

33 **Abstract**

34 This paper explores the influence of building cover and pile toe boundary conditions
35 on ground temperature distributions surrounding energy piles. Experimental and numerical
36 studies were conducted on two isolated cast-in-place energy piles installed in dense unsaturated
37 sand, one exposed to the atmosphere at the ground surface (diameter = 0.6 m and length = 16.1
38 m) and the other installed under a six-story building (diameter = 0.6 m and length = 10 m).
39 Investigations were conducted for monotonic heating and daily cyclic temperature changes of
40 the piles ranging between 10°C and 35°C. The changes in ground temperature reduced with
41 increasing radial distance from the edge of both piles. Cyclic temperatures in both piles
42 induced lower ground temperature changes and decreased the radial thermal influence zone
43 compared to monotonic heating. However, the radial thermal zone in cyclic operating mode
44 can be influenced by different ratios of heating to cooling times and hence should be selected
45 carefully to avoid unexpected ground temperature changes. Atmospheric effects were observed
46 up to a depth of 2 m for the energy pile exposed to the atmosphere. The insulation provided by
47 the building footprint slightly reduced the impacts of ground-atmosphere interaction on the soil
48 temperature distribution with depth near the surface compared to the energy pile exposed to
49 the atmosphere. The ground temperature variations were dominant along the length of the heat
50 exchanger loops for both piles. Still, they were negligible near the pile toe below the heat
51 exchanger loops for both piles.

52

53 **Keywords:** *Energy piles; temperature cycles; ground temperatures; radial thermal influence*
54 *zone; end boundary conditions.*

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57

58 **Introduction**

59 Energy piles have been implemented successfully in many countries since their first use
60 in the 1980s (Brandl 2006, De Moel et al. 2010). However, despite the significant advances
61 made in the past decade in understanding their thermo-mechanical behaviour, critical
62 knowledge gaps remain that need to be addressed. In particular, the influences of temperature
63 cycles and boundary conditions at the head and toe of energy piles on the ground temperature
64 responses deserve further study.

65 Energy piles typically undergo monotonic and cyclic temperature changes depending
66 on the heating/cooling requirements of the building. Cyclic temperatures result from seasonal
67 operations or daily intermittent operations of the ground source heat pump (GSHP) (Brandl
68 2006; Yi et al. 2008; Wood et al. 2010; Dai et al. 2015; Faizal et al. 2016; Murphy and
69 McCartney 2015; McCartney and Murphy 2017; Faizal et al. 2018; Faizal et al. 2019a).
70 Furthermore, the presence of a building footprint overlying the energy piles could act as a
71 thermal insulator which will minimize the effects of atmospheric temperature variations on the
72 ground temperature (Murphy et al. 2015). This, in turn, could affect the geothermal energy
73 source or sink available to the energy piles (e.g., Ghasemi-Fare and Basu 2018). Although
74 anticipated to be minor, ground temperature changes below the toe of energy piles without
75 groundwater flow could also affect the temperature distribution within the surrounding soils
76 (Singh et al. 2015). The end boundary conditions of the energy pile could lead to variable
77 amounts of geothermal energy accessed per unit length of the energy pile, which may affect
78 the building thermal design.

79 Field studies conducted on isolated energy piles without the presence of building cover
80 (Li et al. 2006; Bourne-Webb et al. 2009; You et al. 2014; Singh et al. 2015; Yu et al. 2015;
81 Faizal and Bouazza 2018; Guo et al. 2018) and installed under buildings (Murphy et al. 2015;
82 Chen et al. 2017) have generally indicated that soil temperature changes are most substantial

83 near the pile and tend to reduce with increasing radial distance from the pile when the pile is
84 subjected to monotonic temperature variations. There are, however, minimal studies conducted
85 on the radial soil temperature distribution due to daily cyclic temperature changes at a field
86 scale. The few field studies on daily temperature cycles available in the literature were
87 conducted on energy piles without the presence of a cover provided by a building (e.g., Faizal
88 et al. 2016; Faizal and Bouazza 2018). The temperature cycles evaluated in these studies led to
89 lower ground temperature changes compared to monotonic temperature operations of the
90 GSHP. Consequently, temperature cycles can thermally affect lower volumes of soils and
91 reduce the radial thermal influence zones compared to monotonic temperatures. This, in turn,
92 could reduce the likelihood of thermal interactions with nearby energy piles with lower thermal
93 impacts on the surrounding soil for long term operations.

94 Natural ground temperatures (without energy pile operation) are commonly affected by
95 atmospheric temperature fluctuations down to given depths when the ground surface is exposed
96 to the atmosphere (e.g., Brandl 2006; Guo et al. 2018; Jalaluddin et al. 2011; Singh et al. 2015).
97 Preliminary 1g physical model studies conducted by Ghasemi-Fare and Basu (2018) indicate
98 that the presence of surface thermal insulation causes a reduction in the effects of ambient
99 temperature fluctuations on the ground temperature responses. Murphy et al. (2015) also
100 observed that near-surface ground temperatures below a floor slab were less affected by
101 ambient temperatures than the ground without surface cover outside a building footprint.
102 Kaltreider et al. (2015) performed numerical simulations of a building with energy piles and
103 found that energy pile operation led to an increase in the floor slab heat loss from the building
104 during the heating season. These preliminary observations highlight the fact that the presence
105 of the building cover will affect the ground temperature distribution during energy pile
106 operations. Therefore, findings from studies conducted on energy piles without building cover
107 may not be directly translated to actual design and installations of energy piles under buildings.

108 This paper uses experimental and numerical evaluations of the soil thermal response
109 surrounding two field-scale energy piles to examine the effects of temperature cycles and
110 boundary conditions at the head and toe of the energy piles. Specifically, this study assesses
111 the influence of monotonic and daily cyclic temperature changes of the energy piles and the
112 effects of the building cover and near pile toe boundary conditions on the radial and vertical
113 soil temperature distribution. Experiments on two separate field-scale energy piles (one
114 exposed to the ground surface without an applied head load and another under a 6-story
115 residential building) and numerical simulations were conducted to achieve the aims of this
116 paper.

117

118 **Site Details and Experimental and Numerical Procedures**

119 *Site Details*

120 The two energy piles evaluated in this study were bored and cast-in-place in a similar
121 soil formation within a distance of 500 m of each other. The soil profile at the location of both
122 energy piles consisted of dense to very dense sands, which are part of the Brighton Group of
123 materials; the thermal and mechanical properties of these soils are, therefore, expected to be
124 similar. The soil types at the two sites are summarized in [Tables 1 and 2](#) and are documented
125 extensively in Barry-Macaulay et al. (2013), Singh et al. (2015), Wang et al. (2015), and Faizal
126 et al. (2018; 2019a, b). There was no groundwater encountered within the depth of the piles at
127 the two sites.

128 A schematic diagram of the energy piles, including the locations of the sensors, is
129 shown in [Figure 1](#). The full details of the instrumentation and installation procedures for both
130 piles are documented in Wang et al. (2015), Singh et al. (2015), Yu et al. (2015), Wang (2017)
131 and Faizal et al. (2018; 2019a, b). The piles were not precisely similar (e.g. same dimensions,

132 same number of heat exchanger loops and the same locations of sensors in the piles and the
133 surrounding soil) as they were installed for different purposes and at different times.

134 The unrestrained energy pile, installed in December 2010, was used to study the
135 changes in shaft capacity by partially translating the upper 10 m section after thermal loading
136 using two Osterberg Cell (O-Cell) load testing systems at depths of approximately 10 m and
137 14 m (Wang et al. 2015; Wang 2017). The building under which the restrained energy pile was
138 installed was completed in December 2015. The restrained energy pile is from a pair of two
139 energy piles spaced at a center-to-center distance of 3.5 m and was the only thermally active
140 pile evaluated for the purpose of this paper. Although the diameters of the restrained and
141 unrestrained energy piles were the same (0.6 m), they both had different lengths. The
142 unrestrained energy pile was 16.1 m long, whereas the restrained energy pile was 10 m long.
143 The head of the unrestrained energy pile was level with the ground surface and was exposed to
144 the atmosphere, while the head of the restrained energy pile was integrated into the building
145 slab.

146 High-density polyethylene (HDPE) pipes with outer and inner diameters of 25 mm and
147 20 mm, respectively, were used to form U-loop heat exchangers that were attached to the
148 vertical reinforcement bars in the reinforcement cages of the energy piles. The HDPE pipes
149 and sensors were installed in the reinforcement cages before lowering the cages in the drilled
150 holes. Concrete was poured slowly using tremies to avoid possible damage to the sensors.
151 There were three evenly distributed U-loops installed up to a depth of 14.2 m in the unrestrained
152 energy pile (Figure 2a). In contrast, the restrained energy pile had four evenly distributed U-
153 loops installed to the full depth of the energy pile (Figure 3a). The spacing between the pipe
154 loops in the unrestrained and restrained energy piles was approximately 175 mm and 200 mm,
155 respectively. Since the HDPE pipes were attached to the vertical reinforcement bars in the pile
156 cage, the spacings between the loops remained constant along the pile length.

157 The concrete mixes and the compressive strengths of the concrete in the two energy
158 piles were similar. The concrete mix of the unrestrained energy pile consisted of 7 mm
159 aggregates, cement, and fly ash with a water to cement ratio of 0.45. The average compressive
160 strengths of unreinforced concrete samples were 40.9 and 65.6 MPa after 35 and 210 days,
161 respectively. The concrete used in the restrained energy pile consisted of 7 mm aggregated
162 cement, slag, and fly ash with a water to cement ratio of 0.42. The compressive strengths of
163 unreinforced concrete samples were 40 and 62 MPa after 7 and 33 days of installation,
164 respectively.

