Title
Qualitative Factors in Patients Who Die Shortly After Emergency Department Discharge

Permalink
https://escholarship.org/uc/item/83j6540t

Journal
Academic Emergency Medicine, 20(8)

ISSN
1069-6563

Authors
Gabayan, Gelareh Z
Sun, Benjamin C
Asch, Steven M
et al.

Publication Date
2013-08-01

DOI
10.1111/acem.12181

Peer reviewed
Qualitative Factors in Patients Who Die Shortly After Emergency Department Discharge

Gelareh Z. Gabayan, MD, MSHS, Benjamin C. Sun, MD, MPP, Steven M. Asch, MD, MPH, Stefan Timmermans, PhD, Catherine Sarkisian, MD, MsPH, Sau Yiu, MS, Elizabeth M. Lancaster, K. Trudy Poon, MS, Arthur L. Kellermann, MD, MPH, Gery Ryan, PhD, Nicholas J. Miniel, MD, Drew Flansbaum, MD, Jerome R. Hoffman, MA, MD, and Stephen F. Derose, MD, MSHS

Abstract

Objectives: Early death after emergency department (ED) discharge may signal opportunities to improve care. Prior studies are limited by incomplete mortality ascertainment and lack of clinically important information in administrative data. The goal in this hypothesis-generating study was to identify patient and process of care themes that may provide possible explanations for early postdischarge mortality.

Methods: This was a qualitative analysis of medical records of adult patients who visited the ED of any of six hospitals in an integrated health system (Kaiser Permanente Southern California [KPSC]) and died within 7 days of discharge in 2007 and 2008. Nonmembers, visits to non-health plan hospitals, patients receiving or referred to hospice care, and patients with do not attempt resuscitation or do not intubate orders (DNAR/DNI) were excluded. Under the guidance of two qualitative research scientists, a team of three emergency physicians used grounded theory techniques to identify patient clinical presentations and processes of care that serve as potential explanations for poor outcome after discharge.

Results: The source population consisted of a total of 290,092 members with 446,120 discharges from six KPSC EDs in 2007 and 2008. A total of 203 deaths occurred within 7 days of ED discharge (0.05%). Sixty-one randomly chosen cases were reviewed. Patient-level themes that emerged included an unexplained persistent acute change in mental status, recent fall, abnormal vital signs, ill-appearing presentation, malfunctioning indwelling device, and presenting symptoms remaining at discharge. Process-of-care factors included a discrepancy in history of present illness, incomplete physical examination, and change of discharge plan by a third party, such as a consulting or admitting physician.

Conclusions: In this hypothesis-generating study, qualitative research techniques were used to identify clinical and process-of-care factors in patients who died within days after discharge from an ED. These potential predictors will be formally tested in a future quantitative study.

From the Department of Medicine (GZG, CS) and the Department of Sociology (ST), University of California-Los Angeles, Los Angeles, CA; the Department of Medicine, Greater Los Angeles Veterans Affairs Healthcare System (GZG, CS), Los Angeles, CA; the Emergency Medicine Center, Ronald Reagan UCLA (GZG, EML, DF, JRH), Los Angeles, CA; the Department of Emergency Medicine, Oregon Health and Science University (BCS), Portland, OR; the Department of Medicine, Veterans Affairs Palo Alto Health Care System, Stanford School of Medicine (SMA), Palo Alto, CA; the Department of Research and Evaluation, Kaiser Permanente Southern California (SY, EML, KTP, SFD), Pasadena, CA; RAND Health (ALK, GR), Santa Monica, CA; and the Olive View-UCLA Emergency Medicine Center (NJM, DF), Sylmar, CA.

Received October 15, 2012; revisions received January 21 and March 6, 2013; accepted March 6, 2013.

Presented at the American College of Emergency Physicians Scientific Assembly, Denver, CO, October 2012.

This research was supported by Kaiser Permanente Southern California. During the initial phase of the project, Dr. Gabayan received support from the Emergency Medicine Foundation Career Development Award (59668 G. Gabayan) and the UCLA Oppenheimer Foundation, and Dr. Sun received support from the UCLA Older Americans Independence Center (NIH/NIA K grant P30-AG028748). Continued support was obtained for Dr. Gabayan from the National Center for Advancing Translational Sciences, grant KL2TR000122. The content is solely the responsibility of the authors and does not necessarily represent the official views of the NIH or the NIA.

Supervising Editor: Joshua N. Goldstein, MD, PhD.
Address for correspondence and reprints: Gelareh Z. Gabayan, MD; e-mail: Gelareh@gabayan.com.

