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### Title

Quantifying the Capacity for Assisted Migration to Achieve Conservation and Forestry Goals Under Climate Change

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1 **Quantifying the capacity for assisted migration to achieve**

2 **conservation and forestry goals under climate change**

3 **Modeling goals of tree assisted migration**

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5  
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15 0934.

16  
17 **Acknowledgement**

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23  
24  
25 **Abstract**

26 **Aim**

27 Many tree species may be threatened with declines in range and biomass, or even extinction, if  
28 they cannot disperse or adapt quickly enough to keep pace with climate change. One potential, and  
29 potentially risky, strategy to mitigate this threat is assisted migration, the intentional movement of  
30 species to facilitate population range shifts to more climatically suitable locations under climate  
31 change. The ability for assisted migration to minimize risk and maximize conservation and forestry  
32 outcomes depends on a multi-faceted decision process for determining, what, where, and how  
33 much to move. We provide an assessment on how the benefits and risks of assisted migration could  
34 affect the decision-making process.

35  
36 **Location**

37 Mountainous coastal western United States.

38

39 Taxon

40 Trees

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42 Methods

43 We used a dynamic vegetation model parameterized with 23 tree species.

44

45 Results

46 We found that most of the modeled species are likely to experience a substantial decline in biomass,  
47 with many potentially facing regional extinction by 2100 under the high-emission SSP5-85  
48 climate-change scenario. Though simulations show assisted migration had little effect on the  
49 forestry goal of total biomass across all species, its effects on the conservation goal of promoting  
50 individual species' persistence were far more substantial. Among eight assisted migration  
51 strategies (differing in the life cycle stage of movement and target destination selection criteria),  
52 the approach that conserved the highest biomass for individual species involved relocating target  
53 seedlings to areas that recently experienced fire. Although this strategy significantly reduced  
54 extinction risk for six at-risk species compared to no action, it also slightly reduced biomass of  
55 four species, due to increasing competition. Species with relatively weak tolerance to drought, fire,  
56 or high temperature were the most likely candidate groups for assisted migration.

57

58 Main Conclusions

59 Our simulations indicate that assisted migration (AM) can aid conservation by reducing extinction  
60 risks for species vulnerable to climate change, but it has limited impact on forestry-specific goals,  
61 affecting overall biomass minimally. This model framework could be applied to other forest  
62 ecosystems to evaluate the efficacy of assisted migration globally.

63

64 **Keywords:** Assisted migration, dynamic vegetation model, forestry, climate change, range shift,  
65 biomass loss, management

66

67 **Introduction**

68 Climate change poses a significant threat to species unable to adapt, acclimatize, or disperse  
69 effectively (Davis Margaret & Shaw Ruth, 2001; Holt, 1990), particularly affecting foundation  
70 species like forest trees (Coops & Waring, 2011; Kreyling et al., 2011; Stanke et al., 2021; Tejedor  
71 Garavito et al., 2015). This inability can lead to major ecosystem disruptions, as already observed  
72 in forests facing severe droughts, altered fire patterns, and increased climate variability (M. I.  
73 Williams & Dumroese, 2013). Predictions suggest many tree species' habitats will shift or diminish  
74 due to climate change, especially impacting species with poor dispersal abilities, low

75 acclimatization capacity, or limited genetic diversity, potentially leading to range reduction,  
 76 biomass loss, or extinction (Nathan et al., 2011; M. I. Williams & Dumroese, 2013; Zhu et al.,  
 77 2012).

78 Assisted migration (AM), or managed relocation, is a proposed strategy to preserve at-risk  
 79 tree species and ecosystem services by intentionally moving species to more suitable locations  
 80 (McLachlan et al., 2007; M. I. Williams & Dumroese, 2013). While AM could enhance survival  
 81 chances of vulnerable species, it is not without risks and has sparked debate over the potential for  
 82 relocation failures and negative impacts on recipient ecosystems (Champagne et al., 2021; Chen  
 83 et al., 2021; Hewitt et al., 2011; Kreyling et al., 2011; Minter & Collins, 2010; Ricciardi &  
 84 Simberloff, 2009; Richardson et al., 2009; Simler et al., 2019).

