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Learning enhances the relative impact of top-down processing in the visual cortex

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Abstract

Theories have proposed that in sensory cortices learning can enhance top-down modulation by higher brain areas while reducing bottom-up sensory inputs. To address circuit mechanisms underlying this process, we examined the activity of layer 2/3 (L2/3) excitatory neurons in the mouse primary visual cortex (V1) as well as L4 neurons, the main bottom-up source, and long-range top-down projections from the retrosplenial cortex (RSC) during associative learning over days using chronic two-photon calcium imaging. During learning, L4 responses gradually weakened, while RSC inputs became stronger. Furthermore, L2/3 acquired a ramp-up response temporal profile with learning, coinciding with a similar change in RSC inputs. Learning also reduced the activity of somatostatin-expressing inhibitory neurons (SOM-INs) in V1 that could potentially gate top-down inputs. Finally, RSC inactivation or SOM-IN activation was sufficient to partially reverse the learning-induced changes in L2/3. Together, these results reveal a learning-dependent dynamic shift in the balance between bottom-up and top-down information streams and uncover a role of SOM-INs in controlling this process.

Introduction

The activity of sensory brain areas is determined not only by feedforward sensory inputs ('bottom-up') but also by feedback modulation from higher brain areas ('top-down')^{1–7}. Theories have proposed a learning-related dynamic shift in the balance between bottom-up and top-down information streams, possibly contributing to the formation of internal models to predict and efficiently encode the sensory environment^{8–13}. In this framework, sensory processing is thought to be dominated by the bottom-up pathway in the naive condition, faithfully representing the sensory environment. Experience and learning, however, leads to the generation of an internal model which provides top-down predictions in response to sensory inputs. Any mismatch between the top-down prediction and sensory inputs creates a

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bottom-up prediction error signal propagating forward in the hierarchy, which in turn updates the internal model so that it can better predict future events. Such refined predictive models can then reduce the error signal by suppressing bottom-up processing. Essentially, the brain is a prediction machine which attempts to minimize bottom-up prediction errors by maximizing the accuracy of top-down predictions through learning. While this notion has substantial intellectual appeal, circuit mechanisms underlying such a learning-induced shift in the balance of bottom-up and top-down pathways are poorly understood.

A core feature of the cortical circuit is its layered structures embedded in a hierarchical organization^{14, 15}. Within each sensory cortex, L2/3 excitatory neurons receive bottom-up sensory information from excitatory neurons in L4, the main thalamorecipient layer, which generally target perisomatic dendrites of L2/3 neurons¹⁶. L2/3 neurons also receive top-down inputs at their distal dendrites in L1 from higher cortical areas^{17, 18}. Despite this anatomical information, how the dynamics of different circuit components may change during learning remains largely unknown. Based on the theoretical framework described above, we hypothesized that the bottom-up pathway is relatively strong in a naive state, reflected by higher L4 activity, and sensory experience and learning enhance the relative impact of the top-down processing to modulate L2/3 (Fig. 1a).

To test this hypothesis, we examined the plasticity of the three excitatory circuit components (L2/3 excitatory neurons, L4 excitatory neurons and top-down inputs arriving in L1) in V1 using two-photon calcium imaging during two experience paradigms, a visually-guided active avoidance task and passive experience, over days. As a source of top-down inputs to V1, we focused on the retrosplenial cortex (RSC), which integrates inputs from multiple higher brain areas such as the frontal cortex and hippocampus and sends the densest feedback projections to V1 among non-visual areas^{18, 19}. RSC is also implicated to be essential for adaptive behaviors including visually-cued active avoidance^{19, 20}. During passive sensory experience and associative learning, bottom-up L4 responses gradually reduced while RSC inputs enhanced their activity. The temporal profile of L2/3 responses appeared faithful to the visual stimulus in the naive state and remained so during passive sensory experience. With learning, however, L2/3 acquired a ramp-up response profile, with the peak coinciding with the timing of the associated event. This learning-specific change was present in RSC inputs but not in L4. Moreover, among genetically-defined subtypes of inhibitory interneurons, we found a learning-specific reduction in the activity of somatostatin-expressing inhibitory neurons (SOM-INs) that mainly inhibit distal dendrites of excitatory neurons at L1 and potentially gate top-down inputs^{21, 22}. RSC inactivation or SOM-IN activation was sufficient to reverse the learning-induced changes in L2/3 responses. Our results reveal circuit mechanisms underlying the theoretical postulate of a learning-dependent dynamic shift in the balance between the bottom-up and top-down information streams, involving intricate interactions between long-range intercortical projections and local microcircuits.

Results

Visually-guided active avoidance task

To determine the impact of visual experience on V1 processing, we monitored the dynamics of distinct circuit components using chronic two-photon calcium imaging in head-fixed mice²³ on a circular treadmill during visual experience over days. On the first day (Day 0), tuning properties of the individual regions of interest (ROIs, cell bodies or axonal boutons) were measured by presenting different directions of drifting gratings (12 directions, 30 degrees apart) and their responses were defined as pre-experience activity ('naive', Supplementary Fig. 1). We then selected one of the directions of drifting gratings as the target stimulus and repeatedly presented it from the next day while monitoring the activity of the same set of ROIs throughout experience (4 s / trial, ~90 trials / 30 min / day for 4 days). In one experience condition ('passive'), mice passively viewed the same stimulus for 4 days while locomotion was unconstrained. In another condition ('learning'), mice experienced the same stimulus the same number of times, but in a newly developed visually-guided active avoidance task (Fig. 1b). In this task, mice were required to detect the visual stimulus and initiate running on the treadmill above the set threshold. The failure to initiate running (i.e. no running or continuous running from the pre-stimulus period) during the response period (3.5 s from the visual stimulus onset) was scored as an incorrect trial (miss) and triggered a mild tail shock (0.5 s, 0.6 mA) (Fig. 1c). Mice showed efficient learning with the average correct rate reaching $91 \pm 1\%$ at the 4th session ($p < 0.001$, Kruskal-Wallis test, $n = 47$, Fig. 1d). Silencing V1 after learning with muscimol, a GABA receptor agonist, impaired the task performance without a noticeable motor deficit (muscimol: $p < 0.001$ versus session 4; vehicle: $p = 0.0016$ versus muscimol, one-tailed bootstrap with Bonferroni correction, $n = 7$, Fig. 1d), demonstrating the involvement of V1 in this behavior²⁴.

Identification of top-down inputs to V1

To monitor the plasticity of each excitatory circuit component during learning, we first identified brain regions providing top-down inputs to V1 by tracing experiments. Retrograde tracing from V1 resulted in dense labeling in RSC among other regions (Fig. 2a–b), and anterograde tracing from RSC revealed dense axonal projections in L1 of V1 (Fig. 2c–d). Photoactivation of ChR2-expressing RSC axons in acute V1 slices confirmed monosynaptic excitatory connections on L2/3 excitatory neurons (92%, 12/13 L2/3 neurons, Fig. 2e). Based on these findings and importance of RSC for the visually-cued active avoidance behavior²⁰, in this study we focused on RSC as a source of top-down inputs to V1.

Asymmetrical changes in response magnitudes between bottom-up and top-down inputs

We explored the dynamics of the three excitatory components by monitoring the activity of L2/3 excitatory neurons, L4 excitatory neurons or RSC axonal boutons in each mouse throughout the course of the experience paradigms. For V1 excitatory neurons, we injected adeno-associated virus (AAV) in V1 to express the genetically encoded calcium indicator GCaMP6f²⁵. Excitatory neurons were identified by using transgenic mice expressing tdTomato in all inhibitory neuron types ($GAD2\text{-IRES-Cre}^{26} \times Rosa\text{-LSL-tdTomato}^{27}$)²⁸ (Methods, Supplementary Fig. 2). For RSC inputs, we injected AAV into RSC and imaged

the activity of the axonal boutons located in L1 of V1. In both passive and learning conditions, we observed asymmetrical changes in response amplitudes across the three components. The activity of L2/3 and L4 excitatory neurons became sparser over days (Fig. 3d–i). This was due to a reduction in the number of responsive neurons while the remaining neurons stably maintained their response magnitude. The reduction in the population response was stimulus specific (Supplementary Fig. 1). In stark contrast, RSC inputs substantially enhanced their activity (Fig. 3a–c). Learning in particular led to a more pronounced increase in RSC input activity compared to passive experience, mainly due to an increase in the response amplitudes in each bouton (Fig. 3c).

