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Search for $B^- \to \Lambda \bar{p} \nu \bar{\nu}$ with the BABAR experiment

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Flavor-changing neutral current (FCNC) processes are suppressed in the standard model (SM) of particle interactions, first appearing at one-loop level. Consequently, new physics contributions could result in potentially measurable deviations from SM predictions. The process \( B^- \rightarrow \Lambda \bar{p} \bar{\nu} \) (CP conjugate processes are implied throughout this paper) is the baryonic analog of \( B \rightarrow K^{(*)} \nu \bar{\nu} \), occurring in the SM via a FCNC transition through \( Z \)-penguin or \( W \)-box processes (see Fig. 1). The branching fraction is predicted to be \( B(B^- \rightarrow \Lambda \bar{p} \bar{\nu}) = (7.9 \pm 1.9) \times 10^{-7} \) [1]. Although \( B \rightarrow K^{(*)} \nu \bar{\nu} \) has previously been studied at \( B \) factory experiments [2,3], it is challenging due to the presence of two (unobserved) neutrinos in the final state, and current measurements leave room for new physics [4]. By comparison, the presence of two baryons in the final state of \( B^- \rightarrow \Lambda \bar{p} \bar{\nu} \) provides stronger background rejection. This paper presents the first search for the decay \( B^- \rightarrow \Lambda \bar{p} \bar{\nu} \), using data recorded by the BABAR experiment at the PEP-II energy-asymmetric e\(^+\)e\(^-\) collider. These data were collected at the \( T(4S) \) resonance, representing an integrated luminosity of 424 fb\(^{-1}\) [5], corresponding to \( (471 \pm 3) \times 10^6 \) \( B \bar{B} \) pairs [6].

The BABAR detector is described in detail in Refs. [7,8]. The charged-particle tracking system comprises a five-layer silicon vertex tracker and a 40-layer cylindrical drift chamber. A 1.5 T magnetic field produced by a superconducting solenoid enables momentum measurement of charged particles. Identification of (anti)protons and other charged particles is based on measurement of the specific ionization, \( dE/dx \), in the tracking detectors, combined with information from the electromagnetic calorimeter and Cherenkov-photon angle information from an array of fused silica quartz bars. Energy and position measurements for photons are provided by an electromagnetic calorimeter.
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To reject the remaining background events and retain signal events, a kinematic fit is applied which imposes vertex and particle mass constraints on the candidates. The resulting seed candidates are then combined with kaons or pions to create $B_{\text{tag}}$ candidates. Two kinematic variables are used to define these candidates: $m_{\text{ES}} = \sqrt{(s/2 - \vec{p}_{\text{tag}} \cdot \vec{p}_0)^2 / E_0 - \vec{p}_{\text{tag}}^2}$ and $\Delta E = E_{m.}/2 - E_{\text{tag}}$, where $E_0$ and $\vec{p}_0$ are the energy and momentum of the $e^+e^-$ system in the laboratory frame, and $\sqrt{s}$ is the energy of the $e^+e^-$ system in the c.m. frame. The $B_{\text{tag}}$ candidates are selected by requiring $-0.12 \text{ GeV} < \Delta E < 0.12 \text{ GeV}$ and $5.20 \text{ GeV}/c^2 < m_{\text{ES}} < 5.30 \text{ GeV}/c^2$. If multiple candidates are present in an event, they are ranked based on the value of the reconstructed seed candidate mass with respect to the nominal mass of this particle, and the magnitude of $\Delta E$. Only a single $B_{\text{tag}}$ candidate per event is retained. Individual $B_{\text{tag}}$ modes with a measured high level of combinatorial background are subsequently excluded. The overall tagging efficiency is subpercent [6]. Correctly reconstructed $B_{\text{tag}}$ candidates contribute to a peak in the $m_{\text{ES}}$ distribution near the $B$ meson mass. The interval $5.27 \text{ GeV}/c^2 < m_{\text{ES}} < 5.29 \text{ GeV}/c^2$ is defined as the signal region, and the interval $5.20 \text{ GeV}/c^2 < m_{\text{ES}} < 5.26 \text{ GeV}/c^2$ is defined as the sideband region. Continuum processes, from nonresonant $e^+e^- \rightarrow q\bar{q}$, and incorrectly reconstructed $BB$ decays result in a substantial combinatorial background in both the signal and sideband regions. The continuum background is suppressed using a multivariate likelihood comprising six inputs which distinguish between competitively jetlike nonresonant processes and more isotropic decay topologies of $\Upsilon(4S) \rightarrow BB$. The inputs are the ratio of the second and zeroth Fox-Wolfram moments [16], calculated using all reconstructed charged tracks and calorimeter clusters in the event; the event thrust vector, the sum of the magnitudes of the momenta of all tracks and clusters projected onto the thrust axis, where the thrust axis is the axis that maximizes the projection and where the thrust vector is normalized with respect to the sum of the magnitudes of the momenta; the magnitude of the projection of the thrust vector onto the $z$ axis; the cosine of the angle between the $B_{\text{tag}}$ direction and the $z$ axis; the cosine of the angle between the event’s missing momentum vector and the $z$ axis; and the cosine of the angle between the thrust axes of the decay daughters of the $B_{\text{tag}}$ and of the $B_{\text{sig}}$. These quantities are computed in the c.m. frame. The selector output, $L_{BB}$, is shown in Fig. 2. Events with $L_{BB} > 0.35$ are retained. This requirement rejects 76% of continuum background events and 16% of $BB$ background events while retaining 82% of signal events. The $m_{\text{ES}}$
distribution of events selected by this criterion is shown in Fig. 3.

