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<https://escholarship.org/uc/item/8bb01649>

Journal

Inorganic Chemistry, 55(20)

ISSN

0020-1669

Authors

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Publication Date

2016-10-17

DOI

10.1021/acs.inorgchem.6b00879

Peer reviewed

1 Ligand-Sensitized Lanthanide Nanocrystals: Merging Solid-State Photophysics and Molecular
2 Solution Chemistry

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8 **Abstract**

9
10 To date, the breadth of scientific research that has been devoted to investigating the
11 photochemical and photophysical behavior of the lanthanide elements has generally fallen into
12 one of two camps: solution studies of luminescent lanthanide metal-ligand complexes or
13 investigations of solid-state nanoparticles, composed primarily of or doped with, lanthanide
14 lumiphores. In the latter case, most research of lanthanide nanocolloids has precluded any
15 investigations regarding the use of organic ligands to overcome the difficulties associated with f-
16 f excitation of lanthanides. Instead, most work on condensed-phase lanthanide luminescence has
17 centered on strategies such as d-f charge separation in divalent lanthanides and the sensitization
18 of lanthanide excited states using quantum dots. Current work now aims at bridging the camps of
19 condensed-phase lanthanide photophysics and the solution chemistry of ligand-lanthanide
20 molecular complexes. Recent efforts have partly focused on the fundamental characterization of
21 NaGd_{1-x}Ln_xF₄ nanoparticles featuring surface display of the sensitizer ligand 3,4,3-LI(1,2-
22 HOPO), showing these structures to be capable of converting absorbed ultraviolet light into
23 luminescence from Eu³⁺ and Tb³⁺ ions. These results suggest such use of ligand sensitization as a
24 tool of choice to overcome the constraints of UV solar spectrum/semiconductor band-gap
25 mismatch and low absorption cross-sections in solid-state lanthanide systems.

26

27 **Introduction**

28 Our current understanding of the periodic table's f-block marks the result of decades of research
29 pursued by scientists of various stripes: from chemists to materials scientists, physicists and
30 biologists. As a consequence, the modern scientific catalog of understood phenomena arising
31 either directly or indirectly from lanthanide chemistry and electronic structure is expansive,
32 ranging from multi-photon up- and down-conversion processes^{1–16}, to ligand-lanthanide
33 chelation thermodynamics in solution^{17–20}, to lanthanide binding and efflux mechanisms in
34 biological systems^{21–28}. In particular, it has become both widely accepted and abundantly clear
35 that the diversity in the f-orbital electronic structures of the lanthanide elements makes them
36 prime candidates for various possible applications in optoelectronic devices, deep-tissue imaging
37 and luminescence sensing^{10,29–32,23,8,33}. Interest in these applications provides much of the
38 motivation driving both applied and fundamental research in lanthanide photochemistry.

39 *Molecular Solution Chemistry of Lanthanides*

40 With respect to their possible photonic applications, the bulk of photochemical literature
41 of the lanthanides has involved work on either molecular complexes, nanocrystalline forms of
42 lanthanides, or glasses where emissive lanthanides occur as either doped or primary
43 constituents^{1–3,9,10,34–39}. In the case of molecular complexes, lanthanide luminescence is
44 typically interrogated through the photo-sensitization of lanthanide excited states using organic
45 ligands^{9,23,40}. The employ of this “antenna” effect has the benefit of yielding lanthanide excited
46 states with far greater efficiency than generally possible through direct, intra-band pumping of f-
47 levels, a partial result of the generally high molar absorptivities of organic/aromatic ligands (~

48 10^3 - 10^5 $M^{-1} cm^{-1}$). This process stands in stark contrast to the relatively dismal absorption
49 coefficients observed for the direct excitation of most lanthanide transitions (~ 1 - 10 $M^{-1} cm^{-1}$), a
50 consequence of the symmetry forbidden and, in some cases, spin-forbidden nature of
51 intraconfigurational f-transitions present in these elements. Sensitization of lanthanides in
52 molecular complexes has also been achieved through the construction of heteronuclear, bi-
53 metallic ligands, intricate ligand constructs capable of discriminating between a d-block
54 transition metal and a lanthanide at distinct binding sites^{33,41,42}. There, excitation of a
55 transition metal featuring orbitals overlapping with either bridging ligand states or lanthanide-
56 centered states allows for energy transfer to the f-element with subsequent emission by the
57 lanthanide. However, rational development and successful solution assembly of these molecular
58 complexes requires an understanding of lanthanide chelation chemistry. The shielding of f-
59 electron density by filled 6s and 5p levels in these atoms result in metals that behave
60 predominantly as hard acids, with binding that is primarily ionic, rather than covalent, in
61 character. As hard-soft acid-base (HSAB) theory would predict, these hard, cationic cores are
62 most susceptible to binding by hard donor (base) anionic ligands, explaining the prevalence of
63 oxygen donor atoms in most lanthanide chelators and macrocyclic ligands¹⁷⁻¹⁹. Solution
64 thermodynamics have played a major role in the quest to develop efficient lanthanide-sensitizing
65 ligands suitable for a variety of applications that utilize f-element properties in the presence of
66 multitudes of additional components such as other metal ions, small organic compounds, or
67 biological macromolecules. Attempts to form stable luminescent complexes range from the
68 insertion of simple carboxylate groups onto chromophore-bearing multidentate scaffolds to the
69 use of dye-functionalized dipicolinic acid derivatives or cyclen-based ligands (DOTA,

70 DO3A)^{43,44}, to the synthesis of multidentate ligands incorporating aromatic chelating units such
71 as the sensitizing 2-hydroxyisophthalamide or hydroxypyridinone⁴⁵. Macrocyclic and
72 octadentate ligands have displayed the highest affinities towards lanthanide ions in solutions with
73 complex stability constant ($\log\beta_{110}$) values nearing or exceeding 25, making them most relevant
74 for solution-based applications such as fluorescence-based biological assays⁴⁶.

75 *Solid-State Photophysics of Lanthanides*

76 The direction of research on solid-state glasses and nanocolloidal lanthanide systems has
77 largely been governed by a desire to understand and utilize the photophysical traits of these
78 elements. Studies of luminescence behavior in nanoparticulate systems have been especially
79 progressive, with much of the work being geared towards the development of multi-photon
80 conversion for applications in solar energy utilization^{3,4,10}. Extracting spectroscopic
81 information from such systems has often demanded the use of laser excitation sources for
82 generating lanthanide luminescence, as their much higher power densities relative to lower-
83 intensity, conventional halogen or arc lamp sources allow for circumventing the issues associated
84 with the low luminescence yields of f-f absorption. Higher efficiency lanthanide emission in
85 solid media has also been achieved through lanthanide sensitization by d-block metal ions as co-
86 dopants and the recent achievement of lanthanide substitution in ZnS and CdS quantum
87 dots^{35,36,47}. This latter scheme leverages the very high absorption coefficients that have been
88 found for metal-chalcogen quantum dots, with the host lattice sensitizing the lanthanide dopant.

