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The offshore boundary condition in surf zone modeling

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Abstract

Numerical models predicting surfzone waves and shoreline runup in field situations are often initialized with shoreward propagating (sea-swell, and infragravity) waves at an offshore boundary in 10-30 m water depth. We develop an offshore boundary condition, based on Fourier analysis of observations with co-located current and pressure sensors, that accounts for reflection and includes nonlinear phase-coupling. The performance of additional boundary conditions derived with limited or no infragravity observations are explored with the wave resolving, nonlinear model SWASH 1D. In some cases errors in the reduced boundary conditions (applied in 11m depth) propagate shoreward, whereas in other cases errors are localized near the offshore boundary. Boundary conditions that can be implemented without infragravity observations (e.g. bound waves) do not accurately simulate infragravity waves across the surfzone, and could corrupt predictions of morphologic change. However, the bulk properties of infragravity waves in the inner surfzone and runup are predicted to be largely independent of ig offshore boundary conditions, and dominated by ig generation and dissipation.

Keywords: boundary conditions, bispectral analysis, numerical modeling, infragravity waves

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1. Introduction

Infragravity (ig) waves, with frequencies nominally between 0.004-0.04Hz on Pacific ocean coasts, can significantly influence surfzone morphology (e.g. de Bakker et al., 2016, and references therein) and shoreline runup and inundation (e.g. Stockdon et al., 2006; Ruggiero et al., 2004). Using nonlinear perturbation analysis, Longuet-Higgins and Stewart (1962) and Hasselmann (1962) showed the theoretical existence of ig equilibrium bound waves, locally forced by quadratic interactions of two sea-swell (ss) frequency waves. With equilibrium bound waves, sea-swell wave groups and ig waves are 180° out of phase, and sea-swell and infragravity wave energies and biphases are unchanging in constant depth.

In laboratory flumes with unidirectional waves (e.g. 1D), the bound wave solution is often imposed using wavemaker motion corrections that suppress generation of free shoreward propagating ig waves (Kostense, 1985), and absorb seaward propagating ig waves. Numerical modeling of laboratory studies often include bound ig waves at the offshore boundary by default (e.g. Rijnsdorp et al., 2014; de Bakker et al., 2015). In highly controlled laboratory flumes, carefully implemented numerical models agree well with observations, and the models provide insight into the complex physics of ig waves in the surfzone and runup (Torres-Freyermuth et al., 2010; de Bakker et al., 2014, 2015; Ruju et al., 2014).

Higuera et al. (2013a,b, 2015) considered unidirectional and directional laboratory wave generation in careful detail, including absorbing side walls and second order corrections. However, the cases considered were either transient groups, or unidirectional, monochromatic waves on a beach with a channel. Orszaghova et al. (2014) showed significant differences in runup resulted when an isolated incident wave group included, and did not include, 2nd order nonlinear effects at the offshore wavemaker. Offshore boundary conditions for the case of frequency and direction spread (2D) incident waves breaking on a sloping, potentially reflective beach were not considered. This geometry supports topographic edge waves – resonances that further complicate 2D boundary con-

31 ditions.

32 Runup on ocean beaches is more complicated than in a wave flume (1D) or
33 wave basin (2D) for several reasons. Bound wave solutions lose validity with
34 increasing nonlinearity, and shoreward propagating, directionally spread free ig
35 waves, not phase coupled to ss waves, often dominate the observed infragravity
36 energy in 10-30 m depth where models are initialized. Shoreward propagating
37 free ig waves can arrive at these depths from distant sources (Bromirski et al.,
38 2010; Ardhuin et al., 2014), topographic trapping of ig waves that have reflected
39 off local beaches (Herbers et al., 1995; Smit et al., 2018), or shoaling short wave
40 groups. Furthermore, the full frequency-directional spectrum of ss and ig waves
41 required to initialize 2D models are rarely known. In region-wide predictions
42 of shoreline runup, the sea-swell frequency directional spectra at the offshore
43 boundary are obtained from wind wave generation and propagation models (e.g.
44 SWAN) that do not include relevant nonlinear ig wave dynamics. Compounding
45 the lack of boundary information, models may be initialized with data from
46 offshore wave buoys, which lack the low frequency dynamic response needed to
47 measure ig waves (Mccall et al., 2014; Gomes et al., 2016; Nicolae Lerma et al.,
48 2017). Lacking alternatives, boundary conditions for shoreward propagating
49 ig waves have included equilibrium (1D and 2D) solutions (e.g. Van Dongeren
50 et al., 2012; Mccall et al., 2014) or assumed zero ig energy at the boundary (van
51 Rooijen et al., 2016). More often, model predictions of runup do not explicitly
52 describe the ig boundary condition (e.g. Guimarães et al., 2015; Gomes et al.,
53 2016; Gallien, 2016; Nicolae Lerma et al., 2017).

54 Here, the effect of different offshore boundary conditions for ss and ig waves
55 are explored with the phase-resolving numerical model SWASH (v 4.01), a mul-
56 tilayer non-hydrostatic model that supports a range of offshore boundary con-
57 ditions, including bound waves (Zijlema et al., 2011). Linear and nonlinear
58 implementations of pressure data only, and of co-located pressure-current data,
59 are compared at the offshore boundary. A bound infragravity wave assumption,
60 which can be implemented without infragravity observations at the boundary, is
61 also discussed. The field site and test cases are described in Section 2. Analysis

62 methods are discussed in Section 3. Six offshore boundary conditions (Section 4)
63 are examined both at the offshore boundary (Section 5) and further shoreward
64 (Section 6). Conclusions are in Section 7.

65 **2. Observations, quality control and test cases**

66 Wave evolution was observed at the gently sloped (between 1/50 and 1/70)
67 Agate Beach, Oregon for two months during Fall 2013 (Fiedler et al., 2015).
68 Significant wave heights ranged from 0.5 m to 7.5 m at NDBC buoy 46050, lo-
69 cated 37 km offshore in 128 m water depth. The tide range was ~ 2 m. Co-
70 located pressure and current meters (PUVs) were deployed on a cross-shore
71 transect spanning roughly 1.2 km (Figure 1). Wave runup, co-incident with
72 the instrumented transect, was measured with a cliff-mounted, scanning lidar.
73 Bathymetry was measured on cross-shore transects from the back beach to the
74 offshore sensor using a GPS-equipped all-terrain vehicle, hand-pushed dolly, and
75 a sonar-mounted jet-ski.

76 PUV data were quality-controlled for shore-normality, biofouling or other
77 distortions from the expected linearity, and the presence of eddy motions. In
78 retained observations, the ratio of variance of wave-induced pressure to hori-
79 zontal velocity differed from the linear value by less than 30% (Herbers et al.,
80 1999). Shore-normal propagation was enforced with a restricted ratio of signif-
81 icant alongshore to cross-shore high ig frequency band (0.025-0.04 Hz) squared
82 velocities (V_{ig}^2/U_{ig}^2); ratios exceeding 0.55 with along- or cross-shore velocities
83 greater than 1 cm/s were rejected. Lastly, to exclude eddy motions not associ-
84 ated with sea surface fluctuations, records were rejected if the ratio of excess
85 kinetic energy to potential energy at ig frequencies (Lippmann et al., 1999)
86 exceeded 0.25. While all the data at the deepest (11m) gauge PUV8 passed
87 quality control, shoreward sensors sometimes failed. For instance, during the
88 highest-wave test case below, three inner-surf zone sensors were non-operational
89 or failed quality control tests. Each quality control test was failed at least once.
90 Runup observations with excessive noise from long-range (400 m) sampling,

91 wind, rain and fog, were rejected (Fiedler et al., 2015).

