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Intima Media Thickness and Cognitive Function in Stroke-Free Middle-Aged Adults: Findings from the CARDIA study

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Abstract

Background and Purpose—The relationship between carotid artery intima media thickness (IMT) and cognitive function in midlife remains relatively unexplored. We examined the association between IMT and cognitive function in a middle-aged epidemiologic cohort of 2,747 stroke-free participants.

Methods—At the Year 20 visit (our study baseline), participants from the Coronary Artery Risk Development in Young Adults study had IMT measured by ultrasound at the common carotid artery. Five years later, participants completed a cognitive battery consisting of the Rey Auditory-Verbal Learning Test of verbal memory, the Digit Symbol Substitution Test of processing speed, and the Stroop test of executive function. We transformed cognitive scores into standardized z-scores, with negative values indicating worse performance.

Results—Mean age at baseline was 45.3 years (SD=3.6). Greater IMT (per 1SD difference of 0.12mm) was significantly associated with worse performance on all cognitive tests (z-scores) in unadjusted linear regression models (Verbal Memory=-0.16, 95% CI=-0.20 to -0.13; Processing Speed=-0.23, 95% CI=-0.27 to -0.19; and Executive Function=-0.17, 95% CI=-0.20 to -0.13). In models adjusted for socio-demographics and vascular risk factors that lie earlier in the causal

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pathway, greater IMT remained negatively associated with processing speed (-0.06,95%CI=-0.09 to -0.02; p=0.003) and borderline associated with executive function (-0.03, 95%CI=-0.07 to 0.00; p=0.07) but not with verbal memory.

Conclusions—We observed an association between greater IMT and worse processing speed – a key component of cognitive functioning- at middle-age above and beyond traditional vascular risk factors. Efforts targeted at preventing early stages of atherosclerosis may modify the course of cognitive aging.

Keywords

Cognition; Epidemiology; Intima Media Thickness; Risk Factors; Stroke-Free

INTRODUCTION

Carotid artery intima media thickness (IMT), a measure of subclinical vascular disease, has been associated with structural brain changes¹⁻³ and reduced cognitive function.³⁻¹¹ However, the majority of prior work on IMT and cognitive function has focused on samples with older adults and results have been inconsistent.³⁻¹¹ While it is becoming increasingly clear that cognitive impairment and dementia have a long preclinical period and that vascular risk factors are key determinants of cognitive function,¹²⁻²¹ evidence of whether there is an association between IMT and cognitive function earlier in the life course is yet to be determined.

Possible mechanisms of an association between atherosclerosis of the carotid artery and cognitive function include subclinical cerebral infarctions and associated structural brain changes,^{1,2,22} stroke and other cerebrovascular diseases^{23,24} which are in turn associated with reduced cognitive function.^{3,12,25} Therefore, studying the association between carotid artery IMT and cognitive function in subjects without clinically evident cerebrovascular disease (stroke) has important implications for early intervention.

We studied participants enrolled in the Coronary Artery Risk Development in Young Adults (CARDIA) study. Our goal was to investigate the association between carotid artery IMT and cognitive function in a cohort of middle-aged adults who were stroke-free. We hypothesized that greater IMT is associated with worse cognitive performance, above and beyond traditional vascular risk factors.

METHODS

Study population

The CARDIA study is an ongoing prospective cohort study in which participants were aged 18 to 30 years old at baseline in 1985-86. A detailed description of the CARDIA study design and recruitment has been described elsewhere.²⁶ In brief, participants were recruited from 4 field centers: : the University of Alabama at Birmingham (Birmingham, AL), the University of Minnesota (Minneapolis, MN), Northwestern University (Chicago, IL), and Kaiser Permanente (Oakland, CA). Recruitment was conducted by telephone or door-to-door in areas where telephone recruitment was not possible. Those recruited were then

screened for eligibility, and then invited to participate in the study. All 4 filed centers followed the same eligibility criteria regarding age, race, residence in the target areas, and health and medical status (participants had to be free of long-term disease or disability). A total of 5,115 black and white adult participants were recruited from the 4 field centers and recruitment was balanced within center by sex, age and education and included 52% blacks and 48% whites. Participants were asked to participate at baseline and at follow-up examinations spanning 25 years. In the present analysis we focus on carotid artery IMT which we measured at year 20 and on cognitive function which we assessed five years later. We obtained appropriate informed consent from all study participants, and the study was approved by the institutional review boards from each field center and the coordinating center. The present analysis was also approved by the Publications & Presentations committee of the CARDIA study.

