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### Permalink

<https://escholarship.org/uc/item/8b77t7c9>

### Journal

Applied and Environmental Microbiology, 80(1)

### ISSN

0099-2240

### Authors

Kim, Eun Bae  
Marco, Maria L

### Publication Date

2014

### DOI

10.1128/aem.03108-13

Peer reviewed

# Nonclinical and Clinical *Enterococcus faecium* Strains, but Not *Enterococcus faecalis* Strains, Have Distinct Structural and Functional Genomic Features

Eun Bae Kim,\* Maria L. Marco

Department of Food Science and Technology, University of California, Davis, California, USA

Certain strains of *Enterococcus faecium* and *Enterococcus faecalis* contribute beneficially to animal health and food production, while others are associated with nosocomial infections. To determine whether there are structural and functional genomic features that are distinct between nonclinical (NC) and clinical (CL) strains of those species, we analyzed the genomes of 31 *E. faecium* and 38 *E. faecalis* strains. Hierarchical clustering of 7,017 orthologs found in the *E. faecium* pangenome revealed that NC strains clustered into two clades and are distinct from CL strains. NC *E. faecium* genomes are significantly smaller than CL genomes, and this difference was partly explained by significantly fewer mobile genetic elements (ME), virulence factors (VF), and antibiotic resistance (AR) genes. *E. faecium* ortholog comparisons identified 68 and 153 genes that are enriched for NC and CL strains, respectively. Proximity analysis showed that CL-enriched loci, and not NC-enriched loci, are more frequently colocalized on the genome with ME. In CL genomes, AR genes are also colocalized with ME, and VF are more frequently associated with CL-enriched loci. Genes in 23 functional groups are also differentially enriched between NC and CL *E. faecium* genomes. In contrast, differences were not observed between NC and CL *E. faecalis* genomes despite their having larger genomes than *E. faecium*. Our findings show that unlike *E. faecalis*, NC and CL *E. faecium* strains are equipped with distinct structural and functional genomic features indicative of adaptation to different environments.

*Enterococcus faecium* and *Enterococcus faecalis* are Gram-positive bacteria in the *Firmicutes* phylum and are found on plants, in foods, and in the gastrointestinal tracts (GIT) of animals (1). These species are members of the polyphyletic group of bacteria known as lactic acid bacteria and have important roles in food and beverage fermentations. Certain strains of *E. faecium* confer beneficial or probiotic effects on animal and human health (2). Conversely, strains of *E. faecium* and *E. faecalis* are also associated with nosocomial infections resulting in endocarditis and bacteremia and represent a significant reservoir of antibiotic resistance genes (2).

The genetic features of *E. faecium* and *E. faecalis* were investigated previously to identify lineages specific to community and clinical environments (3–7). Virulence factors (VF), antibiotic resistance (AR) genes, mobile genetic elements (ME), and multilocus sequence typing (MLST) patterns are associated with the potential of *E. faecium* and *E. faecalis* to cause disease in humans (8, 9). Despite such studies, the structural and functional features of enterococcal genomes are not fully understood. We hypothesized that the lineage-specific differences observed previously were only a fraction of the greater, more significant distinctions between nonclinical (NC) and clinical (CL) strains, and the opposing environmental and health-specific associations are the result of broader niche-specific adaptations that can be observed in the genomes of those species. Thus, we compared structural and functional genomic features between NC and CL strains of *E. faecium* and *E. faecalis*.

## MATERIALS AND METHODS

**Identification of *E. faecium* and *E. faecalis* orthologous CDS.** All *E. faecium* and *E. faecalis* nucleotide sequences and annotations available at GenBank (<http://www.ncbi.nlm.nih.gov/GenBank/>) were retrieved in the GenBank format in February 2012 and included complete and incomplete

genomes, as well as nucleotide sequences individually deposited from other isolates (see Fig. S1 in the supplemental material). For identification of orthologs, the protein coding sequences (CDS) in the annotations were filtered to remove CDS containing premature stop codons (pseudogenes). Each CDS was then aligned to the entire CDS pool (which included pseudogenes) using GASSST (10) according to nucleotide sequence identity ( $-p$  85, meaning  $\geq 85\%$  identity) and best sensitivity ( $-s$  5). The aligned CDS were regarded as one ortholog, and the consensus sequence of each ortholog was determined using the CAP3 assembler with default options (11). The resulting *E. faecium* and *E. faecalis* orthologous CDS collections included all consensus CDS for the species.

**Detection of CDS, VF, AR, and ME genes in each genome.** A total of 31 *E. faecium* and 38 *E. faecalis* genomes were retrieved from GenBank in May 2012 (Tables 1 and 2). Contigs for each genome sequence were fragmented sequentially into 50 bp at intervals of 7 bp, and each DNA fragment was aligned onto *E. faecium* and *E. faecalis* orthologous CDS using GASSST (10). Alignment coverage per gene was calculated, and genes highly covered by the fragments ( $\geq 90\%$  of CDS length) were recognized to be present in the genome. The DNA fragments were also aligned onto nucleotide sequences of VF genes extensively studied for both species (8, 12), AR genes from the Antibiotic Resistance Genes Database (ARDB) (13), and ME genes for gene identification in the enterococcal genomes

Received 13 September 2013 Accepted 10 October 2013

Published ahead of print 18 October 2013

Address correspondence to Maria L. Marco, [mmarco@udavis.edu](mailto:mmarco@udavis.edu).

\* Present address: Eun Bae Kim, Department of Animal Products and Food Science, College of Animal Life Sciences, Kangwon National University, Chuncheon, Kangwon-do, Republic of Korea.

Supplemental material for this article may be found at <http://dx.doi.org/10.1128/AEM.03108-13>.