Learning induces ramp-up responses in L2/3 excitatory neurons and RSC inputs

The asymmetrical changes in L4 and RSC activity provide evidence for an experience-dependent shift of the balance between the top-down and bottom-up streams. To assess the impact of these changes on stimulus representations, we next examined the temporal patterns of the responses of these circuit components. In the naive state, the majority of responsive L2/3 excitatory neurons showed a sharp increase of GCaMP fluorescence at the onset of the visual stimulus which returned to baseline at stimulus offset. This onset-locked activity persisted in the passive condition over sessions (Fig. 4e–g and Supplementary Fig. 3). However, after learning, L2/3 excitatory neurons acquired a pattern that gradually ramped up during the stimulus and peaked at the timing of the potential aversive event (Fig. 4e–g and Supplementary Fig. 3). Such responses may represent an anticipation for the associated event²⁹ and are reminiscent of a previous study in rats demonstrating that V1 neurons can encode reward timing³⁰. To quantify the temporal response profile of individual neurons, we introduced the ramp index, which was $\log_2(R_{\text{Late}} / R_{\text{Early}})$, where ‘ R_{Early} ’ and ‘ R_{Late} ’ refer to the activity in the 1–2 s and 3–4 s window of the stimulus presentation, respectively. The ramp index for L2/3 excitatory neurons was significantly higher in the learning condition compared to the naive and passive groups ($p < 0.001$, one-way ANOVA, Fig. 4h and Supplementary Fig. 4). Similar learning-specific changes from onset-locked to ramp-up response patterns were also observed in RSC inputs ($p < 0.001$, one-way ANOVA, Fig. 4a–d and Supplementary Fig. 4). However, the response patterns of L4 excitatory neurons were stable across experience paradigms ($p = 0.63$, one-way ANOVA, Fig. 4i–l and Supplementary Fig. 4). Importantly, the changes in the response profile were not due to changes in the running behavior³¹, as the activity was indistinguishable between hit and miss trials (Supplementary Fig. 5). In fact, the running-dependent gain modulation³¹ was observed in naive and passive conditions, but this effect was eliminated after learning, presumably due to occlusion by learning-dependent changes in top-down processing (Supplementary Fig. 5). Moreover, a locomotion-independent learning paradigm in another set of mice revealed similar ramp-up response patterns in L2/3 excitatory neurons and the RSC inputs (Supplementary Fig. 6). Therefore, associative learning induced a ramp-up response pattern in L2/3 and RSC independent of the changes in the running behavior.

RSC is necessary for the expression of L2/3 ramp-up activity

Next we sought to evaluate the possibility that the ramp-up responses in L2/3 excitatory neurons after learning are a result of top-down modulation. First, we asked whether the post-learning responses of L2/3 excitatory neurons require wakefulness, since top-down

modulation is considered to be sensitive to brain state³². We trained mice for the active avoidance task and then subsequently anesthetized them while following the activity changes in each excitatory circuit component. Anesthesia completely silenced top-down inputs from RSC (Fig. 4b–c and Supplementary Fig. 3) with little effect on the temporal profile in L4 (Fig. 4j–l and Supplementary Fig. 3). These changes were accompanied by a restoration of naive-like onset-locked activity in L2/3 excitatory neurons (Fig. 4f–h and Supplementary Fig. 3). Second, to directly test the requirement of RSC activity for the post-learning responses in L2/3, we inactivated RSC after the behavioral training by muscimol injections (Fig. 5a). Consistent with a previous study²⁰, RSC inactivation impaired the performance of the active avoidance task without noticeable motor impairments (muscimol: $p < 0.001$ versus session 4; vehicle: $p < 0.001$ versus muscimol, one-tailed bootstrap with Bonferroni correction, $n = 9$, Fig. 5b). Strikingly, RSC inactivation after learning restored onset-locked activity in L2/3 excitatory neurons (Fig. 5c–e), indicating that RSC activity is necessary for the expression of post-learning L2/3 ramp-up responses. RSC inactivation in the naive state, however, did not change the ramp index of L2/3 excitatory neurons (Supplementary Fig. 3). Taken together, these results suggest that learning shifts the balance of bottom-up and top-down drives onto L2/3 excitatory neurons; bottom-up inputs are relatively strong in the naive state, while learning enhances the impact of top-down inputs (Fig. 1a).

Reduced activity of SOM-INs during learning

How is the impact of these distinct inputs on L2/3 excitatory neurons regulated? It has been suggested that cortical GABAergic interneurons of various types^{33–35} can control the flow of information by actively inhibiting distinct subcellular domains of excitatory neurons³⁶. In particular, somatostatin-expressing inhibitory neurons (SOM-INs) extend their axons to L1 and inhibit the distal dendrites of excitatory neurons, potentially controlling top-down inputs arriving at L1^{21, 22, 37}. We examined the activity of SOM-INs by injecting AAV encoding Cre-dependent GCaMP6f in SOM-Cre²⁶ mice (Fig. 6a). In the naive state, SOM-INs exhibited responses tuned to stimulus orientation (Supplementary Fig. 1), consistent with previous findings^{38, 39}. Passive experience led to a modest increase in the activity of SOM-INs (Fig. 6b–c and Supplementary Fig. 7), the magnitude of which was similar to the increase in the RSC axon activity in the same condition (Fig. 3c), suggesting that RSC inputs are balanced by SOM-IN inhibition in this context. On the contrary, learning caused a decrease in SOM-IN activity, with fewer SOM-INs responding to the target stimulus (Fig. 6b–c and Supplementary Fig. 7). Thus, with learning, RSC axon activity increases whereas SOM-IN activity decreases, possibly creating a condition in which RSC inputs exert an even stronger influence on the activity of L2/3 excitatory neurons without being ‘gated’ by SOM inhibition. SOM-IN activity was suppressed when mice were anesthetized or RSC was inactivated after learning, indicating that RSC is an important driver, either directly or indirectly, of V1 SOM-IN activity (Supplementary Fig. 7). With learning, however, the ratio of RSC input activity and SOM-IN activity changed, with learning increasing RSC activity and decreasing SOM-IN activity. Importantly, such learning-specific changes in the visually-evoked activity were not observed in parvalbumin (PV) or vasoactive intestinal peptide (VIP)-expressing inhibitory neurons, the two other major subtypes of cortical

inhibitory neurons^{33, 34, 36} (Supplementary Fig. 8), highlighting the unique position of SOM-INs.

Partial restoration of L2/3 naive-like activity by post-learning SOM-IN reactivation

We addressed whether the reduction in SOM-IN activity is responsible for the expression of the post-learning ramp-up responses of L2/3 excitatory neurons. We manipulated SOM-IN activity, using the stabilized step function opsin (SSFO) which depolarizes a cell for prolonged periods when activated by a brief pulse of blue light until deactivated by amber light⁴⁰ (Supplementary Fig. 9). Moreover, when PV-INs were activated using SSFO, visually-evoked responses of neighboring non-PV neurons monitored by GCaMP6f were significantly and reversibly suppressed (Supplementary Fig. 9). This ability to manipulate neural activity without a continuous light stimulation facilitated the combination of two-photon calcium imaging and optogenetics.

We injected a mixture of AAVs encoding Cre-dependent SSFO and Cre-independent GCaMP6f in SOM-Cre mice to express SSFO in SOM-INs and monitor the activity of non-SOM (mostly excitatory) L2/3 neurons during learning (Fig. 6d–e). As expected, the majority of responsive non-SOM neurons in L2/3 showed ramp-up activity after learning. However, activation of SOM-INs after learning caused non-SOM neurons to respond in a more onset-locked manner, partially restoring the pattern similar to the naive and passive conditions (Fig. 6f–g). The activity reverted to the ramp-up pattern when SOM-INs were deactivated (Fig. 6f–g). Importantly, light exposure without SSFO expression did not cause any change in the ramp index (Supplementary Fig. 9). These results suggest that SOM-INs have a profound impact on the way L2/3 excitatory neurons are driven, possibly acting as a pathway switch⁴¹; high SOM-IN activity suppresses top-down inputs and biases towards bottom-up dominance, while low SOM-IN activity favors top-down inputs.

