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Authors

Pope, Nathan Hexum
Igel, Adele L

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Peer reviewed

1 **Identifying Important Microphysical Properties and Processes for Marine**
2 **Fog Forecasts**

3 Nathan Hexum Pope^a Adele L. Igel^{a,b}

4 ^a *Atmospheric Science Graduate Group, University of California, Davis,* ^b *Department of Land,*
5 *Air and Water Resources, University of California, Davis*

6 *Corresponding author:* Nathan Hexum Pope, nhpopo@ucdavis.edu

7 ABSTRACT: In this study, a marine fog event that occurred from 0000 to 1800 UTC on 7
8 September 2018 near Canada's Grand Banks is used to investigate the sensitivity of simulated fog
9 properties to six model parameters found primarily in the microphysics scheme. To do so, we ran
10 a large suite of regional simulations that spanned the life cycle of the fog event using the Regional
11 Atmospheric Modeling System (RAMS). We randomly selected parameter combinations for the
12 simulation suite and used Gaussian Process Regression to emulate the response of a variety of
13 simulated fog properties to the parameters. We find that the microphysics shape parameter, which
14 controls the relative width of the droplet size distribution, and the aerosol number concentration
15 have the greatest impact on fog in terms of spatial extent, duration, and surface visibility. In
16 general, parameters that reduce mean fall speed of droplets and/or suppress drizzle formation lead
17 to reduced visibility in fog but also delayed onset, shorter lifetimes, and reduced spatial extent. The
18 importance of the distribution width suggests a need for better characterization of this property for
19 fog droplet distributions and better treatment of this property in microphysics schemes.

20 1. Introduction

21 Marine fog is a major meteorological hazard. Total annual economic losses due to fog can be
22 comparable to hurricanes (Gultepe et al. 2007). An out-sized proportion of maritime accidents
23 occur in the presence of fog (Gultepe et al. 2009), including the sinking of the RMS Titanic
24 (Koračin 2017). The loss of the Titanic prompted GI Taylor to study fog off of Canada's Atlantic
25 coast, culminating in *The Formation of Fog and Mist* (Taylor 1917). Taylor hypothesized that
26 marine fog forms in regions with high sea surface temperature gradients due to the advection of
27 warm, moist air over cold water. This "cold sea" [or warm-air modification](#) advection mechanism of
28 marine fog formation is viewed as the most common type of marine fog (Lewis et al. 2004; Gultepe
29 et al. 2007; Koračin et al. 2014). Willett (1928) discusses additional fog formation mechanisms,
30 including cold and warm sea advection fog, and frontal fog. Frontal fog can form in a variety of
31 ways. It is sometimes "precipitation fog", which forms when precipitation cools and/or moistens
32 the boundary layer to saturation (Goldman 1951; Tardif 2007; Tardif and Rasmussen 2008, 2010).
33 Alternatively, Anderson (1931) found that turbulent mixing within stratus clouds lowered cloud
34 base and led to fog formation. Oliver et al. (1978) and Pilié et al. (1979) further studied this "stratus
35 lowering fog", and Pilié et al. (1979) concluded that it is one of the most common fog formation
36 mechanisms in California.

37 Globally, marine fog occurs most often near western ocean boundary currents. Specifically,
38 fog is most common where these warm currents interact with protected regions of cold water
39 (Lewis et al. 2004; Gultepe et al. 2007; Koračin 2017). Understanding marine fog formation
40 near Canada's Grand Banks is particularly important due to the prevalence of fog as well as its
41 importance as a shipping lane. The 2018 C-Fog campaign provided an extensive observational
42 dataset with the aim of improving understanding of coastal and marine fog in the Grand Banks
43 (Dorman et al. 2021a). Contrary to Taylor's hypothesis, the C-Fog data shows that most fog in
44 the region results from large-scale cyclonic systems, with surface-level advection playing a minor
45 role (Dorman et al. 2021b). [Boutle et al. \(2010\) found that mid-latitude cyclonic systems provide](#)
46 [a ready supply of moisture to the boundary layer through convergence, which can form fog as](#)
47 [described in Fernando et al. \(2021\).](#) Stratus lowering fog was found to be common in the Grand
48 Banks. Many studies on stratus lowering fog have focused on the California coast (Pilié et al. 1979;
49 Leipper 1994; Koračin et al. 2001, 2005a) and have found that radiative cloud-top cooling is the

50 primary driver of fog formation. The findings of Wagh et al. (2021) for Atlantic Canada agree,
51 noting that stratus lowering fog cases during the C-Fog campaign were related to cloud top cooling,
52 stability, and entrainment at the top of the boundary layer.

53 Marine fog is a modeling challenge due to the number of possible formation mechanisms, such
54 as warm advection over cold water, cold advection over warm water, and downward growth of low
55 clouds. Different physical processes are important for different types of fog, and fog in general
56 is sensitive to minor variations in temperature, moisture, and wind (Lewis et al. 2004; Koraćin
57 et al. 2005b; Gultepe et al. 2007; Koraćin et al. 2014; Koraćin 2017). Many marine fog studies
58 have focused on cold sea advection fog, providing understanding on its sensitivity to sea surface
59 temperature, wind profiles, and radiative and turbulent parameterizations (Fu et al. 2010; Heo and
60 Ha 2010; Kim and Yum 2012, 2013; Huang et al. 2015). Microphysical impacts on fog have
61 been investigated through observational (Gultepe et al. 1996; Duynkerke 1999; Zhao et al. 2013;
62 Haeffelin et al. 2010; Niu et al. 2012) and modeling (Gultepe and Milbrandt 2007; Tardif and
63 Rasmussen 2010) studies. Gultepe and Milbrandt (2007) found that accurate parameterization of
64 microphysical properties helped improve the accuracy of fog simulations. Studies of radiation fog
65 over land find that higher aerosol concentration and larger diameter favor fog formation (Koraćin
66 2017; Boutle et al. 2018), but the dependence varies based on the type of fog being considered
67 (Niu et al. 2012). Haeffelin et al. (2010) found that radiation fog and cloud base lowering fog near
68 Paris probably had different, uncertain sensitivities to microphysics. Different possible formation
69 mechanisms and their corresponding microphysical dependencies mean that the sensitivity of
70 marine fog to microphysics is still an active area of research. Boutle et al. (2022) found that
71 different models vary significantly in their prediction of fog.

72 This paper aims to address the uncertain relationship between microphysics and ~~marine fog~~
73 important properties of marine fog including spatial and temporal extent through sensitivity testing.
74 The goal is to better constrain the response and response mechanisms of cyclonic marine fog in
75 the Grand Banks variations in a set of microphysical parameters. We have identified a fog case
76 that is characteristic of the Grand Banks, as well as several microphysical parameters and one
77 surface flux parameter that may be impactful. We then sample the parameter space to create a suite
78 of simulations that we use to explore the relationships between our input parameters and several
79 output variables meant to characterize fog formation ~~and evolution~~, evolution, and dissipation. In

80 this way, we can identify which microphysical parameters are most important for fog in the Grand
81 Banks region. This can be extended to shed light on the physics of fog formation, or on improving
82 model parameterizations for more accurate forecasting.

83 2. Experimental Design

84 There were four major challenges when designing our experiment: choice of fog case, sampling
85 of the parameter space, choosing output variables to quantify fog, and fitting those output variables
86 to our input parameters. ~~Fog case choice needs to strike a balance between selecting a fog case that~~
87 ~~is representative of fog~~ Our choice of fog case needs to faithfully represent fog in the region ~~that can~~
88 ~~also be easily recreated in our model without using excessive computational resources.~~ We need
89 ~~to~~ while also being simple to simulate to conserve computation time when running a large number
90 of simulations. We also sample the multi-dimensional space defined by ~~our~~ the input parameters
91 to capture ~~all~~ behavior while limiting the number of simulations ~~that we need to run~~. Our output
92 variables need to describe fog formation and evolution using ~~0-D data points~~ summary quantities
93 such as average visibility and extent. To construct relationships between input and output variables,
94 we need to capture non-linearity and interactions between our parameters with strong prediction
95 strength without over-fitting.

96 a. Fog Case

97 Fog in the Grand Banks is often related to a cyclonic system. Dorman et al. (2021b) found that
98 every significant fog event recored at Ferryland on the island of Newfoundland, close to the Grand
99 Banks, was related to a cyclonic system. Additionally, individual fog events at Ferryland lasted
100 up to 31 hours continuously (Dorman et al. 2021b). Measuring fog events at fixed points will also
101 tend to underestimate fog duration due to advection of the fog system. For this study, we adopt
102 the view that a fog event begins when fog forms anywhere within the region of study (rather than
103 being advected into the domain) and ends when fog completely dissipates completely.