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doi:10.1128/AEM.03108-13

TABLE 1 *E. faecium* isolates and their genomes used in this study

Group	Strain	Isolation origin(s)	MLST <sup>a</sup>	Country <sup>b</sup> (state)	Yr <sup>b</sup>	GenBank accession no.	Genome size <sup>c</sup> (kb)	Reference(s)
NC	Com12	Human, healthy volunteer, feces	ST107	USA (MA)	2006	ACBC00000000	2,685	48
NC	Com15	Human, healthy volunteer, feces	ST583	USA (MA)	2006	ACBD00000000	2,771	48
NC	E1039	Human, healthy volunteer, feces	ST42	Netherlands	1998	ACOS00000000	2,503	39
NC	E1071	Human, hospital patient, not related to enterococcal infection outbreak, feces	ST32	Netherlands	2000	ABQI00000000	2,701	39
NC	E4452	Dog, community, ampicillin-resistant enterococcus, rectal swabs	ST266	Netherlands	2008	AEOU00000000	2,769	49
NC	E4453	Dog, community, ampicillin-resistant enterococcus, rectal swabs	ST192	Netherlands	2008	AEDZ00000000	2,819	49
NC	E980	Human, healthy volunteer, feces	ST94	Netherlands	1998	ABQA00000000	2,793	39
NC	NRRL B-2354	Food, milk	ST860 <sup>d</sup>	USA	≤1947	CP004063 and CP004064	2,850	50
NC	PC4.1	Food, Mongolian yogurt (tarag)	ST720		≤2010	ADMM00000000	2,811	51
NC	TX1330	Human, healthy community volunteer, feces	ST107	USA (TX)	1994	ACHL00000000	2,721	52
CL	1,141,733	Human, clinical isolate, hospitalized patient, blood culture	ST327			ACAZ00000000	2,865	48
CL	1,230,933	Human, clinical isolate, hospitalized patient, wound swab	ST18			ACAS00000000	2,952	48
CL	1,231,408	Human, clinical isolate, hospitalized patient, blood culture	ST582			ACBB00000000	2,889	48
CL	1,231,410	Human, clinical isolate, skin and soft tissue infection	ST17			ACBA00000000	2,944	48
CL	1,231,501	Human, clinical isolate, hospitalized patient, blood culture	ST52			ACAY00000000	2,799	48
CL	1,231,502	Human, clinical isolate, hospitalized patient, blood culture	ST203			ACAX00000000	2,926	48
CL	Aus0004	Human, liver transplant recipient, blood	ST17	Australia	1998	CP003351 to CP003354	3,020	47
CL	C68	Human, clinical isolate, hospitalized patient, blood culture	ST16	USA (OH)	1998	ACJQ00000000	2,941	53
CL	D344SRF	Human, isolation site not specified	ST25	France	1985	ACZZ00000000	2,745	3
CL	DO	Human, endocarditis infection	ST18	USA (TX)	1994	CP003583 to CP003586	3,053	4
CL	E1162	Human, clinical isolate, hospitalized patient, blood culture	ST17	France	1997	ABQJ00000000	2,711	39
CL	E1636	Human, clinical isolate, hospitalized patient, blood culture	ST106	Netherlands	1961	ABRY00000000	2,838	39
CL	E1679	Human, clinical isolate, vascular catheter tip	ST114	Brazil	1998	ABSC00000000	2,928	39
CL	TX0082	Human, clinical isolate, endocarditis, blood	ST17	USA (TX)	1999	AEBU00000000	2,691	52
CL	TX0133A	Human, clinical isolate, blood	ST17	USA (TX)	2006	AECH00000000	2,930	52
CL	TX0133a01	Human, clinical isolate, in the inhibition zone, blood	ST17	USA (TX)		AECJ00000000	3,073	
CL	TX0133a04	Human, clinical isolate, outside the inhibition zone, blood	ST17	USA (TX)		AEBC00000000	2,922	52, 54
CL	TX0133B	Human, clinical isolate, blood	ST17	USA (TX)	2006	AECI00000000	2,927	52, 54
CL	TX0133C	Human, clinical isolate, blood	ST17	USA (TX)	2006	AEBG00000000	2,907	52, 54
CL	U0317	Human, clinical isolate, urinary tract infection (urine)	ST78	Netherlands	2005	ABSW00000000	2,893	39
	TC6	Colonizing transconjugant between C68 and D344SRF	ST25	USA (OH)		ACOB00000000	2,884	19

<sup>a</sup> MLST were assigned according to each genome sequence used in this study. MLST of 24 isolates assigned by us were compared to the reported MLST. Twenty-three MLST were the same as the reported MLST, but that of D344SRF (ST25) was different from the reported MLST (ST21). MLST of 5 strains were assigned for the first time in this study.

<sup>b</sup> Information on isolation country and year (4).

<sup>c</sup> Genome sizes were calculated as the total length of contigs for each genome.

<sup>d</sup> New sequence type assigned during the course of this study (<http://efaecium.mlst.net/>).

(see the supplemental materials for a list of AR and VF genes examined). Loci annotated as phage proteins, transposons, transposases, integrases, and insertion sequences (IS) were identified in the *E. faecium* genomes and collectively regarded as candidate ME genes.

**Analysis of functional categories of genes.** Genome sequences (FASTA files) were uploaded to the RAST server (14) with default options to obtain information on gene functional categories, called subsystems. The gene amounts were counted for each subsystem. Differentially over-

TABLE 2 *E. faecalis* isolates used in this study and their genomes

Group	Strain	Isolation origin(s)	MLST <sup>a</sup>	Country <sup>b</sup>	Yr <sup>b</sup>	GenBank accession no.	Genome size <sup>c</sup> (kb)	Reference(s)
NC	62	Human, healthy infant, feces	ST66	Norway	2002–2003	CP002491 to CP002495	3,131	55, 56
NC	D6	Pig	ST16	Denmark		ACAT000000000	2,887	48, 57
NC	E1Sol	Human, commensal isolate, feces	ST93	Solomon Islands	1960s	ACAQ000000000	2,853	48, 57
NC	Fly1	<i>Drosophila</i> , commensal isolate	ST101	USA	2005	ACAR000000000	2,791	48, 57
NC	R712	Human, feces	ST103	USA		ADDQ000000000	3,037	52
NC	S613	Human, feces	ST103	USA		ADDP000000000	3,042	52
NC	X98	Human, infant, feces	ST19		1934	ACAW000000000	2,910	48, 57
CL	AR01/DG	Dog, wound isolate, wound	ST108	New Zealand	2001	ACAK000000000	2,821	57
CL	ATCC 29200	Human, urogenital secretions	ST21	Canada	≤1974	ACHK000000000	2,936	52
CL	ATCC 29212	Human, urine	ST30	United Kingdom	≤1903	ALOD000000000	3,062	58
CL	ATCC 4200	Human, rheumatic fever isolate, blood	ST105		1926	ACAG000000000	3,009	48, 57
CL	CH188	Human, clinical isolate, liver	ST9	USA	1980s	ACAV000000000	3,159	
CL	DAPTO 512	Human, clinical isolate, blood	ST103			AEBT000000000	3,054	52
CL	DAPTO 516	Human, clinical isolate, blood	ST103			AEBT000000000	3,055	52
CL	HH22	Human, clinical isolate, urinary isolates	ST6	USA	≤1982	ACIX000000000	3,050	59
CL	HIP11704	Human, clinical isolate	ST4	USA	2002	ACAN000000000	3,130	48, 57
CL	JH1	Human, clinical isolate	ST40	United Kingdom	≤1974	ACAP000000000	2,995	48, 57
CL	Merz96	Human, clinical isolate, blood	ST103	USA	2002	ACAM000000000	3,038	48, 57
CL	T11	Human, clinical isolate, urine	ST65	Japan	≤1992	ACAU000000000	2,729	48, 57
CL	T2	Human, clinical isolate, urine	ST11	Japan	≤1992	ACAE000000000	3,205	48, 57
CL	T3	Human, clinical isolate, urine	ST67	Japan	≤1992	ACAF000000000	2,784	48, 57
CL	T8	Human, clinical isolate, urine	ST8	Japan	≤1992	ACOC000000000	2,985	48, 57
CL	TUSoD Efl1	Human, cause of urinary tract infections, bacteremia, and infective endocarditis	ST364	USA		ACOX000000000	2,837	52
CL	TX0102	Human, clinical isolate, blood	ST21	USA		AEBD000000000	2,871	52
CL	TX0104	Human, endocarditis isolate	ST2	USA		ACGL000000000	3,107	6, 52
CL	TX0109	Human, clinical isolate, endocarditis, blood	ST59	USA		AEBY000000000	2,967	52
CL	TX0635	Human, clinical isolate, urogenital tract	ST9	USA		AEBZ000000000	3,168	52
CL	TX0855	Human, clinical isolate, urogenital tract	ST4	USA		AEBV000000000	2,986	52
CL	TX0860	Human, clinical isolate, catheter tip, blood	ST11	USA		AEBX000000000	3,062	52
CL	TX2134	Human, clinical isolate, GIT	ST30	USA		AEBW000000000	3,121	52
CL	TX4248	Human, clinical isolate, lymph node	ST40	USA		AEBR000000000	3,187	52
CL	V583	Human, hospitalized patient, blood	ST6	USA	1989	AE016830 to AE016833	3,360	60
	DS5		ST55		≤1974	ACAI000000000	3,128	48, 57
	OG1RF	Laboratory strain	ST1	USA	≤1975	CP002621	2,740	61
	T1	Human	ST21		≤1950	ACAD000000000	2,906	48, 57
	TX0411	Human	ST90	USA	1954	AECA000000000	3,124	52
	TX0470	Human	ST110	USA	1963	AECC000000000	2,877	52
	TX1322	Human	ST64	USA	1994	ACGM000000000	2,930	52

<sup>a</sup> MLST were reassigned using each genome sequence used in this study. MLST of 26 isolates assigned by us were compared to reported MLST. All of them were the same as the reported MLST. MLST of 12 strains were assigned for the first time in this study.

