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Emergent reduced dimensionality by vertex frustration in artificial spin ice

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Reducing the dimensionality of a physical system can have a profound effect on its properties, as in the ordering of low-dimensional magnetic materials¹, phonon dispersion in mercury chain salts², sliding phases³, and the electronic states of graphene⁴. Here we explore the emergence of quasi-one-dimensional behavior in two-dimensional artificial spin ice, a class of lithographically-fabricated nanomagnet arrays used to study geometrical frustration⁵⁻⁷. We extend the implementation of artificial spin ice by fabricating a new array geometry, the so-called tetris lattice⁸. We demonstrate that the ground state of the tetris lattice consists of alternating ordered and disordered bands of nanomagnetic moments. The disordered bands can be mapped onto an emergent thermal one-dimensional

Ising model. Furthermore, we show that the level of degeneracy associated with these bands dictates the susceptibility of island moments to thermally induced reversals, thus establishing that vertex frustration can reduce the relevant dimensionality of physical behavior in a magnetic system.

Arrays of interacting, single-domain nanomagnets known as artificial spin ice have proved to be useful model systems with which to experimentally explore the microscopic nature of geometrical frustration⁶⁻⁸. Simple square and kagome artificial spin ice lattices have been subject to intense study because they provide unique insight into the consequences of frustrated magnetism, such as residual entropy^{9,10} and magnetic charge excitations¹¹⁻¹⁴. In the last few years, improved thermalization methods have provided access to the low energy phases of artificial spin ice structures^{10,15,16} and even their dynamics¹⁷⁻¹⁹. There is now growing awareness that one could even employ these artificial systems to design desired emergent behaviors and exotic states²⁰. To this end, a number of new lattice geometries have been proposed to produce novel emergent phenomena through ‘vertex frustration,’ where the lattice geometry forces groups of neighboring island moments, called vertices, into higher-energy configurations that would not otherwise be observed in the ground state^{8,21}. Here we combine these theoretical and experimental advances to study thermal fluctuations about the ground state of the tetris lattice⁸, a regular two-dimensional lattice with reduced symmetry that is designed to exhibit vertex frustration. By analysis of the thermalized ground state, we demonstrate that the lattice decomposes into alternating stripes of two kinds, one of which displays the expected disordered state for a ferromagnetic one-dimensional Ising model.

The structure of the tetris lattice is depicted in Fig. 1; it is a decimation of the square lattice in which 3/8 of the islands are removed. Its low-energy behavior is best understood by decomposing the lattice into parallel strips of ‘backbones’ (blue in Fig. 1b) containing four-island

vertices and ‘staircases’ (red in Fig. 1b) containing three-island vertices. This lattice is vertex-frustrated because it is impossible to place each three-island vertex of the staircases into its minimum-energy Type A configuration (the types of vertices found in the tetris lattice are enumerated in Fig. 2a). The vertex frustration breaks the ground state order of the parent square lattice, forcing half of the three-island vertices out of their Type A ground state into the higher-energy Type B configuration.

A simple vertex model theory²² for this geometry predicts ordered backbones and disordered staircases⁸. While in principle the staircases do have a completely ordered state (Fig. 2b), in practice, the many disordered configurations (i.e., the *ice manifold*, one state of which is depicted in Fig. 2c) cause order on the staircases to be entropically suppressed. Indirect interactions²³ may also play a role in preventing the alignment between backbones necessary for the ordered state to develop. In the ice manifold (which is analogous to the kagome ice I phase of artificial kagome spin ice²⁴), each step of the staircases must contain exactly one Type B vertex. These Type B vertices can be placed along the staircase with a freedom that leads to a finite entropy density. The horizontal island moments of each step, comprising two parallel islands, can be chosen at will in the ice-manifold at low temperature (Fig. 2c), defining an emergent one-dimensional Ising system which, in the vertex-model approximation, exhibits an effective ferromagnetic coupling between neighboring steps (see the Supplementary Information for a detailed derivation). Thus at zero temperature, the vertex-model degeneracy of the two-dimensional tetris lattice can be subsumed into the statistical mechanics of a one-dimensional Ising system in a fictitious thermal state.