The \( B^- \to \Lambda \bar{p} \nu \bar{\nu} \) candidates are identified by considering all activity in the detector which is not associated with the reconstructed \( B_{\text{tag}} \). Since only the \( \Lambda \to p \pi^- \) decay mode is considered in this analysis, \( B_{\text{sig}} \) candidates are required to possess exactly three charged tracks, with total charge opposite that of the \( B_{\text{tag}} \). Signal events typically contain several low-energy clusters in the calorimeter from hadronic shower fragments, bremsstrahlung, or beam-related sources. Physics backgrounds, however, frequently also produce higher-energy clusters from \( \pi^0 \) decays and similar processes. These backgrounds are suppressed by requiring \( E_{\text{extra}} < 400 \text{ MeV} \), where \( E_{\text{extra}} \) is the total c.m.-frame energy of \( B_{\text{sig}} \) clusters which have laboratory-frame energy exceeding 50 MeV; see Fig. 4 (top).

The background MC does not accurately reproduce the event yield in data at this point in the selection. This deficiency has been observed in previous BABAR analyses [2,14,15] and is understood to be due to a combination of inaccurate branching fractions and modeling of \( B_{\text{tag}} \) reconstruction efficiencies in the simulation. A two-step procedure is applied to correct this. Events in the \( m_{\text{ES}} \) signal region can be divided into correctly reconstructed (“peaking”) and combinatorial (“nonpeaking”) components. The nonpeaking component in the signal region is

FIG. 2. Output of the \( B\bar{B} \) likelihood selector, \( L_{BB} \), for data (points with error bars) and background MC (stacked, shaded histograms) normalized to the data luminosity, for events with a reconstructed \( B_{\text{tag}} \) with \( 5.27 \text{ GeV}^2/c^2 < m_{\text{ES}} < 5.29 \text{ GeV}^2/c^2 \). The expected distribution for simulated \( B^- \to \Lambda \bar{p} \nu \bar{\nu} \) events is also shown overlaid for a branching fraction of \( 0.4 \times 10^{-5} \) (dashed line), with yields per 0.01 given by the \( y \) axis on the right-hand side.

FIG. 3. The \( m_{\text{ES}} \) distribution for data (points with error bars) and background MC (stacked, shaded histograms) normalized to the data luminosity, for events which satisfy the continuum suppression criterion \( L_{BB} > 0.35 \). The expected distribution for simulated \( B^- \to \Lambda \bar{p} \nu \bar{\nu} \) events is also shown overlaid for a branching fraction of \( 0.4 \times 10^{-5} \) (dashed line), with event yields per 2 MeV/c\(^2\) given by the \( y \) axis on the right-hand side.