92 In the 19 one-hour-long test cases, wave height at the deepest (11 m depth)
 93 pressure sensor PUV8 ranged 1.2–4.7 m, peak frequency 0.06–0.1 Hz, and tide
 94 level 1.1–2.4 m NAVD88. Infragravity energy at PUV8 was not tidally modu-
 95 lated, in contrast to observations at other beaches. With the most energetic ss
 96 waves, PUV8 was within the surfzone. The bulk nonlinearity, characterized by
 97 the Ursell number (Ursell, 1952)

$$\text{Ur} = \frac{a/h}{(kh)^2} \quad (1)$$

98 varied between 0.05–0.4, where a is wave amplitude $H_{\text{sig}}/2$, h is water depth,
 99 and k a mean wavenumber, derived with the linear dispersion relationship.

100 Infragravity (0.004–0.04 Hz) and sea-swell (0.04–0.25 Hz) band-integrated
 101 contributions (second order moments) are used to estimate band reflections
 102 R_{ig}^2 and R_{ss}^2 at PUV8, where

$$R_{\text{ig}}^2 = F_{\text{ig}}^+ / F_{\text{ig}}^-, \quad (2)$$

103 with F^\pm as energy flux. F^\pm is estimated with pressure and cross-shore velocity
 104 measurements at the boundary (Sheremet et al., 2005),

$$F_{\text{ig}}^\pm = E_{\text{ig}}^\pm |C_x|, \quad (3)$$

105 where $|C_x|$ is the cross-shore group speed (linear theory) and

$$E^\pm(f, x) = \frac{1}{4} \left(S_{\text{PP}}(f, x) + \frac{h^2}{C_x^2} S_{\text{UU}}(f, x) \pm 2 \frac{h}{|C_x|} \Re\{S_{\text{PU}}(f, x)\} \right), \quad (4)$$

106 where S_{PP} and S_{UU} are autospectra of ig-frequency pressure and velocity, and
 107 $\Re\{S_{\text{PU}}\}$ is the real part of the pressure-velocity cross spectrum.

108 Agate is low sloped, and $R_{\text{ss}}^2 < 0.01$ at PUV8 for all cases (not shown). R_{ig}^2
 109 ranged from 0.1–1.0 (Figure 2a). With energetic ss waves, ig waves primarily
 110 propagate onshore (e.g. when $H = 4.5$ m, $\text{Ur} \sim 0.4$, $R_{\text{ig}}^2 \sim 0.1$). In contrast,
 111 with lower ss energy ($H = 1.2$ m, $\text{Ur} \sim 0.05$), shoreward and seaward fluxes are
 112 approximately equal ($R_{\text{ig}}^2 \sim 1$).

113 **3. Third order statistics**

114 Spectral and bispectral analysis are commonly used to describe second and
 115 third order moments, by analyzing the statistics of a wave field in the frequency
 116 domain (Hasselmann, 1962; Kim and Powers, 1979; Elgar and Guza, 1985a,b;
 117 Herbers and Burton, 1997; Thomson et al., 2006; Guedes et al., 2013; Pequignet
 118 et al., 2014; de Bakker et al., 2015, and many others). Specifically, bulk vari-
 119 ance, skewness and asymmetry are obtained from appropriate integration over
 120 the spectrum and/or bispectrum. Extracting particular correlations between
 121 the ig and ss band requires diligent definition of integration domain of the bi-
 122 spectrum (e.g. de Bakker et al., 2015). Alternatively, third order statistics may
 123 be computed in the time domain. Decomposing the demeaned sea surface η
 124 into infragravity ig (0.004-0.04 Hz) and sea-swell ss (0.04-0.25 Hz) components
 125 $\eta = \eta_{ig} + \eta_{ss}$ yields

$$\langle \eta^2 \rangle = \langle \eta_{ig}^2 \rangle + \langle \eta_{ss}^2 \rangle \quad (5)$$

126 where $\langle \dots \rangle$ is the time average. The variance is simply the sum of ig and ss
 127 components (5), whereas the skewness also contains interaction terms

$$\text{Sk} \equiv \langle \eta^3 \rangle = \langle \eta_{ss}^3 \rangle + 3\langle \eta_{ig}\eta_{ss}^2 \rangle + 3\langle \eta_{ss}\eta_{ig}^2 \rangle + \langle \eta_{ig}^3 \rangle. \quad (6)$$

128 Here $\text{Sk}_{ss,ss,ss} = \langle \eta_{ss}^3 \rangle$ and $\text{Sk}_{ig,ig,ig} = \langle \eta_{ig}^3 \rangle$ are skewness values associated with
 129 the individual bands. Further, $\text{Sk}_{ig,ss,ss} = 3\langle \eta_{ig}\eta_{ss}^2 \rangle$ essentially describes correla-
 130 tion between ss wave amplitude modulation and waves in the ig-band, and is of
 131 prime interest here. The second interaction term $\text{Sk}_{ig,ig,ss} = 3\langle \eta_{ig}^2\eta_{ss} \rangle$ describes
 132 sum correlation of ig waves and a (nonlinearly forced) harmonic in the ss-band.
 133 These correlations are only significant in the surf-zone (where ig-waves become
 134 nonlinear, e.g. prior to breaking) and are not further considered here. Similarly,
 135 defining asymmetry as $\text{As} = \langle \mathcal{H}\{\eta\}^3 \rangle$, where $\mathcal{H}\{n\}$ is the Hilbert transform of
 136 η ,

$$\text{As} = \text{As}_{ss,ss,ss} + \text{As}_{ig,ss,ss} + \text{As}_{ig,ig,ss} + \text{As}_{ig,ig,ig}. \quad (7)$$

137 Apart from practical differences introduced due to statistical chatter and
 138 spectral analysis, integrated parameters obtained from decompositions calcu-

139 lated in the time-domain are equivalent to those obtained from bispectral anal-
 140 ysis, but typically more convenient to calculate. Further, by representing the
 141 demeaned sea surface as the complex analytic signal

$$\zeta = \eta + i\mathcal{H}\{\eta\},$$

and introducing a decomposition into ig and ss bands as before ($\zeta = \zeta_{\text{ig}} + \zeta_{\text{ss}}$), skewness and asymmetry can be succinctly described by complex third order moments of ζ . In particular, we find

$$\begin{aligned} \text{Sk}_{\text{ss,ss,ss}} + i\text{As}_{\text{ss,ss,ss}} &= \frac{3}{4} \langle \zeta_{\text{ss}} \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle, \\ \text{Sk}_{\text{ig,ss,ss}} + i\text{As}_{\text{ig,ss,ss}} &= \frac{3}{2} \langle \zeta_{\text{ig}} \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle, \\ \text{Sk}_{\text{ig,ig,ig}} + i\text{As}_{\text{ig,ig,ig}} &= \frac{3}{4} \langle \zeta_{\text{ig}} \zeta_{\text{ig}} \zeta_{\text{ig}}^* \rangle, \end{aligned}$$

142 where skewness and asymmetry are associated with real or imaginary parts of
 143 the complex third order moments. Further, analogous to the definition of the
 144 biphas as the argument of the bispectrum, the biphas associated with the
 145 correlation between wave groups and ig-waves can be defined as

$$\phi_{\text{ig,ss,ss}} = \tan^{-1} \frac{\text{As}_{\text{ig,ss,ss}}}{\text{Sk}_{\text{ig,ss,ss}}}. \quad (8)$$

146 Similarly, $\phi_{\text{ss,ss,ss}}$ is the biphas of η_{ss}^3 . The shape of individual short and long
 147 waves are described by $\phi_{\text{ss,ss,ss}}$ and $\phi_{\text{ig,ig,ig}}$. Peaky and pitched-forward waves
 148 have biphases of 0° and 90° , respectively (Masuda and Kuo, 1981).