165 For each of the energy piles, the surrounding soil temperatures were monitored in
166 boreholes located at two radial distances, R , from the edge of the energy piles (Figure 1). In
167 the case of the unrestrained pile, the boreholes (16 m long) were at $R = 0.5$ m and $R = 2$ m;
168 type K thermocouples were used to monitor the changes in temperature. For the restrained pile,
169 up to a depth of 12 m, the boreholes were at $R = 0.63$ m and $R = 1.95$ m, respectively; the
170 temperature was monitored using type T thermocouples. The inlet/outlet fluid temperatures of
171 the unrestrained energy pile were recorded at the pile head. In contrast, the restrained energy
172 pile fluid temperatures were recorded at the inlet/exit of the plumbing manifold located
173 approximately at 15 m in a plant room where the heating/cooling equipment was stored. The
174 fluid flow rates were recorded using TM series digital flowmeters installed at the exit of the
175 heating/cooling units. The pile temperatures were monitored using vibrating wire strain gauges
176 (VWSGs) (Geokon, NH, USA) installed at different depths, as shown in Figure 1. The VWSGs
177 were capable of measuring both temperatures and strains. The atmospheric air temperatures
178 were extracted from the online data bank of the nearest weather station located approximately
179 13 km from the experimental sites for the duration of all the experiments.

180

181 ***Experiments***

182 The two energy piles were subjected separately to monotonic heating and daily cyclic
183 temperatures. The effects of cyclic temperature changes were examined by cooling the energy
184 piles for 16 hours followed by 8 hours of heating daily, simulating forced ground thermal
185 recharging of a solar hybrid GSHP system for a scheduled intermittent mode of operation.

186 The monotonic heating experiments were conducted using a GeoCube thermal response
187 test (TRT) unit. The cyclic temperature experiments of the unrestrained energy pile were
188 conducted using two pieces of equipment; a United Refrigeration chiller for cooling for 16
189 hours followed by heating for 8 hours using a GeoCube TRT unit. Water was used as the heat
190 transfer fluid in these experiments. The cyclic temperature experiment of the restrained energy
191 pile was conducted using a commercial 2-5 kW Envision geothermal/water source heat pump
192 by switching between cooling and heating modes. In this experiment, the heat transfer fluid
193 was a mixture of a Fernox Alpha-11 antifreeze protector added at approximately 25% of the
194 total volume of water in the system to avoid any possible freezing during the cooling cycle.
195 The four experiments, summarized in [Table 3](#), were conducted for a different number of days;
196 only 16 days of data are presented in this study for the sake of comparison and clarity. Some
197 of the field data used to validate the numerical models and to meet the objectives of this study
198 were available from previous studies performed on the two energy piles (Singh et al. 2015; Yu
199 et al. 2015; Wang et al. 2015; Faizal et al. 2018; Faizal and Bouazza 2018; Faizal et al. 2019a;
200 2019b).

201 The temperatures of the fluids entering the energy piles and daily atmospheric
202 temperatures are shown in [Figure 4](#). Due to the high inlet fluid temperatures, the fluid flow to
203 the inlet of the unrestrained energy pile was stopped multiple times at the beginning of each
204 cycle to control water temperatures in the tanks of the heating/cooling units before re-
205 establishing flow ([Figure 4a](#)). This is because the water temperatures from each cycle affected
206 the next cycle throughout the experiments when switching between cooling and heating cycles.

207 There were some performance issues of the heat pump at the start of the cyclic temperature
208 experiments for the restrained energy pile; this led to a cooling time of 26 hours in the first
209 cooling cycle, while the other cooling cycles were 16 hours, followed by 8 hours of heating
210 (Figure 4b).

211 The inlet fluid temperatures during monotonic heating reached up to 45 and 48°C for
212 the unrestrained (Figure 4a) and restrained energy piles (Figure 4b), respectively, while the
213 atmospheric temperatures ranged from 15 – 25°C (Figure 4c) and 12 – 26°C (Figure 4d),
214 respectively. The inlet fluid temperatures for cyclic experiments ranged from 8 – 30°C for the
215 restrained energy pile. For cyclic experiments of the unrestrained energy pile, the inlet fluid
216 temperatures ranged from 7 – 16°C during cooling and 30 – 55°C during heating. As a result
217 of using different equipment when testing the two energy piles, the ranges of inlet fluid
218 temperatures between the two energy piles were different, particularly for the cyclic
219 temperature experiments. The atmospheric temperature ranged from 10 – 26°C and 16 – 26°C
220 for the unrestrained and restrained energy piles, respectively, during the cyclic heating/cooling
221 experiments. The inlet fluid temperatures were set through the heating/cooling equipment and
222 were generally larger than the atmospheric temperatures; hence the daily natural fluctuations
223 in the atmospheric temperatures did not cause changes in the inlet fluid temperatures. The
224 differences in the fluid types and temperatures were eliminated through numerical modelling
225 by simulating the same type of fluid (water) with the same inlet temperatures, as explained
226 below.

227

228 ***Numerical Modelling***

229 A numerical investigation was conducted to complement the field data and to assess the
230 soil temperature distribution at multiple depths and radial distances from the edge of the two
231 energy piles for the same thermal loads applied to the two energy piles. The numerical

232 investigation was conducted using COMSOL Multiphysics software by modelling the two
 233 energy piles under boundary and test conditions representative of the field and validating the
 234 numerical model results against the field measurements. Furthermore, parametric
 235 investigations on the impact of the same thermal loads and different cyclic temperature
 236 variations of the two energy piles on the ground temperature distribution were conducted using
 237 the validated model.

238 A three-dimensional finite element model was developed to analyze the heat transfer
 239 between the HDPE pipes, the pile concrete, and the surrounding soil. The heat transfer
 240 mechanism between the pile and the ground was assumed to be primarily by conduction due to
 241 the absence of groundwater flow. The possible impacts of water phase change and water vapor
 242 convection were not included in this analysis, but the thermal properties used in the numerical
 243 model are effective values intended to represent those of the unsaturated soils. It was assumed
 244 that the soil and concrete pile domains are conductive, isotropic and porous materials filled
 245 with air. The governing equations used to solve the present heat transfer problem are commonly
 246 used in energy pile analyses (e.g., Batini et al. 2015; Caulk et al. 2016).

247 The heat conduction equation, assuming no internal heat generation, is written as
 248 follows:

$$249 \quad (\rho C)_{eff} \frac{\partial T}{\partial t} = -\nabla \cdot \lambda_{eff} \nabla T \quad (1)$$

250 where $(\rho C)_{eff}$ and λ_{eff} are the effective volumetric heat capacity at constant pressure and
 251 effective thermal conductivity, respectively; and T is temperature.

252 For water circulating in the pipes, the energy conservation equation is written as follows:

$$253 \quad \rho_f A C_f \frac{\partial T_f}{\partial t} + \rho_f A C_f u_f \cdot \nabla T_f = \nabla \cdot (A \lambda_f \nabla T_f) + Q_{wall} \quad (2)$$

254 where ρ_f , C_f , u_f , λ_f and T_f are density, specific heat, velocity vector, thermal conductivity,
 255 and temperature of the circulating fluid, respectively, A is the cross-section of the pipe in which
 256 fluid is flowing and Q_{wall} is the heat flux per unit length of pipe, calculated as follows:

$$257 \quad Q_{wall} = h_{eff}(T_{ext} - T_f) \quad (3)$$

258 where h_{eff} is the effective pipe heat transfer coefficient considering the wetted perimeter of
 259 the pipe cross-section and T_{ext} is the external temperature surrounding the pipe. The effective
 260 heat transfer coefficient for circular pipe shapes is calculated as follows:

$$261 \quad h_{eff} = \frac{2\pi r_{int}}{\frac{1}{h_{int}} + \frac{r_{int}}{\lambda_p} \ln\left(\frac{r_{ext}}{r_{int}}\right)} \quad (4)$$

262 where r_{int} and r_{ext} are internal and external pipe radius, respectively, λ_p is pipe thermal
 263 conductivity, and h_{int} is the convective heat transfer coefficient inside the pipe calculated as
 264 follows:

$$265 \quad h_{int} = \frac{Nu\lambda_f}{d_h} \quad (5)$$

266 where d_h is the hydraulic diameter ($d_h = \frac{4A}{2\pi r_{int}}$) and Nu is the Nusselt number for round pipes,
 267 which is defined as a function of the Reynolds, Re , and Prandtl, Pr , numbers written as follows:

$$268 \quad Nu = \max(3.66; Nu_{turb}) \quad (6.a)$$

$$269 \quad Nu_{turb} = \frac{\left(\frac{f_D}{8}\right)(Re-1000)Pr}{1+12.7\sqrt{\frac{f_D}{8}}(Pr^{\frac{2}{3}}-1)} \quad (6.b)$$

$$270 \quad f_D = [-1.8 \log\left(\frac{6.9}{Re}\right)]^{-1} \quad (6.c)$$

271 where f_D is friction factor; $Re = \rho VD/\mu$, $Pr = \mu C_f/\lambda_f$, ρ is the fluid density, V is velocity of
 272 the fluid, μ is dynamic viscosity of the fluid, D is pipe diameter, C_f is specific heat, and λ_f is
 273 the thermal conductivity.

274 All boundary conditions, pile dimensions, atmospheric temperatures, and inlet fluid
 275 temperatures and flow rates were applied to the numerical models using corresponding field

276 data. The 3D simulations for both energy piles were done using a $30\text{ m} \times 30\text{ m} \times 40\text{ m}$
277 ($L \times W \times H$) domain shown in [Figure 5](#). The models were characterized by 127365 mesh
278 elements from which 46665 mesh elements described the two energy piles. For the restrained
279 energy pile installed under the building, a slab (with dimensions of $20\text{ m} \times 20\text{ m} \times 0.5\text{ m}$) was
280 assigned at the pile head which increased the number of mesh elements to 128435. A surface
281 to ambient radiation boundary condition was considered at the top surface of the models, and
282 atmospheric temperatures were applied to this surface to account for climatic temperature
283 changes. The surface emissivity coefficients for the unrestrained and restrained energy piles
284 were 0.9 and 0.1, respectively. These values were determined based on the validation of the
285 numerical model with the field data. The effects of other atmospheric processes such as air-soil
286 convective heat transfer, rain, humidity and solar radiation were not considered in this study.