FIG. 4. Distribution of \( E_{\text{extra}} \), calculated in the c.m. frame, in data and MC before (top) and after (bottom) application of the MC correction procedure for events with a reconstructed \( B_{\text{tag}} \) with \( m_{\text{ES}} \) within the signal region. In the upper plot, data are shown as points with error bars, and background MC is shown as stacked, shaded histograms. The expected distribution for simulated \( B^- \to \Lambda \bar{p} \nu \bar{\nu} \) events is shown overlaid for a branching fraction of \( 0.4 \times 10^{-5} \) (dashed line), with event yields per 0.05 GeV given by the \( y \) axis on the right-hand side. In the lower plot, the shaded region is the sideband data scaled by \( R_{\text{side}} \), and the unshaded region is the \( m_{\text{ES}} \) peaking component of the \( B^+ B^- \) MC scaled by \( C_{\text{peak}} \).
determined from data by extrapolation of the $m_{ES}$ sideband data into the signal region. The shape of this distribution is obtained from background MC and is characterized by the quantity $R_{side}$, the ratio of the MC nonpeaking background yield in the signal region to the yield in the sideband region. After the signal selection described above, $R_{side}$ is evaluated as approximately 0.07%, after requiring that events possess a contribution in the signal region that has been correctly reconstructed, resulting in the peaking component of the $B^+B^-$ MC in which a $B_{tag}$ has been correctly reconstructed, resulting in the peaking contribution in the $m_{ES}$ distribution. This peaking MC contribution is scaled by a factor $C_{peak} = 0.819 \pm 0.006$ to match data. Following this procedure, excellent agreement is observed in all kinematic variables used in this analysis, e.g., Figs. 4 and 5. As the quantity $C_{peak}$ represents a global correction to the $B_{tag}$ yield, it is also applied to the signal efficiency. The reconstruction efficiency for the $\Upsilon(4S)$ events containing a $B^- \rightarrow \Lambda \pi \nu \bar{\nu}$ decay is estimated to be approximately 0.07%, after requiring that events possess a $B_{tag}$ with $m_{ES}$ in the signal region and satisfy the signal selection described above. The remainder of the event selection optimization is performed “blind,” i.e., without knowledge of the data yield in the signal region until the selection procedure has been finalized.

Decays of $B_{ES}$ candidates are expected to contain a proton-antiproton pair and a single charged pion, where the (anti)proton with the same charge as the $B_{tag}$ is presumed to be the daughter of the $\Lambda$. Tight (anti)proton particle identification criteria are applied to the baryon candidate tracks; no pion identification requirement is imposed on the third track. The (anti)proton selectors have an efficiency of approximately 95% within the momentum range relevant to this analysis [8]. A kinematic fit is imposed on the $\Lambda$ daughter tracks, applying pion and proton mass hypotheses and fitting the $\Lambda$ vertex, including a constraint that the $\Lambda$ originates within a $B$ meson flight length of the event vertex. The three tracks are required to have a DOCA ordering consistent with a $B^- \rightarrow \Lambda \pi \nu \bar{\nu}$ signal event, where DOCA is defined as the extrapolated distance of closest approach of a reconstructed track to the nominal event vertex. The $\bar{p}$ that is the daughter of the $B_{tag}$ originates from near the interaction point and so usually has the smallest DOCA. The two $\Lambda \rightarrow p\pi^-$ decay daughters typically do not point to the interaction point, with the $p$ that is the daughter of the $\Lambda$ usually having a smaller DOCA than the $\pi^-$. The resulting $p\pi^-$ invariant mass distribution, without any $L_{BB}$ or $E_{extra}$ requirements, is shown in Fig. 5. The $\Lambda$ candidates are selected by requiring $1.112 \text{ GeV}/c^2 < m_{p\pi^-} < 1.120 \text{ GeV}/c^2$. Following this selection, background events are almost entirely real $\Lambda$ baryons from $q\bar{q}$ continuum sources.

