

# Review of Hair Cell Synapse Defects in Sensorineural Hearing Impairment

\*†‡Tobias Moser, \*Friederike Predoehl, and §Arnold Starr

\*InnerEarLab, Department of Otolaryngology, University of Göttingen Medical School; †Sensory Research Center SFB 889, ‡Bernstein Center for Computational Neuroscience, University of Göttingen, Göttingen, Germany; and §Department of Neurology, University of California, Irvine, California, U.S.A.

**Objective:** To review new insights into the pathophysiology of sensorineural hearing impairment. Specifically, we address defects of the ribbon synapses between inner hair cells and spiral ganglion neurons that cause auditory synaptopathy.

**Data Sources and Study Selection:** Here, we review original publications on the genetics, animal models, and molecular mechanisms of hair cell ribbon synapses and their dysfunction.

**Conclusion:** Hair cell ribbon synapses are highly specialized to enable indefatigable sound encoding with utmost temporal precision. Their dysfunctions, which we term *auditory synaptopathies*, impair audibility of sounds to varying degrees but commonly affect neural encoding of acoustic temporal cues essential for speech comprehension. Clinical features of auditory synaptopathies

are similar to those accompanying auditory neuropathy, a group of genetic and acquired disorders of spiral ganglion neurons. Genetic auditory synaptopathies include alterations of glutamate loading of synaptic vesicles, synaptic  $\text{Ca}^{2+}$  influx or synaptic vesicle turnover. Acquired synaptopathies include noise-induced hearing loss because of excitotoxic synaptic damage and subsequent gradual neural degeneration. Alterations of ribbon synapses likely also contribute to age-related hearing loss. **Key Words:** Genetics—Ion channel—Sensorineural hearing impairment—Sound coding—Synapses—Synaptopathy.

*Otol Neurotol* 34:995–1004, 2013.

## Mechanisms of Sensorineural Hearing Impairment

Sensorineural hearing impairment encompasses various pathologies of the cochlea and auditory nerve. Based on human temporal bone histology Schuknecht and Igarashi (1) proposed a nosology for slowly progressing sensorineural hearing loss. They distinguished conditions affecting stria vascularis (disrupting cochlear ion homeostasis and energetics), organ of Corti (disrupting hair cell function), and neurons (disrupting transmission of auditory information to the brain). Recent advances in the identification of human deafness genes and their physiological characterization in mouse models have helped to elucidate specific cellular mechanisms contributing to sensory and neural hearing loss. Combining genetic, physiologic, and psychophysical approaches to human sensorineural hearing loss one aims to differentiate primary defects of cochlear ionic homeostasis and endolymph production, mechano-electrical transduction at the hair bundle, electromechanical amplification of basilar membrane vibration by the electromotile outer

hair cells, synaptic transmission at the inner hair cell synapses, and action potential generation and conduction by spiral ganglion neurons.

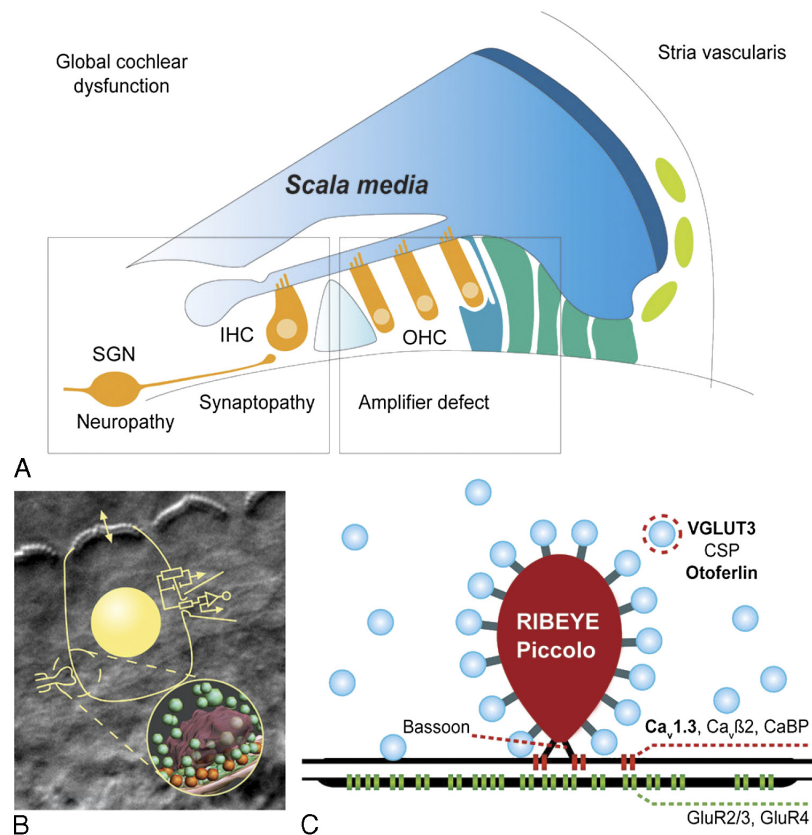
Figure 1A illustrates a physiology-based classification of sensorineural hearing loss. Transduction defects as well as disruption of cochlear ionic homeostasis and endolymph production cause a global dysfunction of the cochlea. For example, the most common hereditary deafness caused by mutations in the gene coding for Connexin 26 impair the endocochlear potential (2,3), which is a prerequisite for the function of hair cells. Defects of outer hair cell electromotility or loss of outer hair cells altogether disrupt cochlear amplification and present primarily with loss of audibility, abnormal loudness gain (recruitment), and impaired frequency discrimination (4,5). Otoacoustic emissions (OAEs), acoustic signals produced by outer hair cell amplification of sound-induced vibrations in the cochlea, are reduced or absent. However, suprathreshold stimuli still evoke synchronized neural potentials in auditory nerve and brainstem pathways identified as auditory brainstem responses (ABRs). These subjects typically have impairments of speech reception affecting mainly consonants and their performance benefit from hearing aids.

Disorders of inner hair cell synapses—auditory synaptopathies—cause evoked potentials of the early

Address correspondence and reprint requests to Tobias Moser, University of Göttingen, Göttingen, Germany; E-mail: tmoser@gwdg.de

This work was supported by funding of the State of Lower Saxony to TM (“Audiologie-Initiative Niedersachsen”).

The authors disclose no conflicts of interest.



**FIG. 1.** Hair cell ribbon synapse—molecules affected in genetic auditory synaptopathies. *A*, Physiology-based classification of sensorineural hearing loss. Defects or loss of outer hair cells (OHC) disrupt cochlear amplification, defects or loss of inner hair cells (IHC) or their synapses disrupt synaptic encoding of sound, defects or loss of spiral ganglion neurons (SGN) disrupt encoding and/or conduction of auditory information. Defects of cochlear electrolyte homeostasis or mechano-electrical transduction cause global dysfunction. *B*, Normaski image of the mouse organ of Corti with hair bundles of IHCs and schematic representation of a patch-clamped IHC and one of its ribbon synapses. Inset: model of a normal mouse IHC ribbon synapse obtained from electron tomography. *C*, Molecular anatomy of a normal mouse IHC ribbon synapse as derived from immunohistochemistry and molecular physiology. Otoferlin, VGLUT3, and  $Ca_v1.3$  are the molecules so far identified to be the defect in human synaptopathy.

auditory pathway to be absent or abnormal (6,7). However, as cochlear amplification is functional, at least initially, OAEs and/or cochlear microphonic potentials are often present (7–10). Psychophysical findings in auditory synaptopathy vary from normal pure tone audiograms to complete deafness (6,8–14). Still, even when audibility is normal or minimally affected, speech comprehension is impaired and is often not improved by hearing aids (15). Defects of the auditory nerve (16) have similar findings as auditory synaptopathies rendering their differentiation difficult (15,16). Examination of temporal bones in subjects with neural disorders have shown both loss of auditory ganglion cells as well as demyelination of auditory nerve fibers (17). The effects of these changes are to seriously compromise the magnitude of auditory nerve activity, neural conduction speed, and to cause conduction block in affected fibers.