104 Since this study examines marine fog specifically, and not coastal fog, in situ observations,
105 such as those in the ICOADS database (ICO 2018) were sparse. Instead, we analyzed ERA5
106 (Hersbach et al. 2020) hourly reanalysis data for the Grand Banks region east of Newfoundland.
107 The primary variable used to identify fog from ERA5 data was cloud base height. We assumed

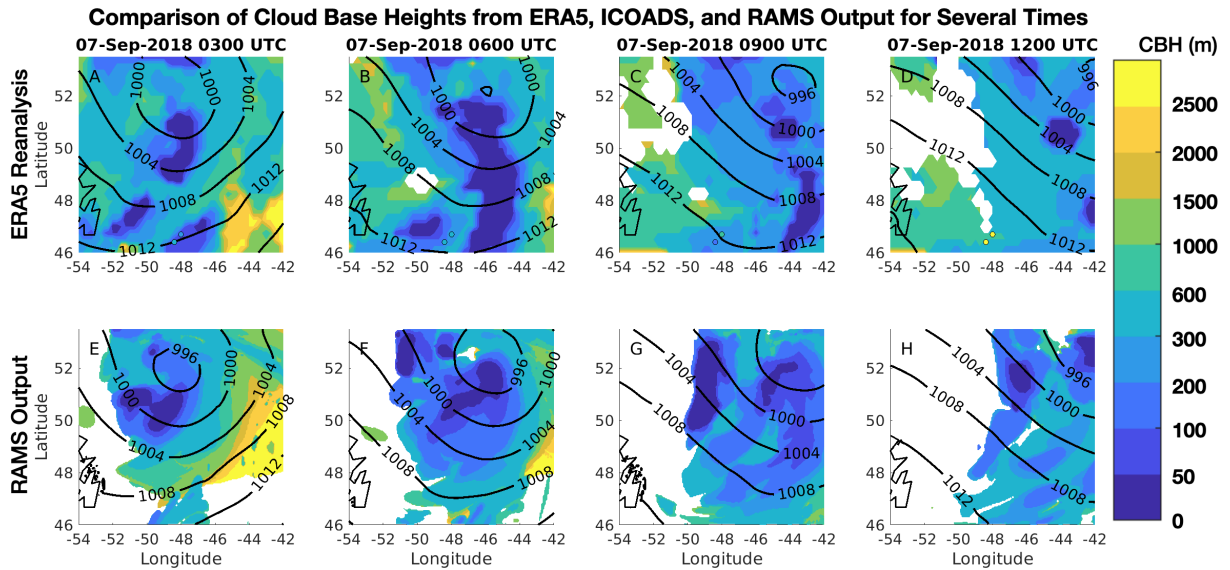
108 that any cloud base height below 30 m is probable fog. We also checked the 2 m relative humidity
109 and 1000 mb cloud liquid water concentration to confirm the presence of fog. Most potential fog
110 cases identified from ERA5 reanalysis following the above method agreed with the findings of
111 Dorman et al. (2021b) in that they were related to cyclonic systems in the region.

112 Many of the fog events over the Grand Banks persist for several days from their initial formation
113 until complete dissipation, even if they are only present for several hours at a fixed point. These are
114 unsuitable because they occur over a very large area for a very long time, and thus are impractical for
115 simulating repeatedly. Most short-lived events are very patchy, making them difficult to replicate
116 in a model.

117 The fog case we chose occurred between 0000 and 1800 UTC on 7 September, 2018. Fog
118 formed behind a fast-moving, low pressure system that passed East of Newfoundland, forming fog
119 primarily over the cold waters of the Grand Banks. Fog occurred in several small-to-medium sized
120 patches that overlapped temporally. There was one primary fog patch that grew the largest and
121 persisted for around six hours. All fog in the event formed and completely dissipated ~~or moved out~~
122 ~~of the domain~~ within the an 18-hour life-cycle.

123 The case was simulated using the Regional Atmospheric Modeling System (RAMS; Cotton et
124 al. 2003). The simulation domain is shown in ~~??~~figure 1. In order to ensure that the simulations
125 would run reasonably quickly, we used a moderate 5km spacing in the horizontal. In the vertical, we
126 used 51 levels with 7 levels in the bottom 150 m where the spacing between the lowest levels was 10
127 m. A 5 second time step was used. Parameterization schemes included the LEAF3 surface scheme
128 (Walko et al. 2000), the Harrington radiation scheme (Harrington 1997), a Smagorinsky-based
129 subgrid mixing scheme (Smagorinsky 1963), and RAMS double-moment microphysics (Saleeby
130 and van den Heever 2013). Sea surface temperatures were provided by the Reynolds daily sea
131 surface temperature dataset (Reynolds et al. 2007) and were interpolated in time. Initial conditions
132 and boundary nudging conditions were provided by the ERA5 reanalysis. A simulation using
133 default parameters produced adequate agreement with ERA5 reanalysis data (Figure 1).

136 Here we briefly look at the evolution of the event. Precipitating clouds associated with the
137 low pressure system swept eastward through the region. Behind them, lightly-precipitating stratus
138 clouds descended from an initial height of over 1000 m to a few hundred meters and, in some
139 places, all the way to the ground. Figure 2 shows this process. The presence of clouds prior to fog

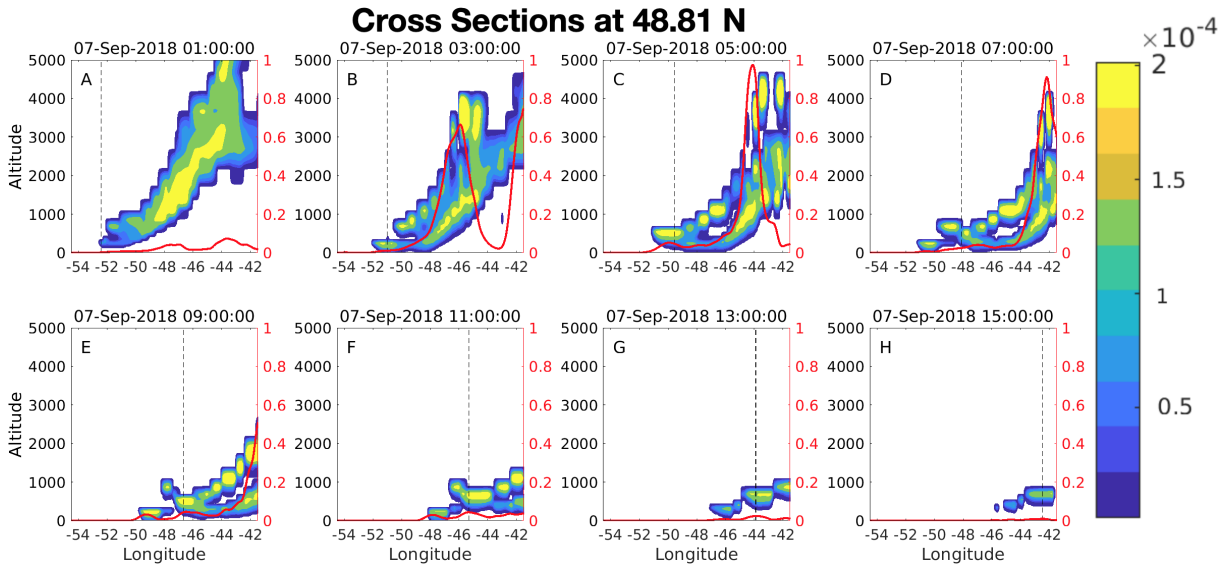


134 FIG. 1. Cloud base height and sea level pressure (black contours) at 4 hr intervals for the fog case in (A-D) in
 135 ERA5 reanalysis with ICOADS observations shown (colored circles) and (E-H) as simulated by RAMS.

140 onset indicates that fog can be classified either as precipitation fog or as cloud base lowering. The
 141 distinction between the two is subtle. In precipitation fog, there is typically an inversion-capped
 142 boundary layer that is cooled/moistened by precipitation falling through it from clouds above the
 143 inversion (Tardif 2007). In stratus-lowering fog, the cloud base is initially under the boundary
 144 layer capping inversion (Pilié et al. 1979). An observer at 49° N and 45° W would have seen cloud
 145 base descend at approximately 300 m per hour between 0300 and 0600 UTC. Cloud base at 45° W
 146 then remains low for 6 hours before the system eventually passes. In panel E of Figure 2 for 0900
 147 UTC, we see low clouds forming under a dense, precipitating cloud towards the east, indicating
 148 precipitation fog, and also low clouds without precipitation forming above them. This shows that
 149 both precipitation fog and cloud-base lowering fog are present within our simulation.

