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Permalink

<https://escholarship.org/uc/item/8hf3t66q>

Journal

Annals of K-Theory, 8(2)

ISSN

2379-1683

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Publication Date

2023

DOI

10.2140/akt.2023.8.275

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Divided powers in the Witt ring of symmetric bilinear forms

Burt Totaro

Marshall defined divided power operations in the Witt ring of symmetric bilinear forms over a field [11]. (In characteristic not 2, it is equivalent to talk about quadratic forms.) Similar operations have been considered by Rost, Garibaldi, and Garrel [13, 8], [7, section 19]. On the other hand, it follows from Garibaldi-Merkurjev-Serre's work on cohomological invariants that all operations on the Witt ring are essentially linear combinations of the exterior power operations [6, Theorem 27.16]. In this paper we find the explicit formula for the divided powers on the Witt ring as a linear combination of exterior powers. The coefficients are closely related to the "tangent numbers", the coefficients of the power series for $\tan x$, and thus to Bernoulli numbers [4, pp. 259–260]. In this way, the existence of divided powers on the Witt ring of symmetric bilinear forms is explained by an explicit definition in terms of linear algebra.

The coefficients in the formula are most important modulo 2, since the Witt ring $W(k)$ is an \mathbf{F}_2 -algebra for all fields k in which -1 is a square. We show that the coefficients of divided powers on the Witt ring in terms of exterior powers simplify modulo 2 to binomial coefficients. The divided powers on the Witt ring give another construction of the Rost-Serre-Kahn divided powers on Milnor K -theory modulo 2 [9] via the Milnor conjecture $I^n/I^{n+1} \cong K_n^M(k)/2$, proved by Orlov-Vishik-Voevodsky [12]. By Vial, the divided powers form a basis for all operations on the Milnor K -theory of fields [15].

This work was supported by NSF grant DMS-2054553. Thanks to Skip Garibaldi for his suggestions.

1 Notation

Let k be a field (any characteristic is allowed). A symmetric bilinear form over k means a finite-dimensional vector space with a nondegenerate symmetric bilinear form. For k of characteristic not 2, these can be identified with quadratic forms. We write $\langle a_1, \dots, a_n \rangle$ for the diagonal form $a_1x_1y_1 + \dots + a_nx_ny_n$. The Grothendieck-Witt ring $GW(k)$ is the Grothendieck group of symmetric bilinear forms over k , with addition corresponding to orthogonal direct sum and multiplication to tensor product. For k of characteristic not 2, Witt's cancellation theorem says that two symmetric bilinear forms over k are isomorphic if and only if they have the same class in $GW(k)$ [10, section II.1]. The Witt ring $W(k)$ is the quotient of $GW(k)$ by the subgroup generated by the hyperbolic plane \mathbf{H} ; this subgroup is in fact an ideal. For k of any characteristic, the bilinear form $\langle 1, -1 \rangle$ is zero in $W(k)$, by the isomorphism $\langle 1, 1, -1 \rangle \cong \langle 1 \rangle \perp \mathbf{H}$ [5, equation 1.16].

We can identify the ideal $I = \ker(W(k) \rightarrow \mathbf{Z}/2)$ of even-dimensional forms with $\ker(\dim : GW(k) \rightarrow \mathbf{Z})$. The Grothendieck-Witt ring $GW(k)$ has the advantage of being a λ -ring, using exterior powers of symmetric bilinear forms [6, section 27.1]. A reference on λ -rings is [1]. As is now standard, we call a λ -ring what [1] calls a special λ -ring.

Following [2, Definition 3.1], a divided power structure on an ideal I in a commutative ring R is a collection of functions $\gamma_n : I \rightarrow R$ for $n \geq 0$ such that:

- (1) $\gamma_0(x) = 1$, $\gamma_1(x) = x$, $\gamma_n(x) \in I$ for $n > 0$ and $x \in I$.
- (2) $\gamma_n(x + y) = \sum_{i=0}^n \gamma_i(x)\gamma_{n-i}(y)$ for $x, y \in I$.
- (3) $\gamma_n(ax) = a^n \gamma_n(x)$ for $a \in R$, $x \in I$.
- (4) $\gamma_m(x)\gamma_n(x) = \binom{m+n}{m} \gamma_{m+n}(x)$ for $x \in I$.
- (5) $\gamma_n(\gamma_m(x)) = \frac{(mn)!}{(m!)^n n!} \gamma_{mn}(x)$ for $x \in I$. (The coefficient is an integer.)

