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**Belowground impacts of alpine woody encroachment are determined by plant traits, local climate, and soil conditions**

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1 ***Belowground Impacts of Alpine Woody Encroachment are determined by Plant Traits, Local***  
2 ***Climate and Soil Conditions***

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31 Running Title: *Alpine Woody Encroachment Impacts Soil Microbes*

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40 **Abstract**

41 Global climate and land use change are causing woody plant encroachment in arctic, alpine, and  
42 arid/semiarid ecosystems around the world, yet our understanding of the belowground impacts of this  
43 phenomenon is limited. We conducted a globally distributed field study of 13 alpine sites across 4  
44 continents undergoing woody plant encroachment and sampled soils from both woody encroached and  
45 nearby herbaceous plant community types. We found that woody plant encroachment influenced soil  
46 microbial richness and community composition across sites based on multiple factors including woody  
47 plant traits, site level climate, and abiotic soil conditions. In particular, root symbiont type was a key  
48 determinant of belowground effects, as Nitrogen-fixing woody plants had higher soil fungal richness,  
49 while Ecto/Ericoid mycorrhizal species had higher soil bacterial richness and symbiont types had distinct  
50 soil microbial community composition. Woody plant leaf traits indirectly influenced soil microbes  
51 through their impact on soil abiotic conditions, primarily soil pH and C:N ratios. Finally, site level climate  
52 affected the overall magnitude and direction of woody plant influence, as soil fungal and bacterial  
53 richness were either higher or lower in woody encroached versus herbaceous soils depending on mean  
54 annual temperature and precipitation. All together, these results document global impacts of woody  
55 plant encroachment on soil microbial communities, but highlight that multiple biotic and abiotic  
56 pathways must be considered to scale up globally from site and species level patterns. Considering both  
57 the aboveground and belowground effects of woody encroachment will be critical to predict future  
58 changes in alpine ecosystem structure and function and subsequent feedbacks to the global climate  
59 system.

60 **Keywords:** Woody encroachment, plant-soil interactions, alpine, global change, soil microbes, leaf traits

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## 84 Introduction

85 Global climate and land use change are altering the distributions of organisms worldwide (Chen,  
86 Hill, Ohlemüller, Roy, & Thomas, 2011; Parmesan, 2006; Walther et al., 2002) and this is particularly true  
87 in arctic and alpine tundra ecosystems where warming is accelerated (Elmendorf et al., 2012; Walker et  
88 al., 2006; Wilson & Nilsson, 2009). One prevalent change in tundra ecosystems is the encroachment of  
89 woody plants (shrubs and dwarf trees) into areas previously dominated by non-woody grasses, sedges  
90 and forbs (Myers-smith & Hik, 2018; Rundqvist et al., 2011; Sturm et al., 2005). Woody plant  
91 encroachment can strongly impact aboveground productivity, the redistribution of snow by wind, and  
92 water and nutrient cycling in the tundra (Demarco, Mack, & Bret-Harte, 2014; Myers-Smith et al., 2011;  
93 Myers-Smith & Hik, 2013; Weintraub & Schimel, 2005). However, few studies have considered the biotic  
94 impacts of woody encroachment, particularly belowground effects on soil microbial communities  
95 (Myers-Smith et al., 2011). Some case studies, primarily from the Arctic, show that encroachment alters  
96 soil microbial community structure and function via woody litter inputs, leading to increased soil organic  
97 matter mineralization and soil carbon C:N ratios (Eskelinen, Stark, & Männistö, 2009; K. Rousk,  
98 Michelsen, & Rousk, 2016; Wallenstein, McMahon, & Schimel, 2007). However, we lack a general  
99 understanding of how woody encroachment affects soil microbial communities at the global scale, or  
100 whether observed impacts are species and site specific (Donhauser & Frey, 2018; Myers-Smith et al.,  
101 2011).

102 To fill this knowledge gap, we conducted a coordinated global study of alpine woody encroachment  
103 on soil microbial communities. We assessed a diverse set of pathways by which plants can impact soil  
104 microbes, including changes in the quality and quantity of litter inputs (J. H. C. Cornelissen et al., 2007;  
105 Santonja et al., 2017), alteration of soil abiotic conditions such as soil chemistry, moisture and pH  
106 (Eskelinen et al., 2009; Schimel, Bilbrough, & Welker, 2004; Yannarell, Menning, & Beck, 2014), or  
107 through interactions with rhizospheric microbes such as dinitrogen (N<sub>2</sub>)-fixing bacteria or mycorrhizae  
108 (Bengtson, Barker, & Grayston, 2012). Due to fluctuating environmental conditions and extreme spatial  
109 heterogeneity, alpine soil microbial communities are highly specialized, and can vary greatly across  
110 vegetation types, soil properties, and microclimates (Donhauser & Frey, 2018). Also, the effects of  
111 woody plant encroachment may interact with the direct effects of climate change (e.g. soil warming or  
112 drought) on soil microbes, making net outcomes difficult to predict (Classen et al., 2015; Kardol,  
113 Cregger, Company, & Classen, 2010). Thus understanding how woody plant encroachment directly and  
114 indirectly influences soil microbial communities is key to predicting long-term changes in the structure  
115 and function of alpine ecosystems (Hagedorn, Gavazov, & Alexander, 2019).

116 Direct effects of woody plant encroachment on soil microbial communities include shifts in both  
117 the quality and quantity of leaf and root litter (Wardle et al., 2004, Eldor Alvin Paul, 2007;) as well as  
118 interactions with microbial symbionts in their roots for nutrient and resource uptake (Smith & Read,  
119 1997a; Wookey et al., 2009). A shift from primarily herbaceous (grasses, sedges, forbs) to woody plant  
120 cover generally increases the quantity and decreases the quality of litter inputs, and may result in slower  
121 decomposition of organic matter (J. H. C. Cornelissen et al., 2007). However this pattern can differ  
122 across woody plant species based on chemical and morphological litter traits such as leaf carbon:  
123 nitrogen ratio (C:N), leaf dry matter content (LDMC) and specific leaf area (SLA) (Cornwell et al., 2008;  
124 Gavazov, 2010; Urbina, Grau, Sardans, Ninot, & Peñuelas, 2020). Litter mixing between woody and  
125 herbaceous plants can increase the chemical complexity of the substrate pool, enhancing both microbial  
126 niche space and diversity (Chapman & Newman, 2010; McGuire, Zak, Edwards, Blackwood, & Upchurch,  
127 2010). Additionally, different types of microbial symbionts engage in distinct resource use strategies,  
128 and can greatly influence the resource economy of their plant host (J. Cornelissen, Aerts, Cerabolini,  
129 Werger, & van der Heijden, 2001; Gerz, Guillermo Bueno, Ozinga, Zobel, & Moora, 2018; Smith & Read,

130 1997b, 1997c). For example, Ecto- and Ericoid mycorrhizal fungi (ECM, ERM) have a higher affinity for  
131 organic forms of N and phosphorus (P) than arbuscular mycorrhizal fungi (AMF) which primarily  
132 scavenge inorganic nutrients (Read, 2003; Wookey et al., 2009), while N<sub>2</sub>-fixing bacteria directly convert  
133 elemental N<sub>2</sub> into plant available forms of N (van der Heijden, Bardgett, & van Straalen, 2008).  
134 Differences in leaf litter chemistry across plant symbiont types may further select for faster (Cheeke et  
135 al., 2017; M. K. Taylor, Lankau, & Wurzbarger, 2016) or slower (McGuire et al., 2010) decomposition by  
136 saprotrophic soil microbes. Furthermore, root symbionts can directly interact in numerous ways with  
137 saprotrophic fungi and bacteria in the rhizosphere. For example, mycorrhizal fungi release organic acids,  
138 hyphal exudates and provide hyphal necromass, which can enhance bacterial growth and serve as a  
139 food source for free-living soil biota (Bending, Aspray, & Whipps, 2006; Liang, Schimel, & Jastrow, 2017).  
140 Alpine soils usually have very low organic matter, and therefore changes in the quantity and quality of  
141 litter inputs, hyphal exudates, and microbial necromass as a result of woody encroachment have the  
142 potential to create major changes in free-living soil microbial communities and belowground ecosystem  
143 functioning (Donhauser & Frey, 2018; Körner, 2003).

144 Woody plant encroachment can also indirectly influence soil microbes through changes in the  
145 abiotic soil environment (Collins, Carey, Aronson, Kopp, & Diez, 2016; Grau et al., 2019) and via  
146 interactions with local climate (Classen et al., 2015). Woody encroachment can alter C and nutrient  
147 cycling, water availability and pH, and can also drastically alter the spatial distribution of resources  
148 across a landscape (Eldridge et al., 2011; Myers-Smith et al., 2011). Shading under woody plant  
149 canopies retains soil moisture higher in the soil profile in addition to physical trapping of snow, that  
150 concentrates snowmelt (Gómez-Aparicio, Gómez, Zamora, & Boettinger, 2005; Sturm et al., 2005).  
151 Enhanced soil moisture and thermal insulation from snow can promote decomposition and  
152 biogeochemical cycling (Schimel et al., 2004), while leaching of organic acids from woody litter can  
153 directly influence soil pH (Jobbagy & Jackson, 2003), which is a key driver of microbial community  
154 composition (Lauber, Hamady, Knight, & Fierer, 2009; J. Rousk et al., 2010). Overall, resource  
155 accumulation below woody plant canopies can lead to increased microbial biomass (Cable, Ogle, Tyler,  
156 Pavao-Zuckerman, & Huxman, 2009; Liao & Boutton, 2008), diversity (Hollister, Schadt, Palumbo, James  
157 Ansley, & Boutton, 2010) and shifts in community composition (Yannarell et al., 2014). In addition,  
158 impacts of woody plant encroachment may be more or less severe depending on ambient temperature  
159 and precipitation, which are changing rapidly in alpine environments (Rammig, Jonas, Zimmermann, &  
160 Rixen, 2010). Interactions between plant growth form (i.e. woody or herbaceous) and experimental  
161 shifts in air temperature, soil moisture and CO<sub>2</sub> influenced soil microbial enzyme production and  
162 nematode community composition (Kardol et al., 2010). Similarly, soil temperature and moisture  
163 determined whether arctic soils became net sources or sinks of CO<sub>2</sub> in woody but not herbaceous plant  
164 communities (Cahoon, Sullivan, Shaver, Welker, & Post, 2012). Because of these complexities, we lack a  
165 clear understanding of how specific abiotic conditions or climate patterns will influence woody plant-soil  
166 interactions. Thus, assessing woody plant encroachment across multiple sites spanning diverse climates  
167 and environmental conditions is crucial (Wookey et al., 2009).

168 The objectives of this research were to determine: 1) Is there a consistent global signature of  
169 woody plant encroachment on soil microbial communities in alpine ecosystems? and 2) What are the  
170 major abiotic and biotic drivers mediating the observed changes in soil microbial communities? We  
171 conducted this study across 13 alpine sites all undergoing woody plant encroachment, spanning four  
172 continents and ten mountain ranges (Table 1). We hypothesized that woody plant encroachment will: 1)  
173 alter soil microbial diversity and microbial community composition via changes in litter quality. Such  
174 changes are likely driven by differences in leaf functional traits and their influence on soil abiotic  
175 conditions; 2) impact soil microbial communities differently depending on root symbiont types (AMF,

176 ECM and, N<sub>2</sub>-fixers) and associated resource use strategies; 3) influence soil microbial communities  
177 indirectly through changes in abiotic soil conditions; 4) have climate-dependent effects on soil microbial  
178 communities due to high microbial sensitivity to temperature and moisture.