Of the 3,498 subjects who participated in the study examination at year 25 (72% of the surviving cohort), we excluded 113 subjects with missing cognitive test battery, 582 participants with missing carotid ultrasound, 8 subjects with prevalent adjudicated stroke, and 177 subjects with incomplete risk factor data. Our analytical sample included a total of 2,618 participants.

Carotid Artery IMT

At year 20, we performed ultrasound studies for all CARDIA participants at end diastole according to a standard protocol followed across all four field centers. Sonographers who performed the studies were certified and trained centrally at an ultrasound reading center. We used GE-Logiq-700 (Issaquoah) at all filed centers and used a high-resolution transducer operating at a frequency of 13 MHz to image the common carotid artery (CCA) in a real-time imaging sequence. The images were then manually read and analyzed at the central ultrasound reading center and the readers were blinded to the participant's characteristics. Further details can be found in the carotid ultrasound manual.²⁷ Our predictor of interest is IMT of the CCA. We defined maximum IMT as the mean, in mm, of the maximal IMT of the near and far wall on both the left and right sides. Based on 58 replicate studies, the Pearson correlation coefficients were 0.86 for the CCA IMT.

At year 20, we also assessed stenosis according to visual examination separately for the right and left carotid artery. We defined the presence of stenosis as the development of sufficient atherosclerotic plaque of any type resulting in any narrowing of the lumen at any arterial segment (almost all are 1% to 25% narrowing). We used the measure of stenosis in a sensitivity analysis which we describe later.

Cognitive Function

At year 25, we administered to all CARDIA participants a cognitive battery that included 3 cognitive tests in an attempt to characterize cognitive performance at midlife. The delayed verbal memory test on the *Rey Auditory-Verbal Learning Test* (RAVLT, range 0 to 15) assesses the ability to memorize and retrieve words, with higher score (in words) indicating better performance.²⁸ The *Digit Symbol Substitution Test* (DSST, range 0 to 133) is a subtest of the Wechsler Adult Intelligence Scale and measures performance on speed domains, with

higher score (in symbols) indicating better performance.²⁹ The interference score on the *Stroop* (executive skills) measures the additional amount of processing needed to respond to one stimulus while suppressing another, with higher score (in seconds+errors) indicating worse executive performance.³⁰ For ease of interpretation, we transformed all cognitive test scores into standardized z scores, with positive values indicating better performance and negative values indicating worse performance.

Other Covariates

CARDIA participants reported their years of education completed which we categorized into completed high school (HS) or less vs. more than HS. We classified cigarette smoking as never smoked, former smoker, and current smoker, and we calculated daily alcohol use in mL based on an interviewer-administered questionnaire. Participants reported the amount of time per week spent in 13 categories of physical activity over the past year, and then we calculated the total amount in exercise units which we then categorized into tertiles of low, moderate and high intensity. We measured body weight using a calibrated scale and measured height with a vertical ruler. We calculated body mass index (BMI) as weight in kilograms divided by height in meters squared (kg/meters²). We measured blood pressure while seated using a standard automated blood pressure measurement monitor (OmROn model HEM907XL; Omron). We ascertained hypertension based on systolic blood pressure

140 mm Hg, diastolic blood pressure 90 mm Hg, or use of antihypertensive medications. We ascertained type 2 diabetes based on fasting glucose levels 126 mg/dl, self-report of oral hypoglycemic medications or insulin, a 2-hour postload glucose 200 mg/dl, or a glycated hemoglobin A_{1c} 6.5%. We measured plasma total cholesterol concentrations enzymatically at Northwest Lipids Research Laboratory (Seattle, WA). We assessed kidney function using eGFR (estimated Glomerular Filtration Rate) cystatin C using the equation $eGFR_{cys} = 76.7x$ cystatin $C^{-1.19}$.³¹ We assessed symptoms of depression using the 20-item Center for Epidemiologic Studies Depression Scale (CES-D; range 0 to 60)³² and then classified participants as having elevated depressive symptoms when CES-D score was greater or equal to 16.