149 When the highest waves in an ss group coincide with the crest of an ig wave,
 150 the constructively superposed crests create $\text{Sk}_{\text{ig,ss,ss}} > 0$. With an equilibrium
 151 bound wave, the largest short waves are in a long wave trough, and construc-
 152 tively superposed troughs yield $\text{Sk}_{\text{ig,ss,ss}} < 0$ (Figure 3a). At PUV8, $\text{Sk}_{\text{ig,ss,ss}}$
 153 is increasingly negative with increasing Ur (Figure 2b). Of several plausible
 154 options, we use a $\text{Sk}_{\text{ig,ss,ss}}$ normalization that, similar to bicoherence, is con-
 155 strained between -1 and 1 (equation A.5, see Appendix A for details).

156 4. Model boundary conditions

157 Deterministic nearshore wave models require a phase-resolved description of
158 the incident wave field along the entire forcing boundary with velocities and
159 surface elevation. However, typically only estimates of the directional sea/swell
160 spectrum E_{ss} are available, and the ss signal is constructed by assigning uni-
161 formly random phases and formally Rayleigh distributed amplitudes to binned
162 intervals of the spectrum, whereas free contributions to η_{ig} are neglected by ne-
163 cessity (E_{ig} is unknown). If the waves are moderately steep and in intermediate
164 to deep water, weak nonlinearity (bound response) may be included by use of
165 equilibrium theory. However, due to computational and logistical constraints,
166 surfzone and runup models are typically initialized at moderate depths (10–
167 30 m) where free ig-waves can contribute significantly and equilibrium theory
168 can break down with high Ursell number (e.g. resonance or near-resonance).
169 Infragravity boundary conditions based on E_{ss} alone are problematic. It is
170 unclear whether it is worse to neglect shoreward propagating ig waves at the
171 offshore boundary ($E_{ig} = 0$), or to include potentially erroneous bound wave
172 estimates.

173 PUV observations of near-bottom pressure and wave velocities potentially
174 give increased information on non-linearity and ig-characteristics although only
175 limited directional information can be inferred. The assumption of 1D cross-
176 shore dynamics is reasonable for ss-band waves if observations are in close prox-
177 imity to shore. Although ig-waves observed on the inner shelf are often closer
178 to omni- than uni-directional (e.g. Herbers et al., 1995), in lieu of better alter-
179 natives we use 1D ig-dynamics. Frequency spectra E_{ss} and E_{ig} derived from the
180 pressure signal P provides non-directional estimates. Including cross-shore cur-
181 rent (U) measurements allow separation of incident and reflected waves, some-
182 times significant in the ig-band (ss-band reflection is usually negligible on low
183 slope beaches). Consequently, with local pressure and velocity observations we
184 can compare estimates based on E_{ss} alone (using equilibrium theory, or $E_{ig} = 0$),
185 to a linear estimate of η_{ig} derived from P assuming free wave ig-dynamics where

186 we optionally remove reflected waves from the signal in the ig-band. We refer to
 187 these various options as Boundwave, $E_{ig} = 0$, Free P , and Free PU boundaries,
 188 respectively, wherein sea-swell phases are randomized to simulate a spectral
 189 input to the boundary (Table 1).

Table 1: Tested boundary conditions

	BC	IG (0.004-0.04 Hz)	SS (0.04-0.25 Hz)	Reflection ^a	Nonlinearity ^b		
					SS	IG	SS-IG
(1)	Boundwave	2nd order equilibrium bound solution	P , random phases	no	no	no	yes
(2)	$E_{ig} = 0$	zero IG energy	P , random phases	no	no	no	no
(3)	Free P	P , random phases	P , random phases	no	no	no	no
(4)	Fourier P	P , observed phases	P , observed phases	no	yes	yes	yes
(5)	Free PU	PU , random phases	P , random phases	yes	no	no	no
(6)	Fourier PU	PU , observed phases	P , observed phases	yes	yes	yes	yes

^a Combined P and U allow for removal of reflected waves.

^b Nonlinearity is divided into three nonlinear phase-coupling groups; sea-swell only (SS), infragravity only (IG), and interaction nonlinearity between the two frequency bands (SS-IG).

190 As shown below, boundary conditions assuming random phases are problem-
 191 atic when phases are nonlinearly coupled. For example, the peaky and pitched
 192 forward (skewed and asymmetric) shapes of shoaled waves are not reproduced
 193 with random ss phases. Although the Boundwave BC (Model 1 in Table 1)
 194 includes interaction nonlinearity between ig and ss, the assumption of a passive
 195 bound response of ig waves to incident wave groups is usually violated. Only
 196 Fourier methods (Models 4,6 in Table 1) include phase coupling in all frequency
 197 bands; the model can be directly driven with phases derived from a Fourier se-
 198 ries representation of the observations. In shallow water a linear reconstruction
 199 of surface amplitudes from pressure is sufficient to obtain second order accu-
 200 racy in non-linearity, although reconstruction of normal velocities does require
 201 higher order corrections. Further, if the reflected signal is assumed small, P and

202 U observations can be used to remove reflected contributions from the signal
 203 (referred to as Fourier PU boundary; see Table 1). The Fourier PU bound-
 204 ary condition preserves nonlinear coupling present in the observed shoreward
 205 propagating components of the observations.

206 To evaluate the performance of different boundary conditions, the model
 207 domain extends from the most offshore sensor PUV8 to the landward edge of
 208 the swash zone, with variable cross-shore grid spacing decreasing from $dx = 3$ m
 209 offshore to $dx = 1$ m in the swash zone (see Fiedler et al. (2018) for details).
 210 Two layers, default numerics, and default breaking and friction coefficients, are
 211 used. These suffice to evaluate different boundary conditions, as well as error
 212 propagation away from the boundary. To match the one-hour-long test cases,
 213 model data were collected for 1 hour after 10 minutes of spin up. Each simulation
 214 took approximately 30 minutes to run on a laptop computer.

215 5. Results at the offshore boundary

216 Pressure-derived BCs (Free P , Fourier P) neglect reflection and overestimate
 217 the incoming energy flux by roughly R_{ig}^2 (Figure 4), about a factor of 2 for the
 218 largest $R_{ig}^2 \sim 1$. Boundary conditions that include velocity (Free PU , Fourier
 219 PU) include reflection, but overestimate the observed shoreward flux slightly
 220 ($\sim 13\%$ average), due to neglected wave directionality. With the highest incident
 221 energy (strongest nonlinearity, $Ur \sim 0.4$), the 1D bound wave BC overestimates
 222 the observed shoreward energy flux by ~ 9 (not shown). Bound wave (including
 223 2D, not shown) errors are sometimes large owing to the presence of free ig waves
 224 and/or violation of the equilibrium bound wave assumptions $Ur \ll 1$.

225 The largest skewness terms at the boundary, $Sk_{ss,ss,ss} = \langle \eta_{ss}^3 \rangle$ and the ig-ss-
 226 ss interaction term $Sk_{ig,ss,ss} = 3\langle \eta_{ig}\eta_{ss}^2 \rangle$ are well reproduced by BCs retaining
 227 the measured phase relationships (Fourier P and Fourier PU , Figure 5a,c).
 228 Free wave BC, assume $Sk_{ss,ss,ss} = Sk_{ig,ss,ss} = 0$. The $E_{ig} = 0$ BC also assumes
 229 $Sk_{ss,ss,ss} = 0$, but is undefined for $Sk_{ig,ss,ss}$. Fourier PU is overall the best of these
 230 boundary conditions. With the largest waves, $R_{ig}^2 \ll 1$ and Fourier P performs

231 about as well as Fourier PU for skewness but still slightly overestimates the ig
 232 energy flux at the boundary (Figure 4 and 5a,c).