179

## 180 **Materials and Methods**

### 181 *Site selection*

182 This study took place at 13 sites (Fig 1, Table 1) across North and South America, Europe and Asia.  
183 We selected sites based on the following criteria: 1) woody plant encroachment into alpine plant  
184 communities dominated by herbaceous species, was observed within the last 50 years. We confirmed  
185 that woody plants were not previously present using aerial photography, historical records, and  
186 personal knowledge or information from local groups. See citations in Table 1 for further details  
187 regarding woody encroachment at each site. 2) Sites were alpine or subalpine (close to or above  
188 treeline), not Arctic (one site in Abisko, Sweden was considered 'subarctic' alpine). 3) Sites were not  
189 actively grazed or managed for agriculture (low intensity grazing did occur at our sites on the Tibetan  
190 Plateau in China and in the Swiss Alps and pine (*Pinus mugo*) silviculture occurred historically around our  
191 site in the Czech Republic). 4) International shipping speeds allowed samples to arrive in 72 hours or  
192 less on dry ice so that soils would stay frozen (this requirement affected our choice of study sites that  
193 excluded the Southern Hemisphere, Africa, and remote parts of Asia in our study). Finally, while we use  
194 the term 'woody' to describe primarily shrubs and dwarf trees at our study sites, one site (Japan) has a  
195 dwarf bamboo species (*Sasa kurilensis*) which is technically a 'woody graminoid.' This and other species  
196 of bamboo are common woody encroachers across Asia (Xu et al., 2020).

### 197 *Soil sampling*

198 We sampled soils from both directly under and outside woody plant canopies (~1.5-3.0 m outside) in  
199 the herbaceous plant interspace in areas where woody shrubs and dwarf trees were newly established  
200 (not present > 50 years). Soils were sampled during the growing season in either 2017 or 2018  
201 (depending on site). All soils were sampled using an aseptic technique and sampling protocol as  
202 described in the USEPA/USGS Sample Collection Protocol for Bacterial Pathogens in Surface Soil (EPA,  
203 2014). We collected ten soil samples from each vegetation type (woody and herbaceous) at each site for  
204 a total of 20 samples per site (20 x 13=260 soil samples). For each soil sample, three replicate soil cores  
205 were taken at a depth of 10-15 cm, combined into one sample with all excess rocks, roots, leaves or  
206 twigs removed and placed in sterile Whirlpak bags (Uline, Pleasant Prairie, WI, USA). Sampling locations  
207 within sites (individual woody plants and paired herbaceous soils) were at least 5 m apart. Soils were  
208 frozen within 24 hrs after sampling and remained in the freezer (-20° C) until being shipped. Soils were  
209 shipped on dry ice via expedited shipping to the University of California, Riverside, USA. All soils were  
210 sampled from within the same parent material and 100 m elevation differential or less at each site.

### 211 *Soil abiotic parameters*

212 At each soil sampling location (N=10 woody + 10 herbaceous=20 per site), we measured soil  
213 volumetric water content (VWC %) and soil pH *in situ* using handheld probes (Vegetronix VG-Meter-200  
214 basic or equivalent; EXTECH Model PH100 or equivalent). For soil chemistry, shipped soils were thawed  
215 at room temperature (half of each sample, other half remained frozen for microbial analyses) sifted  
216 through a 2mm mesh sieve and ground via mortar and pestle. Soils were then oven dried at 60 °C for 72  
217 hours, weighed into tin capsules and measured for total C and N on a Flash EA 112 analyzer at the  
218 University of California Riverside Environmental sciences research laboratory, U.S.A.

219 *Leaf sampling and traits*

220 Ten leaves were sampled from the encroaching woody species at each study site (n=10 x 13 sites=  
221 130 leaves). Leaves were kept moist and weighed within 24 hours of sampling on a microbalance to  
222 obtain fresh weight (g). Leaves were then placed in paper envelopes and left to air dry until shipping.

223 We measured the following leaf functional traits for each woody plant species: leaf dry matter  
224 content LDMC (g/g), specific leaf area SLA (cm<sup>2</sup>/g), leaf N (%), leaf C (%),  $\delta^{13}\text{C}$ , and  $\delta^{15}\text{N}$ . Leaves were  
225 scanned on a flatbed scanner to calculate leaf area (cm<sup>2</sup>) using ImageJ software  
226 (<https://imagej.nih.gov/ij/>). Leaves were dried (60°C, 72 hours) and then weighed for dry weight (g).  
227 LDMC was calculated as the ratio of fresh weight (g) to dry weight (g) and SLA was calculated as leaf area  
228 (cm<sup>2</sup>) to dry weight (g). Leaf chemical (C, N) and isotope ( $\delta^{13}\text{C}$ , and  $\delta^{15}\text{N}$ ) content were measured from  
229 dried leaf subsamples at the University of Wyoming Stable Isotope Facility (Laramie, WY, USA).

230 *Soil microbial analyses*

231 We extracted microbial DNA from 0.25 g of soil ( $\pm 0.025$  g) of each sample using a Qiagen DNeasy  
232 PowerSoil Kit (Qiagen Inc., Germantown, MD, USA) and quantified the extracted DNA using a NanoDrop  
233 2000 (Thermo Fisher Scientific Inc., Wilmington, DE, USA). After quantification, we standardized DNA  
234 extracts to 10 ng/ $\mu\text{L}$ . We performed PCR amplification using the 515F/806R primer set targeting V4  
235 region of the 16S rRNA gene for bacteria (Caporaso et al., 2011) and the 5.8S-Fun/ITS4-Fun primer set  
236 targeting the ITS-2 region for Fungi (D. L. Taylor et al., 2016). PCR was run in 25  $\mu\text{L}$  reactions including  
237 1.25  $\mu\text{L}$  of 1  $\mu\text{M}$  for each primer (forward and reverse), 1  $\mu\text{L}$  DNA template, 12.5  $\mu\text{L}$  of Phusion Green Hot  
238 Start 2X Master Mix (Thermo Fisher Scientific Inc., USA), 1.5  $\mu\text{L}$  of 3  $\mu\text{M}$  MgCl<sub>2</sub> and 7.5  $\mu\text{L}$  PCR grade  
239 water. Thermocycler settings were 95°C for 2 minutes, followed by 35 cycles of 95°C for 30 seconds,  
240 55°C for 30 seconds, and 60°C for 4 minutes (ITS2) or 2:30 minutes (16S) with a 10°C hold. We then did  
241 PCR clean-up using Agencourt AMPure XP beads (Beckman Coulter, Inc., Indianapolis, USA, IN 46268).  
242 Purified PCR products (2.5  $\mu\text{L}$ ) were mixed with 2.5  $\mu\text{L}$  of 100 nm custom universal tails indexing primers  
243 (forward and reverse) developed at EnGGen Laboratory, Northern Arizona University (Flagstaff, AZ,  
244 USA)(Colman et al., 2015) 12.5  $\mu\text{L}$  of Phusion Green Master Mix, 1.5  $\mu\text{L}$  of 3  $\mu\text{M}$  MgCl<sub>2</sub> and 3.5  $\mu\text{L}$  PCR  
245 grade water and were amplified using thermocycler settings of 95°C for 2 minutes, followed by 15 cycles  
246 of 95°C for 30 seconds, 60°C for 30 seconds, and 72°C for 1 minute with a 10°C hold. We then ran  
247 another round of cleanup and quantified PCR products using the Quant-iT PicoGreen dsDNA assay kit  
248 (Life Technologies Inc., Grand Island, NY, USA). As a final step, the samples were pooled in equimolar  
249 concentrations and sequenced in a multiplexed 2- x 300-bp paired-end sequencing run on the Illumina  
250 MiSeq platform (Illumina Inc., San Diego, CA, USA) at the Genomics Core Facility, University of California  
251 Riverside (USA).

252 *Bioinformatics*

253 ITS-2 sequences were analyzed using AMPTk: Amplicon Toolkit for NGS data (Palmer, Jusino, Banik,  
254 & Lindner, 2018) (<https://github.com/nextgenusfs/amptk>). Demultiplexed paired-end sequences data  
255 were pre-processed by trimming primer sequences, trimming forward and reverse reads to 250 bp  
256 (reads length less than 100 bp were dropped), and merging paired-end reads using USEARCH v9.1.13  
257 (Edgar, 2010). A total of 8,310,353 reads passed the preprocessing steps and reads were filtered based  
258 on quality scores with a cutoff of an expected error less than 0.9 (Edgar & Flyvbjerg, 2015) to produce  
259 6,441,443 reads which passed quality filtering. The quality filtered reads were clustered into 19,790  
260 Operational Taxonomic Units (OTUs) using UPARSE (Edgar, 2013) at 97% identity threshold. The OTUs  
261 were further processed with VSEARCH (v 2.3.2)(Rognes, Flouri, Nichols, Quince, & Mahé, 2016) to



262 identify and remove 569 chimeras based on comparison to the UNITE database v8.0(Nilsson et al., 2019)  
263 leaving 19,221 OTUs . We assigned taxonomy with the AMPtk “hybrid” approach which uses Global  
264 Alignment, SINTAX, and UTAX. Lastly, sequences were rarefied to 10,000 sequences per sample and  
265 processed with QIIME Core Diversity pipeline (Caporaso et al., 2010) to estimating Alpha (OTU richness)  
266 and Beta diversity (Bray-Curtis dissimilarity).

267 16S sequences were analyzed using QIIME2 (Bolyen et al., 2018) (<https://qiime2.org>) following the  
268 ‘Atacama soil microbiome’ pipeline for demultiplexed paired-end sequences. We truncated sequences at  
269 220 bp and trimmed the first 25 bp based on the interactive quality plots in QIIME2 and then denoised  
270 sequences using DADA2 after truncating all sequences Chimeras were removed using the default method  
271 in DADA2 (Callahan, Mcmurdie, Rosen, Han, & A, 2016). A total of 12,669,635 sequences passed quality  
272 filtering. Unique sequences were aligned using MAFFT (Katoh & Standley, 2013), filtered using the masked  
273 alignment file, and used to construct a Maximum Likelihood phylogeny with FastTree (Price, Dehal, &  
274 Arkin, 2010). Alpha (OTU richness) and Beta diversity measures (Weighted UniFrac distance) (Lozupone &  
275 Knight, 2005) were estimated using a subsampled feature table containing 10,000 sequences per sample.  
276 Taxonomy was assigned to 34,417 unique sequences using a Naïve Bayes classifier trained on the  
277 GreenGenes database (McDonald et al., 2012) (version 13\_8) using trimmed sequences pre-clustered at  
278 99% similarity. After all sequence processing we retained N=224 unique samples for fungi and N=215  
279 unique samples for bacteria.

#### 280 *Climate data*

281 To test the interaction between site specific changes in climate and the influence of woody  
282 plant encroachment, we acquired climate data for each site through the WorldClim v 2.1 database at 30  
283 second resolution (Fick & Hijmans, 2017). We tested the influence of multiple climate parameters at  
284 each site including: Mean Annual Temperature (MAT), Temperature Seasonality (standard deviation  
285 x100), Maximum Temperature of Warmest Month, Minimum Temperature of Coldest Month, Mean  
286 Annual Precipitation (MAP), and Precipitation Seasonality (Coefficient of Variation). We chose to use the  
287 30-year climate normals (WorldClim) rather than annual climate data because our analyses aimed at  
288 understanding climatic control over broad geographic variation in microbial communities. We found  
289 substantial climate variability across sites and symbiont types (Fig S1), but found that overall MAT was  
290 the best univariate predictor of microbial diversity (Fig S2). Therefore, we included MAT, and for  
291 consistency MAP, as the primary climate variables in subsequent models.

#### 292 *Statistical methods*

##### 293 *Leaf traits*

294 We used Principal Components Analysis (PCA) to collapse the values of the six measured leaf traits  
295 into two PC axes to be used in hierarchical models (below). Prior to the PCA, we infilled missing leaf trait  
296 data (LDMC and leaf chemistry) for one site where only SLA could be measured (China) and any NA  
297 values using the package *mice* in R (R Core Team, 2019; van Buuren & Groothuis-oudshoorn, 2011),  
298 taking the average of 100 imputed values for each trait estimate. All data were logged prior to PCA. Leaf  
299 traits and principal components scores were averaged by (woody) plant species at each site.

300 We also tested for a difference in leaf N between root symbiont types, due to frequently higher N  
301 in tissues of N<sub>2</sub>-fixing plants. We used one way ANOVA with leaf N (%) (logged) as the response and  
302 symbiont type (N<sub>2</sub>-fix, ECM/ERM, AMF) as the predictor, followed by a Tukey’s HSD test.