89 Lanthanide sensitization by organic ligands typically proceeds through either Forster
90 energy transfer, Dexter energy transfer, or a combination of the two³³. In the case of Forster

91 transfer, energy is relayed through the dipolar coupling between the triplet excited state of some
92 donor ligand and the 4f orbitals of a lanthanide acceptor. In the limit of pure Dexter transfer,
93 energy flow between a donor and acceptor proceeds through the initial population of the donor
94 excited state, followed by transfer of the excited electron onto the acceptor atom⁴⁸. A second (or
95 concerted) exchange then occurs from the acceptor's ground state to the donor's ground state.
96 Relaxation of the electron occupying the acceptor excited state back to ground restores the
97 system to its initial configuration. Forster transfer is primarily a through-space interaction,
98 marked by a strong dependence on spectral overlap between the donor luminescence profile and
99 the acceptor absorption spectrum. As a result, this mode of energy transfer generally occurs over
100 several tens of angstroms, with a transfer efficiency governed by:

$$\eta = \frac{1}{1 + \left(\frac{r}{r_0}\right)^6} \quad (\text{equation 1}),$$

102 where η is the energy transfer efficiency, r is the donor-acceptor distance, and r_0 , the Forster
103 distance, is defined as the distance yielding a 50% transfer efficiency⁴⁸.

104 The relatively generous distance dependence observed for Forster energy transfer runs
105 contrary to the Dexter mechanism, where energy transfer is restricted to donor/acceptor distances
106 of $\sim 5 \text{ \AA}$ or less. This limitation of the Dexter formalism is a natural consequence of its
107 dependence on electron exchange. Rapid charge transfer between a donor and acceptor is best
108 facilitated via through-bond interactions – the physical overlap of donor and acceptor orbital
109 wavefunctions – which have persistence lengths of only a few angstroms. The result is an energy

110 transfer mechanism displaying a rate-distance dependence that corresponds with the
111 semiclassical Marcus model of electron transfer:

$$112 \quad k = A \exp(-\beta[r - r_o]) \quad (\text{equation 2}).$$

113 Here, k is the electron transfer rate. β , with units of inverse length, is a medium-dependent
114 property describing the tunneling distance decay of an electron between donor and acceptor.
115 Terms r and r_o retain their earlier definitions⁴⁹. As a consequence of eq. (2), Dexter transfer will
116 have minimal contributions between energy transfers in dilute solutions but may become the
117 dominant channel for energy transfer between a donor and lanthanide acceptor in condensed
118 phases, particularly when donor/acceptor spectral overlap is poor.

119 Nanoparticle research has also focused on modulating lanthanide emission through
120 judicious choice of host lattice; transition probabilities are significantly improved when
121 embedding lanthanides in hosts featuring small phonon energies and low-symmetry (in
122 particular, non-centrosymmetric) metal coordination sites, explaining the prevalence of rare-earth
123 fluoride hosts, particularly the NaGdF₄ and NaYF₄ crystal systems, in luminescent nanoparticle
124 research.^{50–57} Such sites are capable of breaking f-orbital symmetry and promoting inter-
125 orbital mixing, relaxing the conditions for f-f transitioning. In principle, such changes should
126 result in both higher absorption coefficients and more intense luminescence lines, from the
127 metals.

128 *Bridging Fields*

129 Translating the advances made by researchers in these two camps into projects combining
130 the best aspects of these disparate approaches seems an intuitive direction in which to take

131 research in lanthanide photophysics. However, the amount of literature on the subject of utilizing
132 ligand sensitization in solid-state lanthanide structures remains relatively
133 small^{7,13,15,44,46,52,56,58–68}. The most prominent work produced in this vein include the
134 first ever report of lanthanide nanocrystal sensitization in the IR using tropolonate ligands⁶⁸ and
135 a 2012 finding by Zou et. al demonstrating the first example of two-photon up-conversion
136 luminescence in β -NaYF₄:Er:Yb nanocrystals, with initial infrared light absorption through an
137 organic ligand⁵². Despite the promise of this strategy for achieving higher total quantum yields
138 for lanthanide lumiphores, the body of scholarship in this area has remained remarkably small
139 since Zhang et. al's first report in 2007⁶⁸. The persistence of this interdisciplinary gap between
140 solution chemists and materials scientists/physicists studying lanthanides becomes even more
141 remarkable considering the standing precedent for the role ligand sensitization has played in
142 other areas of solid-state chemistry and physics – with the maturing field of dye-sensitized
143 semiconductor solar cells serving as perhaps the best example⁶⁹.

144 The current research efforts in our group have been adopted while keeping this disparity
145 in mind. Recent work has involved the investigation of luminescence in NaGd_(1-x)Eu_xF₄ and
146 related nanoparticles surface-functionalized with the ligand 3,4,3-LI(1,2-HOPO), an octadentate
147 chelator consisting of a linear spermine backbone derivatized with hydroxypyridine-2-one
148 moieties⁷⁰. The project's conception was partly inspired by projects out of the Abergel group in
149 which the photophysical behavior of f-elements complexed by 3,4,3-LI(1,2-HOPO) in solution
150 were studied^{18,19,71}. This ligand, which displays very high thermodynamic stabilities for

151 lanthanide complexation in aqueous solutions ($\log\beta_{110} = 20.2$ for the $[\text{Eu}^{\text{III}}(3,4,3\text{-LI}(1,2\text{-HOPO}))]^{-}$
152 complex)¹⁹, has been shown to be especially adept at sensitizing Eu^{3+} emission, a feat facilitated
153 by spectral overlap of the ligand's triplet state (centered around $19,000\text{ cm}^{-1}$) with europium's $^5\text{D}_1$
154 states (Figure 1)^{71,72}. The appeal of sensitization in a nanoparticle host featuring low phonon
155 energies also promises to help solve the issues associated with non-radiative solvent quenching
156 of luminescent metal ions commonly found in molecular complexes, as the majority of Eu^{3+} ions
157 in nanocrystalline structures reside in the nanoparticle bulk and are thus shielded from
158 interactions with solvent. Following an initial demonstration of europium luminescence
159 enhancement in these structures by factors of roughly 5000 fold, we have now focused our
160 efforts on optimizing this system and further characterizing its photophysics.