“Synaptopathy” is a recently introduced term for a long-known nosological concept. Myasthenic disorders such as Myasthenia gravis and Lambert-Eaton syndrome are long established synaptopathies of the neuromuscular junction (18–21). Recently, synaptic dysfunction has re-

ceived much attention as a potential disease mechanism in neuropsychiatric diseases such as Huntington’s disease (22) and autism spectrum disorders (23,24). Although evidence indicates an important role of synaptic alterations in the pathophysiology of major brain diseases, their relevance as primary disease mechanism is an active topic of research (25).

Strong alterations of neuromuscular junction and synapses of the central nervous system are not compatible with life (e.g., ref. [26,27]). This is very different for ribbon synapses formed by sensory cells in the ear and retina. They are molecularly and structurally specialized and, to some extent, distinct from other synapses, such that mutations can specifically affect hearing and/or vision by impairing ribbon synapse function while sparing other synapses. The synaptic ribbon is an electron-dense structure that extends into the cytosol and tethers a halo of synaptic vesicles (Fig. 1B). Depending on the position of an inner hair cell along the tonotopic cochlear axis, it forms between 5 and 20 ribbon synapses (28) with the unbranched peripheral axons of spiral ganglion neurons (29). The exact role of this multi-protein nanomachinery is

subject of current studies (30–33). It is hypothesized to support a large pool of readily releasable vesicles and its replenishment after exocytosis. Its main molecular constituent is the protein Ribeye (34) (Fig. 1C) that is specific to ribbon synapses and thought to build the ribbon in a brick-stone like manner interacting with itself (35) and other proteins such as bassoon (36). Bassoon is a big scaffold protein (37), common to many synapses, and organizes the active zone of photoreceptors (38) and hair cells (30,33). While sharing some of the common scaffold proteins of the active zone, the hair cell ribbon synapse seems to otherwise employ different proteins than most other synapses (39–44) (Fig. 1C), some of which have been shown to be affected in hereditary synaptopathic hearing impairment.

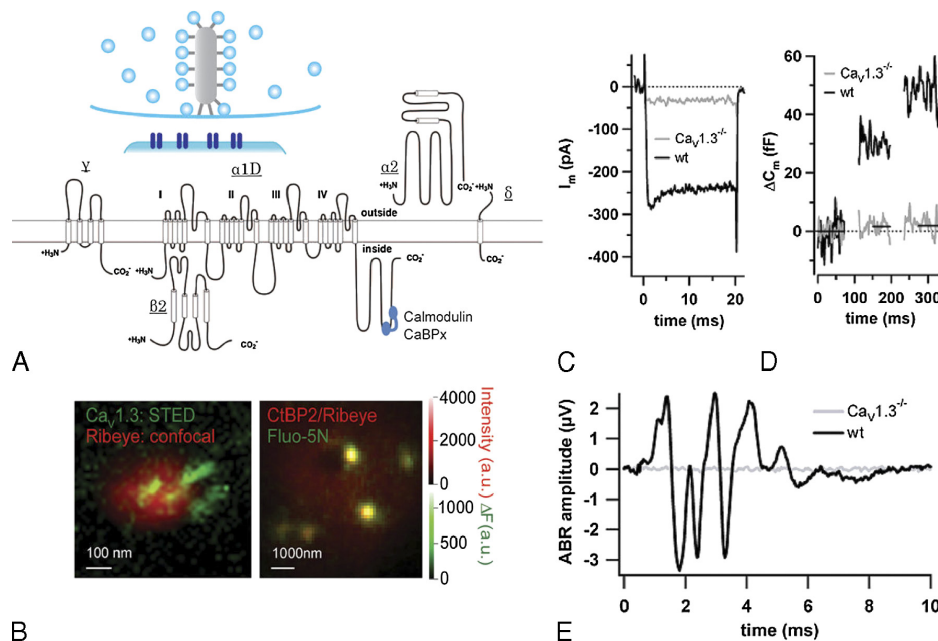
## GENETIC SYNAPTOPATHIES

### Defects of Presynaptic Calcium Influx Into Inner Hair Cells

Unlike in other synapses, hair cell ribbon synapses use  $\text{Ca}_v1.3$  L-type  $\text{Ca}^{2+}$  channels for stimulus-secretion coupling (45–47). Their active zones cluster tens of  $\text{Ca}_v1.3$  L-type  $\text{Ca}^{2+}$  channels (33,48–52) (Fig. 2) that activate rapidly already at hyperpolarized potentials (53) and show only mild inactivation during ongoing stimulation (54,55). These functional properties arise from the unique molecular

composition of the channel complex that involves interaction with numerous other proteins such as  $\text{Ca}^{2+}$ -binding proteins (56–58) (Fig. 2A). Recently, a loss of function mutation in the *CACNA1D* gene has been identified in a family with congenital deafness and bradycardia, signifying the importance of  $\text{Ca}_v1.3$  for hearing and atrial pacemaking (12). Recently, a mutation of the *CABP2* gene has been demonstrated to cause a moderate sensorineural hearing impairment, which may be related to the lower potency of the mutant CaBP2 protein to inhibit calmodulin-mediated calcium dependent inactivation of the calcium current (57). Moreover, we note for a comparison that mutations in the genes coding for the pore-forming  $\alpha1F$  (*CACNA1F*) subunit (59,60) of the presynaptic  $\text{Ca}_v1.4$   $\text{Ca}^{2+}$  channels, the auxiliary  $\alpha2\delta4$  subunit (61) and the interacting  $\text{Ca}^{2+}$  binding protein 4 (62) (*CaBP4*) cause human retinal disease such as night blindness probably by disturbing synaptic transmission at the photoreceptor ribbon synapses.

The human phenotype related to the loss of function *CACNA1D* mutation (12) is very closely resembled in *Cacna1d* knock-out mice, displaying both deafness and bradycardia (45,47). The mouse model allows for analysis of  $\text{Ca}^{2+}$  influx and the ensuing exocytosis in inner hair cells, which were both reduced by 90% (46) (Fig. 2C, D). This defect of hair cell transmitter release readily explains the lack of ABRs (Fig. 2E). The dramatic reduction of presensory and sensory afferent neural activity leads to