303

304 *Alpha diversity (OTU richness)*

305 We fit linear mixed-effects (hierarchical) models in a Bayesian SEM framework to test the impacts  
 306 of woody plant encroachment on soil fungal and bacterial richness. First, we estimated the effects of  
 307 vegetation type, climate, abiotic soil conditions, root symbiont type and their interactions on OTU  
 308 richness. Next, we ran a second set of models to estimate the effects of woody plant leaf traits on soil  
 309 abiotic conditions (soil C:N and soil pH), as we predicted that leaf traits would influence microbial  
 310 richness via shifting abiotic soil conditions (Hypothesis 1). Thus, soil abiotic conditions were a predictor  
 311 in the first set and a response in the second set of models (see General Model, Table S1). We did not  
 312 hypothesize a relationship between leaf traits and soil moisture, however, so we simply used vegetation  
 313 type as a predictor of soil moisture. Additionally, for the root symbiont type by vegetation interaction,  
 314 we grouped symbiont types at the site level based on each woody plant species (see Table 1, Table S1),  
 315 and thus we only estimate the effect of root symbionts for woody plants.

316 We fit Bayesian models using the *brms* package in R (Bürkner, 2017). All data were standard  
 317 normalized prior to modeling to improve model convergence and we logged the bacterial response  
 318 variable (16S OTU richness) for normality. All models contained a site level random intercept and  
 319 hierarchical structure as described below and in Table S1. The Bayesian framework was convenient here  
 320 due to the somewhat uneven design and multilevel structure of the data (Table S1), and was useful for  
 321 predicting relationships with reasonable estimates of uncertainties. We used the posterior distributions  
 322 of each parameter to calculate the probabilities that it was different from zero, and three probability  
 323 levels are reported (85, 90 and 95% probabilities, respectively, that the parameter estimate is different  
 324 from zero). We also used these parameter distributions to calculate pairwise post-hoc comparisons  
 325 between root symbiont types.

326 General Model:

327  $\text{Alpha Diversity} = (1 | \text{site}) + \text{Vegetation type} * \text{Root symbiont Type} + \text{Vegetation type} * \text{Climate} + \text{Soil abiotic}$   
 328  $\text{Soil abiotic} = (1 | \text{site}) + \text{Woody leaf traits}$

329 BRMS model syntax =

330  $\text{OTU richness} \sim (1 | \text{site}) + \text{Symbiont} * \text{Vegetation type} + \text{MAT} * \text{Vegetation type} + \text{MAP} * \text{Vegetation type} +$   
 331  $\text{VWC} + \text{pH} + \text{soilC:N}$

332  $\text{soilC:N} \sim (1 | \text{site}) + \text{PC Axis1 (leaf traits)} + \text{PC Axis2 (leaf traits)}$

333  $\text{pH} \sim (1 | \text{site}) + \text{PC Axis1 (leaf traits)} + \text{PC Axis2 (leaf traits)}$

334  $\text{VWC} \sim (1 | \text{site}) + \text{Vegetation type}$

335 *Beta diversity (Community composition)*

336 To assess the impacts of woody plant encroachment on bacterial and fungal community  
 337 composition, we used non-metric multidimensional scaling (NMDS) of the Bray-Curtis (fungi) and  
 338 weighted Unifrac (bacteria) dissimilarity metrics and permutational multivariate analysis of variance  
 339 (perMANOVA) with the 'adonis' function in the *Vegan* package in R (Oksanen, Blanchet, Kindt, Legendre,  
 340 & O'Hara, 2016) (999 permutations). We ran three perMANOVA models, first with vegetation type  
 341 (woody versus herbaceous) as a predictor and site as a strata variable to restrict permutations within  
 342 sites; next we used root symbiont type, climate, and soil abiotic parameters as predictors with  
 343 vegetation type as a strata; third we ran a leaf trait model for woody soils only using leaf trait PCA axes 1

344 and 2 as predictors and no strata variable. All perMANOVA models had either bacterial or fungal  
345 community composition as the response variable.

346 General Model:

347 *Beta Diversity = Vegetation type*

348 *Beta Diversity = Root symbiont Type+ Climate+ Soil abiotic*

349 *Beta Diversity = Woody leaf traits*

350 Adonis model syntax =

351 Bray Curtis/Unifrac distance ~ Vegetation type, strata=site

352 Bray Curtis/Unifrac distance ~ Symbiont + MAT + MAP + VWC + pH + soilC:N , strata=vegetation type

353 Bray Curtis/Unifrac distance ~ PC Axis1 (leaf traits) + PC Axis2 (leaf traits)

354 Taxonomic analyses

355 To assess differences in the relative read abundance of microbial taxa between woody and non-  
356 woody vegetation, we used linear mixed effects models (for normally distributed data) or generalized  
357 linear models with a Gamma distribution in the 'lmer' and 'glmer' functions in the *lme4* package in R  
358 (Bates, Mächler, Bolker, & Walker, 2014). Read abundances (logged, zeroes removed) of microbial phyla  
359 were the response variable, vegetation type (woody/herbaceous) was a fixed effect and site was  
360 included as a random effect.

361 General Model:

362 *Phylum reads~(1|site)+Vegetation type*

363 We also used indicator species analysis to determine which taxa characterized soils from different  
364 vegetation types (woody versus herbaceous) using the function 'multipatt' in the *indicspecies* package in  
365 R (De Cáceres, Legendre, Wisser, & Brotons, 2012). We calculated Indicator Values (Indvalg) based on  
366 species (OTU) abundance and considered indicator taxa significant at  $\alpha=0.05$  based on permutation tests  
367 ( $n=999$ ) and an indicator value (stat) of 0.2 or greater.

368

## 369 **Results**

### 370 *Leaf Traits*

371 PCA analysis showed that SLA, leaf N,  $\delta^{15}\text{N}$ , and LDMC loaded on PC1 which explained 37.3% of the  
372 variation among species, and high PC1 values were associated with low SLA, leaf N and  $\delta^{15}\text{N}$  and high  
373 LDMC. Leaf C and  $\delta^{13}\text{C}$  loaded on the second axis (PC2), which explained 17.5% of the variation among  
374 species, and high PC2 values were associated with high leaf C and low  $\delta^{13}\text{C}$  (Fig S3).

375 ANOVA and post hoc analysis revealed  $\text{N}_2$ -fixing woody plants had the highest leaf N content (%)  
376 overall, and significantly higher leaf N than AMF and ECM/ERM symbiont types (Fig S5).

377

378 *Alpha diversity (OTU richness)*

379 Woody plant encroachment influenced the richness of soil microbial communities, but  
380 interestingly, these impacts differed across sites, with woody plant soils having higher, lower or similar  
381 richness as herbaceous soil microbial communities (Fig 2 a, b). Bayesian hierarchical models showed that  
382 N<sub>2</sub>-fixing woody plants had higher soil fungal richness and lower soil bacterial richness than herbaceous  
383 plant communities within sites (Fig 3, Table S2). Additionally, ECM/ERM woody plants had higher soil  
384 bacterial richness and lower soil fungal richness than herbaceous plant communities within sites (Fig 3,  
385 Table S2). Post-hoc comparisons also revealed that N<sub>2</sub>-fixing woody plants had higher soil fungal  
386 richness than AMF and ECM/ERM woody plants across sites, while ECM/ERM plants had higher soil  
387 bacterial richness than AMF and N<sub>2</sub>-fixing woody plants across sites (Table S2, FigS6).

388 Soil abiotic conditions also predicted fungal and bacterial richness, including a positive relationship  
389 between pH and both fungal and bacterial richness, a negative relationship between soil C:N and fungal  
390 richness (Fig 4, Table S2), and a positive relationship between soil water content (VWC) and bacterial  
391 richness (Table S2). Woody plant soils had lower VWC than herbaceous soils and woody plant leaf traits  
392 predicted soil abiotic conditions (Table S2). The first axis of a principal components analysis (PC1) of  
393 multiple leaf traits was negatively related to soil pH and soil C:N, while PC2 was negatively related to soil  
394 pH in the Bayesian hierarchical model (Fig 4, Table S2).

395 Finally, there were interactions between woody encroachment and climate, including a negative  
396 interaction between mean annual precipitation (MAP) and vegetation type on fungal richness, a positive  
397 interaction between mean annual precipitation (MAP) and vegetation type on bacterial richness and a  
398 negative interaction between mean annual temperature (MAT) and vegetation type on bacterial and  
399 fungal richness (Fig 3, Table S2).

400

401 *Beta diversity (Community composition)*

402 Microbial beta diversity was generally higher between rather than within sites, as communities  
403 clustered strongly by sampling site (Fig 2 c, d). Within sites, microbial community composition differed  
404 among vegetation types and this pattern was stronger for bacterial than fungal communities based on  
405 perMANOVA results and NMDS overlap (Fig 5 a, d, Table S3). Within vegetation types, plant traits,  
406 climate and soil abiotic conditions were significantly related to both fungal and bacterial community  
407 composition (Table S3). Environmental variables such as climate and soil abiotic conditions explained up  
408 to an order of magnitude more variation in bacterial than fungal community composition (maximum R<sup>2</sup>  
409 0.135 vs 0.012; mean R<sup>2</sup> 0.06 vs 0.01, Table S3). Root symbiont type was a significant predictor of both  
410 fungal and bacterial communities, with the highest community similarity within N<sub>2</sub>-fixing soil fungal  
411 communities (Fig 5 b,e). Mean annual precipitation (MAP) and soil pH were the best abiotic predictors of  
412 fungal and bacterial community composition, respectively (Fig 5 c, f, Table S3). Woody plant leaf traits  
413 were also significant predictors of microbial community composition with PC2 being most predictive of  
414 fungal and bacterial communities (Table S3).

415

416 *Taxonomic analyses*

417 The soil fungal community comprised 10 phyla, with Ascomycota dominating (40.1%), followed by  
418 Basidiomycota (26.6%) and Mortierellomycota (13.9%), Glomeromycota (0.8%) and Chytridiomycota  
419 (0.5%) (Fig S4 a,b). Six percent of the total ITS-2 sequences could not be assigned taxonomically, while  
420 two percent were assigned as unknown Fungi (i.e. only to Kingdom level) (red color-Fig S4). The soil  
421 bacterial community comprised 43 phyla with Proteobacteria making up the largest percentage (29.1%),

422 followed by Acidobacteria (16.4%), Actinobacteria (12.9%), Bacteroidetes (8.7%), Planctomycetes (6.5%),  
423 Verrucomicrobia (6.5%), Chloroflexi (5.6%), unidentified bacteria (3.8%) and Firmicutes (1.5%) (Fig S4  
424 c,d). Less than one percent of the total 16S sequences could not be assigned a taxonomy, while four  
425 percent were assigned as unknown Bacteria (red color-Fig S4).

426 Taxa abundance models of the dominant microbial phyla showed a lower abundance of  
427 Basidiomycota in woody versus herbaceous soils (Table S4, Fig S4 a,b). For bacterial phyla, soils from  
428 herbaceous communities had a higher abundance of Acidobacteria, Actinobacteria, Proteobacteria,  
429 Verrucomicrobia, and Planctomycetes than woody soils (Table S4, Fig S4 c,d).

430 Fifty-one fungal indicator OTUs (assigned to the species level) were found in woody plant soils and  
431 23 indicator OTUs were in soils from herbaceous communities from Indicator species analysis. The six  
432 most prevalent indicator species were from the *Mortierella*, *Penicillium*, *Vishniacozyma*, *Herpotrichia*,  
433 and *Metapochonia* genera (OTUs 1585, 16274, 1203, 938, 101 and 1386) and were associated with soils  
434 beneath woody plants from at least ten sites (Table S5a). Species in the *Penicillium*, *Clavaria*, and  
435 *Pyrenochaetopsis* genera (OTUs 1611, 808, and 1271) were associated with soils from herbaceous  
436 communities at seven sites (Table S5a). There were only nine bacterial indicator OTUs assigned to the  
437 species level overall, but at the genus level, there were 32 bacterial indicator taxa (20 genera) for woody  
438 soils and 35 indicator taxa (22 genera) for herbaceous soils. Members of the genus *Herminiimonas*  
439 (Proteobacteria) and *Segetibacter* (Bacteroidetes) were strongly associated with woody plant soils while  
440 the DA101 (Verrucomicrobia), *Rhodoplanes* (Proteobacteria), and GOUTA19 (Nitrospirae) genera were  
441 associated with soils from herbaceous communities. Indicator taxa from *Flavobacterium*, *Candidatus*  
442 *Koribacter*, *Candidatus Solibacter*, *Kaistobacter*, and *Pseudonocardia* genera were common in soils from  
443 both woody and herbaceous plants (Table S5b).

