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Nuclear β -Catenin Localization and Mutation of the *CTNNB1* Gene: A Context-Dependent Association

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Abstract

Although the majority of low grade, early stage endometrial cancer patients have good survival with surgery alone, patients who recur tend to do poorly. Identification of patients at high risk of recurrence who would benefit from adjuvant treatment or more extensive surgical staging would help optimize individualized care of endometrial cancer patients. *CTNNB1* (encodes β -catenin) mutations identify a subset of low grade, early stage endometrial cancer patients at high risk of recurrence. Mutation of *CTNNB1* exon 3 is classically associated with translocation of the β -catenin protein from the membrane to the nucleus and activation of Wnt/ β -catenin signaling. Given the clinical utility of identifying endometrial carcinomas with *CTNNB1* mutation, the purpose of this study was to determine if immunohistochemistry could act as a surrogate for *CTNNB1* gene sequencing. Next-generation sequencing was performed on 345 endometrial carcinomas. Immunohistochemical localization of β -catenin was determined for 53/63 *CTNNB1* exon 3 mutant tumors for which tissue was available and a subset of wildtype tumors. Nuclear

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localization of β -catenin had 100% specificity in distinguishing *CTNNB1* mutant from wildtype, but sensitivity was lower (84.9%). Nearly half of *CTNNB1* mutant cases had only 5–10% of tumor cells with β -catenin nuclear localization. The concordance between pathologists blinded to mutation status in assessing nuclear localization was 100%. Extent of β -catenin nuclear localization was not associated with specific *CTNNB1* gene mutation, tumor grade, presence of non-endometrioid component, or specific concurrent gene mutations in the tumor. For comparison, nuclear localization of β -catenin was more diffuse in desmoid fibromatosis, a tumor also associated with *CTNNB1* mutation. Thus, nuclear localization of β -catenin assessed by immunohistochemistry does not detect all endometrial cancers with *CTNNB1* gene mutation. Extent of nuclear localization may be tumor-type dependent. For endometrial cancer, immunohistochemistry could be an initial screen, with *CTNNB1* sequencing employed when nuclear localization of β -catenin is absent.

Introduction

Endometrial cancer is the most common gynecologic malignancy, with an estimated 63,230 new diagnoses in 2018 (1). In contrast to other common cancer types, incidence and annual deaths from endometrial cancer are increasing (1,2). The vast majority of endometrial cancers are endometrioid carcinomas diagnosed at an early stage (3). The treatment of FIGO stages I and II disease is primarily surgical with a 5 year survival of 69–88% (3). A subset of patients with endometrioid carcinomas will have poor outcomes, and determining which patients are at highest risk for recurrence of their disease, and would therefore benefit most from adjuvant treatment or more extensive surgical staging, has been challenging.

Recently, independent studies have identified that *CTNNB1* mutation is associated with recurrence and decreased recurrence free survival in endometrioid-type endometrial carcinoma, (4–6). Paradoxically, *CTNNB1* mutation is associated with endometrioid histology, lower endometrioid tumor grade, younger patient age, less than 50% myometrial invasion, and absence of lymphatic/vascular space invasion, clinical and pathological characteristics traditionally associated with better prognosis (4). The *CTNNB1* gene encodes the protein β -catenin. β -catenin protein is normally expressed at the epithelial cell membrane where it functions in cell-cell adhesions. Mutations in exon 3 of the *CTNNB1* gene or canonical Wnt pathway activation are associated with translocation of β -catenin to the nucleus, where it activates a specific transcriptional program (7,8). Pathologists have taken advantage of this nuclear translocation by using immunohistochemistry for β -catenin as a surrogate for gene sequencing (9–11). Immunohistochemistry has the advantages of being less expensive and more widely available in clinical laboratories. Given the clinical utility of identifying endometrial carcinomas with *CTNNB1* mutation, the purpose of this study was to determine if immunohistochemistry could act as a reliable surrogate for *CTNNB1* gene sequencing.