Discussion

Each brain area receives inputs from multiple sources carrying a variety of information. It is essential that the weights of these various inputs are appropriately regulated in a context-dependent manner. Here we used chronic two-photon calcium imaging at cellular and synaptic resolution to study how visual experience shapes distinct inputs to a principal neuron type in the primary visual cortex. This approach revealed an experience-driven enhancement of top-down inputs from RSC and reduction of bottom-up inputs from L4. These changes in inputs interact with local SOM-INs, further modifying the impact of the top-down and bottom-up inputs (Supplementary Fig. 10). A result of these changes is a shift in V1 L2/3 from a response that is faithful to the stimulus mainly driven by bottom-up processing in the naive state to an association-based representation due to enhanced top-down modulation after learning.

Interestingly, post-learning RSC inactivation or SOM-IN activation partially restored the naive-like activity of L2/3 excitatory neurons both in response magnitude and temporal dynamics. These manipulations were designed to reduce the impact of top-down modulation. Therefore the enhancement of onset-locked activity of L2/3 excitatory neurons by these manipulations is counterintuitive. However, we note that it has been shown that

RSC projects to L6 corticothalamic neurons in V1⁴², which indirectly inhibit dLGN in thalamus as well as upper layers of V1^{43, 44}. Therefore top-down inputs from RSC may have a suppressive impact on bottom-up processing, in addition to their excitatory effect underlying the ramp-up response pattern. Furthermore, a growing body of evidence suggests that SOM-INs inhibit not only excitatory neurons but also other local inhibitory neurons^{45–47}. Such a disinhibitory mechanism⁴⁸ could function to enhance bottom-up inputs, in addition to the gating effect of SOM-INs on top-down inputs (Supplementary Fig. 9). Taken together, we propose that both RSC and SOM-INs have dual effects on V1 processing; RSC directly excites L2/3 neurons and indirectly inhibits the bottom-up pathway, while SOM-INs inhibit distal dendrites of L2/3 neurons to gate top-down inputs and indirectly disinhibit basal dendrites receiving bottom-up inputs. These dual effects may allow them to effectively control the balance of top-down and bottom-up inputs (Supplementary Fig. 10). Such potential interactions between top-down and bottom-up inputs are consistent with the core concept of predictive coding in which top-down predictions could suppress or “explain away” bottom-up prediction errors^{8, 10, 12}. Further, such learning-related shifts in the neocortical operation mode may be a fundamental feature of cortical computations underlying associative feature binding, with association-based sensory representations, and invariant objection recognition, by effectively ignoring irrelevant fluctuations in sensory inputs due to the noisy sensory environment^{49, 50}. Our results provide experimental evidence and mechanistic understandings in the V1 microcircuit for these theoretical frameworks.

Methods

Animals

All procedures were in accordance with the Institutional Animal Care and Use Committee at University of California, San Diego. Mice were obtained from The Jackson Laboratory (GAD2-Cre [JAX: 010802], Rosa26-LSL-tdTomato [JAX: 007914], SOM-Cre [JAX: 013044], PV-Cre [JAX: 008069], VIP-Cre [JAX: 010908]) and Charles River Laboratory (C57Bl/6 wild-type). Mice were group housed (typically 2–4 mice) with a reversed light cycle (12h–12h) in standard plastic disposable cages. Mice had no prior history of drug administration or surgery that could affect the results, and were randomly assigned to each experimental group. Experiments were typically performed during the dark period. Only male mice were used for the *in vivo* experiments. Both male and female mice were used for the *in vitro* experiments.

Surgery for *in vivo* imaging experiments

Adult mice (between 6 weeks and 6 months old) were anesthetized with 1–2% isoflurane and a circular piece of scalp was removed. After cleaning the underlying bone using a razor blade, a custom-built head-post was implanted to the exposed skull with glue and cemented with black dental acrylic (Lang Dental). A craniotomy (~3 mm in diameter) was made over the right V1 (2.5 mm lateral and 0 mm anterior to lambda) and viruses (AAV2/1-Syn-GCaMP5G or AAV2/1-Syn-GCaMP6f for L2/3 excitatory neurons, AAV2/1-Syn-GCaMP6f for L4 excitatory neurons, AAV2/1-Syn-Flex-GCaMP6f for SOM-INs, PV-INs and VIP-INs, and AAV2/1-EF1 α -DIO-hChR2(C128S/D156A)-EYFP (stabilized step-function opsin,

SSFO) for the SSFO experiment, University of Pennsylvania Vector Core Facility) were injected using a beveled pipette (~20 μm tip in diameter, Drummond Scientific) backfilled with mineral oil at a speed of ~10 nl / min (~250 μm deep, 5 sites, ~20 nl / site for L2/3 excitatory neurons and SOM-INs, PV-INs and VIP-INs, ~400 μm deep, 5 sites, ~20 nl / site for L4 excitatory neurons, 3 sites, ~150 μm deep, ~60–100 nl / site of a mixture of SSFO and GCaMP6f-expressing viruses for the SSFO experiment). For RSC axonal calcium imaging, a small craniotomy (~0.5 mm in diameter) was made over the right RSC (0.4 mm lateral and 2.4 mm posterior to bregma) for virus injection (AAV2/1-Syn-GCaMP6f, ~300 μm deep, 1 site, ~50 nl, at ~10 nl / min) and another craniotomy (~3 mm in diameter) for imaging was made over the right V1 area. RSC injection sites were confirmed by histology in all mice after *in vivo* imaging. After each injection, pipettes were left in the brain for additional ~4 min to prevent backflow. Following virus injections, a chronic imaging window was placed in the craniotomy. The imaging window was constructed from a small glass plug (Fisher Scientific; number 2 thickness, ~3 mm in diameter) attached to a larger glass base (Fisher Scientific; number 1 thickness, ~4 mm in diameter) using a UV-curing adhesive (Norland Products). 1.5% agarose was applied to fill the gap between the skull and the window and black dental acrylic was placed to secure the window. Black dental acrylic was selected to prevent light entry to the brain from the LCD monitor. General analgesia (buprenorphine, 0.1 mg / kg body weight) was subcutaneously injected and mice were monitored until they recovered from anesthesia.

Behavior

Mice were accustomed to the training setup and allowed to freely run on a custom-made circular treadmill under head-fixation for a few sessions prior to the imaging session. More than two weeks (14–51 days) after surgery, two-photon calcium imaging was performed while mice were trained with the visually-guided active avoidance task. Visual stimuli (full-field square drifting gratings; temporal frequency: 3 Hz, spatial frequency: 0.04 cycle / degree, not corrected for monitor angle, 100% contrast) were generated in Matlab (MathWorks) using the Psychophysics Toolbox⁵¹ and were displayed on a LCD monitor (30 $\times$ 38 cm, Dell) positioned 25 cm from the left eye. For mapping of orientation tuning (Day 0), stimuli of 12 equally spaced (30 $^{\circ}$) directions were pseudo-randomly (or sequentially) presented. The duration of the visual stimulus was 4 s and the inter-trial interval (ITI, mean luminance grey screen displayed) was 8 s. For visual experience (passive, learning and conditioning, Days 1–4), one of these 12 directions was selected as the target stimulus and presented repeatedly. The target stimulus was chosen randomly out of the orientations that evoked significant responses within the field of view. The duration of the visual stimulus was 4 s and ITIs were varied between 14 and 24 s so that mice could not predict the timing of the subsequent trials. Running was continuously monitored using an optical encoder (US Digital) connected to a data acquisition device (National instrument) with custom-written software in LabView (National Instrument) and recorded in Matlab (Ephus). Threshold for running during training sessions was set to 17 cm / s. In the learning condition, if mice initiated running over this threshold during response period (3.5 s from the onset of the visual stimulus), the trial was scored as a correct trial (hit). If mice either continuously ran over the threshold or did not reach the threshold, the trial was scored as an incorrect trial (miss). In such incorrect trials, mice received a mild tail shock (0.6 mA, 0.5 s co-terminating

with the visual stimulus) applied through a pair of metal coil springs attached to a stimulus isolator (A.M.P.I.). In the conditioning group, the visual stimulus was presented similarly and mice received a mild tail shock in 80% of randomly selected trials. The shock was not given in the remaining 20% of the trials. The behavioral setup was controlled by software (Dispatcher, Z. Mainen and C. Brody) running on Matlab with a real-time system (RTLinux). Each session lasted approximately 30 min consisting of ~90 trials.