444

## 445 Discussion

446 One of the most striking ways that global change is restructuring alpine tundra plant communities is  
447 through the replacement of herbaceous plants by woody shrubs and dwarf trees (Brandt, Haynes,  
448 Kuemmerle, Waller, & Radeloff, 2013; Formica, Farrer, Ashton, & Suding, 2014; Hallinger, Manthey, &  
449 Wilmking, 2010). For example, conversion rates of alpine meadows to woody shrublands were  
450 estimated between 39-72% in the large portions of the southern Himalayas (Brandt et al., 2013). Here,  
451 using a global, coordinated field study we found that woody plant encroachment is influencing both  
452 richness and composition of soil microbial communities but that these changes depend on a  
453 combination of abiotic soil conditions, climate, root symbiont types and plant functional traits. This is an  
454 important first step in building a more predictive, functional understanding of how climate-driven shifts  
455 in woody plant cover will affect soil microbial communities and ecosystem processes worldwide.

456 Broadly, we did not find one 'global signature' of woody encroachment, but rather that woody  
457 encroachment was associated with increased, decreased, and no change in microbial alpha diversity  
458 (OTU richness) when comparing with soils of nearby herbaceous plant communities (Fig 2). This likely  
459 reflects the broad taxonomic and functional diversity of the woody plant species across these sites,  
460 leading to variable litter quality (Table 1, Fig S3). For example, study species included evergreen conifers,  
461 deciduous hardwoods, legumes and woody graminoids, highlighting the diversity of woody species  
462 expanding into different alpine ecosystems worldwide. However, when accounting for easily measurable  
463 characteristics, such as woody plant leaf traits and root symbiont types, consistent patterns emerged for  
464 effects of woody plants on both bacterial and fungal richness and community composition.

465 Woody plant leaf traits modulated shifts in soil microbial communities supporting our first  
466 hypothesis. Leaf traits predicted the community composition of both bacteria and fungi in woody plant  
467 soils and influenced soil microbial richness indirectly through changes in soil abiotic conditions (pH, soil  
468 C:N). Two distinct trait axes influenced microbial community structure. The first axis of the principal

469 components analysis (PC1) was primarily characterized by low SLA, leaf N and  $\delta^{15}\text{N}$  and high LDMC and  
470 the second axis (PC2) was primarily characterized by high leaf C and low  $\delta^{13}\text{C}$  (Fig S3). Thus PC1  
471 represents variation in leaf economic traits and nitrogen acquisition strategies with low PC1 scores  
472 representing more resource-acquisitive species with higher N content and SLA (Wright et al., 2004).  
473 Moreover, PC2 represents variation in leaf C and water use with high PC2 scores representing species  
474 with resource-conservative strategies including high leaf C content and water use efficiency (Moreno-  
475 Gutiérrez, Dawson, Nicolás, & Querejeta, 2012). There was a negative relationship between PC2 and soil  
476 pH (Fig 4), suggesting that woody plants with higher C content in leaves reduced soil pH, likely due to  
477 leaching of organic acids into soil solution via recalcitrant litter (Eldridge et al., 2011; Jobbagyi & Jackson,  
478 2003). Consistent with other studies, we also found that soil pH was a strong predictor of both bacterial  
479 and fungal richness (Lauber et al., 2009; J. Rousk et al., 2010), providing a clear mechanism for how  
480 woody plant litter chemistry can influence soil microbial diversity. Plant traits also influenced bacterial  
481 and fungal community composition, but PC2 was a stronger predictor than PC1 (Table S3), further  
482 suggesting that leaf C content is an important determinant of woody encroachment impacts on soil  
483 microbial communities.

484 Woody plants with different root symbiont types (AMF, ECM/ERM,  $\text{N}_2$ -fixers) had distinct impacts  
485 on soil microbial communities, supporting our second hypothesis. In particular,  $\text{N}_2$ -fixing woody species  
486 had higher soil fungal richness and lower bacterial richness than both herbaceous soils (within sites) and  
487 AMF, ECM/ERM woody plant soils (across sites)(Fig 3a, FigS6a, Table S2). Conversely, ECM-ERM  
488 symbionts had higher soil bacterial richness but lower fungal richness than both herbaceous soils (within  
489 sites) and  $\text{N}_2$ -fixing, AMF woody plant soils (across sites)(Fig 3a, FigS6b, Table S2). Root symbiont type  
490 was also an important predictor of both fungal and bacterial community composition (Fig 5b,e, Table  
491 S3). Root symbiont types can greatly influence plant resource use strategies, as well as litter chemistry  
492 and thus the impact of woody plants on soil microbial communities (Cheeke et al., 2017; Wookey et al.,  
493 2009). For example,  $\text{N}_2$ -fixing woody plants had higher leaf N content (%) than AMF symbiont types in  
494 our study (Fig S5) and thus may be altering soil microbial richness through high N leaf litter. Previous  
495 work has shown invasion of  $\text{N}_2$ -fixing woody species reduces soil microbial diversity (Lorenzo, Pereira, &  
496 Rodríguez-Echeverría, 2013; Lorenzo, Rodríguez-Echeverría, González, & Freitas, 2010), which we find to  
497 be true for bacteria, however we see the opposite response in fungi. Root symbionts, especially extra-  
498 radical hyphal forming ecto- and ericoid mycorrhizas, may also interact directly with free-living microbes  
499 (Bending et al., 2006). Woody plants utilizing ECM and ERM fungi had higher soil bacterial richness and  
500 distinct soil microbial community composition (Fig 3a, 5b,e). ECM and ERM fungi release extracellular  
501 enzymes and organic acids for decomposition into the rhizosphere which can select for specific bacterial  
502 communities (Churchland & Grayston, 2014). In addition, mycorrhizal helper bacteria (MHB) (Frey-Klett,  
503 Garbaye, & Tarkka, 2007) and/or chitinophagous species that feed on dead fungal hyphae may be  
504 enhanced in the rhizosphere of ECM and ERM woody plants (Brabcová, Nováková, Davidová, & Baldrian,  
505 2016), and several of these taxa were indicator species of woody plant soils in our analysis (Table S5).

506 While we designated root symbiont types based on current literature and site-specific information,  
507 several of the woody plant species in our study can utilize multiple types of root symbionts. For  
508 example, *Salix* spp. (Teste, Jones, & Dickie, 2019) and *Juniperus communis* (Thomas, El-Bargathi, &  
509 Polwart, 2007) can be dually colonized by ECM and AMF, and the relative abundance of each  
510 mycorrhizal type often differs across habitats, with alpine *Salix* varieties being more ECM dominant  
511 (Dhillon, 1994). In addition, Nitrogen fixers may utilize different bacterial symbionts; for example, *Alnus*  
512 *alnobetula* is an actinorhizal species which associates with bacteria in the genus *Frankia* (Richardson,  
513 Allsopp, D'antonio, Milton, & Rejmánek, 2000), while *Echinospartum horridum* is a legume which  
514 associates with bacterial species in the genus *Rhizobium* (Komac, Alados, & Camarero, 2011). Rhizobial  
515 strains are considered more host-specific than *Frankia*, and  $\text{N}_2$ -fixing plant species may also have co-  
516 occurring AMF or ECM fungi (Teste et al., 2019). Despite these discrepancies, these very broad

517 categories still proved to be useful predictors of complex soil microbial communities undergoing woody  
518 plant encroachment.

519 Soil abiotic conditions influenced microbial communities, supporting our third hypothesis, and soil  
520 pH was the most consistent driver of soil microbial richness (Fig 3, Table S2) and community  
521 composition (Table S3). Further, abiotic conditions were influenced by woody plant leaf traits,  
522 suggesting that woody plants affect soil microbial communities indirectly through changes in abiotic soil  
523 conditions (Fig 4). For example, soil pH had a positive effect on both fungal and bacterial richness and  
524 was the best predictor of bacterial community composition (Fig 3a, 5f). As described previously, there  
525 was also a negative relationship between woody plant leaf traits, particularly leaf C content, and pH (Fig  
526 4). Soil pH is a consistently strong predictor of microbial community structure (Lauber et al., 2009; J.  
527 Rousk et al., 2010), however it is often framed as an abiotic driver decoupled from plant litter chemistry.  
528 Soil C:N had a negative effect on fungal richness and also influenced fungal and bacterial community  
529 composition (Fig 3a, Table S3). On the other hand, Soil C:N was negatively associated with N related leaf  
530 traits (PC1), however the direction of this relationship was the opposite of what we predicted (Fig 4).  
531 This may be due to the fact that in low N environments such as the alpine, N mineralization is very low  
532 and direct microbial uptake of organic N from is high (Schimel & Bennett, 2004), potentially weakening  
533 the link between leaf N traits and soil C:N. Finally, VWC had a positive effect on bacterial richness, and  
534 influenced microbial and fungal community composition (Fig 3a, Table S3), however unlike our initial  
535 prediction, soils from beneath woody plants had slightly lower VWC (Table S2). Thus, woody plants may  
536 be depleting soil moisture as compared to herbaceous vegetation through deeper roots, or via accessing  
537 water later into the growing season (Acharya, Kharel, Zou, Wilcox, & Halihan, 2018; Awada et al., 2013).  
538 Overall, these patterns highlight that woody plant effects on abiotic soil conditions are an important  
539 indirect pathway between woody plant encroachment and soil microbial community structure.

540 While changing climate is among the major drivers of woody plant encroachment, our results  
541 demonstrate that woody encroachment may also modulate climate effects on soil microbes. In support  
542 of our fourth hypothesis, the effects of woody plants interacted with climate at the site level, including  
543 interactions between vegetation type and MAP, MAT on fungal and bacterial richness (Fig 3, Table S2).  
544 This suggests that soil microbial communities undergoing woody encroachment are more distinct from  
545 those of herbaceous plants at the more extreme ends of temperature and precipitation gradients (Fig 3  
546 b, c). Fungal richness was more sensitive to the precipitation by vegetation type interaction, which is  
547 consistent with previous work showing MAP to be the best predictor of fungal richness worldwide  
548 (Tedersoo et al., 2014). Bacterial richness was more sensitive to the temperature by vegetation type  
549 interaction, likely because bacteria tend to be less cold tolerant than fungi, and fewer strains can  
550 maintain their biomass under winter snowpack (Lazzaro, Hilfiker, & Zeyer, 2015; Zinger, Shahnavaz,  
551 Baptist, Geremia, & Choler, 2009). Furthermore, MAT was one of the best predictors of fungal richness  
552 overall and MAP was among the top predictors of both fungal and bacterial community composition (Fig  
553 3a, Fig 5c, Table S3), emphasizing the strong influence of climate on soil microbial communities in alpine  
554 environments. All together, we find that woody encroachment can significantly influence how soil  
555 microbial communities respond to temperature and precipitation and may alter both the magnitude and  
556 influence of the climate driver. Thus, future predictions of climate impacts on alpine soil microbial  
557 communities must also consider co-occurring shifts in plant community structure.

558 Due to this study's observational rather than experimental approach, we cannot conclusively state  
559 that observed differences in soil microbial communities are in *response* to woody plant encroachment  
560 rather than a potential *cause* of woody plant establishment. However, there are several reasons why we  
561 believe the former to be true. First, soil microbial communities were highly correlated with attributes of  
562 the woody plants themselves, including leaf traits, root symbiont type, and soil abiotic conditions  
563 related to litter chemistry. In addition, we selected sites where woody plant encroachment began within  
564 the last 50 years, and at most sites, woody encroachment has been present for between 30-40 years. In

565 a previous study, alpine soil microbial communities reflected the transition from a woody to herbaceous  
566 plant community in under 5 years (Collins et al., 2016) and thus we believe our sampling interval  
567 provides sufficient time for woody plants to have cultivated distinct soil communities. Next, our analysis  
568 of soil microbial community composition has focused on the saprotrophic, generalist species which are  
569 most abundant in bulk soil and unlikely to directly influence plant community composition (Fierer,  
570 2017). This analysis does not test for species-specific soil mutualists or pathogens, the taxa which most  
571 strongly influence the success of plant establishment and range expansion (Mccarthy-Neumann &  
572 Ibáñez, 2012; Nuñez, Horton, & Simberloff, 2009; Tomiolo & Ward, 2018). Finally, while all soils were  
573 collected during the growing season (alpine summer), sampling times varied among sites due to  
574 differences in growing season length and snowmelt timing. Differences in sampling time can influence  
575 site-specific patterns in soil microbial communities (Bjork, Bjorkman, Andersson, & Klemedtsson, 2008;  
576 Lazzaro et al., 2015; Lipson & Schmidt, 2004), yet despite this, we observed many consistent patterns  
577 across sites in response to woody encroachment, suggesting that vegetation strongly influences soil  
578 microbial community structure in alpine ecosystems.