Materials and Methods

Cohort Selection

We performed a retrospective analysis of endometrial cancer patients at the University of Texas MD Anderson Cancer Center who had next-generation sequencing of their endometrial cancer performed since the year 2000. Patients were included if they had endometrial cancer that was histologically confirmed by pathologists at MD Anderson Cancer Center and had undergone molecular assessment of their tumor at our institution. Molecular evaluation of mutational status was performed using either a next-generation sequencing panel of 46 or 50 genes (12) in a clinical molecular diagnostics lab or a next-generation sequencing panel of 200 genes (13) in a research setting. Starting tumor percentage (per cent tumor cells in a sample prior to sequencing) ranged from 20–70%. Gene mutations were called when present in 10% or more of sequencing reads. The study was approved by the University of Texas MD Anderson Cancer Center's institutional review board (Protocol LAB01-718).

Immunohistochemistry

The polymeric biotin-free horseradish peroxidase method on the Leica Microsystems Bond Max stainer was used for β -catenin immunohistochemical staining of endometrial carcinomas and cases of desmoid fibromatosis. Formalin-fixed, paraffin-embedded tumors were sectioned to a thickness of 4 μ m and deparaffinized, followed by rehydration. Following heat-induced epitope retrieval with 10mM citrate buffer for 10 minutes at 100°C, slides were incubated with β -catenin antibody (BD Biosciences, clone 14, 1:1500 dilution). The Refine Polymer Detection kit was used for detection of bound antibody, with 3,3'-diaminobenzidine serving as the chromagen (Leica Microsystems). Slides were counterstained with Mayer's hematoxylin. Localization of β -catenin immunohistochemical expression by light microscopy was performed by 2 pathologists (GK and RRB) in the 53 *CTNNB1* mutant and 46 randomly selected wildtype endometrial carcinomas, and per cent nuclear localization within tumor cells was estimated across the entire tissue section from a representative tumor block from the hysterectomy specimen. Any distinct nuclear staining, whether focal or more diffuse, was deemed positive. To assess inter-observer agreement, a subset of 47 endometrial cancer cases (18 *CTNNB1* mutant and 29 *CTNNB1* wildtype) was examined by a third pathologist (BD) blinded to mutation status. Immunohistochemistry results in the endometrial cancers were compared to staining patterns for β -catenin in 32 desmoid fibromatosis cases known to have *CTNNB1* mutations and reported previously (11,14).

Statistical Analyses

Study data were collected and managed using the REDCap electronic database (15). Summary statistics were calculated to describe the characteristics of the study population using Stata v14.1 software (College Station, Texas). When considering the 45 endometrial cancers with *CTNNB1* mutation that had nuclear expression of β -catenin, it was observed that nearly half (20/45; 44.4%) of the cases had localization in 10% or less tumor cell nuclei, while approximately half (25/45; 55.6%) had localization in more than 10% of tumor cell nuclei. Therefore, to facilitate comparisons of factors possibly impacting nuclear

localization, the *CTNNB1*-mutated endometrial cancers were tabulated into 3 groups: 0% β -catenin nuclear expression, <10% β -catenin nuclear expression (designated as Nuclear-Low; mean % tumor nuclei positive for β -catenin = 7.5%), and 10% β -catenin nuclear expression (designated as Nuclear-High; mean % tumor nuclei positive for β -catenin = 31.6%). To determine the concordance between nuclear β -catenin localization determined by immunohistochemistry and the presence of a *CTNNB1* gene mutation determined by sequencing, the proportion that agree and disagree along with their 95% confidence intervals were calculated. Cohen's kappa statistic (κ) for each reviewer was calculated for each reviewer of β -catenin immunohistochemical expression in endometrial carcinoma. The κ value was interpreted according to Landis et al. (16). A $\kappa < 0.20$ was regarded as poor agreement; $\kappa = 0.21 - 0.40$ as fair agreement; $\kappa = 0.41 - 0.60$ as moderate agreement; $\kappa = 0.61 - 0.80$ as good agreement; and $\kappa = 0.81 - 1.00$ as very good agreement.