233 6. Boundary error propagation

234 The influence of different boundary-forcing is examined for 19 cases at PUV5
 235 in 5 m depth, midway between PUV8 and the shoreline (Figure 5) and for two
 236 cases of contrasting high and moderate energy (Figure 6; Case 12; $H = 1.52$ m,
 237 $Ur = 0.05$, $R_{ig}^2 \sim 1$, and Case 1; $H = 4.2$ m, $Ur = 0.4$, and $R_{ig}^2 \sim 0.1$). In Case
 238 12, the ss surfzone begins in 3 m water depth near $x = -600$ m, while in Case 1
 239 waves are breaking at PUV8, in roughly 11 m depth (vertical gray line, Figure
 240 6a,b).

241 Two BC (1, Bound and 2, $E_{ig} = 0$) can be applied without ig observations at
 242 the boundary. Bound waves can overestimate shoreward propagating ig energy
 243 with large Ur , and this overestimation persists to the inner surfzone (blue line,
 244 Figure 6d), although $Sk_{ig,ss,ss}$ for Case 1 is well-represented starting approxi-
 245 mately 100 m into the domain (blue line, Figure 6k). More generally, the bound
 246 wave BC performs moderately well for bulk wave heights (e.g. blue line, Figure
 247 6c) but overestimates the skewness through the mid-surfzone (Figure 5b). For
 248 Case 12, the misfit persists until roughly $x = -500$ m, or about 2 m water depth.

249 The underestimation of H_{ig} with the BC $E_{ig} = 0$ is corrected within 400 m
 250 (red line, Figure 6c,d). Immediately onshore of the boundary, $\phi_{ig,ss,ss}$ is slightly
 251 greater than $\pi/2$, in contrast to the observed $\phi_{ig,ss,ss}$ of slightly less than π (red
 252 line, Figure 6g,m). This biphasic difference is consistent with continued energy
 253 transfer from the sea-swell waves to the infragravity band by triad interactions
 254 (Herbers and Burton, 1997; de Bakker et al., 2015). The rapid over-adjustment
 255 and subsequent under-prediction of the biphasic does not correct itself until much
 256 shallower water.

257 Fiedler et al. (2018) suggest that the 1D Bound BC can be used for bulk
 258 runup estimates, lacking ig boundary observations. The present results also
 259 suggest convergence of $E_{ig} = 0$ toward a common solution in the inner surf zone.

260 As discussed in section 6.3, similar bulk runup statistics are predicted using
 261 all offshore BC (1-6 in Table 1), with differences between BC comparable to
 262 differences in a single BC with varying friction coefficient. Although 1D Bound
 263 and $E_{ig} = 0$ can yield useful runup predictions, these BC do not accurately
 264 simulate infragravity waves across the entire surfzone, potentially corrupting
 265 predictions of morphologic change.

266 Infragravity observations are required for the remaining BCs (3-6). Nonzero
 267 $Sk_{ig,ss,ss}$ are predicted to evolve from the initially Gaussian distributions of the
 268 free wave BCs. After reaching 5 m water depth, skewness values are closer to
 269 observations than initially, but still differ significantly (Figure 5b). Recovery
 270 from boundary condition errors for the relatively long wavelength ig waves is
 271 incomplete, and slower than for the shorter ss waves (compare Figure 5b with
 272 5d).

273 Sediment transport is often assumed directly proportional or related to the
 274 wave skewness or asymmetry (Bailard and Inman, 1981; Hoefel and Elgar, 2003,
 275 and many others). At the offshore boundary, $E_{ss} \gg E_{ig}$ and unnormalized
 276 $Sk_{ig,ss,ss}$ is always less than unnormalized $Sk_{ss,ss,ss}$ (normalized moments are
 277 shown in Figure 6). For both the moderate and energetic wave cases, at the
 278 offshore boundary the ratio of unnormalized ($Sk_{ig,ss,ss}/Sk_{ss,ss,ss}$) is about -0.25.
 279 Near the shoreline, ig waves are relatively more important than offshore, and
 280 $Sk_{ig,ss,ss}/Sk_{ss,ss,ss}$ is 0.5 and 5.5 for the moderate and energetic cases, respec-
 281 tively. With energetic incident waves, $Sk_{ig,ss,ss} \gg Sk_{ss,ss,ss}$ and the ig-ss-ss
 282 interaction is crucial to accurate modeling of sediment transport.

283 Closer to the shoreline, local nonlinear processes largely collapse all BC
 284 solutions to the observations. With both high and low ss waves, and with all
 285 BC, the interaction biphase $\phi_{ig,ss,ss}$ evolves rapidly near the shoreline, where it
 286 crosses 0 (short wave group crests occur at long wave crests) in shallow waters
 287 and hovers between 0 and $\pi/2$. The consequences of this biphase evolution to
 288 runup requires further exploration. As shown in section 6.3, similar bulk runup
 289 statistics are predicted by all offshore BC.

290 *6.1. Infragravity-band adjustment lengths*

291 Previous results show that methods retaining phase information (Fourier
292 P , Fourier PU) are preferable if observations are available. In practice phase-
293 resolved observations are often unavailable, and consequently insight into ad-
294 justment length-scales is desirable. Here we will define the adjustment length
295 scale as the distance from the boundary where ig-energy levels, set by $E_{ig} = 0$,
296 recovered to within 5% of the values obtained with the Fourier PU BC. With the
297 exception of one test case that did not recover from the original E_{ig} deficit until
298 very shallow water and which is hereafter excluded, the observed ig equalization
299 distances vary within 150-650 m of the boundary (e.g. Figure 6c,d). Note that
300 because ig dynamics are nonlinear, $E_{ig} = 0$ at the boundary does not necessarily
301 produce the lowest E_{ig} at onshore locations. In some individual cases H_{ig} with
302 different offshore BC first converge, and then diverge near the shoreline (Figure
303 6c). Runup, considered for all cases, is not sensitive to the BC (Figure 7) .

304 Inserting infragravity energy fluxes into a nonlinear energy balance (as in
305 Henderson et al., 2006; Fiedler et al., 2015) confirms that energy growth in the
306 ig band is due to triad interactions. Hence, apparent recovery is principally
307 driven by nonlinear interactions. Even so, the cases considered display no sys-
308 tematic dependence of the adjustment length on the Ursell number (not shown).
309 The recovery distance is inversely dependent on peak frequency ($r^2=0.35$) and
310 spectral narrowness Q_B ($r^2=0.42$), where $Q_B = (T_{m,0,2}/T_{m,-1,0})^2$ (Rogers and
311 Van Vledder, 2013). These parameters are mutually correlated, however, and
312 the limited simulations presented here do not allow us to extend these results
313 to general conclusions.

314 *6.2. Sea-swell band*

315 Though focus has been on ig-band dynamics, errors in the the ss-band skew-
316 ness near the boundary can be large for boundaries that do not include observed
317 phase-information. However, for the ss-band, the wave field rapidly relaxes to a
318 more natural state, and observable differences are localized within a few 100 m
319 of the offshore boundary - even for large Ur and breaking ss waves at the offshore

320 boundary (blue and red lines, Figure 6n). In general, the ss biphas $\phi_{ss,ss,ss}$ is
 321 near 0 (peaky) offshore of $x \sim -800$ m, and then drifts toward $-\pi/2$ (pitched
 322 forward) (Figure 6j,p). Sea-swell wave evolution approaching a pitched forward
 323 shape, independent of initial phases, has been previously noted in similar depths
 324 and wave conditions (e.g. Elgar and Guza, 1985a,b). Sea-swell waves are always
 325 weakly reflected at Agate, so P and PU methods yield similar results.