579 This study documents the global impacts of woody plant encroachment on soil microbial  
580 communities, but we emphasize that multiple pathways must be considered to disentangle these  
581 impacts. Specifically, divergent functional trait strategies and functional groups of woody plants based  
582 on root symbionts have consistent impacts belowground regardless of woody plant species or site. In  
583 addition, the influence of woody plants on soil microbes can be indirect through changes in the soil  
584 abiotic environment, such as reduced soil pH driven by high C content of woody plant litter. Finally,  
585 woody encroachment can influence both the direction and magnitude of direct climate effects on  
586 microbial richness, and bacteria and fungi respond to distinct climate and woody plant drivers. Our work  
587 highlights the complexity of plant-soil interactions in rapidly changing alpine ecosystems, an  
588 understanding that will influence our ability to predict feedbacks to terrestrial ecosystem function and  
589 climate, particularly the global C cycle, where soil microbes play an integral role.

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620

**621 Data Availability**

622 All raw data and analysis scripts for this study may be found at the following repository:  
623 <https://github.com/cour10eygrace/woody-encroachment-microbes.git>. Raw Sequences may be found in  
624 the NCBI Short Read Archive (SRA) accession # PRJNA659596.

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950 **Figure legends**

951 **Fig 1.** Map and images of 13 alpine woody encroachment sites included in this study. Sites span 10  
952 countries and 4 continents. See Table 1 for further information.

953 **Fig 2.** Box and whisker plots of soil a) fungal and b) bacterial OTU richness (logged) and NMDS ordination  
954 plots of soil c) fungal (stress =0.13) and d) bacteria (stress =0.11) beta diversity (community  
955 composition) at each site. For richness, box fill color designates whether the soil was sampled in woody  
956 encroached or herbaceous plant community and box outline color designates the root symbiont type of  
957 the woody plant at each site. Here both fungal and bacterial richness are plotted on the log scale for  
958 consistency but we only logged bacterial richness in Bayesian models. For beta diversity, colored ovals  
959 represent 95% confidence intervals of sample ordination grouped by sampling site and shapes represent  
960 the vegetation community (woody or herbaceous) of each soil sample.

961 **Fig 3.** a) Parameter estimates (points) and 95% credible intervals (lines) from Bayesian hierarchical  
962 models for the effects of root symbiont type (woody plants only), climate, and soil abiotic conditions  
963 associated with woody plant encroachment on alpha diversity (OTU richness) of fungi and bacteria.  
964 Asterisks denote probabilities that the effect of a parameter is greater or less than zero based on  
965 credible intervals (\*\* = probability > 95%; \* = probability > 90%; \* = probability > 85%). Parameter  
966 estimates and credible intervals are listed in Table S2. All values are standard normalized as was done  
967 prior to modeling. b,c) Interactions between vegetation type and mean annual precipitation (MAP) and  
968 mean annual temperature (MAT) on fungal and bacterial richness. Points are raw data, lines are fitted  
969 model estimates, and all values are standard normalized. Interactions showed that encroachment by  
970 woody plants lead to increased, decreased fungal richness in sites with lower, higher precipitation and  
971 increased, decreased bacterial richness in sites with lower, higher temperature as compared to  
972 herbaceous plant communities. All values are standard normalized as was done prior to modeling

973 **Fig 4.** Diagram of impacts of woody plant leaf traits on bacterial and fungal richness via changes in soil  
974 abiotic conditions based on the Bayesian SEM. Red lines show significant negative relationships and blue  
975 lines show significant positive relationships. Slope coefficients (standardized) show the magnitude and  
976 line thickness reflects the associated credible interval of each relationship (85%, 90%, 95%). Leaf traits  
977 shown in each corner reflect loadings on each Principal coordinates (PC) axis. Parameter estimates and  
978 credible intervals are listed in table S2 and trait loadings are shown in Fig S3.

979 **Fig 5.** NMDS plots of community dissimilarity using Bray-Curtis and Weighted Unifrac distance for soil  
980 fungi (a-c) and bacteria (d-f) respectively. Colored ovals represent 95% confidence intervals of sample  
981 ordination grouped by vegetation and root symbiont type. The strongest abiotic predictor of each  
982 microbial group (MAP-Fungi and soil pH-Bacteria) is plotted on the right with a color ramp for  
983 continuous values. Model parameter estimates are listed in Table S3.

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989 **Tables and Figures**

990 **Table 1.** Woody Encroachment study sites included in this synthesis and corresponding information.  
 991 Symbiont type refers to root microbial symbionts of woody plant species Arbuscular mycorrhizal (AMF),  
 992 Ecto- or Ericoid mycorrhizal (ECM.ERM) and N<sub>2</sub>-fixing bacterial (Nfix). Reference manuscripts describe  
 993 woody encroachment patterns at each site.

Site	Latitude	Longitude	Elevation (m)	Symbiont type	Woody species	Reference
China	33.66536	101.8663515	3506.000	AMF	<i>Potentilla fruticosa</i>	Klein et al. 2007
Colombia	4.792977	-75.4254868	4024.000	AMF	<i>Hesperomeles obtusifolia</i>	Matson and Bart 2013
Czech Rep	50.768887	15.5398797	1343.749	ECM.ERM	<i>Pinus mugo</i>	Soukupová et al. 1995
France	45.421500	6.1780400	1797.946	Nfix	<i>Alnus alnobetula</i>	Anthelme et al. 2007
Italy	46.673611	10.5919444	2357.600	ECM.ERM	<i>Rhododendron ferrugineum</i>	Cannone et al. 2007
Japan	43.563258	142.9011030	1771.600	AMF	<i>Sasa kurilensis</i>	Kudo et al 2011
Mexico	19.064165	-97.2669115	4110.500	AMF	<i>Chionolaena lavandulifolia</i>	Ramírez-Amezcuca et al. 2016
Spain	42.575821	1.3667150	2100.000	AMF	<i>Juniperus communis</i>	Montané et al. 2007
Spain Ordesa	42.602807	0.0332073	1942.007	Nfix	<i>Echinopartum horridum</i>	Komac et al. 2011
Sweden	68.360658	18.7368890	740.000	ECM.ERM	<i>Salix lapponum</i>	Rundqvist et al. 2011
Switzerland	46.621100	8.6349430	1598.800	Nfix	<i>Alnus alnobetula</i>	Caviezel et al. 2014
US CA	37.576447	-118.240913	3750.000	AMF	<i>Artemisia rothrockii</i>	Kopp and Cleland 2014
US CO	40.153600	-105.670750	3530.000	ECM.ERM	<i>Salix glauca</i>	Bueno de Mesquita et al. 2018

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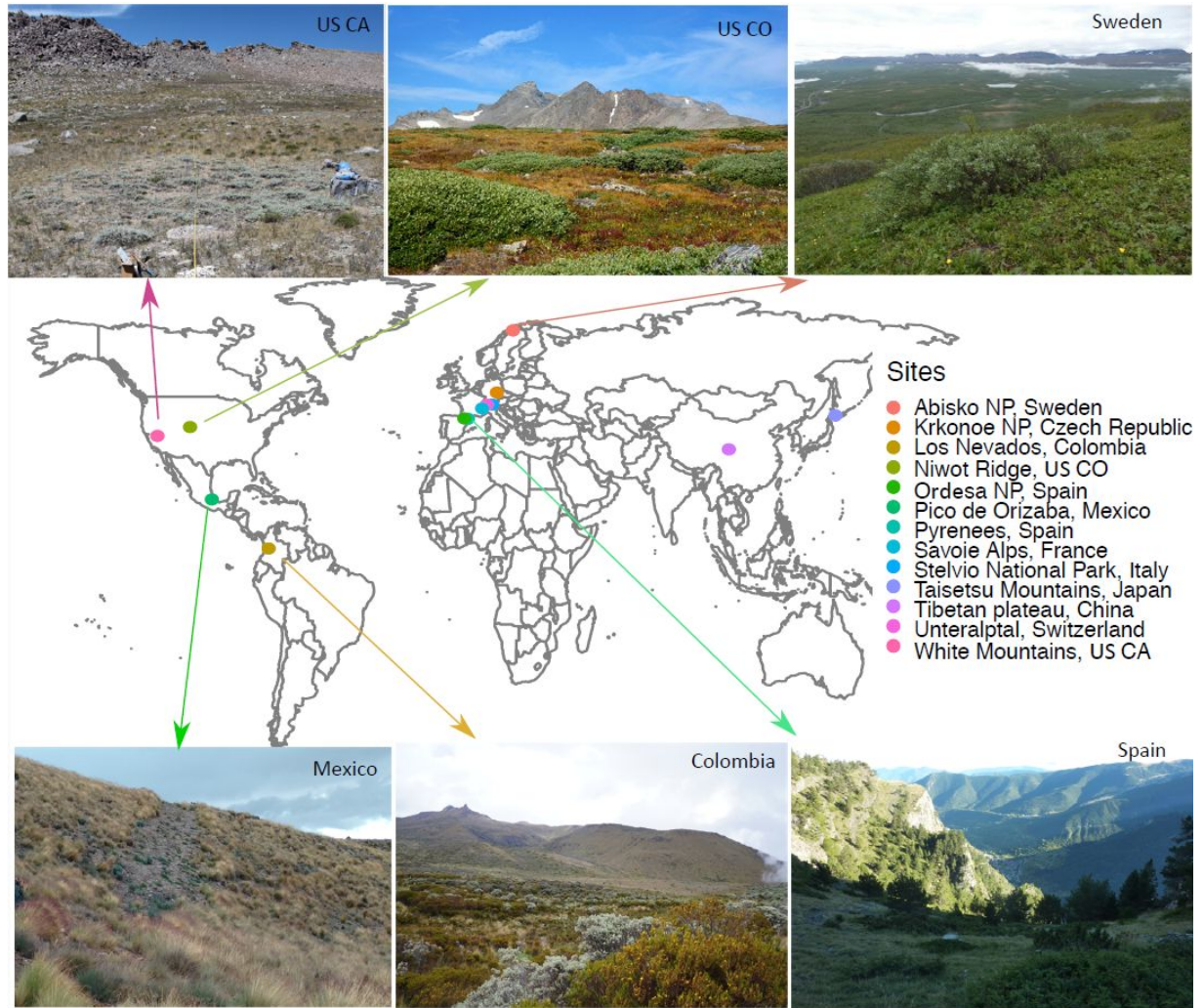
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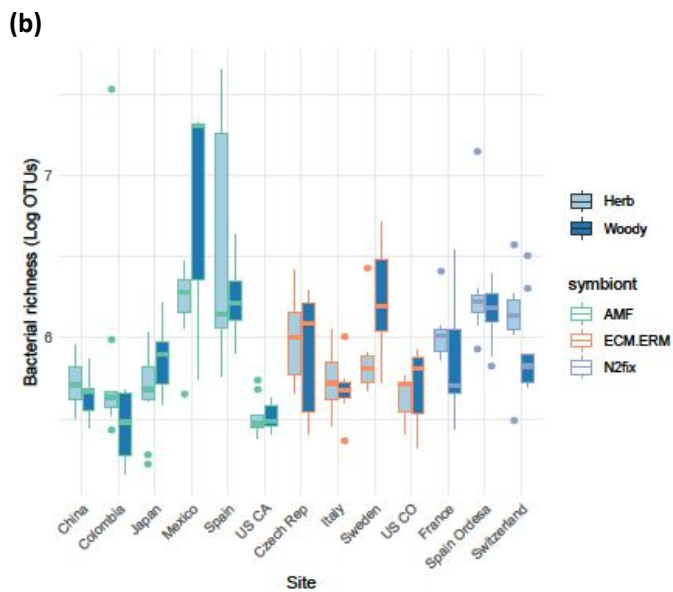
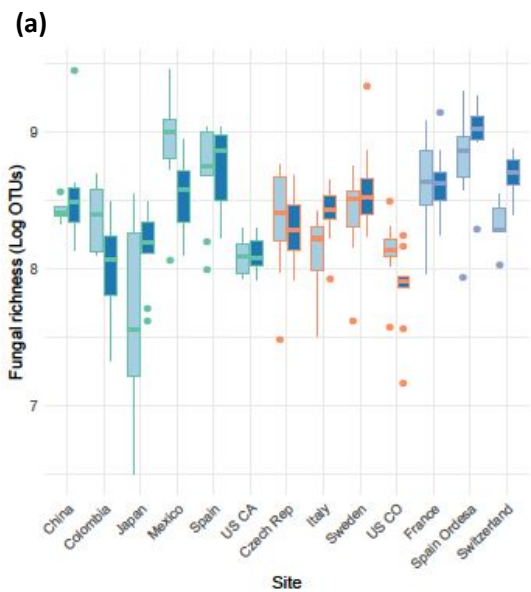