© 2013 by the Society for Academic Emergency Medicine
doi: 10.1111/acem.12181

ISSN 1069-6563
778 PII ISSN 1069-6563583
Early mortality after emergency department (ED) discharge may represent opportunities to improve diagnosis, treatment, and postdischarge care. Contributing factors to such events may include the inherent high-risk nature of ED care, ED crowding, and an increasing illness severity of the U.S. population. Current estimates suggest that 41,500 patients discharged from EDs die within 7 days annually in the United States.

Although early death after discharge from the ED has important patient safety and quality improvement implications, there is insufficient understanding of such events. Prior U.S. studies are limited by an incomplete capture of deaths. Our study team recently analyzed predictors of early death using administrative data; however, that study omitted critically important information on clinical and process factors that can only be abstracted through chart review. The objective of this study was to identify patient and process-of-care factors that may be associated with early death after discharge. We performed a qualitative chart review to identify potential predictors that are not measured by existing administrative data. This methodology is well suited for generating hypotheses that can be formally tested in future studies.

METHODS

Study Design
This was a qualitative chart review analysis of patients who died within 7 days of ED evaluation and discharge. The study protocol was approved by the institutional review boards of Kaiser Permanente Southern California (KPSC) and the University of California at Los Angeles.

Study Setting and Population
Study subjects were members of KPSC, an integrated health system that provides comprehensive care to 3.5 million members throughout Southern California. Health care is delivered at over 100 outpatient clinics, and emergency services are provided at 14 medical centers. Electronic ED charts were available from six health plan medical centers; the remaining eight EDs had not completed implementing the electronic record system during the study period.

All health plan members have similar health care benefits, including coverage of emergency services both within and outside the health system. Electronic administrative databases track all health care encounters within the health system and provide access to notes, vital signs, laboratory, pharmacy, imaging, and many other clinical care data. A claims reimbursement system tracks health care encounters outside facilities. A specialized administrative database provides information on the use of hospice services.

Study Protocol
Study subjects were patients who died within 7 days of discharge from a health plan ED between January 1, 2007, and December 31, 2008. To arrive at our cohort, administrative data were used to identify member visits to any of the six EDs, and death within 7 days of the ED visit and discharge was identified using the California Vital Statistics files and the Social Security Death Index. We chose the 7-day time frame because of its clinical relevance, implications for health policy decisions, and prior use in related studies.

Each included subject had to be a member of the health plan at the time of the ED visit; however, no minimum health plan enrollment period was required. Subjects were restricted to age 18 years and older because of the inherent difference between pediatric and adult presentations and outcomes. Patients seen and discharged from the ED to home or a non-acute care facility (nursing or rehabilitation) were eligible. Discharges to non-acute care facilities were considered eligible as these facilities lack either on-site or off-site continuous physician coverage and diagnostic abilities and require patients be transferred to EDs for acute evaluations. Patients admitted to inpatient or observation status beds were excluded. Patients in hospice care were excluded on the assumption that this status implies an intention to provide end-of-life comfort therapy rather than to prolong life. Members who visited non-health plan EDs were also excluded, as we did not have ED charts for these patients.

From the 203 patients who died within seven days after ED discharge, we randomly assigned a rank order and proceeded down the list with a goal of reviewing a set of charts to arrive at preliminary themes through theme saturation (Phase I) and a set of charts to validate the previously identified themes (Phase II). A total of 64 charts were extracted, but three were excluded during manual chart review because they were found to have do not attempt resuscitation/do not intubate (DNAR/DNI) orders in the chart or were offered hospice services during the ED visit. The final analysis involved the review of 61 charts (30 in Phase I and 31 in Phase II).

Qualitative Review
Deidentified printed medical records were reviewed by three emergency physicians (EPs; GZG, NJM, DF) using grounded theory techniques. Grounded theory is a form of qualitative analysis that uses social research methods to acquire theories from data. Chart data included the emergency physician (EP), nursing, and consultant records; the reported laboratory and imaging findings; and the most recent primary medical doctor visit prior to each ED visit. The most recent primary medical doctor visit gave reviewers insight into each patient’s condition prior to the ED visit in question. Using the qualitative methods and coding techniques described below and after receiving training in qualitative methodology, the three EPs reviewed charts both independently and as a team to identify a set of coded themes.