287 The temperatures at the sides and bottom of the domains were constant and equal to the
288 initial ground temperatures recorded at the beginning of each experiment. Water was used as
289 the heat transfer fluid for all numerical simulations, even though a mixture of antifreeze and
290 water was used in the cyclic experiments of the restrained energy pile. The effect of the
291 antifreeze on the ground temperature responses is assumed to be negligible since a good match
292 between experimental and numerical results was obtained ([Figure 6](#) and [Figure 7](#)). Batini et al.
293 (2015) showed that variations in antifreeze compositions have insignificant effects on pile
294 temperature variations. Furthermore, numerical investigations were conducted using the
295 validated models for the same fluid properties (water) and inlet temperatures for the two energy
296 piles

297 The soil, energy pile, slab and HDPE pipe properties were from Tables 1 and 2 and
298 from previous studies conducted on the two energy piles (Barry-Macaulay et al. 2013; Singh
299 et al. 2015; Yu et al. 2015; Faizal et al. 2018; Faizal et al. 2019a, 2019b). The material
300 properties used in the numerical models are summarized in [Tables 4 and 5](#).

301

302 *Numerical Validation of Field Results*

303 The transient pile and ground temperatures from the field tests are compared with
304 numerical results between depths of 5 m to 6 m in [Figure 6](#). The in-situ ground temperatures
305 are shown at $R = 0.5$ m (BH1) and $R = 2$ m (BH2) for the unrestrained energy pile and at
306 $R = 0.63$ m (BH1) and $R = 1.95$ m (BH2) for the restrained energy pile (these locations are
307 shown in [Figure 1](#)). Monotonic heating induced higher ground temperatures at BH1 compared
308 to the cyclic temperature changes of the two energy piles. The ground temperature changes are
309 minimal and closer to initial ground temperatures at BH2 for all experiments. It is possible that
310 the ground temperature changes at BH2 could change for long-term operations, particularly for
311 monotonic heating where heat is continuously injected into the ground. But cyclic
312 heating/cooling would still be expected to induce lower ground temperatures compared to
313 monotonic heating for long-term operations. The pile and ground temperature changes for the
314 restrained energy pile, shown in [Figure 6d](#), are lower than that of the unrestrained energy pile
315 shown in [Figure 6c](#) due to differences in the fluid temperatures in the cyclic heating/cooling
316 experiments. Due to larger temperature amplitudes of inlet fluid temperatures in the
317 unrestrained pile cyclic experiments, the ground temperature changes at BH1 of the
318 unrestrained energy pile ([Figure 6c](#)) were slightly larger than that of BH1 of the restrained
319 energy pile ([Figure 6d](#)). The transient numerical results shown in [Figure 6](#) agreed well with the
320 pile and ground temperature measurements for all experiments.

321 The numerical and experimental pile and ground temperature variations with depth for
322 both energy piles, at Day 15 of operation, are compared in [Figure 7](#). The temperatures are
323 presented at the end of heating and cooling for the cyclic temperature experiments. The ground
324 temperatures remain unchanged below the length of the thermally active loops for all
325 experiments at depth, $d = 16$ m and $d = 12$ m for the unrestrained and restrained energy piles,

326 respectively. Monotonic heating experiments induced higher ground temperatures at BH1 than
327 almost negligible changes at BH2 at all depths for both energy piles (Figure 7a and Figure 7b).
328 Insignificant ground temperature changes were observed at all depths at both radial locations
329 for the cyclic temperature experiments of the restrained energy pile (Figure 7d). The results
330 from numerical simulations matched well with field results for all depths and radial locations.

331

332 **Parametric Evaluations**

333 The validated numerical models were used to investigate the radial and vertical ground
334 temperature variations around the two energy piles for the same inlet fluid (water)
335 temperatures, ground and atmospheric temperatures, shown in Figure 8. The inlet fluid
336 temperatures were approximately 35°C for heating simulations and between 10 – 35°C for the
337 cyclic heating/cooling simulations. These temperatures represent the typical range of fluid
338 temperatures encountered in energy piles. The fluid flow rate was 11 LPM. The effects of
339 different frequencies of temperature cycles on the ground temperature variations were also
340 investigated.

341

342 ***Radial and Vertical Ground Temperature Distribution***

343 Time series of the pile and ground temperatures at a depth of 6 m and at different radial
344 distances from the edge of the two energy piles are shown in Figures 9 and 10. This particular
345 depth was selected as it was closer to the middle of both the piles with a similar soil layer
346 consisting of unsaturated dense sand with traces of clay (Tables 1 and 2). Even though the same
347 inlet fluid temperatures were applied to the two energy piles, the pile and ground temperature
348 changes are slightly larger for the restrained energy pile due to the higher number of heat
349 exchanger loops, and thus, higher surface area and higher heat transfer.

350 A 3D contour plot of ground temperatures at every 0.2 m radial distance from the edge
351 of the energy piles, R , is plotted in [Figure 9](#) for qualitative analysis and visualization of radial
352 temperature distribution around the energy piles (pile temperatures are shown at $R = 0$ m). As
353 expected, the most significant ground temperatures are closer to the energy piles and reduce
354 with increasing radial distance for all simulations. There are noticeable differences in ground
355 temperatures between monotonic and cyclic temperature simulations. The monotonic
356 temperature simulations lead to higher ground temperature changes due to continuous active
357 heat injection in the ground. Therefore, cyclic temperature operation modes of the GSHP will
358 develop lower radial ground temperature changes compared to monotonic temperatures during
359 long-term operations, reducing the possibility of thermal interactions with nearby energy piles.

360 The time series of pile and ground temperatures are plotted in [Figure 10](#) for quantitative
361 analysis of the radial thermal influence zone. Frequent temperature reversals of the energy piles
362 above and below the initial pile temperatures in the cyclic mode ([Figure 10c and 10d](#))
363 frequently reverse the thermal gradient between the pile and the ground and hence develop
364 much lower changes in ground temperatures than the monotonic heating mode ([Figure 10a and](#)
365 [10b](#)). The largest ground temperatures are observed near the energy piles at $R = 0.2$ m for all
366 simulations, with monotonic heating leading to higher ground temperatures at all radial
367 distances than cyclic heating/cooling. The piles temperature amplitudes at the end of heating
368 and cooling for cyclic simulations get transferred to the soil in the immediate vicinity of the
369 piles but at much lower magnitudes ([Figure 10c and Figure 10d](#)). These ground temperature
370 amplitudes are largest near the energy pile at $R = 0.2$ m and reduce with increasing radial
371 distance and become negligible at $R = 2.2$ m for both energy piles.

372 The changes in pile and ground temperatures, ΔT , with respect to initial ground
373 temperatures at Day 15 of operation for all simulations are plotted against radial distance in
374 [Figure 10e](#). The radius of the thermal influence zone where the ground temperature changes are

375 greatest is up to 0.4 m for the cyclic temperature experiments for both piles; the ΔT magnitudes
376 after $R = 0.4$ m remain closer to zero with increasing radial distance. The ΔT magnitudes for
377 monotonic heating also reduce gradually with increasing radial distance but are larger than
378 cyclic heating/cooling for corresponding radial distances. These results indicate that a low
379 volume of soils is thermally affected during cyclic heating/cooling compared to monotonic
380 temperatures. Thus, cyclic temperatures reduce the soil radial thermal influence zone compared
381 to monotonic temperature changes of energy piles under given thermal loads.

382 Lower radial thermal influence zone during frequent cyclic temperatures in intermittent
383 operations of energy pile systems can be beneficial for designing and applying closely spaced
384 multiple energy piles in real operations. It would cause lower ground temperature changes and
385 reduce or eradicate any thermal interactions between the energy piles via the surrounding soil
386 for long term operations. Previous numerical and field studies (e.g., Di Donna et al. 2016;
387 Mimouni and Laloui 2015) indicated that monotonic heating of a group of closely spaced
388 energy piles develops higher ground temperature changes than heating isolated energy piles.
389 Further, You et al. (2014) reported field studies have also shown that ground temperatures
390 around individual energy piles in closely spaced piles in a group can overlap during monotonic
391 heating. This can cause an overall increase or decrease of ground temperatures and reduce the
392 heat exchange capacity of the energy piles. The results in [Figures 9 and 10](#) show that cyclic
393 temperature operations of the GSHP can help reduce thermal interactions between energy piles
394 in groups since, unlike borehole heat exchangers, the spacing between energy piles cannot be
395 readily increased as it is selected based on structural requirements and not on geothermal
396 energy usage requirements.

397 The ground temperatures in the two cyclic experiments are presented at the end of
398 heating and cooling ([Figures 11c and 11d](#)). The ground temperatures are shown versus depth
399 in [Figure 11](#) at Day 15 of operation to gain more insight on the effects of pile end boundary

400 conditions on the vertical ground temperature distributions. The results confirm that the
401 magnitudes of ground temperature changes reduce with increasing radial distance, and that
402 cyclic heating/cooling develop lower changes compared to monotonic temperatures.