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**Fig 1.**

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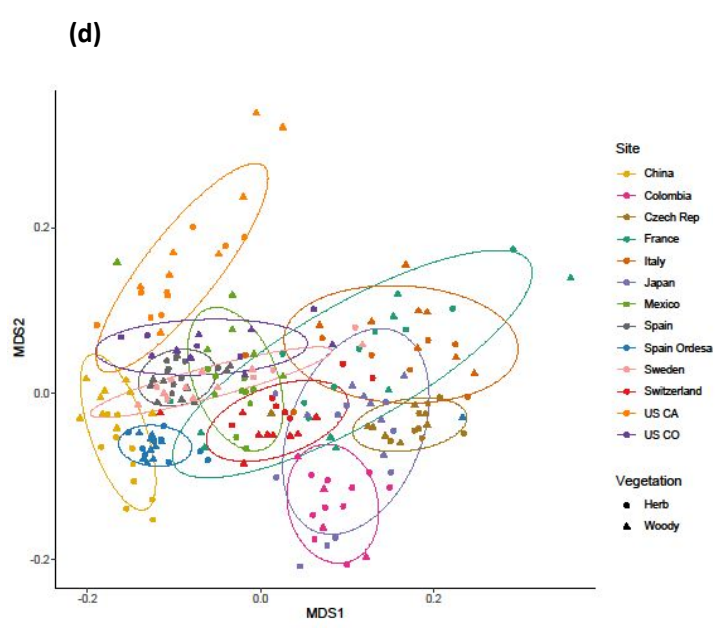
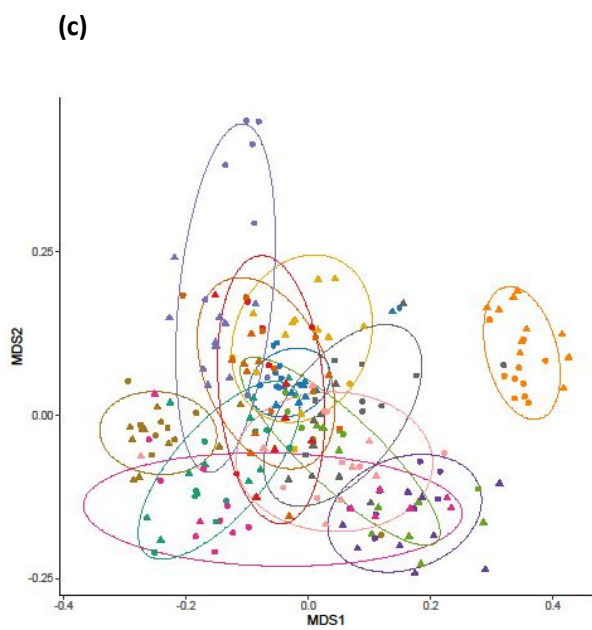


Fig 2.

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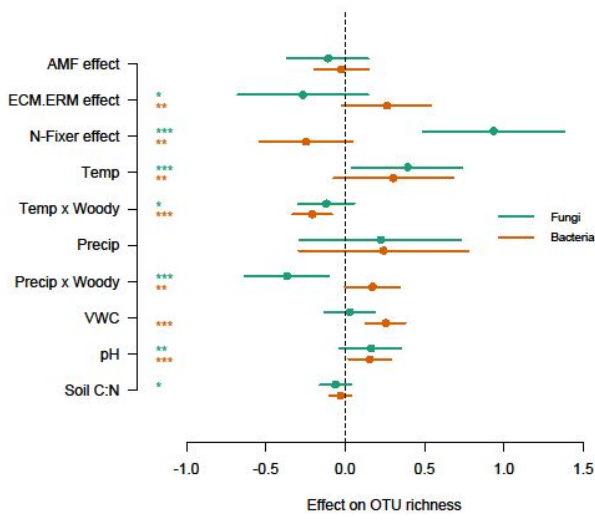
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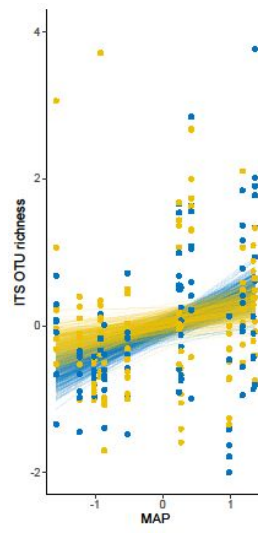
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1018 (a)



(b)



(c)

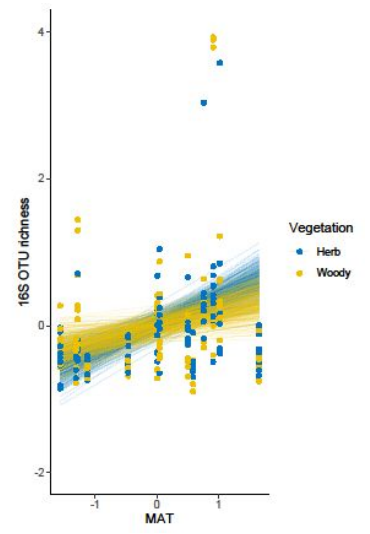


Fig 3.

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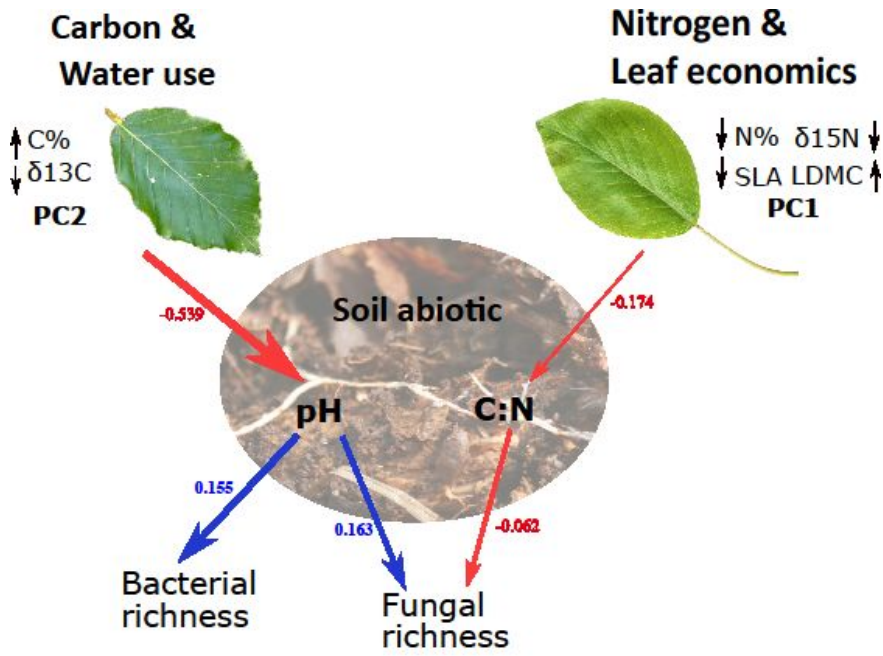
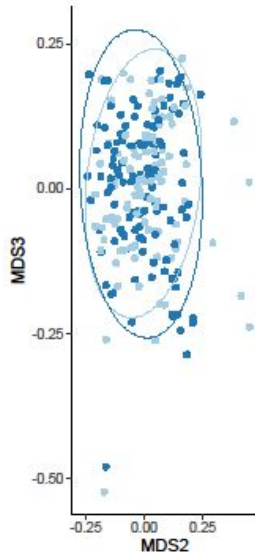


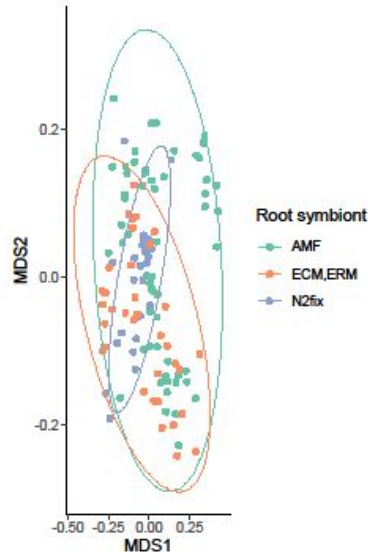
Fig 4.

1051 **Fungi**

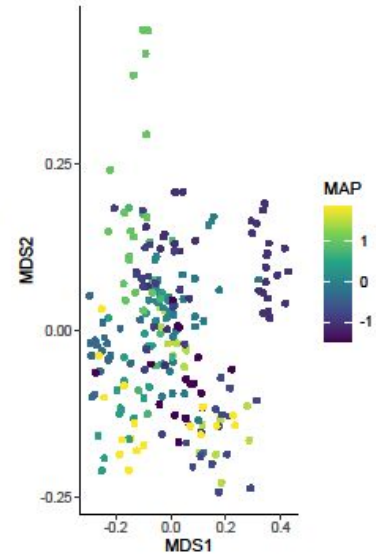
1052 (a)



(b)



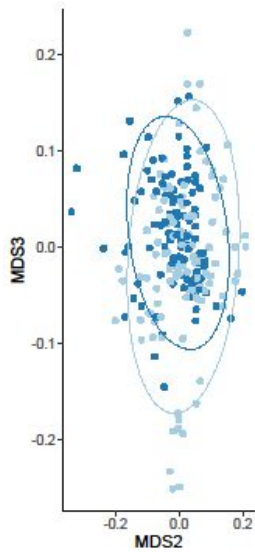
(c)



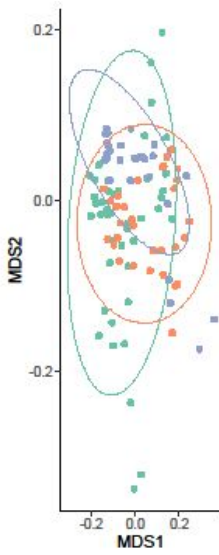
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1054 **Bacteria**

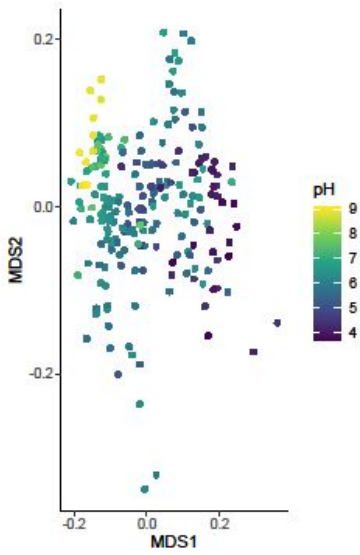
1055 (d)



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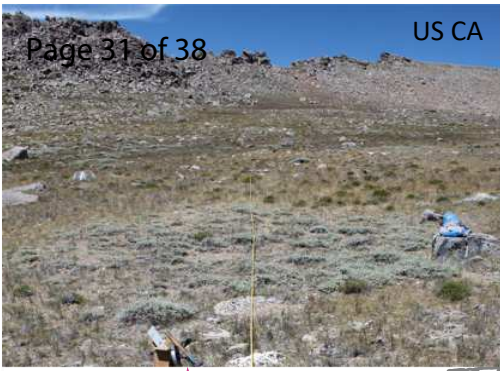


