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Diffusive transport and reaction in clay rocks: A storage (nuclear waste, CO₂, H₂), energy (shale gas) and water quality issue

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Highlights

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Natural tracer profiles through clay rock, interpreted by paleo-hydrological modeling, can constrain rates of diffusion over geologic timescales

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No combination of imaging, scattering or other approach has yet identified all types of [shale porosity](#) and their interconnection

-

Accurate prediction of [solute transport](#) must consider transport and reactions with mineral and organic phases

-

Carbonate minerals strongly affect [pore water](#) chemistry, porosity evolution, and the retardation of solutes

-

Interdisciplinary meetings and collaborations can accelerate progress for all communities studying clay rocks

Abstract

Clay rocks are low permeability sedimentary formations that provide records of Earth history, influence the quality of water resources, and that are increasingly used for the extraction or storage of energy resources and the sequestration of waste materials. Informed use of clay rock formations to achieve low-carbon or carbon-free energy goals requires the ability to predict the rates of diffusive transport processes for chemically diverse dissolved and gaseous species over periods up to thousands of years. We survey the composition, properties and uses of clay rock and summarize fundamental science challenges in developing confident conceptual and quantitative gas and solute transport models.

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Keywords

Shale

Clay

Carbon sequestration

Gas storage

1. Introduction

Clay-rich (argillaceous) rocks, including [marls](#), [shale](#), [mudstone](#), [argillite](#) and [claystone](#) (herein referred to as clay rocks), are sedimentary formations with a very low permeability for [fluid flow](#). The low permeability of clay rock is a consequence of the nanoscale [porosity](#) of consolidated [fine-grained sediments](#) and may also be due to the loss of pore connectivity during diagenetic or [metamorphic rock](#) reactions. In addition, when two poorly miscible fluids such as water and oil are present within a clay rock, the high [capillary](#) pressure at narrow pore throats can shut down the mobility of the non-wetting phase.

In the absence of significant fluid flow, diffusive transport mechanisms dominate. There is abundant evidence that the rate at which [marine sediments](#) attain geochemical equilibrium with an adjacent [aquifer](#) subjected to freshwater recharge, or the drop off in the productivity of a shale gas reservoir, are governed by very slow rates of diffusion through nanoporous clay rock. Understanding the pathways and mechanisms of solute and [gas diffusion](#) through clay rock is a critical objective for managing and utilizing the resources offered by these formations. In [Section 1](#), we survey the uses of clay rock and the importance of understanding diffusive transport.

Macroscopic modeling to understand or anticipate long-term processes such as the geologic evolution of a formation or the shale gas production of a reservoir requires verified models of [transport processes](#). These models will be improved by the development of more accurate descriptions of transport pathways and mechanisms, which remains very challenging for clay rock. In [Section 2](#), we describe typical composition and structure of clay rock and devote considerable space to reviewing state-of-the-art approaches that are beginning to resolve the forms and connectivity of nanoscale pore networks.

Current models of diffusive transport average over all the molecular-scale interactions and processes that influence solute and [gas transport](#). For example, the exclusion

of [anions](#) from pores and [interlayers](#) formed by negatively charged [clay mineral](#) surfaces is typically accounted for by species-dependent geometric factors. Moreover, accounting for gas [sorption](#) by organic matter and clay minerals is an essential component for predicting gas capacity and transport, but is typically empirically determined for a given clay rock. Improved conceptual and quantitative models for gas and solute interactions with clay rock components will lead to more reliable connections between clay rock composition, structure and transport. In [Section 3](#) we provide simplified descriptions of diffusive solute and gas transport and summarize efforts to link molecular and macroscale dynamics. In [Section 4](#) we show how our current models of [solute transport](#) through clay rock can quantify millennial scale diffusive fluxes of tracers through sedimentary formations that are candidate locations for the storage of [nuclear waste](#). The data and modeling provide compelling evidence for the exceptional sealing ability of these formations. Virtually all uses of clay rock formations rely on their ability to prevent the transport of chemically [reactive species](#), not only tracer ions. [Carbon dioxide](#), hydrogen gas and redox sensitive elements can participate in diverse sorption, reaction, precipitation and dissolution processes that can significantly alter their mobility and in some cases can threaten the integrity of the clay rock. A major current challenge for the informed and sustainable use of clay rock systems is to develop improved understanding and models for diffusion coupled with reaction. In [Section 5](#) we survey knowledge about reaction and retardation processes for a range of important species. This review cannot be a complete survey of clay rock processes but seeks to introduce common challenges and state-of-the-art approaches for a range of scientific communities.

1.1. The contribution of Garrison Sposito

Although the complexity of clay rock provides a severe challenge for mechanistic descriptions, the prolific career of Gary Sposito exemplifies the approaches required for progress. He has conducted work at both the molecular and macroscopic levels, careful to differentiate mechanisms obtained at different timescales by different spectroscopic studies (see [Sposito and Prost, 1982](#)). Among hundreds of publications, many have provided key insight to processes controlling diffusion in clay rocks. He has pioneered studies of the structure and dynamics of water and ions in clays ([Greathouse et al., 2000](#); [Sposito et al., 1999](#); [Bourg and Sposito, 2010](#)), and described mechanisms of ion and gas sorption onto [clay minerals and organic](#) matter ([Baryosef et al., 1988](#); [Park and Sposito, 2003](#)). And he has reviewed, conceptualized and synthesized relevant work

producing now standard textbooks in clay and [soil science](#)([Sposito, 1984](#), [Sposito, 1994](#)).

1.2. Uses of clay rock

1.2.1. Radioactive waste storage

Storage of [radioactive waste](#) in deep geological formations is generally reserved for medium to high activities (quantities) of long-half-life [radioisotopes](#). The vast majority of these [waste types](#) are generated by the nuclear fuel cycle, principally in the form of [spent fuel](#) and a variety of other waste types issuing from the reprocessing cycle including vitrified and bituminous waste. The safe and permanent geological storage of nuclear waste requires the waste to be stored in a storage complex that has low water permeability, reducing environment, high heat conductivity and self-sealing properties in order to attenuate solute and gas transport. Deep geological disposal concepts are all based on placing the waste packages in specifically engineered facilities located deep underground (below 200 m depth) in carefully chosen geological contexts.

The clay rock formations currently under investigation as potential host formations for deep underground disposal facilities for radioactive waste include Boom Clay (Belgium and The Netherlands), [Ypresian](#) clay (Belgium), Boda Claystone (Hungary), Opalinus Clay (Switzerland), Callovo–Oxfordian argillite (“COx”, France), [Ordovician](#) Cobourg argillaceous [limestone](#) (Canada) and [diatomaceous](#) mudstone at Horonobe (Japan). In such low permeability clay-rich rocks, solute migration will be mainly controlled by diffusion and sorption processes. The understanding of the migration processes and the determination of transport parameters for weakly or non-sorbing anionic [radionuclides](#) are of critical importance for the [performance assessment](#) of a deep geological repository ([Al et al., 2011](#); [Bock et al., 2010](#)).

Gas transport processes are also critical for safe nuclear waste storage ([Rodwell, 2003](#); [Shaw, 2013](#)). Mechanisms leading to the formation of gas include the anaerobic [corrosion](#) of metals (forming H_2), the degradation of organic matter (CH_4 , CO_2), radiolysis (H_2 , O_2 , CO_2 , CH_4), steam generation near a spent fuel canister, [helium](#) production due to [alpha decay](#) and the release of radioactive gases (e.g. Rn). Gas generation may continue for long periods of time leading to a risk of overpressurizing and fracturing the surrounding clay rock unless it diffuses away, reacts with or sorbs on clay rock constituents ([Rodwell, 2003](#)).

1.2.2. Shale gas exploitation

In conventional [fossil fuel](#) reserves, an impermeable [cap rock](#) confines a [hydrocarbon](#) within a higher [permeability reservoir](#). Nanoporous shales can themselves be long-term traps for oil and natural gas, but extraction from such unconventional resources was impractical until recently. Technological progress, in particular the combination of [horizontal drilling](#) with multiple stages of hydraulic fracturing, has enabled the dramatic development of unconventional [hydrocarbon resources](#) in the US ([Arthur and Cole, 2014](#)). [Methane](#) in shales is released by fracturing with pressurized water to create pathways for [advective transport](#) and using chemicals and sand to preserve fracture permeability (see, e.g., [Gregory et al., 2011](#)). The production of methane from fracked reservoirs follows a typical curve that can be modeled using direct numerical simulation of gas flow through experimentally derived shale models ([Silin and Kneafsey, 2012](#)) or semi-empirical theories of molecular transport in fractured nanoporous shale ([Patzek et al., 2013](#)). However, despite success by energy companies to identify geologic features of high yield shale plays and optimal extraction methods, we lack a complete fundamental understanding of the links between shale composition, structure and transport processes. This lack of understanding has direct [environmental consequences](#). First, the very low efficiency of shale gas extraction has led to high drilling intensity with attendant risks of environmental impacts from surface operations and increased potential for well casing failure ([Vidic et al., 2013](#); [Conley et al., 2016](#)). Second, the management of water is critically important. While much fracking fluid is recovered, permanent water loss within a reservoir and salt or contaminant extraction can prevent reuse. Improved control of the release of contaminants within the formation, including [naturally occurring radioactive material](#) (NORM), such as [radium](#) and [radon](#), and toxic elements, such as [barium](#) or [selenium](#), would lessen the risks from flowback waters. Finally, shale gas extraction may release associated hydrocarbons such as [ethane](#) ([Kort et al., 2016](#)).

1.2.3. Geologic carbon storage

Geologic [carbon storage](#) (GCS) is identified by the IPCC as a necessary and urgent approach for lowering anthropogenic emissions of CO₂ to the atmosphere ([Bennaceur et al., 2008](#); [Benson et al., 2005](#)). High permeability saline aquifers or depleted oil and [gas reservoirs](#) overlain by low permeability sealing strata have a proven ability to host fluids over long timescales and recent trials at GCS sites have demonstrated the trapping of buoyant plumes of supercritical CO₂ ([Michael et al., 2010](#); [Edlmann et al., 2015](#); [White et al., 2014](#)). The so-called stratigraphic trapping properties of caprock can be achieved by several types of low-permeability lithographies ([Griffith et al., 2011](#))

including the fine-grain and carbonate-cemented [sandstone](#) at the depleted oil reservoir at Cranfield, Illinois (USA) ([Lu et al., 2013](#)). However, laboratory and field studies suggest clay rock may have superior cap rock properties. Clay-rock reactions with low-pH carbonated brines are less likely to open new flow pathways (e.g., through carbonate dissolution) and clay rock is more likely to self-seal should CO₂ injection pressures lead to the opening of new or pre-existing fractures ([Song and Zhang, 2013](#)). Clay rock also forms a barrier for the transport of contaminants mobilized by CO₂ injection. At the Eau Claire, Illinois, an active GCS site ([Shao et al., 2014](#)), and at Green River, Utah (USA), a geologic analog for sequestered CO₂ ([Kampman et al., 2014](#)), low-pH brines in the aquifer dissolved mineral phases such as [iron oxides](#) mobilizing incorporated or adsorbed contaminant ions such as selenite.