161 **Characterization & Material Optimization**

162 *Optimizing the Ligand:Nanoparticle Ratio*

163 As a follow up to our initial characterizations of rare-earth fluoride nanoparticles modified with
164 3,4,3-LI(1,2-HOPO), we studied the dependence of europium emission on ligand:nanoparticle
165 ratios. Briefly, samples of nanoparticles at concentrations of 0.9 mg mL^{-1} were incubated with
166 varying concentrations of the ligand, spanning from 75 nM to 75 mM. Following overnight
167 incubation at $75\text{ }^\circ\text{C}$ and the removal of excess ligand through repeated wash cycles in ethanol,
168 nanoparticle luminescence was measured (Figure 2). These data suggest an incubation ratio of
169 ca. 8 mmol ligand per gram of nanoparticles provides optimal degrees of ligand surface addition.
170 A gradual decay in nanocrystal luminescence can be observed upon increasing incubating
171 concentrations of 3,4,3-LI(1,2-HOPO), despite a steady increase in the amount of surface-bound
172 ligand, as evidenced by nanoparticle absorption spectra (Figure 2, inset). Concentration

173 quenching mechanisms between surface-bound ligands may therefore become operative at higher
174 ligand:nanoparticle ratios. Comparisons of the integrated luminescence spectra at this optimal
175 incubation and at the previously used value of 75 mM indicate a luminescence enhancement of
176 roughly 140%. Plots of luminescence intensity as a function of the relative amount of surface-
177 bound ligand (determined using the 320 nm absorption signal of ligand-modified nanoparticle
178 suspensions) reveal a moderate, linear correlation ($R^2 = 0.77$) between the two quantities in the
179 concentration regimes explored (Figure 3).

180 *Internal Quantum Efficiency Calculation*

181 As a complement to our previous report of the external quantum yield in these
182 nanocrystals, we have recently determined the approximate internal quantum yield of europium
183 emission in this system. Measurement of this quantity was achieved using the $^5D_0 \rightarrow ^7F_1$
184 transition as basis for calculating total luminescence output. This transition in europium has been
185 generally accepted as purely magnetic-dipole in nature, making it insensitive to the ligand field
186 effects that would otherwise modulate intraband f electronic transitions as a function of chemical
187 environment⁷³. In general, the internal quantum yield, Φ_{Int} , is defined as

$$188 \quad \Phi_{Int} = \frac{\tau_{obs}}{\tau_{rad}} = \frac{k_{rad}}{k_{obs}} \quad (\text{equation 3}),$$

189 where τ_{obs} is the observed luminescence lifetime of some transition and τ_{rad} is the luminescence
190 lifetime in the absence of non-radiative quenching processes.

191 A value for τ_{rad} , is found through the relation

$$192 \quad \frac{1}{\tau_{rad}} = An^3 \left(\frac{I_{total}}{I_{MD}} \right) \quad (\text{equation 4}),$$

193 where A is the spontaneous emission rate of 49.44 s^{-1} for the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_1$ emission line, n is the
194 medium's refractive index, I_{total} is the entire integrated luminescence spectrum for Eu^{3+} emission,
195 and I_{MD} is the integrated intensity of europium's magnetic-dipole transition (580 – 600 nm)^{73,74}.
196 Applying the refractive index reported for $\text{NaYF}_4/\text{NaGdF}_4$ host media (1.5)^{75,76} to eq. (4) yields
197 a radiative lifetime of 2.9 ms for this transition. Determination of the observed lifetime, τ_{obs} , is
198 commonly found exclusively through the time-resolved measurement of the intense hyperfine
199 emission (${}^5\text{D}_0 \rightarrow {}^7\text{F}_2$) that often dominates europium spectra. However, such an assumption
200 remains valid only for cases where the vast majority of photon emission occurs through this
201 radiative decay channel. This approximation breaks down in cases such as the system
202 investigated here, where spectral integration of nanoparticle luminescence shows that relaxation
203 to the ${}^7\text{F}_2$ state represents only about 60% of the total emission from these samples. This point is
204 highlighted by the marked differences between the luminescence profiles of the $[\text{Eu}^{\text{III}}(3,4,3\text{-}$
205 $\text{LI}(1,2\text{-HOPO}))]^-$ molecular complex and the modified nanocrystals, where the intensity ratio of
206 the main hypersensitive transition to the other emission lines is far greater in the spectra of the
207 nanocrystals than those of the solution chelate (Figure 4). As a result, it becomes necessary to
208 report k_{obs} , which actually represents the *total* rate of deactivation of the ${}^5\text{D}_0$ excited state (rather
209 than merely its rate of relaxation to any particular ${}^7\text{F}_J$ multiplet) as the sum of individual rate
210 constants for ${}^5\text{D}_0$ depopulation to the first, second and fourth stark levels of the ${}^7\text{F}$ ground state
211 ($k_{J=1,2,4}$). We focus on these particular levels as their summed emissions comprise over 90% of the
212 total observed luminescence in these nanoparticles, and their appreciable signal intensities make
213 acquisition of time-resolved luminescence data comparatively easy relative to the low-intensity
214 ${}^5\text{D}_0 \rightarrow {}^7\text{F}_{0,3,5,6}$ transitions.

215 Observed lifetimes for the ${}^5\text{D}_0 \rightarrow {}^7\text{F}_{1,2,4}$ transitions were determined from time-resolved
216 luminescence data acquired under ligand excitation at 317 nm. Emission wavelengths of 590 nm,
217 612 nm and 695 nm were employed for monitoring decay to the ${}^7\text{F}_1$, ${}^7\text{F}_2$ and ${}^7\text{F}_4$ states,
218 respectively. These data reveal all three transitions display bi-exponential decay character.
219 Determination of the physical basis for the presence of multiphase decays in the observed
220 europium emission remains a work in progress, but is possibly the result of different chemical
221 environments seen by bulk Eu^{3+} emitters versus solvent-exposed surface ions. As a result of the
222 multi-phase nature of these decays, rates of deactivation to each ${}^7\text{F}$ sub-level are expressed as
223 weighted averages of the two distinct rates, k_1 and k_2 :

$$224 \quad \langle k_j \rangle = c_1 k_1 + c_2 k_2 \quad (\text{equation 5}),$$

225 where $\langle k_j \rangle$ is the averaged rate for decay to the J^{th} level of the ${}^7\text{D}$ ground-state manifold, and the
226 weighting coefficients, c_n , are derived from the fractional contribution of each decay phase to the
227 total signal intensity, as found through fitting of the time-resolved luminescence data. The
228 expression $\langle k_1 \rangle + \langle k_2 \rangle + \langle k_4 \rangle$ yields a value for k_{obs} , which we report as 3105 s^{-1} ($\tau_{\text{obs}} = 0.32$
229 ms) for this system; applying this observed lifetime and the natural lifetime to eq. (1) yields a
230 subsequent approximation for the internal quantum efficiency of 11%. The ratio $\Phi_{\text{Ext}}/\Phi_{\text{Int}}$ yields a
231 value for the sensitization efficiency. Using the previously reported value of $\Phi_{\text{Ext}} = 0.033$ for
232 europium-centered luminescence, we report an estimate for the sensitization efficiency of 0.30.

