutations found to be putatively unique to 625 GOUNDRY, we identified a large GOUNDRY-specific genetic marker in the form of a 626 new putative X-linked chromosomal inversion that originated and fixed within 627 GOUNDRY within the last 100 years. It remains unknown whether positive selection or 628 meiotic drive has driven this inverted haplotype to high frequency and ultimately fixation 629 in GOUNDRY, but our results suggest that it may serve as a recent barrier to gene flow 630 with A. coluzzii, and potentially other taxa as well. Collectively, the data show that 631 nucleotide-diversity corrected divergence is higher inside the putative inverted region, the 632 inverted region as a chromosomal segment is the most diverged of all segments of the 633 same size on the X chromosome, and the X chromosome as a whole is more diverged 634 among GOUNDRY and A. coluzzii relative to the autosomes. The most parsimonious 635 explanation for these patterns is that, although very few new mutations have accumulated 636 inside of Xh since its origin less than 100 years ago, ongoing gene flow between A. 637 coluzzii and GOUNDRY has led to a greater density of shared polymorphism and 638 therefore lower sequence divergence in non-inverted regions of the X chromosome 639 relative to the inversion, especially distal to the inversion breakpoints. These results lead 640 us to conclude that while cladogenesis of GOUNDRY and A. coluzzii ~100 kya by other 641 means established some degree of temporally fluctuating reproductive isolation, the 642 recently derived Xh putative inversion now serves as a genomic barrier to gene flow, and 643 the effects of selection against migrant haplotypes or lack of recombination with non-644 inverted chromosomes have begun to extend to linked sites outside the inversion 645 breakpoints.

646 The observation that GOUNDRY is more closely related at the genome level to A. 647 *coluzzii* than to A. *gambiae* could be biased by higher rates of gene flow between 648 GOUNDRY and A. coluzzii as well as sampling bias caused by the fact that A. gambiae is 649 represented by only a single individual that was sampled from a different country. 650 Although we cannot formally rule out the possibility that GOUNDRY originated as 651 something other than a subpopulation of A. coluzzii and later experienced substantial 652 gene flow from A. coluzzii that led to genetic affinity in our analysis, the most 653 parsimonious explanation is that it is a subgroup that originated from A. coluzzii that has 654 experienced gene flow from multiple sympatric taxa over its history. The most 655 compelling piece of evidence that GOUNDRY is not a recent A. coluzzii-A. gambiae 656 hybrid-backcross is the presence of the large fixed haplotype on the X chromosome in 657 GOUNDRY that is not expected under the recent backcross model. In support of this 658 notion, a recently published study (Fontaine et al. 2015) constructed similar distance 659 based trees using samples of A. gambiae from across the continent and found that 660 geographically disparate individuals were consistently interdigitated while excluding A. 661 *coluzzii*, suggesting that species assignment was more important than geography.

662 An additional potential concern regarding our estimate of the demographic 663 modeling and our conclusion that the X chromosome is more diverged than the autosome 664 between GOUNDRY and A. coluzzii stems from introgression between A. gambiae and 665 both GOUNDRY and A. coluzzii. We showed in a companion manuscript that 666 GOUNDRY has introgressed with A. gambiae in the evolutionarily recent past (Crawford 667 et al. 2015), and the presence of A. gambiae haplotypes in GOUNDRY could bias our 668 demographic estimate of the split time since this introgression was not explicitly modeled. 669 A four-taxon model including A. gambiae and A. arabiensis would probably improve our 670 estimates, but the dimensionality of such a model would increase dramatically and would 671 require much more sequence data than is available in the current study. Introgression 672 with A. gambiae could also compromise our RND analysis in which this group was used 673 as an outgroup. For example, higher introgression between A. gambiae and the ingroups 674 on the X relative to the autosome could result in an underestimate of the mutation rate on 675 the X chromosome and thus an inflation of the ingroup divergence. However, we showed 676 in a companion manuscript (Crawford *et al.* 2015) that signals of introgressed haplotypes

are concentrated on the autosome and absent from the X, suggesting that RND scaling
may be downwardly biased on the autosomes rather than the X. For these reasons, *A*. *gambiae* is not an ideal outgroup for an RND analysis, but it is suitable for our purposes
and a low rate of introgression from this taxon is not likely to bias our results.