A simultaneous optimization of the $L_{BB}$ and $E_{extra}$ selection criteria is performed, with the expected branching fraction limit in the absence of signal used as the figure of merit. This optimization yields the selection criteria values presented previously. The signal efficiency is estimated to be $(0.034 \pm 0.001(\text{stat}))\%$. The background yield is determined by combining the peaking background from $B^+B^-$ MC with the combinatorial background estimated from the $m_{ES}$ sideband, yielding $2.3 \pm 0.7(\text{stat})$ events. The dominant contribution of $1.7 \pm 0.6(\text{stat})$ arises from combinatorial background sources.

Systematic uncertainties arise in the determination of the signal efficiency and background yield. The combinatorial background yield is determined from data by extrapolation of the sideband into the $m_{ES}$ signal region. However, the shape of the combinatorial background distribution impacts the peaking yield correction and hence $C_{peak}$ is anticorrelated with $R_{side}$. Consequently, the relevant systematic uncertainty is due to the extrapolation of the yield of combinatoric events in the $m_{ES}$ sideband to the signal region. The ratio $R_{side}$ is obtained from nonpeaking background MC ($q\bar{q}$, $c\bar{c}$, $\tau^+\tau^-$, $B^0\bar{B}^0$, and nonpeaking $B^+B^-$), and its value depends on the relative mix of the continuum and $B\bar{B}$ due to the difference in shape in the predicted $m_{ES}$ distributions of these two components. An uncertainty of 17% on background yield and 16% on signal efficiency is obtained by varying the shape of the $m_{ES}$ distribution between that given by $B\bar{B}$ and continuum MC and determining the impact on the resulting signal efficiency and background estimates.

The signal MC is produced using a phase-space model, which is subsequently weighted into the model of Ref. [1], based on the $m_{\Lambda\nu}$ distribution. The impact of this weighting on the signal efficiency is evaluated by modifying the
weighting scheme to include the other kinematic quantities $m_{ES}$ and $\theta_{B,L}$ defined in that paper. A systematic uncertainty of 9.6% is assigned.

MC modeling of variables used in the signal selection impacts both the signal efficiency and the background determination. The impact of (anti)proton particle identification is evaluated using standard BABAR procedures [8] for the relevant particle selectors and kinematic region. An uncertainty of 1.3% is assigned to the background yield, and 1.4% is assigned to the signal efficiency. To determine the impact of the $\Lambda$ selection procedure, the $\Lambda$ yield is evaluated in the $m_{ES}$ sideband region, using a four-vector sum of $p$ and $\pi^-$ candidates to identify a $\Lambda$ control sample which is independent of the nominal kinematic fit procedure. The relative $\Lambda$ yields are determined from data and background MC, before and after applying the nominal $\Lambda$ selection to this sample, resulting in a 13% correlated uncertainty on both the signal efficiency and background estimate.

The $E_{\text{extra}}$ cut introduces a systematic uncertainty due to possible mismodeling of low-energy clusters in simulation. To evaluate this, the cluster energies in the MC are scaled to match the $E_{\text{extra}}$ distribution in data. Parametrically, the level of data-MC agreement in the $E_{\text{extra}}$ distribution (see Fig. 4) is found to be equivalent to applying a shift of 5 MeV per cluster. This correction is applied to the MC, and a systematic of 1.9% for the signal efficiency and 11% for the background estimate is assigned, corresponding to the full impact of this correction. Systematic uncertainties are summarized in Table I.