Here relation (4) implies that $n! \gamma_n(x) = x^n$, and so every ideal in a commutative \mathbf{Q} -algebra has a unique divided power structure defined by $\gamma_n(x) = x^n/n!$; this explains where the axioms come from.

2 The formula for divided powers

We give a formula for the divided power structure on the ideal I of even-dimensional forms in the Witt ring localized at 2. In general, it is necessary to localize the Witt ring at 2 to have divided powers, as one sees by looking at the Witt ring of the real numbers, $W(\mathbf{R}) = \mathbf{Z}$. Note that localizing at 2 makes very little difference for Witt rings. Indeed, Pfister showed that there is no odd torsion in $W(k)$, and so $W(k)$ always injects into $W(k)_{(2)}$. Moreover, if -1 is a sum of squares in k (which holds in all fields of positive characteristic, for example) then $W(k)$ is killed by some power of 2 and hence is equal to its localization at 2 [10, Theorem VIII.3.2].

Theorem 2.1 *Let k be a field. Then the ideal $I_{(2)} = \ker(W(k) \rightarrow \mathbf{Z}/2)_{(2)}$ in the Witt ring localized at 2 has a divided power structure defined by*

$$\gamma_n(x) = \sum_{i \geq 0} (-1)^{(n-i)/2} (i!/n!) T(n, i) \lambda^i(x),$$

where $T(n, i)$ are the “tangent numbers” defined by

$$\frac{(\tan t)^i}{i!} = \sum_{n \geq i} T(n, i) t^n / n!.$$

Here we identify $I_{(2)}$ with $\ker(GW(k) \rightarrow \mathbf{Z})_{(2)}$, in which exterior powers are defined. The coefficients in the formula for $\gamma_n(x)$ are 2-local integers, so that the formula makes sense in $W(k)_{(2)}$.

Note that the divided power operations are not the “gamma operations” which exist in any λ -ring. The sign in the formula makes sense because $T(n, i)$ is nonzero only for $i \equiv n \pmod{2}$. Various formulas for tangent numbers are discussed by Comtet [4, pp. 259–260], from which the following table is taken. Many references on tangent numbers can be found in [14].

$n \setminus i$	0	1	2	3	4	5	6	7	8	9
0	1									
1		1								
2			1							
3		2		1						
4			8		1					
5		16		20		1				
6			136		40		1			
7		272		616		70		1		
8			3968		2016		112		1	
9		7936		28160		5376		168		1

The numbers in column 1, giving the coefficients of the Taylor series of the tangent function, are closely related to Bernoulli numbers, in the sense that

$$T(2n+1, 1) = (-1)^{n-1} B_{2n} 4^n (4^n - 1) / 2n.$$

Therefore, one cannot expect too simple a formula for the coefficients of γ_n in terms of exterior powers, for an arbitrary field. The first few formulas are:

$$\begin{aligned} \gamma_1(x) &= x \\ \gamma_2(x) &= \lambda^2(x), \\ \gamma_3(x) &= \lambda^3(x) - (1/3)x \\ \gamma_4(x) &= \lambda^4(x) - (2/3)\lambda^2(x). \end{aligned}$$

On the other hand, for fields in which -1 is a square, Corollary 3.1 below gives a simple formula for the divided powers γ_n .

Proof of Theorem 2.1. The axioms imply that a divided power structure on an ideal I in a commutative $\mathbf{Z}_{(2)}$ -algebra R is uniquely determined by the operation γ_2 . Moreover, a function $\gamma_2 : I \rightarrow I$ gives a divided power structure exactly when it satisfies $\gamma_2(x+y) = \gamma_2(x) + xy + \gamma_2(y)$ and $\gamma_2(ax) = a^2\gamma_2(x)$ for $x, y \in I$, $a \in R$. See Berthelot-Ogus [3, Appendix] for the analogous description of divided power structures in $\mathbf{Z}_{(p)}$ -algebras for any prime number p . (They also assume that $2\gamma_2(x) = x^2$, but that follows from the formulas mentioned.)