Results

Of the 345 endometrial cancer patients examined, 63 (18%) had tumors with exon 3 *CTNNB1* mutations, and 53 of these had available tissue for subsequent immunohistochemistry (Figure 1). Of these 53 cases, 46 were pure endometrioid histology, and 7 were mixed endometrioid and non-endometrioid carcinomas (Figure 1). Classically, *CTNNB1* exon 3 mutations are associated with decreased degradation of β -catenin, accumulation of β -catenin protein in the cytoplasm, and then translocation of β -catenin protein to the nucleus. Therefore, immunohistochemistry was performed on *CTNNB1* mutant endometrial cancers to determine if gene mutation was associated with nuclear expression of β -catenin. Overall, 45/53 (85%) of *CTNNB1* mutant endometrial cancers had nuclear localization of β -catenin (Figure 2A). Sensitivity, specificity, positive predictive value, and negative predictive value for β -catenin nuclear localization predicting *CTNNB1* gene mutation are summarized in Table 1. Specificity was high (100%; no wildtype endometrial cancers with β -catenin nuclear localization), but sensitivity was lower (85%; 8/53 mutant endometrial cancers with no evidence of nuclear localization).

For the *CTNNB1* mutant endometrial cancers, per cent tumor nuclear localization of β -catenin ranged from 5–60%. 38% (20/53) of endometrial cancers had either 0% or less than 10% of tumor cell nuclei with β -catenin localization. As nuclear localization was not diffuse in many cases, we next wanted to determine if a pathologist blinded to the genotyping data could accurately predict *CTNNB1* mutation status based on whether any nuclear localization of β -catenin was present or not in a subset of 47 cases (18 *CTNNB1* mutant, 29 *CTNNB1* wildtype). The 3rd blinded pathologist (BD) agreed with the β -catenin localization scores of the initial reviewers (GK and RRB) in all cases ($\kappa = 1$). Both the initial reviewers and the third blinded reviewer incorrectly predicted mutation status in 2 of the *CTNNB1* mutant endometrial cancers with no evidence of nuclear localization of β -catenin protein.

Given that *CTNNB1* mutation is likely a driver mutation in endometrial cancer (17,18), we were surprised that many of the *CTNNB1* mutant endometrial cancers were associated with less than 10% nuclear localization or no localization of β -catenin protein. We next wanted to determine if this observation was associated with any pathologic features of endometrial cancer. Extent of nuclear localization of β -catenin was not associated with % allelic fraction

of *CTNNB1* gene mutation (29% mean allelic frequency of *CTNNB1* mutation in Nuclear-High group; 27% mean allelic frequency of *CTNNB1* mutation in Nuclear-Low group). Nuclear-Low or no nuclear localization was not dependent on endometrial cancer tumor grade or presence of a non-endometrioid component microscopically (Table 2). In the 53 *CTNNB1* mutant endometrial cancers assessed by immunohistochemistry, there were 22 different exon 3 mutations identified. Specific *CTNNB1* mutation was not associated with pattern of nuclear expression of β -catenin (Table 3). Mutations in the genes *PTEN* and *PIK3CA* were the most common concurrent mutations in the *CTNNB1* mutated endometrial cancers, occurring in 59% and 34%, respectively, of the cases. Neither of these gene mutations was associated with pattern of β -catenin protein immunolocalization (Table 4). *KRAS* co-mutation occurred only in cases with no nuclear expression of β -catenin or lower nuclear expression of β -catenin (Table 4). However, because only 4 tumors had *KRAS* mutation, no definitive association with localization could be established.