233- *Intersystem Crossing (ISC)*

234 The efficiency of triplet formation represents a component of the sensitization process, which we
235 have estimated through measurements of the steady-state luminescence spectrum of 3,4,3-LI(1,2-
236 HOPO) bound to undoped, NaGdF_4 nanoparticles at liquid-nitrogen temperatures (Figure 5).

237 Absence of Eu^{3+} doping guarantees that dissipation of the ligand triplet state will occur only
238 through radiative triplet decay to the ligand's ground state or through non-radiative channels,
239 rather than through triplet-donor/metal-acceptor energy transfer, as the first excited state in Gd^{3+}
240 ($32,100\text{ cm}^{-1}$) is too high to be accessed via triplet sensitization by this ligand. Comparison with
241 the room temperature spectrum provides a basis for assigning singlet and triplet states. The room
242 temperature data show a broad luminescence peak with skewed Gaussian character. The ligand
243 emission is sharply resolved into two transitions upon cooling to 77 K. The minor, high-energy
244 peak centered around 415 nm, is assigned as the ligand singlet state (Figure 4). A more intense
245 emission occurs around 525 nm and is attributed to ligand phosphorescence, arising from a
246 triplet state serving as a donor of sufficient energy to overlap with the $^5\text{D}_{1,0}$ states ($\sim 19,000$,
247 $17,250\text{ cm}^{-1}$) localized on the europium ion. Taking the integrated peak ratios of these two ligand
248 states seen in the cryogenic spectrum provides an approximate value for the efficiency of 3,4,3-
249 LI(1,2-HOPO) triplet state production, for which we find a value of ca. 0.87. This value agrees
250 well with the qualitative observation that ISC in paramagnetic systems containing larger atoms,
251 such as Gd^{3+} , are susceptible to the “heavy atom effect,” which is known to greatly enhance the
252 efficiency of singlet/triplet intersystem crossing⁷³.

253 **Future Directions**

254 While our most extensive characterizations of ligand-sensitized nanoparticles have
255 focused on europium-doped constructs, attention has now been turned towards the ultimate goal
256 of moving away from merely downshifted luminescence to develop a two-photon nanocrystal
257 downconverter using the platform established in our europium studies. Materials capable of such
258 non-linear photon production have applications in a number of fields, and are especially well

259 suited for impacting the field of photovoltaics. In the case of downconversion, efficient light
260 absorption at wavelengths where traditional silicon photovoltaics show low photocurrent
261 response, followed by re-emission of multiple lower-energy photons in the infrared regime, holds
262 great potential for designing more efficient solar cells. A great deal of work has been devoted to
263 designing two-photon down-conversion materials using the $\text{Tb}^{3+}/\text{Yb}^{3+}$ couple^{4,5,77–79}. The
264 general principle here relies on crystal lattices or glasses doped with both Tb^{3+} and Yb^{3+} .
265 Following the initial excitation to the terbium ion's $^5\text{D}_4$ excited state ($\sim 20,500 \text{ cm}^{-1}$), its
266 depopulation proceeds through cooperative energy transfer to the $^2\text{F}_{5/2}$ level ($\sim 10,200 \text{ cm}^{-1}$) of
267 neighboring ytterbium species. This two-fold energy mismatch between the terbium and
268 ytterbium excited states allows for the possibility of two-photon emission from Yb^{3+} ions,
269 resulting in near-infrared (NIR) luminescence centered around 980 nm. We have been able to
270 demonstrate that extending our study to the corresponding terbium-doped, rare-earth lattices does
271 result in terbium luminescence (Figure 5), suggesting that it may indeed be possible to construct
272 a bis-doped material where ligand-sensitized Tb^{3+} ion emission is quenched by energy transfer to
273 adjacent ytterbium co-dopants, with subsequent two-photon, NIR emission. This would represent
274 a vast improvement over the red Eu^{3+} emission we've investigated thus far, as silicon
275 photovoltaics (1.1 eV band gap) exhibit peak performance under infrared illumination.

276 **Conclusions**

277 We believe the work being conducted in our group represents a good case study of the
278 value in drawing on the lessons of disparate fields and merging them into a coherent research
279 effort. Our confidence in this approach derives largely from the broader trend established in
280 science over the latter half of the last century to the present, with the burgeoning prominence of

281 interdisciplinary fields yielding scientific insights that may not have been gained otherwise. The
282 relatively young field of bioinorganic chemistry, a modern synthesis of molecular biology and
283 inorganic chemistry, provides strong validation of this truth. Such an outlook informs our current
284 research strategy, which we view as the convergence of approaches from the generally distinct
285 realms of solid-state photophysics and solution chemistry. The product of this approach has been
286 our realization of colloidal lanthanide materials that represent significant improvements in light
287 absorption and luminescence enhancement for constructs of this nature. Our expectation is that
288 continued adherence to this scientific philosophy will position us to make lasting contributions to
289 the field of spectral conversion and, hopefully, pave the way for the development of ligand
290 sensitized, two-photon down-conversion nanocrystals.

291 **Methods**

292 *Synthesis*

293 Europium-doped nanoparticle synthesis and ligand functionalization were performed as
294 previously described^{54,70}. Terbium-doped nanoparticles (1% doped) were synthesized using
295 similar procedures. In brief, synthesis proceeded through the addition of 1.98 mL
296 $\text{Gd}(\text{CH}_3\text{CO}_2)_3 \cdot x\text{H}_2\text{O}$ and 20 μL $\text{Tb}(\text{CH}_3\text{CO}_2)_3 \cdot x\text{H}_2\text{O}$ (Sigma-Aldrich) to stirring 1-oleic acid (4
297 mL; Alfa Aesar) and 1-octadecene (6 mL; 90% Sigma-Aldrich) at room temperature. From here,
298 synthesis proceeded as usual according to the procedures of Wang et al⁵⁴.