Thus we can define a divided power structure on the ideal $I_{(2)} = \ker(GW(k) \rightarrow \mathbf{Z}_{(2)})$ in $GW(k)_{(2)}$ by declaring that $\gamma_2(x) = \lambda^2(x)$ for $x \in I_{(2)}$. Here the first identity $\lambda^2(x+y) = \lambda^2(x) + xy + \lambda^2(y)$ is a standard property of exterior powers (it holds in any λ -ring). The second property is special to the Grothendieck-Witt ring. Indeed, for all elements a, x of a λ -ring, we have

$$\lambda^2(ax) = a^2\lambda^2(x) + \lambda^2(a)\psi^2(x),$$

where ψ^2 is the Adams operation defined by $\psi^2(x) = x^2 - 2\lambda^2(x)$. So it suffices to show that ψ^2 is zero on the ideal I in $GW(k)$. Since Adams operations are ring homomorphisms, this is easy. View any element of I as the difference of two symmetric bilinear forms of the same dimension, and we have:

$$\begin{aligned} \psi^2(\langle a_1, \dots, a_n \rangle - \langle b_1, \dots, b_n \rangle) &= \langle a_1^2, \dots, a_n^2 \rangle - \langle b_1^2, \dots, b_n^2 \rangle \\ &= \langle 1, \dots, 1 \rangle - \langle 1, \dots, 1 \rangle \\ &= 0. \end{aligned}$$

Thus we have constructed a divided power structure on the ideal $I_{(2)} = \ker(GW(k) \rightarrow \mathbf{Z})_{(2)}$. This is the same divided power structure as that constructed by Marshall [11]. It is immediate that these operations give a divided power structure on $I_{(2)}$ as an ideal in the Witt ring, $I_{(2)} = \ker(W(k) \rightarrow \mathbf{Z}/2)_{(2)}$.

From the axioms for a divided power structure, all the operations γ_n on $I_{(2)}$ are $\mathbf{Z}_{(2)}$ -polynomials in iterates of $\gamma_2 = \lambda^2$. But the Grothendieck-Witt ring of a field has extra properties not valid in an arbitrary λ -ring. In particular, $\lambda^a(x)\lambda^b(x)$ is a \mathbf{Z} -linear combination of the exterior powers $\lambda^m(x)$ for $m \leq a + b$, and $\lambda^a(\lambda^b(x))$ is a \mathbf{Z} -linear combination of $\lambda^m(x)$ for $m \leq ab$ [6, chapter 27]. Therefore we have

$$\gamma_n(x) = \sum_{i \leq n} a(n, i) \lambda^i(x)$$

for some universal coefficients $a(n, i)$ in $\mathbf{Z}_{(2)}$ (independent of the field k). We want to determine these coefficients.

We first give the formulas for $\lambda^a(x)\lambda^b(x)$ and $\lambda^2(\lambda^a(x))$, which can be proved by a direct computation using diagonal forms.

Lemma 2.2 *Let k be a field. For any $x \in I = \ker(GW(k) \rightarrow \mathbf{Z})$,*

$$\lambda^a(x)\lambda^b(x) = \sum_{j \geq 0} \binom{a+b-2j}{a-j} \binom{-a-b+2j}{j} \lambda^{a+b-2j}(x)$$

and

$$\lambda^2(\lambda^a(x)) = \sum_{j \geq 0} \frac{1}{2} \binom{2a-2j}{a-j} \binom{-2a+2j}{j} \lambda^{2a-2j}(x).$$

The coefficients in these formulas are integers.