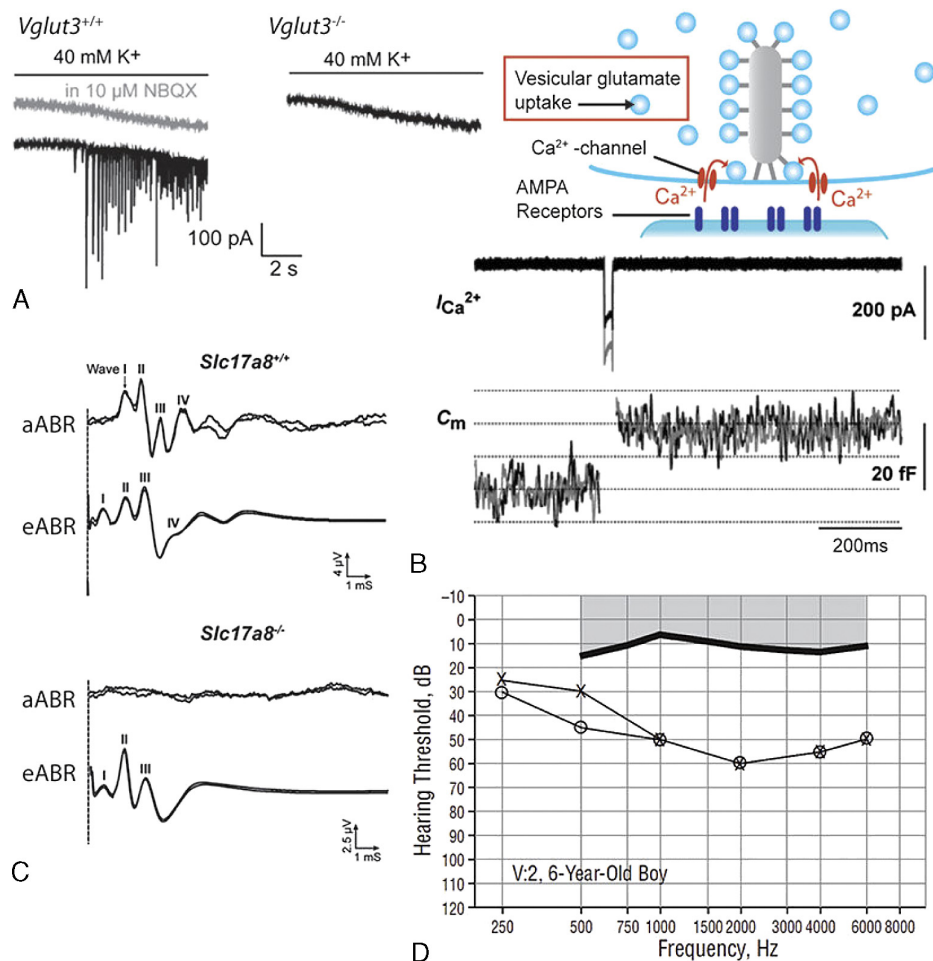
**FIG. 2.** Molecular physiology and pathology of hair cell calcium influx. **A**, Top: a defect in  $\text{Ca}^{2+}$  influx disrupts stimulus-secretion coupling, bottom: domain structures of the subunits forming the hair cell  $\text{Ca}_v1.3$   $\text{Ca}^{2+}$  channel: pore-forming  $\alpha1D$  subunit, auxiliary  $\beta2$ ,  $\alpha2\delta$ , and  $\gamma$  subunits (adapted from Caterall, Pharmacol Rev 2005). **B**, Left: nanoanatomy of presynaptic  $\text{Ca}_v1.3$   $\text{Ca}^{2+}$  channel clusters resolved by STED microscopy after immunolabeling (taken from Frank et al., Neuron 2010); right: 5 presynaptic  $\text{Ca}^{2+}$  microdomains visualized as fluorescence hotspots of Fluo-5N indicator at the ribbon-occupied active zones (marked by a fluorescent Ribeye-binding peptide; taken from Frank et al., PNAS 2009). **C**, Representative  $\text{Ca}^{2+}$  currents and **(D)**, membrane capacitance increments ( $\Delta C_m$ , reflecting exocytic fusion of vesicles to the plasma membrane) of a normal IHC (black) and an IHC lacking the  $\text{Ca}_v1.3$   $\text{Ca}^{2+}$  channel (gray): near-complete block of  $\text{Ca}^{2+}$  influx and exocytosis (taken from Brandt et al., 2003). **E**, Deafness of  $\text{Ca}_v1.3$  deficient mice is indicated by lack of ABRs (representative recordings in response to 100 dB clicks).

substantial neurodevelopmental alterations in the auditory pathway (63–65) and to a progressive loss of hair cell afferent synapses, hair cells and spiral ganglion neurons (63,66), respectively. Interestingly, neither affected humans nor mice seem to have vestibular disorders. This is consistent with the finding of a sizable remaining  $\text{Ca}^{2+}$  current in vestibular hair cells of *Cacna1d* knock-out mice (47).

### Genetic Alteration of Vesicular Glutamate Uptake in Hair Cells Disrupt Hearing

The glutamatergic ribbon synapses of hair cells use the transporter VGLUT3 to load their synaptic vesicles with glutamate (42,43,67), whereas all other glutamatergic synapses studied so far use VGLUT1 or 2 (68,69). In-

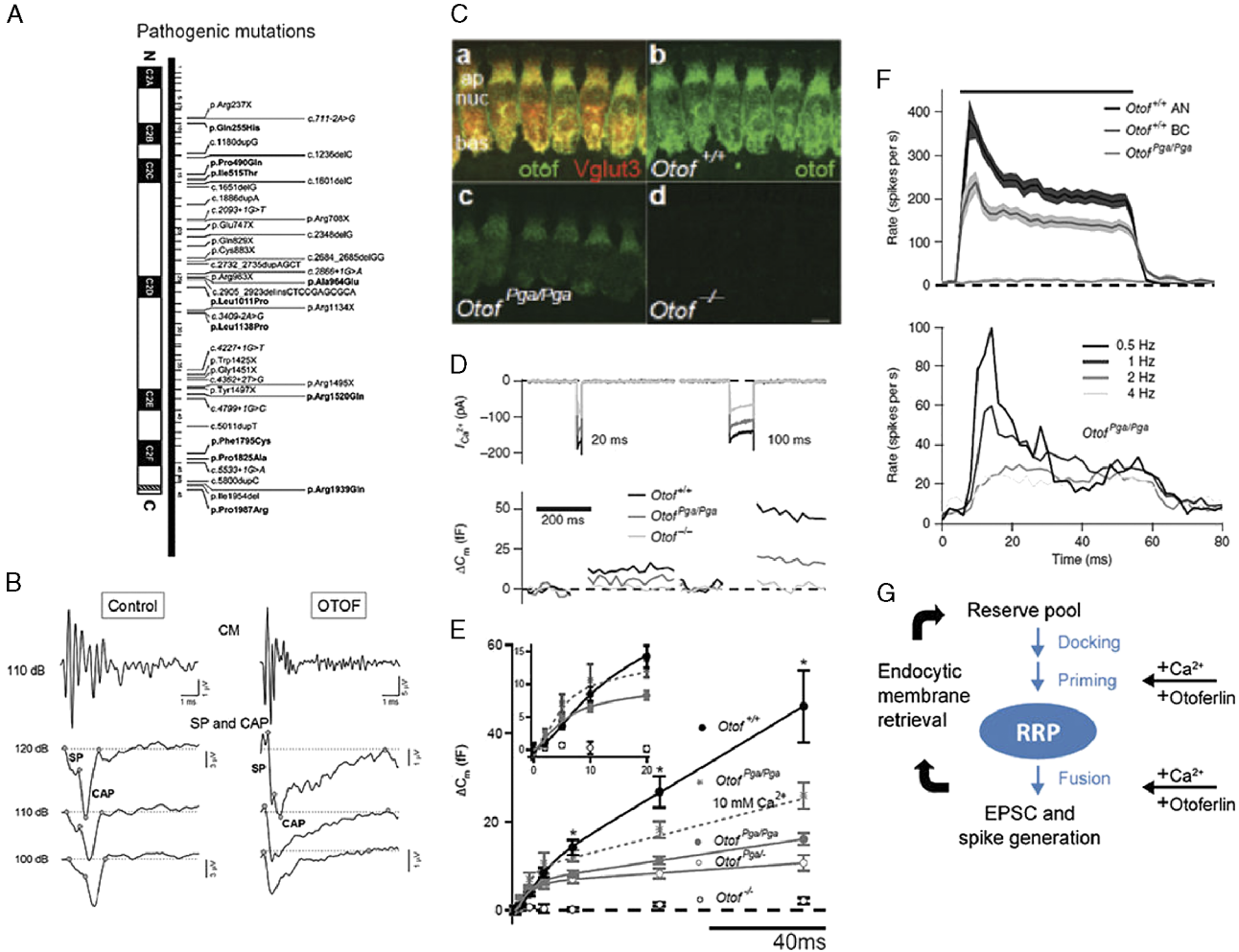
stead, in the CNS VGLUT3 is used by monoaminergic and cholinergic neurons that co-release glutamate. Genetic ablation of Vglut3 function caused deafness in mice (42,43) and zebrafish (67) because of abolition of glutamate release (Fig. 3). Hair cell synapses remained surprisingly intact. They display robust  $\text{Ca}^{2+}$  influx and exocytosis of glutamate-devoid vesicles (43) (Fig. 3B), and spiral ganglion neurons exhibited robust postsynaptic receptor currents in response to application of exogenous glutamate (42). Loss of synapses, hair cells and spiral ganglion neurons proceeded at relatively slow pace (weeks rather than days as found for otoferlin mutants, see below) perhaps because of preserved release of trophic factors. Interestingly, no overt vestibular dysfunction was observed in *Vglut3* knock-out mice.