154 *b. Parameters*

155 We chose to test the sensitivity of fog to aerosols, cloud droplet shape parameter, surface
 156 deposition rate parameterization, and sea surface roughness. Table 1 shows the variables tested
 157 and their ranges within the suite of simulations. The subsections below detail the motivation for
 158 choosing these parameters, the assumptions behind them, and why the parameter range was chosen.



150 FIG. 2. Time series showing cross sections at 48.81 N of simulated cloud liquid water content (kg kg^{-1}) at 2
 151 hour intervals. The vertical dashed line represents the transition between precipitation and stratus lowering fog
 152 regimes. The solid black line shows longitudinal mean precipitation rate within the domain with scale shown in
 153 mm/hr by orange numbers at the right of each subplot.

Parameter	Min	Max	Sampling (log or linear)
Aerosol Number Concentration	50 cm^{-3}	1000 cm^{-3}	Log
Mean Aerosol Diameter	10 nm	500 nm	Log
Shape Parameter (Microphysics)	2	10	Linear
Shape Parameter (Radiation)	2	10	Linear
Turbulent Deposition Enhancement	1	4	Linear
Surface Roughness α	0.01	0.1	Log

159 TABLE 1. Table of input parameters for sensitivity testing showing minimum value, maximum value, and
 160 logarithmic or linear sampling.

161 1) AEROSOLS

162 For coastal fog and land fog, particle concentrations play a major role in both the formation and
 163 properties of fog. Witiw and LaDochy (2008) hypothesized that the decrease in coastal fog events
 164 in California observed since the 1970s has been due in part to reduced air pollution, with another
 165 major factor being changes in SST (Witiw and LaDochy 2008; O'Brien et al. 2013). Studies have
 166 found a correlation between aerosol number concentration and fog for radiation fog (Maalick et al.
 167 2016; Schwenkel and Maronga 2019) and marine fog formed where the air temperature is higher

168 than SST (Wainwright and Richter 2021). Maalick et al. (2016) and Wainwright and Richter
169 (2021) both find higher fog lifetime and liquid water content with higher aerosol concentration due
170 to increased droplet activation ([Gultepe and Isaac 1999](#)).

171 We chose to vary both aerosol number concentration and mean aerosol diameter. Both of
172 these serve to modify total aerosol mass concentration. In this study, we vary aerosol number
173 concentration from 50-1000 cm^{-3} , which covers the range from typical (clean) marine aerosol
174 concentrations to a reasonably polluted atmosphere. We expect most realistic situations for marine
175 air masses to be towards the lower end of this range (Fitzgerald 1991). As for aerosol diameter,
176 we used values between 10 and 500 nm. 10 nm is quite small and would correspond to the Aitken
177 mode. We expect most realistic situations to be somewhere in the middle of this range. Both
178 parameters are sampled logarithmically to attain greater sampling density at the lower ends of their
179 ranges.

180 2) SHAPE PARAMETERS

181 RAMS uses a double-moment bulk microphysics scheme which assumes a gamma size distribu-
182 tion for all hydrometeor species. Because this is a three-parameter distribution and we only predict
183 two moments of the distribution, one parameter of the size distribution must be specified. As in
184 many bulk schemes, the "shape parameter" is specified. The shape parameter is directly related
185 to the relative width of a distribution; a lower shape parameter means a wider size distribution for
186 a given mass and number concentration. In this study, we vary the shape parameter of the cloud
187 droplet size distribution. The shape parameter of a droplet distribution impacts cloud properties and
188 microphysical process rates, and thus cloud development (Igel and van den Heever 2017; Barthlott
189 et al. 2022). In terms of microphysical processes, we expect that the droplet shape parameter
190 will be important due to its effects on mean fall speed of droplet mass and number concentration
191 and on collision-coalescence. Sensitivity to the cloud droplet shape parameter has been found in
192 radiation fog previously (Boutle et al. 2022). The droplet shape parameter will also impact fog
193 formation by changing the rate of cloud-top radiative cooling, which is thought to be important
194 for stratus lowering fog (Pilié et al. 1979; Koraćin et al. 2001). In order to capture the effects of
195 microphysics and radiation separately, we used different assumed shape parameters for the micro-
196 physics and radiation parameterizations within the model. We varied the shape parameters used

197 for both radiation ~~and~~ (referred to as microphysics and radiation shape parameters, respectively)
198 and microphysics from 2 to 10, which is a realistic range for cloud droplet size distributions (Miles
199 et al. 2000).

200 3) DEPOSITION

201 When modeling clouds, it is typically assumed that the settling velocities of cloud droplets are
202 negligible—except with respect to collisions for autoconversion. However, since fog occurs at the
203 surface, gravitational settling leads to moisture loss through deposition. Findlater et al. (1989)
204 found that loss of moisture to the surface is among the most important factors in the formation
205 of marine fog off the coast of Scotland. Moisture loss to the surface through direct deposition of
206 cloud droplets as well as drizzle can alter the properties of the fog (Mazoyer et al. 2017; Taylor
207 2021; Taylor et al. 2021). More generally, the fall speed of larger droplets causes them to fall out
208 of fog, changing the fog’s properties (Koraćin et al. 2014).

209 In addition to the impact of gravitational settling, we expect fog droplet deposition to be enhanced
210 through turbulence (Taylor 2021). In the absence of a body of work detailing exactly how turbulence
211 might enhance settling and deposition, we decided to parameterize this process as a multiplicative
212 enhancement on the gravitational settling velocity that only applies to the lowest model level (the
213 lowest 10 m of the atmosphere). We used a range of 1 to 4 for our new turbulent deposition
214 enhancement parameter. This range is reasonable given a typical cloud droplet settling velocity of
215 1 cm/s and the deposition velocity of about 4 cm/s calculated using Eq. 8 of Taylor (2021) using
216 the height of our lowest scalar grid level (5 m) and 0.4 m/s for the friction velocity (this value
217 is approximately the 90th percentile value in our simulations). Additionally, waves may increase
218 surface deposition rates relative to gravitational settling velocity (Zufall et al. 1999), which has not
219 been accounted for in the equation from Taylor (2021).

220 4) SEA SURFACE ROUGHNESS

221 Since marine fog has been shown to be sensitive to turbulence and mean wind at the surface (Fu
222 et al. 2010), we investigated its sensitivity to marine surface roughness in addition to the micro-
223 physical parameter described above. Surface roughness impacts both mean wind and turbulence by
224 creating drag, which leads to shearing and the mechanical generation of turbulence. RAMS uses
225 the Charnock-Elison relation (Charnock 1955). The Charnock-Elison relation posits that marine

226 surface roughness is a function of friction velocity, u_* . Specifically, $z_0 = \frac{\alpha}{g} u_*^2$, where α is a constant
227 determined for each body of water. In RAMS, α is assumed to be 0.016 globally. However,
228 more recent studies have offered other parameterizations of z_0 for the ocean that sometimes lead
229 to dramatically higher roughness lengths, particularly in high-wind environments. For example
230 (Taylor and Yelland 2001) presents a formula based on wave height and period.

231 Rather than implement a new parameterization for sea surface roughness, we decided to vary α
232 within the Charnock-Ellison relation to reflect the overall uncertainty. We varied α from 0.01 to
233 0.1. Note that the default α , and indeed most calculated values of α , are towards the bottom of this
234 range. As a result, we assume that realistic values of α will be towards the lower end of our range,
235 but effective values near the upper end are possible under different environmental conditions.

236 *c. Simulation Suite*

237 The general methodology of this study follows that of Lee et al. (2011), Lee et al. (2013), Johnson
238 et al. (2015) and others. We set up a suite of 78 simulations with different combinations of our input
239 parameters. To do this, we used Latin Hypercube Sampling, which uses our different parameters as
240 orthogonal bases for a 6-dimensional space and constructs a hypercube within that space bounded
241 by the ranges of our parameters. This allows us to sample our parameter space.