Previously, it was shown that *CTNNB1* mutation with β -catenin nuclear localization occurs in approximately 85% of sporadic desmoid fibromatosis tumors (11). We next assessed percent tumor cell nuclei positive for β -catenin in desmoid fibromatosis tumors that had been previously characterized as *CTNNB1* mutant. In the 32 desmoids examined, nearly all had diffuse nuclear expression in 80–90% of tumor cells (Figure 2C). The *CTNNB1* mutational spectrum for the desmoids was narrower than that observed in the endometrial cancers, with a T41A mutation in 23 tumors and the S45F mutation in 9 tumors. These mutations were only observed in two endometrial cancers, both with the T41A mutation. Note that one of the T41A mutant endometrial cancers was associated with no nuclear expression of β -catenin (Table 3), and a second was associated with only 5% nuclear expression (Table 3). The specific S45F mutation was not encountered in the endometrial cancers, but there was one case with S45A mutation. There was no residual tissue from this tumor for immunohistochemistry.

Discussion

In this study, we examined β -catenin immunohistochemistry as a possible surrogate for *CTNNB1* exon 3 gene sequencing in endometrial carcinoma and compared the results with those obtained for desmoid fibromatosis, another tumor that classically harbors *CTNNB1* exon 3 mutations. For endometrial cancer, immunohistochemistry with a definition of positivity of any β -catenin nuclear staining had 100% specificity and 85% sensitivity in predicting a *CTNNB1* mutation. Nearly 40% of *CTNNB1* mutant endometrial cancers had less than 10% of tumor cell nuclei with β -catenin localization. Despite this, a third pathologist blinded to the sequencing results could accurately predict presence of a *CTNNB1* mutation based on the presence of any nuclear localization of β -catenin in most cases. Whether immunohistochemistry could be used as a surrogate to sequencing for endometrial cancer is still uncertain. β -catenin immunohistochemistry is less expensive, more widely available, and faster than *CTNNB1* mutation analysis. Specificity of immunohistochemistry (presence of β -catenin nuclear expression when a *CTNNB1* mutation is present) was quite high in this limited sampling, but false positives could potentially emerge with more extensive testing. False negatives (no nuclear expression of β -catenin by immunohistochemistry when a *CTNNB1* gene mutation is present) are more of a concern,

especially if immunohistochemistry was to be used in an endometrial biopsy in which tumor may be more limiting. Examination of β -catenin immunohistochemistry in endometrial biopsies, rather than hysterectomy samples, may very well result in further increases in the false negative rate. If subsequent experiences from other groups continue to demonstrate high specificity, one contemplated approach for endometrial cancer would be to use β -catenin immunohistochemistry as an initial screen. For cases that show no evidence of nuclear expression, or if there is weak, ambiguous nuclear expression, sequencing of exon 3 of the *CTNNB1* gene could then be employed to exclude a false negative. Given that *CTNNB1* mutation with β -catenin nuclear localization occurs in approximately 22% of cases in the current study, this stepped approach would therefore require sequencing of nearly 80% of the low grade, early stage endometrioid-type endometrial carcinomas examined. This may limit the practicality of using β -catenin immunohistochemistry to screen endometrial cancer patients for recurrence risk.

The relationship between *CTNNB1* gene mutation, β -catenin nuclear localization, and Wnt pathway activation is complex. In the current study, we found that *CTNNB1* mutation in desmoid fibromatosis was associated with a much more diffuse pattern of nuclear β -catenin assessed by immunohistochemistry. This suggests that tissue-specific factors may play a role in determining β -catenin protein localization and/or Wnt pathway activation. For example, triple negative breast cancer is associated with increased nuclear accumulation and/or decreased membranous accumulation of β -catenin protein in the absence of *CTNNB1* gene mutations (19). Glutamine synthetase is a known downstream target of nuclear β -catenin; in hepatocellular carcinoma, there was a low correlation between *CTNNB1* mutation and nuclear β -catenin and diffuse glutamine synthetase immunohistochemical staining (20).