Statistical analysis

We compared mean IMT across categories of baseline characteristics using analysis of variance and estimated the increase of average IMT associated with 1 standard deviation (SD) difference for continuous variables. We used multivariable linear regression models to examine the associations between IMT and performance on each of the three cognitive tests. We modeled IMT as continuous, and we presented the results for 1 standard deviation increase in IMT. In model assessment, we checked for modification of the effects of IMT by sex, and race/ethnicity, using interaction terms. No sex-based or racial/ethnic-based differences were present. We presented the unadjusted model then adjusted for age, sex, race and education as potential confounders, then additionally adjusted for factors that lie earlier in the causal pathway including smoking, physical activity, depressive symptoms, body mass index, type-2 diabetes, hypertension, antihypertension medication use, and kidney function. We included covariates in the adjusted models based on their associations with year 25 cognitive function and year 20 common carotid artery IMT. We assessed potential confounders at year 20. We illustrated the association between IMT and performance on the

three cognitive tests by presenting the average predicted cognitive scores (z scores) at two specific values of IMT, from fitted linear regression models.

We performed two sensitivity analyses. First, we examined the associations between IMT at other regions of the carotid artery (the internal carotid artery (ICA) and bulb/ICA) and cognitive function. Second, we examined the associations between IMT and cognitive function after excluding subjects with subclinical disease, i.e. visible stenosis (n=458). All analyses were performed using Stata version 12.

RESULTS

Compared with included participants, excluded CARDIA participants at year 25 had larger percentages of black individuals (57% vs. 44%), larger percentages of individuals with less than high school education (35% vs. 20%), and larger percentages of individuals with baseline elevated depressive symptoms (22% vs. 16%). Excluded individuals had slightly higher IMT than included individuals (mean [SD], 0.81 [0.01] vs. 0.80 [0.002]). Other baseline demographic, behavioral and cardiometabolic risk factors did not significantly differ between included and excluded CARDIA participants.

In Table 1, we present the baseline characteristics of our study sample. The mean age of our participants at baseline was 45.3 years old (SD=3.6). A total of 37.5% of the participants were obese (BMI 30 kg/m²), 36.4% had hypertension, and 13.6% had type-2 diabetes. Participants had a mean IMT of 0.80 mm (SD=0.12, range 0.5mm to 1.8mm, Figure 1). Year 25 mean cognitive scores were 8.5 (SD=3.2) for verbal memory, 70.9 (SD=15.8) for processing speed, and 22.1 (SD=10.6) for executive function (Table 2). Results in Table 3 show that being male, black, less educated, a smoker and having co-morbidities such as a BMI 30kg/m², hypertension and type-2 diabetes were associated with having greater IMT.

In Table 4, we present the results of linear regression models of the associations between IMT and performance on the three cognitive tests. In unadjusted models, greater IMT (per 1 SD difference of 0.12 mm) was significantly associated with worse performance on all 3 cognitive tests (z scores) (Verbal Memory=-0.17, 95%CI=-0.20 to -0.13; Processing Speed=-0.23, 95% CI=-0.27 to -0.19; and Executive Function=-0.17, 95% CI=-0.20 to -0.13). In models adjusted for potential confounders, including age, sex, race, and education, greater IMT remained negatively associated with processing speed and executive function (Processing Speed=-0.08, 95% CI=-0.11 to -0.04; and Executive Function=-0.05, 95%CI= -0.10 to -0.02) but not with verbal memory. In other words, with every one SD increase in IMT, subjects significantly scored 1.2 points (symbols) lower on the test of processing speed and about 0.5 seconds+errors more to respond to the executive function task. Additional adjustment for behavioral risk factors, including smoking, physical activity, and elevated depressive symptoms, attenuated the associations but greater IMT remained significantly associated with processing speed and executive function (Processing Speed= -0.07, 95%CI=-0.10 to -0.03; Executive function=-0.05, 95%CI=-0.09 to -0.01). Finally, additional adjustment for cardiometabolic risk factors that lie earlier in the causal pathway further attenuated the associations, but greater IMT remained negatively associated with processing speed and borderline associated with executive function (Processing Speed=