1.2.4. Hydrogen storage or release

A critical barrier for the greater penetration of renewables into electricity markets is the difficulty of storing energy from intermittent sources over long (multi-day and seasonal) time scales. The generation of hydrogen (H₂) by the [electrolysis](#) of water is a demonstrated approach for the utilization of excess electricity from renewables. In several European cities, H₂ from [wind farms](#) is added to the natural gas distribution network. However, if the H₂ can be stored, subsequent [recombination](#) with O₂ in air using a [fuel cell](#) will generate electricity that can be returned to the grid ([Carden and Paterson, 1979](#)). Commercial underground hydrogen storage (UHS) in salt caverns has been implemented in the US and UK for decades ([Stone et al., 2009](#)). Alternative geological formations expected to be suitable for UHS include brine aquifers and depleted [natural gas reservoirs](#) ([Lord et al., 2011](#)). There is growing evidence that H₂ could be effectively trapped by low permeability clay rock, increasing the potential [geographic locations](#) for UHS ([Oladyshkin and Panfilov, 2011](#)). The requirements for a H₂ storage caprock are thus clearly different from clay-rich barriers proposed for radioactive waste sites that must prevent radionuclide migration while allowing repository gases to escape.

1.2.5. Shale weathering

Shale weathering comprises a network of biogeochemical reactions that is driven by the exposure of reduced, organic-rich sedimentary clay rocks to O₂ and CO₂ ([Brantley et al., 2013](#)). Shale reactions may account for up to 40% of the global annual consumption of CO₂ through weathering of [silicate](#) and carbonate minerals ([Suchet et al., 2003](#)). Shale weathering causes [coastal erosion](#), particularly around the UK and the arctic ocean, and

can cause a significant input of contaminants, nutrients and [salinity](#) into [terrestrial ecosystems](#). Clay rocks typically contain a range of minority elements or fluids that can negatively affect ecosystems if they are released through natural weathering processes or human activities. For example, the Mancos shale in the US has been identified as a source of contaminant metals and radionuclides including selenium and [uranium](#) ([Morrison et al., 2012](#)). Clay rocks can be reservoirs of geologic nitrogen including [nitrate](#), [ammonium](#) stored in [smectite](#) interlayers and [organic nitrogen compounds](#) that could perturb the [primary productivity](#) of nitrogen-limited systems ([Strathouse et al., 1980](#)).

1.3. Geological significance of clay rock

1.3.1. Records of Earth's evolutionary history

Shale beds have preserved a remarkable range of fossils including not only hard body parts but soft tissues, feathers, prints, and burrows. The cultural impact of these fossils, and their interpretation in terms of the history of life on Earth has been profound, including inspiring Darwin's theory of evolution. The accurate interpretation of large fossil skeletons began with Mary Anning along the coast of Lyme Regis (UK). Her discovery and re-assembly of a complete skeleton of an ichthyosaurus overturned the prevailing view that species were permanent and did not go extinct. Clay-rich sediments have preserved remarkable creatures from the [Cambrian](#) explosion at the Burgess Shale (Canada) ([Morris, 1989](#)) and feathered avians found in the Huajiyang Formation (China) ([Tian et al., 2004](#); [Wang et al., 2015](#)). Clay-rich sediments at Happisburgh, on the East coast of the UK, preserved the oldest known hominin footprints outside Africa at 0.78–1 Ma ([Ashton et al., 2014](#)) informing our understanding of the colonization of Europe by human ancestors. All activities that access and manipulate surface and subsurface clay rock have the potential for making new discoveries about Earth history or destroying them forever. Properly coordinated subsurface research activities could facilitate access to new records of life on this planet. Knowledge of biological inputs and geologic processing has long been key for assessing potential oil and gas resources and we anticipate that similar perspectives will be required for all uses of clay rock. Because inorganic and organic fossils can significantly affect the porosity, geomechanics and reactivity of sedimentary rocks, explicit consideration of the biological inputs of clay rock will inform their planned uses.

1.3.2. Clay rock paleoredox trace element proxies

Clay rock can contain many [trace elements](#) in both organic and mineral phases that can provide valuable signatures of the origin and history of the sedimentary formation and that affect the use of clay rocks as a subsurface resource. For example, metals such as uranium, [molybdenum](#) and selenium are paleoredox indicators, as they are insoluble in their reduced oxidation state. Patterns of selenium isotopes may record cycles of oxidation and reductive processes, shedding light into past biogeochemical regimes ([Mitchell et al., 2012](#); [von Strandmann et al., 2015](#)). Another paleoindicator is the presence of large amounts of Ba²⁺ in Marcellus formation clay interlayers ([Chermak and Schreiber, 2014](#)). These clays sedimented while the Atlantic Ocean was opening and was still anoxic. As a consequence, inorganic [sulfur](#) was not yet oxidized as [sulfate ions](#), as in modern ocean, allowing significant concentrations of barium ions to build up, and to be adsorbed on clays as exchangeable [cations](#).

2. Clay rock composition and mechanical and hydraulic properties

Clay rocks originate from the deposition of argillaceous sediments in an aqueous (generally marine) environment. The Black Sea is a contemporary analogue of the environment in which carbonaceous [shales](#) and [petroleum](#) source beds formed ([Damste et al., 1993](#)). Burial leads to the expulsion of the [interstitial water](#), a strong decrease of [porosity](#) and increase in [anisotropy](#) ([Berner, 1980](#)). During the [consolidation](#) process, diagenetic processes, including the formation of minerals (carbonates, sulfides), mineral transformations of clays (e.g. the smectite-to-illite reaction), and maturation of organic matter induces [cementation](#) that further reduces porosity ([Taylor and Macquaker, 2014](#)). Tectonic imprints include faulting and vein formation, uplift and erosion. An extensive nomenclature is employed for clay rocks to encompass the many different inputs and diagenetic processes, although no common descriptive vocabulary has been established ([Lazar et al., 2015](#)).

2.1. Mineral composition

The [mineralogy](#) of clay rock is dominated by three major types: clay-calcite-quartz (and/or feldspar). [Fig. 1](#) shows that these minerals are found in considerably different proportions in shale, [argillite](#), Boom and Opalinus Clays, argillaceous [limestone](#), (e.g. the COx, described below) and also within these formations depending on depth. Minor components include [sulfides](#) (pyrite) and carbonates (dolomite, siderite) (see e.g. [Gaucher et al., 2004](#)). Some low-permeability sedimentary rocks contain relatively little clay, for example, the Koetoi and Wakkanai formations at Horonobe in

Japan, a future HRW site, contain abundant fine-grained [silicates](#) derived from [diatoms](#) that confer very low permeability ([Hama et al., 2007](#)).

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Fig. 1. Ternary clay-quartz-carbonate plots demarking the clay rocks that are (a) currently under evaluation for [radioactive waste](#) storage (modified from [Bock et al., 2010](#)) and (b) active gas-bearing [shales](#) (modified from [Rickman et al., 2008](#); [Fisher et al., 2013](#)). A higher clay content favours fracture self-sealing and makes hydraulic fracturing more difficult.

2.1.1. Clay minerals

The presence of layer [silicate minerals](#), including clays and micas, is a key property of almost all low permeability sedimentary formations. Thorough characterization and identification of [clay minerals](#) have been carried out by complementary methods ([Blanc et al., 2007](#); [Gaucher and Blanc, 2006](#)). The most common [aluminosilicates](#) include dominantly detrital clay minerals (illite, interstratified illite-smectite, [chlorite](#) and traces of kaolinite), minor detrital micas (biotite, muscovite), scarce detrital [tourmaline](#) and local diagenetic [glauconite](#). Following burial, the conversion of swelling [smectite](#) to non-swelling [illite](#) is a common diagenetic process that releases [silica](#) that may precipitate as [quartz](#) that alters pore structure and mechanical properties ([Thyberg et al., 2010](#)).

Layer silicates are composed of sheets of silica [tetrahedra](#) and [alumina](#) octahedra in a 1:1 or 2:1 ratio separated by [interlayer cations](#). We currently lack experimentally verified

models for the distribution of metal substitutions within clay layers and the termination sites at clay edges. As will be discussed in [Section 5](#), clay interlayer space can host exchangeable cations ([Sposito, 1981](#)), while clay edges provide important sites for [adsorption](#) of metallic cations (e.g. Ni^{2+} , Cs^+), [lanthanides](#) or [actinides](#) as a function of pH ([Tournassat et al., 2007](#), [Tournassat et al., 2013](#); [Tournassat and Steefel, 2015](#)). The silica basal planes are terminated by siloxane groups and the hydrophobicity/hydrophilicity of clay and [mica](#) basal surfaces is extremely important for fluid wetting, hydration and [clay swelling](#) and molecular [sorption](#) processes. It is commonly understood that this surface is inherently hydrophobic unless metal substitutions at Si or Al sites confer a net layer charge ([Sposito et al., 1999](#); [Jaynes and Boyd, 1991](#)). However, the links between charge, water affinity and macroscopic wetting phenomena are subtle. For example, [Rotenberg et al. \(2011\)](#) showed that [talc](#) surfaces are highly hydrophilic with respect to the first molecular layer of water and that only when further water is added does it form non-wetting beads ([Rotenberg et al., 2011](#)). There is evidence from XRD determinations of water density profiles in 2:1 clays that increasing the layer charge alters the electronegativity of basal surface oxygens, thereby altering the affinity of water to that surface ([Ferrage et al., 2011](#); [Dazas et al., 2015](#)).

Expandable clay minerals can incorporate water in their interlayer, thereby swelling or completely exfoliating as a function of water activity and ionic strength ([Low and Margheim, 1979](#)). Clay swelling is challenging to describe quantitatively even in bulk solution because it depends on a balance of short- and long-range forces (including hydrogen bonding, electrostatic and van der Waals forces). Swelling and mobilization of clays into fractures dramatically reduces permeability for [fluid flow](#) ([Anderson et al., 2010](#)) but the consequence of swelling for diffusive transport in clay rock is not clearly known and is typically neglected in simulations of diffusive transport ([Wersin et al., 2004b](#)).

2.1.2. Carbonates

Carbonate minerals play many important roles in clay rock. [Calcite](#) typically constitutes a large part of the fine-grained matrix along with clay minerals, but also occurs as elongated 50–100 μm -sized grains. Dolomite, [siderite](#) and [ankerite](#) occur, respectively, as core and rims of grains in most of the CO_x formation. The precipitation of carbonates in pores and fractures is a common cementation process in all clay rocks that can completely close [advection](#) pathways. Some clay rock contain abundant fibrous veins of calcite (occasionally [gypsum](#) or quartz) colloquially known as *beef* due to the

resemblance with a fat-marbled steak. These veins are interpreted as representing natural hydraulic fractures within the shale due to fluid [overpressure](#) that were subsequently mineralized ([Zanella et al., 2015](#)). Moreover, calcite exerts a strong influence on pore water chemistry. Measurements of pH value and the dissolved carbonate concentration in the pore water of many clay rocks are suggest the [aqueous solution](#) is in equilibrium with carbonate mineral ([Tournassat et al., 2015](#)). Carbonates also provide reactive surfaces for sorption and incorporation of solutes ([Gaucher et al., 2006](#)) (see below).

2.1.2. Other silicate minerals

Silicates, particularly quartz and [feldspar](#), represent one of the three main mineralogical phases ([Fig. 1](#)) and may represent a large volume of the clay rock. It is an important structural component in shale characterized by low clay contents. However due to their low surface area and low dissolution rates at circumneutral pH, the impact of micron scale and larger scale silicates on ion diffusion is likely to be negligible. Some freshwater sedimentary rocks, including [diatomite](#) oil and gas formations in the US and the Horonobe RWS site (Japan), high-surface-area crystalline or amorphous silicates including opals derived from the diatom [biominerals](#) are abundant ([Hama et al., 2007](#)).