681 Perhaps the most unexpected feature of GOUNDRY is the high degree of 682 inbreeding in this population. We emphasize that the deficit of heterozygosity and 683 presence of unusually long IBD tracts that we observe in GOUNDRY are not a typical 684 function of persistently small population size. The inbreeding that we see here is 685 different from the strong drift that would be associated with small effective population 686 sizes over many generations, and which would manifest as generally low levels of 687 nucleotide diversity across the genome. Instead, the observed pattern indicates that some 688 proportion of individuals in an otherwise relatively large population tend to mate with 689 closely related individuals. Although IBD patterns in GOUNDRY are not consistent with 690 a long term small population size, in principle it could reflect a very recent and severe 691 reduction in population size, perhaps related to a strong insecticide pressure. The full 692 insecticide resistance profile of GOUNDRY is unknown. It was shown previously that a 693 resistance allele at kdr is segregating in this population (Riehle et al. 2011), although the 694 resistant and susceptible alleles are segregating at HWE, and the kdr allele is segregating 695 at a similar frequency in our sample (Table S1). This suggests that this locus has not 696 been subject to recent severe selection pressure in GOUNDRY.

697 We propose four hypotheses to explain the inbreeding signal in GOUNDRY. 698 Two hypotheses involve the evolution of modified mating biology where GOUNDRY 699 individuals 1) have preference for mating with related individuals, or 2) mate 700 immediately after eclosion. Two additional hypotheses involve the spatial distribution of 701 mating where GOUNDRY individuals either return to their larval habitat to mate or 702 suitable habitats are rare so they return to the same habitat by necessity. In both 703 scenarios, GOUNDRY would exist as a series of micro-populations, perhaps related to 704 habitat fragmentation, where the likelihood of mating with a related individual is higher 705 than that of larger populations such as A. coluzzii or A. gambiae. The first two 706 hypotheses are biologically less plausible and are not supported by the patterns of IBD 707 tracts since we do not observe a 'mate preference' locus that is inbred in all individuals or

uniformly long IBD tracts as predicted by these scenarios. The spatial distribution
hypotheses predict a distribution of mixed sized IBD tract lengths reflecting mating
between both close and more distant relatives by chance. Our data are consistent with the
spatial hypotheses, although additional field studies are needed to identify suitable
GOUNDRY habitat and test these hypotheses directly. Such dynamics have not been
previously observed in mosquito populations, which are thought to typically be large and
outbred.

715 Inbreeding is known to have negative fitness consequences in some cases (Hamilton et al. 1990; Luong et al. 2007). Detrimental effects of inbreeding can be 716 717 caused either directly when individuals become homozygous for less fit alleles at a given 718 gene or indirectly when overall vigor of an individual is reduced due to exposure of 719 multiple small effect recessive mutations (Charlesworth & Charlesworth 1987). Reduced 720 immune performance is one possible effect of inbreeding, which could have implications 721 for public health if Anopheles mosquitoes become more effective vectors of Plasmodium 722 parasites. We show here that the degree of inbreeding is positively, albeit weakly, 723 associated with infection prevalence. Our results show that the odds of an individual 724 with even moderate inbreeding coefficient getting infected are 65% greater than for 725 individuals with very low inbreeding coefficients. That we observed a significant 726 association at all is surprising given the coarse and noisy estimates of both relevant 727 parameters. Experimental *Plasmodium* infections are notoriously difficult to control and 728 highly variable even among sibling females (Medley et al. 1993; Niare et al. 2002). 729 Moreover, our estimates of inbreeding coefficients are based on a relatively small number 730 of variable sites ( $\sim 650$ ), which corresponds to an average SNP density of 1 per  $\sim 400$  kb. 731 Given the large number of IBD tracts that are smaller than 400 kb (Figure 6), our 732 estimates are likely to miss many smaller IBD tracts and thus be underestimates of true 733 levels of IBD within these genomes. As such, improved estimates of inbreeding may or 734 may not bolster the significant trend indicating an effect of inbreeding on infection status. 735 Inbreeding coefficients did not, however, explain variation among individuals in the 736 intensity of infection, although increasing the sample size and accuracy of the inbreeding 737 coefficients may change this conclusion. While it remains possible that our rough 738 parameter estimates inhibit this level precise correlation, a single *Plasmodium* oocyst can

739 be sufficient for successful transmission of the parasite. Thus, an increased odds of 740 getting infected, regardless of how intense the infection becomes, could still have serious 741 epidemiological consequences. More work is needed to determine the ecological and 742 population dynamics leading to inbreeding in GOUNDRY, but it is possible that 743 anthropogenic interventions such as intense insecticide and bed-net eradication 744 campaigns, could in principle lead to increased inbreeding in other populations as well. 745 Such inbreeding could be especially problematic if it causes, as our results suggest, 746 increased efficiency in parasite transmission among the remaining small pockets of 747 mosquitoes that escape eradication. If this is the case, the combination between the 748 potential side effects of intense eradication efforts and ecological specialization of 749 subgroups across time and environmental space may make complete interruption of local 750 parasite transmission difficult.