299 *Nanoparticle Luminescence Optimization*

300 Following synthesis, 2.0 mL aliquots of the nanoparticles were precipitated through the addition
301 of 2.0 mL ethanol followed by centrifugation was 13,000 rpm for 10 minutes. Afterward, the
302 supernatant was decanted and the particles were washed through resuspension in 2.0 mL fresh

303 ethanol via sonication and mechanical mixing by micropipette until a homogenous suspension
304 was formed. This mixture was then centrifuged again for 10 minutes at 13000 rpm, the
305 supernatant decanted, and the particles redispersed in 2.0 mL ethanol. The suspension was
306 divided among 10 Eppendorf microcentrifuge tubes as 200 μL aliquots per tube. Ligand
307 modification reactions were set up by addition of 5, 10, 25, 50, 75 or 100 μL of 75 mM 3,4,3-
308 LI(1,2-HOPO) dissolved in pH 6.5 Hepes buffer to each tube. For lower ligand concentrations
309 explored, a 7.5 mM stock solution of 3,4,3-LI(1,2-HOPO) was used for addition to the tubes in
310 volumes of 0.1, 0.5, 1 and 2 μL . Compensating volumes of buffer were applied to each tube to
311 adjust reaction volumes to a total of 300 μL , with final nanoparticle concentrations of
312 approximately $0.9 \text{ mg mL}^{-1} \text{ reaction}^{-1}$. The reactions were then heated and mixed on a shaker
313 overnight (180 rpm, 60 $^{\circ}\text{C}$) to promote 3,4,3-LI(1,2-HOPO) binding to the nanoparticle surfaces.
314 Following incubation, nanoparticles were washed three times in 600 μL ethanol using the
315 procedure described above, before a final resuspension in 600 μL ethanol. Luminescence
316 intensity as a function of ligand surface density was determined using procedures described
317 below.

318 *Steady-State Luminescence*

319 Nanoparticle samples were prepped as dilute colloidal mixtures (A_{500} scatter intensity ~ 0.3) in
320 ethanol to ensure stability of the dispersions during luminescence measurements.
321 Steady-state luminescence spectra were acquired on a Jobin Yvon Horiba Fluorolog system.
322 Luminescence spectra of nanoparticles were collected using a 317 nm excitation wavelength
323 sourced from a xenon arc lamp, 1 nm excitation / 3 nm emission slit settings and 1.0 s integration
324 times at 1 nm resolution. Observation windows of 550-750 nm and 450-650 nm were employed

325 for the monitoring of europium and terbium luminescence, respectively. Interfering second-
326 harmonic generation originating from the excitation source (~634 nm) was filtered from acquired
327 spectra through the placement of a 400 nm long-pass filter between the sample and detector.
328 Determination of the triplet state of 3,4,3-LI(1,2-HOPO) (bound to NaGdF₄ control
329 nanoparticles) proceeded as described elsewhere⁷⁰.

330 *Time-Resolved Luminescence*

331 Europium luminescence lifetimes were acquired by monitoring the emission of the ⁵D₀ → ⁷F_{J=1,2,4}
332 transitions at their respective wavelengths of 590, 612, and 695 nm using a Jobin Yvon Horiba
333 Fluorolog spectrometer in time-resolved (MCS lifetime) mode. Experiments were conducted
334 with the following instrument parameters: 317 nm excitation, 14 nm excitation bandpass; 4nm
335 emission bandpass; 10 μs channel⁻¹ and 3000 channels sweep⁻¹ (30.0 ms observation window).
336 Decay times were extracted via multi-exponential fitting in MATLAB (Supporting Information).

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338

339 Acknowledgements

340 The authors would like to thank Dr. Joseph Pacold for his helpful insights regarding internal
341 quantum yield determination, and Tao Xu for his help with TEM imaging.

342 This work was supported by the U.S. Department of Energy, Office of Science, Office of Basic
343 Energy Sciences, Chemical Sciences, Geosciences, and Biosciences Division at the Lawrence
344 Berkeley National Laboratory under Contract DE-AC02-05CH11231. RJA is the recipient of a
345 U.S. Department of Energy, Office of Science Early Career Award.
346 U.S. Department of Energy, Office of Science Early Career Award.