85 Assisted migration in forest systems can serve either forestry or conservation goals, or  
 86 potentially both (Pedlar et al., 2012). Among many other objectives, forestry goals often focus on  
 87 forest productivity, and conservation goals typically focus on species persistence including targets  
 88 for range and biomass, with diversity potentially connecting to both goals (M. I. Williams &  
 89 Dumroese, 2013). Though at some level forestry might prioritize the conservation of particular  
 90 species and conservation may aim to preserve biomass, in this paper we consider “forestry goals”  
 91 as synonymous with total biomass conservation and “conservation goals” as synonymous with  
 92 individual species biomass conservation. AM's effectiveness at species or community levels  
 93 depends on how relocated populations compete with non-target species and contribute to overall  
 94 system productivity (M. I. Williams & Dumroese, 2013). Achieving conservation and forestry  
 95 goals through AM, while reducing associated risks, involves decisions about which species to  
 96 move, when, where, and how. Suitable candidates for AM include species with poor dispersal  
 97 ability and low tolerance to heat, drought, or fire, given current and expected climate trends (Clark  
 98 et al., 2016; M. I. Williams & Dumroese, 2013). Current practices often involve moving seedlings  
 99 grown in greenhouses or direct seed relocation, which might be more cost-effective in some cases  
 100 but is also prone to higher early-stage mortality (Castro et al., 2023; Clark et al., 2016; Haase et  
 101 al., 2019; Mohan et al., 2021; M. I. Williams & Dumroese, 2013).

102 Selecting target (i.e., destination) locations for AM requires considering proximity to  
 103 historical ranges, canopy cover, recent disturbances like fire, and balancing AM efforts to enhance  
 104 success while minimizing competition with local species (Pedlar et al., 2012; Welch et al., 2016).  
 105 Targeting the closest climatically suitable locations (hereafter, the “minimum-distance” strategy)  
 106 to the introduced species’ historical range could reduce the risk of introducing novel competition  
 107 to species already extant in the recipient community (Pedlar et al., 2012). Another strategy could  
 108 be to select locations with low canopy cover to reduce light and water competition (“least-  
 109 competition”) and increase establishment success for AM individuals. Considering disturbance,  
 110 moving target species to sites which recently experienced fire (“post-fire”) could take advantage  
 111 of fire’s reduction of canopy and understory cover, as well as the postfire abundance of bare  
 112 mineral soil (Welch et al., 2016). Finally, moving species to sites with the least expected fire

113 frequency (“least-fire”) could reduce the potential for fire disturbance to affect establishment  
 114 success. A dynamic modeling framework that incorporates dispersal and competition dynamics  
 115 can quantify these aspects to inform AM implementation (Iverson & McKenzie, 2013).

116 This study used a dynamic vegetation model modified from ForClim v.3.0 to evaluate forestry  
 117 and conservation outcomes of AM, focusing on decision-making regarding species selection,  
 118 timing, location, and intensity of relocation. We parameterized the model with 23 major tree  
 119 species from the western montane regions of the US (Safford et al., 2021), identifying target  
 120 species for relocation based on projected population declines under future climate change. We  
 121 chose our focal species because these species represent an array of life history types with enough  
 122 data to parametrize our model. Note that we make this choice based on current forest composition  
 123 rather than current conservation status (i.e. we don’t only focus on species with low population  
 124 sizes), for two reasons. First, we wanted to understand the potential effects of AM of at-risk species  
 125 on the remainder of the community, so we aimed to include a representative community  
 126 composition of both at-risk and not-at-risk species. Second, theory indicates that life history  
 127 susceptibility can serve as a better indicator than current abundance for vulnerability to changing  
 128 future conditions, and data indicate that even abundant foundation species can experience rapid,  
 129 unexpected declines under extreme climatic events linked to climate change (Hartmann et al., 2022;  
 130 Hughes et al., 2017; McPherson et al., 2021; Tilman et al., 1994). We considered four types of AM  
 131 destination site-selection: minimum-distance (MD), least-competition (LC), post-fire (PF), and  
 132 least-fire (LF) destinations; and two AM types by relocated life stage: seed AM (DA) and seedling  
 133 AM (GA). We also explored AM intensity regarding frequency, duration, target locations, and  
 134 individuals moved. Simulating various climate change and AM management strategies, we  
 135 measured forestry goals in terms of total biomass and conservation goals in terms of individual  
 136 species biomass, persistence, and both with biomass-weighted gamma diversity. Our core  
 137 questions are then (1) for each of (a) forestry and (b) conservation goals, and under a range of  
 138 climate scenarios, how does the effect of engaging in AM, compared to no action, depend on AM  
 139 strategy for timing and location, (2) for conservation goals, which types of species most benefit  
 140 from AM, and (3) how does increasing different aspects of AM intensity affect the outcomes for  
 141 both target and non-target species?