751 In many ways, GOUNDRY has proven to be an atypical subgroup within the well 752 studied Anopheles gambiae species complex underscoring our incomplete understanding 753 of vector population dynamics in this system. This study has provided answers to some of the outstanding questions raised around this subgroup while generating still new 754 755 questions that are difficult to reconcile. Our data suggest that GOUNDRY has existed as 756 an offshoot population from A. coluzzii for many generations, hybridizing with its 757 parental population for a substantial portion of its history, yet the most prominent 758 genomic barrier to introgression established only very recently. The process and 759 mechanisms that have kept these two taxa from collapsing back to a single gene pool 760 over their history remains unclear and warrants further study. Moreover, we find 761 evidence for a history of extensive inbreeding within GOUNDRY that we hypothesize 762 could be explained by microstructure creating local breeding demes, yet this population is 763 thought to be exophilic and thus likely less clustered. Whether GOUNDRY has 764 specialized within a rare and patchy ecological niche, has become less likely to fly long 765 distances, or has evolved in some other way that can explain this pattern remains an open 766 question for future study. Additional field studies and genetic analysis of this subgroup 767 are sure to help clarify many of these questions and help to understand the ecological and 768 evolutionary dynamics of populations with relevance to human health and otherwise.

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# 918 Data Accessibility

- 919 Sequence data generated for this study can be accessed through the Short Read Archive at
- 920 NCBI under BioProject ID PRJNA273873.

921

# 922 Author Contributions

- 923 JEC wrote software and analyzed data; KDV, MMR, WMG, AG, NS contributed new
- reagents and analytical tools; JEC, BPL, KDV, MMR, and RN designed research. JEC
- 925 wrote the manuscript with contributions from the rest of the authors.
- 926
- 927
- 928 Tables

- 929 **Table 1**: Optimized parameter values and confidence intervals from the maximum-
- 930 likelihood demographic model for GOUNDRY and A. coluzzii. See Figure 2 for
- 931 parameter descriptions.

Parameter	Optimized Value	95% Confidence Interval		
		Lower	Upper	
$\theta \left(4 N_A \mu L\right)^a$	180,914			
N <sub>A</sub> <sup>b</sup>	126,252	88,916	150,976	
Split Times				
$t_1$	259,220	114,087	396,171	
<i>t</i> <sub>2</sub>	754,204	741,946	766,215	
<i>t</i> <sub>3</sub>	99,235	93,113	105,754	
t <sub>TOT</sub>	1,112,660	967,181	1,250,106	
Population sizes				
N <sub>Ac1</sub> /N <sub>A</sub>	0.01	0.01	0.01	
$N_{Ac2}/N_A$	5.74	4.58	7.65	
N <sub>Ac3</sub> /N <sub>A</sub>	12.34	9.54	16.61	
N <sub>G1</sub> /N <sub>A</sub>	0.01	0.00*	0.08	
$N_{G2}/N_A$	0.19	0.11	0.32	
N <sub>G3</sub> /N <sub>A</sub>	0.78	0.62	1.05	
Migration rates				
$(4Nm)^{c}$				
G1 into Ac1	0.010	0.0047	0.02	

G2 into Ac2	1.29	1.25	1.33
G3 into Ac3	0.37	0.08	0.74
Ac1 into G1	0.080	0.00*	0.64
Ac2 into G2	1.17x10 <sup>-4</sup>	0.00*	0.0015
Ac3 into G3	1.50	1.44	1.55

a – Instead of estimating a confidence interval for  $\theta$  which itself is not model parameter, we solved for  $N_A$  and calculated a confidence for this parameter. In the implementation of *dadi*,  $N_A$  is used to scale all other parameters in the model.

b – Ancestral population size was calculated from the estimate of  $\theta$  by dividing this value by 4 times the number of sites times the mutation rate (see Methods above).

c – Values of 4Nm were calculated by multiplying the migration rate reported by *dadi*  $(2N_Am)$  by 2 times the ratio of the effective size of the recipient population (e.g.  $N_{GI}$ ) over  $N_A$ .

\* indicates cases where the lower bound of the 95% CI was negative. This is not meaningful, so we set these values to 0.

# 932

#### 933 **Table 2:** Association between inbreeding coefficients and *Plasmodium* infection

934 prevalence.

Cutoff <sup>b</sup> Inbreeding Level <sup>a</sup>				$X^2$ value	P value <sup>c</sup>	
C	Low High		<u></u> gh			
	Infected	Not infected	Infected	Not infected		
0.0260	77	60	93	44	3.4870	0.0205
0.0292	81	60	89	44	2.2200	0.0546

a – Inbreeding coefficient class

b – Cutoff used to assign individuals to low or high inbreeding class. Individuals were assigned to low class if their *F* value was less than or equal to this cutoff. See text for explanation choice of cutoff values.

c – *P* values were calculated by comparing the empirical  $X^2$  value to  $X^2$  values obtained from 10<sup>4</sup> permuted datasets in a one-tailed test (See Methods).