2.1.3. Iron minerals

The high organic matter content of the sedimentary deposits that become shale rock readily lead to the development of reducing conditions. Consequently, iron is present in the reduced state except in weathered shales. For example, in the COx, iron was found by Mössbauer spectroscopy to be mostly (93%) present as Fe(II) and to be distributed over a wide range of mineral phases, such as [pyrite](#), sideroplesite/ankerite and clay minerals. Iron(III), if present, was associated with clay minerals (probably illite, illite-smectite [mixed layer](#) minerals and chlorite) ([Tournassat et al., 2008](#)) or [goethite nanoparticles](#) ([Kars et al., 2015](#)).

2.1.4. Insoluble organic matter in clay rock

Clay rocks generally contain [kerogen](#) as dominant organic fraction, a fossilized macromolecular insoluble form of organic matter (OM) derived from biomass ([Vandenbroucke and Largeau, 2007](#)). In studies of COx only 1.2% of the [total organic carbon](#) is extractable, most being present as insoluble (except in [alkali](#) and oxic conditions) kerogen organic matter ([Grasset et al., 2010](#)). The characteristics of insoluble OM vary widely depending on the source of the sediment (e.g., continental or marine), the [depositional environment](#) (e.g. [redox conditions](#) and deposition rate) and

the maturation degree which is dependent on temperature, pressure and time ([Lazar et al., 2015](#)). High deposition rates and reducing conditions favor high loads of preserved primary OM whereas low deposition rates and oxidizing environments enhance microbial degradation and loss of OM by oxidation. Certain gas shales including Woodford and Barnett contain abundant [fecal pellets](#) in the sub-mm size range. Fecal pellets are a form of OM with distinctive composition, including high phosphorus content, and porosity ([Slatt and O'Brien, 2011](#)).

Thermal maturation of OM following burial involves loss of oxygenated compounds, generating bituminous hydrophobic high-molecular-weight compounds. With increasing temperature (80–120 °C) residual OM starts to lose H and C atoms through thermal [cracking](#) reactions. The resulting products consist of both small polar compounds (organic acids, [resins](#), asphaltens) and high molecular weight [hydrocarbons](#). At higher temperature (generally above 120 °C) cracking of kerogen compounds leads to [methane](#) formation and a highly aromatic organic residue and sometimes [graphite](#) ([Romero-Sarmiento et al., 2014](#)). Sediments with immature and high amounts of terrestrially-derived OM display high O/C and H/C ratios, whereas mature OM having undergone [methanogenesis](#) shows the opposite.

2.1.5. Soluble organic matter in clay rock

The porewater chemistry of clay rock is very challenging to measure. Approaches include the equilibration of crushed rock with synthetic pore water (SPW) ([Courdouan et al., 2007b](#); [Huclier-Markai et al., 2010](#)), permeation experiments in which SPW percolates, under high pressure, through an intact clayrock core ([Huclier-Markai et al., 2010](#)), and high-pressure squeezing methods ([Fernandez et al., 2014](#)). All approaches should be performed anaerobically in order to limit changes in dissolved inorganic and organic species.

Pore waters from clay rocks in Belgium, France and Switzerland foreseen for [radioactive waste disposal](#) have been extensively analyzed. They show immature OM with variable amounts of organic matter and O/C ratios. The OM in Boom Clay, Belgium, exposed to a maximum burial temperature of 20°C, is the most immature with the highest O/C ratio, the highest TOC (1–5 wt%) and the highest [dissolved organic carbon](#) (DOC) in pore water (40–250 mg C/L) ([Bruggeman and De Craen, 2012](#)). The COx and the Opalinus Clay formation (Switzerland) formations are fairly similar with regard to their OM characteristics, displaying a TOC content of up to 1 wt% and a DOC in the range of a few mg C/L ([Gaucher et al., 2004](#); [Courdouan et al., 2007a, b](#)). The DOC predominantly contains [low molecular weight](#) (< 1000 Da) molecules such as

mono- and di- acids with $M_w < 350$ Da (acetate, [phenol](#), and propionic, tiglic, sorbic, suberic and lactic acids), [amino acids](#), [aldehydes](#), fatty and [fulvic acids](#) in smaller quantities.

Because DOC contains mostly anionic functional groups, transport through clay rock follows a similar pattern as inorganic [anions](#), including the phenomenon of anion exclusion described further below ([Martens et al., 2010](#)). In surface and ground waters, DOC can enhance the transport of [transition metals](#), actinides, lanthanides by means of complexes or colloid-facilitated transport. DOC from Boom Clay can transiently bind to and mobilize contaminants such as americium ([Maes et al., 2011](#), [Maes et al., 2006](#)). DOC concentrations in COx and Opalinus clay rock are lower but can also enhance the solubility of trivalent lanthanides and actinides ([Courdouan et al., 2008](#); [Hummel et al., 2000](#)).

2.2. Mechanical properties

All rocks can fracture under the application of stress or an increase of saturating [fluid pressure](#) ([Ougier-Simonin et al., 2016](#)). At the Geological [Carbon Storage](#) site at In Salah, Algeria, geophysical monitoring suggested that the overpressure caused by CO₂ injection caused hydraulic fracturing of both the [sandstone](#) reservoir and the lower [cap rock](#) unit ([White et al., 2014](#)). The analysis indicated that CO₂ migrated vertically into the lower cap rock through new and reopened fractures but did not compromise the upper [mudstone](#) cap rock. The geomechanical behavior of clay rock is evidently a vital consideration for the use of any formation as a seal and other applications. Although a full treatment of [rock mechanics](#) is outside the scope of this review, two aspects deserve highlighting.

2.2.1. Mechanical properties vs. clay content

The mechanical properties of clay rock vary considerably with composition, particularly clay content, which ranges from 10 to 65 wt% ([Al et al., 2011](#)). A critical threshold in clay mineral mass fraction, $X_{\text{clay}} = 0.34$, separates fine-grained rocks with very different properties ([Bourg, 2015](#)). More brittle formations with a lower X_{clay} are currently the target of shale gas exploration because they are easier to fracture and to keep propped open. More ductile formations with higher X_{clay} have a higher tendency to self-sealing of fractures and thus more suitable as cap rocks for [gas storage](#) or repositories for [nuclear waste](#). The conceptual model of [Fig. 2](#) explains this trend.

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Fig. 2. A conceptual model of clay rock microstructure as a mixture of large grains (white) and a fine-grained clay matrix (gray) (Figure from [Bourg, 2015](#); [Crawford et al., 2008](#)).

2.2.2. Porosity and transport under compression

The porosity of clay rock (described below) typically decreases exponentially with applied stress ([David et al., 1994](#); [Zhang et al., 2015](#)). As a consequence, laboratory studies of micropore and microfracture structure and transport conducted under ambient conditions may be inaccurate for the same rock in the subsurface. Moreover, microfractures observed in drilled core samples extracted from [boreholes](#) may occur during pressure relief (decompaction) and do not exist at depth. To date, relatively few studies of clay rock structure and transport were performed under relevant isotropic or anisotropic [confining pressure](#) ([Harrington et al., 2009](#); [Bachaud et al., 2011](#)).

2.3. Structure

Clay rocks exhibit complex structure from the molecular scale to the basin scale. For example, the Marcellus shale consists of at least 6 [lithofacies](#) distinguishable by a suite of parameters ([Wang et al., 2014](#)). Consequently, the macroscopic diffusion properties of clay rocks can only be understood by a multiscale knowledge of all structures and their spatial organization ([Robinet et al., 2012](#)). Clay rock communities are still grappling with the considerable challenges of determining, visualizing, and understanding the distributions of reactive surfaces, pores, minerals, OM and fractures to develop improved models of the transport, geochemical and mechanical properties of clay rock.

2.3.1. Mineral distributions

The distribution of mineral phases at the [micrometer](#) to millimeter scale has been performed using two- and three-dimensional [imaging techniques](#) including scanning electron [microscopy](#) (SEM) and [X-ray](#) computed [tomography](#) with micron-scale resolution (μ XCT). μ XCT using laboratory or [synchrotron](#) sources can attain [spatial resolutions](#) below 100 nm in ideal cases, depending upon sample dimensions. The porosity measured by μ XCT is therefore a small fraction of the total porosity, but this approach illuminates compositional and structural heterogeneity up to the critical centimeter length scale ([Fig. 3](#)). For example, sedimentary rocks can retain signatures of biological activity following deposition. Burrows made by [Cambrian](#) worm-like organisms can be preserved in shale and completely filled with pyrite ([Robinet et al., 2012](#); [Boyer et al., 2014](#)). Pyrite oxidation can subsequent generate preferential fluid transport paths. Because [electron density](#) contrast in [SEM](#) and μ XCT is typically not adequate to unequivocally identify mineral phases, a combination of elemental composition information from 2D energy dispersive X-ray (EDX) fluorescence mapping at the SEM and 3D μ XCT is becoming a popular approach.

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Fig. 3. a) Mineral identification and distribution in the Callovo-Oxfordien (COx) using [X-ray](#) microtomography. Data from [Robinet et al. \(2012\)](#) b) Pyritized [earthworm](#) burrows in the COx. The dimensions of the parallelogram are 90 × 15 × 15 mm (J.C. Robinet, personal communication).

2.3.2. Porosity

The pore volume of clay rock is typically between 10–30% and is distributed among all mineral and organic phases and the interfaces between them. Pores are due to a diverse range of clay rock constituents and inclusions ([Slatt and O'Brien, 2011](#)). A major challenge for clay rock research is determining the nature of the pores, their size distributions and their connectivity over a sufficiently large length scale, often called

a *representative elementary volume* (REV). The [helium](#) pycnometer is the most accurate method for measuring porosity in the laboratory. [Gas adsorption](#) isotherms using N₂, CH₄, etc., and mercury intrusion measurements can also provide complementary measurements of pore size distributions and specific surface areas (see [Tournassat et al., 2003](#)).

Serial focused [ion beam](#) (FIB) sectioning and [scanning electron microscopy](#) (SEM) can provide detailed 3D depictions of shale mineralogy, pore morphology and pore connectivity with 10–20 nm resolution and maximum imaging dimensions currently reaching ~100 μm ([Fig. 4](#)) ([Silin and Kneafsey, 2012](#); [Keller et al., 2013](#); [Song et al., 2015](#)). Helium ion microscopy (HIM) has the potential for reduced imaging aberrations, a smaller sample interaction volume and higher depth of focus than SEM, although few HIM studies of shale have been reported ([King et al., 2015](#)). [Transmission electron microscopy](#) can provide detailed structural depictions and elemental compositions of 100 nm thin sections ([Bernard et al., 2012](#)) or 500 nm FIB pillars ([Keller et al., 2013](#)).

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Fig. 4. Example of the determination of [pore](#) distribution in Opalinus clay using 3D FIB-SEM. a) Reconstruction of the analysed volume. b) Partitioning of the reconstruction into [pore space](#) and non-clay material. Data from [Keller et al. \(2013\)](#).

All [imaging methods](#) that require sample drying are unable to accurately determine the structure of swelling clays in the original clay rock because desiccation leads to interlayer collapse and alteration of mesoscale structure. [Cryogenic](#) sample preparation

has revealed unprecedented images of expanded smectite in salt solution ([Gilbert et al., 2015](#)). The application to hydrated clay rock could significantly increase our understanding of hydrated pore connectivity but will require further development of this technique.

Small-angle [neutron scattering](#) (SANS) can provide statistically relevant information on geometric pore sizes ([Fig. 5](#)) ([Swift et al., 2014](#)). SANS is superior to gas or Hg sorption studies in revealing true nanoscale porosity ([King et al., 2015](#)) although requires the addition of fluids, frequently isotopically labeled, in order to determine pore accessibility.

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Fig. 5. Small-angle [neutron scattering](#) study of the [porosity](#) of different clay rocks. Figure from [Swift et al. \(2014\)](#).