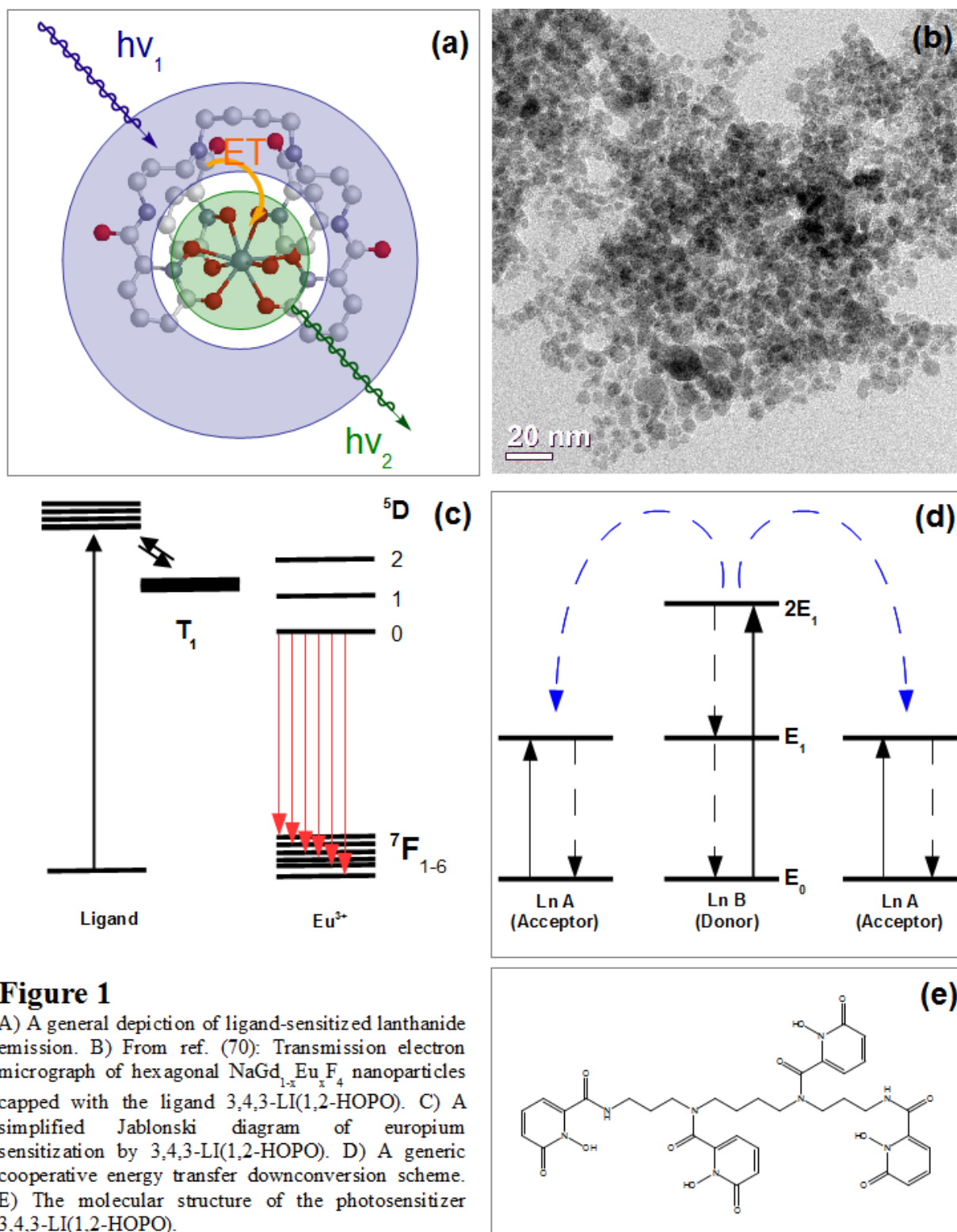


Figure 1

A) A general depiction of ligand-sensitized lanthanide emission. B) From ref. (70): Transmission electron micrograph of hexagonal $\text{NaGd}_{1-x}\text{Eu}_x\text{F}_4$ nanoparticles capped with the ligand 3,4,3-LI(1,2-HOPO). C) A simplified Jablonski diagram of europium sensitization by 3,4,3-LI(1,2-HOPO). D) A generic cooperative energy transfer downconversion scheme. E) The molecular structure of the photosensitizer 3,4,3-LI(1,2-HOPO).

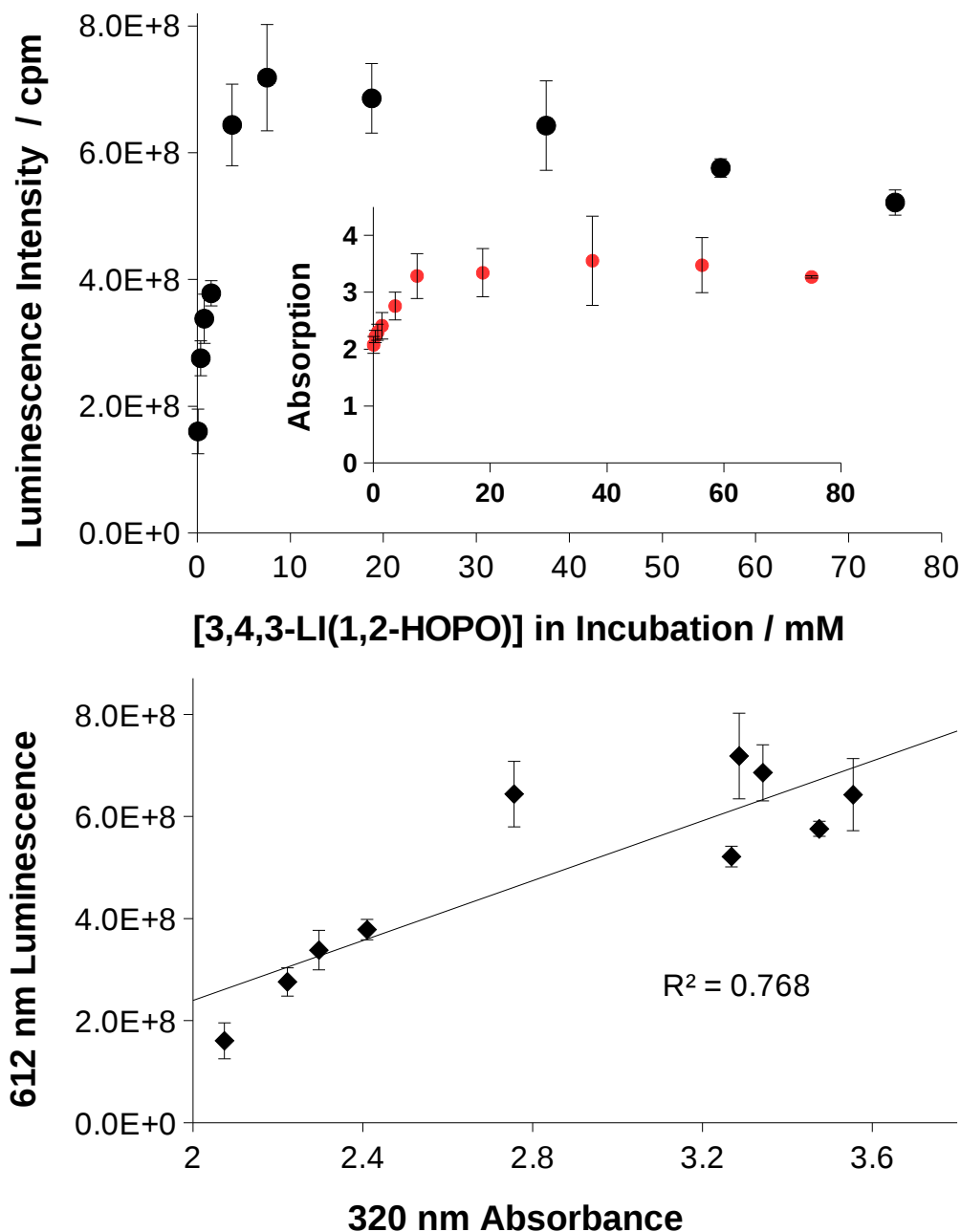


Figure 2

(a) Dependence of nanoparticle luminescence intensity (317 nm excitation wavelength) as a function of the concentration of 3,4,3-LI(1,2-HOPO) used in incubations. The slow drop in intensity at much higher ligand concentrations is attributed to concentration quenching between bound 343 moieties at nanocrystalline surfaces. (Inset) Increasing the ligand solution concentration results in an increase in its localization at the nanoparticle surface before plateauing around 10 mM, as shown through monitoring the ligand 320 nm absorption signal (normalized relative to scatter at 500 nm).

(b) Correlation between relative measures of bound ligand, found through 320 nm absorbance of 3,4,3-LI(1,2-HOPO), and peak nanoparticle luminescence at 612 nm, is approximately linear.