326 At the offshore boundary, SWASH bound-wave forcing at present excludes
 327 bound sum interactions, explaining the Gaussian sea-swell statistics ($Sk_{ss,ss,ss} =$
 328 0, in blue, Figure 5c, Figure 6h,n). Sea-swell skewness values estimated from 2nd
 329 order equilibrium (e.g. Stokes) theory using observed spectra agree well with ob-
 330 served values (not shown). Inclusion in a future SWASH revision would correct
 331 these boundary discrepancies, but is unlikely to significantly affect results other-
 332 wise. Nonlinear sum interactions quickly relax the ss-band third-order statistics
 333 toward the natural state, and memory of erroneous boundary conditions is short
 334 for ss waves. Given otherwise indistinguishable short wave evolution (6a,b), en-
 335 forcing a Gaussian state at the boundary does not significantly influence the
 336 ss-band wave dynamics.

337 6.3. Bulk runup statistics

338 Observed and modeled bulk runup statistics, including steady wave setup $\langle \eta \rangle$
 339 and sea-swell and infragravity swash height, are compared with all tested bound-
 340 ary conditions. In both model and observations, the runup edge is defined with
 341 a 10 cm vertical threshold. Setup is the elevation of the mean runup edge above
 342 the still water level, and significant swash height is defined as $S_{ig/ss} = 4\sigma_{ig/ss}$,
 343 where $\sigma_{ig/ss}$ is the variance in the infragravity or sea-swell band, following
 344 Stockdon et al. (2006). Shoreline setup is defined relative to the water level at
 345 P8 (the most offshore P gage, Figure 1).

346 Setup is well-predicted and does not depend on offshore boundary conditions.
 347 For all 19 test cases, modeled (with all BC) and observed setup are within 7%,
 348 with a typical difference of 9. cm (not shown). Modeled and observed sea-swell
 349 swash height S_{ss} are always small (< 0.2 m), with modeled S_{ss} differing by only

350 2.4 cm (not shown). Infragravity swash height S_{ig} for different BC typically span
 351 a larger range 30 cm (Figure 7a), about 30% of the typical 1 m S_{ig} . For the
 352 default model friction, Manning’s roughness coefficient $n = 0.019$, the bound
 353 wave BC performs best. However, an analysis of covariance (ANCOVA) test for
 354 total runup, defined as $\langle \eta \rangle + S/2$, with $S = \sqrt{S_{ig}^2 + S_{ss}^2}$, shows the models do not
 355 differ statistically from each other with 95% confidence.

356 Modeled infragravity runup predictions are sensitive to friction, as shown
 357 for the Fourier PU BC (Figure 7b). The n values, between 0.016 and 0.025, are
 358 within the range typically used in surf zone modeling (e.g. Apotsos et al., 2007;
 359 Smit et al., 2013). Improved modeling of friction, for example by increasing the
 360 number of model layers, and including boundary layers and turbulent mixing,
 361 is beyond the present scope.

362 The present results are applicable to low beach slopes, and the sensitivity
 363 to offshore BC could be different on steep beaches when breakpoint generation
 364 is important (Battjes et al., 2004). For the practical applications of runup
 365 predictions where no observations are available at the offshore boundary, and
 366 the erroneous ig physics in the surfzone are not of concern, a boundary condition
 367 of 1D boundwaves with $n=0.019$ is viable. However, Fourier PU is clearly the
 368 overall most accurate representation of wave conditions (linear and nonlinear)
 369 at the offshore boundary and across the surfzone (Figures 4, 5 and 6).

370 7. Discussion and Conclusions

371 In many of the test cases with the preferred PU Fourier boundary condi-
 372 tions, ss shoreward propagating wave heights are accurately predicted through
 373 the outer half of the domain, while ig wave heights are over-predicted. Fourier
 374 *PU* and Free *PU* reduce the effect of shoreline reflection neglected in the *P*-only
 375 estimates, but are $\sim 13\%$ higher at the boundary owing to the lack of direc-
 376 tional spread in the 1D boundary conditions used here. Furthermore, the lack
 377 of directional spread may over-amplify ig wave growth. The ig skewness, asym-
 378 metry and biphases for these large wave cases with the Fourier *PU* BC input is

379 generally well-predicted, however, suggesting that errors in energy transfers are
380 large enough to influence wave height but have relatively less effect on normal-
381 ized moments. The Fourier BCs match well with the observations. Free wave
382 conditions (Free P , Free PU) at the boundary impose Gaussian statistics (Sk
383 $= 0$) for skewness regardless of Ur . The BC inputs at the boundary therefore
384 perform as expected; free waves should not have any associated skewness as
385 they are fundamentally uncoupled, whereas the prescribed phases Fourier BCs
386 should match exactly the observations. In modeling nonlinear moments, the
387 difference in choice of pressure and current or pressure-only inputs makes little
388 difference.

389 Accurate representation of both the shoreward energy flux and nonlinear
390 moments allows for more precise interpretation of dynamics both onshore and
391 seaward of the surfzone. Wave shape, and its associated velocity skewness and
392 asymmetry, is thought to strongly influence cross-shore sediment transport (e.g.
393 Hoefel and Elgar, 2003), although the role of infragravity contributions is not
394 well understood. Infragravity sediment flux may depend on the correlation of ig
395 and short waves (Roelvink and Stive, 1989) and relative ig and ss wave heights
396 (e.g. de Bakker et al., 2016). As boundary condition errors propagate into
397 the surfzone (Figure 5), errors in bulk and higher order statistics would affect
398 estimates of both ss and ig sediment transport in both magnitude and direction.

399 The nonlinear moments of all tested models tended toward collapse to a
400 common solution in the inner surfzone, and modeled bulk runup statistics reveal
401 no statistical difference between the tested boundary conditions. These results
402 suggest a strong local forcing in the surfzone, largely independent of the ig
403 offshore boundary conditions. Closer examination of higher order statistics in
404 the surf and swash zones may further elucidate the dynamics leading to this
405 collapse, as well as the physics of ig-ss interactions in extreme runup.

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416 **Appendix A. Normalization of 3rd order moments**

417 To interpret the strength of the correlation for the ig-ss-ss interaction skew-
 418 ness and asymmetry it is convenient to normalize the results. Here we consider
 419 three alternatives: total variance, band variance, and constrained. Normaliza-
 420 tion with total variance gives

$$\text{Sk}'_{\text{ig,ss,ss}} + i\text{As}'_{\text{ig,ss,ss}} = \frac{3}{2} \frac{\langle \zeta_{\text{ig}} \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle}{\langle \eta^2 \rangle^{3/2}} \quad (\text{A.1})$$

421 and typically yields small values at the offshore boundary where $\langle \eta_{\text{ig}}^2 \rangle \ll \langle \eta_{\text{ss}}^2 \rangle$
 422 (Figure 2b), even if ig and ss waves are (strongly) correlated. Alternatively,
 423 normalization by band (rather than total) variance gives

$$\text{Sk}'_{\text{ig,ss,ss}} + i\text{As}'_{\text{ig,ss,ss}} = \frac{3}{2} \frac{\langle \zeta_{\text{ig}} \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle}{\langle \eta_{\text{ss}}^2 \rangle \sqrt{\langle \eta_{\text{ig}}^2 \rangle}} \quad (\text{A.2})$$

424 This yields higher values even if ig signal is relatively small, but values are still
 425 unconstrained.

To constrain normalized skewness and asymmetry, we decompose the third
 order complex moment as the correlation between zero mean signals A and B ,
 i.e.

$$A = \frac{3}{2} \zeta_{\text{ig}}, \quad B = \zeta_{\text{ss}} \zeta_{\text{ss}}^* - \langle \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle, \quad (\text{A.3})$$

426 where inclusion of the factor $3/2$ in A or B is arbitrary. The real signal B
 427 can be interpreted as fluctuations in short wave energy. Since $\langle \zeta_{\text{ig}} \langle \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle \rangle = 0$,
 428 the third order correlation can be interpreted as a second order cross-correlation
 429 between A and B . Further since only low frequency oscillations in $B = B_{\text{ig}} + B_{\text{ss}}$
 430 that lie in the ig-band can correlate with ζ_{ig} , we find

$$\langle \zeta_{\text{ig}} \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle = \langle AB \rangle = \langle AB_{\text{ig}} \rangle \quad (\text{A.4})$$