We experimentally investigated the tetris lattice’s ice manifold predicted by the vertex model by fabricating tetris artificial spin ice lattices with single-domain permalloy ($\text{Ni}_{81}\text{Fe}_{19}$) islands (nominal island dimensions of $470 \times 170 \times 3$ nm and island spacings of $a = 600$ nm and 800

nm). The energy barrier to reversal of the magnetic moment of these islands is approximately equal to the thermal energy available at room temperature^{17,18} (due to the low thicknesses of 3-3.5 nm), so thermal fluctuations cause the island moments to flip rapidly at room temperature. The exact mechanism of island moment reversal (via coherent rotation or more complex intermediate states²⁵) is unclear, but by slowly cooling below room temperature, we can drive the arrays into their thermalized ground state. This sort of sample allows us to study both the ground state and thermal fluctuations about the ground state using photoemission electron microscopy with x-ray magnetic circular dichroism contrast (XMCD-PEEM)^{26,27}.

Measurements of the island moment configurations at $T = 160$ K indicate that the arrays are static at that temperature and are close to their predicted ground state, as depicted in Fig. 3. The backbone islands exhibit the predicted long-range order, as can be seen from the alternating chains of five white and five black islands in Fig. 3a, or from the ordered blue arrows in Fig. 3b. The staircases, however, do not appear to be ordered. We characterize the system by considering the population of the different vertices in the lattice. As shown in Fig. 4a, the vertex populations (defined in Fig. 2a) extracted from the images are close to those expected for the ground state. For example, nearly all of the four-island vertices observed are in the lowest-energy Type I configuration.

The presence or absence of order on the staircases is related to the relative alignment of the moments in the neighboring backbones⁸. In particular, disordered staircases require

antiparallel neighboring backbone moments for a given step (e.g., u_i and v_i in Fig. 2). Therefore,

as a first step towards characterizing the state of the staircases, we consider several correlations

between the backbone islands, $\langle u_i u_{i+\#} \rangle$, $\langle u_{i+\#} v_i \rangle$, and $\langle u_i v_i \rangle$. If the extensively-degenerate

disordered staircase configurations indeed dominate, these correlations must be approximately -1, 1, and -1, respectively. Note that while this antiparallel configuration of neighboring backbone moments is also consistent with two ordered states in which all the staircase islands are

aligned head-to-tail, these ordered configurations would yield $\langle s_i s_{i+\#} \rangle = 1$. From Fig. 4b, we see

that the correlations $\langle u_i u_{i+\#} \rangle$, $\langle u_{i+\#} v_i \rangle$, and $\langle u_i v_i \rangle$ extracted from the experimental data indeed

match these predictions closely and $\langle s_i s_{i+\#} \rangle \approx 1$, indicating that the staircases are indeed

disordered and that the ordered staircase configuration of Fig. 2b is entropically suppressed. Furthermore, the measured correlations between farther-neighbor staircase islands (Fig. 4c) are consistent with the exact result for the one-dimensional Ising model, indicating that the one-dimensional Ising model serves as a good approximation for the staircase island moments and underscoring the one-dimensional nature of the staircases.

The nearest-neighbor correlation $\langle s_i s_{i+\#} \rangle$ between staircases' horizontal islands is higher

than that predicted by the vertex model alone, which ignores the long range of the dipolar interaction between the steps. While disorder in the islands' magnetic properties can affect the correlations present in thermalized artificial spin ice²⁸, we attribute the bulk of this discrepancy to

long-range dipolar interactions, which can be included by introducing next-nearest-neighbor interactions in the form of charge-charge terms^{10,24}. A calculation presented in the Supplementary Information shows how this addition simply renormalizes the coupling of the nearest-neighbor Ising system. Using micromagnetic estimates for the charge-charge interactions, we obtain

$$\langle S_i S_{i+\#} \rangle = 0.66, \text{ close to the measured value of } 0.60.$$