No single imaging approach is currently capable of quantifying pores over a size range required to anticipate [transport processes](#). For example, FIB-SEM of COx with a resolution of ~20 nm revealed only about 25–35% of the total porosity ([Keller et al., 2013](#); [Song et al., 2015](#)). Thus, experiments using multiple methods (particularly SANS and SEM or HIM) and that manipulate the fluids within clay rock are important. By combining FIB-SEM and TEM, Gaboreau et al. were able to visualize 70% of total porosity in a compacted illite analog of clay rock ([Gaboreau et al., 2016](#)). Using such an integrated approach, [Gu et al. \(2015\)](#) found that the dominant nanosized pores in a low

organic, clay-rich shale samples were water-accessible sheet-like pores within clay aggregates ([Gu et al., 2015](#)). The dominant nanoscale porosity in kerogen dominated organic-rich samples were found to be bubble-like organophilic pores ([King et al., 2015](#); [Gu et al., 2015](#)). The porosity of shale organics is a strong function of [thermal maturity](#) with only mature organics exhibiting detectable porosity ([Kuila et al., 2014](#)). However, it remains unknown whether the bubble-like pores in shale organics detected by SANS or SEM are present under subsurface conditions or are generated when core samples are depressurized.

The chemistry and nanoscale porosity of the insoluble organic matter fraction of clay rock is quite inaccessible to conventional microchemical analysis. SEM imaging, for example, typically portrays the organic fraction as an undifferentiated [gray scale](#) level (e.g., [Chalmers et al., 2012](#)). Scanning transmission X-ray spectromicroscopy (STXM) can use carbon K-edge [absorption spectroscopy](#) to identify kerogen thermal maturity and map carbon heterogeneity in organic-rich shales at 20 nm spatial resolution ([Fig. 6a](#)) ([Bernard et al., 2012](#); [Schaefer et al., 2009](#)). Use of new coherent X-ray microscopy methods, including ptychography, will achieve [higher spatial resolution](#) than STXM and provide the full complex [optical properties](#) of a specimen, permitting [phase contrast](#) imaging that is more sensitive to low-density materials such as organics ([Fig. 6b](#)). This method can also provide high-resolution 2D and 3D chemical and elemental mapping ([Wise et al., 2016](#)).

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Fig. 6. Soft-X-ray [microscopy](#) studies of the organic components in clay rock. a) The organic components of an organic-rich [mudstone](#) can be distinguished using carbon K-edge [X-ray absorption spectroscopy](#) (left) and spatially mapped in FIB thin section using scanning transmission X-ray microscopy (right). [Kerogen](#) (green), [bitumens](#) (red and pink), carbonates (light and dark blue). Data from Bernard et al. 2010. b) Coherent soft-X-ray microscopy image of an organic- and clay-rich fragment of Bakken [shale](#). The phase image (shown) is sensitive to small differences in [electron density](#) within porous organics. Data from ALS beamline 5.3.2.1 courtesy of Namhey Lee, Peter Nico, Timothy Kneafsey, David Shapiro and Benjamin Gilbert. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

2.3.3. Pore connectivity

There is growing evidence that the porosity detectable by most imaging studies is in fact poorly connected or unconnected at spatial scales larger than currently accessible ([Hu et al., 2012](#)). For example, [Keller et al. \(2013\)](#) conclude that transport in Opalinus clay occurs predominantly through the nanoporous clay matrix ([Keller et al., 2013](#)). [King et al. \(2015\)](#) noted that discrepancies in pore size distributions obtained by mercury intrusion porosimetry and BIB-SEM imaging indicated that a major part of the porosity was in fine pores not detectable by imaging ([King et al., 2015](#)). Song et al. visualized connected pore networks in COx using 3D SEM and 2D TEM ([Song et al., 2015](#)). Pores of dimensions 50–90 nm formed percolating networks through the imaged volumes yet were likely unconnected at larger spatial scales. Thus, in many clay rocks, [long-range transport](#) is likely constrained to occur through the finer porosity associated with organic- and clay-rich regions.

2.4. Permeability of clay rock

A quantitative measure of their sealing capacity is provided by the clay rock permeability, k (m^2), which is a property of the solid material affecting the dependence of fluid flow on the pressure difference. Low permeability (on the order of 10^{-20}m^2) is correlated with the low porosity of the clay rock as shown in [Fig. 7a](#) ([Neuzil, 1994](#); [Mazurek et al., 2011](#)). Note that the permeability of clays is substantially smaller than other minerals of the same porosity. This follows from the small size of clay particles and interstitial [pore spaces](#) and the affinity water to clay surfaces. The large variability in the porosity-permeability correlation displayed in [Fig. 7b](#) is thus primarily related to the clay content ([Yang and Aplin, 2010](#)). For a given porosity, small differences in clay content may result in a dramatic change in permeability by several

orders of magnitude. Factors such as stress history and the presence of natural or induced fractures also influence clay rock permeability.

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Fig. 7. Trends in permeability (k) versus [porosity](#) shown for different clay contents ranging from 0–100% (shown in the inserted box) Data from [Yang and Aplin \(2010\)](#).

3. Diffusion in clay rock

Because of the very low permeability of non-fractured clay rock, [fluid flow](#) is not significant and diffusive transport mechanisms are dominant. Owing to slow transport rates, involving timescales that can extend into the thousands to millions of years, clay rocks are effective barriers for fluid flow and [solute transport](#). There is abundant geologic evidence of the sealing capability of clay rock. For example, about one-half of the world's largest oilfields are sealed by clay rocks, the other half by [evaporites](#) ([Grunau, 1987](#)). Moreover, the profiles of ions from seawater traversing clay rock strata bounded by high permeability lithographies such as [sandstone](#) also provide clear evidence for the ultraslow transport rates (see [Section 4](#)).

3.1. Porosity–diffusivity relationships

Laboratory studies of solute diffusion through saturated clay rock or packed [bentonite](#), combined with transport modelling (see below), can provide both effective [diffusion coefficients](#) and the accessible [porosity](#) for the mobile species. The exclusion

of [anions](#) from pores formed between negatively charged basal surfaces, particularly the [interlayer](#) regions of [smectites](#), is an extremely important influence on anion mobility. Anion accessible porosity can be less than half the total connected porosity of a clay-rich medium and even reduced to zero if mineral precipitation seals larger pores ([Chagneau et al., 2015](#)).

These studies also revealed a strong dependence of effective [diffusivity](#) on porosity. The relationship between effective diffusion coefficients (D_e) of a given species and porosity for a variety of formations has been described by an empirical relationship, termed Archie's law:

$$(1) D_e = D_0 \phi^m$$

where D_0 is the corresponding diffusion coefficient in water, ϕ is the porosity and m is the so-called [cementation](#) factor which is typically in the range of 2–3. This relationship has been analysed for a number of clay rocks ([Mazurek et al., 2008](#); [Van Loon and Mibus, 2015](#)) as illustrated in [Fig. 8](#).

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Fig. 8. Relationship between effective [diffusion coefficient](#) and diffusion-accessible [porosity](#) for [tritium](#), chloride and [iodide](#). The curves represent the (classical)

Archie's relationship with $m = 2.4$ (solid line), $m = 2$ (upper dashed curve) and $M = 3$ (lower dashed curve). Figure from [Van Loon and Mibus \(2015\)](#).

3.2. Common factors controlling diffusive transport in clay rock

3.2.1. Driving forces for transport

Gradients in pressure, concentration and chemical potential lead to the flow of a fluid and the transport of solutes. In nanoporous media, the governing equations for transport frequently have an analogous form to Fickian diffusion, presented in more detail below.

3.2.2. Solubility

The solubility of mobile species in any fluid in the [pore space](#) of a clay rock is a major determinant of net fluxes. Meaningful predictions of the solubility of minerals and [trace elements](#) require expressions for ion activity products in H_2O – NaCl – CaCO_3 – SO_4 systems under subsurface conditions. Solubility is well known for common substances but may be altered in nanoscale pores.

The solubility of a gas in a fluid is proportional to the [partial pressure](#), as described by [Henry's law](#), and typically decreases with temperature and ionic strength but increases with pressure ([Cygan, 1991](#)). Molecules such as H_2 and CH_4 that do not react with water are much less soluble than molecules such as CO_2 that react with water when [hydrolysis](#) products are included. Thus, water-saturated clay rock could serve as a seal for $\text{H}_2(\text{g})$ despite the high diffusivity of this molecule ([Oladyshkin and Panfilov, 2011](#)). Many organic molecules can dissolve in and diffuse through supercritical CO_2 , a process that enables enhanced recovery of oil ([Gyoere et al., 2015](#)).

3.2.3. Diffusion pathways

Net transport depends strongly on the nature, the volume and the geometry of transport pathways that are available in a clay rock, which can provide different pathways for ions, non-volatile molecules and gases. In any porous rock that is partially or fully saturated with brine, the transport of ions and dissolved soluble organic molecule occurs in the aqueous phase. In clay-rich regions, transport is constrained to occur through nanopores and interlayers. The different pathways of anions and [cations](#) in these regions lead to different effective diffusion rates ([Van Loon et al., 2007](#); [Mazurek et al., 2009](#)). In low water activity systems, such as [shale](#) gas reservoirs, gases may flow through hydrophobic pore space or diffuse through pores saturated with any other fluid in which they are soluble.

For large systems, explicit (pore scale) descriptions of diffusion pathways are unfeasible. A simple upscaling approach is to define average properties of the [porous medium](#), including porosity, permeability and geometric factors describing the transport pathways. Each species is then described by an effective diffusion coefficient. These upscaled parameters are typically inferred from macroscopic observations at the lab and [field scale](#).

An important geometric parameter is the [tortuosity](#) factor, τ , that quantifies the travelled distance of a dissolved constituent through the pore network compared to actual distance between two points. Other factors such as narrowing and widening of pores or the pore connectivity are not inherent to this definition. Thus, a more general geometric factor can be used to describe the lumped effect of the geometry of the pore network on solute transport. For a clay rock sample, determination of ϕ and τ can be made through either bulk transport measurements or by direct imaging, but it is challenging to connect these measurements unambiguously.

3.3. Theoretical descriptions and conceptual models of the diffusion of solutes in clay rock

3.3.1. Diffusion of neutral and charged species

Diffusion of a solute due to a concentration gradient can be expressed in terms of Fick's first law which relates the diffusive flux of a species i to an effective diffusion coefficient $D_{e,i}$ and its concentration gradient in the x -direction according to

$$(2) J_i = -D_{e,i} \frac{\partial C_i}{\partial x}$$

Fick's second law can be derived from [Eq. \(2\)](#) and the mass conservation in the absence of chemical reactions and describes the rate at which the concentration changes at any given point

$$(3) \frac{\partial C_i}{\partial t} = -\frac{\partial}{\partial x} (D_{e,i} \frac{\partial C_i}{\partial x})$$

The effective diffusion coefficient $D_{e,i}$ is dependent on the porosity ϕ and the tortuosity factor τ of the porous medium according to

$$(4) D_{e,i} = \phi D_{p,i} = \phi \tau D_{0,i}$$

where $D_{p,i}$ is the pore diffusion coefficient and $D_{0,i}$ the diffusion coefficient in water.