**FIG. 3.** VGLUT3-deficient hair cells lack glutamate release—human *vglut3* mutations are responsible for progressive deafness DFNA25. **A**, Left: representative patch-clamp recording of excitatory postsynaptic currents (EPSCs) from a SGN terminal (*black*), which are stimulated by superfusion with 40 mM K<sup>+</sup> and blocked by the AMPA receptor blocker NBQX (*gray*); middle: lack of EPSCs in a representative recording from *Vglut3*-knockout mice (taken from Seal et al., *Neuron* 2008); right: genetic ablation *Vglut3* function abolishes vesicular glutamate uptake and release. **B**, Despite ablation of *Vglut3* IHCs undergo Ca<sup>2+</sup> influx (top panel) and exocytic fusion of vesicles (lower panel, taken from Ruel et al., *Am J Hum Genet*, 2008). Exocytosis of trophic factors potentially contributes to maintaining synaptic and neural integrity, such that degeneration proceeds more slowly than in *Ca<sub>v</sub>1.3* or otoferlin mutants. **C**, While both acoustically evoked ABR (aABR, top panel) and electrically evoked ABR (eABR, lower panel) are regularly recorded in control mice, only eABR but not aABR are observed in *Vglut3*-knockout mice (adapted from Ruel et al., *Am J Hum Genet*, 2008). **D**, pure tone audiogram of a DFNA25 affected boy displaying a moderate hearing impairment at 6 years of age (taken from Thirlwall et al., *Head Neck Surg* 2003).

First efforts toward virus-mediated transfer of *Vglut3* DNA into inner hair cells of *Vglut3* knock-out mice have yielded promising results: normal thresholds were restored for several weeks following viral injection into the cochlea

(70). *Vglut3* knock-out mice and heterozygotes littermates showed EEG abnormalities indicative of a neocortical hyperexcitability, but myoclonic activity has not been detected (42). The human hearing impairment DFNA25



**FIG. 4.** OTOF mutations cause prelingual deafness DFNB9—otoferlin regulates replenishment and fusion of vesicles in IHCS. **A**, Domain structure of the multi-C<sub>2</sub>-domain protein otoferlin (left) and amino acid changes caused by pathogenic mutations as published so far (right), modified from Rodriguez-Ballesteros et al., *Hum Mutat* 2003. **B**, Top: the CM is preserved in DFNB9, representative cochlear microphonic (CM) potentials of a control subject (left) and a deaf DFNB9 subject (right) in response to a 120-dB click stimulus. Lower: intact summing potentials (SPs) but strongly reduced compound action potentials (CAPs) in DFNB9, representative SP and CAP recorded from one control and DFNB9 subject in response to clicks of the designated sound pressure level. (modified from Santarelli et al., *JARO* 2009). **C**, (a), Projections of confocal images of IHC immunolabeled for otoferlin, which show a distribution similar to Vglut3. Reduced immunofluorescence in *Pachanga* mice (b, *Otof<sup>Pga/Pga</sup>*) and lack of immunofluorescence in knock-out mice (c, *Otof<sup>-/-</sup>*). **D**, Enhanced paired-pulse depression in a representative *Otof<sup>Pga/Pga</sup>* IHC assayed by measurements of exocytic membrane capacitance increments, indicating reduced recovery of the RRP from depletion (vesicle replenishment after stimulation). **E**, Exocytic membrane capacitance increments as a function of stimulation time for IHCs of normal mice (*Otof<sup>+/+</sup>*), of *Otof<sup>Pga/Pga</sup>* mice (in elevated (10 mM) and normal [Ca<sup>2+</sup>]<sub>bath</sub>), *Otof<sup>Pga/-</sup>* mice (carrying only one *Pachanga* allele) and *Otof<sup>-/-</sup>* mice: progressive reduction of sustained exocytosis indicating reduced vesicle replenishment during ongoing stimulation (after 20 ms, i.e., exocytosis of the readily releasable pool (RRP)). **F**, Poststimulus time histograms of extracellularly recorded spikes of auditory nerve fibers and principal cells of the cochlear nucleus of normal mice and *Otof<sup>Pga/Pga</sup>* mice (lumping all units together for the mutants) in response to tone burst stimulation 30 dB above threshold at 10 Hz: very low spike rates in *Otof<sup>Pga/Pga</sup>* mice. Reduction in stimulus rate restores an onset response in *Otof<sup>Pga/Pga</sup>* mice, which, however, is still lower than in control mice. This is consistent with strongly reduced vesicle replenishment limiting the RRP available for responses to transient stimuli. Spontaneous rate is less strongly reduced in *Otof<sup>Pga/Pga</sup>* mice. **G**, summary of otoferlin's roles in hair cell exocytosis: docking was found to be normal in all *Otof* mutants studied so far; therefore, defective replenishment is attributed to impaired vesicle priming. Vesicle replenishment does not suffice build-up of a standing RRP in the presence of substantial spontaneous release in vivo. In addition, a role of otoferlin in fusion is proposed. Panels C–F were taken from Pangrsic et al., *Nat Neurosci* 2010.

was first described in 2003 (13) and was then linked to a *VGLUT3* mutation in 2008 (43). Affected subjects become progressively hearing impaired starting during adolescence (Fig. 3D) and apparently lack other symptoms. Future studies are needed to address the precise mechanism of the progressive synaptopathy DFNA25.