<sup>b</sup> Information on isolation country and year (6).

<sup>c</sup> Genome sizes were calculated as the total length of contigs for each genome.

represented gene numbers in each subsystem were examined between NC and CL genomes using Student's *t* test.

**Hierarchical isolate clustering.** The presence or absence of each *E. faecium* and *E. faecalis* orthologous CDS in a genome was used for hierarchical isolate clustering using the Euclidean distance method implemented in the R package (15). Existence of NC1, NC2, and CL clades was statistically examined by 10,000 bootstrap resamplings using an R package, Pvcust (16).

**MLST of *E. faecium* and *E. faecalis*.** Multilocus sequence typing (MLST) assignment was based on partial sequences of the 7 *E. faecium* housekeeping genes, *atpA*, *adk*, *ddl*, *gdh*, *purK*, *gyd*, and *pstS* (<http://efaecium.mlst.net/>) (17), or 7 *E. faecalis* housekeeping genes, *aroE*, *gdh*, *gki*, *gyd*, *pstS*, *xpt*, and *yqiL* (<http://efaecalis.mlst.net/>). The sequences were retrieved from each genome and compared to the MLST database to identify allele types for the 7 genes and assign each strain to a specific MLST group. Concatenated MLST sequences from 31 strains were also aligned

TABLE 3 Genome comparisons between two species and between NC and CL isolates<sup>a</sup>

Parameter	<i>E. faecium</i>		Significance <sup>b</sup> ( <i>t</i> test)	<i>E. faecium</i>		Significance	<i>E. faecalis</i>		Significance
	( <i>n</i> = 31)	<i>E. faecalis</i> ( <i>n</i> = 38)		NC ( <i>n</i> = 10)	CL ( <i>n</i> = 20)		NC ( <i>n</i> = 7)	CL ( <i>n</i> = 25)	
Genome size (kb)	2,847.2 ± 122.1	3,000.8 ± 143.0	<i>P</i> < 0.001	2,742.4 ± 99.4	2,897.8 ± 101.5	<i>P</i> < 0.001	2,950.0 ± 121.9	3,027.0 ± 144.8	NS
No. of CDS	3,122.5 ± 132.1	3,247.6 ± 180.9	<i>P</i> < 0.01	2,999.7 ± 107.5	3,182.0 ± 101.8	<i>P</i> < 0.001	3,187.4 ± 168.6	3,276.9 ± 178.2	NS
G+C content (%)	37.9 ± 0.2	37.3 ± 0.2	<i>P</i> < 0.001	38.0 ± 0.1	37.8 ± 0.2	<i>P</i> < 0.05	37.4 ± 0.1	37.3 ± 0.2	NS
No. of VF genes <sup>c</sup>	14.0 ± 3.3	44.7 ± 4.1	NA	11.4 ± 2.4	15.4 ± 2.9	<i>P</i> < 0.001	43.1 ± 3.0	45.3 ± 4.4	NS
No. of AR genes <sup>d</sup>	2.9 ± 2.3	5.7 ± 2.2	<i>P</i> < 0.001	1.3 ± 2.4	3.8 ± 1.8	<i>P</i> < 0.05	5.4 ± 2.8	6.2 ± 2.0	NS
No. of ME genes <sup>e</sup>	97.1 ± 35.8	107.3 ± 27.8	NS	62.0 ± 24.0	111.8 ± 26.5	<i>P</i> < 0.001	103.9 ± 30.5	110.6 ± 25.7	NS

<sup>a</sup> Values are shown as means ± standard deviations.

<sup>b</sup> *P* values were calculated by Student's *t* test. NA and NS indicate not analyzed and no significance, respectively.

<sup>c</sup> Comparisons between virulence factor gene numbers were not applicable, because the genes were selected using different criteria depending on the species. Extensively studied VF genes were used for these comparisons (for more detail, see the supplemental material).

<sup>d</sup> Known AR genes from the antibiotic resistance gene database (ARDB) (for more detail, see the supplemental material).

<sup>e</sup> ME genes were regarded to be all phage, transposon, transposase, integrase, or insertion sequences (IS) designated according to the genome annotations.

to each other and further analyzed for phylogenetic relationships by using the neighbor joining method with 1,000 resamplings.

**Phylogenetic analysis of *pbp5* sequences.** Full-length *pbp5* CDS were retrieved from each *E. faecium* genome and analyzed by using the neighbor joining method with 1,000 resamplings and the maximum likelihood method with 500 resamplings. MEGA5 was used for the phylogenetic analysis (18).

**Detection of NC- and CL-enriched genes.** *E. faecium* and *E. faecalis* isolates were divided into NC and CL groups according to isolation origin (Tables 1 and 2). The frequency of each orthologous CDS was enumerated for the NC and CL groups. A significant difference in CDS count between the groups was determined using Fisher's exact test.

**PCR detection of NC- and CL-enriched genes.** *E. faecium* NRRL B-2354 was obtained from the USDA Agricultural Research Service Culture Collection (Peoria, IL; receiving date, 22 July 2011). *E. faecium* 1,231,502 was provided by Michael Gilmore (Harvard University, Boston, MA), and *Lactobacillus plantarum* WCFS1 was provided by Michiel Kleerebezem, NIZO Food Research, The Netherlands. *E. faecium* strains were cultivated in brain heart infusion (BHI) broth (Becton, Dickinson and Company, USA) at 37°C for 8 h without aeration. *L. plantarum* was cultivated in de Man, Rogosa, and Sharpe (MRS) (Oxoid, England) broth under the same conditions as *E. faecium*. Cells were harvested by centrifugation at 21,000 × *g* for 1 min, and genomic DNA was then extracted using the DNeasy blood and tissue kit (Qiagen). The PCR was performed for 3 NC-enriched and 2 CL-enriched genes using GoTaq DNA polymerase (Promega) and 200 nM each gene-specific primer (see Table S1 in the supplemental material) with the following steps: 95°C for 5 min; 35 cycles of 95°C for 30 s, 55°C for 35 s, and 72°C for 45 s; and 72°C for 7 min.

**Analysis of gene proximity.** Different types of genes (ME/VF/AR genes and NC-/CL-enriched genes) were located on *E. faecium* genome sequences using GASSST with ≥90% sequence similarity and best sensitivity. Two genes localized together within 1,000 bp were regarded as colocalized. The colocalized rate (%) was calculated for each genome.