403 The results in [Figure 11](#) indicate that the boundary conditions at the toe of both piles
404 have a noticeable effect on the ground temperature distribution. The ground temperature
405 changes for all experiments occur up to the depth of installation of the HDPE pipes in the two
406 piles (i.e. along the length of the heat source). As highlighted earlier, minor ground temperature
407 changes are recorded below the length of the thermally active loops at depths of 16 m and 12
408 m for the unrestrained and restrained energy piles, respectively. Lower ground temperature
409 changes are observed at the end of the loops at 14.2 m and 10 m depths for the unrestrained
410 and restrained energy piles, respectively. The ground temperature changes, and hence the radial
411 thermal influence zone, at the end of the HDPE pipe loops, are lower than those along the
412 thermally active length of the HDPE pipes and become negligible with increasing depths below
413 the HDPE pipes. The heat transfer between the energy piles and the ground and the radial
414 thermal influence zone of the surrounding soil is, therefore, dominant along the active thermal
415 length of the HDPE pipes for both monotonic and cyclic temperature changes of both the piles
416 in the current site soil profile.

417 The presence of the building cover did not significantly affect the ground temperatures
418 near the pile head, as was observed near the pile toe. This is because the near-surface soil is
419 still in direct contact with the thermally active section of the energy pile. It is possible that
420 internal building activities could have added additional temperature variations to the soil
421 surrounding the restrained energy pile. The effects of the building cover on the vertical ground
422 temperature distribution are slightly evident with monotonic heating of the two energy piles
423 ([Figure 11a](#) and [Figure 11b](#)); the trends are not so apparent in the cyclic temperature

424 experiments due to the relatively lower magnitudes of ground temperature changes resulting
425 from frequent thermal cycles.

426 The ground temperatures for the unrestrained energy pile for monotonic heating (Figure
427 11a) were influenced by the atmosphere up to the depth of 2 m. The near-surface ground
428 temperatures for the restrained energy pile during monotonic heating (Figure 11b) did not
429 appear to have atmospheric effects, indicating that the building cover has some effect in
430 reducing atmospheric effects on the near-surface ground temperatures. Therefore, the effects
431 of the building cover should still be considered when designing real energy pile systems, even
432 though they are not as significant as the effects of the near toe boundary conditions.

433 The results shown in Figure 11 generally indicate that the vertical ground temperature
434 distribution and the radial thermal influence zone are dominant along the thermally active
435 HDPE pipe length. They depend on the operating modes of the GSHP and magnitudes of pile
436 temperature changes. Also, the pile end boundary conditions do not affect the ground
437 temperature distribution along the thermally active length of the heat exchanger loops (i.e.,
438 14.2 m and 10 m thermally active lengths for the unrestrained and restrained energy piles in
439 this study, respectively). Hence, the atmospheric temperature variations are insignificant and
440 may not have significantly affected the near-surface soil temperatures, as could be the case
441 between different seasons. Further studies are required for long term experiments between
442 different seasons since any given experiment presented in the current study falls within the
443 same season due to the short duration of the tests.

444

445 ***Effect of Varying Frequencies of Daily Cyclic Temperatures***

446 The effects of different cyclic temperatures on the radial ground temperature
447 distribution were investigated by varying the ratio of heating to cooling times. As indicated
448 previously, field and numerical simulations were conducted for 16 hours of cooling followed

449 by 8 hours of heating for both piles (referred to as 16C8H). An additional daily cyclic
450 cooling/heating case was simulated, which cooled the piles for 8 hours, followed by 16 hours
451 of heating (8C16H). The maximum and minimum inlet fluid temperatures at the end of heating
452 and cooling, respectively, were the same as those shown in [Figure 8](#) (i.e., ranging from 10 –
453 35°C).

454 The radial ground temperature changes for different temperature cycles of the restrained
455 and unrestrained energy piles at a depth of 6 m are shown in [Figure 12](#). As indicated earlier,
456 the temperature change for the restrained energy pile for a given simulation is higher than the
457 restrained energy pile due to the higher number of heat exchanger loops in the restrained energy
458 pile. The largest effects of different cyclic temperatures on the ground are closest to the pile at
459 $R = 0.2$ m and reduce after $R = 0.4$ m for both energy piles. Negligible ground temperature
460 changes are observed at $R = 2.2$ m for both piles and cyclic modes.

461 The 8C16H cyclic mode imposed higher ground temperature changes compared to the
462 16C8H cyclic mode. This occurred due to the differences in temperatures between the fluid
463 and the ground at the end of cooling and end of heating and differences in the ratio of heating
464 to cooling times. The initial ground temperature at this depth was approximately 17°C for both
465 piles and cyclic modes. Hence, the fluid and ground temperature differences were 18 and 7°C
466 at the end of heating and cooling, respectively, for any given cyclic mode. Therefore, the
467 ground temperature variations were heating dominated in both cyclic simulations. Thus, a
468 larger heating time in the 8C16H mode led to an overall increase in the ground temperatures
469 with operating time. The higher ground temperatures observed in the 8C16H cyclic mode
470 indicate that a larger volume of soil is thermally affected by the operation of the pile compared
471 to the 16C8H mode. Hence, it can be inferred that the radial thermal influence zone is also
472 higher for the 8C16H cyclic mode compared to the 16C8H mode. Therefore, the cooling to
473 heating time ratio per day during cyclic heating/cooling plays a key role in the amount of

474 change of ground temperatures. An implication of this observation is that the ratio of heating
475 to cooling times during cyclic temperature operations of GSHP systems should be selected
476 carefully to avoid unexpected heat gains or losses in the soil.

477 **Conclusions**

478 This paper examined the effects of monotonic and daily cyclic temperature changes and
479 the building cover and near toe boundary conditions on the ground temperature distribution
480 around two separate field-scale energy piles. Conducting an experimental program at a field-
481 scale of the types reported in this paper is challenging since it is difficult to replicate the same
482 boundary conditions such as atmospheric conditions, inlet fluid temperatures and flow rates,
483 operating hours, pile installation technique, pile dimensions and concrete properties, locations
484 of sensors, number of heat exchanger loops, and having same soil properties at corresponding
485 depths. Regardless of the limits in controlling some of the boundary conditions and test
486 variables, the field-scale results were successfully validated with numerical modelling. The
487 combined results provide valuable insight into the effects of temperature cycles and pile end
488 boundary conditions on the soil thermal response.

489 The soil temperature changes were greatest near the energy piles and reduced with
490 increasing radial distance, with monotonic heating imposing higher ground temperature
491 changes compared to cyclic temperature changes of the energy piles. The radial thermal
492 influence zone of the soil was lower for daily cyclic temperature changes than monotonic
493 heating for given thermal loads. This indicates that cyclic temperature operating modes of the
494 GSHP would affect a lower volume of soils and, hence, reduce thermal interactions with nearby
495 energy piles compared to monotonic temperatures for long term operations. The ground
496 temperature variations were dominant along the length of the thermally active heat exchanger
497 loops. The magnitudes of ground temperature changes were found to be primarily dependent
498 on the operating modes of the energy piles and magnitudes of pile temperature changes. An

499 assessment of the effect of frequencies of daily cyclic temperatures indicates that the heating
500 to cooling ratio can influence the ground radial thermal zone. These frequencies should be
501 selected carefully to avoid unexpected temperature changes of the ground during the cyclic
502 operating modes of the GSHP.

503 The pile-end boundary conditions affected the ground temperature distributions and
504 should thus be accounted for when designing energy pile systems. The presence of the building
505 cover on the restrained energy pile slightly reduced the atmospheric effects on the vertical soil
506 temperature distribution near the surface compared to the unrestrained energy pile without
507 building cover. The near toe boundary conditions significantly affected the ground temperature
508 distributions since negligible ground temperature changes were observed below the heat
509 exchanger loops. For a given heat input, the ground temperature changes were dominant along
510 the thermally active length of the heat exchanger with lower temperatures near the toe.
511 Therefore, the near toe thermal effects can be neglected when accounting for the effective
512 thermal length of the heat exchanger. Finally, the results presented in this paper are for short-
513 term studies on isolated energy piles. Further long-term studies are required considering
514 seasonal effects on closely spaced energy piles in groups representing real operating systems.

515

516 **Data Availability Assessment**

517 Some or all data, models, or code that support the findings of this study are available from the
518 corresponding author upon reasonable request.

519

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527

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625
626

Table 1. Summary of ground conditions at the test site of the unrestrained energy pile (Barry-Macaulay et al. 2013; Singh et al. 2015; Wang et al. 2015; Yu et al. 2015; Faizal et al. 2018).

Depth [m]	Soil type	Soil description	In situ test values	Gravimetric water content (%)
0 – 1.5	Fill Material	Silty clay with traces of fine gravel and medium-coarse grained sand.	-	20 – 30
1.5 – 2.5	Sandy clay	Clay containing fine-medium grained sand with cemented layers.	$c_u = 400$ kPa (pocket penetrometer)	12 – 19
2.5 – 10	Sand (with traces of clay)	Fine to coarse-grained sand. Dense from 2.5 m to 4 m and very dense from 4 m to 10 m. Quartz content $\leq 65\%$.	N = 26 @ 3 m depth N = HB > 3 m depth ^a	5 – 8
10 – 16.1	Sand	Fine to coarse-grained sand. Very dense. Quartz content = 93%.	N = HB ^a	2 – 5

^a HB (hammer bounce) encountered during SPT tests conducted i.e. $N > 50$.

Table 2. Summary of ground conditions at the test site of the restrained energy pile (Faizal et al. 2019a, 2019b).

Depth [m]	Soil type	Soil description	In-situ test values	Gravimetric water content (%)
0 – 0.4	Fill material	Crushed rock silt, sand, moist, medium dense	-	-
0.4 – 3.5	Sandy clay	Silt, sand (sand lenses) moist, stiff - very stiff	$c_u = 90 - 140$ kPa (shear vane test) SPT N = 12 - 27	13 – 24
3.5 – 12.5	Sand	Sand, clay lenses, silt, cemented lenses, moist, dense	SPT N = 25 – 30	5 – 13

SPT N: Standard penetration test blow count.