Inactivation with muscimol

To test the requirement of V1 and RSC, either naive mice or adult mice trained for the task for 4 sessions received muscimol hydrobromide (5 μg / μl , Sigma) injection under anesthesia with 1–1.5% isoflurane with a beveled pipette (~20 μm tip in diameter) through a small craniotomy (~0.5 mm in diameter) (~60 nl at each site at ~20 nl / min, V1: bilateral injections at 2.5 mm lateral and 0 mm anterior to lambda at the depths of 300 μm and 600 μm from the brain surface; RSC: unilateral injections in 5 sites, from ~600 μm to ~2000 μm to lambda along the anterior-posterior axis, ~400 μm lateral to the midline, at the depth of 350 μm). Pipettes were left in the brain for additional ~4 min after each injection. After injections, mice recovered from anesthesia in their home cage for 1–1.5 hours before behavioral testing or imaging. During testing sessions, mice did not show any obvious motor deficits. Similar injections were made with a vehicle (cortex buffer containing (in mM) 125 NaCl, 5 KCl, 10 Glucose, 10 HEPES, 2 CaCl_2 , 2 MgSO_4) on a separate day as a control.

Imaging

Imaging was performed using a commercial two-photon microscope (MOM, Sutter Instrument) with a Ti:Sapphire excitation laser (Mai Tai, Spectra-Physics) tuned to 925 nm. To isolate photons emitted by fluorescent probes from the visual display, the microscope was enclosed with a blackout material (Thorlab). The objective lens (16 $\times$, 0.8 NA, Nikon) was also covered with the same material with a small hole, which allowed excitation laser entry and photon emission from the brain. This blackout material was attached to a head-post covered with cement using glue. The optical axis of the objective was adjusted for each mouse to be perpendicular to the imaging window. Mice with excessive brain movement or limited optical access due to bone growth or virus infection were excluded.

Images were acquired using ScanImage⁵² at 6.3 Hz, 512 $\times$ 128 pixels (420 $\times$ 420 μm ; for axonal imaging: 170 $\times$ 170 μm). Imaging was performed at the depth of 131–249 μm for L2/3 neurons (excitatory, SOM-INs, PV-INs and VIP-INs), 38–78 μm (L1) for RSC axons, and 384–420 μm for L4 excitatory neurons. For mapping of orientation tuning, time-series images were collected for 10 trials each of which consisted of 1000 frames. For behavioral training, a total of 12000 frames (6 sets of 2000 frames, a few second gap between each set) were acquired each session (18000 frames with 9 sets of 2000 frames for the SOM-SSFO experiment). Imaging and behavioral data were acquired separately and aligned to each other offline. If there was a trial during the imaging gaps, the trial was excluded from the analysis. Images were continuously monitored throughout the experiment, and slow drifts of the image were manually corrected using a reference image. For each mouse, only one field of view was imaged except the anesthesia and PV-SSFO experiments, in which up to 3 fields of view were imaged (1 field of view / day).

For the anesthesia experiment, mice were first trained for 4–5 sessions. On the experiment day, the activity of L2/3 excitatory neurons, L4 excitatory neurons, RSC axonal boutons or SOM-INs was imaged in awake mice for ~15 min (corresponding to 6000 frames) during task performance before anesthesia. A mixture of ketamine (100–200 mg / kg body weight) and xylazine (8–16 mg / kg body weight) was then injected intraperitoneally into the mice, and imaging was resumed ~30 min later when mice were lightly anesthetized. In a subset of mice, this procedure was repeated over two days in two different fields of view.

***In vivo* photoactivation**

For SSFO activation of SOM-INs, mice were first trained for 4 sessions. On the experiment day, L2/3 activity was imaged for ~15 min (corresponding to 6000 frames) before photoactivation. Imaging was then paused and the objective lens was put aside for the access of an LED fiber optic (1 mm diameter, Doric Lenses). A 470 nm blue light pulse (~60 mW, 5 s) was presented to photoactivate SSFO at ~5 mm from the window. The objective lens was placed back and imaging was resumed. After ~15 min of imaging, a 590 nm amber light pulse (~10 mW, 30 s) was presented similarly and image acquisition was resumed for additional ~15 min.

Data analysis

All analyses were performed using custom codes in Matlab (MathWorks).

To determine chance levels of the task performance, the timing of each trial was shuffled within each session for each mouse and hit rate was calculated in this surrogate data. The hit rates were averaged across all mice for each shuffle. This was repeated 1000 times to obtain a distribution of the chance performance level.

To correct for brain motion after image acquisition, we used cross correlation-based image alignment (Turboreg⁵³, ImageJ Plugin), followed by a hidden Markov model-based line-by-line correction algorithm⁵⁴. Regions-of-interest (ROIs) corresponding to neuronal cell bodies or axonal boutons were selected manually. Only neurons or axonal boutons that could be identified in every image session were analyzed. Neurons whose nucleus was filled with GCaMP fluorescence or axonal boutons whose shaft had strong GCaMP basal fluorescence at any point during the experiment were excluded from analysis. Pixels within each ROI were averaged to create fluorescence time-series. For somatic imaging, background fluorescence fluctuations were subtracted from each ROI trace to remove neuropil contamination as described previously²⁸. Briefly, a ring-shaped background ROI was obtained around each neuron. From this background ROI, pixels containing calcium transients that did not contaminate neuronal ROI were excluded and the remaining pixels were averaged, yielding background fluorescence time-series. The time-varying baselines of fluorescence traces for neuronal and background ROIs were estimated as described previously²⁸. In brief, inactive portions of the fluorescence time series were chosen and loess smoothed, and the gaps (i.e. active portions) were filled by linear interpolation, yielding the baseline fluorescence trace, F . After baseline estimation, ΔF of the background fluorescence trace was subtracted from the neuronal ROI fluorescence trace to obtain

background-subtracted fluorescence time-series, and $\Delta F/F$ for the neuronal ROI was obtained from this trace.

Visually responsive ROIs were determined in each trial block (the entire Day 0 (tuning mapping) or a half of each of Days 1–4) using the following criteria. For each direction of drifting gratings (or target stimulus for training sessions), ROIs were scored as responsive in a given trial if $\Delta F/F$ in at least 3 image frames (corresponding to ~ 0.5 s) during the first 3.5 s of the visual stimulus exceeded $4\times$ standard deviation ($3\times$ standard deviation for L4 excitatory neurons, PV-INs and VIP-INs). Standard deviation was defined using periods when the visual stimulus was off. The last 0.5 s of the visual stimulus period was not considered to identify responsive ROIs in order to avoid the potential ROI activation by the tail shock. If an ROI was active in more than 20% of all trials or 40% of all running trials, the ROI was deemed visually responsive.

For ROIs that are responsive to any of the stimulus directions on Day 0, orientation tuning was determined using the average of all 10 trials. The orientation selectivity index (OSI) was calculated as $1 - \text{circular variance (V)}^{55}$ defined as

$$V = 1 - \left| \frac{\sum_k R_k e^{i2\theta_k}}{\sum_k R_k} \right|,$$

where R_k is the mean integrated $\Delta F/F$ area during the visual stimulus in the k -th direction, and θ_k is the direction of the visual stimulus in radians. The direction selectivity index (DSI) was calculated as $(R_{\text{prefer}} - R_{\text{opposite}}) / (R_{\text{prefer}} + R_{\text{opposite}})$, where R_{prefer} is the mean integrated $\Delta F/F$ area during the visual stimulus in the preferred direction and R_{opposite} is the mean integrated $\Delta F/F$ area during the visual stimulus in the opposite direction.

During visual experience, only correct trials were considered unless noted otherwise. In the passive condition, the “correct” trials corresponded to trials which would be scored as hit in the learning condition (running during the response period). ‘Naive’ responses were the average response to the target stimulus from running trials on Day 0. Post-experience responses were obtained from the average dF/F of correct trials in the second half of the last experience session (Day 4). For naive responses in the conditioning group, only non-running trials were considered. Mice in this condition typically did not run during the following sessions (Day 1 to Day 4) and all of the 20% non-shock trials on Day 4 were analyzed.