### Mutations in *OTOF* Cause Prelingual Deafness DFNB9 and Temperature-Sensitive Synaptic Hearing Impairment

Mutations in the *OTOF* gene coding for otoferlin—a member of the ferlin family of transmembrane multi- $C_2$ -proteins (71,72), which is expressed in hair cells (73)—cause the prelingual deafness DFNB9 (6,8,10,74,75) and a temperature-sensitive hearing impairment (76,9,14) (Fig. 4). Since its identification, more than 50 pathogenic mutations of *OTOF* have been published (11) (Fig. 4A). Most mutations cause loss of otoferlin function and profound prelingual deafness (Fig. 4B), and affected individuals seem to benefit from cochlear implantation (77,78). Otoferlin is considered a synaptic vesicle protein because a direct association to synaptic vesicles was found by immuno-electron microscopy (73) and its distribution in hair cells is similar to that of the synaptic vesicle protein Vglut3 (79) (Fig. 4B). Ablation of *Otof* function in mice revealed a near complete abolition of hair cell exocytosis as the mechanism underlying DFNB9. Synapses were rapidly lost postnatally probably because of degeneration. However, the ultrastructure of the remaining synapses was well preserved, displaying a normal supplement of synaptic vesicles. Therefore, a role of otoferlin in a late step of exocytosis (priming and/or fusion) was postulated (73). A role of otoferlin as  $Ca^{2+}$  sensor of vesicle fusion was further suggested by the  $Ca^{2+}$  and phospholipid binding of some  $C_2$  domains (73,80), interaction with neuronal SNARE proteins (73,80,81) and facilitation of fusion of SNARE-tagged liposomes (80). These properties are shared by the neuronal  $Ca^{2+}$  sensor of fusion synaptotagmin 1, which is lacking from mature inner hair cells (82,83). However, synaptotagmin 1 if introduced as a transgene cannot replace otoferlin in hair cell exocytosis (83). Otoferlin's role in  $Ca^{2+}$  regulated fusion should be further addressed by site-directed mutagenesis of the putative  $Ca^{2+}$  binding sites (84).

Mutations that do not fully inactivate function have helped further studies of the physiologic role of otoferlin and otoferlin-related synaptopathy. Three mutations were associated with a temperature-sensitive hearing impairment (9,14,76). The affected individuals become deaf when core temperature rises. ABRs at this time are absent. When afebrile, these subjects have a mild elevation of threshold. Speech perception in quiet is normal but impaired in noise. The mechanism underlying the temperature effect still awaits clarification. It might involve protein instability and subsequent degradation possibly leading to a shortage of functional otoferlin. Such a reduction of otoferlin levels (Fig. 4C) was considered as a candidate mechanism for a missense mutation in the region coding the  $C_2F$  domain in the *Pachanga* mouse (85).

$Ca^{2+}$  dependent vesicle fusion was surprisingly found intact, but a reduced rate of vesicle replenishment was observed (79). In physiology, synapses of inner hair cells replenish hundreds of vesicles per second to enable high rates of transmission and auditory nerve fiber spiking over prolonged periods of time. Interestingly, an additional reduction of vesicle replenishment was found with mice carrying only 1 mutant allele (Fig. 4D, E), in which otoferlin levels were reduced even further. Deafness of these mice was proposed to result from the lack of a sufficient pool of readily releasable vesicles in vivo, when spontaneous release steadily consumes vesicles in excess of the reduced capacity for vesicle replenishment (Fig. 4G). Hence, auditory nerve fibers of these mice could barely respond to sound stimulation (Fig. 4F). In conclusion, aside from being a candidate  $Ca^{2+}$  sensor of fusion in hair cells, otoferlin has a function in vesicle replenishment (Fig. 4G). Additional general cell biological functions have been proposed based on protein interaction studies and the broad distribution of otoferlin in hair cells also outside the presynaptic active zones (86–88).

### Noise-Induced and Age-Related Hearing Loss

Recent findings indicate that cochlear synaptic mechanisms may contribute to these 2 most common forms of hearing impairment. Changes in synapse number and structure have been implied in noise-induced (89–91) and age-dependent hearing loss (92). Interestingly, a human association study suggests polymorphisms in the gene coding for the metabotropic glutamate receptor mGluR7 to contribute to susceptibility for age-dependent hearing loss (93). Excitotoxic synaptic and neural damage is a key candidate mechanism for noise-induced and age-dependent hearing loss (Fig. 5A). It may result from excessive presynaptic release of glutamate, which has long been discussed for noise-induced hearing loss (see below) and has recently been implied for a human progressive hearing loss caused by mutations in the gene *GIPC3* (94,95). Susceptibility to excitotoxic damage could also arise from abnormally high numbers or sensitivity of postsynaptic glutamate receptors (96), alterations of efferent innervation (97) and from interference with glutamate uptake (98,99), but the relevance of these mechanisms for human disease has not yet been demonstrated.

Excitotoxic synaptic damage due to excessive presynaptic release of glutamate has long been indicated to contribute to noise-induced hearing loss (89–91). Immunohistochemical quantification of ribbon synapse number (28,30) has now been used to establish the loss of ribbon synapses during noise exposures (100,101). Strikingly, even noise exposures that caused only temporary threshold loss were accompanied by a permanent loss of approximately 50% of the hair cell synapses and subsequent slow degeneration of spiral ganglion neurons in the high frequency region of the cochlea (Fig. 5C, D, F, G). The morphologic damage was reflected by a reduced spiral ganglion compound action potential. Measured as Jewett wave I of the auditory brainstem responses, a permanent reduction was found (Fig. 5E), despite full recovery of the physiologic threshold (Fig. 5B). One possible



to impaired sound coding led to the initial denomination as auditory neuropathy or auditory neuropathy spectrum disorder. This review describes specific disease mechanisms, focusing on presynaptic alterations at the inner hair cell synapse. Human genetics has uncovered that monogenic defects and complex genetic diseases also affect sound encoding at the hair cell synapses. Starting with the identification of otoferlin (10), an increasing number of defects in genes that code for synaptic proteins and ion channels have been identified, and the list is expected to still increase. Molecular physiology in genetically manipulated mice has provided insights into gene function at the synapse and the synaptic mechanisms underlying the human disease. These studies unambiguously demonstrate the synapse as a primary site of lesion and hence support the use of auditory synaptopathy as the precise nosologic category. However, severe auditory synaptopathy sooner or later leads to degeneration of the spiral ganglion neurons and, thus, has a common final path with primary neural disorders such as hereditary motor and sensory neuropathy.

#### Understanding Mechanisms and Phenotypes of Auditory Synaptopathies Based on Detailed Analysis of Mouse Models

Mouse models serve as powerful tools for dissecting the precise disease mechanisms, for predicting onset and progression of degeneration and for devising therapeutic approaches. Different from the described mouse models of human auditory synaptopathy, other “synaptic” mouse mutants allow one to study the consequences of more subtle synaptic deficits for auditory systems function. Genetic disruption of the presynaptic protein Bassoon causes a mild synaptic hearing impairment (30,103) because of a reduction in the number of releasable synaptic vesicles and  $Ca^{2+}$  channels (33). ABR are present but display a massive reduction in wave 1 amplitude (30,103) because of reduced auditory nerve fiber spiking rates and increased jitter of first spike latency (103). Although no human mutations have been described so far, this mouse line has gained considerable interest as a model for auditory synaptopathy.

#### Otoferlin: Synergistic Research on Human Subjects and Animal Models Advance Our Understanding of Otoferlin Function and Dysfunction

The genetics, structure, and function of otoferlin in the context of hearing and deafness define a hot topic of auditory research. After identifying *OTOF* about a decade ago as the gene defect underlying autosomal recessive, nonsyndromic profound deafness DFNB9 (10), work now encompasses molecular, cellular, and systems level approaches. The presence of human subjects with temperature-sensitive *OTOF* mutations enables advanced electrophysiologic and psychophysical studies and promises to contribute to our understanding of otoferlin-related hearing impairment and auditory synaptopathy in general. Genetic manipulations in mice combined with comprehensive structural and functional analysis will continue to contribute. In particular, these studies will help to further test the

$Ca^{2+}$  sensor of vesicle fusion and vesicle replenishment hypotheses.