431 Since the latter is simply a two point correlation between signals A and B_{ig} we
 432 can normalized moments analogous to the Pierson correlation coefficient as

$$\text{Sk}'_{\text{ig,ss,ss}} + i\text{As}'_{\text{ig,ss,ss}} = \frac{\sqrt{2} \langle AB_{\text{ig}} \rangle}{\sqrt{\langle AA^* \rangle \langle B_{\text{ig}}^2 \rangle}} = \frac{\sqrt{2} \langle \zeta_{\text{ig}} \zeta_{\text{ss}} \zeta_{\text{ss}}^* \rangle}{\sqrt{\langle \zeta_{\text{ig}} \zeta_{\text{ig}}^* \rangle \langle B_{\text{ig}}^2 \rangle}} \quad (\text{A.5})$$

433 Here skewness and asymmetry are constrained between -1 and 1, and further
 434 the bicoherence

$$C_{\text{ig,ss,ss}}^2 = \text{Sk}_{\text{ig,ss,ss}}^2 + \text{As}_{\text{ig,ss,ss}}^2 \quad (\text{A.6})$$

435 is constrained as $0 \leq C_{\text{ig,ss,ss}} \leq 1$. The advantage of this definition is that it
 436 filters all irrelevant contributions from the scaling, and produces values close
 437 to one if wave groups and ig-waves are strongly coupled regardless of relative
 438 magnitude (Figures 2, 5, 6).

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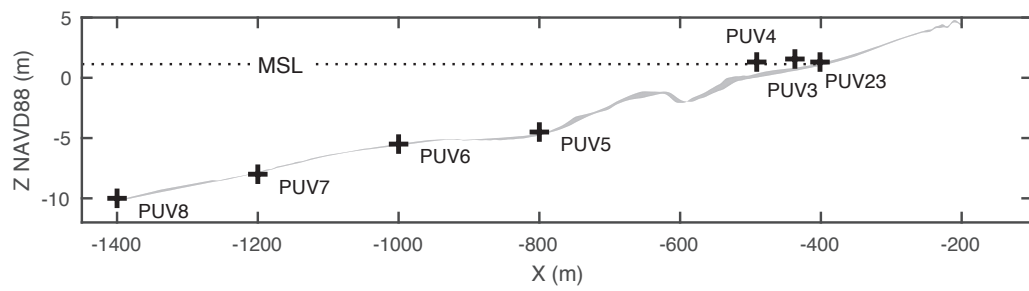


Figure 1: Agate Beach, Oregon: depth versus cross-shore distance, with co-located pressure sensor and current meter locations.

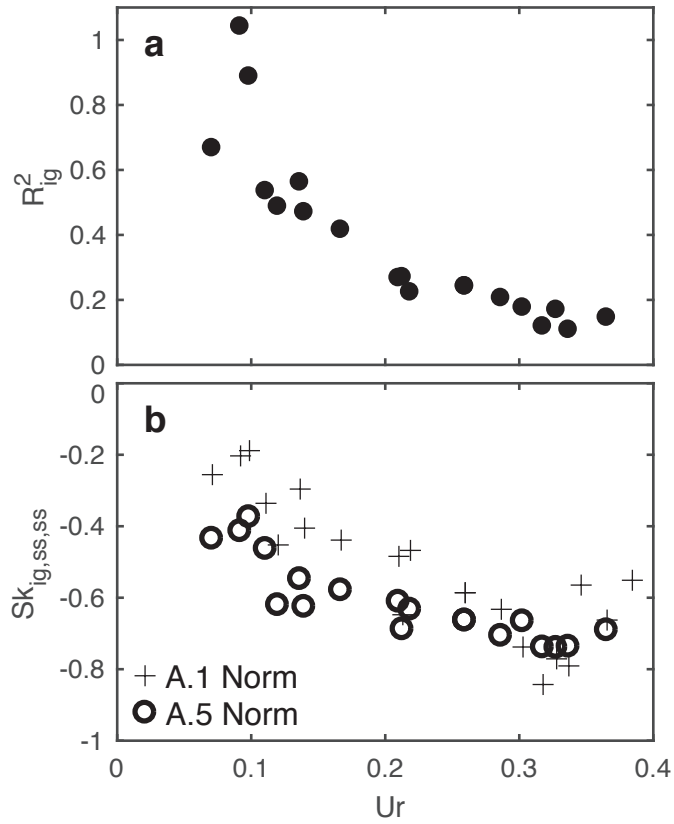


Figure 2: At the offshore boundary (a) Infragravity reflection coefficient R_{ig}^2 and (b) interaction skewness versus Ursell number Ur (1). Data skewness normalizations are to total skewness (+, A.1, multiplied by 4 for visibility), and constrained (circles, A.5). Normalized skewness constrained between +1 and -1 (equation A.5) is used below.

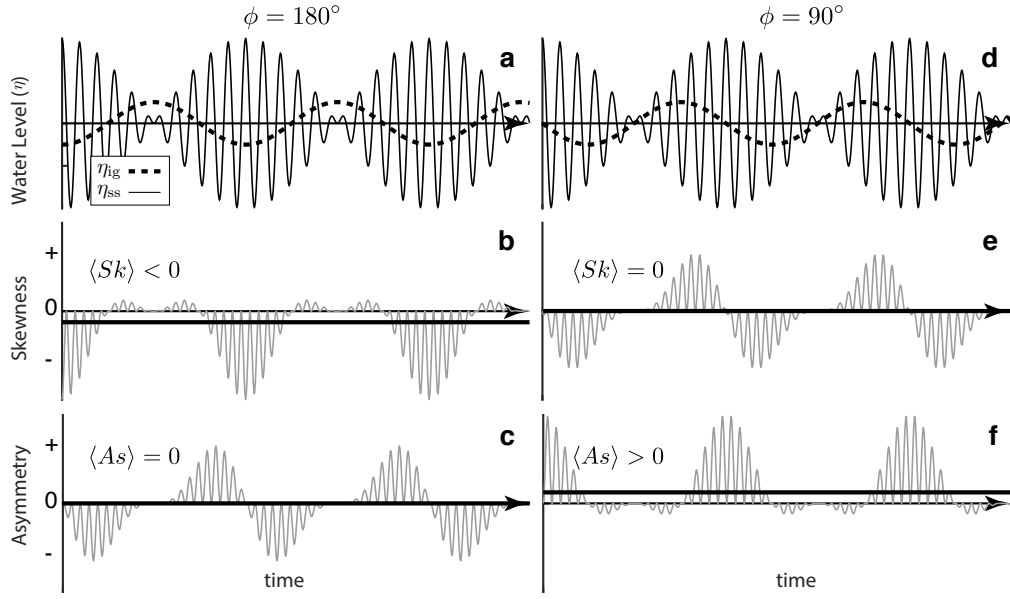


Figure 3: (a,d) Water elevations versus time for a short wave group (η_{ss}) and a long wave (η_{ig}). Units are arbitrary. Biphase ϕ is (left) 180° and (right) 90° . The ss waves are equal amplitude sinusoids at f and $f + df$, with the (exaggerated) ig wave at frequency df . Infragravity and ss waves are themselves not skewed or asymmetric ($Sk_{ig,ig} = As_{ig,ig} = Sk_{ss,ss} = As_{ss,ss} = 0$). (b,e) Instantaneous interaction ig-ss-ss skewness term $3\eta_{ig}\eta_{ss}^2 = \frac{3}{2}\Re\langle\zeta_{ig}\zeta_{ss}\zeta_{ss}^*\rangle$ versus time, with the time average ($= Sk_{ig,ss,ss}$) shown by the solid bold line. (c,f) Instantaneous interaction asymmetry $\frac{3}{2}\Im\langle\zeta_{ig}\zeta_{ss}\zeta_{ss}^*\rangle$ versus time (time average, bold line). The instantaneous skewness and asymmetry (b,c,e,f) contain low and high frequency terms, and a mean.