To determine the coefficients for $\gamma_n(x)$, we can work in a field k in which $x, \lambda^2(x), \dots, \lambda^n(x)$ are linearly independent in $W(k) \otimes \mathbf{Q}$ for some $x \in I$ (or, equivalently, x, x^2, \dots, x^n are linearly independent in $W(k) \otimes \mathbf{Q}$). For example, this holds for k a suitable rational function field over the real numbers. In this situation, we do not lose any information by tensoring $W(k)$ with the rationals, where the divided powers are simply $\gamma_n(x) = x^n/n!$. In particular, we have $\gamma_{n+1}(x) = x\gamma_n(x)/(n+1)$ for all $n \geq 0$. Also, we have

$$x\lambda^i(x) = (i+1)\lambda^{i+1}(x) - (i-1)\lambda^{i-1}(x)$$

by Lemma 2.2. This gives a recurrence relation for the numbers $a(n, i) \in \mathbf{Z}_{(2)}$:

$$a(n+1, i) = \frac{i}{n+1} (a(n, i-1) - a(n, i+1)).$$

Since $\gamma_0(x) = 1$, the numbers $a(0, i)$ in the 0th row are 1 for $i = 0$ and 0 otherwise. By the recurrence relation, we have $a(n, i) = 0$ unless $n \equiv i \pmod{2}$. The statement we are trying to prove suggests defining rational numbers $b(n, i)$ by $a(n, i) = (-1)^{(n-i)/2} (i!/n!) b(n, i)$ for $i, n \geq 0$. The recurrence relation for $a(n, i)$ implies that

$$b(n+1, i) = b(n, i-1) + i(i+1)b(n, i+1).$$

But this is exactly the recurrence relation satisfied by the tangent numbers $T(n, i)$ defined by

$$(\tan t)^i/i! = \sum_{n \geq i} T(n, i)t^n/n!$$

[4, p. 259]. To check that, differentiate this formula for $(\tan t)^i/i!$, using that the derivative of $\tan t$ is $1 + (\tan t)^2$. QED

3 Divided powers when -1 is a square

We now show that the coefficients in the formula for divided powers in the Witt ring simplify to binomial coefficients modulo 2. This is relevant to fields k in which -1 is a square, since then $W(k)$ is an \mathbf{F}_2 -algebra.

Corollary 3.1 *Let k be a field in which -1 is a square. Then the ideal $I = \ker(W(k) \rightarrow \mathbf{Z}/2)$ has a divided power structure defined by the formula*

$$\gamma_n(x) = \sum_j \binom{n}{j} \lambda^{n-2j}(x).$$

Here we identify I with $\ker(GW(k) \rightarrow \mathbf{Z})$, in which exterior powers are defined.

For example, when -1 is a square in k , we have:

$$\begin{aligned} \gamma_1(x) &= x \\ \gamma_2(x) &= \lambda^2(x) \\ \gamma_3(x) &= \lambda^3(x) + x \\ \gamma_4(x) &= \lambda^4(x). \end{aligned}$$

Proof. As shown in the proof of Theorem 2.1, $I_{(2)} = \ker(GW(k) \rightarrow \mathbf{Z})_{(2)}$ has a unique divided power structure such that $\gamma_2(x) = \lambda^2(x)$. Since we now assume that -1 is a square in k , $I = I_{(2)}$ is killed by 2. By repeated application of the formula for $\gamma_n(\gamma_m(x))$ in a divided power ideal, it follows that $\gamma_{2^r}(x) = (\gamma_2)^r(x)$ for $x \in I$.

Next, by Lemma 2.2,

$$\lambda^2(\lambda^{2^r}(x)) = \sum_{j \geq 0} \frac{1}{2} \binom{2^{r+1} - 2j}{2^r - j} \binom{-2^{r+1} + 2j}{j} \lambda^{2^{r+1} - 2j}(x).$$

Consider the first binomial coefficient here: $(1/2)\binom{2n}{n}$ is 0 modulo 2 except when n is a power of 2, where it is 1 modulo 2. Since we are working modulo 2 (as -1 is a square in k), most terms in the sum disappear and we have

$$\lambda^2(\lambda^{2^r}(x)) = \sum_{s=0}^r \binom{-2^{s+1}}{2^r - 2^s} \lambda^{2^{s+1}}(x).$$

The coefficient here is 0 modulo 2 for $s < r$ and 1 modulo 2 for $s = r$. We conclude that

$$\lambda^2(\lambda^{2^r}(x)) = \lambda^{2^{r+1}}(x).$$

Therefore, by induction, $\gamma_{2^r}(x) = (\lambda^2)^r(x) = \lambda^{2^r}(x)$ for $x \in I$. This proves Corollary 3.1 for $n = 2^r$, since $\binom{2^r}{j} = 0 \pmod{2}$ for $0 < j < 2^r$.