#### Presynaptic and Postsynaptic Mechanisms of Synaptopathy

Here, we have reviewed exemplary presynaptic and postsynaptic mechanisms of synaptic hearing impairment with much emphasis on the presynaptic dysfunction. Future research will reveal further genetic and acquired synaptopathies, which will likely also include other alterations of postsynaptic function. Combining specific clinical and genetic testing will likely help to distinguish primarily presynaptic and postsynaptic dysfunctions.

**Acknowledgments:** The authors thank Nicola Strenzke, Martin Canis and Charles M. Liberman for the comments on the manuscript. The authors also thank Regis Nouvian and Linda Hsu for contributing graphical illustrations.

#### REFERENCES

- Schuknecht HF, Igarashi M. Pathology of slowly progressive sensori-neural deafness. *Trans Am Acad Ophthalmol Otolaryngol* 1964;68:222–42.
- Schütz M, Auth T, Gehrt A, et al. The connexin26 S17F mouse mutant represents a model for the human hereditary keratitis-ichthyosis-deafness syndrome. *Hum Mol Genet* 2011;20:28–39.
- Cohen-Salmon M, Ott T, Michel V, et al. Targeted ablation of connexin26 in the inner ear epithelial gap junction network causes hearing impairment and cell death. *Curr Biol* 2002;12:1106–11.
- Dallos P. Cochlear amplification, outer hair cells and prestin. *Curr Opin Neurobiol* 2008;18:370–6.
- Oxenham AJ, Bacon SP. Cochlear compression: perceptual measures and implications for normal and impaired hearing. *Ear Hear* 2003;24:352–66.
- Varga R, Kelley P, Keats B, et al. Non-syndromic recessive auditory neuropathy is the result of mutations in the otoferlin (*OTOF*) gene. *J Med Genet* 2003;40:45–50.
- Santarelli R, Del Castillo I, Rodríguez-Ballesteros M, et al. Abnormal cochlear potentials from deaf patients with mutations in the otoferlin gene. *J Assoc Res Otolaryngol* 2009;10:545–56.
- Rodríguez-Ballesteros M, del Castillo FJ, Martin Y, et al. Auditory neuropathy in patients carrying mutations in the otoferlin gene (*OTOF*). *Hum Mutat* 2003;22:451–6.
- Marlin S, Feldmann D, Nguyen Y, et al. Temperature-sensitive auditory neuropathy associated with an otoferlin mutation: deafening fever! *Biochem Biophys Res Commun* 2010;394:737–42.
- Yasunaga S, Grati M, Cohen-Salmon M, et al. A mutation in *OTOF*, encoding otoferlin, a FER-1-like protein, causes DFNB9, a nonsyndromic form of deafness. *Nat Genet* 1999;21:363–9.
- Rodríguez-Ballesteros M, Reynoso R, Olarte M, et al. A multicenter study on the prevalence and spectrum of mutations in the otoferlin gene (*OTOF*) in subjects with nonsyndromic hearing impairment and auditory neuropathy. *Hum Mutat* 2008;29:823–31.
- Baig SM, Koschak A, Lieb A, et al. Loss of  $Ca(v)1.3$  (*CACNA1D*) function in a human channelopathy with bradycardia and congenital deafness. *Nat Neurosci* 2011;14:77–84.
- Thirlwall AS, Brown DJ, McMillan PM, Barker SE, Lesperance MM. Phenotypic characterization of hereditary hearing impairment linked to DFNA25. *Arch Otolaryngol Head Neck Surg* 2003;129:830–5.
- Wang D-Y, Wang Y-C, Weil D, et al. Screening mutations of *OTOF* gene in Chinese patients with auditory neuropathy, including a familial case of temperature-sensitive auditory neuropathy. *BMC Med Genet* 2010;11:79.
- Starr A, Zeng FG, Michalewski HJ, Moser T. Perspectives on auditory neuropathy: disorders of inner hair cell, auditory nerve,