Specific proteins have been previously shown to influence β -catenin localization within the cell and Wnt pathway activation. In normal cells, increased Axin promotes the export of stabilized β -catenin from the nucleus to the cytoplasm (21). Casitas B-lineage lymphoma (c-Cbl) is an E3 ubiquitin ligase that binds to β -catenin; increased c-Cbl is associated with decreased nuclear β -catenin in colorectal adenocarcinoma, independent of *APC* or *CTNNB1* mutation status (22). MUC1 binds to β -catenin, blocking GSK3 β -mediated phosphorylation and degradation (23). The MCF-7 breast cancer cell line does not exhibit β -catenin nuclear localization following stimulation by Wnt or Wnt agonists, and this was due to the intrinsically low level of LEF/TCF transcription factors that allow β -catenin to leak from the nucleus (24). PTEN loss is a common molecular abnormality of endometrial carcinomas, but 25% of endometrioid-type endometrial carcinomas have intact PTEN immunohistochemical expression (25). Intact PTEN has been previously shown in prostate cancer cells to inhibit nuclear localization of β -catenin and promote its phosphorylation and cytoplasmic degradation (26). We have recently shown that CD73-mediated adenosine signaling is critical for epithelial adhesion in endometrial epithelial cells, promoting membrane localization of E-cadherin and β -catenin to the cell membrane (27)

While it has been traditionally thought that increased nuclear β -catenin is necessary for activating the Wnt signaling pathway, there is evidence that the mechanisms controlling signaling are more complex. During embryonic development, Wnt pathway activation can occur independent of nuclear β -catenin (28). The rate of change of nuclear accumulation of

β -catenin, rather than total nuclear β -catenin levels, correlates better with transcription of the well-known Wnt pathway gene, *cyclin D1* (29). Furthermore, membranous expression of β -catenin can still lead to activated Wnt pathway transcription (30,31). Cytoplasmic β -catenin is associated with worse prognosis in renal cell carcinoma, a tumor type in which *CTNNB1* mutations are not common (32). These data help to demonstrate that total nuclear β -catenin may not be so critical in driving the adverse prognosis we have observed in *CTNNB1*-mutant endometrial cancers, as other cellular components can help to activate the Wnt pathway. Accordingly, in endometrial cancer cells, Wnt7a binds to Fzd5 to activate β -catenin/canonical signaling. However, if endometrial cancer cells have lower levels of Fzd5 and higher levels of Fzd10, Wnt7a will bind Fzd10 to activate non-canonical JNK signaling (33). This suggests that the balance of Fzd receptors in the cell can influence the type of signaling induced by Wnt activation. It is possible that the endometrial cancers with *CTNNB1* mutation and lower levels of nuclear β -catenin have Wnt pathway activation via non-canonical mechanisms.

We found no impact of other tumor mutations on the extent of β -catenin nuclear localization, with the caveat that the next-generation sequencing assays employed were limited in scope (46-50-200 genes). However, it is known that *CTNNB1* mutation in endometrial cancer is associated with less overall mutations and lower predicted neo-antigen load (17,34). Thus, we do not believe concurrent co-mutations play a role in determining β -catenin nuclear localization.

The current study continues work from our group in which we explore the utility of multiple assays that may be available in the clinical laboratory for the optimal interrogation of a specific analyte relevant to oncology patient care. We previously demonstrated that PTEN immunohistochemistry out-performed sequencing in identifying endometrial cancers with PTEN loss (25). This immunohistochemistry approach was subsequently applied to ovarian high grade serous carcinoma, a tumor type in which *PTEN* gene mutation is uncommon (35). PTEN protein loss by immunohistochemistry was identified in 11% of these tumors, identifying a subset of patients with significantly improved survival compared to those with tumors with retained PTEN protein expression (35). PTEN immunohistochemistry was subsequently one of only four immunohistochemistry tests used for the NCI-Molecular Analysis for Therapy Choice (NCI-MATCH) EAY131 phase II precision oncology clinical trial (36). For detection of *BRAF V600E* gene mutations, immunohistochemistry for BRAF V600E protein is highly concordant with sequencing in melanoma and papillary thyroid cancer, but sensitivity and specificity for immunohistochemistry in predicting mutation results are much lower for colorectal adenocarcinoma (37). This suggests that tissue-specific factors may be present in the colonic mucosa that influence expression or modification of BRAF proteins independent of mutation status. As yet unidentified tissue-specific factors may also be impacting β -catenin nuclear localization in *CTNNB1*-mutant endometrial cancers.