Not only does the large manifold of disordered staircase configurations suppress order in the staircases, but its energy landscape also plays a crucial role in determining the dynamics. To explore the effect of geometry on thermal fluctuations, we took series of images of an $a = 800$ nm tetris lattice as the temperature was varied from 280-360 K. These images were compiled into movies demonstrating the dynamics, in which one can observe the relationship between fluctuation rates and temperature (see Supplementary Information and movies). At low temperatures, in proximity to the ground state, the thermally active island moments are visible as islands whose contrast reverses from black to white, or vice versa, between subsequent images and are confined to the vertex-frustrated staircases. At high temperatures, however, all the island moments in the array fluctuate so rapidly that the XMCD contrast is washed out to a uniform gray. Figs. 5a and b, which show the fraction of backbone and staircase islands that are thermally active as a function of temperature and the temperatures at which the different types of islands in the tetris lattice unit cell become thermally active, respectively, both confirm that the onset of thermal fluctuations lies at a significantly lower temperature for the staircase moments.

While the ordered backbones have just two degenerate ground state configurations separated by a large energy barrier, the disordered staircases can explore many different disordered configurations through single island moment reversals that rearrange the Type A and

B vertices. This again is consistent with a one-dimensional Ising model. Interestingly, when the temperature is high enough for the backbone island moments to fluctuate, they reverse in a correlated fashion with entire five-island segments reversing together, reminiscent of natural frustrated magnetic materials in which the fluctuating degrees of freedom are not individual spins, but clusters of spins²⁹.

In summary, we have found that the lower symmetry of the tetris lattice compared to the original artificial square spin ice (two-fold without mirror symmetry vs. four-fold with mirror symmetry) allows the lattice to decompose into alternating quasi-one-dimensional bands, the backbones and the staircases. The backbones are not vertex-frustrated and consequently take on an ordered configuration that is comparatively robust against fluctuations. Vertex frustration in the staircase bands, however, leads to disorder, which can be described by an emergent one-dimensional Ising model, and to susceptibility to thermal fluctuations. This system therefore demonstrates how vertex frustration, rather than geometrical separation or a fortuitous distribution of exchange interactions, can lead to an effectively reduced dimensionality in a many-body magnetic system.

Within the ice-manifold of tetris, much remains to be explored. At lower entropies, the dipolar interactions between the disordered spins (both within and between staircases), as well as with defects within the ordered backbones, should provide a complex energy profile where competition might lead to different stages of ordering, mixed transitions, domain formation, and a potentially rich phase diagram. More generally, natural magnetic materials with such interesting emergent reduced dimensionality are typically found serendipitously, and it is necessarily difficult to tune the strength of the various interactions. Artificial spin ice, however, has matured from a model of geometrical frustration toward a testbed where lattice geometry can be intentionally exploited to forge complex states in the low energy behavior of a magnetic

system. In addition to facilitating investigations of fundamental physics phenomena, the ability to tune the onset of thermal fluctuations in a magnetic material via its geometry may prove useful in future magnetic recording technology³⁰.

Methods:

Arrays of tetris artificial spin ice with lattice constants of $a = 600$ and 800 nm were patterned on a silicon substrate via electron beam lithography, using a bilayer resist stack with layers of polymethyl methacrylate (PMMA) of two different molecular weights. A permalloy ($\text{Ni}_{81}\text{Fe}_{19}$) film was then electron-beam evaporated in ultra-high vacuum (see prior work^{5,10} for more details) while progressively stepping a growth shutter in front of the sample to produce arrays of varying thicknesses (i.e., ‘discretized wedges’). Because the rate at which thermal fluctuations occur depends very sensitively on island thickness, this ensured that some of the arrays would undergo observable thermal fluctuations near room temperature. We found that 3 to 3.5 nm thick arrays were thermally active around room temperature, consistent with previous studies^{17,18}. A 2 nm capping layer of Al was deposited on top of the permalloy to inhibit oxidation. The island dimensions ($470 \times 170 \times 3$ nm) were similar to those used in previous studies^{17,18} of thermally active artificial spin ice, which facilitates comparison. Also, because these islands are thermally active around room temperature, problems associated with thicker islands (high temperature annealing and associated complications involving substrate-island interdiffusion¹⁰) are avoided.