242 We also had the choice of whether to sample each parameter in log space or linear space.
243 Sampling log space biases our samples towards the lower end of our range for a given parameter
244 and is preferable when the sensitivity of the outputs to a parameter is expected to be greater
245 at the lower end of the range. As discussed previously, aerosol number concentration, aerosol
246 diameter, and surface roughness α are sampled logarithmically whereas the other input parameters
247 are sampled linearly.

248 *d. Output Variables*

249 The fog present in our simulations needs to be described using a set of continuous values chosen
250 to be as descriptive as possible. However, we first need to define fog. For our purposes, fog is when
251 the presence of liquid water suspended in the air as cloud droplets reduces visibility ~~at 10 m above~~
252 ~~the surface (the first model level)~~ to less than 1 km (WMO 1974) (WMO 1974; Koraćin 2017) in
253 the lowest model grid level. Following Stoelinga and Warner (1999), visibility is calculated as

254 $3.912/\beta$ where β is the extinction in the visible band (245 - 700 nm) of the Harrington radiation
255 parameterization (Harrington 1997). To quantify simulation fogginess, we used both superlatives
256 and time-averaged quantities. Specifically, we examine the following six quantities:

257 1. The maximum spatial extent of the fog, found by counting the total number of grid cells
258 defined to be foggy and then finding the maximum at any one time.

259 2. The difference between fog onset and dissipation times to quantify the duration of our fog
260 event. Onset is defined when the fog condition is met anywhere in the domain except the 100
261 km nearest to the simulation edges due to nudging at the boundaries. Fog dissipation is defined
262 using mean 10 m liquid water concentration. Specifically, we assume that the fog event is over
263 when mean 10 m liquid water concentration drops below 5% of its maximum value. This is not
264 strictly the end of the fog event. However, we found that small patches of fog may linger for hours
265 after the majority of fog has dissipated and we did not feel that accounting for these patches best
266 characterized the bulk behavior of the fog. ~~Therefore, we chose this alternative definition of fog~~
267 ~~dissipation~~Additionally, there were some cases where fog became mist and then more fog formed.
268 To make onset and dissipation times consistent with the first onset and final dissipation of the main
269 fog patches, we chose different onset and dissipation conditions. Onset and dissipation times are
270 reported in ~~600 second~~10 minute intervals.

271 3. The time-integrated fog water, which effectively finds the mean fog spatial coverage and
272 thickness derived from vertically-continuous cloudy cells up to 800 m, the approximate height of
273 the capping inversion in our simulations.

274 4. The minimum visibility at the 10 m level achieved during the simulation.

275 5. The maximum 10 m droplet number concentration.

276 6. The maximum 10 m cloud water concentration.

277 The maximum fog extent and the fog duration together help to understand the fog water hours.
278 Likewise, the maximum surface water concentration and droplet concentration can together help
279 to explain the minimum visibility. Note that simulation output is available every 10 minutes to
280 assess these quantities.

281 *e. Gaussian Process Regression*

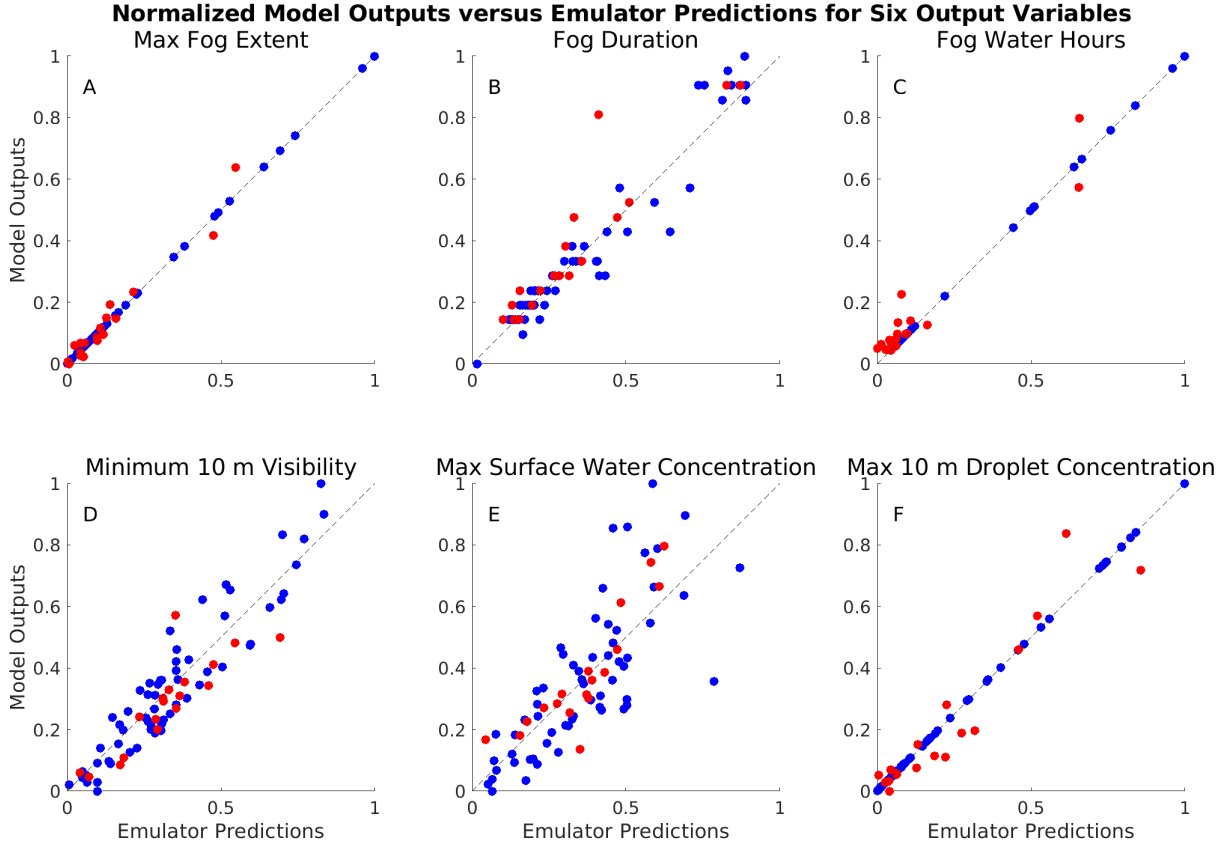
282 Using these outputs, we create statistical emulators using the first 60 simulations in the simulation
283 suite. To create each statistical emulator, we used Gaussian Process Regression to simultaneously
284 fit all of our input parameters to each of our output values. Gaussian process regression, or kriging,
285 is a non-parametric fitting approach that uses a stochastic process to interpolate a curve based on
286 sampled points (Williams and Rasmussen 2006; Lee et al. 2011, 2013; Johnson et al. 2015). Kriging
287 uses hyperparameters, like a covariance function, to tune the Bayesian interpolation process. We
288 created models using the MATLAB Gaussian Process Regression tools with a large number of
289 different basis function, kernel, and hyperparameter combinations and then evaluated these against
290 each other to determine the best performer for use in our analysis. Model performance was
291 evaluated based on several criteria and with the 18 reserved validator simulations. Specifically,
292 the evaluation metrics included 1) the mean of the 95% confidence interval of the emulator's
293 prediction for each data point normalized by the total data spread, 2) the fraction of validator points
294 that fell within the 95% confidence intervals, 3) the fractional reduction of root mean squared
295 error (RMSE) for the validator dataset relative to a constant function of the dataset mean, and 4)
296 the correlation coefficient between emulator predictions the outputs of the validator simulations.
297 These four scores, normalized confidence interval, confidence interval skill, RMSE reduction, and
298 correlation coefficient, were constructed to range from 0 to 1 and then summed together to create a
299 total score. For each output variable, the combination of fit parameters that produced the emulator
300 with the highest total score was chosen for use in analysis.

301 **3. Results**

302 *a. Overview*

303 Figure 3 shows the performance of our best emulators in predicting the output values from our
304 simulation suite in RAMS. There are no obvious systematic biases in the statistical emulators.
305 The prediction-value pairs are distributed more-or-less evenly about the one-to-one line in all
306 six subplots. It is worth noting that using machine learning to optimize fitting hyperparameters
307 sometimes led to fitting the emulator dataset extremely accurately, causing all the points in blue to
308 lie almost exactly on the one-to-one line. This likely indicates that we are overfitting the training
309 data, and evidence of overfitting is apparent in some of the figures that follow. However, when

310 evaluating the performance of our statistical emulator models, we looked primarily at how well it
 311 predicted the validator dataset, shown in red. Even in the cases of overfitting, the emulators predict
 312 the validator dataset reasonably well and we have confidence that they can correctly identify the
 313 primary factors that cause variance in the simulated fog properties.