142  
 143 **Table 1: acronym summary for management strategies.**

<b>Acronym</b>	<b>Full name</b>
AM	Assisted migration
LCDA	Least competition seed assisted migration
LCGA	Least competition seedling assisted migration
LFDA	Least fire seed assisted migration
LFGA	Least fire seedling assisted migration
MDDA	Minimum distance seed assisted migration

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MDGA	Minimum distance seedling assisted migration
PFDA	Post fire seed assisted migration
PFGA	Post fire seedling assisted migration
CT	Control group

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146 **Materials and methods**

147 **I. Model overview**

148 Our model simulates forest dynamics of individual trees, climate change, and management  
 149 actions in R version 3.6.2. The model has four sub-models that change over each one-year time  
 150 step: (A) the demographic vegetation sub-model in which we simulate plant growth, mortality,  
 151 dispersal, and recruitment based on the PLANT sub-model from ForClim v.3.0 (Gutierrez et al.,  
 152 2016), (2) the assisted migration sub-model in which we simulate several approaches to assisted  
 153 migration, (3) the climate sub-model in which we simulate changing climatic conditions across the  
 154 spatial and temporal scale of the model based on estimates from the WorldClim dataset (Fick &  
 155 Hijmans, 2017), and (D) the fire sub-model in which we simulate fire occurrence and fire-induced  
 156 tree mortality based on the fire sub-model of ForClim (Figure 2). After introducing our study  
 157 system and spatial structure, the subsequent sections explain these sub-models.

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159 **II. Study system and spatial structure**

160 We simulated forest dynamics in a large, mostly forested and mountainous region of the  
 161 Pacific western United States, ranging from Mediterranean scrub to temperate forests. Trees in this  
 162 region face increasing risks from climate change, including more frequent and intense droughts  
 163 and wildfires (Mann & Gleick, 2015; Miller et al., 2009), further exacerbated by human activities  
 164 (Chen et al 2021). The elevational range of this region is 4 - 4421 m, with a mean of 1313 m. This  
 165 region is home to several tree species vulnerable to range contraction or extinction due to these  
 166 environmental changes (Loarie et al., 2008; Rogers et al., 2011). Among these AM candidate  
 167 species are economically important species such as ponderosa pine (*Pinus ponderosa*) and  
 168 currently rare species like foxtail pine (*Pinus balfouriana*) (Richardson et al., 2009). Because many  
 169 of these species take decades to reach maturity (Bonner et al., 2008), urgent conservation may be  
 170 necessary to prevent extinction and loss of ecosystem services (Stephens et al., 2020).