We present the average predicted cognitive z-scores [raw scores] on all three cognitive tests at select values of IMT (IMT of 0.68 corresponding to mean IMT – 1SD; and IMT of 0.92 corresponding to mean IMT + 1SD) from fitted linear regression models adjusted for age, sex, race and education (Figure 2). For a black male who completed high school education or less and with mean value of age, the average predicted score on verbal memory was -0.82 (95%CI= -0.93 to -0.72) [or 5.6 points; 95%CI=5.3 to 5.9] at an IMT of 1SD below the mean and was -0.87 (95%CI=-0.96 to -0.78) [or 5.5 points; 95%CI=5.2 to 5.8] at an IMT of 1SD above the mean (P=0.2). The average predicted score on processing speed was -0.90 (95%CI=-1.01 to -0.80) [or 55.3 points; 95%CI=53.6 to 57.0] at an IMT of 1SD below the mean and was -1.05 (95%CI=-1.14 to -0.97) [or 52.9 points; 95%CI=51.5 to 54.3] at an IMT of 1SD above the mean (P<0.001). And the average predicted score on executive function was -0.59 (95%CI=-0.70 to -0.49) [or 29.3 points; 95%CI=28.1 to 30.4] at an IMT of 1SD below the mean and was -0.70 (95%CI=-0.79 to -0.61) [or 30.4 points; 95%CI=29.4 to 31.4] at an IMT of 1SD above the mean (P<0.01).

In sensitivity analysis, we did not find significant associations between IMT at other regions of the carotid artery (bulb/ICA and ICA) and cognitive function. In another sensitivity analysis where we excluded subjects with subclinical disease (i.e. visible stenosis, n=458), the association between IMT and processing speed remained significant (P<0.01).

DISCUSSION

This is the first study to examine the association between IMT and cognitive function in a cohort of middle-aged adults who were free of stroke. In models adjusted for potential confounders, including age, race and sex, we found that greater IMT was significantly associated with reduced cognitive performance on domains of processing speed and executive function which are key components of cognitive functioning. These associations, especially IMT with processing speed, were independent of sociodemographic and traditional vascular risk factors, therefore suggesting that IMT may provide additional information in predicting cognitive function independent of these factors. Finally, given our relatively young cohort, our findings underscore the importance of early identification and intervention to delay or prevent atherosclerosis before its clinical manifestation as a way to possibly improve cognitive function.

Our results are supported by several studies including primarily older adults. For example, our results were consistent with the findings from the Beaver Dam study⁷ which found a significant association between carotid IMT and executive function as measured by the Trail Making B test, from regression models adjusted for socio-demographic and behavioral risk factors. Our results were also consistent with the findings from several studies showing a null association between IMT and verbal memory.³⁻⁷ For example, in the Framingham Offspring Study of stroke-free subjects,³ higher CCA-IMT was not associated with performance on verbal memory tests such as the immediate and delayed verbal recall tests. Similarly, in the Tromso Study of stroke-free subjects from Norway,⁶ CCA-IMT was not

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associated with verbal memory. Finally, our results showed an association between IMT and processing speed – this finding was however inconsistent with the findings from previous studies including primarily older adults such as the ARIC study (Atherosclerosis Risk in Communities study),⁴ the Tromso Study of stroke-free subjects from Norway,⁶ and the Beaver Dam study.⁷ The latter studies used a measure of IMT averaged across all regions of the carotid artery which may have obscured potential significant associations at individual regions.

Our results show a significant association between IMT and processing speed but not verbal memory. This domain-specific association between IMT and cognitive function is not surprising as vascular risk factors influence to a greater extent performance on processing speed, and are not necessarily related to memory.⁹ Our findings were independent of sociodemographic and vascular risk factors of cognitive function, such as smoking, physical activity, BMI, depressive symptoms, type-2 diabetes, hypertension, and kidney function thus suggesting that IMT may predict cognitive function, processing speed in particular, in middle-aged adults independent of these risk factors that lie earlier in the causal pathway. While the association between IMT and verbal memory was not statistically significant even in socio-demographic adjusted models, the association between IMT and executive function was strongly significant and became borderline significant only after adjustment for cardiometabolic risk factors, kidney function in particular. In our study, kidney function was strongly associated with both IMT and cognitive function. Future studies need to address the role of kidney function in the associations between subclinical cardiovascular disease and cognitive function.