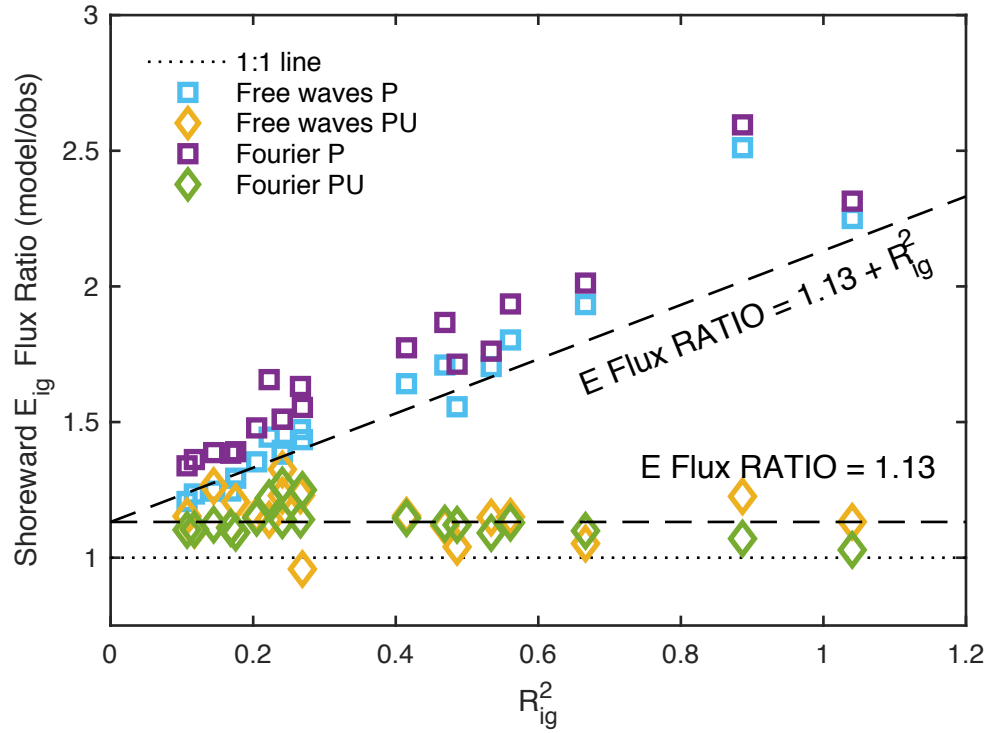


Figure 4: Modeled ig shoreward energy flux (normalized by observed) versus ig reflection coefficient R_{ig}^2 , both at the offshore boundary, for different boundary conditions (see legend). Boundary conditions using pressure P only (squares) neglect shoreline reflection, and overestimate the shoreward energy flux by a factor of $(1.13 + R_{ig}^2)$. Using the cross-shore velocity (U) in the boundary condition (diamonds, Fourier PU and Free PU) includes reflection, and is more accurate. The small (13%) overestimation with PU is owing to satisfying the 1D model assumption of normally incident waves, and neglecting wave directionality that is included in the observed estimate. Linear flux estimates are not affected by differences between free and Fourier boundary conditions.

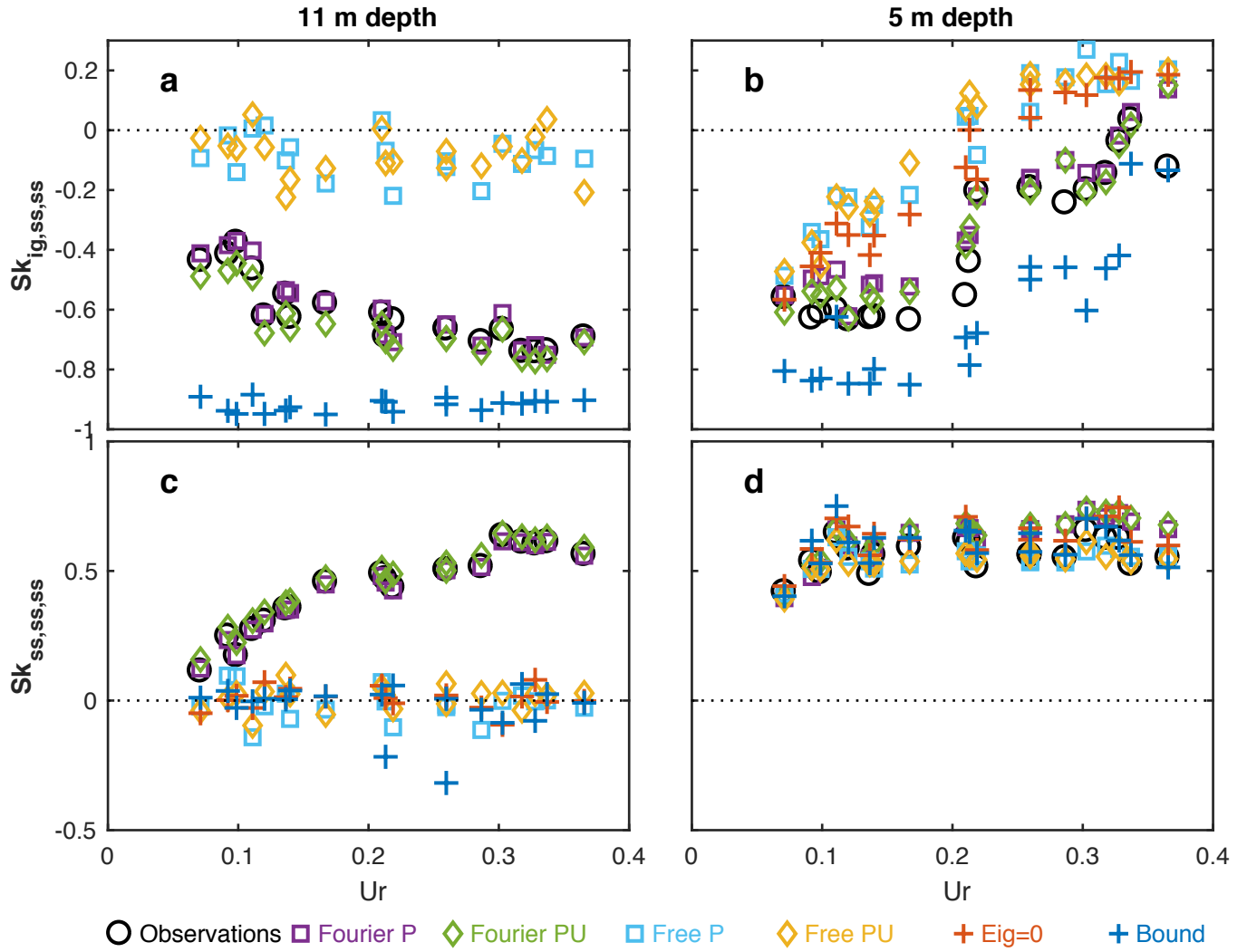


Figure 5: Skewness of the shoreward propagating signal at the boundary (11 m depth, left) and mid-array (5 m depth, right) for (a,b) $Sk_{ig,ss,ss}$ and (c,d) $Sk_{ss,ss,ss}$. Symbols correspond to different boundary conditions (legend). At the boundary (a,c), free wave boundary conditions yield Gaussian statistics (skew= 0), whereas observed Fourier boundary conditions (P and PU) match the observed skewness (black circles). Difference interaction skewness (a,b) is constrained between -1 and 1 (A.5), and self interaction skewness (c,d) is normalized to the total variance. $Sk_{ig,ss,ss}$ for E_{ig} is undefined at the boundary, and not shown.