Table 3. Summary of experiments.

Operating mode	Description	Inlet fluid temperatures	Inlet fluid flowrates	Experiment duration
		[°C]	[LPM]	[days]
Unrestrained energy pile heating	24 hours heating, daily	45	10	52
Unrestrained energy pile cyclic	16 hours cooling and 8 hours heating, daily	7 – 16 (cooling cycle)	15 (cooling cycle)	24
		30 - 55 (heating cycle)	13.5 (heating cycle)	
Restrained energy pile heating	24 hours heating, daily	48	11	18
Restrained energy pile cyclic	16 hours cooling and 8 hours heating, daily	8 (cooling cycle)	16 (cooling cycle)	17
		30 (heating cycle)	16 (heating cycle)	

Table 4. Material properties used in the numerical simulation of the unrestrained energy pile.

Material	Depth [m]	Porosity n [—]	Total	Specific heat	Thermal conductivity λ [W/m.K]
			density ρ [kg/m ³]	capacity C_p [J/kg.K]	
Fill: silty clay	0 - 1.5	0.35	1800	790	1.49
Sandy clay	1.5 - 4	0.35	1750	810	1.5
Sand with clay traces	4 - 8	0.35	1800	800	1.9
Very dense sand	8 - 40	0.3	2100	850	2.4
Pile	—	—	2550	810	1.5
HDPE pipes	—	—	—	—	0.4

Table 5. Material properties used in the numerical simulation of the restrained energy pile.

Material	Depth [m]	Porosity n [—]	Total	Specific heat	Thermal conductivity λ [W/m.K]
			density ρ [kg/m ³]	capacity C_p [J/kg.K]	
Fill	0 - 0.5	0.35	1800	800	1.1
Dense sand	0.5 - 3.5	0.33	1950	840	1.7
Dense sandy clay	3.5 - 6	0.33	2050	810	2.2
Very dense sand	6 - 40	0.3	2100	850	2.6
Pile	—	—	2550	810	1.7
Slab	—	—	2600	850	1.7
HDPE pipes	—	—	■	—	0.4

Table 6. Summary of domain sizes and mesh elements used for the cyclic heating/cooling parametric study.

Restrained energy pile			Unrestrained energy pile	
Pile length [m]	Soil height [m]	Mesh elements	Soil height [m]	Mesh elements
16	40	128435 (46665)	40	127365(46665)
20	50	163952 (54092)	50	162138 (54092)
24	60	200341 (61862)	60	192929 (61862)

Note: Values in parentheses indicate number of mesh elements of the pile domain.

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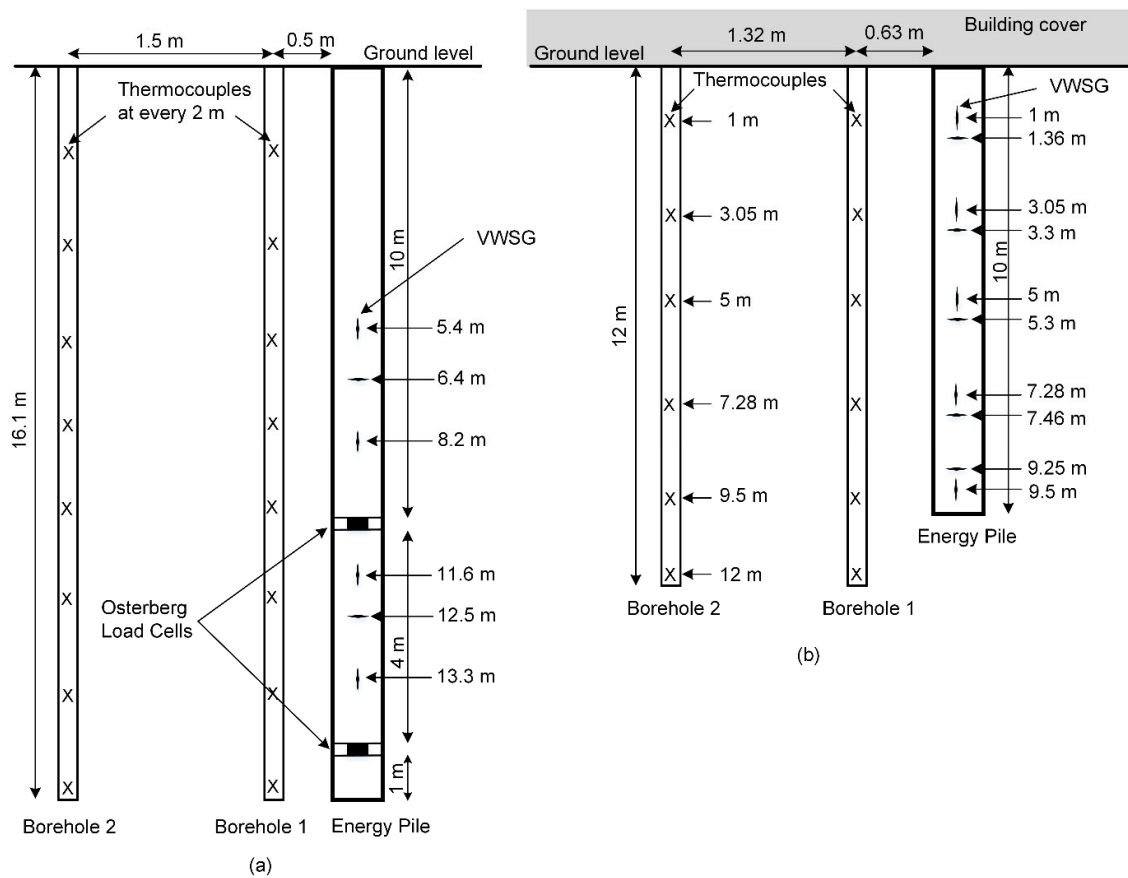


Figure 1. Schematic diagrams of the energy piles: a) energy pile without building cover (unrestrained energy pile), and b) energy pile under the 6-story residential building (restrained energy pile). (VWSG = vibrating wire strain gauge).

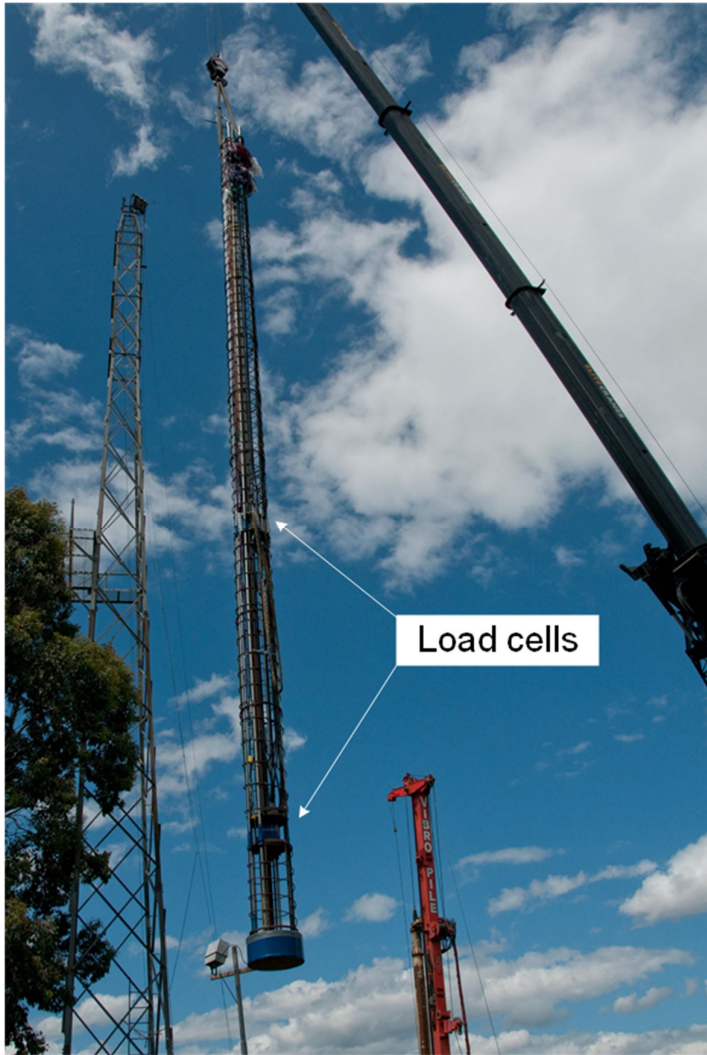
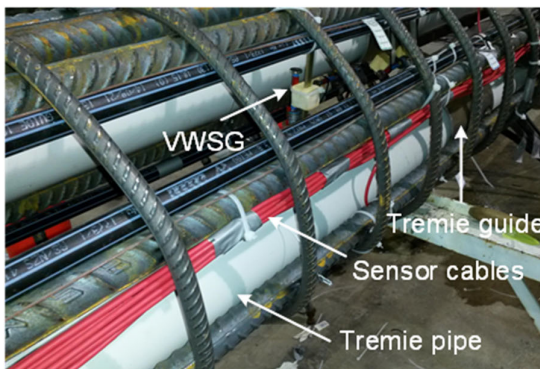


Figure 2. Installation pictures of the energy pile without the building cover (referred to as the unrestrained energy pile): a) **Need more installation pics.**



(a)



(b)



(c)

Figure 3. Installation pictures of the energy pile under the building (referred to as the restrained energy pile): a) U-loops in the pile cage, b) pipes, sensors cables, and removable tremies along the pile length, and c) inserting pile-cage in the ground.