Fick's law does not consider the [electrostatic](#) interaction between ions and it is therefore strictly valid only for uncharged species. If diffusion of charged species is considered then electrochemical migration needs to be included in the flux term such that positively and negatively charged species move in a coordinated manner to maintain local charge balance. The combination of [molecular diffusion](#) and electromigration can be expressed by the Nernst–Planck equation that considers diffusive transport of all species including

charged species under the influence of an electrical potential (e.g. [Tournassat and Steefel, 2015](#)). The corresponding flux is

$$(5) J_i = -D_i \nabla C_i - D_i C_i R T z_i F \nabla \phi$$

where z_i is the charge of species i , F and R are the Faraday and gas constants, respectively, T is the temperature and ϕ is the electrical potential. Other coupled [transport processes](#) may be significant at long timescales. For example, a thermal gradient developed in a [nuclear waste](#) repository can establish a gradient in [osmotic potential](#) and net [mass transport](#) ([Soler, 2001](#); [Goncalves et al., 2015](#)).

3.3.2. Ion diffusion in the vicinity of charged mineral surfaces

Clays (and other minerals) in water often develop a negative surface charge giving rise to an electrical field that attracts cations and repels anions. The diffuse layer of excess cations and depleted anions is known as the electrical double layer (EDL) ([Low and Margheim, 1979](#)). Further away from the [mineral surface](#), where the electrical potential becomes weaker, the [pore water](#) becomes internally charge balanced. Within clay rock, the dimensions of pores and clay interlayers can be similar to the size of the EDL. Consequently, anions may be partially or totally excluded from a fraction of the pores and interlayers. This effect increases with increasing compaction of the clay and alters the diffusion paths and effective diffusivity of anions relative to cations and neutral species ([Mazurek et al., 2011](#); [Van Loon et al., 2007](#)). The concept of anion accessible porosity and the effect of anion exclusion has been observed in many experiments carried out on clay materials ([Sposito, 1984](#)).

The negative structural charge on some clays may not completely exclude anions from interlayers. For example, recent MD simulations ([Fig. 9](#)) suggest that electrostatic effects may only partially exclude anions from smectite interlayers ([Tournassat et al., 2016b](#)). Moreover, anions may enter the interlayer as part of a contact ion pair, particularly when the partner cation has a higher charge. This has been shown macroscopically in the case of ion pairs formed between Cl^- and Ca^{2+} , Mg^{2+} and Fe^{2+} ([Charlet and Tournassat, 2005](#); [Sposito et al., 1983](#)), by XRD ([Ferrage et al., 2005](#)), Mössbauer ([Charlet and Tournassat, 2005](#)) for FeCl^+ and CaCl^+ ([Charlet and Tournassat, 2005](#)). No data exist today, to our knowledge, on the [adsorption](#) of CaCO_3° , MgCO_3° or CaHCO_3^+ ion pairs, although these ion pairs are now proposed to be important precursors for the formation of amorphous [calcium carbonate](#) (ACC) and magnesium analogs ([Gebauer et al., 2008](#)).

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Fig. 9. [Molecular dynamics](#) simulations of [cation](#) incorporation and partial [anion](#) exclusion from the [interlayer](#) regions of [montmorillonite](#). The white zones are the smectite layers and the coloured regions depict the concentration of Na⁺ and Cl⁻ time-averaged for up to 50 ns. This work shows that anions such as Cl⁻ are excluded from the two-water-layer (2WL) crystalline [hydrate](#) of montmorillonite but partially enter the 3WL hydrate. Figure modified from [Tournassat et al. \(2016a\)](#). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

3.3.3. Linking molecular dynamics and macroscopic transport in nanopores

The dynamics and transport of solutes within spatially confined environments close to electrostatically charged mineral surfaces is a subject of intense study. In these settings some fundamental properties of water (structure, viscosity, [dielectric constant](#) and self-diffusion dynamics) are different from bulk values. Experimental and simulation studies typically address a single timescale from picoseconds (*ab initio* simulation and quasi-

elastic [neutron scattering](#), QENS); nanoseconds (classical simulation, e.g., [Fig. 9](#)); microseconds (nuclear magnetic resonance and [dielectric](#) relaxation spectroscopy). Integrating the information obtained from these complementary approaches to determine a complete model of water structure and dynamics continues to be a challenging endeavor. [Sposito and Prost \(1982\)](#) showed the interlayer water structure to be dependent on the time-scale probed by the various spectroscopic studies used ([Sposito and Prost, 1982](#)). [Sanchez et al. \(2009\)](#) found [activation energies](#) for water diffusion in compacted montmorillonite to be different when derived by macroscopic diffusion or QENS ([Sanchez et al., 2009](#)). Diffusion of water within smectite interlayers is retarded by surprisingly long-range electrostatic interactions that can extend across the 2:1 layer ([Zarzycki and Gilbert, 2016](#)).

Good progress has been made in reconciling the micro- and macro-scale diffusivity of ions. [Tertre et al. \(2015\)](#) obtained good agreement in predicting the diffusion of Ca^{2+} through the interlayer of [vermiculite single crystals](#) that entirely lacked any tortuosity ([Tertre et al., 2015](#)). Tinnacher et al. showed that macroscopic transport of cations, anions and neutral molecules in packed clay can be well predicted from molecular simulation ([Tinnacher et al., 2016](#)). [Gimmi and Kosakowski \(2011\)](#) compiled a large set of diffusion coefficients for various cations in clay and used these to derive a simple [surface diffusion](#) model which is based on an average surface mobility that relates the mobility of surface cations to their diffusion in bulk water. The interpretations of all studies in dense clays systems are ambiguous, however, without better constraints on nanoscale pathway connectivity.

3.3.4. Colloid transport

In saturated rock, transport of poorly soluble species may occur via [colloid](#) transport. Indeed, because of the reducing environment of most clay rocks, the dissolved concentration of [radionuclides](#) is limited by the low solubility of their reduced form. However, natural organic matter in Boom Clay can bind amorphous UO_2 [nanoparticles](#) forming [uranium](#) containing colloids ranging in size from 2–450 nm at concentrations 3 orders of magnitude higher than the solubility of the precipitate. Nevertheless, colloid mobility in unfractured clay rock is very low and U(IV) migration does not appear to be enhanced by the formation of inorganic-organic colloids. ([Bruggeman and De Craen, 2012](#); [Maes et al., 2004](#)). A study of Opalinus clay also concluded colloid transport to be negligible ([Voegelin and Kretzschmar, 2002](#)).

3.4. Theoretical description and conceptual models of the transport of gases in clay rock

[Gas transport](#) through low-permeability rock formations is controlled by [gas pressure](#), the [hydraulic and mechanical properties](#) of the rock (*i.e.*, intrinsic permeability, porosity, and rock strength), the hydromechanical state of the rock (*i.e.*, water saturation, pore water pressure, and the stress state of the rock) ([Marschall et al., 2005b](#)). Gas interactions with rocks and fluids can lead to dynamic changes in flow regime depending on gas pressure ([Fig. 10](#)) ([Shaw, 2013](#); [Amann-Hildenbrand et al., 2015](#)).

(a) Phenomenological description

(b) Transport mechanisms

(c) Geomechanical regime

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Fig. 10. Classification and analysis of [gas transport](#) processes in the Opalinus Clay, Switzerland after [Marschall et al. \(2005\)](#). Panel a) Phenomenological description of the different regimes of gas transport 1) fully saturated with aqueous phase, 2) 2-phase conditions and 3) fully saturated with [gas phase](#). Panels b) and c) show the basic transport mechanisms and the geomechanical regime, respectively.

3.4.1. Gas transport regimes

When the gas pressure is below the pore water pressure, gas remains dissolved in the aqueous phase and is transported by Fickian diffusion due to concentration gradients. The water phase itself may flow as a consequence of hydraulic [pressure gradients](#), although this process is not likely to be significant in tight clay formations.

If the gas pressure exceeds the [pore pressure](#), gas will exsolve from [aqueous solution](#) forming a separate fluid phase. This phase will displace water from the pore network if the gas pressure exceeds pore entry pressures (also called [capillary](#) threshold pressures) that are inversely correlated with the pore radius. Water tends to be replaced in large pores first and more pathways for the gas will form at higher pressure as increasingly narrower pores are drained. If the [gas phase](#) forms a connected network then pressure-driven transport will occur at a rate that is determined by the permeability of the accessible rock. A mathematical description of gas transport in this regime is given below.

If the gas pressure exceeds the minimum principal stress acting on the rock, micro-fracturing or dilation of the rock will occur which in turn increases the porosity and intrinsic permeability. If the gas pressure exceeds the sum of the minimum principal stress and the [tensile strength](#) of the rock, macroscopic tensile fractures may form. These fractures may constitute preferential fluid pathways enabling flow rates orders of magnitude higher than in the bulk rock. This process is a [failure mechanism](#) of serious concern for geological [carbon sequestration](#). However, [fracture propagation](#) ceases when the gas pressure in the fractures becomes less than the value of the minimum principal stress ([Marschall et al., 2005b](#)).

3.4.2. Mathematical models of gas transport

Gas transport through a porous medium can be described by several models depending on the pore length scale, r , and the [mean free path](#), λ , of gas molecules between collisions. The Knudson number, $K = \lambda/r$, is a dimensionless parameter commonly used to classify flow regimes in small pores where deviation from continuum behavior is important.

When pore dimensions are large ($K < 0.01$), pressure-driven fluid transport at the [pore scale](#) is described by the [Navier–Stokes equation](#). This statement of the conservation of momentum for a compressible, [viscous fluid](#), combined with the [continuity equation](#) for the conservation of mass and explicit pore wall boundary conditions, yields an explicit [flow field](#) for a given pressure difference. Flow through complex geometries such as a fracture in a shale can be predicted using numerical simulation but requires high-performance computing and efficient algorithms ([Trebotich et al., 2014](#)).

A simplified, upscaled description of net fluid flow through a porous medium is provided by [Darcy's law](#). The flux in fluid density in 1D for a fluid of viscosity μ subjected to a gradient in pressure, P , is given by

$$(6) J_D = -\kappa \mu \partial P / \partial x$$

The [Darcy permeability](#), κ (m^2), is a constant of proportionality determined by experimental measurements of gas flow as a function of pressure difference. The mass balance equation then has a similar form to Fick's second law:

$$(7) \phi \partial \rho / \partial t = -\partial / \partial x (\rho \kappa \mu \partial P / \partial x)$$

This expression neglects gas [sorption](#) processes that are important for gas transport through clay rock. Darcy's law has been used successfully for [liquid and gas flow](#) in [reservoir rocks](#) with pore dimensions down to about 1 μm .

At smaller pore dimensions ($0.1 < K < 10$), the flow of a gas is higher than predicted. Beginning with Klinkenberg, several corrections to Darcy's law have been proposed based on the concept that the no-flow condition for liquids at an interface do not hold for gases ([Ziarani and Aguilera, 2012](#)). When pore dimensions approach the molecular scale ($K > 10$), continuum descriptions cannot be applied and gas flow in this regime has been described as purely diffusional with negligible viscous effects ([Javadpour et al., 2007](#)). [Cui et al. \(2009\)](#) summarize experimental methods for determining rates of diffusive gas transport in clay rock ([Cui et al., 2009](#)). [Jacops et al. \(2015\)](#) and [Marschall et al. \(2005a\)](#) give examples for different clay rocks ([Marschall et al., 2005a](#); [Jacops et al., 2015](#)).

4. Diffusion through clay rock formations

The rates of [solute transport](#) through clay rocks on a formation scale can be assessed by measuring and interpreting concentration profiles of natural tracers such as [halogens](#) (Cl^- , Br^- , I^-) and their [stable isotopes](#) (^{37}Cl), [water isotopes](#) (^{18}O , ^2H) and [noble gases](#) (He , $^3\text{He}/^4\text{He}$, Ar , $^{40}\text{Ar}/^{36}\text{Ar}$). Concentration profiles of natural tracers are controlled by the conditions under which the clay rock was deposited and by the hydrogeological evolution of over- and underlying strata that can lead to diffusive exchange of tracers between the strata and the clay formation.