314 FIG. 3. Plots of emulator predictions versus RAMS output values for six output variables. Training datasets
 315 plotted in blue and validator sets plotted in red. Dashed one-to-one line displayed for reference.

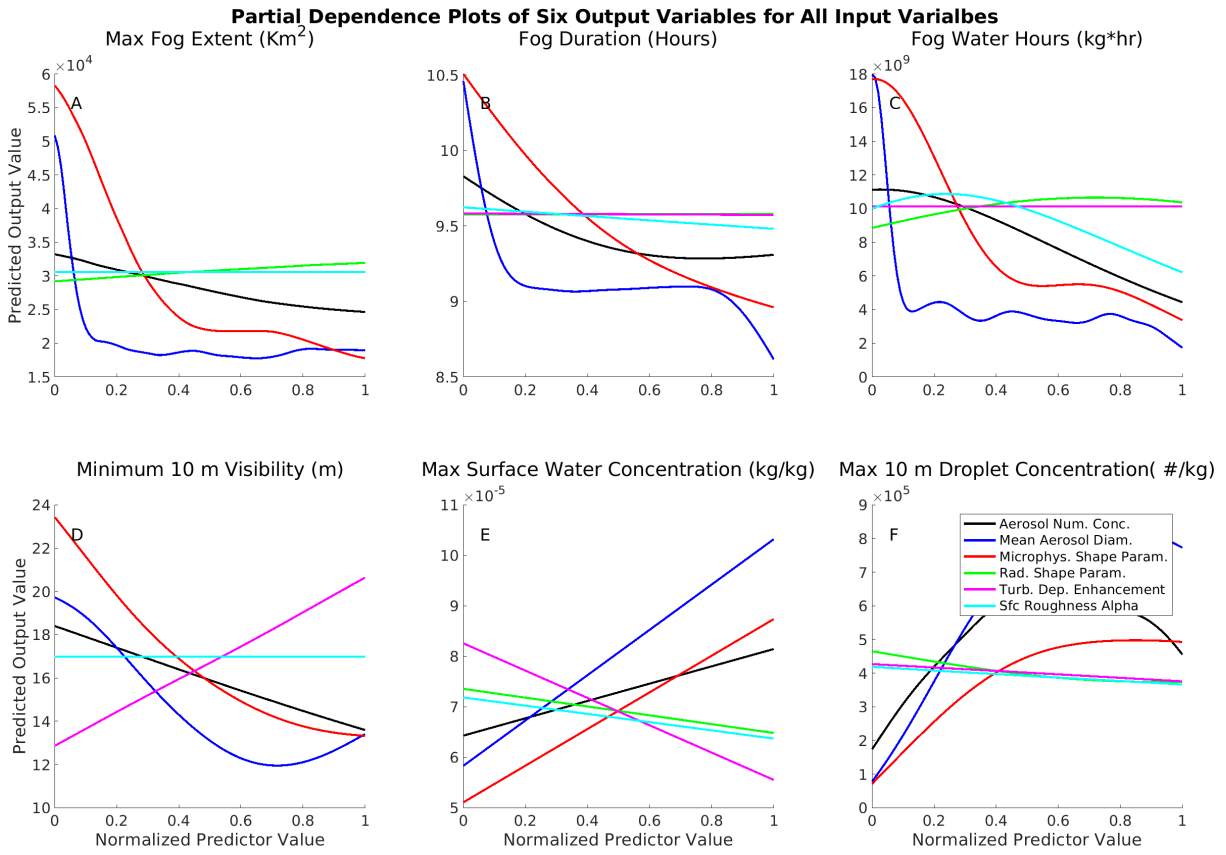
316 Figure 4 shows partial dependence plots for all six fog properties on all of the input parameters.
 317 Partial dependence plots are created by fixing one input value and finding the average output
 318 value over every combination of the other five input values, then repeating this process over the
 319 full range of each input value until curves have been created for all of them. The difference
 320 between the maximum and minimum of each partial dependence curve gives the approximate total
 321 sensitivity of the output value to that input parameter. Mean aerosol diameter (blue) is typically
 322 the most important input parameter, which we can identify by the fact that it has the greatest
 323 difference between its minimum and maximum values in each partial dependence plot. Most of

324 the sensitivity to the mean diameter is often for normalized values less than 0.2 which corresponds
325 to mean diameters of 10 - 108 nm. These sizes are smaller than those typical for marine boundary
326 layers (Porter and Clarke 1997) and as such the potential importance of the aerosol size is likely
327 exaggerated in these results. Above about 100 nm, where aerosol sizes are more realistic, there
328 is very little sensitivity to the aerosol size for most fog properties, and therefore, we won't focus
329 too much on understanding the impacts of aerosol size. Microphysics shape parameter (red) is the
330 next most important, followed by aerosol number concentration (black). We will focus on these
331 two input parameters in the remainder of the discussion. The effects of radiation shape parameter
332 (green), turbulent deposition enhancement (pink), and sea surface roughness α are generally small.
333 While the latter three parameters appear less important than the former three, that does not mean
334 that they do not impact fog. If only these parameters were varied, we would be able to see their
335 impacts more clearly, but our simulations appear to show that aerosol number concentration and
336 microphysical shape parameter have a far greater impact.

340 *b. Minimum Visibility*

341 The relationship between the input parameters and the minimum 10 m visibility align with
342 expectations (Figure 4d). The turbulent deposition enhancement, which increases the gravitational
343 settling rate for the lowest 10 m of the simulation, is positively correlated with minimum visibility.
344 Put another way, a higher gravitational settling rate near the surface leads to more moisture flux out
345 of this layer through deposition to the surface, leading to lower cloud liquid water concentration
346 (magenta line in Figure 4e) and higher visibility (Figure 4d). The impact of the turbulent deposition
347 enhancement comes primarily from the mass concentration of cloud liquid water concentration
348 rather than the number concentration of cloud droplets (Figure 4f) due to its preferentially impacting
349 larger droplets.

350 Higher aerosol number concentration and mean aerosol diameter are both positively correlated
351 to 10 m cloud droplet concentration (black and blue lines, respectively, in Figure 4f) and max
352 surface water concentration (Figure 4e). As a result, higher aerosol concentration and higher mean
353 diameter are correlated to lower minimum visibility (Figure 4d). More aerosols and larger aerosol
354 particles, which are easier to activate, lead to higher cloud droplet number concentration and lower



337 FIG. 4. Partial dependence plots for each of output variables with respect to all six input variables. All predictor
 338 values are normalized with their minima at zero and maxima at one on a linear scale. [Note that the turbulent](#)
 339 [deposition enhancement line is obstructed by the surface roughness alpha line in panel A.](#)

355 mean cloud droplet radius, which leads to less gravitational settling flux and more moisture content
 356 in the lowest 10 m.

357 The microphysics shape parameter is negatively correlated with minimum visibility (red line in
 358 Figure 4d). For a given number and mass concentration of droplets, a higher shape parameter
 359 means a narrower cloud droplet size distribution which in turn corresponds to two changes: a
 360 slower mass-weighted mean fall speed and reduced collision-coalescence. Both changes reduce
 361 the moisture loss to the surface, increasing the amount of cloud liquid water in the lowest 10 m.

362 In summary, minimum visibility is reduced by changes to the input parameters that lead to less
 363 gravitational settling and moisture loss to the surface, either directly through changes to turbulent
 364 deposition enhancement and microphysics shape parameter or indirectly through activation of
 365 more, smaller cloud droplets.