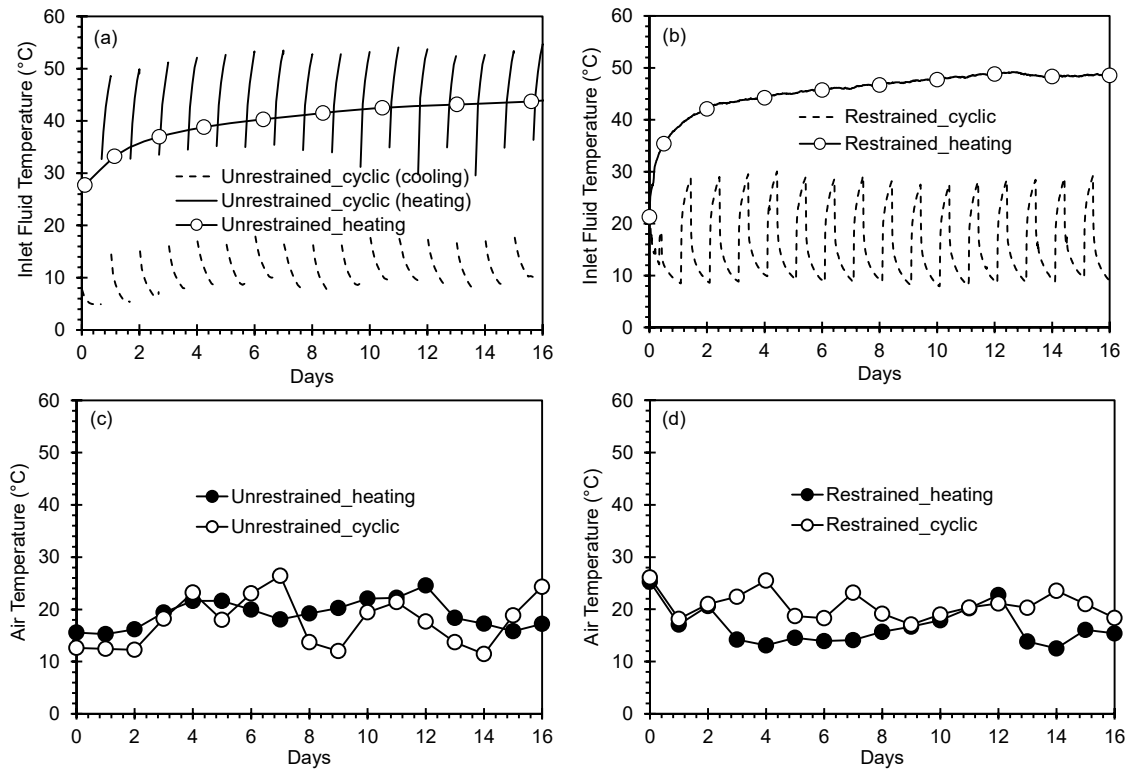


Figure 4. Inlet fluid and daily atmospheric temperatures during experiments: a) inlet fluid temperatures for the unrestrained energy pile, b) inlet fluid temperatures for the restrained energy pile, c) atmospheric temperatures for the unrestrained energy pile, and d) atmospheric temperatures for the restrained energy pile.

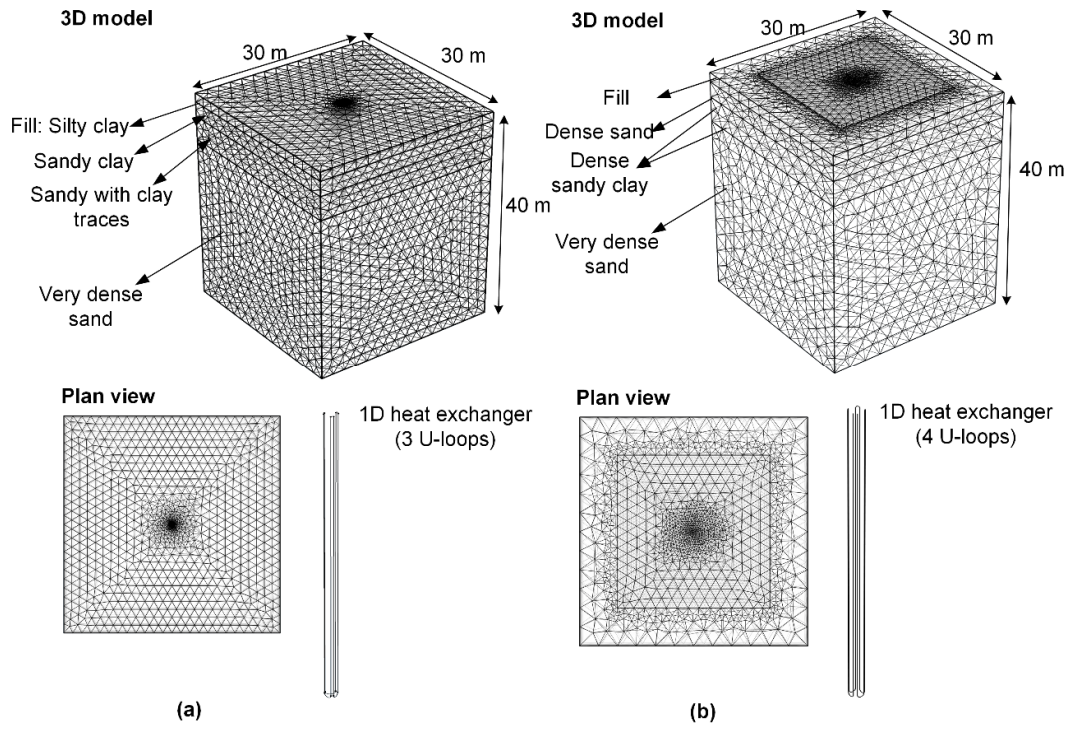


Figure 5. Finite element meshes for the two energy piles: a) unrestrained energy pile, and b) restrained energy pile.

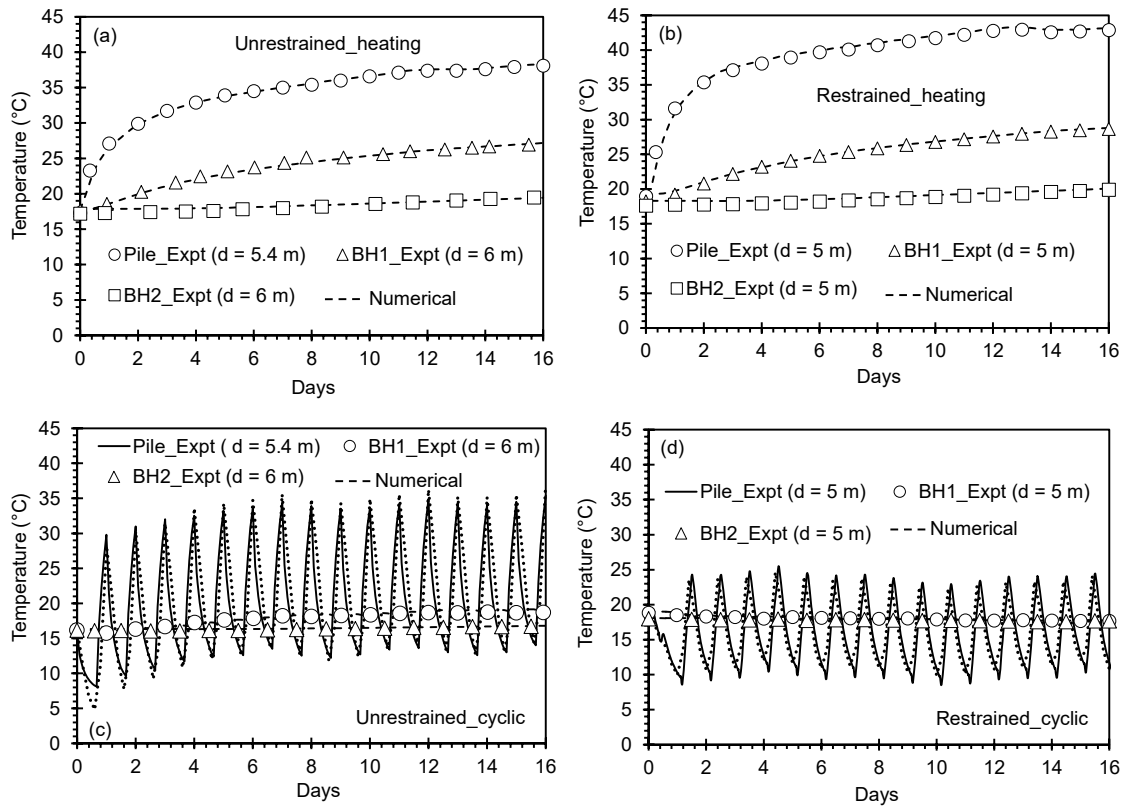


Figure 6. Comparison of numerical and field temperature results as a function of time at depths of 5 m to 6 m: a) monotonic heating of unrestrained energy pile, b) monotonic heating of restrained energy pile, c) cyclic heating/cooling of unrestrained energy pile, and d) cyclic heating/cooling of restrained energy pile.