Numerical models can be used to integrate the conditions upon clay deposition, [transport properties](#) of the clay and the paleo-hydrogeology and simulate the evolution of a site by matching observed and simulated tracer profiles. In these simulations, the conditions upon clay deposition and the hydrogeological evolution define the initial and boundary conditions of the model, respectively. Note that a well constrained, integrated assessment of evolving tracer concentration profiles in clay

formations is possible only if the diffusion of tracers is in a transient state. If diffusive transport has attained steady state or has ceased altogether, it is no longer possible to constrain the time at which the hydrogeological perturbation of conditions in the over- and/or underlying strata occurred and thus to place the evolution of the system into a paleo-hydrogeological context. This implies that the timeframe over which this kind of integrated analysis can be carried out is typically limited to a few millions of years into the past.

4.1. Interpreting chloride profiles at selected sites

Here we present natural Cl^- profiles from selected sites and interpret them in the context of the hydrogeological evolution of that site. The clay rock formations described below were all deposited in marine environments with an initial [pore water](#) composition of seawater assumed to be equal to the current conditions of Cl^- , 546 mmol/kg_w, for an average [salt content](#) of 35 g/L. Changing [depositional environments](#) led to the formation of more permeable rocks such as [carbonate rocks](#) or [sandstones](#) above and below the clay formation. Over [geological times](#), tectonic activity caused uplifting, deformation and erosion thus altering the hydrogeological conditions. Permeable rocks became exposed at the surface and subject to freshwater recharge and thus turned into freshwater [aquifers](#). These changes in the hydraulic regime and the ensuing change to low-salinity conditions in the permeable rocks initiated diffusive exchange with the saline porewater in the clay formation sandwiched between the aquifers. Current profiles of [anions](#) (and other natural tracers) in clay formations are a reflection of the diffusive transport history that began with the recharge of dilute groundwater into the over- and/or underlying aquifer ([Fig. 11](#)).

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Fig. 11. Chloride profiles through selected clay rock formations. **a)** The Couche Silteuse at Marculle (France) shows a symmetric profile of Cl^- concentrations with a concentration maximum at mid-depth indicating the simultaneous freshwater recharge into the over- and underlying [aquifers](#) and ongoing outdiffusion of Cl^- into these aquifers. **b)** The linear Cl^- profile through the Boom Clay at Essen (Belgium) shows that steady state conditions have been attained. **c)** The Opalinus Clay at Mt. Terri shows an asymmetric Cl^- profile with a concentration maximum shifted towards the bottom aquifer, indicating a late activation of the bottom aquifer. Shown are also results from a modeling study that almost perfectly match the measured profile. Data in a-c are from [Mazurek et al., 2011](#), [Mazurek et al., 2009](#)). **d)** Chloride profile through the Callovo-Oxfordian

(CO_x) formation, Bure (France). Slower Cl⁻ than [deuterium](#) diffusion into the overlying aquifer is a consequence of [anion](#) exclusion. Data from [Bensenouci et al. \(2013\)](#). **e)** Chloride profile through the Koetoi and Wakkanai [mudstones](#) at Horonobe (Japan). Data are from pumped water and squeezed groundwater samples. Data from [Hama et al. \(2007\)](#) and [Sasamoto et al. \(2011\)](#). **f)** Chloride profile (in mg/kgw) from the Cobourg formation, Ontario (Canada). The data from [Al et al. \(2011\)](#) are the property of NWMO.

4.1.1. Couche Silteuse, Marcoule (France)

The Couche Silteuse at Marcoule in France is a Cretaceous (113-100 Ma) marine silty-shaly formation of variable thickness and depth (163–404 m and 377–1066 m). It is situated between two sandstone aquifers ([M and J., 1999](#)). The Couche Silteuse was deposited in the Mediterranean Sea and Cl⁻ concentrations in the pore water may exceed those of present-day seawater due to the proximity of [evaporites](#). The symmetric Cl⁻ profiles observed at the thickest locations of the [shale](#) layer ([Fig. 11a](#)) are a consequence of anion diffusion into the low-salinity Na-HCO₃⁻-dominated groundwater in the aquifers above and below the formation that are continually recharged by freshwater ([Mazurek et al., 2011](#), [Mazurek et al., 2009](#)).

4.1.2. Boom Clay, Mol and Essen (Belgium)

The Boom Clay formation at Mol (103 m thick) and at Essen (127 m) is a clay unit deposited in a marine environment in the [Rupelian](#) stage of the [Oligocene](#) (34–28 Ma), bounded above and below by sandy aquifers. The overlying [Neogene](#) aquifer is continually recharged by freshwater aquifer while the underlying Lower Rupelian aquifer has retained ~20% seawater [salinity](#). The Cl⁻ profile at Essen shows a linear diffusion profile between the two aquifers, demonstrating that out-diffusion has erased the marine signature of the initial Boom Clay pore water and a steady-state diffusion regime has been reached ([Fig. 11b](#)) ([Mazurek et al., 2011](#), [Mazurek et al., 2009](#)).

4.1.3. Opalinus Clay, Mt. Terri (Switzerland)

Mt. Terri is an [anticline](#) in the Folded Jura Mountains in NW Switzerland ([Wersin et al., 2004a](#)). The Opalinus Clay at Mt. Terri is a 219 m thick, clay sequence deposited in a marine environment during the mid-Jurassic (180 Ma). It is overlain and underlain by permeable carbonate aquifers that became infiltrated by freshwater as a consequence of folding and subsequent erosion of the anticline. The Cl⁻ profile of the Opalinus Clay shows evidence of a diffusive flux into the aquifers ([Fig. 11c](#)). In contrast to the symmetric profile in the Couche Silteuse, the profile at Mt. Terri is strongly skewed

because the overlying Dogger aquifer has been exposed to freshwater recharge earlier than the underlying Lias aquifer. [Fig. 7c](#) includes results from a numerical simulation that almost perfectly reproduces the Cl⁻ concentration profile in the Opalinus Clay. The simulation is based on assuming an initial concentration of 18.4 g/l following first freshwater recharge of the Dogger aquifer at 6 Ma and a subsequent recharge of the Lias aquifer at 0.5 Ma. The timing of the activation of the aquifers inferred from this model fits well in the overall geological history (*i.e.*, uplift and erosion) of that site derived from other geological evidence ([Mazurek et al., 2011](#); [Mazurek et al., 2009](#)).

4.1.4. Callovo-Oxfordian (COx) formation, Bure (France)

The 140 m thick Callovo-Oxfordian (COx) clay rock (160 Ma) contains 25–55% clay including illite/smectite [mixed layers](#), [illite](#), [chlorite](#) and [kaolinite](#). The COx formation has been selected as the host formation for installation of a future underground [radioactive waste disposal](#) facility in France. The French National [Radioactive Waste Management](#) Agency (ANDRA) has built an underground [research laboratory](#) (URL) in Bure (NE France) in order to study the disposal of [radioactive waste](#) in a deep clay formation ([Delay et al., 2007](#)). Profiles of stable isotopes and chloride through the COx show that this formation is highly out of equilibrium with the upper Oxfordian aquifer and the lower Dogger aquifer ([Fig. 11d](#)). Modeling [deuterium](#) profiles suggested that groundwater out diffusion from COx into the upper and lower aquifers begun between 1.5–5 Ma, depending on the assumed initial concentration. In contrast, modeling Cl⁻ profiles assuming an anion accessible [porosity](#) of 50% of the total porosity yielded much longer diffusion times. These differences were attributed to spatial variations in the anion accessible porosity, uncertainties in the [diffusion coefficient](#) or uncertainties in the assumed initial Cl⁻ concentration ([Bensenouci et al., 2013](#), [Bensenouci et al., 2014](#)).

4.1.5. Horonobe underground research laboratory (Japan)

The Japan [Atomic Energy](#) Agency (JAEA) has chosen a sedimentary [host rock](#) as a candidate for deep geologic disposal of [nuclear waste](#) and established an underground research laboratory at Horonobe. The Cl⁻ profile ([Fig. 11e](#)) shows a distinct increase in the Cl⁻ concentration from the Koetoi into the underlying Wakkanai formation ([Sasamoto et al., 2011](#)). The [sedimentary sequence](#) was deposited in a marine environment during the formation of the Japan Sea (15 Ma–5 Ma). These deposits were intermittently inundated by [pyroclastic flows](#) which means that volcanic deposits occur intercalated within the sedimentary sequence. The Koetoi Formation is composed of [diatomaceous](#) soft [mudstones](#), while the underlying Wakkanai formation shows a

higher degree of [diagenesis](#) and contains cristobalitic porcelanite (Opal-CT). [Biogenic](#) opal in the diatomaceous sediments has dissolved and re-precipitated as [cristobalite](#) during the basin filling. The Koetoi formation has a maximum porosity of about 60% whereas the Wakkanai formation has porosities of 30–40%. Similarly, the [hydraulic conductivity](#) decreases with depth ([Kurikami et al., 2008](#)). All these changes with depth are caused by a higher degree of diagenesis at greater depth ([Hama et al., 2007](#); [Kurikami et al., 2008](#)). The Cl⁻ profile in [Fig. 11e](#) is a reflection of decreasing [diffusivity](#) with increasing degree of diagenesis.

4.1.6. Deep geologic repository (DGR) at the Bruce nuclear site, Ontario (Canada)

The Bruce site is a proposed location for a deep geologic repository for low or intermediate level nuclear waste ([Al et al., 2011](#)). The site consists of 9 hydro-stratigraphic units with the very low permeability, [Ordovician](#) (450 Ma) Cobourg Formation located at about 680 m depth. The layer [silicate](#) fraction in this [limestone](#) is < 10% and includes illite & micas, chlorite, illite/smectite with trace amounts of kaolinite. The natural tracer profiles, including the Cl⁻ profile ([Fig. 11f](#)), were interpreted by a model in which diffusion into the Cobourg formation from hypersaline overlying [Cambrian](#) evaporites occurred for up to 250 million years. Recent infiltration of freshwater caused an abrupt concentration discontinuity that is only beginning to re-equilibrate.

4.2. Perspective on formation-scale simulations

The above examples show that it is possible to use concentration profiles of natural tracers in combination with the recent paleo-hydrogeological evolution of a site to constrain formation scale effective diffusivities in clay rocks. These studies clearly demonstrate that non-fractured clay rock is a barrier for the transport of inert solutes. [Reservoir simulations](#) can similarly achieve good quantitative prediction of [methane](#) production from tight shales. Typically, however, the effective transport rates used in such continuum models encompass a range of [chemical processes](#) in addition to diffusion. Many species of interest for clay rock systems are reactive in ways that can dramatically alter their mobility and these processes are addressed below.

5. Sorption and reaction of gaseous and anionic species in clay rock

[Sorption](#) or chemical interactions with mineral or organic phases can retard a diffusing ion or molecule and increase the storage capacity for that species within a clay rock. Here we survey key mechanisms and current knowledge on reaction processes of some important species in clay rock.

5.1. Retardation mechanisms

5.1.1. Sorption and ion exchange

Clays and micas can retard [cations](#) through [adsorption](#) at edge sites and ion-exchange reactions with [interlayer](#) species ([Tournassat et al., 2013](#); [Fletcher et al., 1984](#), [Fletcher et al., 1984](#); [Baeyens and Bradbury, 1997](#); [Bradbury and Baeyens, 1997](#)). [Anions](#) including long-lived [radioisotopes](#) such as $^{36}\text{Cl}^-$ or $^{129}\text{I}^-$ generally exhibit a much lower sorption affinity for clays and thus restriction of transport is more dependent upon geometric limitations (including transport limitation due to anion exclusion). As shown below, for some anions such as SeO_3^{2-} , retardation can also be driven by incorporation into carbonate minerals or chemical reaction, while for other such as I^- sorption is negligible.