Training of the reviewers involved multiple meetings to discuss the theory and practice of qualitative methodology and to sample chart reviews with the team lead qualitative scientist (ST). Reviewers were advised to assess each chart based on the written information present; for example, if there was no documentation or mention of the vital signs, the chart was assumed to lack vital signs.
We used grounded theory to identify salient themes such as patient presentations and processes of care to build a conceptual framework that provides possible explanations for death within 7 days of ED discharge. The process of data collection and analysis was based on prior literature and the recommendations of our team qualitative researchers (ST, GWR). The group met monthly to discuss and refine the codes, often described as single words such as “fall,” into preliminary themes, defined as broader categories such as “fall in elderly.” This process continued until thematic saturation was achieved at approximately 30 charts, and no new concepts were being generated. To ensure that we had achieved thematic saturation, a second set of charts was reviewed (n = 31) using the same iterative grounded theory methodology. Throughout the reviews, the team lead qualitative researcher (ST) regularly evaluated the coding scheme to ensure that the observations were comprehensive and that narrative accounts were being generated. Per qualitative research guidelines, the final data were then reviewed by the study team (GZG, SFD, BCS) to ensure that the interpretations were credible.

**Data Analysis**

With qualitative expertise from fields in sociology (ST) and anthropology (GWR), the preliminary themes were sorted by the study lead author (GZG) into a final set of analytic themes that could potentially explain early death after discharge. All cases had multiple themes. For each case, we assigned a single theme that was felt to be the most important contributor to death after ED discharge. The process of categorizing the themes involved twelve inductive data reviews (defined as reviews of the charts that derived overarching analytic themes from preliminary themes) and discussions of the analytic themes with the study team to arrive at final themes that were thought to be the most probable contributors to death after ED discharge. To qualify as a final theme, at least three cases needed to have the same finding. The analysis yielded 11 final themes that were categorized as occurring either at the patient level or the process level. Coding data were managed with Microsoft Excel (Microsoft Corp., Redmond, WA) Descriptive statistics for the cohort were obtained using SAS 9.2 (SAS Institute, Cary, NC).

**RESULTS**

Over the 2 years, there were 497,996 patients who accounted for 813,232 visits to six EDs (Figure 1). After exclusions, the source population contained 290,092 health plan members with 446,120 ED visits. The characteristics of the source population and their comorbidities are presented in Table 1. The mean (±SD) age of the source population was 46.8 (±18.2) years. A total of 203 patients died within 7 days after ED discharge, with a mean (±SD) age of 71.1 (±14.7) years. Of the patients

---

Figure 1. Flow diagram of study cohort. DNAR/DNI = do not attempt resuscitation/do not intubate; KPSC = Kaiser Permanente Southern California.
who died, 61 were included in the final analysis (Figure 1), of which the mean (±SD) age was 72.0 (±12.5) years.

Qualitative Analysis Findings

The themes were classified into patient- or process-level categories. Patient factors describe characteristics of patients, their medical history, or their presentation in the ED. Process-of-care factors are defined as characteristics of the care the patients received during their ED stays. Each case that had overlapping themes was categorized in the one unique theme that the reviewers believed was most likely associated with death after ED discharge. Table 2 summarizes the themes and provides example cases. Of the 61 charts reviewed, four did not have a theme that fell into a category. These cases most likely had deaths that were not related to the ED presentation, such as being hit by a car or suffering an accidental death.

Patient Factors (Table 2)

Unexplained Persistent Acute Change in Mental Status. The mental status change was identified by either someone accompanying the patient or the EP and could not be explained based on the acquired history, examination, or results of ancillary tests. In addition, all patients with this presentation continued to be confused at the time of discharge.

Recent Fall in the Elderly. A history of a recent fall in a patient age 65 years or older, occurring within the week prior to the ED visit, in most cases was attributed to mechanical causes without mention of associated symptoms.
Abnormal Vital Signs. Abnormal vital signs were defined based on clinical consensus and previous literature\textsuperscript{15} as heart rate greater than 99 or less than 60 beats/minute, systolic blood pressure greater than 179 or less than 90 mm Hg or diastolic blood pressure greater than 109 mm Hg; respiratory rate greater than 20 or less than 12 breaths/minute; temperature greater than 37.9°C; and oxygen saturation less than 93%. Cases in this category often had initial abnormal vital signs that persisted throughout the ED visit despite interventions. Also, rea-
sons for the abnormal vital signs were seldom addressed in the ED note. The vital sign abnormality most common to the cases was tachycardia.