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1058 **Fig 5.**



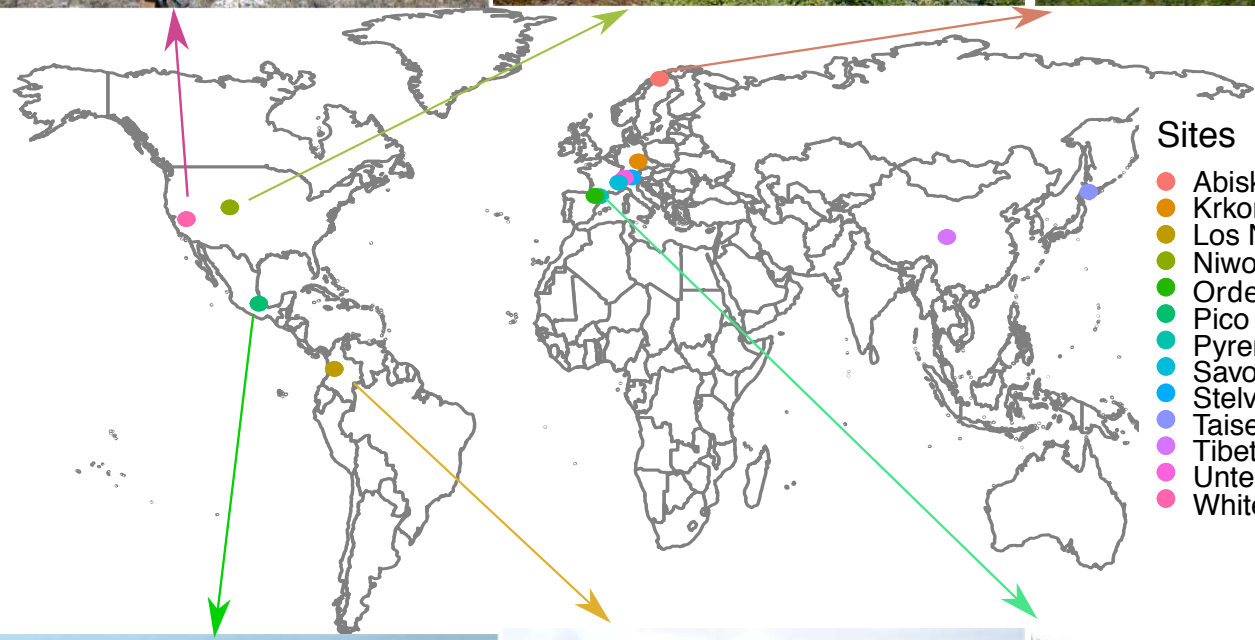
US CA



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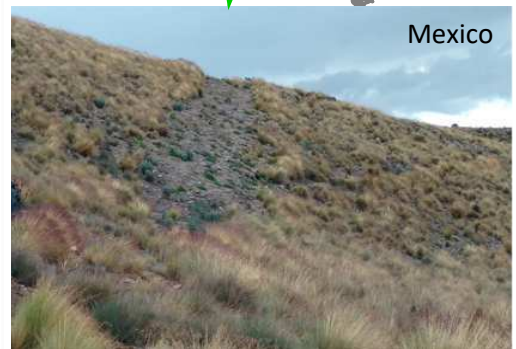
Sweden



Sites

- Abisko NP, Sweden
- Krkonoe NP, Czech Republic
- Los Nevados, Colombia
- Niwot Ridge, US CO
- Ordesa NP, Spain
- Pico de Orizaba, Mexico
- Pyrenees, Spain
- Savoie Alps, France
- Stelvio National Park, Italy
- Taisetsu Mountains, Japan
- Tibetan plateau, China
- Unteralptal, Switzerland
- White Mountains, US CA

Mexico

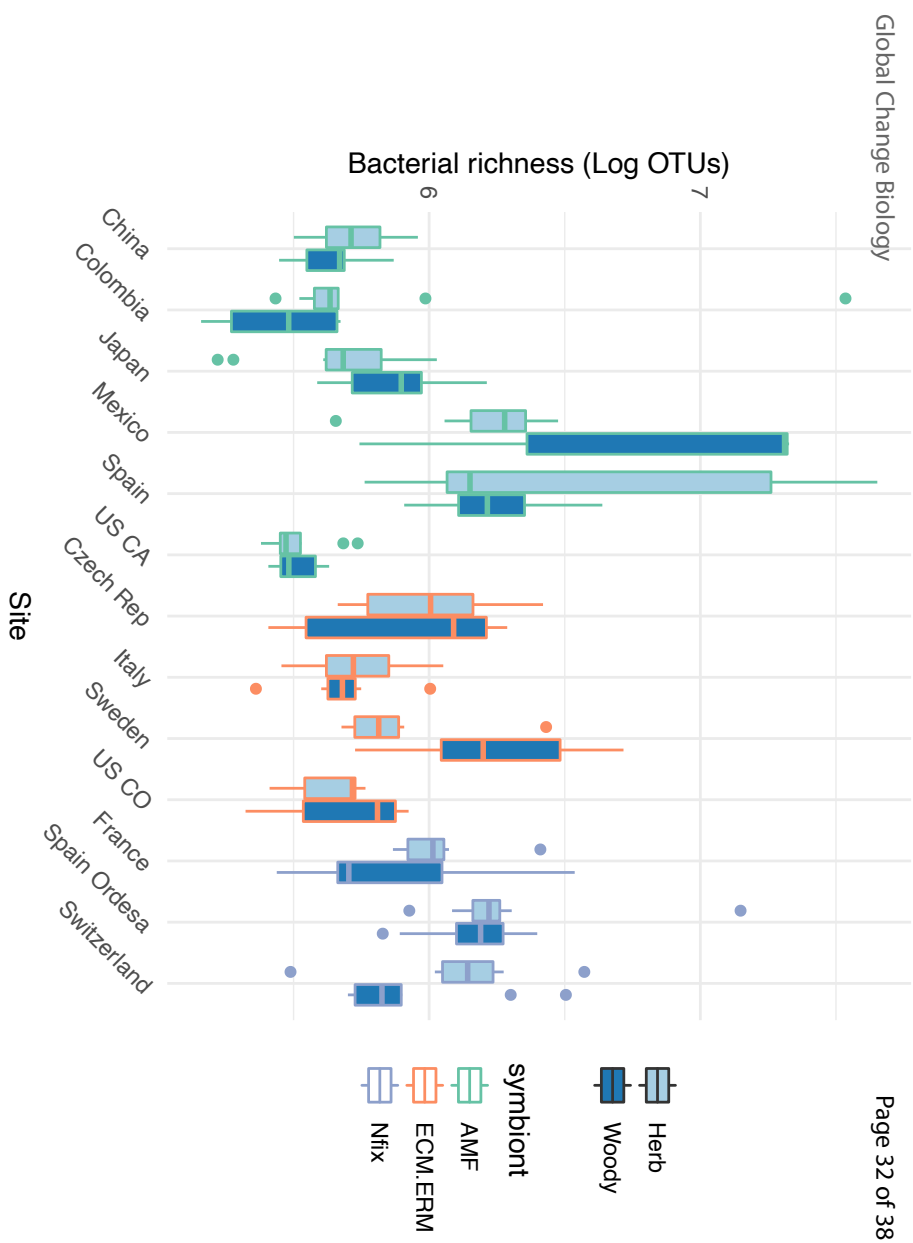
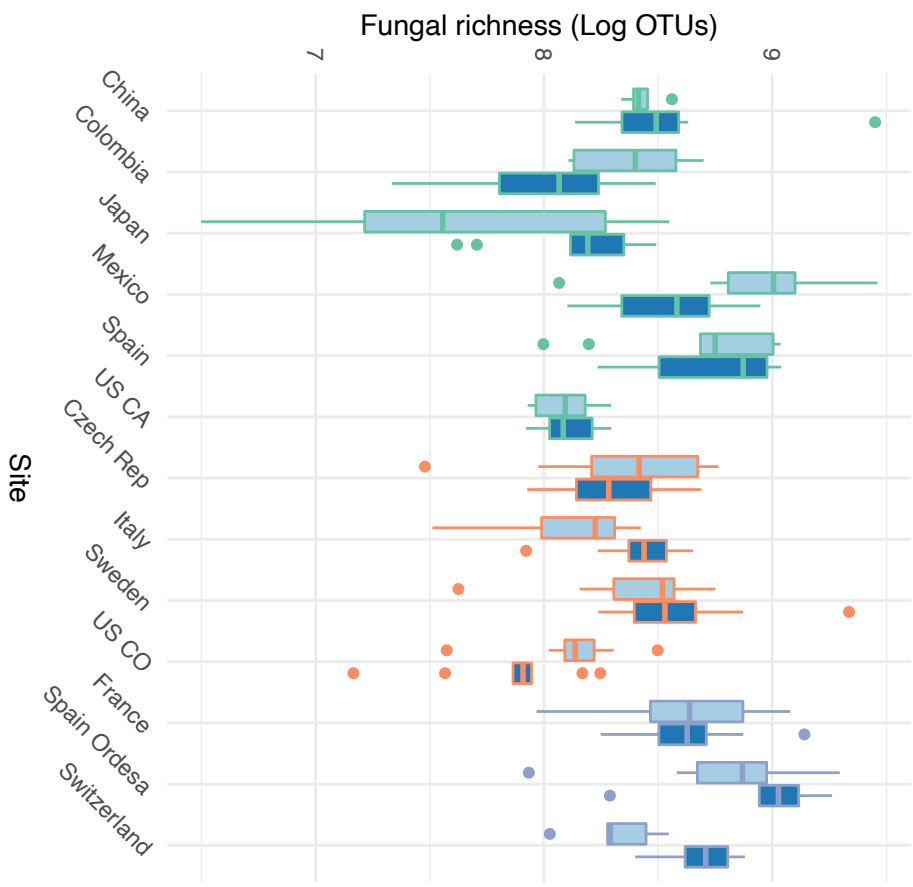