366 *c. Fog Extent*

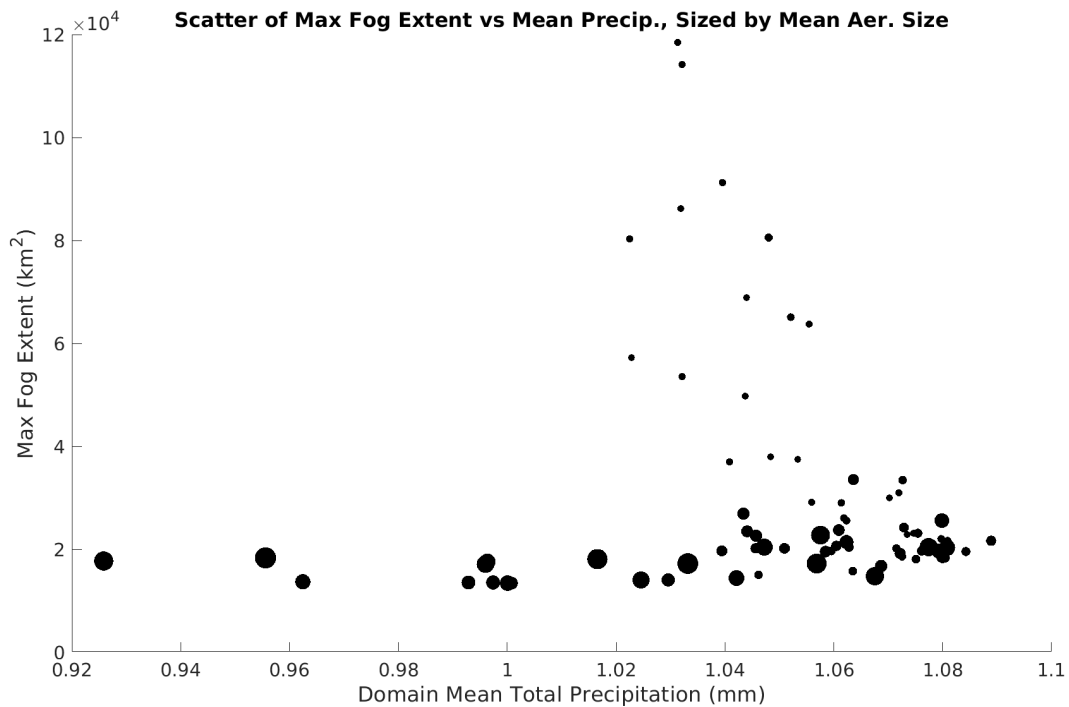
367 The reasons for the sensitivity of fog extent, duration, and water hours to the input parameters
368 are less apparent. The parameters that we have tested have the potential to impact the processes
369 leading to formation and maintenance of fog as well as those leading to removal and dissipation of
370 fog and all are important for controlling the fog extent and duration. As discussed previously, fog
371 seems to have formed due both to precipitation and stratus cloud base lowering. The trends in fog
372 with the input parameters may reflect local changes to these processes, but may also reflect changes
373 to synoptic scale precipitation. Dissipation may be driven by a local cloud base rise, evaporation,
374 and/or direction deposition to the surface. We will focus first on the direct deposition and the
375 synoptic precipitation, and then discuss more local formation and dissipation processes.

376 Deposition of moisture on the surface represents a significant portion of the moisture budget
377 of fog (Mazoyer et al. 2017). If changes to moisture loss through deposition were controlling
378 the partial dependencies of fog properties (Figure 4), then higher aerosol number (which leads to
379 smaller, more slowly falling droplets), higher microphysics shape parameter (which reduces drizzle
380 production), and lower turbulent deposition enhancement all would presumably have led to reduced
381 deposition to the surface and hence more fog. As already discussed, these reductions in deposition
382 are certainly reflected when examining visibility and surface water concentration. However, the
383 spatial extent of the fog is reduced, not increased, for both higher aerosol concentration and higher
384 microphysics shape parameter. The turbulent deposition enhancement, meanwhile, was found to
385 be unimportant. These results together suggest that fog water loss to the surface is not an important
386 limiting factor for the fog extent or duration, perhaps due to low-level convergence providing a
387 source of water vapor in the boundary layer (Boutle et al. 2010).

388 In terms of synoptic domain mean precipitation, a reasonable hypothesis is that fewer aerosols
389 and a lower microphysics shape parameter (wider droplet distribution) enhance precipitation by
390 increasing average droplet size and collision coalescence, moistening the boundary layer and
391 promoting fog formation. Such a process could occur in the synoptic precipitation ahead of the
392 fog formation, leading to a pre-moistening of the boundary layer, or it could happen locally in the
393 low cloud deck trailing the synoptic precipitation.

394 Enhanced fog formation driven by greater synoptic precipitation is not borne out by the data.
395 Figure 5 shows that the simulations with the most extensive fog also have above average synoptic

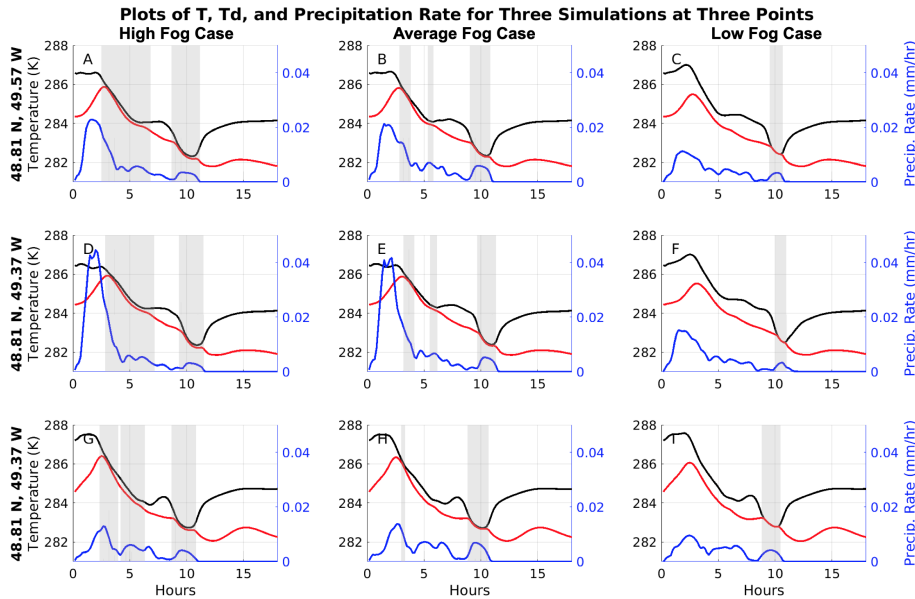
396 precipitation, but that the highest-precipitation simulations did not produce the most fog. Instead,
 397 we see two regimes, a low fog extent regime with a weak correlation to precipitation, and a high
 398 fog extent regime that correlates negatively with precipitation. The high fog regime requires both
 399 low ~~aerosol-size~~ mean aerosol diameter and low microphysics shape parameter. The negative
 400 correlation between fog extent and domain mean precipitation in the high fog regime is not related
 401 to fog losing moisture to the surface through the formation of drizzle and direct deposition of cloud
 402 droplets. Most of the precipitation precedes fog onset. Higher synoptic precipitation is not driving
 403 higher fog extent.



404 FIG. 5. Scatter plot of maximum fog extent versus domain mean accumulated precipitation, sized by mean
 405 aerosol size.

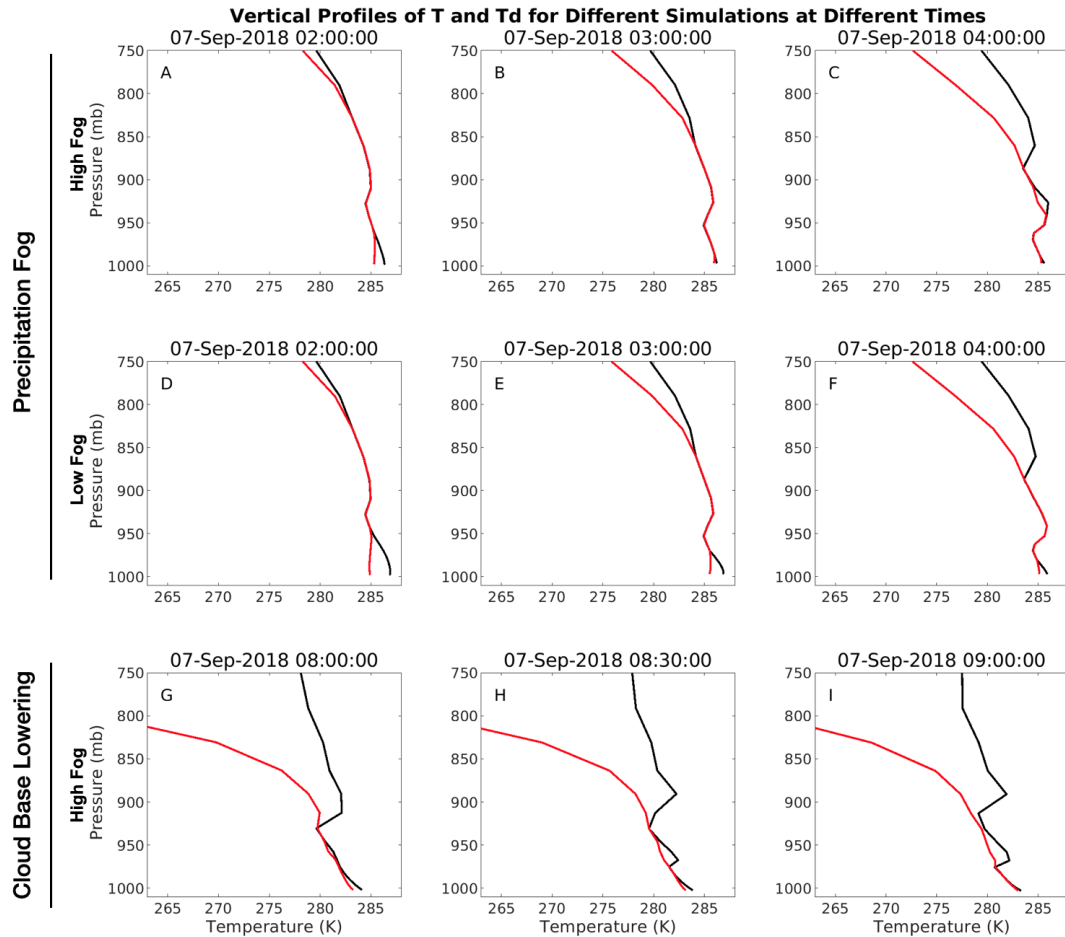
406 Perhaps then the relationship between microphysics and fog formation in this fog case is primarily
 407 driven by local precipitation effects. Figure 6 shows temperature, dew point, and precipitation over
 408 time for three points in each of three simulations, the most foggy, the closest-to-average foggy,
 409 and the least foggy. The plots suggest that light, local precipitation just ahead of fog formation is
 410 an important driver of fog formation in the early part of the simulation, but is not as significant