171 We focused on a region made up of two connected line segments (Figure 1, Appendix S1:  
 172 Figure S1.A), 1 degree longitude in width, following the Sierra Nevada (36°11' N, 119°3' W to  
 173 41°23' N, 122°47' W) and the Cascade Range (41°23' N, 122°47' W to 48°36' N, 121°39' W). We  
 174 divided this area into 157320 1-km<sup>2</sup> grid cells (120 wide and 1311 long), each consisting of 200  
 175 patches (833 m<sup>2</sup> each) in which we simulated forest dynamics representing the mean dynamics of  
 176 the entire cell (Gutiérrez et al., 2016). While the spatial location of grid cells is explicit, the spatial  
 177 structure of patches is implicit. In other words, the 200 patches within each grid cell have no precise

178 coordinates, and each of these internal patches share the same climatic conditions based on the  
 179 coordinates of the grid cell. Though there is no direct interaction between patches, seeds can  
 180 disperse between grid cells. In the model simulation, we simplified the spatial structure of the study  
 181 region into a  $1311 \times 120$  matrix, where the distance between the center of any two neighbor grid  
 182 cells is 30-arcseconds (i.e.,  $\sim 1$  km).

183 At this 1km resolution scale, we used the LEMMA spatial dataset from the year 2017  
 184 (generated from FIA dataset and Landsat imagery) for initial species occurrence and basal area  
 185 estimation (Ohmann & Gregory, 2002), and the WorldClim version-2.1 dataset for elevation data  
 186 and climate data (Fick & Hijmans, 2017). We modeled 23 typical tree species from the Sierra  
 187 Nevada and Cascades with accessible physiological parameters (**Table 2**).

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190 [double column] Figure 1: Map of our study region. We focused on a region made up of two connected line  
 191 segments, 1 degree longitude in width, following the Sierra Nevada (in red color,  $36^{\circ}11'$  N,  $119^{\circ}3'$  W to  $41^{\circ}23'$   
 192 N,  $122^{\circ}47'$  W) and the Cascade Range (in dark green color,  $41^{\circ}23'$  N,  $122^{\circ}47'$  W to  $48^{\circ}36'$  N,  $121^{\circ}39'$  W).

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194

195 **Table 2: Species-specific parameter set of 23 tree species used in this study.** Acronyms of columns of traits:  
 196 minimum growing degree-day requirement ( $kDDMin_s$ , °C), drought tolerance ( $kDrtol_s$ ), fire tolerance class ( $kFi_s$ ;  
 197 as class number increases, fire tolerance decreases), minimum and maximum winter temperature tolerances  
 198 ( $kWiTN_s$  and  $kWiTX_s$ , °C). See Appendix S1: Section S2 Parameterization for parameter value sources,  
 199 justification, and calculations.