The arrays were measured using synchrotron-based photoemission electron microscopy with x-ray magnetic circular dichroism contrast^{25,26} (XMCD-PEEM) at beamline 11.0.1 at the Advanced Light Source. Imaging was performed at the Fe L_3 edge at 707 eV. Most images were an average of 9 two-second exposures taken at each helicity, but the individual frames in the supplementary movies have a total exposure of only 12 s. Uniform black/white contrast on the

islands (which indicates whether the island magnetization has a positive or negative projection on the polarization of the incident x-rays) confirms the islands are single-domain and are effectively giant Ising spins. The images allow us to unambiguously identify the exact microstate of the array, as shown in Fig. 3.

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Author contributions

I.G., C.N., and P.S. designed this study. I.G. and Y.L fabricated the lithographic patterns, and L.O'B., J.D.W., M.M., and C.L. optimized and deposited the wedged permalloy films. A.S., I.G., and Y.L. conducted the XMCD-PEEM measurements. C.N. developed the theory describing the tetris lattice. I.G., C.N., and P.S. wrote the paper with input from all of the coauthors.

Competing financial interests

The authors declare no competing financial interests.

Figures:

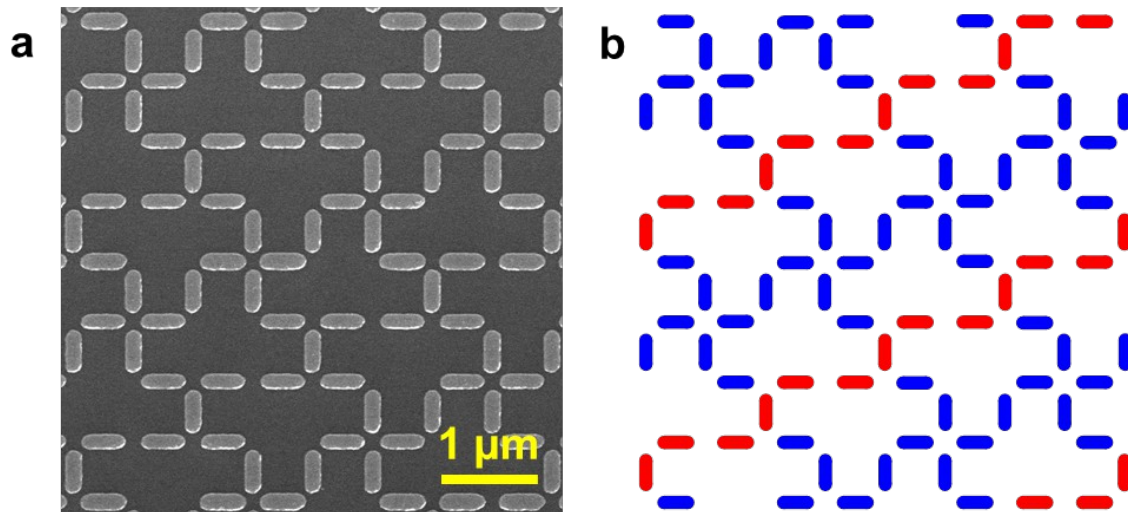


Figure 1. The structure of the tetris lattice. The tetris lattice is a complicated decimation of the artificial square spin ice lattice. Panel (a) shows a scanning electron micrograph of a tetris lattice with 600 nm island separation. The lattice is most easily understood by decomposition into two types of diagonal stripes, as shown in (b). The backbones (blue) are comprised of chains of four-

and two-vertex islands and, in the ground state, are completely ordered. The staircases (red) are comprised of pairs of horizontal islands that map onto emergent one-dimensional Ising spins, joined by single vertical islands between them.