## RESULTS

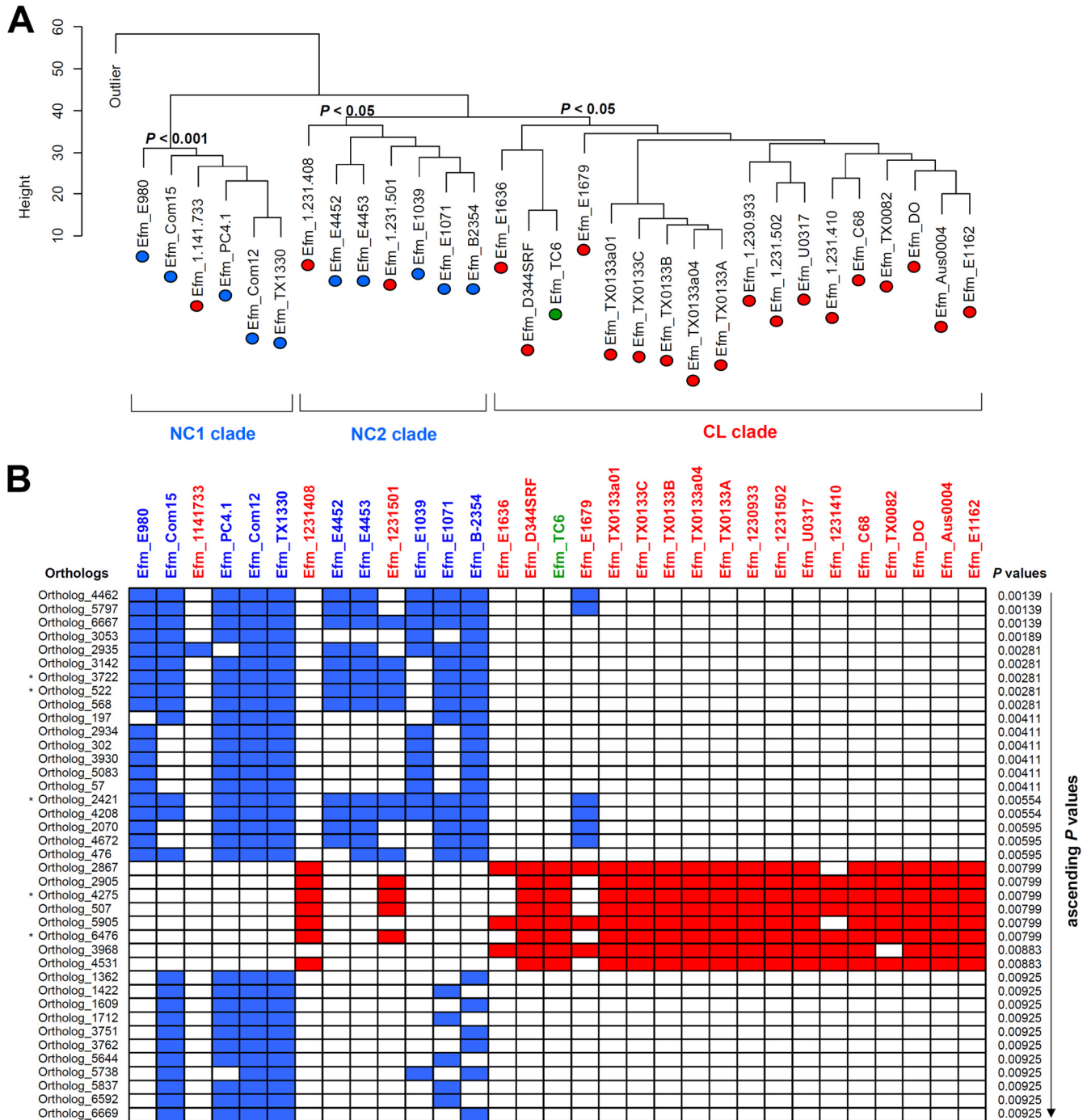
**General features of *E. faecium* and *E. faecalis* pangenomes.** Orthologous CDS collections were constructed using all publicly available nucleotide sequences for the two species (see Table S2 and Fig. S1 in the supplemental material), including 31 *E. faecium* and 38 *E. faecalis* genomes (Tables 1 and 2). According to ortholog clustering based on sequence similarity, a total of 7,017 and 8,032 orthologous CDS were identified for *E. faecium* and *E. faecalis* species, respectively (see Table S2). The orthologs were identified using existing genome annotations available in GenBank. CDS collections constructed when all genomes were examined using a common annotation pipeline (RAST) (14) provided similar results (data not shown). *E. faecalis* CDS contained a greater number

of genes with gene designations (1,724 CDS; 21.46%) than *E. faecium* (1,133 genes; 16.15%). However, the majority of *E. faecalis* CDS (6,308; 78.54%) and *E. faecium* CDS (5,884; 83.85%) were unassigned to gene designations. Among the 7,017 *E. faecium* orthologs, 1,755 core genes (25.0%) were shared by all 31 *E. faecium* genomes analyzed. The pangenome contained 1,327 accessory genes (18.9%) unique to specific strains and another 240 genes (3.4%) in the public databases not yet associated with published genome sequences (see Fig. S2A in the supplemental material). *E. faecalis* orthologous CDS comprised a greater number of core genes (2,184 genes; 27.2%) than those of *E. faecium*. The pangenome contained 1,538 accessory genes (19.1%) unique to specific strains and another 828 genes (10.3%) with single representatives in GenBank (see Fig. S2B).

The average genome size of *E. faecium* (2,847.2 ± 122.1 kb) was significantly smaller than that of *E. faecalis* (3,000.8 ± 143.0 kb) (*P* < 0.001) (Table 3). At 3,122.5 ± 132.1 CDS per genome, *E. faecium* genomes contained significantly fewer genes than *E. faecalis* (3,247.6 ± 180.9 CDS per genome; *P* < 0.01) (Table 3). Although *E. faecium* genomes harbor fewer AR genes (Table 3), this difference was not sufficient to account for the smaller genome size. Moreover, the number of ME was not significantly different between the two species, and while a greater number of VF genes were identified in *E. faecalis* genomes, this distinction might be due to gaps in knowledge of enterococcus pathogenesis (8).

**Hierarchical clustering of *E. faecium* and *E. faecalis* based on genome contents.** CDS presence/absence was measured for *E. faecium* and *E. faecalis* to determine whether community-associated strains form a lineage distinct from those isolated from nosocomial infections. Among the 31 *E. faecium* genomes available for comparison (Table 1) were 10 NC strains from community origins, including human/animal feces and dairy products, 20 CL strains isolated from human blood and tissues, and 1 strain from an experimental transconjugation between two clinical strains (19). Hierarchical clustering of the 7,017 *E. faecium* CDS orthologs showed that NC strains clustered into two clades (NC1 and NC2) and were distinct from a CL clade consisting of 17 CL strains and a transconjugant (Fig. 1A). The NC1 clade was also identified by MLST (see Fig. S3 in the supplemental material) and is identical to the NC-enriched clade that was previously found using a concatenated sequence alignment of 299 core orthologous proteins (20). The NC2 clade was not distinguishable by MLST (see Fig. S3) and represents a newly described clade. Despite the





**FIG 1** Hierarchical clustering of *E. faecium* isolates and NC-/CL-enriched genes. (A) In the hierarchical clustering of *E. faecium* isolates, NC isolates (blue ovals) were enriched in clades NC1 and NC2, and CL isolates (red ovals) were enriched in the CL clade. A transconjugant, TC6 (a green oval), was associated with the CL clade. One artificially generated outlier was included to distinguish between *E. faecium* strains. Height represents a relative distance between strains. A bootstrap analysis was performed to confirm the reliability of the clustering. The resampling size was 10,000, and clusters with *P* values less than 0.05 were considered present. (B) The abundances of 39 enriched genes in NC or CL isolates were visualized in the heat map. The left side of the box array shows *E. faecium* orthologous CDS numbers. The *P* values from Fisher's exact test are shown in an ascending order at the right side of the box array. The upper side of the box array indicates isolate names with origin information: 10 NC isolates (blue), 20 CL isolates (red), and 1 transconjugant (green). The isolate names are listed in the same order as that shown in the hierarchical clustering. Filled blue or red boxes indicate the presence of each NC- or CL-enriched gene, respectively, in a given genome, and white blank boxes indicate gene absence. Asterisks indicate genes that were examined by PCR (see Fig. S5 in the supplemental material).