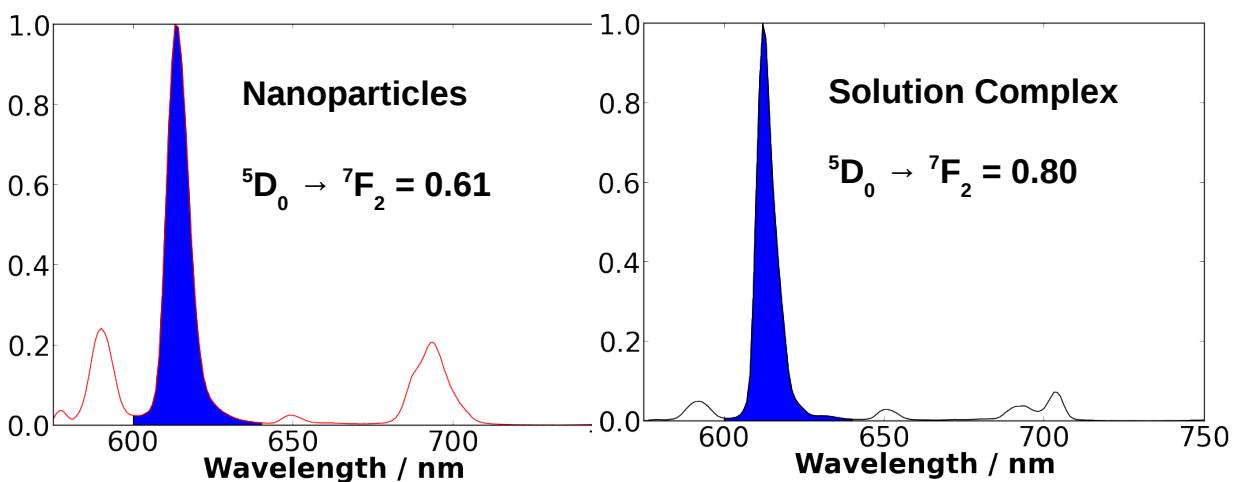
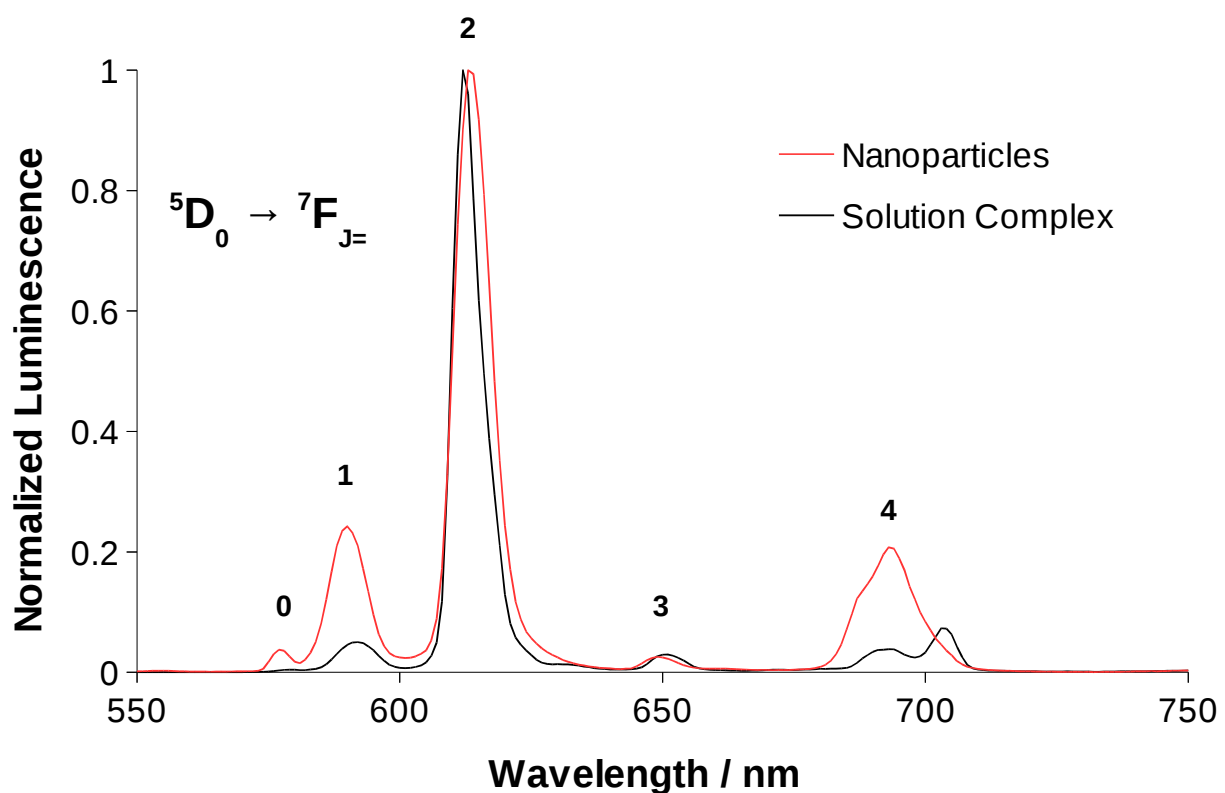


Figure 3

Top panel: Normalized luminescence of ligand-modified, Eu-doped nanoparticles and the Eu(III)-3,4,3-LI(1,2-HOPO) solution complex in ethanol.

Bottom Panel: Integrated spectra indicate that the main transition at 612 nm (integration bounds 600-640 nm) constitutes a much smaller portion of total photon emission in the nanoparticles (ca. 61%) compared to the metal-ligand complex (ca. 80%).