The “population activity change” at time point t was calculated as the difference in mean dF/F during the visual stimulus on Day 0 and time point t for ROIs that were responsive in at least one time point. The “responsive ROI number change” was obtained as (responsive ROI number at time point t – responsive ROI number on Day 0) / (responsive ROI number at time point t + responsive ROI number on Day 0). The “mean dF/F of responsive ROIs” was obtained as the mean dF/F of ROIs that are responsive at the time point t , which was normalized to the mean dF/F of responsive ROIs on Day 0.

For the SSFO and anesthesia experiments, the first 10 correct trials for each ~15 min trial block were excluded from analysis.

Ramp index was defined as $\log_2 (R_{\text{Late}} / R_{\text{Early}})$ where R_{Early} = mean dF/F between 1–2 s from the visual stimulus onset and R_{Late} = mean dF/F for the last 1 s of the visual stimulus. Neurons or axonal boutons with R_{Early} or R_{Late} below 0, or mean dF/F during the stimulus presentation below 0.02 were excluded for the ramp index measurement. Neurons with significant changes in the ramp index were determined by Wilcoxon signed-rank test with the p-value below 0.05.

Errorbars indicate standard errors of the mean and statistics were performed with two-tailed tests unless noted otherwise. For bootstrap tests, 10000 repetitions were performed. No statistical methods were used to predetermine sample sizes but our sample sizes are similar to those generally employed in the field. Each statistical test was selected based on data distributions using histograms. The variance was generally similar between groups under comparisons. No blinding was performed.

Retrograde and anterograde tracing

For retrograde tracing, adult mice (GAD2-Cre $\times$ Rosa26-LSL-tdTomato or SOM-Cre, aged between 93–129 days) were anesthetized with 1–2% isoflurane and scalp was cut open. A small craniotomy (~0.5 mm in diameter) was made over right V1 and retrogradely-transported beads (green or red, Lumafluor) were injected using a beveled pipette (~30 μm tip in diameter) backfilled with mineral oil at a speed of ~20 nl / min (~150–250 μm deep, 1–2 depths, ~100 nl each). Pipettes were left in the brain for additional ~4 min after each injection. Scalp was then closed and general analgesia (buprenorphine, 0.1 mg / kg body weight) was subcutaneously injected. Three days after the injection, mice were anesthetized with a mixture of ketamine (200 mg / kg body weight) and xylazine (16 mg / kg body weight) and perfused transcardially with phosphate-buffered saline (PBS) and then 4% paraformaldehyde (PFA) in PBS (pH 7.4). The brains were removed and incubated in PFA overnight at 4 °C. The brains were then transferred to 30% sucrose solution for a few days at 4 °C. Coronal slices (60 μm thick) were cut with a microtome (Thermo Scientific) and mounted on a glass slide (Fisher Scientific) with a mounting medium (Sigma or Vector Laboratories). The brain regions labeled with the beads were identified using an epifluorescence microscope (Axio Zoom.V16 and ApoTome.2, Zeiss) with a mouse brain atlas⁵⁶.

For anterograde tracing, mice were perfused as described above after *in vivo* axonal calcium imaging. Coronal brain sections (60 μm thick) were cut and imaged using an epifluorescence microscope (Axio Zoom.V16 and ApoTome.2, Zeiss). Injection sites (RSC) of the virus were confirmed in every mouse studied. No somatic labeling in V1 was detected, indicating that retrograde labeling of V1 neurons by local axonal transduction of the virus in RSC is rare.

Slice preparation and whole-cell recordings for photoactivation of RSC axons *in vitro*

Coronal slices containing the primary visual cortex were prepared from the infected hemispheres (right side) of SOM-Cre mice (aged between 36–49 days, male and female) 18–26 days after the injection of AAV2/1-ChR2-Venus into RSC. Animals were anesthetized with a mixture of ketamine (200 mg / kg body weight) and xylazine (16 mg / kg body weight) and then perfused transcardially with an ice-cold solution (~20 ml) containing (in mM) 93 N-methyl-D-glucamine (NMDG), 2.5 KCl, 1.2 NaH₂PO₄, 30 NaHCO₃, 20 HEPES, 25 D-glucose, 5 sodium-ascorbate, 2 thiourea, 3 sodium-pyruvate, 10 MgSO₄·7H₂O and 0.5 CaCl₂·2H₂O with pH adjusted to 7.3 using HCl and bubbled with 95% O₂ and 5% CO₂. Slices (350 µm thick) were cut in the same ice-cold solution using a vibratome (Leica Biosystems) and incubated in the same solution at 34 °C for 10–15 min (protective recovery method⁵⁷). The slices were then moved to ACSF solution containing (in mM) 118 NaCl, 2.5 KCl, 26 NaHCO₃, 1.2 NaH₂PO₄, 11 D-glucose, 2 CaCl₂ and 1 MgCl₂ supplemented with 5 sodium-ascorbate, 2 thiourea, 3 sodium-pyruvate at room temperature for at least 1 hour.

For recordings, the slices were moved to a recording chamber containing ACSF (not including sodium-ascorbate, thiourea, and sodium-pyruvate) maintained at 27 °C. The location of infection in every mouse was inspected in slices with epifluorescence. Pipettes (3–6 MΩ) were filled with low Cl[−] internal solution containing (in mM) 122 K-gluconate, 1 MgCl₂, 10 L-glutamic acid-Na, 10 HEPES, 4 Mg-ATP, 0.3 Na-GTP, 10 Na₂-phosphocreatine (pH 7.2, 290 mmol / kg). L2/3 pyramidal neurons were identified from visual inspection of their morphology and from spiking patterns by current injection. Voltage-clamp recordings were performed at the −60 mV holding potential to readily identify light-evoked excitatory synaptic events as inward currents. The junction potential was not corrected. For ChR2 photoactivation of axons in V1 from RSC, 5 ms 470 nm blue LED pulse (~60 mW) was used ~5 mm from the slice.

Slice preparation and whole-cell recordings for SSFO validation in SOM-INS *in vitro*

For virus infection, neonates (P1) of SOM-Cre mice were anesthetized on ice, placed in a custom-made mold and ~20 nl AAV2/1-EF1α-DIO-hChR2(C128S/D156A)-EYFP was injected at 2–3 sites in the visual cortex (1.3–1.5 mm lateral and 0 mm anterior to lambda) at 300 µm and 600 µm from the brain surface (~20 nl / min). Coronal slices containing the primary visual cortex were prepared from the infected hemispheres (right side) (aged between 16–22 days, male and female) 15–21 days after the virus injection. Animals were anesthetized with isoflurane and the brain was removed in an ice-cold solution (~20 ml) containing (in mM) 83 NaCl, 2.5 KCl, 3.3 MgSO₄·7H₂O, 1 NaH₂PO₄·H₂O, 26.2 NaHCO₃, 22 D-glucose, 72 sucrose, 0.5 CaCl₂·2H₂O bubbled with 95% O₂ and 5% CO₂. Slices (350 µm thick) were cut in the same ice-cold solution using a vibratome (Leica Biosystems) and incubated in ACSF solution containing (in mM) 118 NaCl, 2.5 KCl, 26 NaHCO₃, 1.2 NaH₂PO₄, 11 D-glucose, 2 CaCl₂ and 1 MgCl₂ at 34 °C for 30 min and then at room temperature until used.

For recordings, the slices were moved to a recording chamber containing the same ACSF maintained at 27 °C. Pipettes (2–6 MΩ) were filled with internal solution containing (in mM) 130 K-gluconate, 5 KCl, 10 HEPES, 2.5 MgCl₂, 4 Na₂ATP, 0.4 Na₃GTP, 10 Na-

phosphocreatine, 0.6 EGTA (pH 7.2, 290 mmol / kg). Whole-cell current-clamp recordings were performed from SOM-INs expressing SSFO-EYFP to measure membrane potentials and spikes. For SSFO photoactivation and deactivation, 5 s 470 nm blue LED pulse (~60 mW) and 30 s 590 nm amber LED pulse (~10 mW) were used, respectively, ~5 mm from the slice. SOM-INs with the resting membrane potential above -50 mV were excluded from the analysis.