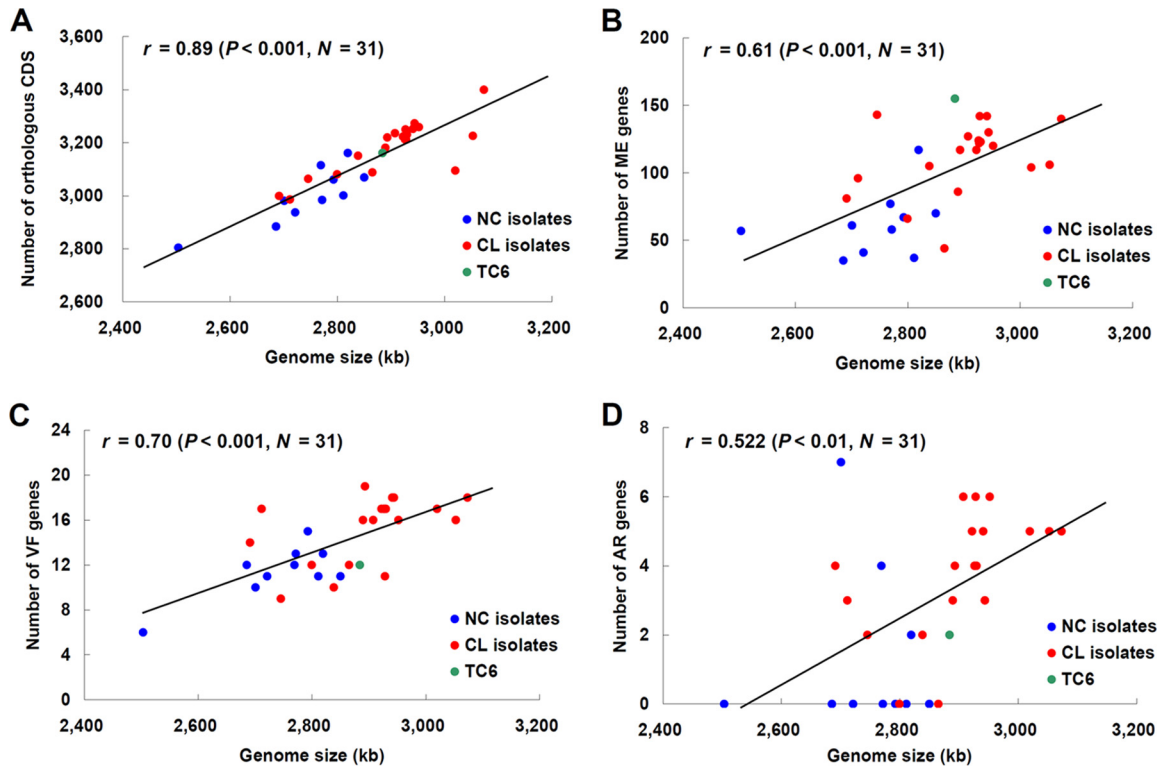


FIG 2 Correlation of genome size with numbers of orthologous genes and clinically relevant genes in *E. faecium*. The numbers of orthologous CDS (A), ME genes (B), VF genes (C), and AR genes (D) in an individual strain are plotted against the genome size of that strain. Pearson's correlation coefficients and *P* values were calculated and are shown in each scatter plot. The color of each circle indicates NC isolates (blue), CL isolates (red), and a transconjugant (green).

good separation between NC and CL strains, three CL strains (1,141,733, 1,231,501, and 1,231,408) were clustered together with NC strains, as shown in other studies (4, 20). The same result was found for 1,141,733 by MLST, and because the other 2 strains were in NC2, they were not distinguishable from CL strains by MLST. Notably, strains from similar origins were not necessarily closely related. For example, two dairy strains, PC4.1 and NRRL B-2354, were located in different NC clades, and one of the five strains from healthy human feces (TX1330) was associated with a different NC clade from the others. Hierarchical clustering was also performed using the 8,032 *E. faecalis* orthologs identified for 38 *E. faecalis* genomes representing 7 NC and 25 CL strains. In contrast to *E. faecium*, no evidence of distinct lineages was found (see Fig. S4).

**Structural and functional genomic differences between NC and CL *E. faecium* strains.** The genomes of *E. faecium* CL strains were, on average, 155 kb larger than strains isolated from community sources ( $P < 0.001$ ). CL genomes contained, on average, approximately 182 more genes, and the number of predicted genes was positively correlated with genome size ( $r = 0.89$ ,  $P < 0.001$ ) (Fig. 2A). Contributing to the larger genome size of the CL isolates was the higher number of genes coding for VF, AR, and ME (Table 3 and Fig. 2B, C, and D). Approximately 4-, 3-, and 50-more VF, AR, and ME genes were found in the CL genomes, respectively (Table 3). Similar to the lack of evidence for different lineages of *E. faecalis* based on hierarchical gene clustering, genome-level differences between 7 NC and 25 CL *E. faecalis* isolates were not found (Table 3).

Further characterization of NC and CL genomes showed that

*E. faecium* CL strains have genes overrepresented in 17 RAST subsystems (14). CL strains were found to be enriched in functional gene groups encompassing antibiotic resistance and lysogenic phages (Fig. 3). Genes belonging to N-acetyl-galactosamine and galactosamine utilization, ABC transporter alkylphosphonate (TC 3.A.1.9.1), and the G3E family of P-loop GTPase (metallocenter biosynthesis) subsystems were exclusively found in CL strains. *E. faecium* NC genomes contained genes related to the glycerol-1,3-propanediol fermentation pathway as well as an overrepresentation of genes in 6 subsystems predominantly involved in metabolism, oxidative stress, and metal homeostasis.

**NC- and CL-enriched genes.** Differences among individual orthologous CDS were compared to identify genes that contributed to the genetic separation between the *E. faecium* NC and CL strains. A total of 68 and 153 genes were significantly enriched among NC and CL *E. faecium* strains, respectively, at  $P < 0.05$  (Fisher's exact test) (see Table S3 in the supplemental material). At  $P < 0.01$  (Fisher's exact test), a subset of 31 NC- and 8 CL-enriched genes was detected (Fig. 1B and Table 4; also see Table S3). In contrast, CL- and NC-enriched genes were not found in the *E. faecalis* genomes at  $P < 0.01$  (Fisher's exact test).

Several different functional categories were represented among the 31 NC-enriched genes at  $P < 0.01$ , including hypothetical (membrane) proteins, capsule and vitamin biosynthesis, and sugar metabolism (Table 4). Several transcriptional regulators were also among those NC-enriched genes as well as loci associated with stress responses, including *dps*, a gene coding for iron-binding ferroxidase (ortholog\_2421). The 8 CL-enriched genes ( $P < 0.01$ ) consisted of two insertion sequence elements and 6