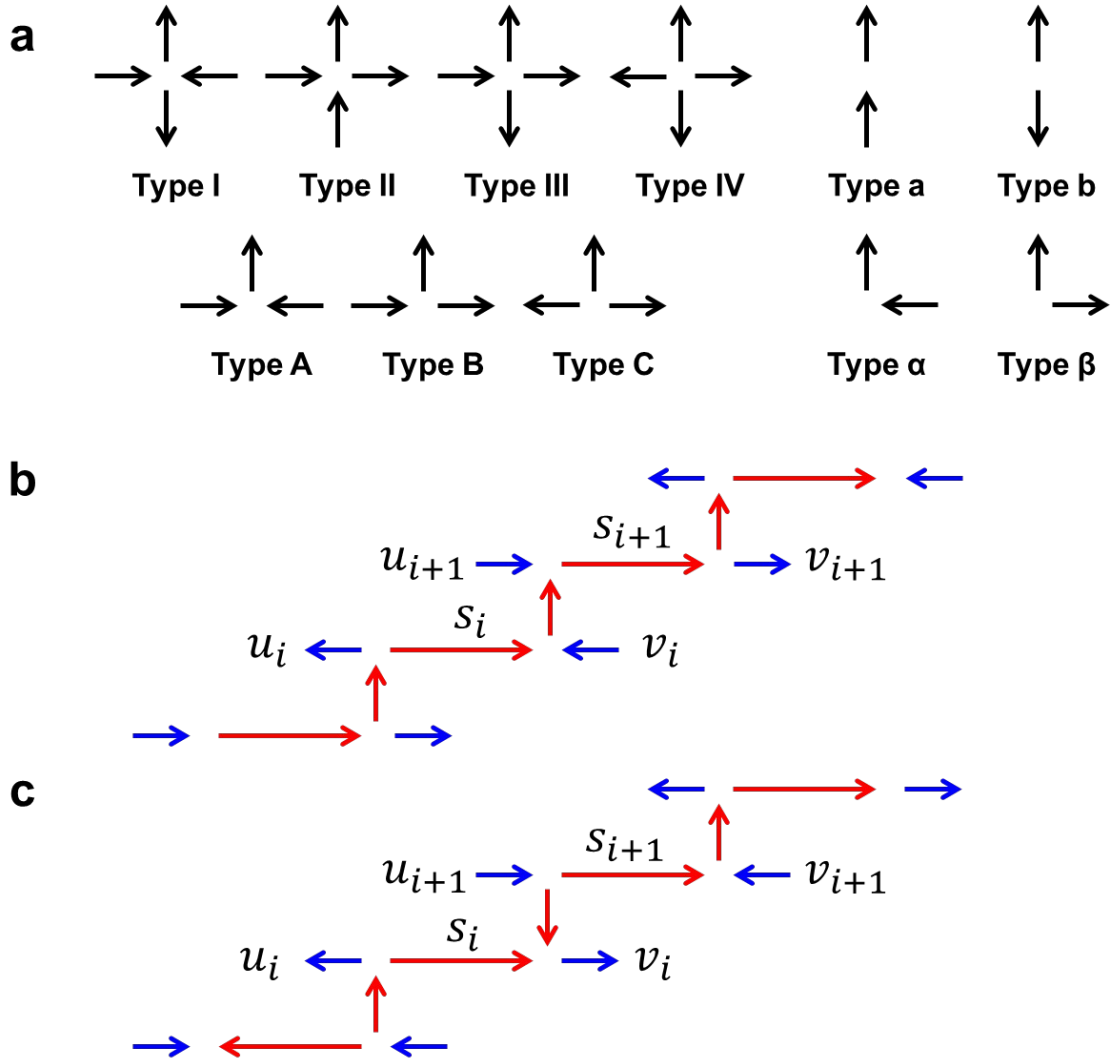


Figure 2. Vertices and staircases in the tetris lattice. (a) A catalog of the types of vertices that can occur in the tetris lattice. For each class (four-island, three-island, etc.), the types are listed in order of increasing energy. (b) A ferromagnetically-ordered staircase in which all the island moments are arranged head-to-tail. (c) A disordered staircase. The large number of disordered configurations arises from the freedom in allocating Type A and B vertices. The labels in (b) and

(c) define the correlations displayed in Fig. 4b. The long arrows, \vec{s}_i , correspond to Type a

vertices, i.e., two regular islands magnetized parallel to one another.