Let $n = 2^{i_0} + \dots + 2^{i_r}$ be the binary expansion of n . Then $\gamma_n(x)$ is a constant in $\mathbf{Z}_{(2)}^*$ times $\gamma_{2^{i_0}}(x) \cdots \gamma_{2^{i_r}}(x)$ for $x \in I$. Since we can work modulo 2,

$$\begin{aligned}\gamma_n(x) &= \gamma_{2^{i_0}}(x) \cdots \gamma_{2^{i_r}}(x) \\ &= \lambda^{2^{i_0}}(x) \cdots \lambda^{2^{i_r}}(x).\end{aligned}$$

We want to show that this equals $\sum_{j \geq 0} \binom{n}{j} \lambda^{n-2j}(x)$.

We prove this formula by induction on the number of ones in the binary expansion of n . Thus, we suppose that the formula holds for $m := 2^{i_1} + \dots + 2^{i_r}$, and we will prove it for $n = 2^{i_0} + m$, where $i_0 < i_1 < \dots < i_r$. We have, for $x \in I$,

$$\begin{aligned}\gamma_n(x) &= \lambda^{2^{i_0}}(x) \cdots \lambda^{2^{i_r}}(x) \\ &= \lambda^{2^{i_0}}(x) \sum_{j \geq 0} \binom{m}{j} \lambda^{m-2j}(x) \\ &= \sum_{j, l \geq 0} \binom{m}{j} \binom{2^{i_0} + m - 2j - 2l}{2^{i_0} - l} \binom{-2^{i_0} - m + 2j + 2l}{l} \lambda^{n-2j-2l}(x),\end{aligned}$$

using Lemma 2.2. Here $\binom{m}{j}$ is 0 modulo 2 unless $2^{i_1} | j$, since m is a multiple of 2^{i_1} ; so we can assume that 2^{i_1} divides j in the sum. So $2^{i_0} | (2^{i_0} + m - 2j)$. If $2^{i_0} \nmid l$, then the bottom number in the binomial coefficient $\binom{2^{i_0} + m - 2j - 2l}{2^{i_0} - l}$ has lowest binary digit 1 where the top number has digit 0, and so the binomial coefficient is 0 modulo 2. So we can also assume that $2^{i_0} | l$ in the above sum. But the binomial coefficient just mentioned is also 0 if $l > 2^{i_0}$, and so we have $l = 0$ or $l = 2^{i_0}$. That is,

$$\gamma_n(x) = \sum_{j \geq 0} \binom{m}{j} \binom{n-2j}{2^{i_0}} \lambda^{n-2j}(x) + \sum_{j \geq 0} \binom{m}{j} \binom{2^{i_0} - m + 2j}{2^{i_0}} \lambda^{n-2^{i_0}+1-2j}(x).$$

As we mentioned, the terms in these sums are 0 unless $2^{i_1} | j$. Given that $2^{i_1} | j$, we have $n - 2j \equiv 2^{i_0} \pmod{2^{i_0+1}}$, and hence the binomial coefficient $\binom{n-2j}{2^{i_0}}$ in the left sum is 1 modulo 2 for $0 \leq j \leq n/2$ and $2^{i_1} | j$. (We only need to consider j at most $n/2$ since we are studying the coefficient of $\lambda^{n-2j}(x)$.) Likewise for the negative binomial coefficient in the right sum, above: 2^{i_1} divides $m - 2j$, and so $\binom{2^{i_0} - m + 2j}{2^{i_0}}$ is 1 modulo 2 for $0 \leq j \leq n/2$ and $2^{i_1} | j$. Thus

$$\begin{aligned}\gamma_n(x) &= \sum_{j \geq 0} \binom{m}{j} \lambda^{n-2j}(x) + \sum_{j \geq 0} \binom{m}{j} \lambda^{n-2^{i_0}+1-2j}(x) \\ &= \sum_{j \geq 0} \left[\binom{m}{j} + \binom{m}{j-2^{i_0}} \right] \lambda^{n-2j}(x).\end{aligned}$$