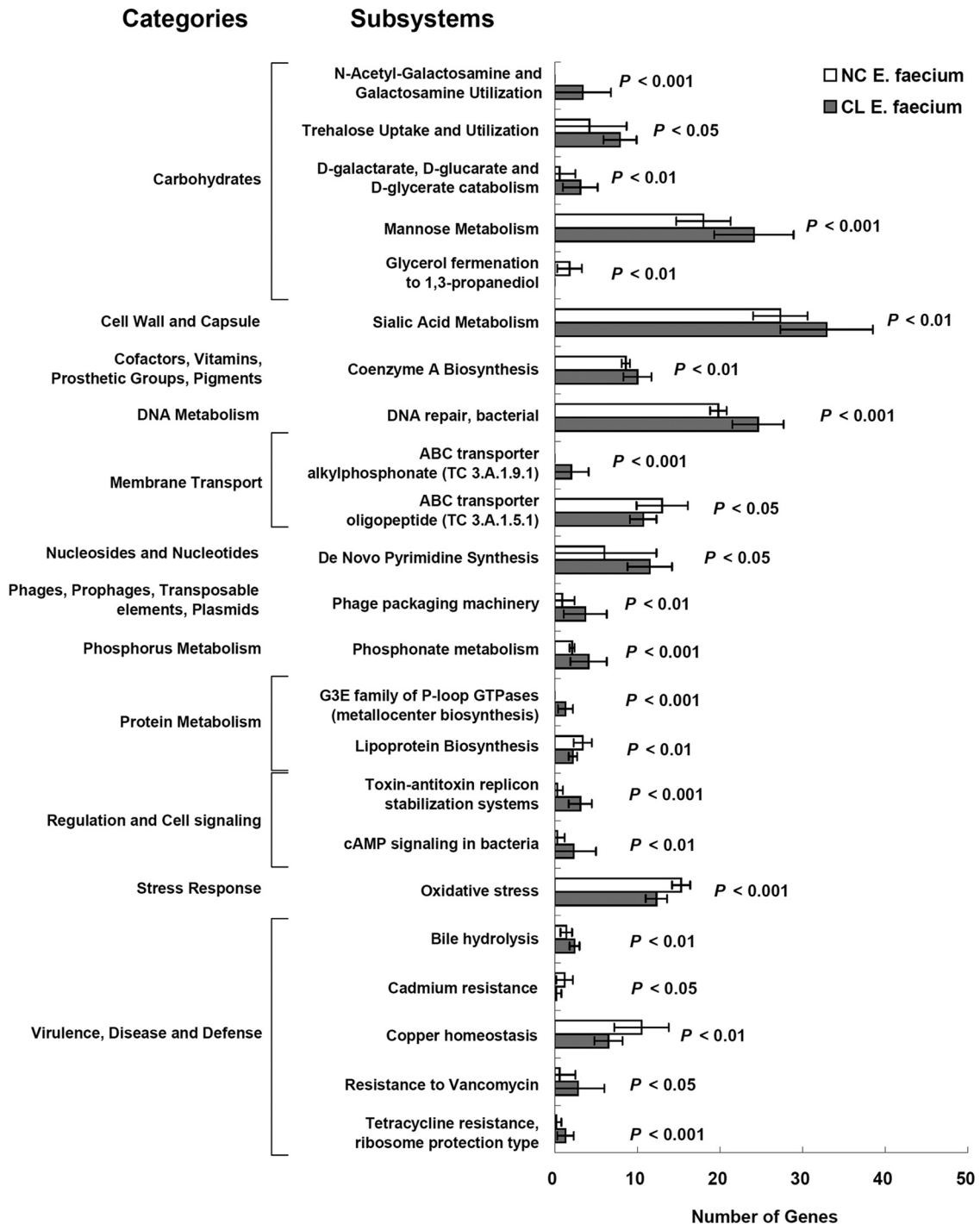


FIG 3 Comparisons of subsystems between NC and CL *E. faecium* genomes. Gene abundance in 23 subsystems is shown.  $P$  values were calculated according to Student's  $t$  test. cAMP, cyclic AMP.

genes encoding hypothetical proteins (Table 4; also see Table S3 in the supplemental material).

Proximity analysis showed that the *E. faecium* NC-enriched genes were often localized within 1,000 bp of each other in the NC *E. faecium* genomes, and the same result was found for CL-enriched genes in CL *E. faecium* (Fig. 4A; also see Fig. S5 in the supplemental material). Among the NC genomes, the 68 enriched

genes ( $P < 0.05$ ) were segregated into 20 loci, with an average of 2.2 genes per locus per NC genome. For the 153 CL-enriched genes, an average of 49 loci were identified with 2 genes per locus per CL genome.

To confirm the presence of the NC- and CL-enriched genes in *E. faecium*, several genes were selected for PCR amplification in representative NC (NRRL B-2354) and CL (1,231,502) strains.



TABLE 4 NC- and CL-enriched genes in *E. faecium*

Gene <sup>a</sup>	P values <sup>b</sup>	Product(s)	Strain group enriched
Ortholog_4462	0.00139	Hypothetical protein	NC
Ortholog_5797 ( <i>fnr-1</i> )	0.00139	Crp/Fnr family transcriptional regulator	NC
Ortholog_6667	0.00139	Hypothetical protein	NC
Ortholog_3053	0.00189	Hypothetical protein	NC
Ortholog_2935	0.00281	Hypothetical protein	NC
Ortholog_3142	0.00281	Hypothetical membrane protein	NC
Ortholog_3722	0.00281	NAD-dependent epimerase/dehydratase	NC
Ortholog_522	0.00281	Hypothetical 3-demethylubiquinone-9 3-methyltransferase	NC
Ortholog_568 ( <i>thiJ</i> )	0.00281	ThiJ/PfpI, C56 or DJ-1/PfpI family intracellular protease/amidase, hypothetical chaperone protein HSP31	NC
Ortholog_197 ( <i>epsB</i> )	0.00411	Undecaprenyl-phosphate galactosephosphotransferase, glucosyltransferase EpsB, galactosyltransferase	NC
Ortholog_2934 ( <i>cobO</i> )	0.00411	Cob(I)alamin adenosyltransferase	NC
Ortholog_302	0.00411	Cobalamin ECF transporter, lipoprotein	NC
Ortholog_3930 ( <i>cbrT</i> )	0.00411	Substrate-specific component CbrT of cobalamin ECF transporter	NC
Ortholog_5083	0.00411	Hypothetical protein	NC
Ortholog_57	0.00411	Adenosylcobalamin (coenzyme B12)-dependent ribonucleoside-diphosphate reductase	NC
Ortholog_2421 ( <i>dps</i> )	0.00554	Non-specific DNA-binding protein Dps, iron-binding ferritin-like antioxidant protein/ferroxidase	NC
Ortholog_4208 ( <i>copZ</i> )	0.00554	Copper chaperone copZ, heavy metal-associated domain, MerTP family mercury (Hg <sup>2+</sup> ) permease	NC
Ortholog_2070	0.00595	Hypothetical protein	NC
Ortholog_4672	0.00595	Hypothetical protein	NC
Ortholog_476	0.00595	HTH ArsR-type DNA-binding transcriptional regulator	NC
Ortholog_2867	0.00799	Transposase IS3/IS911	CL
Ortholog_2905	0.00799	Hypothetical protein	CL
Ortholog_4275	0.00799	Hypothetical protein	CL
Ortholog_507	0.00799	Hypothetical protein	CL
Ortholog_5905	0.00799	IS3-family transposase, integrase, transposase InsK, IS150-like transposase	CL
Ortholog_6476	0.00799	Hypothetical protein	CL
Ortholog_3968	0.00883	Hypothetical protein	CL
Ortholog_4531	0.00883	Hypothetical protein	CL
Ortholog_1362	0.00925	D12 class N6 adenine-specific DNA methyltransferase	NC
Ortholog_1422 ( <i>cps4F</i> )	0.00925	Capsular polysaccharide biosynthesis protein Cps4F, glycosyl transferase group 1	NC
Ortholog_1609	0.00925	Hypothetical protein	NC
Ortholog_1712	0.00925	UDP-glucose 4-epimerase, NAD-dependent epimerase/dehydratase	NC
Ortholog_3751	0.00925	Hypothetical protein	NC
Ortholog_3762 ( <i>mga</i> )	0.00925	M protein <i>trans</i> -acting positive regulator (MGA)	NC
Ortholog_5644 ( <i>capD</i> )	0.00925	Polysaccharide biosynthesis protein CapD, NAD-binding protein, UDP-glucose 4-epimerase	NC
Ortholog_5738	0.00925	Hypothetical protein	NC
Ortholog_5837 ( <i>wecB</i> )	0.00925	UDP-N-acetylglucosamine 2-epimerase	NC
Ortholog_6592 ( <i>cap5F</i> )	0.00925	NAD-dependent epimerase/dehydratase, capsular polysaccharide biosynthesis protein Cap5F	NC
Ortholog_6669	0.00925	Hypothetical protein	NC

<sup>a</sup> Full sets of NC- or CL-enriched genes are listed in Table S3 in the supplemental material.

<sup>b</sup> P values were obtained by Fisher's exact test.