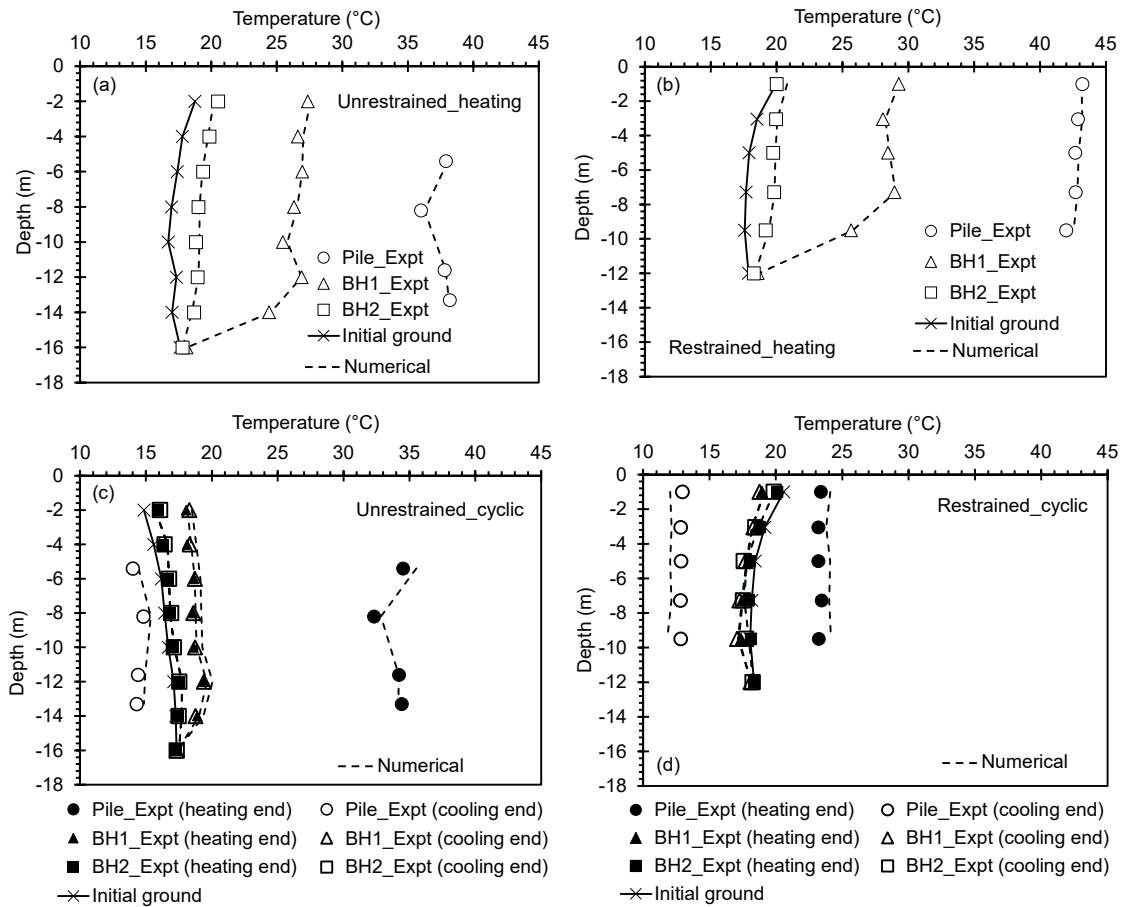


Figure 7. Comparison of numerical and field temperature results as a function of depth: a) monotonic heating of unrestrained energy pile, b) monotonic heating of restrained energy pile, c) cyclic heating/cooling of unrestrained energy pile, and d) cyclic heating/cooling of restrained energy pile.

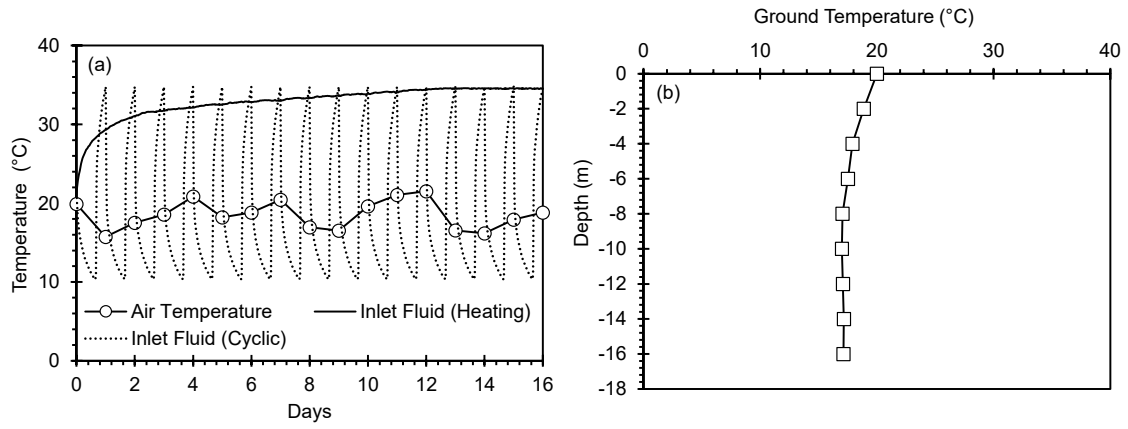


Figure 8. Inlet fluid, air and ground temperatures for parametric evaluations of both energy piles: a) inlet fluid and air temperatures, and b) initial ground temperatures.

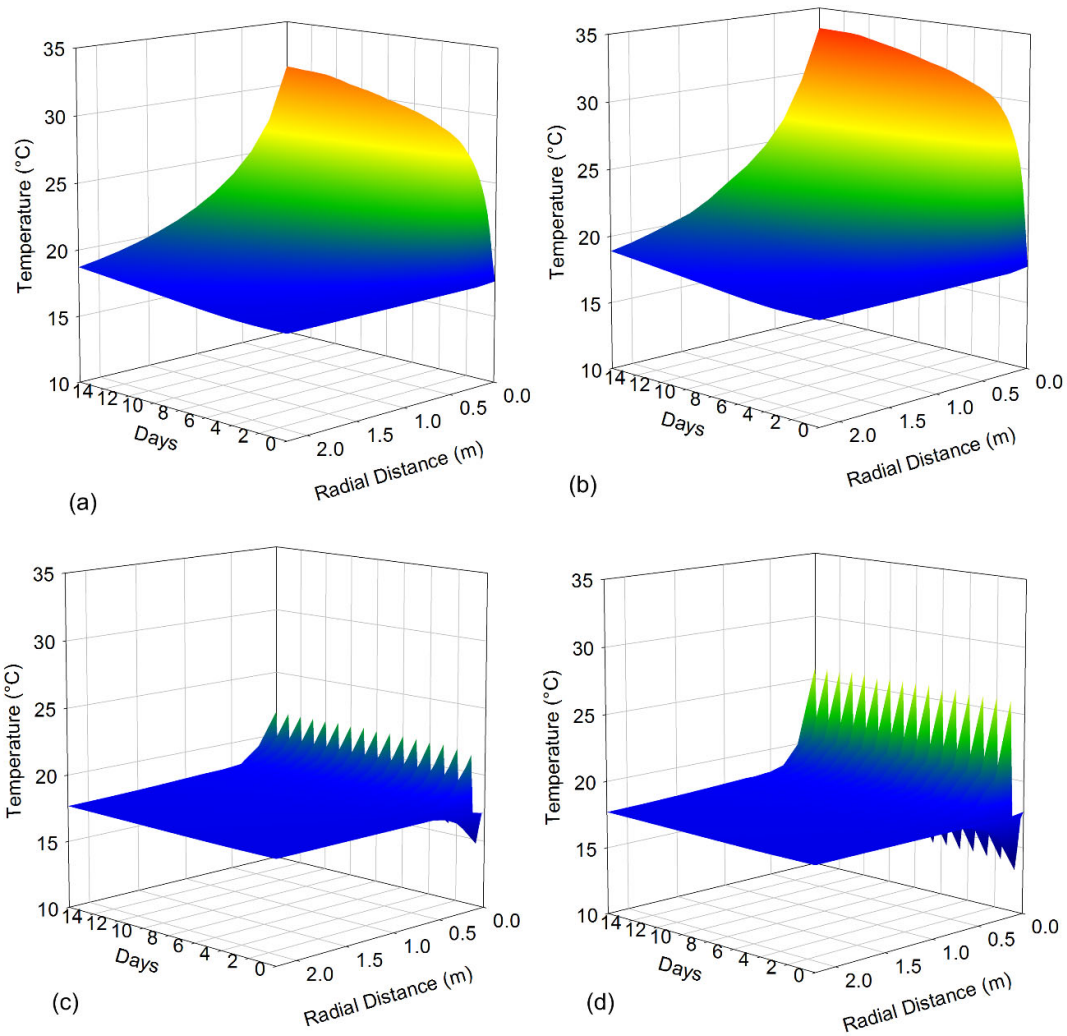


Figure 9. Contours of ground temperatures around the energy piles at a depth of 6 m: a) monotonic heating of unrestrained energy pile, b) monotonic heating of restrained energy pile, c) cyclic heating/cooling of unrestrained energy pile, and d) cyclic heating/cooling of restrained energy pile.