- and their synapse. In: *The Senses: A Comprehensive Reference*. New York, NY: Academic Press, 2008:397–412.
16. Starr A, Picton TW, Sininger Y, Hood LJ, Berlin CI. Auditory neuropathy. *Brain* 1996;119:741–53.
  17. Starr A, Michalewski HJ, Zeng FG, et al. Pathology and physiology of auditory neuropathy with a novel mutation in the MPZ gene (Tyr145->Ser). *Brain* 2003;126:1604–19.
  18. Finsterer J, Papić L, Auer-Grumbach M. Motor neuron, nerve, and neuromuscular junction disease. *Curr Opin Neurol* 2011;24:469–74. doi:10.1097/WCO.0b013e32834a9448.
  19. Lang B, Vincent A. Autoimmune disorders of the neuromuscular junction. *Curr Opin Pharmacol* 2009;9:336–40.
  20. Meriggioli MN, Sanders DB. Autoimmune myasthenia gravis: emerging clinical and biological heterogeneity. *Lancet Neurol* 2009;8:475–90.
  21. Mahadeva B, Phillips LH 2nd, Juel VC. Autoimmune disorders of neuromuscular transmission. *Semin Neurol* 2008;28:212–27.
  22. Li J-Y, Plomann M, Brundin P. Huntington's disease: a synaptopathy? *Trends Mol Med* 2003;9:414–20.
  23. Toro R, Konyukh M, Delorme R, et al. Key role for gene dosage and synaptic homeostasis in autism spectrum disorders. *Trends Genet* 2010;26:363–72.
  24. Bourgeron T. A synaptic trek to autism. *Curr Opin Neurobiol* 2009;19:231–4.
  25. Brose N, O'Connor V, Skehel P. Synaptopathy: dysfunction of synaptic function? *Biochem Soc Trans* 2010;38:443–4.
  26. Verhage M, Maia AS, Plomp JJ, et al. Synaptic assembly of the brain in the absence of neurotransmitter secretion. *Science* 2000;287:864.
  27. Schoch S, Deák F, Königstorfer A, et al. SNARE function analyzed in synaptobrevin/VAMP knockout mice. *Science* 2001;294:1117–22.
  28. Meyer AC, Frank T, Khimich D, et al. Tuning of synapse number, structure and function in the cochlea. *Nat Neurosci* 2009;12:444–53.
  29. Liberman M. Single-neuron labeling in the cat auditory nerve. *Science* 1982;216:1239–41.
  30. Khimich D, Nouvian R, Pujol R, et al. Hair cell synaptic ribbons are essential for synchronous auditory signalling. *Nature* 2005;434:889–94.
  31. Snellman J, Mehta B, Babai N, et al. Acute destruction of the synaptic ribbon reveals a role for the ribbon in vesicle priming. *Nat Neurosci* 2011;14:1135–41.
  32. Hull C, Studholme K, Yazulla S, von Gersdorff H. Diurnal changes in exocytosis and the number of synaptic ribbons at active zones of an ON-type bipolar cell terminal. *J Neurophysiol* 2006;96:2025–33.
  33. Frank T, Rutherford MA, Strenzke N, et al. Bassoon and the synaptic ribbon organize Ca<sup>2+</sup> channels and vesicles to add release sites and promote refilling. *Neuron* 2010;68:724–38.
  34. Schmitz F, Königstorfer A, Südhof TC. RIBEYE, a component of synaptic ribbons: a protein's journey through evolution provides insight into synaptic ribbon function. *Neuron* 2000;28:857–72.
  35. Magupalli VG, Schwarz K, Alpadi K, Natarajan S, Seigel GM, Schmitz F. Multiple RIBEYE-RIBEYE interactions create a dynamic scaffold for the formation of synaptic ribbons. *J Neurosci* 2008;28:7954–67.
  36. Tom Dieck S, Altmann WD, Kessels MM, et al. Molecular dissection of the photoreceptor ribbon synapse: physical interaction of Bassoon and RIBEYE is essential for the assembly of the ribbon complex. *J Cell Biol* 2005;168:825–36.
  37. Tom Dieck S, Sanmartí-Vila L, Langnaese K, et al. Bassoon, a novel zinc-finger CAG/glutamine-repeat protein selectively localized at the active zone of presynaptic nerve terminals. *J Cell Biol* 1998;142:499–509.
  38. Dick O, tom Dieck S, Altmann WD, et al. The presynaptic active zone protein bassoon is essential for photoreceptor ribbon synapse formation in the retina. *Neuron* 2003;37:775–86.
  39. Nouvian R, Beutner D, Parsons TD, Moser T. Structure and function of the hair cell ribbon synapse. *J Membrane Biol* 2006;209:153–65.
  40. Nouvian R, Neef J, Bulankina AV, et al. Exocytosis at the hair cell ribbon synapse apparently operates without neuronal SNARE proteins. *Nat Neurosci* 2011;14:411–3.
  41. Strenzke N, Chanda S, Kopp-Scheinflug C, et al. Complexin-I is required for high-fidelity transmission at the endbulb of held auditory synapse. *J Neurosci* 2009;29:7991–8004.
  42. Seal RP, Akil O, Yi E, et al. Sensorineural deafness and seizures in mice lacking vesicular glutamate transporter 3. *Neuron* 2008;57:263–75.
  43. Ruel J, Emery S, Nouvian R, et al. Impairment of SLC17A8 encoding vesicular glutamate transporter-3, VGLUT3, underlies nonsyndromic deafness DFNA25 and inner hair cell dysfunction in null mice. *Am J Hum Genet* 2008;83:278–92.
  44. Safieddine S, Wenthold RJ. SNARE complex at the ribbon synapses of cochlear hair cells: analysis of synaptic vesicle- and synaptic membrane-associated proteins. *Eur J Neurosci* 1999;11:803–12.
  45. Platzer J, Engel J, Schrott-Fischer A, et al. Congenital deafness and sinoatrial node dysfunction in mice lacking class D L-type Ca<sup>2+</sup> channels. *Cell* 2000;102:89–97.
  46. Brandt A, Striessnig J, Moser T. CaV1.3 channels are essential for development and presynaptic activity of cochlear inner hair cells. *J Neurosci* 2003;23:10832–40.
  47. Dou H, Vazquez AE, Namkung Y, et al. Null mutation of alpha1D Ca<sup>2+</sup> channel gene results in deafness but no vestibular defect in mice. *J Assoc Res Otolaryngol* 2004;5:215–26.
  48. Wu YC, Fettiplace R. A developmental model for generating frequency maps in the reptilian and avian cochleas. *Biophys J* 1996;70:2557–70.
  49. Roberts WM, Jacobs RA, Hudspeth AJ. Colocalization of ion channels involved in frequency selectivity and synaptic transmission at presynaptic active zones of hair cells. *J Neurosci* 1990;10:3664–84.
  50. Martinez-Dunst C, Michaels RL, Fuchs PA. Release sites and calcium channels in hair cells of the chick's cochlea. *J Neurosci* 1997;17:9133.
  51. Brandt A, Khimich D, Moser T. Few CaV1.3 channels regulate the exocytosis of a synaptic vesicle at the hair cell ribbon synapse. *J Neurosci* 2005;25:11577.
  52. Frank T, Khimich D, Neef A, Moser T. Mechanisms contributing to synaptic Ca<sup>2+</sup> signals and their heterogeneity in hair cells. *Proc Natl Acad Sci* 2009;106:4483.
  53. Koschak A. alpha 1D (Cav1.3) subunits can form L-type Ca<sup>2+</sup> channels activating at negative voltages. *J Biol Chem* 2001;276:22100–6.
  54. Schnee ME, Ricci AJ. Biophysical and pharmacological characterization of voltage-gated calcium currents in turtle auditory hair cells. *J Physiol* 2003;549:697–717.
  55. Moser T, Beutner D. Kinetics of exocytosis and endocytosis at the cochlear inner hair cell afferent synapse of the mouse. *Proc Natl Acad Sci U S A* 2000;97:883.
  56. Yang PS, Alseikhan BA, Hiel H, et al. Switching of Ca<sup>2+</sup>-dependent inactivation of CaV1.3 channels by calcium binding proteins of auditory hair cells. *J Neurosci* 2006;26:10677–89.
  57. Cui G, Meyer AC, Calin-Jageman I, et al. Ca<sup>2+</sup>-binding proteins tune Ca<sup>2+</sup>-feedback to Cav1.3 channels in mouse auditory hair cells. *J Physiol* 2007;585:791–803.
  58. Schrauwen A, Helfmann S, Inagaki AV, et al. A mutation in CABP2, expressed in cochlear hair cells, causes autosomal-recessive hearing impairment. *Am J Hum Genet.* 2012;91:636–45.
  59. Strom TM, Nyakatura G, Apfelstedt-Sylla E, et al. An L-type calcium-channel gene mutated in incomplete X-linked congenital stationary night blindness. *Nat Genet* 1998;19:260–3.
  60. Bech-Hansen NT, Naylor MJ, Maybaum TA, et al. Loss-of-function mutations in a calcium-channel alpha1-subunit gene in Xp11.23 cause incomplete X-linked congenital stationary night blindness. *Nat Genet* 1998;19:264–7.
  61. Wycisk KA, Zeitz C, Feil S, et al. Mutation in the auxiliary calcium-channel subunit CACNA2D4 causes autosomal recessive cone dystrophy. *Am J Hum Genet* 2006;79:973–7.
  62. Zeitz C, Kloeckener-Gruissem B, Forster U, et al. Mutations in CABP4, the gene encoding the Ca<sup>2+</sup>-binding protein 4, cause