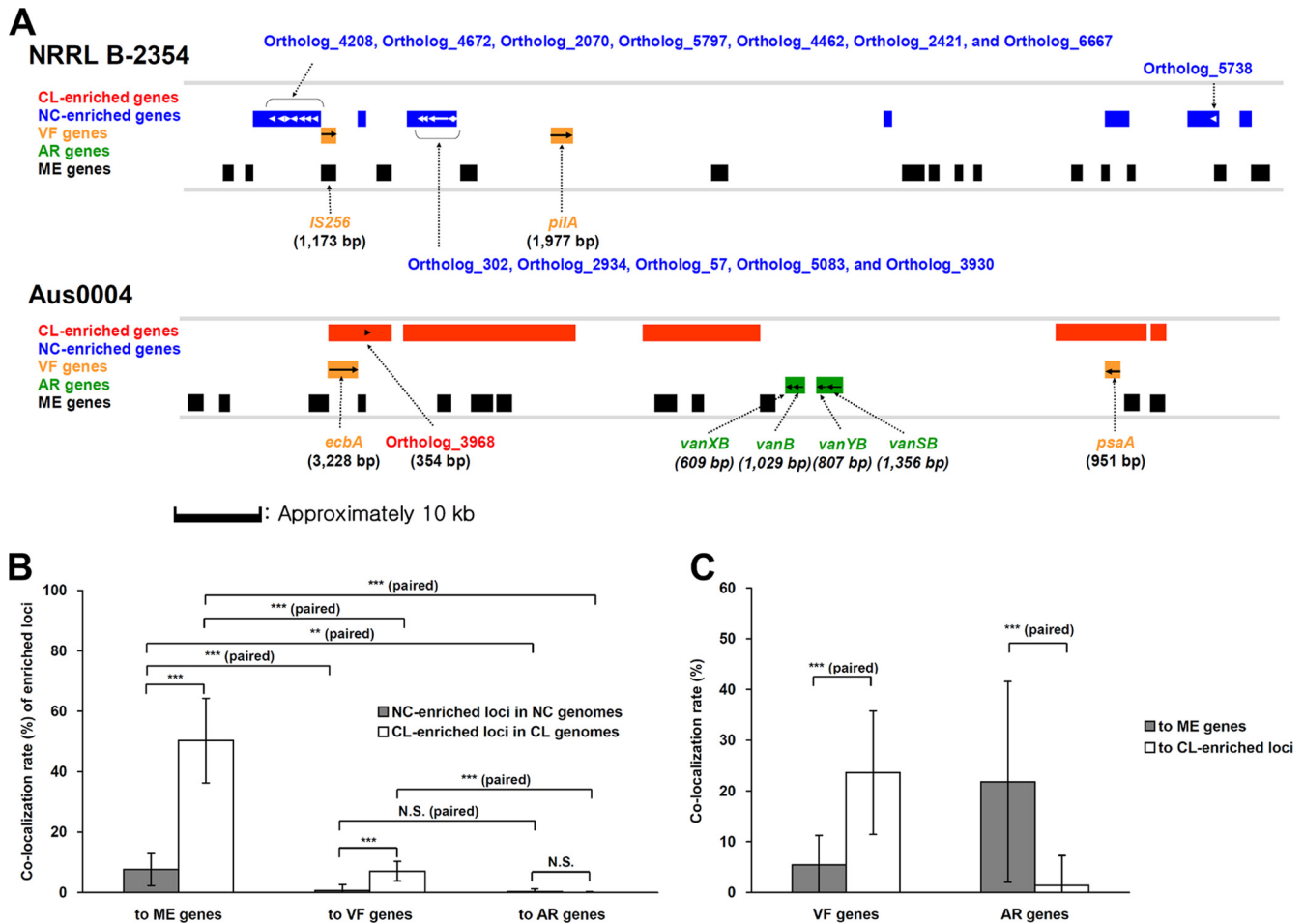
The three NC-specific genes tested (ortholog\_522, ortholog\_3722, and ortholog\_2421) were detected in *E. faecium* strain NRRL B-2354 but not *E. faecium* strain 1,231,502 (see Fig. S6 in the supplemental material). The two CL-enriched genes (ortholog\_4275 and ortholog\_6476) were found only in strain 1,231,502 (see Fig. S6).

**Structural proximity of NC-/CL-enriched loci to ME, VF, and AR genes.** As ME genes have contributed to the emergence of VF and AR genes and are often colocalized in *E. faecium* (21–23), proximity analysis was performed for ME, VF, and AR genes on the *E. faecium* genomes. The majority of ME genes were located within 1,000 bp from niche-specific loci (Fig. 4A), and CL-enriched genes (50.3% ± 14.0% loci/CL genome) were more frequently colocalized to ME than NC-enriched genes (7.6% ± 5.3% loci/NC genome) (Fig. 4B). CL-enriched loci were also more fre-

quently found in close proximity to VF genes (7.1% ± 3.3% loci/CL genome) (Fig. 4B). In each CL genome, VF genes were also located in close proximity to ME, although colocalization of VF genes to CL-enriched genes was even higher (Fig. 4C). In contrast, AR genes were more often associated with ME (Fig. 4C).

## DISCUSSION

This study revealed novel structural (genome size and colocalization of NC-/CL-enriched genes to ME, VF, and AR genes) and functional (overrepresented functional categories and NC-/CL-enriched genes) features between *E. faecium* NC and CL genomes. *E. faecalis* genomes were found to contain more core genes than *E. faecium* but do not show clade separation between NC and CL isolates. The results indicate that NC and CL *E. faecium* are each



**FIG 4** Proximity of NC-/CL-enriched loci to ME, VF, and AR genes. (A) Representative loci are shown from *E. faecium* NRRL B-2354 (plasmid) and Aus0004 (chromosome) containing NC- and CL-enriched genes. NC-enriched (blue) and CL-enriched (red) genes are indicated, as well as VF, AR, and ME loci. A scale bar is provided. Gene clusters identified in other strains are shown in Fig. S5 in the supplemental material. (B) Colocalization rates of *E. faecium* NC-/CL-enriched loci to ME, VF, and AR genes was compared in NC ( $n = 10$ ) and CL ( $n = 20$ ) genomes. (C) Colocalization rates of VF and AR genes to ME genes and CL-enriched loci were also compared among CL genomes ( $n = 20$ ). Colocalization rates are shown as means  $\pm$  standard deviations. N.S., no significance;  $P < 0.01$  (\*\*) and  $P < 0.001$  (\*\*\*) according to Student's  $t$  test using unpaired or paired data.

equipped with different genes for adaptation to the GIT and extra-intestinal sites.

A set of 68 genes ( $P < 0.05$ ) and a subset of 31 genes ( $P < 0.01$ ) enriched among community (NC) *E. faecium* strains were identified. These genes constitute novel NC adaptive loci and may be used to distinguish community/commensal *E. faecium* from strains that are more likely to cause infection. Among the NC-enriched genes are 7 polysaccharide biosynthesis genes (ortholog\_1422 [*cps4F*], ortholog\_5644 [*capD*], ortholog\_6592 [*cap5F*], ortholog\_197 [*epsB*], ortholog\_3722, ortholog\_1712, and ortholog\_5837 [*wecB*]). Those genes are associated with formation of cell wall and capsule, and 6 out of the 7 (*cps4F*, *cap5F*, *capD*, *epsB*, *wecB*, and ortholog\_1712) are identical to the capsule genes that are located in the variable regions of NC strains (5). UDP-glucose 4-epimerases (ortholog\_1712 and *capD*) are known to be involved in glycogen and sucrose synthesis in plants (24), suggesting that they have a role in *Enterococcus* adaptation to plant environments. The *capD* gene is also known to confer degradation from H-capsule to lower-molecular-weight L-capsule, an essential molecule to escape from host defenses (25). *epsB* is involved in

exopolysaccharide (EPS) I biosynthesis (26). Although *capD* and *epsB* are regarded as virulence factors, the clinical relevance of extracellular carbohydrates and enterococcal virulence is currently unclear (27).