411 in the second part of the simulation. This indicates that there are two fog formations, one that is
 412 precipitation-driven, and one that is not, as also discussed in Section 5.2.1.



413 FIG. 6. Plot showing temperature (black) dew point temperature (dashed red), and precipitation rate (blue)
 414 for the foggiest simulation, the simulation closest to the mean fog water hours, and the least foggy simulation at
 415 locations corresponding to the foggiest points for each simulation. Shaded regions correspond to times when fog
 416 was present.

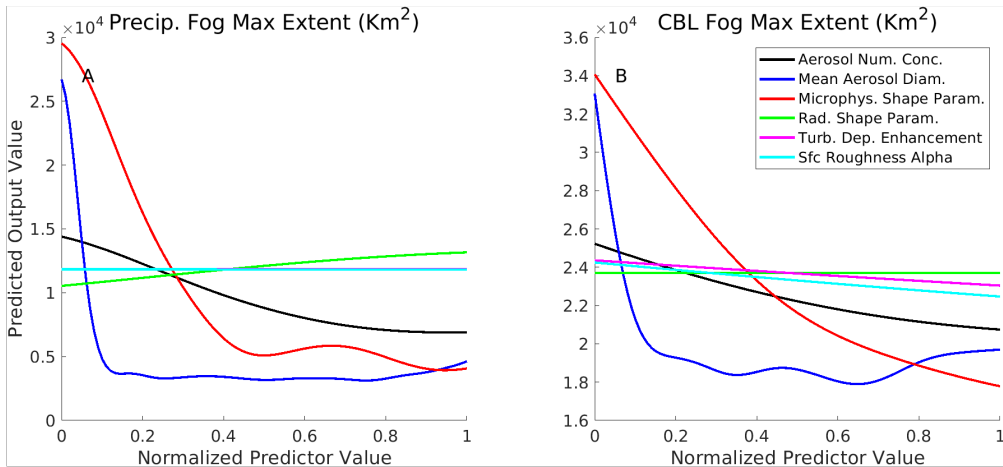
417 The two fog sub-events have different formation mechanisms. The first event appears to be
 418 precipitation fog while the second appears to be stratus lowering. Figure 7 shows temperature and
 419 dew point profiles for two sets of time series. The first time series compares ~~tests 60 and 67~~ the
 420 most and least foggy simulations for the first fog formation. A precipitating cloud moistens air
 421 below an inversion. In ~~test 60~~ the high fog test, the air saturates all the way down to the surface, but
 422 this does not occur in ~~test 67~~ the low fog test. This fog event forms in the manner of precipitation
 423 fog described by Tardif (2007). All simulations were broadly similar for the second time series (not
 424 shown), where a low cloud capped by an inversion grows downward until it contacts the surface,
 425 matching well with descriptions of stratus lowering fog (Oliver et al. 1978; Pilié et al. 1979). Since
 426 we appear to have fog forming by different mechanisms, we next attempt to separately assess the
 427 importance of the input parameters on each fog type and further explore the role of local processes
 428 in explaining the dependencies on these parameters.



429 FIG. 7. Vertical profiles of temperature and dew point showing fog formation or lack thereof during two time
 430 series. The first fog formation, hypothesized to be precipitation fog, occurred in high fog-most foggy test (60)
 431 but not in low fog-least foggy test (67). The second fog formation is hypothesized to be cloud base lowering and
 432 occurred in all simulations.

433 SUB-EVENT MICROPHYSICAL DEPENDENCIES

434 To investigate the two fog sub-events individually, we separate the simulation domain using a
 435 dividing line that moves eastward at a specified rate of 33 km/hr such that it falls between the two
 436 fog banks. We then performed the same sensitivity testing procedure on both the precipitation
 437 fog and the cloud base lowering fog separately. The resulting partial dependence plots are shown
 438 in figure 8. The emulator struggled with overfitting the cloud base lowering fog with respect to
 439 aerosol diameter, but inspection of the validation tests indicates that the emulator is nonetheless
 440 performing well (not shown).

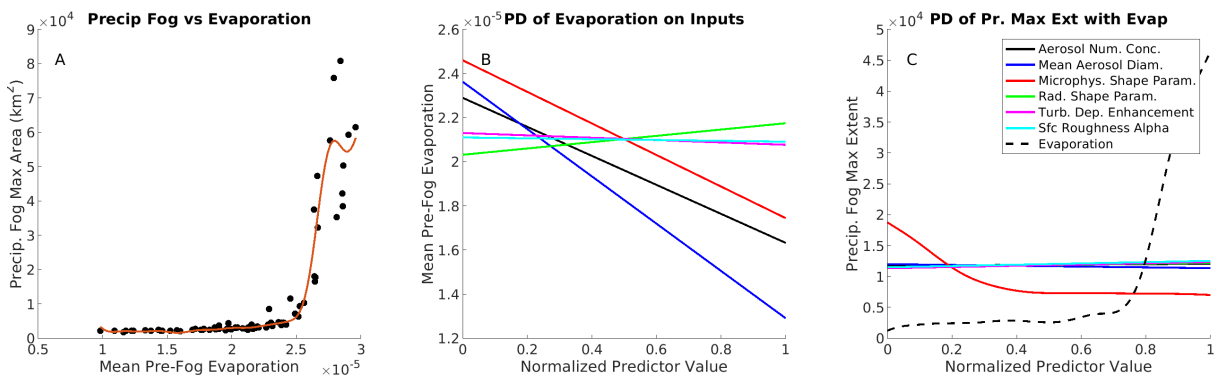


441 FIG. 8. Partial dependence plots of maximum fog extent and minimum visibility for the precipitation fog and
 442 cloud base lowering (CBL) fog formation sub-events.

443 Figure 6 anecdotally suggests that cases with more fog overall tend to have more fog in both
 444 sub-events and that each fog type depends on the input parameters in broadly similar ways. Indeed,
 445 the maximum fog extents of each sub event are strongly correlated to each other (not shown).
 446 However, the early, precipitation fog sub-event is far more sensitive to our input parameters (Figure
 447 8). Specifically, if we look at the ranges of our output parameters for each sub-event, we see
 448 roughly twice the range for precipitation maximum fog extent than cloud base lowering maximum
 449 fog extent. This shows that precipitation fog is the main source of spread between the models in
 450 terms of fog amount (Figure 4).