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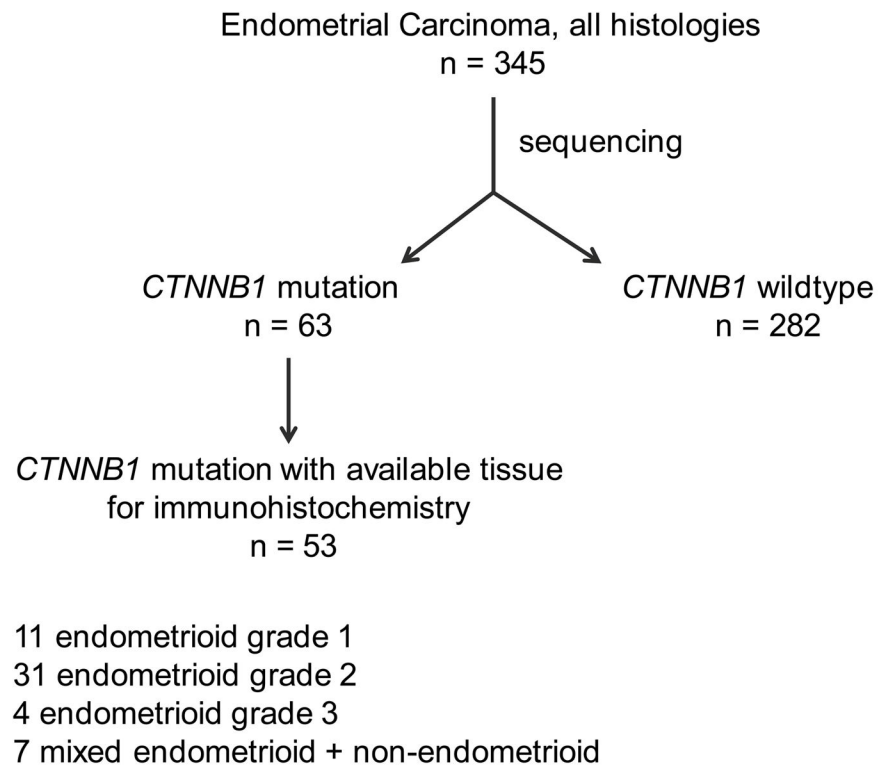


Figure 1. Schematic overview of methodology. A total of 345 endometrial cancers were sequenced, with exon 3 *CTNNB1* gene mutations detected in 63 (18.3%). Formalin-fixed, paraffin-embedded tissue was available for 53/63 tumors for subsequent β -catenin immunohistochemical analysis.

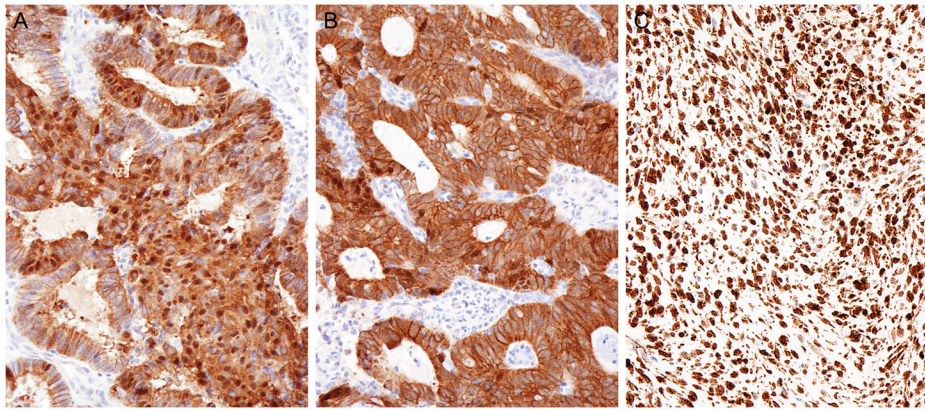


Figure 2. Representative photomicrographs of immunohistochemical localization of β -catenin in *CTNNB1*-mutant endometrial carcinoma (A and B) and desmoid fibromatosis (C). Nuclear localization in endometrial carcinomas was diffuse (A) in a minority of the endometrial cancer cases. For the most part nuclear localization in endometrial cancer was focal (B), whereas in desmoid fibromatosis (C) it was diffuse in nearly all cases.