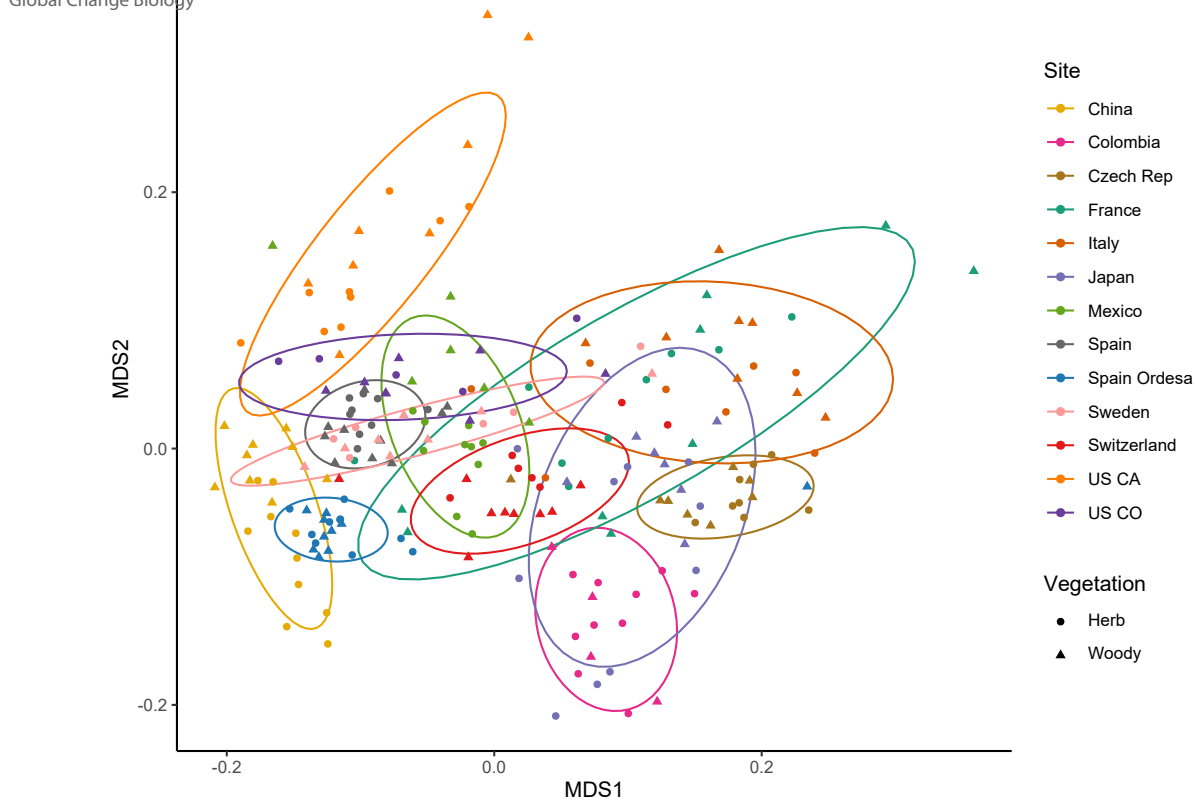
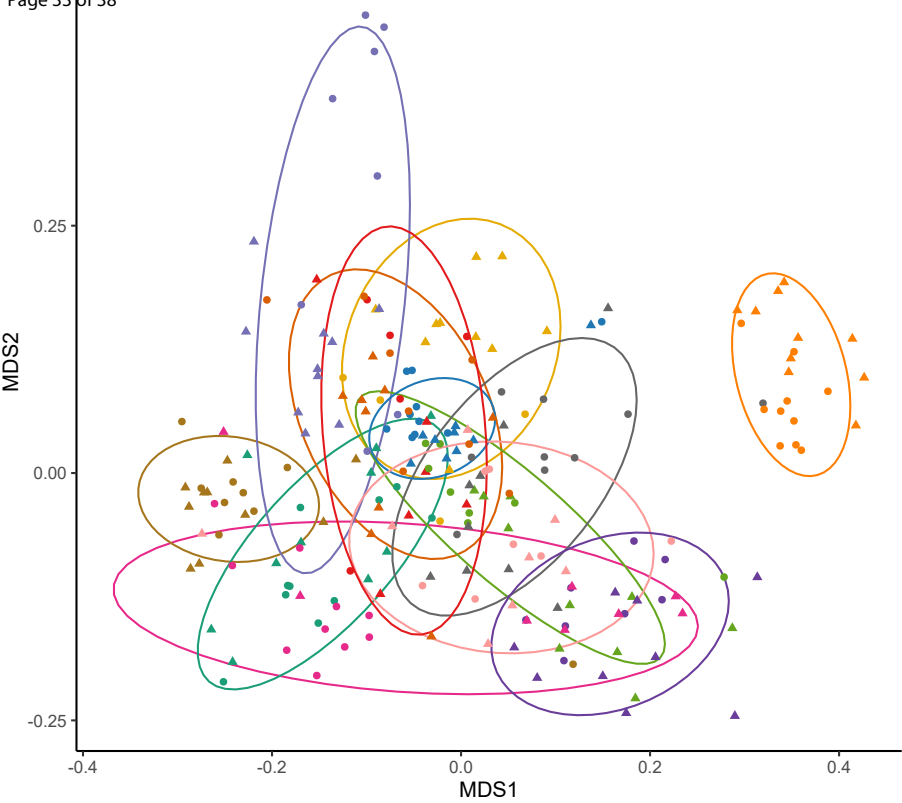
Colombia

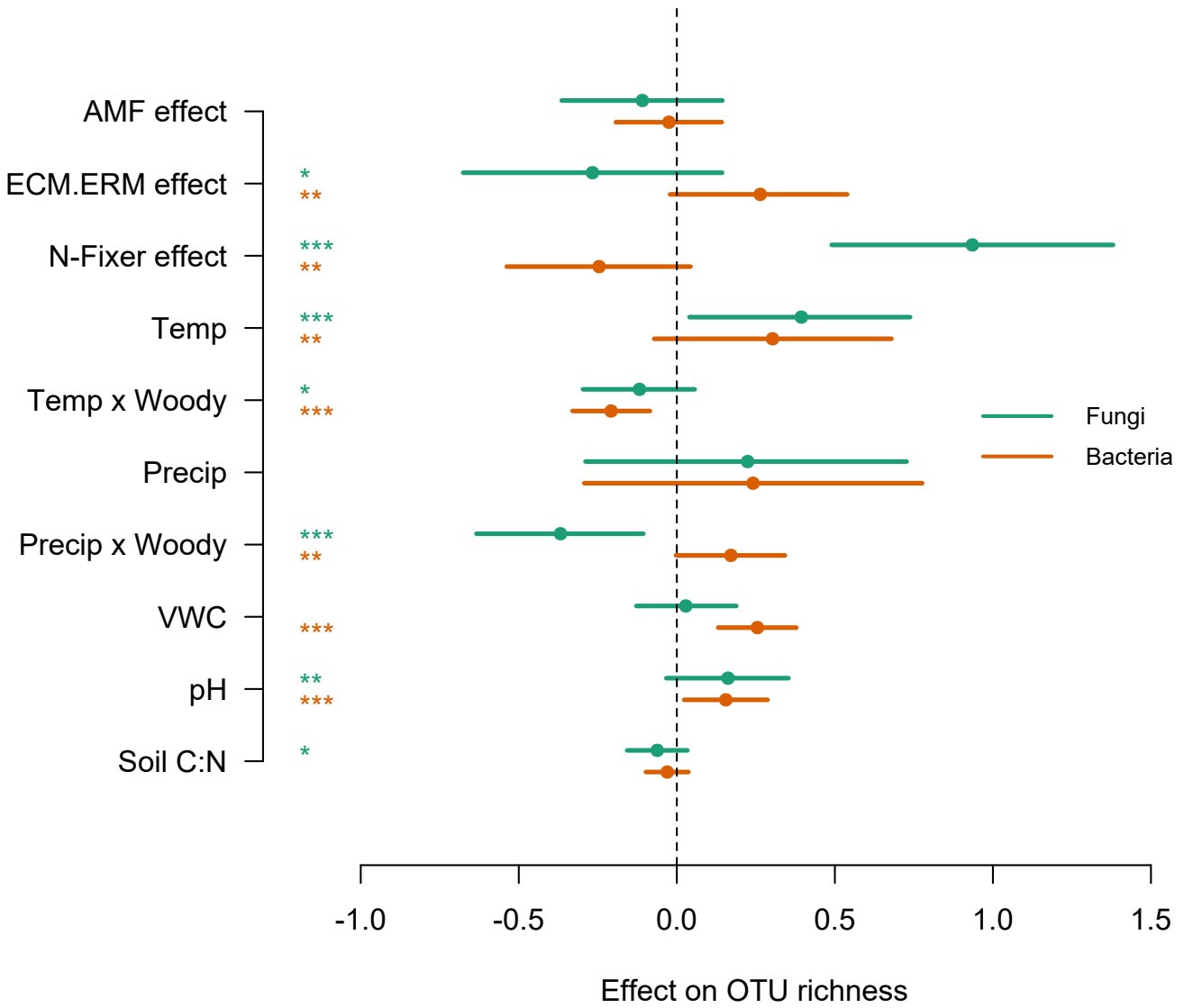


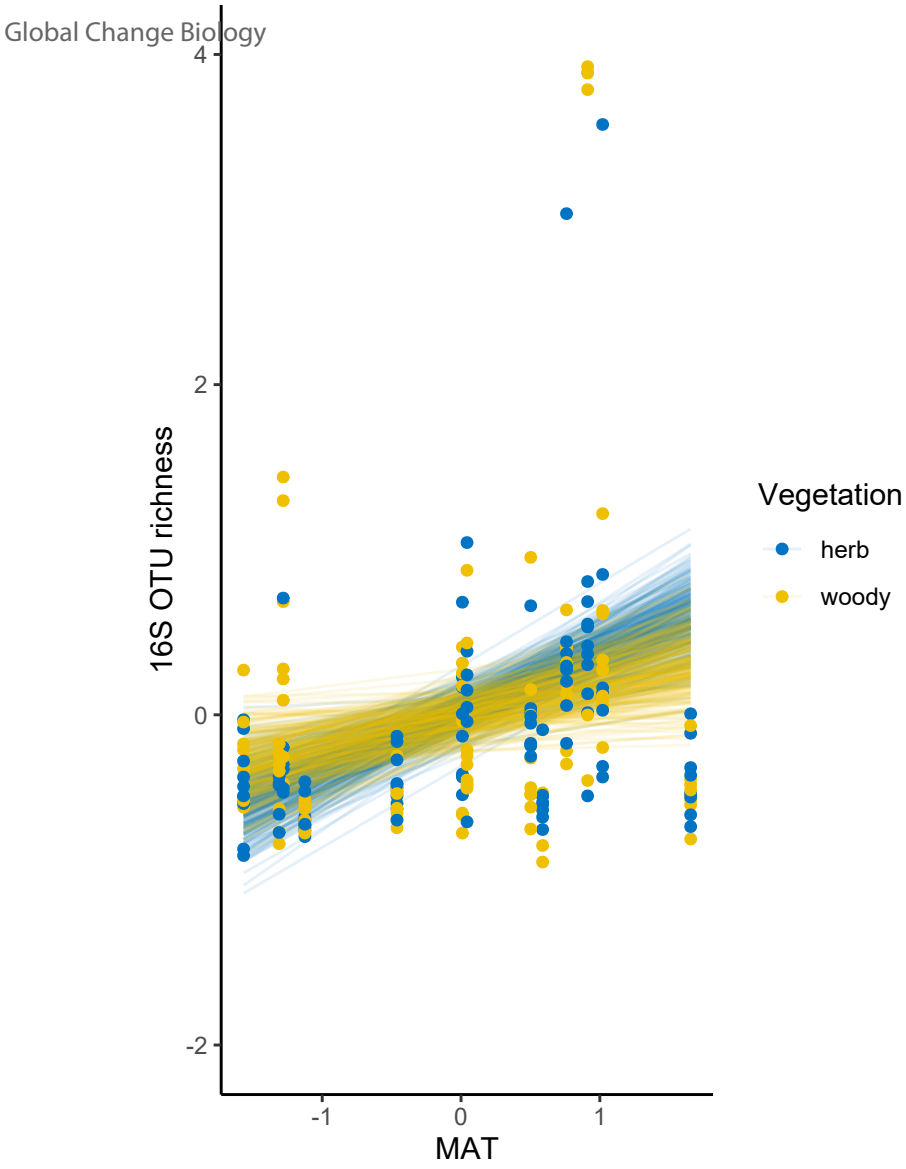
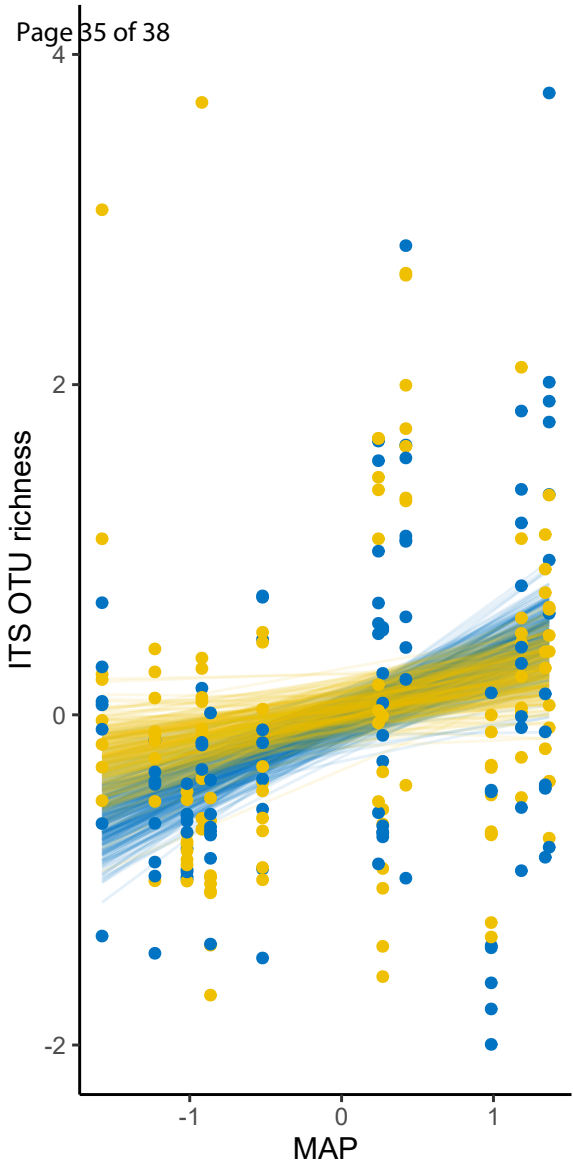
Spain











## Carbon & Water use

## Nitrogen & Leaf economics