**Ill-appearing Presentation.** The determination of ill appearance was based on documentation of “ill appearance” or “distressed” in the general portion of the physical examination. All of these patients also were found to have abnormal lung examinations of either respiratory distress or decreased breath sounds.

**Malfunctioning Indwelling Device.** An indwelling device was defined as an external object not naturally contained in the body, such as a nasogastric tube, catheter, or shunt.

**Presenting Symptoms Remain at Discharge.** The persistence of the presenting complaint was based on documentation of a complaint by the nurse or the physician at the time of discharge. In most cases, the nurse’s note provided a more comprehensive account of the patient’s condition throughout the ED stay and at discharge.

**Process Factors (Table 2)**

**Discrepancy in History of Present Illness.** The discrepancy occurred as a result of another practitioner providing additional or conflicting information when compared to the EP note. In all cases, these practitioners saw the patient prior to (primary medical doctor) or during evaluating the patient. In all cases, these practitioners saw the patient prior to (primary medical doctor) or during evaluating the patient. The vital sign abnormality most common to the cases was tachycardia.

**Incomplete Physical Examination.** An incomplete examination was defined as an examination that did not address the physiologic location and neurologic components of the body part that was relevant to the chief complaint. For example, if the patient complained of back pain, an incomplete examination would include the absence of a documented complete back and/or neurologic examination.

**Misdiagnosis Due to a Narrow Differential Diagnosis.** Cases with potential misdiagnoses were often characterized by normal laboratory or imaging results that prematurely ended the consideration for a dangerous condition.

**Underestimation of Sickness Level Despite Concerning Evaluation.** Cases that were found to have their sickness level underestimated had mismatches between their documented clinical assessments and objective abnormalities, such as vital signs, laboratory, or imaging results.

**Admission Plan Changed.** The change took place either by an inpatient admitting physician (seven out of nine) or by the patient wanting to leave against medical advice (two of nine). In the Kaiser system, the recommendation for an admission can be placed by the EP, but the final decision to admit is made by the admitting hospitalist physician. In all instances, the EP indicated in his or her notes that the patients appeared ill.

**DISCUSSION**

We identified a set of patient-level and process-level factors that characterized ED encounters that resulted in early death after discharge. Our hypothesis-generating study not only provides clinicians and quality improvement directors with important information regarding high-risk conditions, but it sets the foundation for future confirmatory studies. The ultimate goal of this research program is to develop interventions, such as a patient discharge tool or electronic reminders at discharge, to prevent early deaths after discharge.

Two case studies and one administrative data study evaluating death after ED discharge have been conducted in past years. Using state coroner data and qualitative methods, Sklar et al.14 found 35 error cases with the following themes: abnormal vital signs, atypical presentation, decompensation of a chronic disease, and abnormal mental status. A case series of 42 charts by Kefer et al.15 using state medical examiner data found nine unexpected related deaths to be caused by thoracic or abdominal aortic aneurysms, congestive heart failure, head injury, ischemic bowel, pneumonia, pulmonary embolism, and aortic outflow obstruction. Both studies were limited by incomplete event capture, i.e., deaths that were not reviewed by the coroner or medical examiner would have been excluded. We previously reported an analysis of administrative data using the same source cohort as the current study.11 We found that 7-day death after ED discharge occurs 0.05% of the time (357 of 728,312). The following predictors were associated with death after discharge: older age, male sex, and the top three primary discharge diagnoses of noninfectious lung disease, renal disease, and ischemic heart disease.11 The current study builds on our prior analyses by assessing potentially important patient and process factors that are not captured by administrative data.

In this study, we found certain patient presentations to be present in cases of early mortality after discharge. A common historical theme was an unexplained persistent acute mental status change in any age adult or recent fall in an elderly patient. An altered mental state is often due to systemic illness, drug intoxication or withdrawal, organ system dysfunction, psychiatric disease, or neurologic illness. This finding is consistent with prior literature.14,21 The presence of this recurrent theme suggests that EPs may underestimate the sickness level of confused patients, possibly as a result of a lack of obvious systemic findings, inability to assess symptoms, or a lack of positive ancillary tests.

Falls are a leading cause of injury-related complaints in U.S. EDs.22 Numerous studies have found that falls predict an increased likelihood of 1-year mortality in the elderly.23,24 Older adults often fall due to deconditioning or poor health. The falls identified in our study were often attributed to mechanical causes with little additional information acquired in the note. Our findings suggest that an ED clinician should consider acquiring a better understanding of the patient’s physical state leading up to the fall, along with a detailed account of the fall event.
We found abnormal vital signs to be a prevalent theme in our population. Although this marker lacks specificity, it does indicate a potentially high-risk condition. Of all vital signs, we identified tachycardia to be the most common abnormal vital sign. In all cases, the abnormality in vital signs either persisted despite interventions, or there was no notation of improvement. This finding reaffirms the significance of vital signs, the importance of a broad differential diagnosis, and the need to address the underlying cause of the abnormality.