MODELING GOALS OF TREE ASSISTED MIGRATION

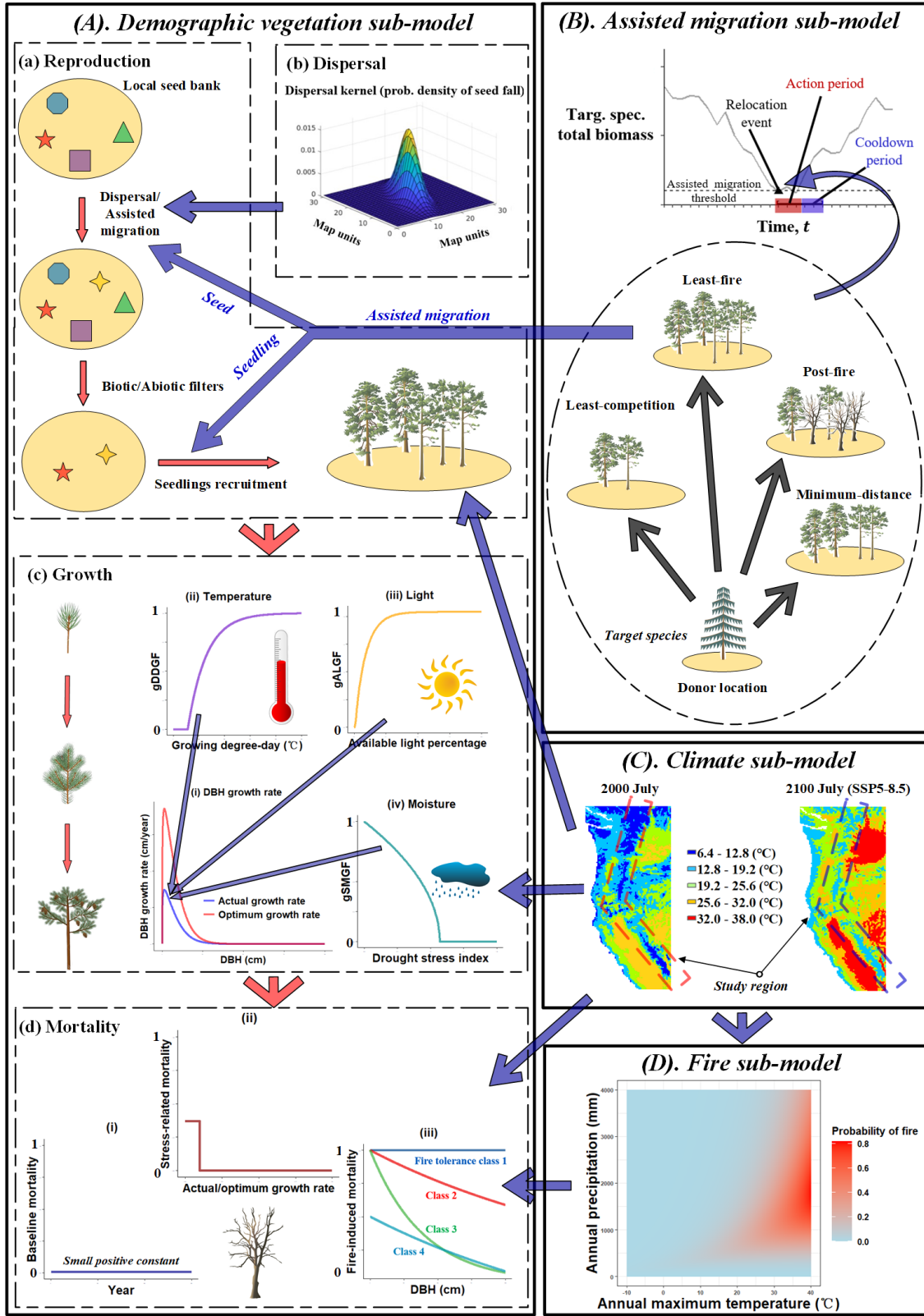
<i>Scientific name</i>	<i>Common name</i>	<i>Species_i</i> <i>d</i>	<i>kDDMi</i> <i>n<sub>s</sub></i>	<i>kDrTol</i> <i>s</i>	<i>kFi</i> <i>s</i>	<i>kWiTN</i> <i>s</i>	<i>kWiT</i> <i>X<sub>s</sub></i>
<i>Abies amabilis</i>	Pacific silver fir	1	608	0.20	1	-4.8	1.4
<i>Abies grandis</i>	Grand fir	2	595	0.25	2	-5.1	1.4
<i>Abies lasiocarpa</i>	subalpine fir	3	580	0.19	1	-5.1	0.6
<i>Abies procera</i>	Noble fir	4	626	0.21	2	-4.0	1.3
<i>Acer macrophyllum</i>	Big-leaf maple	5	1024	0.47	2	-2.0	5.6
<i>Arbutus menziesii</i>	Madrone	6	1266	0.50	2	0.5	6.2
<i>Chamaecyparis nootkatensis</i>	Alaska cedar	7	577	0.13	1	-5.4	0.9
<i>Picea engelmannii</i>	Engelmann spruce	8	607	0.23	1	-5.0	0.6
<i>Pinus contorta latifolia</i>	Lodgepole pine	9	625	0.33	2	-4.5	1.2
<i>Pinus monticola</i>	Western white pine	10	619	0.32	2	-4.6	1.6
<i>Pinus ponderosa</i>	Ponderosa pine	11	828	0.46	4	-3.4	5.3
<i>Pseudotsuga menziesii menziesii</i>	Pacific coast Douglas-fir	12	727	0.43	3	-3.8	4.4
<i>Quercus garryana</i>	Oregon white oak	13	1241	0.50	4	-1.5	6.0
<i>Tsuga heterophylla</i>	Western hemlock	14	665	0.23	1	-4.6	1.8
<i>Tsuga mertensiana</i>	Mountain hemlock	15	562	0.24	1	-5.1	0.7
<i>Abies concolor</i>	White fir	16	785	0.43	2	-2.9	4.8
<i>Abies magnifica</i>	Red fir	17	687	0.37	3	-3.5	2.0
<i>Calocedrus decurrens</i>	Incense cedar	18	962	0.46	3	-2.2	5.4
<i>Pinus jeffreyi</i>	Jeffrey pine	19	788	0.44	3	-3.0	4.6
<i>Pinus lambertiana</i>	Sugar pine	20	935	0.46	3	-2.6	5.4
<i>Pinus albicaulis</i>	Whitebark pine	21	467	0.23	2	-6.1	-0.2
<i>Quercus kelloggii</i>	California Black oak	22	1204	0.52	3	-1.1	6.4
<i>Pinus balfouriana</i>	Foxtail Pine	23	391	0.32	4	-6.3	-1.4