NC-enriched genes also included 4 cobalamin-related genes (ortholog\_2934 [*cobO*], ortholog\_302, ortholog\_3930 [*cbrT*], and ortholog\_57) and an iron-binding ferritin-like ferroxidase gene (ortholog\_2421 [*dps*]). While synthesis or uptake of cobalamin (vitamin B<sub>12</sub>) is not essential for enteric pathogens, including *Escherichia coli* and *Salmonella* (28), iron is well documented to be required for pathogen survival and virulence in the host (29, 30). Hence, the *dps* gene may have a role in *E. faecium* iron acquisition in the gut or from other low-iron food or plant environments. This distinction indicates that *dps* constitutes a niche factor rather than a virulence factor (31).

*E. faecium* NC genomes also have greater numbers of genes involved in glycerol fermentation to 1,3-propanediol. Biosynthesis of 1,3-propanediol was reported for several lactic acid bacteria, including *Lactobacillus reuteri* (32), *Lactobacillus brevis* (33), and *Lactobacillus buchnerii* (33), but not *E. faecium*. The pathway from

glycerol to 1,3-propanediol is associated with restoring redox balance in *L. reuteri* during anaerobic growth on glucose (32). The 1,3-propanediol pathway is dependent on adenosylcobalamin, the synthesis of which is mediated by *cobO* (ortholog\_2934), an NC-enriched gene. Although 6 out of the 10 *E. faecium* NC isolates have two or three genes responsible for glycerol transport and adenosylcobalamin synthesis, key converting enzymes are missing from those strains. Thus, this pathway might have a function other than restoring redox balance in *E. faecium*.

Genes associated with responses to oxidative stress were also overrepresented in NC *E. faecium*. A recent study showed that AsrR-mediated sensing of oxidative stress by *Enterococcus* influenced levels of antibiotic resistance, interactions with host cells, and virulence (34). Because the mucus layer is exposed to oxygen diffused from the bloodstream and intestinal epithelial cells (35), *E. faecium* cells might need mechanisms to tolerate oxygen in the intestine. These genes are not as commonly shared among NC strains as the NC-enriched genes; rather, this indicates adaptations of *E. faecium* to different environmental sites.

CL *E. faecium* strains contained 153 genes ( $P < 0.05$ ) and a subset of 8 genes ( $P < 0.01$ ) distinct from the NC isolates. CL-enriched genes included *IS16* (ortholog\_6478), a sequence that has been extensively used to screen for CL *E. faecium* (36–39). However, according to the genome comparisons performed here, two other ME (ortholog\_2867 and ortholog\_5905) were more highly associated with CL strains and might be more useful predictors of CL genotypes among *E. faecium* strains.

*E. faecium* CL strains also contained greater numbers of genes for N-acetyl-galactosamine and galactosamine metabolism, alkylphosphonate transport, and bile metabolism. N-acetyl-galactosamine is a terminal carbohydrate in the human blood group A antigen, and it has been shown that the carbohydrate is associated with blood group A-specific ear infections by *Pseudomonas aeruginosa* (40). Hence, the genes for N-acetyl-galactosamine and galactosamine metabolism may have a role in human blood infection by *E. faecium* CL strains. Alternatively, N-acetyl-galactosamine is also a primary monosaccharide of intestinal mucins, and some vancomycin-resistant *E. faecium* strains were reported to ferment monosaccharides released from mucins as energy sources, which would support colonization and survival in the gut (41). Because alkylphosphonate is known to be utilized as a phosphorus source in *Enterobacter aerogenes* (42), the overrepresentation of genes for alkylphosphonate transport in CL *E. faecium* indicates enhanced levels of phosphorus utilization in the human body. Lastly, *E. faecium* CL strains also have more genes for bile hydrolysis than NC strains. This corresponds to the variation in bile salt hydrolysis (BSH) activity found for strains of *E. faecium* (43). Although the role of BSH activity of *E. faecium* CL strains in human health is still largely unknown, bile hydrolysis is associated with the survival of probiotic microorganisms in the intestine and might contribute to CL survival in the gut (44).

ME genes are more abundant in *E. faecium* CL genomes (4), and transposases and transposon genes were predominant among the 153 CL-enriched genes identified here. ME might influence *E. faecium* CL genomes by introducing novel ME-associated, CL-enriched VR and AR loci. These additions would also result in an increase in genome size, as was found for the CL isolates. This is supported by the finding that CL-enriched genes are colocalized more frequently to ME than NC-enriched genes (Fig. 4B). ME associations with VF genes (*hyl* and *esp*) (21, 22) and AR genes

(*erm*, *tetM*, *tetS*, and *vanB*) (23) are known and confirmed here for a greater number of genes using genome proximity analysis. VF were also frequently in close proximity to CL-enriched genes in *E. faecium* CL genomes, indicating that CL-enriched genes are associated with virulence and potentially novel virulence-associated loci or pathogenicity islands.

Using whole-genome CDS, we identified a novel *E. faecium* NC clade (NC2) (Fig. 1A) that has not been found or specifically designated in previous studies (3–5, 7, 20). Interestingly, the NC2 clade clustered with the majority of CL isolates. The finding of the new NC clade is supported by a hypothesis that the hospital-associated (HA) clade includes community-based ampicillin-resistant *E. faecium* (ARE) with the *pbp5*-R genotype (4). Two community-based ARE strains (E4452 and E4453) are included in the NC2 clade. A phylogenetic tree of *pbp5* confirmed that all of the NC2 strains except 1,231,501 clustered together with CL strains (see Fig. S7 in the supplemental material). Unlike the separation between hospital-associated and community-associated (CA) *E. faecium* clades at least 300,000 years ago (3), NC2 and CL strains might have diverged from the common ancestral HA lineage due to the relatively recent development of hospital environments in which antibiotics have been frequently used (8). An alternative hypothesis is that NC2-clade strains represent hybrid genomes of NC1 and CL strains (5). Although NC2-clade strains do have a few CL-enriched genes, both hypotheses should be tested in additional studies using more NC genomes.

Although *E. faecalis* and *E. faecium* are highly related, the genomes of *E. faecalis* are larger and contain more core genes, indicating that *E. faecalis* strains have more complex gene networks than *E. faecium* (45). *E. faecalis* genes were also more frequently assigned gene designations than *E. faecium*. This difference might be related to the fact that the first *E. faecalis* complete genome sequence was published 9 years earlier than genomes of *E. faecium* (46, 47), and *E. faecalis* has been extensively studied because of its high levels of antibiotic resistance (8). Also unlike *E. faecium*, the genomes of NC and CL *E. faecalis* lacked specific structural and functional features, and clade separation based on ortholog presence/absence between NC and CL strains was not applicable to *E. faecalis*. These differences indicate that *E. faecalis* strains examined thus far constitute a single lineage specifically adapted to the intestinal tract which has been subjected to genome expansion. Such distinctions may be the cause of the earlier appearance of antibiotic-resistant strains of *E. faecalis* than of *E. faecium* (8).

Our findings show genome-wide species and origin-specific differences of two closely related opportunistic pathogens with commensal lifestyles. The NC- and CL-enriched genes and other genomic features identified here can be employed to elucidate the mechanisms of *E. faecium* pathogenesis and distinguish strains adapted for foods and the GIT.

## ACKNOWLEDGMENTS

We thank Linda J. Harris and Lauren Kopit for their review of the manuscript.

This study was supported by a grant from the Almond Board of California.

We also kindly thank Michael Gilmore for the *E. faecium* strain 1,231,502, Michiel Kleerebezem for the *L. plantarum* strain WCFS1, and Janetta Top and Rob Willems for their support on the MLST assignment of strain NRRL B-2354.



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