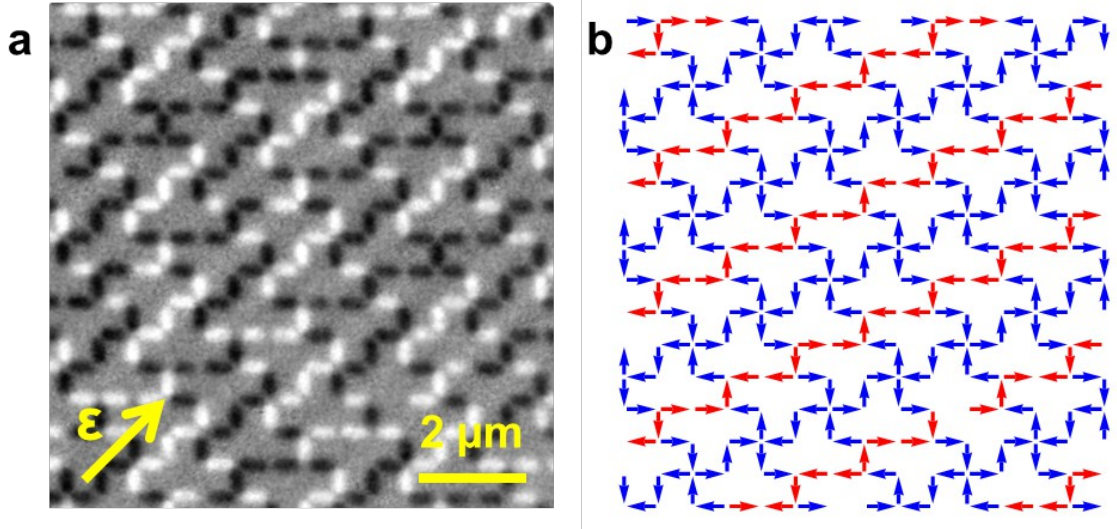


Figure 3. The experimental ground state of the tetris lattice. (a) XMCD-PEEM image of a 600 nm tetris lattice. The black/white contrast indicates whether the magnetization of an island has a component parallel or antiparallel to the polarization of the incident x-rays, which is indicated by the yellow arrow (b) Map of the moment configurations in (a). As in Fig. 1, island moments belonging to the backbones are colored blue, and moments belonging to the staircases are red. The backbone island moments are ordered, which can be seen by examining the arrows in (b) or noting the diagonal segments of five islands with alternating black/white contrast in (a). The staircase moments, however, are not ordered.