[Kerogen](#) and clays can both exhibit high sorption capacities for gases including CH_4 , CO_2 and H_2 (see below). These adsorption processes contribute to the capacity of clay rock for gaseous species and retard [gas transport](#) and it can be challenging to distinguish organic matter and clay contributions ([Jacops et al., 2015](#)).

Descriptions of adsorption range from simple to complex geochemical models. Simple affinity coefficients, such as K_d values, are only valid for the measurement conditions but provide a method to estimate transport over long timescales. [Gas adsorption](#) to kerogen or to clay rock often described by semi-empirical functions such as the Langmuir [isotherm](#) that assumes monolayer sorption or Freundlich isotherms that assume a series of log-normal distribution of Langmuir isotherms ([Sposito, 1984](#)). Surface [complexation](#) modeling is the most versatile approach for mineral sorption reactions in which the [stoichiometry](#) and surface binding site(s) are well known ([Bradbury and Baeyens, 1997](#)).

5.1.2. Mineral reactions

Mineral precipitation and dissolution are basic processes that control [water chemistry](#) and [porosity](#) in rocks ([Chagneau et al., 2015](#)). Rates of mineral dissolution are well known to be difficult to constrain by laboratory studies. Rates of mineral [nucleation](#) are even more challenging because the activation barriers for homogeneous and, especially, heterogeneous nucleation can be very sensitive to chemical conditions. Moreover, mineral precipitation in nanoscale pores may be influenced by [surface interactions](#) that can either suppress or enhance reaction rates ([Stack et al., 2014](#)).

5.2. Gases

5.2.1. CO₂(g)

Clay rock has a significant storage capacity for CO₂ that is due to dissolution in [pore water](#) as well as adsorption to [clay minerals](#). For example, [Busch et al. \(2008\)](#) reported bulk averaged CO₂ concentrations of Muderong [shale](#) exposed to scCO₂ (222–389 mol/m³) that far exceeded concentrations attained in [coal](#) (3–4 mol/m³) or cemented [sandstone](#) (8–10 mol/m³) ([Busch et al., 2008](#)). The high affinity of clays for CO₂, particularly the intercalation of CO₂ within [smectite](#) interlayers, was a surprising finding that has been replicated by many studies in recent years ([de Jong et al., 2014](#); [Schaef et al., 2015](#); [Michels et al., 2015](#)). CO₂ uptake by smectite can additionally cause interlayer expansion depending on the initial hydration state of the clay, interlayer cation and the [confining pressure](#) ([de Jong et al., 2014](#)). While the extent of the measured [clay swelling](#) is relatively small (< 3%) it could be sufficient to reduce the permeability of GCS caprock, thereby enhancing storage.

The above laboratory studies were performed on relatively short timescales. However, indefinite exposure of caprock to scCO₂ that is not saturated with H₂O could eventually dehydrate and collapse swelling clays, potentially leading to the formation of new [advection](#) pathways. Moreover, there is evidence that CO₂ is not an inert adsorbate but either scCO₂ or carbonated brines can react with the surfaces of silicate and clay minerals, altering interfacial properties. [Wan et al. \(2014\)](#) showed that exposure to scCO₂ alters the hydrophilicity of [mica](#) ([Wan et al., 2014](#)) and the morphology of mica surfaces (personal communication). Exposure of smectite to dry scCO₂ can lead to carbonate mineral precipitation ([Hur et al., 2013](#)) that could weaken caprock integrity ([Andreani et al., 2008](#)).

5.2.2. CH₄ and volatile hydrocarbons

The sorption of [methane](#) by organic rich shale rock is likely dominated by kerogen, the insoluble organic matter component. Sorption correlates well with OM content ([Ross and Bustin, 2009](#)) and the heats of adsorption are greater for organic matter than for clay minerals ([Zhang et al., 2012](#)). Nevertheless, clay minerals also present a high surface area available for gas sorption, as clearly shown by a study in which the OM content was chemically removed by NaOCl ([Kuila et al., 2014](#)). Fundamental insight into gas interactions with kerogen is limited by a lack for the molecular and nanoscale structure of this complex and variable substance. Recently, Bousige et al. generated molecular models for kerogen of 3 different geologic origins that satisfied experimental constraints on carbon atom distributions, elasticity and porosity ([Bousige et al., 2016](#)). This opens a pathway for molecular studies of sorption and transport that have currently been confined to idealized mineral systems.

Methane sorption to smectite shows a strong dependence on surface charge, which modifies basal layer [hydrophobicity](#), and pressure. Sorption isotherms follow a Langmuir profile with a maximum monolayer sorption of 5.7 mol/kg reached at 30 MPa and above ([Liu et al., 2015](#)). At low pressures, interlayer CH₄ molecules in Na montmorillonite are solvated by 12–13 waters, with 6 [oxygen atoms](#) from the clay surface completing the coordination shell ([Fig. 12](#)) ([Titiloye and Skipper, 2000](#), [Titiloye and Skipper, 2005](#)). At 1 MPa and 300 K, a clathrate-cage structure develops around the methane molecule in the 3-layer [hydrate](#) smectite interlayer, with 0.5 CH₄ per clay unit cell ([Park and Sposito, 2003](#)). Shale gas production may be causing an increase in [ethane](#) emission to the atmosphere and the storage and release capacity of methane containing shales is not well understood ([Kort et al., 2016](#)).

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Fig. 12. Snapshot from a [molecular dynamics](#) simulation of [methane](#) molecules within hydrated sodium [montmorillonite](#) at 340 K and 300 bar, conditions equivalent to a burial depth of 2.0 km. CH₄ molecules are depicted as large gray spheres, Na⁺ ions as small black spheres. Image from [Titiloye and Skipper \(2000\)](#).

5.2.3. H₂(g)

The interactions of hydrogen gas with clay rock have been principally investigated within the context of high-level [radioactive waste](#) storage. H₂(g) is an anticipated product from the anoxic [corrosion](#) of stainless steel waste containers and from water radiolysis reactions caused by [alpha decay](#) ([Rodwell, 2003](#)). If H₂ gas cannot escape from clay-rich

media faster than it is generated, and exceeds the sorption capacity of the clays, pressure build up could diminish the stability of the repository ([Jacops et al., 2015](#)). In addition, certain proposals for geologic storage of H_2 generated by excess renewable energy also require clay rock to serve as an impermeable and inert [cap rock](#) for H_2 . Detailed studies for relevant formations are currently lacking, however, in part because performing diffusion and sorption experiments with $H_2(g)$ on natural clays and clay rock is difficult. Set-ups are prone to leakage ([Vinsot et al., 2014](#)) and microbial processes can interfere (transforming H_2 to CH_4) ([Jacops et al., 2015](#); [Bagnoud et al., 2016](#)). Hydrogen sorption onto dry clay rock can reach $\sim 0.1\%$ in weight ([Bardelli et al., 2014](#)), a similar value to methane and CO_2 sorption onto clay-rich media ([Didier et al., 2012](#)) ([Fig. 13](#)). However, water competes strongly with $H_2(g)$ for adsorption on hydrophilic clay surfaces, and in water fully saturated conditions, H_2 diffusion measured in undisturbed Boom Clay and COx cores showed no sorption effects ([Didier et al., 2012](#)), although a slight increased in hydrogen solubility is observed by [molecular dynamics](#) in interlayer compared to bulk water (G. Gadikota, pers. comm.).

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Fig. 13. [Adsorption isotherms](#) of hydrogen, [methane](#) and [carbon dioxide](#) on clays and clay rock. MMT = [montmorillonite](#). COx = Callovo-Oxfordian. Data from [Didier et al. \(2012\)](#).

[Neutron scattering](#) has revealed the structure and dynamics of molecular H₂ physisorbed in the interlayer space of montmorillonite and laponite ([Bardelli et al., 2014](#); [Mondelli et al., 2015](#); [Edge et al., 2014](#)). Up to four hydrogen molecules coordinate directly to partially solvated interlayer Ca²⁺ cations. H₂ transport occurs via a jump diffusion mechanism leading to a H₂ [diffusion coefficient](#) an order of magnitude slower than in bulk liquid water ([Edge et al., 2014](#)).

5.2.4. Competitive gas sorption processes

Gas storage and transport processes in clay rock always involve competition among intrinsic and injected gases and water molecules for sorption sites. For example, field and laboratory studies have shown that CO₂ can displace methane from sorption sites within organic and mineral nanopores ([Bacon et al., 2015](#)). This can enable the recovery of CH₄ from depleted [natural gas reservoirs](#) or [coal seams](#) and suggests a role for gas shales as GCS sites. Several groups have shown that gas sorption capacity is significantly greater in dry than moist clay rock (e.g., [Yuan et al., 2014](#)), although reports for CO₂ sorption in shale are conflicting ([Busch et al., 2008](#)). Molecular simulations of [gas mixtures](#) are beginning both to reproduce trends in sorption affinity and to reveal molecular details of the competitive interactions ([Yang et al., 2015](#)). Striolo and Cole have used molecular modeling to explore the structure and mobility of gas mixtures (including CO₂-butane, H₂O-ethanol) within idealized mineral pores ([Anh et al., 2014](#); [Le et al., 2015](#); [Phan et al., 2016](#)). Preferential sorption of one species to [mineral surface](#) sites can lead to an increase in the [diffusivity](#) of the second relative to that expected for a single phase system.

5.3. Sorption of cationic species

Within clay rock, sorption of cations on clay minerals is the main diffusion [retardation factor](#). Clays and micas can retard cations through adsorption at edge sites and ion-exchange reactions with interlayer species ([Tournassat et al., 2013](#); [Fletcher et al., 1984a, b](#); [Baeyens and Bradbury, 1997](#); [Bradbury and Baeyens, 1997](#)). Dr. Garrison Sposito has written numerous papers and books on this topic, and the reader is referred to these excellent sources ([Sposito et al., 1999](#); [Sposito, 1984](#), [Sposito, 1981](#)). [Fig. 14](#) summarizes the continuum of different cation sorption mechanisms at work as a function of pH, at low ionic strength. (i) In pure cation exchange, the diffusing ion replaces the original species in a weak sorption site in the vicinity of the negatively charged clay basal layer. The sorbing species forms an outer sphere complex in which the fully hydrated ion partly resides within a ditrigonal siloxane cavity. (ii) Certain cations

(e.g., Ni) undergo specific adsorption to specific edge sites, forming an inner sphere complex. (iii) The edge sites can also provide sites for epitaxial growth of metal [silicates](#), as has been observed for Zn ([Tournassat et al., 2013](#), [Tournassat et al., 2004](#), [Tournassat et al., 2009](#); [Schlegel et al., 2001](#), [Schlegel et al., 1999](#); [Charlet and Manceau, 1994](#)).

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Fig. 14. Relative importance of [sorption](#) processes on 2:1 [clay minerals](#) as a function of pH. Decreasing ionic strength results in a higher contribution of ion-exchange processes in the overall retention of [cations](#) (from [Tournassat et al., 2013](#)).

Cationic species, e.g. Zn^{2+} , Ni^{2+} , Co^{2+} , Ba^{2+} , Fe^{2+} can also sorb on [calcite](#) ([Zachara et al., 1991](#); [Mettler et al., 2009](#)). This phenomenon is often neglected due to the much larger specific surface area (and porosity) of clays compared to carbonates.