- autosomal recessive night blindness. *Am J Hum Genet* 2006;79:657–67.
63. Nemzou RM, Bulankina AV, Khimich D, Giese A, Moser T. Synaptic organization in cochlear inner hair cells deficient for the Cav1.3 (alpha1D) subunit of L-type Ca<sup>2+</sup> channels. *Neuroscience* 2006;141:1849–60.
  64. Erazo-Fischer E, Striessnig J, Taschenberger H. The role of physiological afferent nerve activity during in vivo maturation of the calyx of Held synapse. *J Neurosci* 2007;27:1725–37.
  65. Hirtz JJ, Boesen M, Braun N, et al. Cav1.3 calcium channels are required for normal development of the auditory brainstem. *J Neurosci* 2011;31:8280–94.
  66. Glueckert R, Wietzorrek G, Kammen-Jolly K, et al. Role of class D L-type Ca<sup>2+</sup> channels for cochlear morphology. *Hearing research* 2003;178:95–105.
  67. Obholzer N, Wolfson S, Trapani JG, et al. Vesicular glutamate transporter 3 is required for synaptic transmission in zebrafish hair cells. *J Neurosci* 2008;28:2110–8.
  68. El Mestikawy S, Wallén-Mackenzie A, Fortin GM, Descarries L, Trudeau L-E. From glutamate co-release to vesicular synergy: vesicular glutamate transporters. *Nat Rev Neurosci* 2011;12:204–16.
  69. Seal RP, Edwards RH. The diverse roles of vesicular glutamate transporter 3. *Handb Exp Pharmacol* 2006;137–50.
  70. Akil O, Seal RP, Burke K, et al. Restoration of hearing in VGLUT3 knockout mice using virally-mediated gene therapy. *Neuron* 2012;75:283–93.
  71. McNeil PL, Kirchhausen T. An emergency response team for membrane repair. *Nat Rev Mol Cell Biol* 2005;6:499–505.
  72. Posey AD Jr, Demonbreun A, McNally EM. Ferlin proteins in myoblast fusion and muscle growth. *Curr Top Dev Biol* 2011;96:203–30.
  73. Roux I, Safieddine S, Nouvian R, et al. Otoferlin, defective in a human deafness form, is essential for exocytosis at the auditory ribbon synapse. *Cell* 2006;127:277–89.
  74. Yasunaga S, Grati M, Chardenoux S, et al. OTOF encodes multiple long and short isoforms: genetic evidence that the long ones underlie recessive deafness DFNB9. *Am J Hum Genet* 2000;67:591–600.
  75. Mirghomizadeh F, Pfister M, Apaydin F, et al. Substitutions in the conserved C2C domain of otoferlin cause DFNB9, a form of nonsyndromic autosomal recessive deafness. *Neurobiol Dis* 2002;10:157–64.
  76. Varga R, Avenarius MR, Kelley PM, et al. OTOF mutations revealed by genetic analysis of hearing loss families including a potential temperature sensitive auditory neuropathy allele. *J Med Genet* 2006;43:576–81.
  77. Rouillon I, Marcolla A, Roux I, et al. Results of cochlear implantation in two children with mutations in the OTOF gene. *Int J Pediatr Otorhinolaryngol* 2006;70:689–96.
  78. Roberta A Pagon TDB. OTOF-Related Deafness. 2008. Available at: <http://www.ncbi.nlm.nih.gov/books/NBK1251/?report=printable>. Accessed August 26, 2011.
  79. Pangršič T, Lasarow L, Reuter K, et al. Hearing requires otoferlin-dependent efficient replenishment of synaptic vesicles in hair cells. *Nat Neurosci* 2010;13:869–76.
  80. Johnson CP, Chapman ER. Otoferlin is a calcium sensor that directly regulates SNARE-mediated membrane fusion. *J Cell Biol* 2010;191:187–97.
  81. Ramakrishnan NA, Drescher MJ, Drescher DG. Direct interaction of otoferlin with syntaxin 1A, SNAP-25, and the L-type voltage-gated calcium channel Cav1.3. *J Biol Chem* 2009;284:1364–72.
  82. Beurg M, Michalski N, Safieddine S, et al. Control of exocytosis by synaptotagmins and otoferlin in auditory hair cells. *J Neurosci* 2010;30:13281–90.
  83. Reisinger E, Bresee C, Neef J, et al. Probing the functional equivalence of otoferlin and synaptotagmin 1 in exocytosis. *J Neurosci* 2011;31:4886.
  84. Fernández-Chacón R, Königstorfer A, Gerber SH, et al. Synaptotagmin I functions as a calcium regulator of release probability. *Nature* 2001;410:41–9.
  85. Schwander M, Sczaniecka A, Grillet N, et al. A forward genetics screen in mice identifies recessive deafness traits and reveals that pejvakin is essential for outer hair cell function. *J Neurosci* 2007;27:2163–75.
  86. Heidrych P, Zimmermann U, Kuhn S, et al. Otoferlin interacts with myosin VI: implications for maintenance of the basolateral synaptic structure of the inner hair cell. *Hum Mol Genet* 2009;18:2779–90.
  87. Heidrych P, Zimmermann U, Bress A, et al. Rab8b GTPase, a protein transport regulator, is an interacting partner of otoferlin, defective in a human autosomal recessive deafness form. *Hum Mol Genet* 2008;17:3814–21.
  88. Zak M, Pfister M, Blin N. The otoferlin interactome in neurosensory hair cells: significance for synaptic vesicle release and trans-Golgi network (Review). *Int J Mol Med* 2011;28:311–4.
  89. Henry WR, Mulroy MJ. Afferent synaptic changes in auditory hair cells during noise-induced temporary threshold shift. *Hear Res* 1995;84:81–90.
  90. Puel JL, Pujol R, Ladrech S, Eybalin M. Alpha-amino-3-hydroxy-5-methyl-4-isoxazole propionic acid electrophysiological and neurotoxic effects in the guinea-pig cochlea. *Neuroscience* 1991;45:63–72.
  91. Puel JL, Ruel J, Gervais d'Aldin C, Pujol R. Excitotoxicity and repair of cochlear synapses after noise-trauma induced hearing loss. *Neuroreport* 1998;9:2109–14.
  92. Stamatakis S, Francis HW, Lehar M, May BJ, Ryugo DK. Synaptic alterations at inner hair cells precede spiral ganglion cell loss in aging C57BL/6J mice. *Hear Res* 2006;221:104–18.
  93. Friedman RA, Van Laer L, Huentelman MJ, et al. GRM7 variants confer susceptibility to age-related hearing impairment. *Hum Mol Genet* 2009;18:785–96.
  94. Charizopoulou N, Lelli A, Schraders M, et al. Gipc3 mutations associated with audiogenic seizures and sensorineural hearing loss in mouse and human. *Nat Commun* 2011;2:201.
  95. Rehman AU, Gul K, Morell RJ, et al. Mutations of GIPC3 cause nonsyndromic hearing loss DFNB72 but not DFNB81 that also maps to chromosome 19p. *Hum Genet* 2011. doi:10.1007/s00439-011-1018-5.
  96. Chen Z, Peppi M, Kujawa SG, Sewell WF. Regulated expression of surface AMPA receptors reduces excitotoxicity in auditory neurons. *J Neurophysiol* 2009;102:1152–9.
  97. Ruel J, Nouvian R, Gervais d'Aldin C, Pujol R, Eybalin M, Puel JL. Dopamine inhibition of auditory nerve activity in the adult mammalian cochlea. *Eur J Neurosci* 2001;14:977–86.
  98. Chen Z, Kujawa SG, Sewell WF. Functional roles of high-affinity glutamate transporters in cochlear afferent synaptic transmission in the mouse. *J Neurophysiol* 2010;103:2581–6.
  99. Hakuba N, Koga K, Gyo K, Usami SI, Tanaka K. Exacerbation of noise-induced hearing loss in mice lacking the glutamate transporter GLAST. *J Neurosci* 2000;20:8750–3.
  100. Kujawa SG, Liberman MC. Adding insult to injury: cochlear nerve degeneration after 'temporary' noise-induced hearing loss. *J Neurosci* 2009;29:14077–85.
  101. Lin HW, Furman AC, Kujawa SG, Liberman MC. Primary neural degeneration in the guinea pig cochlea after reversible noise-induced threshold shift. *J Assoc Res Otolaryngol* 2011;12:605–16. doi:10.1007/s10162-011-0277-0.
  102. Pujol R, Puel JL. Excitotoxicity, synaptic repair, and functional recovery in the mammalian cochlea: a review of recent findings. *Ann N Y Acad Sci* 1999;884:249–54.
  103. Buran BN, Strenzke N, Neef A, Gundelfinger ED, Moser T, Liberman MC. Onset coding is degraded in auditory nerve fibers from mutant mice lacking synaptic ribbons. *J Neurosci* 2010;30:7587.