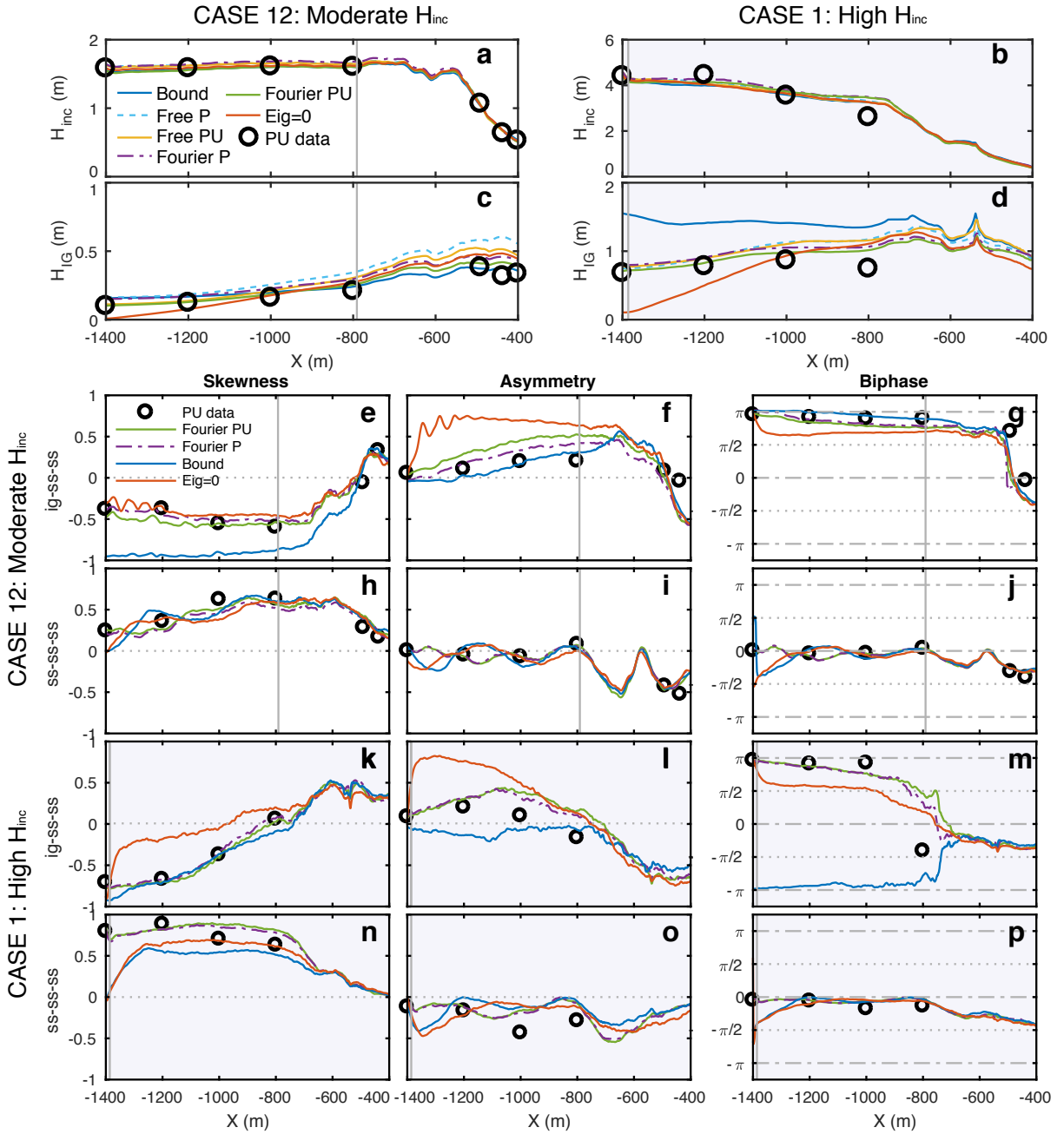


Figure 6: Shoreward propagating (a,b) incident and (c,d) infragravity wave heights versus cross-shore distance x with (left) moderate and (right) high wave energy. (e-p) Nonlinear moments of shoreward propagating waves versus cross-shore distance. Moments: (left) skewness Sk (center) asymmetry As and (right) biphase ϕ . Sk and As are normalized to between -1 and 1 for the ig-ss-ss sub-band and to total variance for ss-ss-ss. High energy panels are shaded gray. Line types correspond to initial conditions (legend in (a)). Model data in water depths less than 0.75 m are not shown.

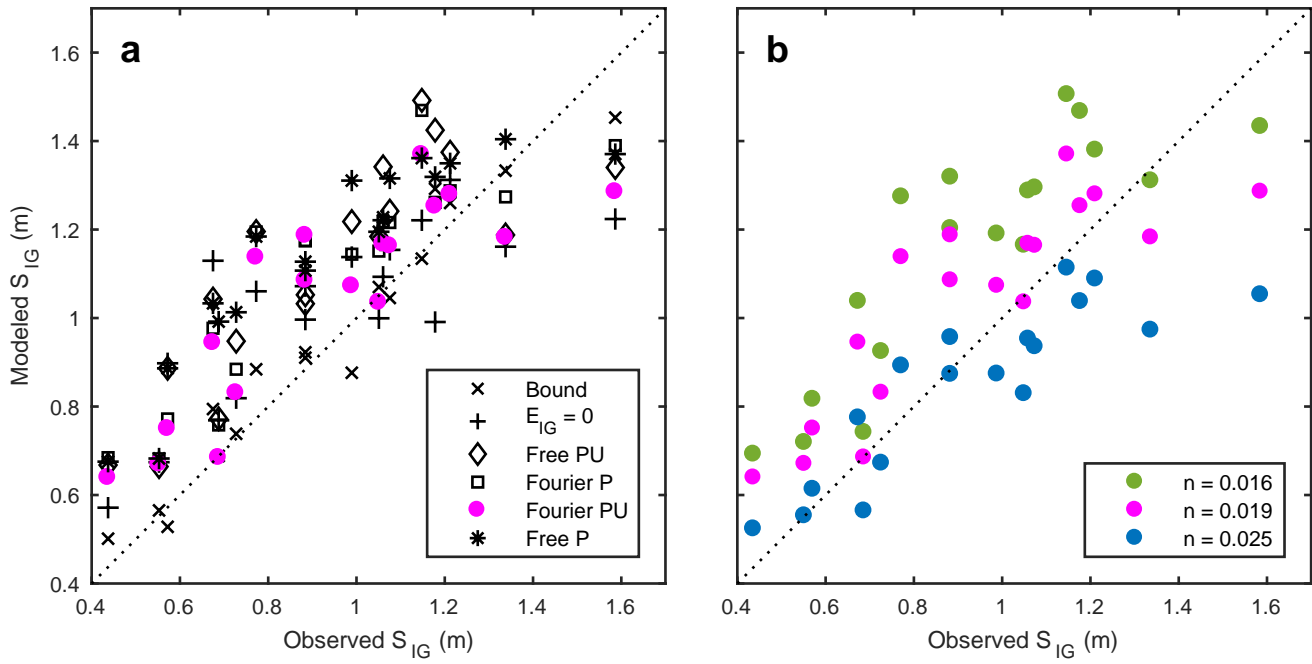


Figure 7: Modeled vs observed bulk infragravity swash S_{IG} for (a) tested boundary conditions (symbols in legend) with default friction coefficient ($n=0.019$) and (b) Fourier PU BC with varied friction parameters (legend). Dotted black line is the 1:1 line of model-observation agreement.