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MODELING GOALS OF TREE ASSISTED MIGRATION



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[double column] Figure 2: Model diagram. The model comprises four interconnected components:

204 demographic vegetation, assisted migration, climate, and fire. The demographic vegetation sub-model (A)  
 205 includes four processes: (A.a-b) reproduction & dispersal, (A.c) growth, and (A.d) mortality, with each process  
 206 influenced by local conditions like climate and competition. Reproduction involves a local seed bank and  
 207 stochastic species addition, while dispersal is wind-driven. Growth depends on limiting factors of temperature  
 208 (gDDGF), light (gALGF), and soil moisture (gSMGF), affecting tree size. Mortality arises from baseline rates,  
 209 stress, and fire, with fire tolerance varying by species. Assisted migration (B) is triggered when target species  
 210 biomass falls below a threshold, considering factors like fire risk and competition for destination selection.  
 211 This sub-model, along with climate data, affects fire probability and mortality in the fire sub-model (D).  
 212 Overall, these components are connected by blue arrows, indicating their interdependencies and influence on  
 213 each other.

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216 **III. Demographic vegetation sub-model**

217 In the demographic sub-model (Figure 2.A), we adapted the PLANT sub-model from ForClim  
 218 v.3.0 , altering only the recruitment function to include a dynamic seed bank influenced by wind-  
 219 driven seed dispersal (Gutierrez et al., 2016; Rasche et al., 2012). Therefore, seeds can disperse  
 220 between different grid cells. Growth and mortality functions remained as in the original ForClim  
 221 model. We focused on long-distance, wind-driven seed dispersal, excluding animal-based dispersal  
 222 due to limited data. ForClim's effectiveness in predicting forest species composition and  
 223 productivity under various climates is well-established, as evidenced in previous studies, including  
 224 those in the western United States (Harald K. M. Bugmann & Solomon, 2000; Gutiérrez et al.,  
 225 2016).

226 The ForClim PLANT sub-model simulates forest demographics in independent patches,  
 227 accounting for tree recruitment, growth, and mortality. Recruitment depends on local and dispersed  
 228 seeds, with seedling establishment influenced by species-specific environmental responses (see  
 229 S1.1.1 Tree reproduction). The model simulates diameter at breast height (*DBH*), tree height, and  
 230 age for each tree. With this information, we can then compute basal area, woody biomass and  
 231 foliage biomass based on *DBH* and height, using species-specific allometric equations. We  
 232 assumed species with basal area higher than a threshold  $T_p$  in a patch have an effective seed bank  
 233 in that patch to support their presence, where we use the threshold value that provided the highest  
 234 accuracy of presence-absence predictions under the ForClim framework (1 m<sup>2</sup>/ha; Gutiérrez et al.  
 235 2016). Here we use basal area as a surrogate for biomass (linear approximation, see Appendix S1:  
 236 Equation S29 & S30) as well as for tree age to reach reproductive maturity, which is a common  
 237 forestry practice. In addition, we assume that the seed bank persists for the duration of the  
 238 simulation, for computational simplicity and in line with existing forest dynamic models (Botkin,  
 239 Janak, & Wallis, 1972; Harald K. M. Bugmann & Solomon, 2000; Rasche et al., 2012); we test the  
 240 effect of limited seed bank duration in sample model runs (see Discussion). In the growth function,  
 241 each individual living tree in the model has a chance to increase in diameter and biomass (see