A methods checklist is available with the supplementary materials.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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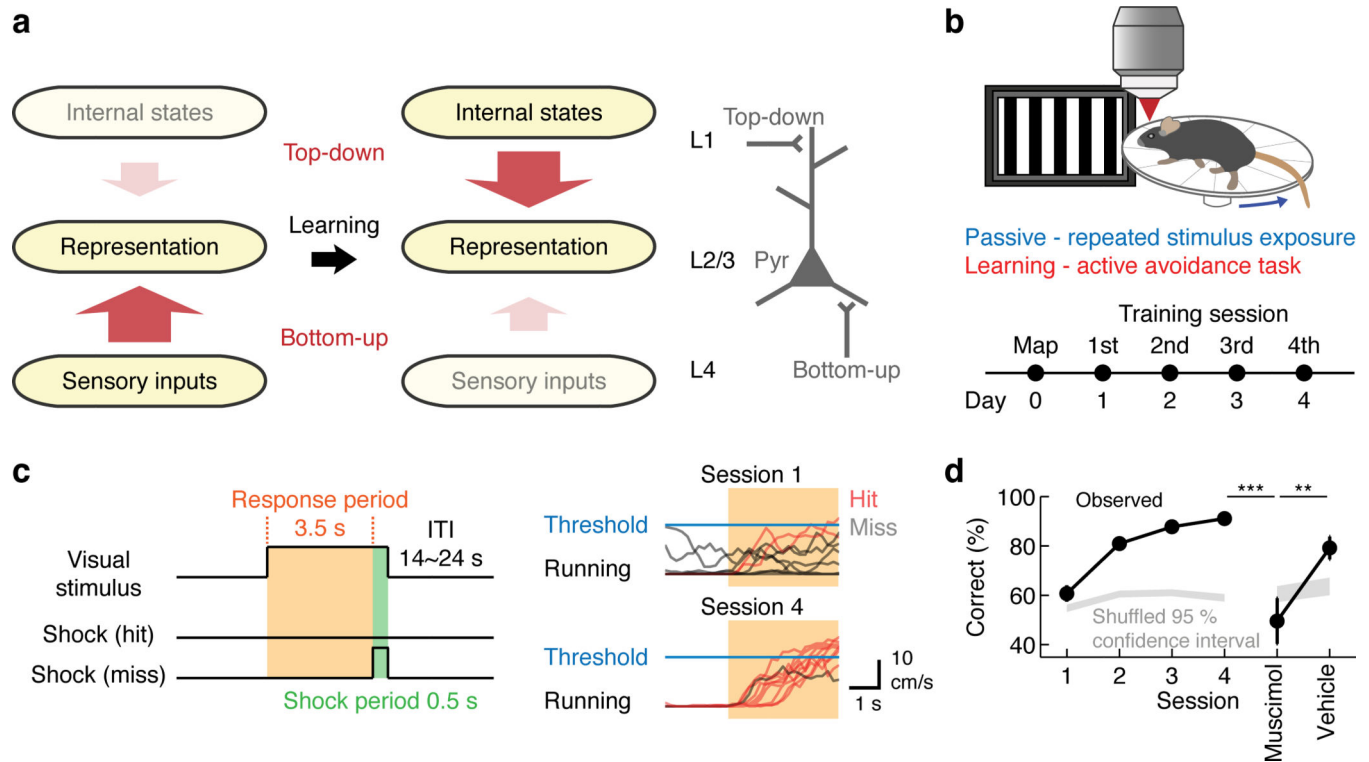
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**Figure 1.**

Hypothesis and behavioral paradigm. **(a)** Hypothesis. Bottom-up inputs dominate in the naive condition, and learning induces a top-down dominant state. This study focused on V1 L2/3 as a potential site subject to such changes. **(b)** Top: schematic of the behavioral setup. Bottom: timeline of the experiment. **(c)** Left: structure of the visually-guided active avoidance task. During the first 3.5 s of the visual stimulus ('response period'), the mouse has to initiate running in order to avoid a tail shock. Right: example running traces of a mouse in session 1 and session 4 (10 example trials in each session). **(d)** Behavioral performance improves with training ($p < 0.001$, Kruskal-Wallis test, $n = 47$ mice). Muscimol injections in V1 after learning impair the performance ($***p < 0.001$, $**p = 0.0016$, one-tailed bootstrap with Bonferroni correction, $n = 7$ mice).

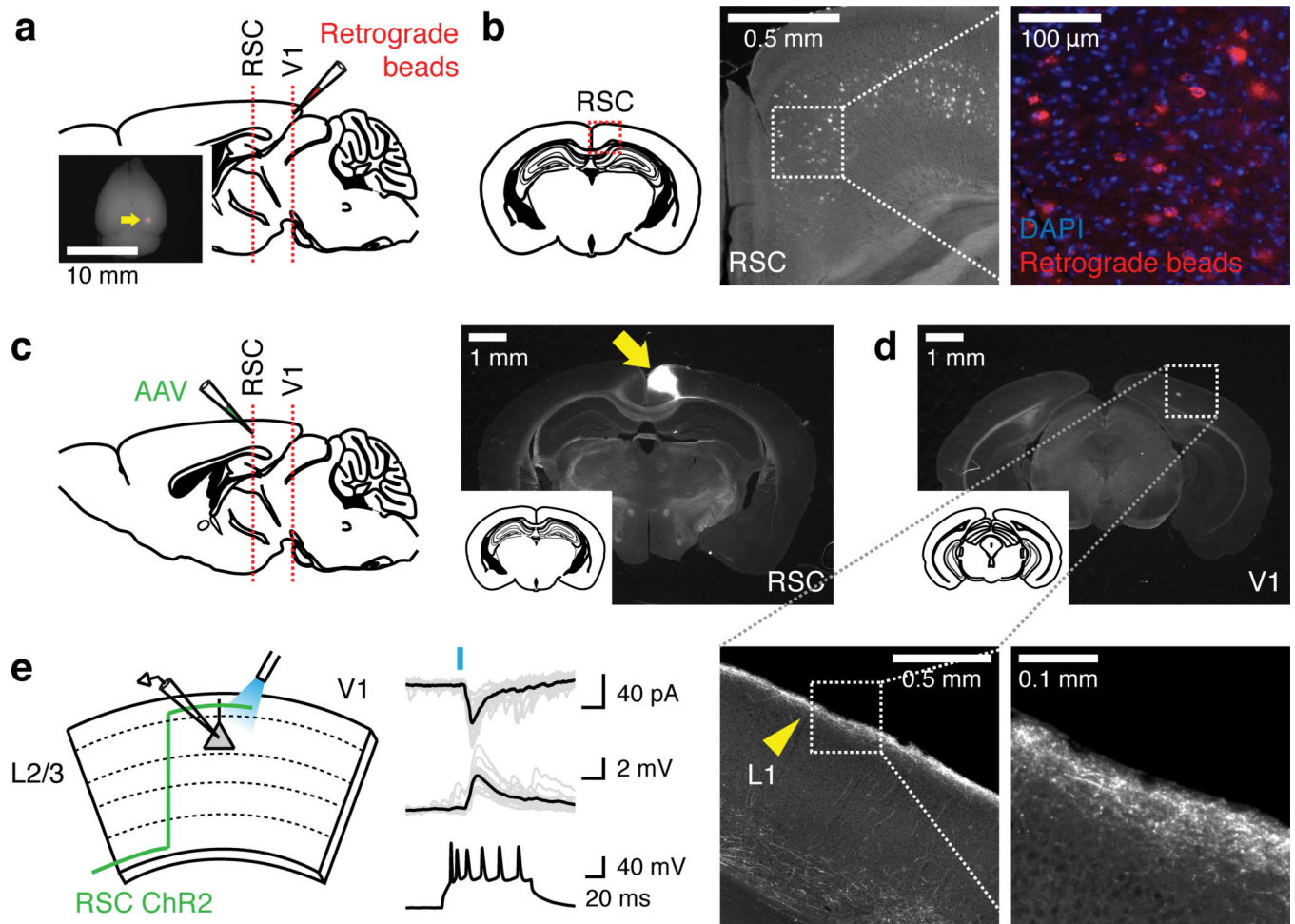
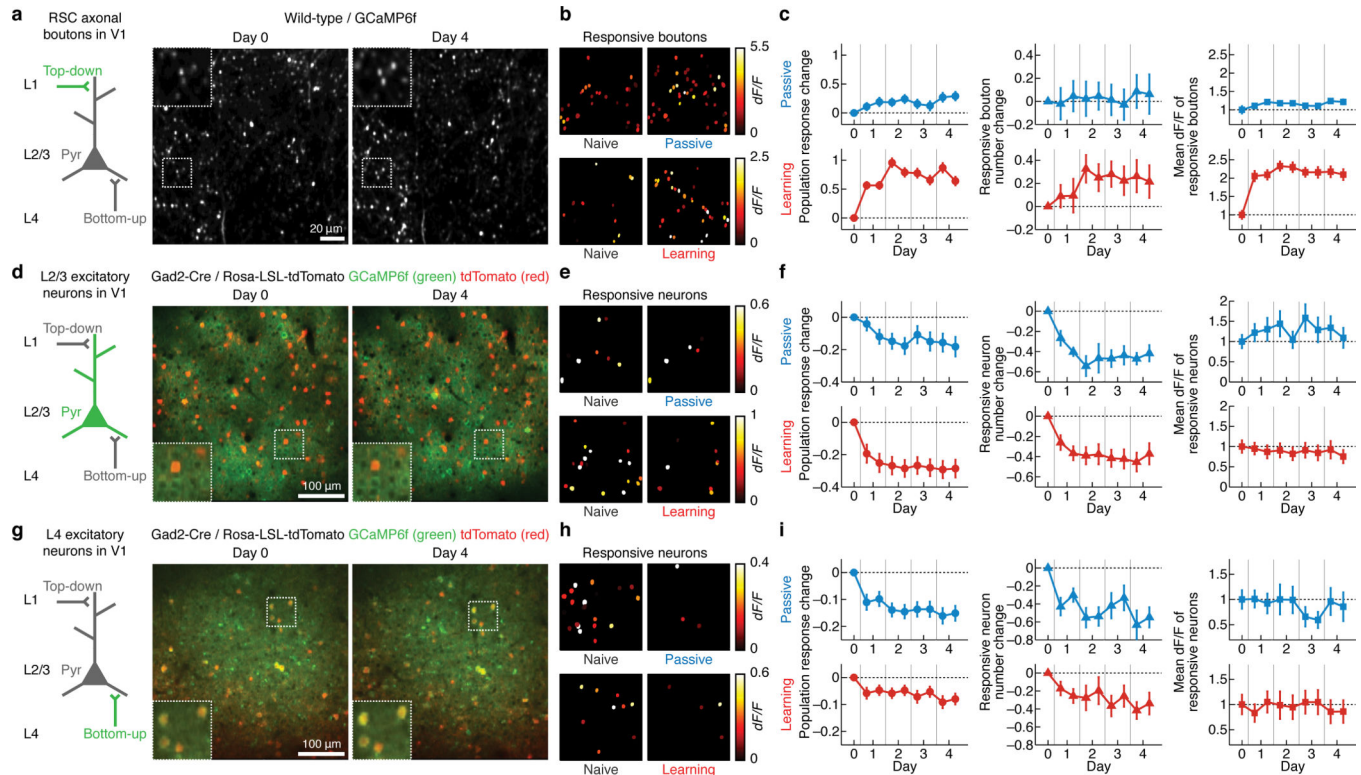