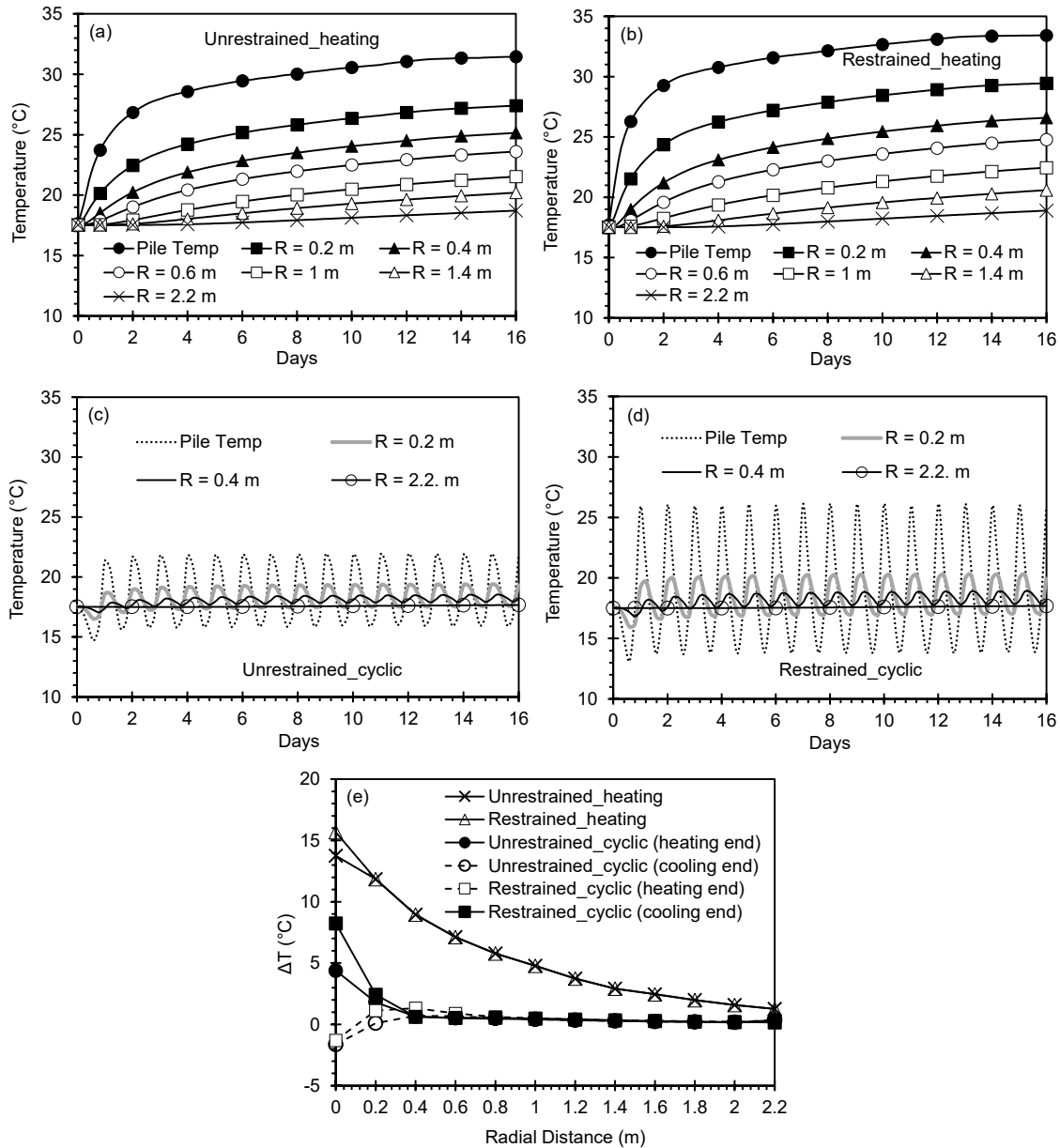


Figure 10. Ground temperatures at different distances, R , from the edge of the piles at a depth of 6 m: a) monotonic heating of unrestrained energy pile, b) monotonic heating of restrained energy pile, c) cyclic heating/cooling of unrestrained energy pile, d) cyclic heating/cooling of restrained energy pile, and e) change in pile and ground temperatures with respect to initial conditions at Day 15.

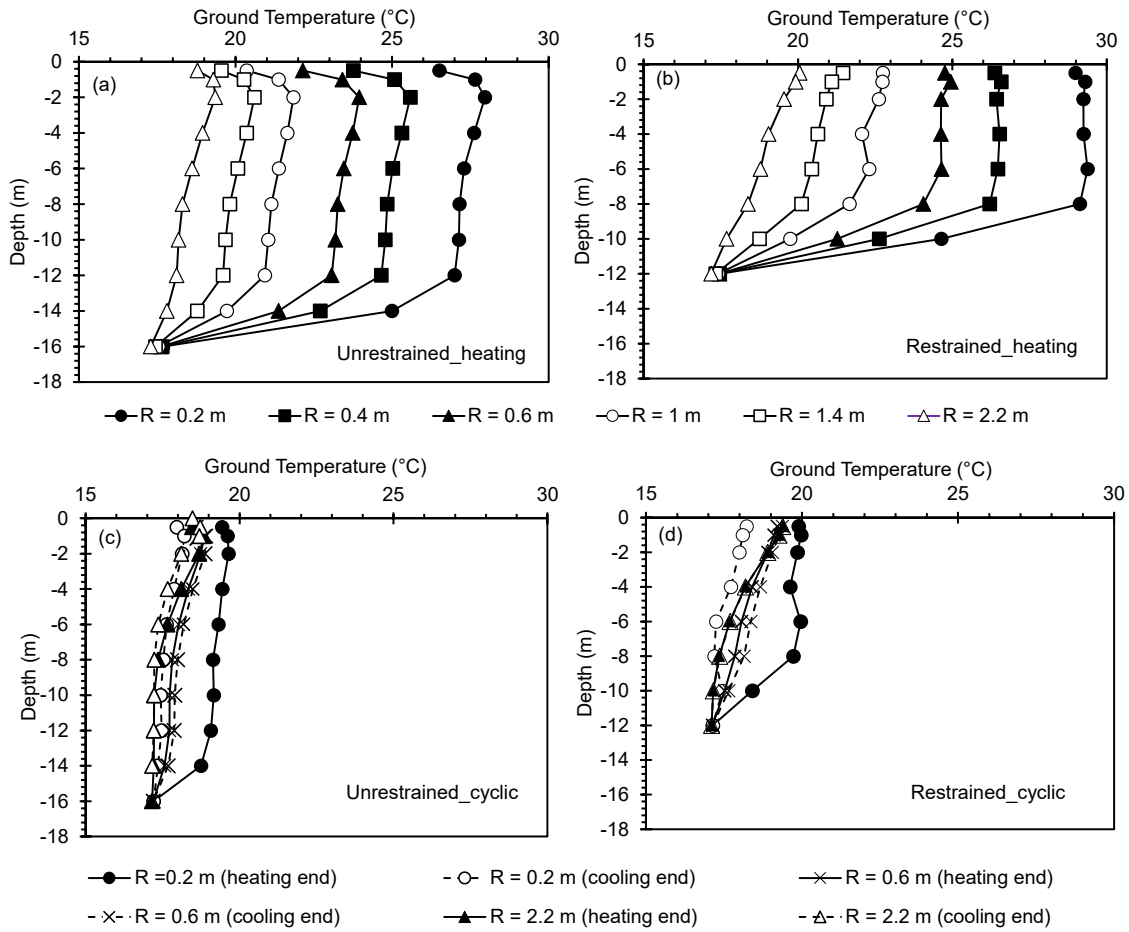


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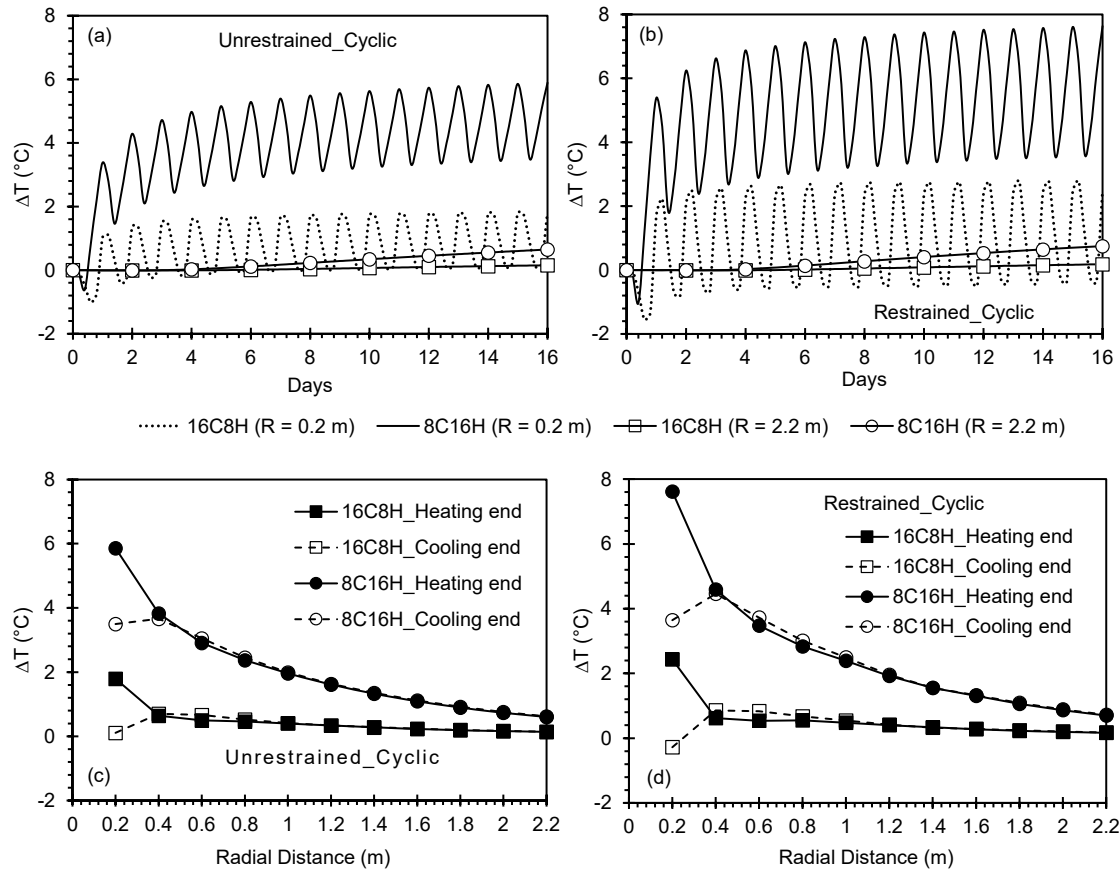


Figure 12. Changes in ground temperatures, ΔT , at different distances, R , from the edge of the energy piles at $d = 6$ m under different temperature cycles: a) unrestrained energy pile, b) restrained energy pile, c) ΔT of unrestrained energy pile against R , and d) ΔT of restrained energy pile against R .