Our results show significant associations between IMT of the common carotid artery and cognitive function, but not with other regions of the carotid artery. This is not surprising as compared to the IMT of other regions, IMT of the common carotid artery is suggested to be more strongly associated with blood pressure and stroke,³³ which are in turn important risk factors for reduced cognitive function and dementia,^{12,25,34} and less strongly associated with lipids. In other words, our findings suggest that the relationship between IMT and cognitive function is driven by hypertensive-like changes. While hypertension is a manifestation of loss of vascular integrity and a precursor for atherosclerosis, the latter has been shown to be present even in the early development of hypertension when blood pressure is slightly elevated.³⁵ In our study, a total of 6.6% of the participants had non-optimal DBP (90 mmHg). When we adjusted for blood pressure instead of hypertension, the associations were only slightly attenuated.

Our findings are based on a cohort of middle-aged adults who were stroke-free at baseline, and remained relatively similar after excluding subjects with subclinical disease (i.e. obvious plaque deposition). As such, our findings provide support for an early cardiovascular prevention and suggest that IMT developing in an earlier phase of the atherosclerotic process may provide a window of time during which appropriate interventions may delay or prevent cognitive deterioration. This is important as there exist potential therapies, such as statins, that have been shown to slow down the process of atherosclerosis in its subclinical stages.^{36,37}

Our work has major strengths that are worth noting. While previous studies included mostly older adults and thus had an average mean age of 60 years and above, our study was the first to examine the association between IMT and cognitive function strictly at midlife (mean age of 45 years) and thus sheds light on potential mechanisms occurring earlier in the life course. Furthermore, while the effect sizes are relatively small, they are clinically meaningful in light of the relatively younger cohort. The strengths of the associations, especially for processing speed, and statistical significance which persists beyond traditional cognitive risk factors that lie earlier in the causal pathway, is particularly notable considering mean age in our cohort was around 45 years old. Furthermore, while prior work^{4,6,7} focused primarily on an overall measure of IMT averaged across all regions of the carotid artery, we examined separately IMT at the different regions of the carotid artery. Previous studies³⁻⁸ were either based on international cohorts or on US samples of relatively small size, however our findings were derived from a large US population-based cohort (N=2,618) which gave us enough power to detect clinically significant associations. Finally, our study included validated measures of cognitive performance across several domains thus providing rich findings. However, we did not have measures of cognitive function at baseline when IMT was assessed; as such, we could not assess change in function. Furthermore, given that cognitive function was not assessed until the last study visit, our sample size was smaller than the original cohort. Finally, our findings are based on an observational study and there may be residual confounding.

SUMMARY/CONCLUSIONS

In conclusion, our study supports a role for early-life IMT development in influencing key components of cognitive function at midlife, including processing speed, independent of traditional vascular risk factors. Future studies are needed to examine whether early atherosclerotic development is associated with longitudinal cognitive change and whether intervening on the atherosclerotic process may indeed prevent or delay cognitive deterioration.

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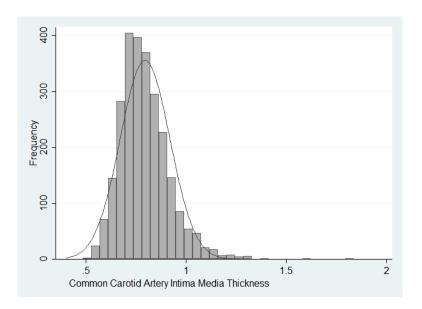
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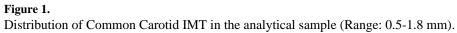
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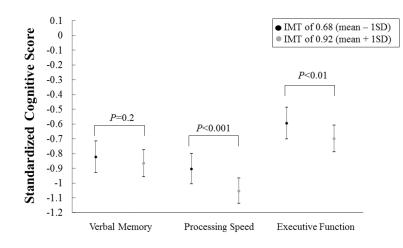


Figure 2.

Average predicted* standardized cognitive scores at each of the following IMT levels (mean +/- 1SD), from age, sex, race and education-adjusted linear regression models. *Predicted for a black male who completed high school education or less, and with mean value of age (45 years old).