The coefficient in the last sum is 0 modulo 2 unless $2^{i_0} | j$; note that the index j in this sum is either the j in the previous sums, which is a multiple of 2^{i_1} , or else the old j plus 2^{i_0} . We know that $\binom{u}{v} + \binom{u}{v-1} = \binom{u+1}{v}$. Since multiplying the top and bottom numbers by a power of 2 does not change a binomial coefficient modulo

2, we have $\binom{2^i u}{2^i v} + \binom{2^i u}{2^i v - 2^i} \equiv \binom{2^i u + 2^i}{2^i v} \pmod{2}$. Since $m + 2^{i_0} = n$, this simplifies our formula for $\gamma_n(x)$ to:

$$\gamma_n(x) = \sum_{j \geq 0} \binom{n}{j} \lambda^{n-2j}(x).$$

This completes the inductive proof of this formula when -1 is a square in k . QED

4 Comparison with Milnor K -theory

It is straightforward to compute the divided powers of Pfister forms in the Witt ring. (By definition, a 1-fold Pfister form $\langle\langle a \rangle\rangle$, for $a \in k^*$, means the 2-dimensional symmetric bilinear form $\langle 1, -a \rangle$, and an r -fold Pfister form $\langle\langle a_1, \dots, a_r \rangle\rangle$ means the tensor product $\langle\langle a_1 \rangle\rangle \cdots \langle\langle a_r \rangle\rangle$.) For example, one checks by induction on r that

$$\begin{aligned} \gamma_2 \langle\langle a_1, \dots, a_r \rangle\rangle &= \langle\langle a_1, \dots, a_r \rangle\rangle \langle\langle -1 \rangle\rangle^{r-1} \\ &= 2^{r-1} \langle\langle a_1, \dots, a_r \rangle\rangle. \end{aligned}$$

In particular, if -1 is a square in k (so that $2 = 0$ in $W(k)$), then

$$\gamma_2 \langle\langle a_1, \dots, a_r \rangle\rangle = \begin{cases} \langle\langle a_1 \rangle\rangle & \text{if } r = 1 \\ 0 & \text{if } r \geq 2. \end{cases}$$

As a result, when -1 is a square, we get simple formulas for the divided powers of Pfister forms: for $n > 0$,

$$\gamma_n \langle\langle a \rangle\rangle = \begin{cases} \langle\langle a \rangle\rangle & \text{if } n \text{ is a power of } 2 \\ 0 & \text{otherwise} \end{cases}$$

and, for $r \geq 2$ and $n > 0$,

$$\gamma_n \langle\langle a_1, \dots, a_r \rangle\rangle = \begin{cases} \langle\langle a_1, \dots, a_r \rangle\rangle & \text{if } n = 1 \\ 0 & \text{otherwise} \end{cases}$$

This calculation plus the formal properties of divided powers imply a simple formula for the divided powers of any element of I^r , written as a sum of r -fold Pfister forms s_i , when $r \geq 2$ and -1 is a square:

$$\gamma_n \left(\sum_{i=1}^r s_i \right) = \sum_{1 \leq i_1 < \dots < i_n \leq r} s_{i_1} \cdots s_{i_n}.$$

This implies:

Corollary 4.1 *If -1 is a square in a field k , then the divided power γ_n maps $I^r \subset W(k)$ into I^{nr} for $r \geq 2$. This operation is compatible with the divided power operation on Milnor K -theory modulo 2, $\gamma_n : K_r^M(k)/2 = I^r/I^{r+1} \rightarrow K_{nr}^M(k)/2 = I^{nr}/I^{nr+1}$.*

Indeed, divided powers on Milnor K -theory modulo 2 are defined exactly when -1 is a square and $r \geq 2$, and in that case they are defined by the same formula as above (where s_i are symbols $\{a_1, \dots, a_r\}$ in $K_r^M(k)/2$) [9, 15].

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