935

- 936 Figure Legends
- 937

938 Figure 1: Average genetic relationships among species and subgroups in Anopheles

- 939 *gambiae* species complex. Unrooted neighbor-joining tree calculated with the *ape*
- package in R and drawn with Geneious software. Branches indicate genetic distance
- 941  $(D_{xy})$  calculated using intergenic sites (see Methods) with scale bar for reference.
- 942 Bootstrap support percentages are indicated on all internal nodes. Branch lengths and
- 943 95% CIs indicated for branches leading to *A. merus* and *A. arabiensis*.
- 944

#### 945 Figure 2: 2D-Site frequency spectrum and demographic model fitting of

946 GOUNDRY and A. coluzzii. A) Three-epoch demographic model. One and two-epoch 947 models have parameters from only first (Epoch 1) or first and second epochs, respectively. 948 N parameters indicate effective population sizes. The duration of each epoch is specified 949 by t parameters. Migration parameters (2Nm) are included as functions of the ratio of 950 epoch-specific effective sizes relative to the ancestral effective size. We included 951 separate migration parameters for A. coluzzii into GOUNDRY migration  $(2N_A m_{GC})$  and 952 GOUNDRY into A. coluzzii  $(2N_Am_{CG})$ . B) Autosomal, unfolded two-dimensional site-953 frequency spectrum (2D-sfs) for GOUNDRY and A. coluzzii for empirical data. C) 2D-954 sfs (top row) for maximum-likelihood models under four demographic models. Residuals 955 are calculated for each model comparison (bottom row) as the normalized difference 956 between the model and the data (model – data), such that red colors indicate an excess 957 number of SNPs predicted by the model. See Table 1 for parameter values of the best-fit 958 models under each demographic scenarios. 

959

Figure 3: Chromosomal distributions of nucleotide diversity (π) at inter-genic sites
(LOESS-smoothed with span of 1% using 10 kb non-overlapping windows). Low
complexity and heterochromatic regions were excluded. The strong reduction of

- 963 diversity on the X chromosome in GOUNDRY (Mb 8.47 10.1) corresponds to putative
- 964 chromosomal inversion Xh.
- 965

966

#### Figure 4: Modeling expected values of Relative Node Depth $(D_{GAc}/D_{GAg})$ . A)

- 967 Expected values of RND when ancestral population sizes are assumed to be equal.
- 968 Colors indicate the expectations under different relative split times. B) Expected values
- 969 with  $t_{GAg}$  split time fixed to 1.1 (top) times the split time between GOUNDRY and A.
- 970 coluzzii ( $t_{GAc}$ ) or 1.5 times (bottom). Colors indicated relative effective sizes of ancestral
- 971 populations. Values are plotted as a function of the GOUNDRY-A.coluzzii effective size
- 972 (x-axis). Grey bar indicates 95% confidence interval demographic estimate for
- 973 GOUNDRY-A. coluzzii ancestral size (see Methods).
- 974

975 Figure 5: Relative genetic divergence  $(D_a)$  between GOUNDRY and A. coluzzii.  $D_a$ 

976 plotted as a function of nucleotide diversity (*A. coluzzii*) using only intergenic sites in 977 non-overlapping 10 kb windows. Low complexity and heterochromatic regions were 978 excluded. X-Free: freely recombining regions on X chromosome. X-Inv: region inside 979 putative X*h* chromosomal inversion. Non-parametric Mann-Whitney test indicates that 980 relative divergence ( $D_a$ ) is significantly higher inside X*h* ( $P < 2.2 \times 10^{-16}$ ), consistent with 981 this region acting as barrier to gene flow.

982

#### 983 Figure 6: GOUNDRY genomes harbor long tracts of Identity-By-Descent. A)

984 Comparison between rates of IBD in one representative A. coluzzii diploid (black; 'Ac' in 985 figure) and one representative GOUNDRY diploid on the 3L chromosomal arm (Orange; 986 'G' in figure) plotted in physical distance. Top panel shows Loess-smoothed estimate of 987 heterozygosity in 1 kb windows and bottom panel shows IBD tracts called with FSuite (Methods). A. coluzzi individuals do not harbor long IBD tracts, and heterozygosity 988 989 within GOUNDRY individuals is comparable to heterozygosity in A. coluzzii except in 990 long regions of homozygosity. B) Genetic position and size of IBD regions (orange 991 bands) called with FSuite. C) Genetic position and size of IBD tracts called with FSuite 992 for six additional GOUNDRY individuals. Small breaks in long IBD tracts reflect rare 993 genotype errors causing erroneous break in IBD tract.

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