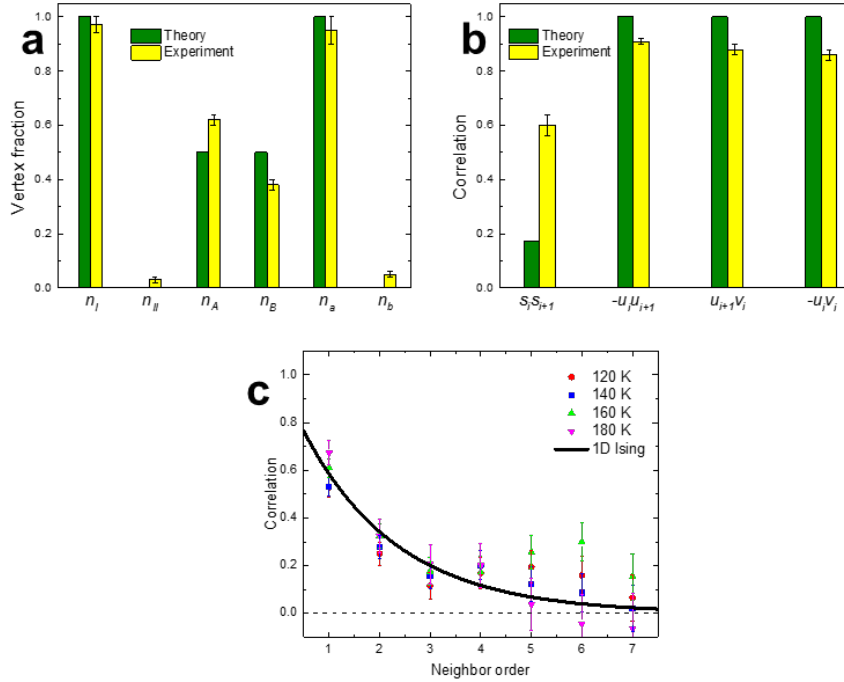


Figure 4. Vertex populations and moment correlations. (a) Vertex populations predicted by a

simple vertex model theory (green) and measured experimentally on $\sim 10,000$ islands in a 600 nm tetris lattice with 3 nm thick islands at 160 K (yellow). None of the energetically-costly Type III, IV, or C vertices were observed in any of the images.

(b) Correlations predicted by theory (green) and measured experimentally (yellow). The correlations among the backbone moments compare

favorably with theory. The correlation $\langle S_i S_{i+1} \rangle$ between the emergent Ising spins of the staircases

differs markedly from the simple vertex theory (but can be explained by longer-range dipolar interactions, as detailed in the Supplementary Information).

(c) Correlation between the staircase spins S_i as a function of neighbor order. The black curve is the exact result for the 1D Ising

model with the interaction energy chosen to fit the experimental data (see Supplementary

Information for details). The colored points are experimental data taken at various temperatures (moment freezing in this sample occurred below $T \sim 200$ K in this sample, and the moments were mostly static in this temperature range).

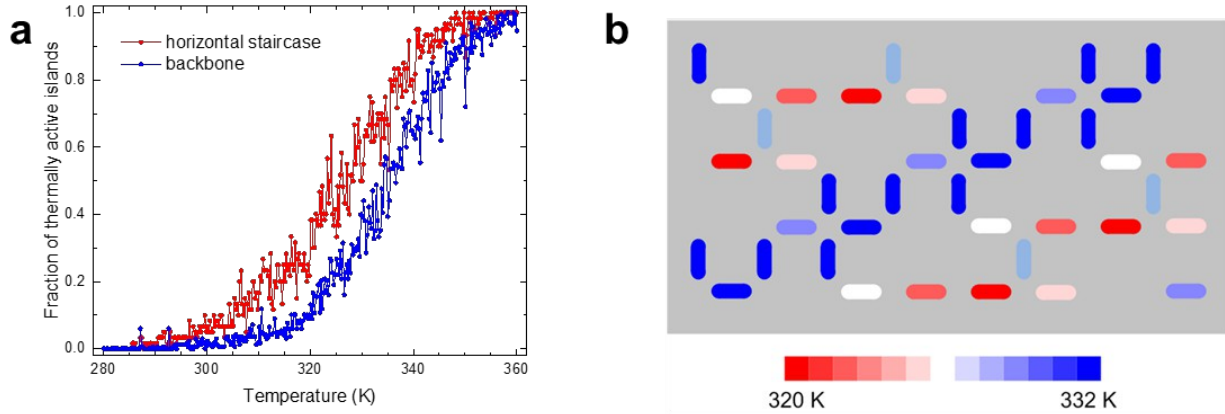


Figure 5. Thermally active islands as a function of temperature. (a) The fraction of backbone

islands (blue) and horizontal staircase islands, i.e., the S_i (red) that are thermally active as a

function of temperature. The data are extracted from the movie taken on cooling in the Supplementary Information. Here an island is defined as thermally active if it flips at a sufficiently high rate (approximately every five seconds) that its XMCD contrast is lost during the 12 s exposure. The offset between the curves indicates that the staircase islands become thermally active about ten degrees below the point at which the backbone islands begin to fluctuate. Approximately 360 islands per frame were analyzed. (b) A unit cell of the tetris lattice.

The islands are shaded according to the temperature at which half of the islands lose XMCD contrast in the heating movie. Consistent with panel (a), the staircase islands reach this level S_i

of thermal fluctuations about 10 K lower than the backbone islands.