The $B^- \to \Lambda \bar{p} \nu \bar{\nu}$ branching fraction is evaluated according to $\mathcal{B}(B^- \to \Lambda \bar{p} \nu \bar{\nu}) = (N_{\text{data}} - N_{\text{bg}})/(e^{16} \times N_{B^\nu})$, where $N_{\text{data}}$ and $N_{\text{bg}}$ are the number of events observed in data and the total estimated background yield, respectively. The overall $B^- \to \Lambda \bar{p} \nu \bar{\nu}$ signal efficiency including the $\Lambda \to p \pi^-$ branching fraction [17] is $e^{16} = (3.42 \pm 0.08 \text{(stat)} \pm 0.80 \text{(sys)}) \times 10^{-6}$, and $N_{B^\nu} = (471 \pm 3) \times 10^8$ is the estimated total number of charged $B$ mesons in the data sample [6]. It is assumed that $\Upsilon(4S) \to BB$ produces equal numbers of $B^0 \bar{B}^0$ and $B^+ B^-$ pairs. The selection efficiency is independent of $q^2$, the square of the four-momentum transfer to the $\nu \bar{\nu}$ pair in signal events, within MC statistics. A total of $N_{\text{data}} = 3$ events are found in the $m_{ES}$ signal region, consistent with the background yield expectation of $N_{\text{bg}} = 2.3 \pm 0.7 \text{(stat)} \pm 0.6 \text{(sys)}$. The $m_{ES}$ distribution of the $B_{\text{tag}}$ in events that pass all other selection requirements is plotted in Fig. 6, and the $p \pi^-$ invariant mass distribution is plotted in Fig. 7. The central value of the branching fraction is determined to be $\mathcal{B}(B^- \to \Lambda \bar{p} \nu \bar{\nu}) = (0.4 \pm 1.1 \text{(stat)} \pm 0.6 \text{(sys)}) \times 10^{-5}$. As no evidence is found for signal, a 90% confidence level upper limit is computed using the Barlow method [18], yielding $\mathcal{B}(B^- \to \Lambda \bar{p} \nu \bar{\nu}) < 3.0 \times 10^{-5}$.

A constraint can be placed on $|C_{T_L}|$, the Wilson coefficient that describes left-handed weak currents, by comparing this measurement to the SM-predicted value.

![Fig. 6](image-url) **FIG. 6.** The $B_{\text{tag}} m_{ES}$ distribution of events passing all other signal selection requirements for data and for signal MC (inset) scaled to a branching fraction of $\mathcal{B}(B^- \to \Lambda \bar{p} \nu \bar{\nu}) = 0.4 \times 10^{-5}$. The signal region is indicated by the vertical dashed lines, and the total background expected in the signal region is $2.3 \pm 0.7 \text{(stat)} \pm 0.6 \text{(sys)}$ events.

![Fig. 7](image-url) **FIG. 7.** The $p \pi^-$ invariant mass in events passing all other signal selection requirements. Data are shown as points with error bars, while the background expectation is shown as solid histograms. The negative bin values are a consequence of the background estimation procedure applied to low-statistics histograms. The expected signal distribution from MC is shown in the inset histogram and is scaled to a branching fraction of $\mathcal{B}(B^- \to \Lambda \bar{p} \nu \bar{\nu}) = 0.4 \times 10^{-5}$.

| TABLE I. Summary of systematic uncertainties on the signal efficiency and backgrounds. |
|---------------------------------|-----------------|-----------------|
| **Source**                     | **Signal efficiency** | **Background**  |
| Signal weighting               | 9.6%             |                 |
| MC modeling                    | 16%              | 17%             |
| Particle identification        | 1.4%             | 1.3%            |
| $\Lambda$ selection           | 13%              | 13%             |
| $E_{\text{extra}}$             | 1.9%             | 11%             |

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Using the parametrization of Ref. [19], and assuming the SM value of $C_{\nu R}^\nu = 0$, a limit of $\epsilon \equiv |C_{\nu L}^\nu|/(C_{\nu L}^\nu)^{\text{SM}} < 7.4$ is obtained at the 90% confidence level.

In conclusion, a search has been performed for the FCNC decay process $B^- \to \Lambda \bar{p} \bar{\nu} \bar{\nu}$ based on the full BABAR dataset collected at the c.m. energy of the $\Upsilon(4S)$ resonance. No evidence is found for an excess over the SM prediction, and the first branching fraction limit on this decay is reported.

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