Figure 2.

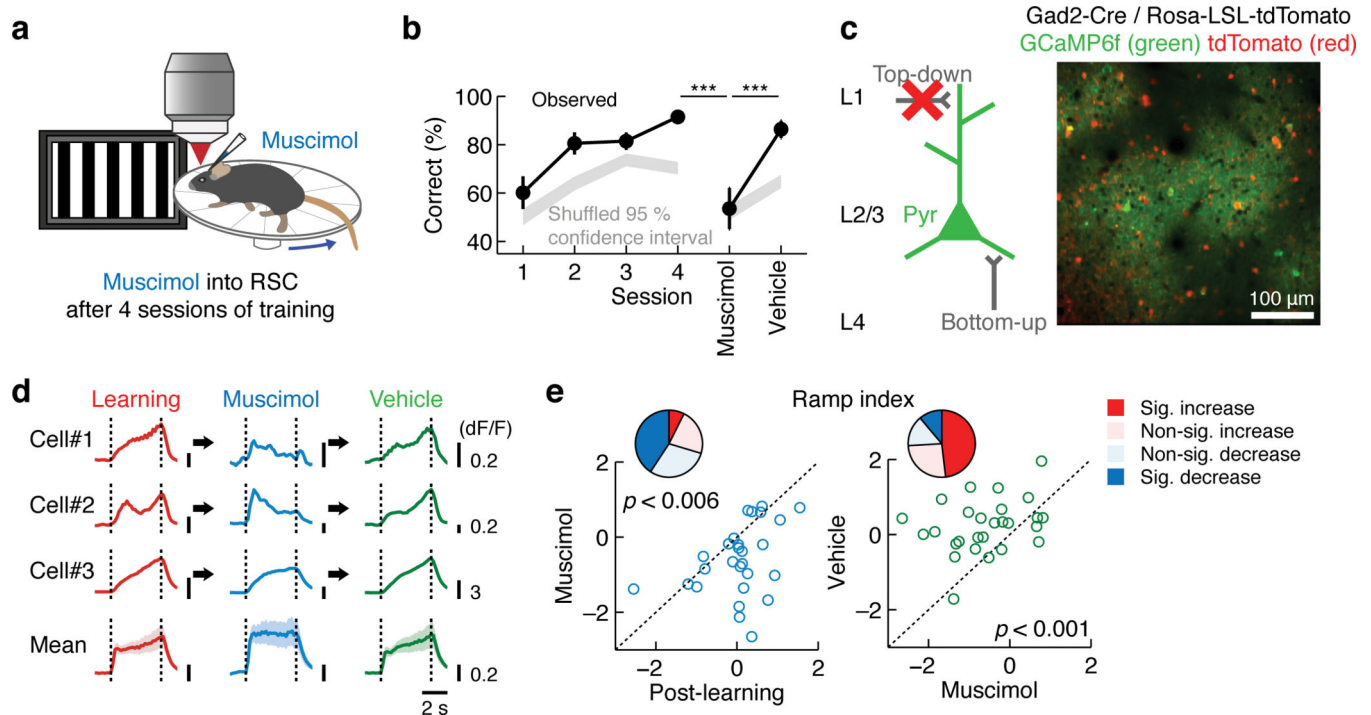
Retrospinal cortex (RSC) provides monosynaptic excitatory inputs to V1 L2/3 excitatory neurons. **(a)** Retrograde tracing. Retrograde beads were injected into V1 to identify potential brain regions providing top-down inputs ($n = 4$ mice). Inset: injection site indicated by the arrow. **(b)** Left: schematic illustrating the location of RSC. Middle: RSC containing dense labeling of the beads. Right: zoom of the outlined area. Other brain areas labeled with the retrograde beads include anterior cingulate cortex, secondary motor cortex, secondary visual cortex, lateral geniculate nucleus and auditory cortex. RSC was one of the most densely labeled areas. **(c)** Anterograde tracing. AAV expressing ChR2 or GCaMP6f was injected into RSC. Arrow, injection site at RSC. **(d)** GCaMP6f-expressing axons of RSC neurons innervate predominantly in L1 of V1. Bottom left panel: zoom of the outlined area in V1. Bottom right panel: zoom of the outlined area in the left panel. Arrowhead, RSC axons arriving in L1 of V1. **(e)** Photoactivation of RSC axons and whole-cell recordings in acute V1 slices reveal monosynaptic excitatory input from RSC to V1 L2/3 excitatory neurons. Traces are light-evoked EPSCs (top), EPSPs (middle) and the regular spiking pattern with current injection confirming that they are excitatory neurons (bottom). Twelve out of 13 neurons showed such post-synaptic responses.