We also found the malfunctioning of an indwelling device to potentially indicate an impending poor outcome. We recognize that this is a common complaint that often does not mark illness, but our findings suggest that the evaluation of such a patient be more thorough. Another theme we identified was the persistence of symptoms at discharge. A persistent complaint, such as abdominal pain, could warrant further investigation or treatment, more specific return precautions, or more aggressive care following the ED visit, such as next-day follow-up or phone conversation.

We identified several processes in the areas of assessment, diagnosis, and disposition. A history of present illness and a discrepancy in view between providers was thought to contribute to potential misdiagnosis. In most instances this discrepancy was noted between the EP and ED nurse documentation. In the KPSC electronic health record system, charting by each provider occurs separate of the other. Our findings suggest that ED providers maintain open communication with all health care personnel involved in patient care and consider a review of all documentation prior to patient discharge.

A narrow differential diagnosis was a recurrent theme. Often the EP would identify one potential cause of the complaint and fail to broaden the differential diagnosis when the initial presumptive cause was not confirmed. There were additional cases where the providers appeared to underestimate the level of illness, despite concerning objective evaluation. Patients would often present with a number of mild physical examination and test abnormalities. These abnormalities when considered together were more concerning then when interpreted individually.

For a number of patients, the EP’s plan of admission was changed by a third party, including an admitting physician or a consultant or by the patient leaving against medical advice. In the Kaiser Permanente health system, all patients are screened by consulting admitting physicians prior to admission. The admitting physicians have the authority to discharge patients if they choose. Our findings suggest that provider disagreement over patient disposition may indicate a possible risk for unexpected death.

**LIMITATIONS**

This qualitative analysis lacked a control group and was not intended to answer causal questions, but instead to generate hypotheses for future confirmatory studies. We acknowledge the possibility of hindsight bias, as reviewers were aware that all of the study patients had died. We also recognize that despite excluding hospice or DNAR/DNI patients, there may have been patients who had anticipated deaths. In addition, although the analyzed cohort was similar to the patients who were not analyzed on 17 measured variables, the analyzed cohort had a lower rate of preexisting cerebrovascular disease. This may have potentially reduced our ability to identify process factors unique to patients with cerebrovascular disease. We are currently conducting a case-control study, with abstractors blinded to outcome, to formally test the association between the themes identified in this manuscript with poor outcomes after ED discharge. Finally, our team did not investigate the potential relationship between a theme and the postdischarge day of death.

We did not interview physicians involved in the cases due to resource constraints. Consequently, we did not obtain the physicians’ perspectives and their thought processes behind the management plans. We assumed that missing chart documentation indicated that a process was not performed or that the evaluation did not fully consider the missing information. However, we acknowledge that chart documentation may not capture all events and decision-making processes that occur during a patient encounter.

We performed our study in an integrated health system and our results may not generalize to other settings. Uninsured patients, who may potentially be at greater risk for death following discharge from the ED, are underrepresented in our study. In addition, health plan members may have access to rapid outpatient evaluations that may not be available to the general population, and as a consequence, the practice patterns of EPs in our study EDs may differ from those of other health systems. Our findings should be confirmed in other settings.

We recognize that previous work in this area reported on the patient cause of death and whether the death was related to the ED evaluation. We did not report cause of death information due to the questionable reliability of cause of death data and the scarcity of autopsy information in our study cohort. In addition, we felt that an assessment of the “relatedness” of ED care with subsequent death would be highly subjective; thus we did not attempt to infer a cause of death or assign a judgment of medical error. Rather, we focused on describing the factors common to patients and processes of care in patients who died shortly after ED discharge. We also recognize that in addition to the 7-day time window, we could have evaluated outcomes in either shorter or longer time frames.

**CONCLUSIONS**

We conducted a qualitative analysis to identify patient characteristics and processes of care that may be seen in patients who suffer early death after ED discharge. Our hypothesis-generating results provide insight for clinicians and quality improvement directors regarding these “high-risk” patients. These findings lay the foundation for future efforts to quantitatively test the themes identified in this article and to develop interventions aimed at reducing preventable death.
References