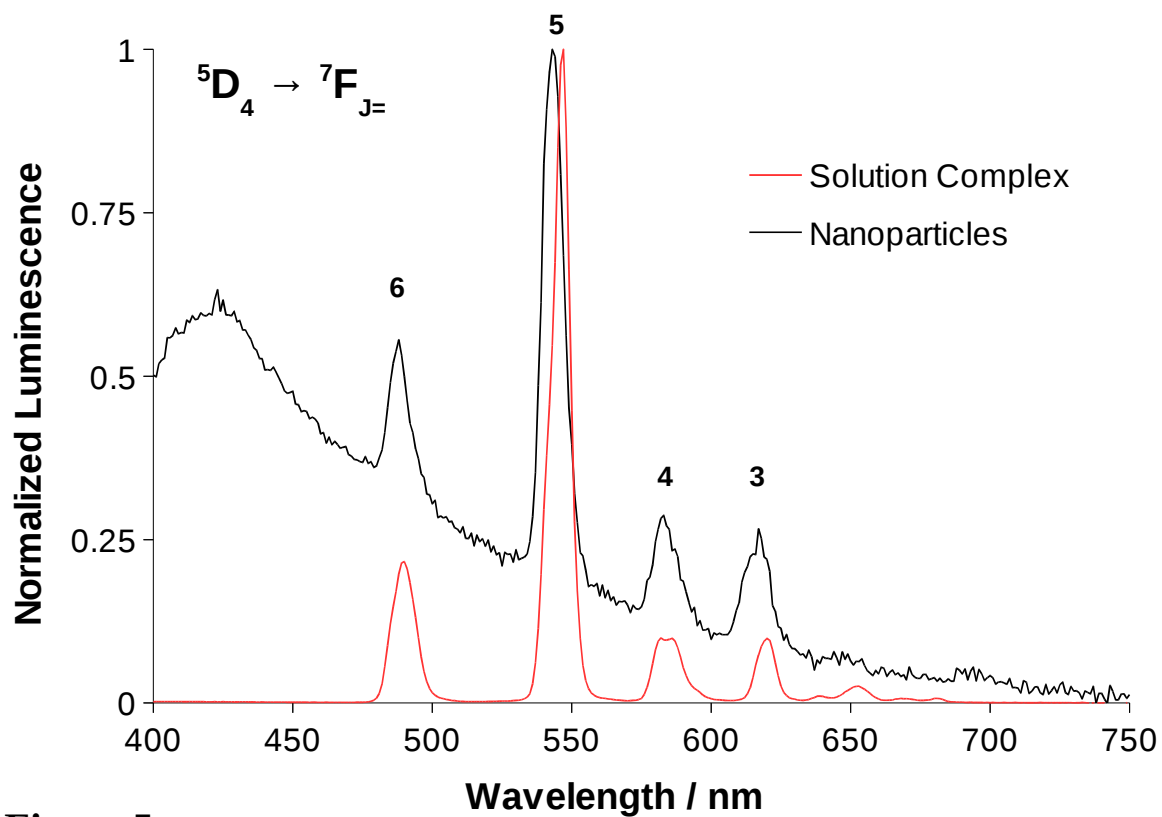


Figure 5

Luminescence spectra of terbium-doped NaGdF₄ nanoparticles sensitized by 3,4,3-LI(1,2-HOPO) (black) and the terbium solution complex normalized to the peak ($^5D_4 \rightarrow ^7F_5$) transition. Nanoparticle emission marks the superposition of terbium luminescence and a broad Raman signature previously ascribed to residual oleate ligands on the nanoparticle surface.

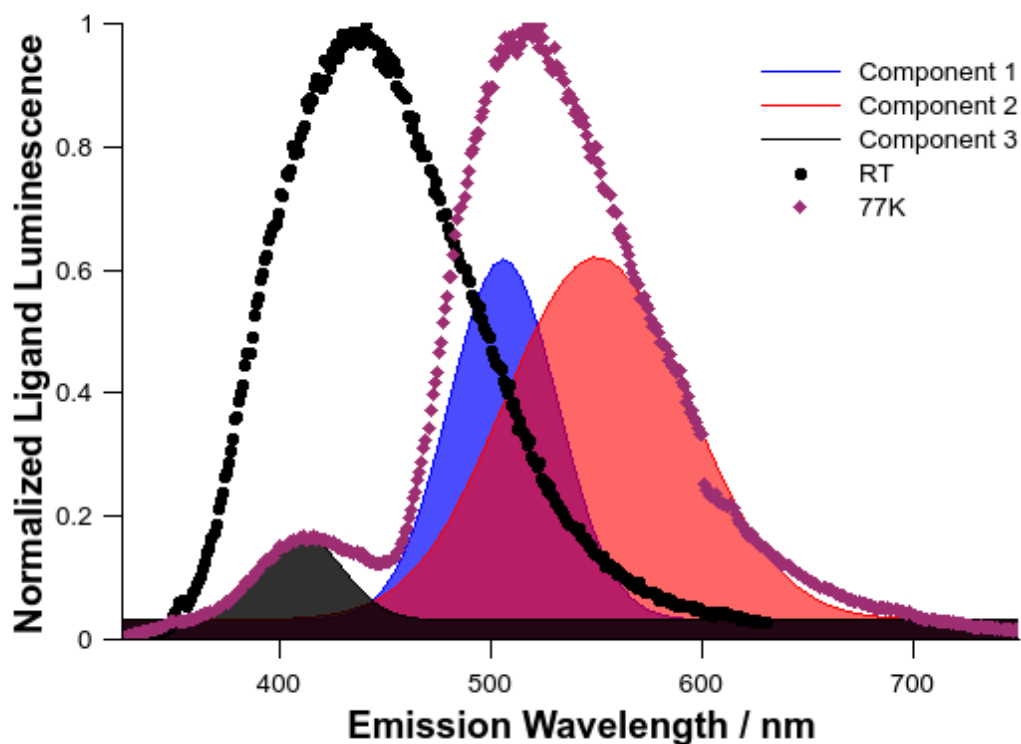
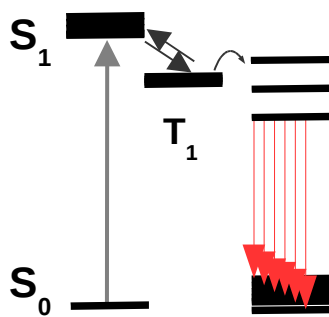
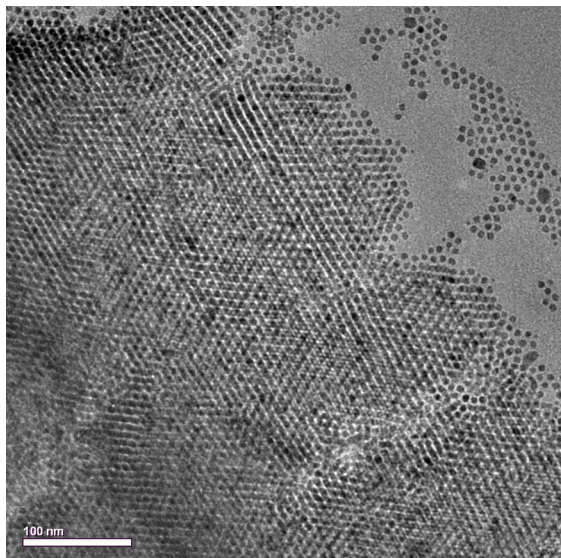


Figure 4

Adapted from (70). Luminescence spectra of Gd(III)-3,4,3-LI(1,2-HOPO) in ethanol at room temperature (black dots) and in a 77 K glass (violet diamonds). The room temperature spectrum represents the coalescence of singlet and triplet states into a skewed Gaussian dominated by singlet character. The 77 K spectrum allows for assignment of the peak at 525 nm to the ligand triplet excited state, with a minor contribution from the ligand singlet visible at 415 nm. Integration of these peaks in the cooled spectrum allows for estimation of the efficiency for triplet production via intersystem crossing.



Ligand Nanocrystal