**Figure 3.**

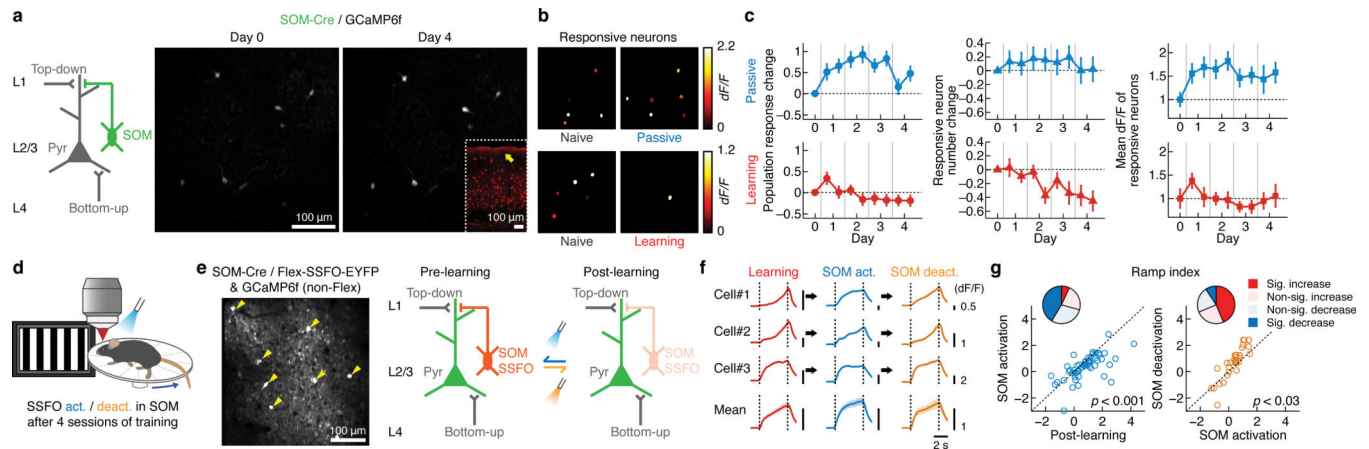
Asymmetrical changes in responses of RSC axons, L2/3 excitatory neurons and L4 excitatory neurons in V1 during passive experience and associative learning. **(a)** Left: circuit schematic with the imaged component (RSC axonal boutons) shown in green. Right: same population of RSC axonal boutons expressing GCaMP6f imaged 4 days apart. Insets are zoom of outlined areas. **(b)** Spatial map of responsive RSC axonal boutons within an image field from a mouse before (left) and after passive experience or learning (right), pseudocolor-coded according to the activity levels. **(c)** Left: population response change of RSC axonal boutons over days in passive and learning groups. The value at each time point (t) is $R_t - R_0$ where R_0 and R_t are the population response at Day 0 and time point t , respectively (defined as the mean dF/F during the stimulus period averaged across trials, and then averaged across all ROIs that are responsive in at least one time point). Each training session (Day 1–4) was split into two blocks. Passive: $p < 0.001$, $n = 365$ boutons, 6 mice; learning: $p < 0.001$, $n = 227$ boutons, 5 mice, one-way repeated measures ANOVA. Middle: changes in the number of responsive RSC axonal boutons at each time point normalized to the value on Day 0 in passive and learning groups. Passive: $p = 0.98$, 6 mice; learning: $p = 0.09$, 6 mice, one-way repeated measures ANOVA. Right: dF/F of the RSC axonal boutons that are responsive at each time point in passive and learning groups, normalized to the mean value on Day 0. Passive: $p = 0.0051$, 6 mice; learning: $p < 0.001$, 5 mice, Kruskal-Wallis test. **(d–f)** Same as **a–c** for L2/3 excitatory neurons. tdTomato in **d** and **g** marks inhibitory neurons. Left in **f**: passive: $p < 0.001$, $n = 88$ neurons, 5 mice; learning: $p < 0.001$, $n = 163$ neurons, 7 mice. Middle in **f**: passive: $p < 0.001$, 5 mice; learning: $p < 0.001$, 7 mice. Right in **f**: passive: $p = 0.22$, 5 mice; learning: $p = 0.57$, 7 mice. **(g–i)** Same as **a–c** for

L4 excitatory neurons. Left in **i**: passive: $p < 0.001$, $n = 50$ neurons, 5 mice; learning: $p < 0.001$, $n = 81$ neurons, 5 mice. Middle in **i**: passive: $p < 0.001$, 5 mice; learning: $p = 0.03$, 5 mice. Right in **i**: passive: $p = 0.31$, 5 mice; learning: $p = 0.50$, 5 mice. For these and all other analyses, shocked trials (misses) are excluded unless stated otherwise.

0.001, naive: n = 146 neurons, 13 mice; passive: 21 neurons, 5 mice; learning: 45 neurons, 7 mice; post-learning anesthesia: 15 neurons, 3 mice, *** $p < 0.001$, * $p = 0.038$ in **h**. All other comparisons in **d**, **h** and **l** were statistically non-significant ($p > 0.05$). (**i–l**) Same as **a–d** for L4 excitatory neurons. Naive / passive: n = 43 neurons, 5 mice; naive / learning: n = 55 neurons, 5 mice; learning / post-learning anesthesia, n = 13 neurons, 3 mice in **j**. $P = 0.63$, naive: n = 72 neurons, 10 mice; passive: 9 neurons, 5 mice; learning: 23 neurons, 5 mice; post-learning anesthesia: 10 neurons, 3 mice in **l**.

**Figure 5.**

Necessity of RSC for the learned active avoidance behavior and post-learning activity in L2/3 excitatory neurons. **(a)** Schematic of the experiment. **(b)** RSC inactivation impairs the task performance (*** $p < 0.001$, one-tailed bootstrap with Bonferroni correction, $n = 9$ mice). **(c)** Monitoring post-learning responses of L2/3 excitatory neurons with RSC inactivation. **(d)** Responses of three example neurons and mean of all responsive neurons, showing that RSC inactivation leads to more onset-locked responses. For the population mean, all neurons that are responsive in at least one of the three conditions are included. **(e)** Left: ramp index of individual neurons decreased by RSC inactivation after learning ($p = 0.005$, Wilcoxon signed-rank test with Bonferroni correction, $n = 27$ neurons, 7 mice). Right: ramp index was higher with vehicle injections compared to muscimol injections ($p < 0.001$, $n = 27$ neurons, 7 mice). The pie charts illustrate the fractions of neurons showing a significant increase or decrease in the ramp index (Wilcoxon signed-rank test, $p < 0.05$).

**Figure 6.**

Learning-induced reduction in SOM-IN activity and partial restoration of the naive-like activity in L2/3 by post-learning reactivation of SOM-INs. **(a)** Left: circuit schematic of SOM-IN. Right: same population of SOM-INs expressing GCaMP6f imaged 4 days apart. **(b)** Spatial map of responsive SOM-INs within an image field from a mouse before (left) and after passive experience or learning (right), pseudocolor-coded according to the activity levels. **(c)** As in Fig. 2. Left: population response change. Passive: $p < 0.001$, $n = 42$ neurons, 6 mice; learning: $p < 0.001$, $n = 40$ neurons, 7 mice, one-way repeated measures ANOVA. Middle: responsive neuron number change. Passive: $p = 0.41$, $n = 7$ mice; learning: $p < 0.001$, $n = 7$ mice, one-way repeated measures ANOVA. Right: mean dF/F of responsive neurons. Passive: $p = 0.09$, $n = 7$ mice; learning: $p = 0.24$, $n = 7$ mice, Kruskal-Wallis test. **(d)** Schematic of the SOM-IN reactivation experiment. **(e)** Left: L2/3 SOM-INs expressing SSFO-EYFP (arrowheads) and putative excitatory neurons expressing GCaMP6f imaged *in vivo*. Right: rationale of the experiment. **(f)** Responses of three example L2/3 putative excitatory neurons and mean of all responsive neurons, showing that SOM-IN activation leads to more onset-locked responses. For the population mean, all neurons that are responsive in at least one of the three conditions are included. **(g)** Left: ramp index of individual putative excitatory neurons decreased with SOM-IN activation ($p < 0.001$, Wilcoxon signed-rank test with Bonferroni correction, $n = 58$ neurons, 6 mice). Right: reversal in the ramp index of individual neurons by SOM-IN deactivation ($p = 0.024$, $n = 32$ neurons, 4 mice). The pie chart illustrates the fractions of neurons showing a significant increase or decrease in the ramp index.