5.4. Sorption of anionic species

5.4.1. Iodine: I^- and ^{129}I

[Iodide](#) (I^-) is an example of a species that is present at low concentration, which sorbs weakly to most components of clay rock, but which can be immobilized by incorporation into precipitating carbonate phases. These factors make it challenging to predict the speciation and transport in clay rock, which naturally contain [iodine](#) at low concentration. In COx, for example, the total iodine natural geochemical background is

very constant throughout the formation, with 1–5 mg I /kg in solid rock, and 20–40 $\mu\text{g I /L}^{-1}$ in pore water ([Claret et al., 2010](#)). Because negatively charged clays have an extremely weak affinity for iodine this natural content has been successively attributed to organic iodine ([Tournassat et al., 2007](#); [Savoye et al., 2012](#); [Wittebroodt et al., 2012](#); [Frasca et al., 2012](#)) or iodine present into carbonate shells ([Claret et al., 2010](#); [Lerouge et al., 2010](#)). Spectroscopic studies that might distinguish between possible iodine species in clay rock (e.g., I^- , IO_3^- , I_2 or organic iodine) have not been performed to date. Such studies will require careful preservation of the experimental $p\text{CO}_2$ at the value calculated for the [host rock](#) porewater in order to avoid carbonate dissolution or reprecipitation.

The radionuclide ^{129}I is common in high-level radioactive wastes and has a long half-life ($\sim 16 \times 10^6$ years). Experiments of the diffusion of $^{125}\text{I}^-$ through Opalinus and Tournemire clay rock suggested that iodide migration is controlled by iodide retention on oxidized [pyrite](#) and natural organic matter ([Savoye et al., 2012](#); [Wittebroodt et al., 2012](#); [Frasca et al., 2012](#)). When the CO_2 [partial pressure](#) is not controlled, a part of iodine is shown to be irreversibly bound to COx, probably in a newly precipitated carbonate phase ([Montavon et al., 2014](#)). Other ions such as selenite could be incorporated in calcite through similar mechanisms.

5.4.2. Selenium: SeO_3^{2-} and ^{79}Se

[Selenium](#) is naturally present in some clayrocks, associated with pyrite and organic matter. Flowback water from shale gas drilling operation commonly contain selenium, released after oxygen induced oxidation of host minerals and selenium species. Selenium migration is strongly dependent on [redox processes](#) and the radionuclide ^{79}Se (half life $\sim 10^6$ years) is assumed to be released from reprocessing or [spent fuel](#) wastes in an oxidized state. The oxidized states of selenium form highly mobile oxyanions Se(IV)O_3^{2-} and Se(VI)O_4^{2-} in water while the lower oxidation states, Se(0) or Se(-II) , are insoluble and hence less mobile. However, the Se(-II) state is probable in a radioactive waste storage formation such as COx, due to the likely presence of dissolved H_2 ([Charlet et al., 2007](#)). However, in Boom Clay ([Beauwens et al., 2005](#); [Bruggeman, 2006](#); [De Cannière et al., 2010](#)), diffusion studies have shown Se to be prone to redox [disequilibrium](#). As observed for [sulfate](#), the thermodynamically reduction of SeO_4^{2-} does not occur unless process is catalyzed by microbial reactions or minerals. For example, in a low [organic clay](#) rock, such as Opalinus and Tournemire, Se retardation correlates with Fe(II) content ([Frasca et al., 2014](#)). Se(VI) reduction can occur through contact with Fe(II) including clay minerals ([Charlet et al., 2007](#)), [sulfide](#)

minerals(Scheinost et al., 2008) and with Fe(II) sorbed on calcite (Chakrabrty et al., 2010).

The diffusion Se(IV) can also be retarded by incorporation of selenite ion into carbonate minerals via [coprecipitation](#) and nearly isomorphic substitution during dynamic dissolution/precipitation phenomena (Fig. 15) (Reeder et al., 1994). Indeed, selenite and carbonate ions have very similar structure and the substitution leads to a small but measurable (by X-ray and neutron diffraction) expansion of the unit cell (Aurelio et al., 2010).

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Fig. 15. Illustration of the structural site for the incorporation of selenite within [calcite](#). Image from [Aurelio et al. \(2010\)](#).

5.4.3. Other species of concern

Clay rocks contain a range of minority elements or fluids that can negatively affect ecosystems if they are released through natural weathering processes or human activities. Flowback water from gas shales can contain volatile organics (such as ethane), toxic elements (such as [ammonia](#), [barium](#), selenium, mercury, arsenic), as well as radioactive species (such as [uranium](#), [thorium](#), [radon](#) and radium) (Gregory et al., 2011). Increasing disclosure of the chemical cocktails used for hydraulic fracturing has

encouraged the use of more benign substances but some additives continue to be of concern ([Stringfellow et al., 2014](#)).

6. Conclusions and outlook

6.1. Basic research needs

The selection and use of low permeability sedimentary formations as repositories, barriers, [cap rocks](#) or other engineered systems requires reliable predictions of the transport of ions or molecules over time periods up to millennia. A substantial body of work on clays and clay rocks, briefly reviewed here, has achieved significant progress in describing diffusive transport through these nanoporous geologic media. Theoretical models that describe ion diffusion through electrical double layers and [gas transport](#) through inorganic [pores](#) appear to accurately depict the underlying physical processes. An important task is to obtain more realistic depictions of nanoporous transport pathways for gases and solutes, and to transfer the necessary multiscale descriptions to computationally tractable large-scale modeling. Extension to clay rock formations containing insoluble organic matter will require additional work.

Reservoir-scale continuum modeling has provided important constraints on the effective [diffusivity](#) of natural tracers through sedimentary formations, but these approaches do not typically incorporate all the [chemical processes](#) that can significantly alter the mobility of species of concern. Processes such as [sorption](#), ion exchange, dissolution/precipitation and microbiological processes are routinely handled with current [reactive transport](#) codes ([MacQuarrie and Mayer, 2005](#); [Steefel and Maher, 2009](#); [Steefel et al., 2015a](#)) but difficult to apply at the formation scale. Partly, this is an upscaling challenge with a lack of information on the connected porosity at the large scale, and on the mineral or organic phases defining this porosity. Partly, this is due to the lack of a molecular-scale, process-based description of relevant reactions and [mineral surfaces](#) within nanopores.

The dynamic surface reactivity of carbonate minerals is especially important because these reactions can incorporate or release [sorbates](#). Advances in these areas will require experiments with careful control of the [partial pressures](#) of O₂ and CO₂, which can control speciation and mineral incorporation reactions, respectively. Redox reactions can consume gaseous electron donors and acceptors and dramatically alter the mobility of key species. Establishing improved approaches to monitor gas and *Eh* gradients in clay rock and signatures of past *Eh* fluctuations will be of great value.

The relationships between [pressure, pore](#) morphology and transport are important to resolve even under conditions for which new fractures are not created. High-resolution

imaging approaches that currently cannot be performed at relevant pressures could be complemented by scattering and sorption methods at a range of pressure to validate imaging results or perhaps to translate those observations into a high pressure regime. Many laboratory studies have provided rates of key mineral reactions that can create or fill [pore space](#), such as illitization or carbonate dissolution and [cementation](#), but a long standing challenge is to translate lab measurements to natural systems. This challenge is especially severe for low-permeability rocks for which mineral reactions and [solute transport](#) through nanoscale pores are tightly coupled.

The grand challenge in this field is the demonstration that an accurate macroscopic prediction of transport can be built up from knowledge of clay rock composition and nano-to-mesoscale path geometry. Achieving this connection between microscopic and macroscopic observations will require new creative approaches to link processes at different spatial and [temporal scales](#) ([Steefel et al., 2015b](#)). Additional basic science challenges for successful use of these subsurface systems are summarized in a recent workshop report ([Pyrak-Nolte and DePaolo, 2015](#)).

6.2. Clay rock community needs

In coming years, many nations will be developing policies that rely on sedimentary formations to achieve low-carbon or carbon-free energy goals. International collaboration and the use of experimental field observatories will be valuable to connect the best scientific research with geologic settings and engineering challenges. The Mont Terri site, pan-EU research programs such as FUNMIG (migration of [radionuclides](#) in clay rock), CATCLAY (cation transport in clays), RECOXY (redox processes in nuclear repositories), FORGE (gas migration), and international efforts such as DECOVALEX (DEvelopment of COupled models and their VALidation against EXperiments) have been models of international collaboration addressing the challenges for establishing a [nuclear waste](#) repository ([The Mont Terri Project, 2017](#)). Additional regional collaborations would be valuable as other countries including many Asian nations (e.g. China South Korea and Japan) seek solutions for nuclear waste. Similarly the “NEA Clay Club” working group on argillaceous media and the [Clay Minerals Society](#) have stimulated research and disseminated information to all aspects of the science and technology of clays (e.g., [Schafer et al., 2016](#)).

The many properties and uses of clay rock also suggest the value of collaborative research with different focuses. In the US, for example, the [Shale Hills Critical Zone Observatory](#) ([Shale Hills Critical Zone Observatory, 2017](#)) and The Marcellus Shale Energy and Environment Laboratory ([The Marcellus Shale Energy and Environment](#)

[Laboratory, 2017](#)) are bringing diverse research teams together. Such [interdisciplinary research](#) will inform modern uses of sedimentary formations, knowledge of climate and terrestrial biological interactions with sedimentary rocks, and our understanding how biological records are altered by burial, decay and preservation.

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T. Zhang, *et al.* **Effect of organic-matter type and thermal maturity on methane adsorption in shale-gas systems**

Org. Geochem., 47 (2012), pp. 120-131

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[Ziarani and Aguilera, 2012](#)

A.S. Ziarani, R. Aguilera **Knudsen's permeability correction for tight porous media**

Transp. Porous Media, 91 (1) (2012), pp. 239-260

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$$D_\epsilon = D_0 \phi^m \tag{1}$$

$$J_i = -D_{e,i} \frac{\partial C_i}{\partial x} \quad (2)$$

Fick's second law can be derived from [Eq. \(2\)](#) and the mass conservation in the absence of chemical reactions and describes the rate at which the concentration changes at any given point

$$\frac{\partial \phi C_i}{\partial t} = -\frac{\partial}{\partial x} \left(D_{e,i} \frac{\partial C_i}{\partial x} \right) \quad (3)$$

The effective diffusion coefficient $D_{e,i}$ is dependent on the porosity ϕ and the tortuosity factor τ of the porous medium according to

$$D_{e,i} = \phi D_{p,i} = \phi \tau D_{0,i} \quad (4)$$

where $D_{p,i}$ is the pore diffusion coefficient and $D_{0,i}$ the diffusion coefficient in water.

Fick's law does not consider the [electrostatic](#) interaction between ions and it is therefore strictly valid only for uncharged species. If diffusion of charged species is considered then electrochemical migration needs to be included in the flux term such that positively and negatively charged species move in a coordinated manner to maintain local charge balance. The combination of [molecular diffusion](#) and electromigration can be expressed by the Nernst–Planck equation that considers diffusive transport of all species including charged species under the influence of an electrical potential (e.g. [Tournassat and Steefel, 2015](#)). The corresponding flux is

$$J_i = -D_i \nabla C_i - \frac{D_i C_i}{RT} F \nabla \psi \quad (5)$$

$$J_D = -\frac{\kappa}{\mu} \frac{\partial P}{\partial x} \quad (6)$$

The **Darcy permeability**, κ (m²), is a constant of proportionality determined by experimental measurements of gas flow as a function of pressure difference. The mass balance equation then has a similar form to Fick's second law:

$$\phi \frac{\partial \rho}{\partial t} = -\frac{\partial}{\partial x} \left(\frac{\rho \kappa}{\mu} \frac{\partial P}{\partial x} \right) \quad (7)$$