Table 1

Summary of performance of β -catenin immunohistochemistry in assessing *CTNNB1* gene status, assuming that nuclear β -catenin is associated with *CTNNB1* gene mutation.

	<i>CTNNB1</i> wildtype	<i>CTNNB1</i> mutation	Total
Nuclear staining	0	45	45
Cytoplasmic staining	46	8	54
Total	46	53	99

Sensitivity, (45/53) 85%; 95% CI, 72 – 93

Specificity, (46/46) 100.0%; 95% CI, 90 – 100

PPV, (45/45) 100%; 95% CI, 90 – 100

NPV, 46/54 85%; 95% CI, 72 – 93

Table 2Distribution of % nuclear β -catenin in endometrial cancers with *CTNNB1* mutation

Histology	0% Nuclear	Nuclear-Low	Nuclear-High	Total
EEC ¹ Grade 1	1/53 (2%)	6/53 (11%)	4/53 (8%)	11/53 grade 1 (21%)
EEC Grade 2	4/53 (8%)	12/53 (23%)	15/53 (28%)	31/53 grade 2 (59%)
EEC Grade 3	0/53 (0%)	2/53 (4%)	2/53 (4%)	4/53 grade 3 (8%)
Mixed EC ²	3/53 (6%)	0/53 (0%)	4/53 (8%)	7/53 mixed (13%)
Total	8/53 (15%)	20/53 (38%)	25/53 (47%)	

¹EEC – endometrioid endometrial carcinoma²Mixed EC – Endometrial carcinomas with endometrioid and non-endometrioid components microscopically

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Table 3Distribution of % nuclear β -catenin by exon 3 *CTNNB1* alteration

<i>CTNNB1</i> mutation	0% Nuclear	Nuclear-Low	Nuclear-High
D32A	-	-	1/25
D32G	-	-	3/25
D32H	-	-	1/25
D32N	1/8	-	2/25
D32V	-	1/20	-
D32Y	2/8	1/20	2/25
D32Y; G34V	-	1/20	-
G34E	1/8	1/20	-
G34R	-	2/20	1/25
G34V	-	1/20	-
S33A	-	1/20	-
S33C	-	3/20	1/25
S33F	-	5/20	1/25
S33P	-	-	1/25
S33Y	-	2/20	-
S37A; D32Y	1/8	-	-
S37C	1/8	-	4/25
S37F	-	-	7/25
S37Y	-	-	-
T41A	1/8	1/20	-
T41I			1/25
delinsTTP.G34L	-	1/20	-
Indel	1/8	-	-
Total	8/53	20/53	25/53

Table 4Incidence of concurrent mutations by distribution of % nuclear β -catenin

Concurrent Mutations	0% Nuclear	Nuclear-Low	Nuclear-High
<i>AKT1</i>	0	0	4
<i>ARID1A</i>	3	0	2
<i>ATM</i>	1	0	0
<i>ERBB2</i>	0	0	1
<i>FGFR1</i>	0	0	1
<i>HNF1A</i>	0	1	0
<i>IDH1</i>	1	0	0
<i>KIT</i>	0	0	1
<i>KRAS</i>	1	3	0
<i>PHLPP2</i>	0	1	1
<i>PIK3CA</i>	2	8	8
<i>PIK3CG</i>	2	1	0
<i>PIK3R1</i>	2	2	1
<i>PIK3R2</i>	0	1	1
<i>PTEN</i>	3	13	15
<i>RET</i>	0	1	0
<i>RPS6KC1</i>	1	0	1
<i>TP53</i>	2	0	1
None	1	2	4