Distribution of sample characteristics available to this analysis (n=2,618)

Characteristic				
Socio-demographics				
Age (years), mean (SD)	45.3 (3.6)			
Male, n (%)	1,125 (42.9)			
Blacks, n (%)	1,138 (43.5)			
High School, n (%)	533 (20.4)			
Traditional risk factors for cardiovascular disease				
Cigarette smoking, n (%)				
Non-smoker	1,639 (62.6)			
Former smoker	525 (20.1)			
Current smoker	454 (17.3)			
Physical activity (exercise units), mean (SD)				
Low PA (Tertile 1)	82.7 (52.1)			
Moderate PA (Tertile 2)	281.8 (63.9)			
High PA (Tertile 3)	650.6 (220.2)			
Body Mass Index 30 Kg/m ² , n (%)	971 (37.1)			
Hypertension, n (%)	950 (36.3)			
Type-2 diabetes, n (%)	355 (13.6)			
Elevated depressive symptoms, n (%)	423 (16.2)			
$eGFR_{cys}$ (per 18 ml/min/1.73 m ²), mean (SD)	100.8 (18.4)			
Anti-hypertensive medication use, n (%)	425 (16.2)			
Ultrasound measures				
CCA-IMT (mm), mean (SD)	0.80 (0.12)			

 $eGFR_{cys}$, cystatin C-based estimated glomerular filtration rate

Cognitive Test Scores at Year 25

	Ν	Mean (SD)	Range
Verbal Memory (Rey Auditory Verbal Learning Test)	2601	8.5 (3.2)	0 – 15
Processing Speed (Digit Symbol SubstitutionTest)	2606	70.9 (15.8)	8 – 119
Executive Function (Stroop Interference)	2598	22.1 (10.6)	-46 - 127

Bivariate associations between variables and common carotid artery (CCA) IMT

	CCA IMT Range (0.5mm; 1.8mm)	
Bivariate associations for dichotomous variables	Respective mean values of CCA-IMT (mm)	P-value
Sex (male/female)	0.82/0.77	< 0.01
Race (black/white)	0.83/0.77	< 0.01
Education (HS/>HS)	0.82/0.79	< 0.01
Current smoker (Y/N)	0.82/0.79	< 0.01
Low physical activity (Y/N)	0.80/0.79	0.05
Body Mass Index 30 Kg/m ²	0.83/0.77	< 0.01
Hypertension (Y/N)	0.83/0.77	< 0.01
Diabetes (Y/N)	0.84/0.79	< 0.01
Elevated depressive symptoms (Y/N)	0.80/0.79	0.20
Anti-hypertensive medication use (Y/N)	0.85/0.78	< 0.01
Bivariate associations for continuous variables	Increase in average CCA- IMT (SE)*	P-value
Age (per 4 years)	0.03 (0.002)	< 0.01
eGFR _{cys} (per 18 ml/min/1.73 m ²)	-0.02 (0.002)	< 0.01

 $eGFR_{cys}$, cystatin C-based estimated glomerular filtration rate

Adjusted association of 1 standard deviation increase in common carotid artery IMT and cognitive function Among CARDIA Participants

	Standardized Difference in Mean Score (95%CI)		
	Verbal Memory	Processing Speed	Executive Function
Unadjusted	$-0.16 (-0.20; -0.13)^{\dagger}$	$-0.23 (-0.27; -0.19)^{\dagger}$	$-0.17~(-0.20;-0.13)^{\dagger}$
+ Sociodemographic factors	-0.02 (-0.06; 0.02)	$-0.08~(-0.11;~-0.04)^{\dagger}$	-0.05 (-0.10; -0.02) /
+ Behavioral risk factors	-0.02 (-0.06; 0.02)	-0.07 (-0.10; -0.03)†	-0.05 (-0.09; -0.01)≠
+ Cardiometabolic risk factors	-0.02 (-0.05; 0.02)	-0.06 (-0.09; -0.02)#	$-0.03 \ (-0.07; \ 0.00)^{\circle{8}}$

Sociodemographic factors include age, sex, race, and education; Behavioral risk factors include smoking, physical activity, and elevated depressive symptoms; and Cardiometabolic risk factors include Body Mass Index, type-2 diabetes, hypertension, cystatin C-based estimated glomerular filtration rate (eGFR_{CyS}), and antihypertensive medication use.

* 1SD common carotid IMT= 0.124mm.

 $^{\dagger}P < 0.001$

 $^{\ddagger}P < 0.01$

 $\$_{P < 0.10}$