451 We find that precipitation fog is strongly related to mean below-cloud evaporation prior to fog
 452 onset, calculated as the mean evaporation east of the dividing line at the 10 m level, where precipita-
 453 tion fog extent is greater for more evaporation (Figure 9a). Notably, the simulations with the highest
 454 evaporation did not have the highest precipitation, both domain-wide and just ahead of fog onset.
 455 Rather, consistent with the sensitivity of fog extent (Figure 8a), more evaporation is associated with
 456 a smaller microphysics shape parameter and lower aerosol concentrations (Figure 9b). Both of
 457 these conditions should favor the production of drizzle within the cloud which subsequently evap-
 458 orates below cloud base. Because evaporation is strong, the drizzle doesn't necessarily reach the
 459 surface and these simulations don't necessarily have the largest local precipitation accumulations.
 460 Finally, if the mean below-cloud evaporation is included as a predictor in the emulator, then we

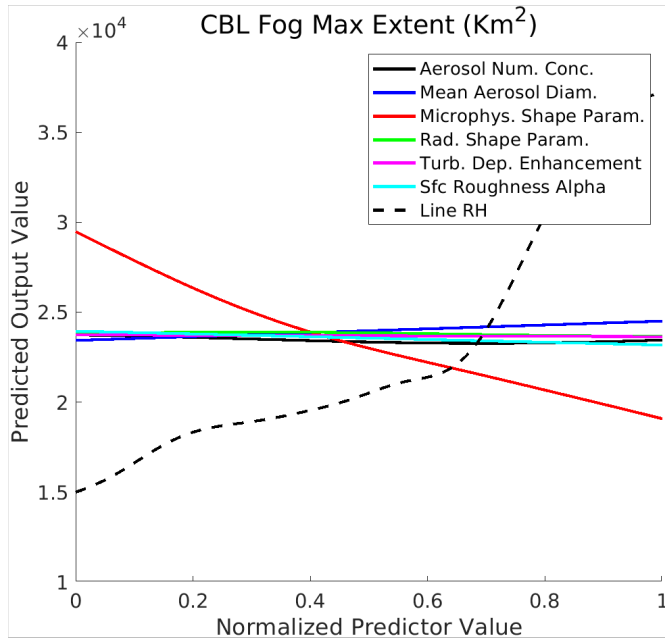
461 see that it accounts for most of the differences among simulations, with only microphysics shape
 462 parameter having an appreciable independent impact. This independent impact could possibly
 463 come from the direct influence of the microphysics shape parameter on evaporation rates. Igel and
 464 van den Heever (2017) found that cloud water with an underlying droplet ~~distributions~~ distribution
 465 characterized by a high shape ~~parameters~~ parameter will evaporate more quickly and that this
 466 increased evaporation rate is associated with reduced cloud fraction in shallow cumulus clouds. It
 467 is possible that a similar process is occurring here to limit fog extent when the shape parameter is
 468 high (Figure 8a and 9).



469 FIG. 9. Plots showing the relationship of mean 10 m evaporation east of the dividing line to max fog extent
 470 alone (left), the dependence of evaporation on all six input parameters (center), and a partial dependence plot of
 471 max fog extent on all input parameters with evaporation included as an extra predictor (right)

472 The cloud base lowering fog extent is dependent on the input parameters in a way that is very
 473 similar to precipitation fog (Figure 8). This may be because cloud base lowering fog is also
 474 influenced by sub-cloud evaporation processes or possibly because the precipitation primes the
 475 environment for the subsequent cloud base lowering fog. To test the extent to which the second,
 476 cloud base lowering sub-event is dependent on the precipitation fog that precedes it, we take the
 477 mean 10 m relative humidity at the dividing line described earlier (line RH) as a proxy for the
 478 conditions left behind by the precipitation fog. This line RH is then used as an input to the emulator.
 479 This gives us a way to isolate the impacts of our input parameters on cloud base lowering fog,
 480 albeit an imperfect one due to the relationship between our inputs and line RH.

483 Gaussian process regression of our input parameters and line RH yields the partial dependence
 484 plots shown in figure 10. Line RH is the most important factor in determining the amount of fog



481 FIG. 10. Partial dependence of cloud base lowering fog on all input parameters as well as the average relative
 482 humidity at the dividing line between the precipitation and cloud base lowering sub-events.

485 formed through cloud base lowering. More fog formation early in the simulation leads to higher
 486 relative humidity near the surface, which in turn enhances fog formation later in the simulation.
 487 Of the other parameters, only microphysics shape parameter remains significant. Microphysics
 488 shape parameter maintains its negative correlation to fogginess, much as it did for precipitation fog
 489 after sub-cloud evaporation is accounted for (Figure 9c). The reasons are unclear, but they could
 490 be related to changes in fog evaporation or possibly processes within the lowering cloud. Finally,
 491 despite the importance for cloud top radiative cooling in driving cloud base lowering (Koraćin
 492 et al. 2005a), this fog does not strongly depend on the radiation shape parameter. This may be
 493 because the shape parameter simply does not modify cloud top cooling rates enough to have a large
 494 impact, or that perhaps processes other than radiative cooling were more important for driving the
 495 fog formation.

496 4. Conclusion

497 This study examined the sensitivity of a marine fog event that occurred on 7 September 2018
 498 over the Grand Banks to six microphysical and turbulent parameters. Fog formation was driven

499 by a passing front and occurred in two distinct sub-events with different formation mechanisms.
500 The first sub-event formed as light precipitation from above evaporated in the boundary layer,
501 moistening the layer until it became saturated all the way down to the surface, creating fog. The
502 second fog formation resulted from low stratus clouds behind the front and capped by the top of the
503 boundary layer growing downwards until they reached the surface. Both fog types are driven by
504 the downward flux of liquid water. As a result, their sensitivities to microphysical input parameters
505 correspond to parameter combinations that typically lead to fewer, larger droplets that tend to
506 descend more quickly. Lower minimum 10 m visibility, which occurs when gravitational settling
507 flux is lower and less moisture is lost to the surface, is related to a lower spatial and temporal extent
508 of fog.

509 Among the input parameters, microphysics shape parameter is the most important. Mean aerosol
510 size has the greatest overall effect between its minimum and maximum values, but the sensitivity of
511 fog properties is confined to mean aerosol sizes between 10 and 50 nm, meaning that it is probably
512 irrelevant in real-world contexts. This leaves aerosol number concentration and microphysics shape
513 parameter as the two most important parameters, with the latter having a substantially larger effect
514 overall. Previous studies have found radiation fog to be sensitive to aerosol (Maalick et al. 2016;
515 Schwenkel and Maronga 2019; Wainwright and Richter 2021). These studies reported increased
516 fog with higher aerosol content, which is consistent with the minimum visibility trends from this
517 study. However, they also found increases in the fog lifetime, whereas here we see reduced fog
518 lifetimes and fog extents. Likely the difference is linked to the different types of fog studied and it
519 highlights the importance of studying different fog formation mechanisms independently.

520 The impact of the shape parameter of the cloud droplet size distribution is less well established,
521 but this case study indicates that it strongly impacts the behavior of fog. A narrower cloud droplet
522 size distribution is a distribution with a lower mean mass-weighted fall speed and one that will have
523 less collision-coalescence, leading to reduced drizzle formation. As such, and as was also seen in
524 radiation fog (Boutle et al. 2022), a narrower droplet size distribution decreases visibility at the
525 surface. In our study it also decreases fog extent and lifetime. The impacts of the shape parameter
526 on fog formation occur almost exclusively through microphysical processes rather than its direct
527 impact on the radiative properties of the cloud/fog system. Additionally, microphysics shape
528 parameter was the only input to have a significant independent impact on both the precipitation

529 and stratus lowering fog independent of either the relative humidity of the boundary layer between
530 the sub-events or by the boundary-layer evaporation prior to initial fog onset.

531 The substantial impact of microphysics shape parameter on fog in this set of simulations indicates
532 that better understanding and treatment of the cloud droplet size distribution as it pertains to fog is
533 an important area of further research. While measurements of the droplet size distribution in fog
534 are common, it is difficult to find quantification of the distribution width in the literature and we
535 are uncertain what the most realistic values of the shape parameter are. Additionally, the observed
536 bimodal size distribution found in many fog cases (Pinnick et al. 1978; Niu et al. 2012) may play
537 a significant role in the life-cycle of a fog case, requiring further research.

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540 *Data availability statement.* Model output every ten minutes for cloud water mixing ratio, cloud
541 droplet number concentration, and visibility is available at [https://farm.cse.ucdavis.edu/
542 ~aigel/fog_mwr_2022](https://farm.cse.ucdavis.edu/~aigel/fog_mwr_2022). Full model output is available every hour upon request, but due to the
543 size of the dataset (>2 TB) is not openly available.

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