242 S1.1.2 Tree growth). The optimum tree growth rate mainly depends on net carbon assimilation,  
 243 following the carbon budget approach by Moore (1989), while light availability across the crown,  
 244 growing degree-days (GDD) and drought stress limit the realized growth rate. In the mortality  
 245 function, each individual living tree can experience mortality based on three mechanisms: baseline  
 246 mortality, stress-induced mortality, and fire-induced mortality (see S1.1.3 Tree mortality).  
 247 Individuals experience mortality as a binomial draw weighted by the mortality probability.

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250 **IV. Assisted migration sub-model**

251 In the Assisted Migration sub-model of the ForClim framework (Figure 2.B), we focus on the  
 252 strategic relocation of tree species to new areas in response to declining biomass levels. Following  
 253 the model developed by (Backus & Baskett, 2021), AM interventions occur when a species'  
 254 biomass falls below a predefined threshold (see Table 3). The model operates on a cycle of action  
 255 and rest: relocation actions are taken for a specified number of years ( $I_a$ ), followed by a cooldown  
 256 period ( $I_c$ ) of no relocations. This allows the relocated species time to establish in the new  
 257 environment. The lengths of the action and cooldown periods are consistent across species and are  
 258 detailed in Table 3. While the thresholds for implementing assisted migration are deterministic,  
 259 when AM then occurs then inevitably varies with the variability inherent to the ecosystem (e.g,  
 260 with fire occurrences and changes in temperature). This approach is analogous to other threshold-  
 261 based (i.e., based on “trigger reference points” or “decision triggers”) approaches to conservation  
 262 and natural resource management (e.g., harvest control rules dependent on overfishing limits in  
 263 fisheries, endangered species delisting criteria in recovery plans, or forestry replanting guidelines  
 264 associated with minimum acceptable stocking rates [live trees per acre] (Cook et al., 2016; Irwin  
 265 & Conroy, 2013; Stoel, 1978)).

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267 **Table 3: non-species-specific parameters used in the model, symbols used to represent them, values used,**  
 268 **and units of these parameters.** See Appendix S1: Section S1.1 Demographic vegetation sub-model for more  
 269 detailed description and sources of these parameters.

Parameter	Symbol	Values	Units
Maximum number of newly established seedlings of one species in one patch	$N_{max}$	5	individual s
Minimum basal area threshold for tree species presence	$T_p$	1	m <sup>2</sup> /ha
Mean wind speed across our study region	$u$	5.689	m/s
Standard deviation of the vertical velocity of the air	$\sigma$	0.25	(m/s) <sup>2</sup>
Turbulence coefficient used to calculate dispersal kernel	$\kappa$	0.4	-
AM threshold (percentage of initial species-specific biomass)	$T_{AM}$	30	%
Number of simulated patches used to represent one grid cell	$N_{pa}$	200	-
Area of each patch	$A_{pa}$	833	m <sup>2</sup>
Years per relocation	$I_a$	2, 4, ..., 10	years
Minimum years between relocation	$I_c$	4, 8, ..., 20	years

## MODELING GOALS OF TREE ASSISTED MIGRATION

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Number of target grid cells for AM	$N_{gc}$	3, 6, ..., 15	grid cells
Number of seedlings of the target species moved to one patch during seedling AM	$N_{sd}$	80, 160, ..., 400	individual

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To estimate suitable target sites for the target species under future climate conditions, we selected grid cells in which the projected bioclimatic conditions in 2100 were within the species' climatic tolerance range. During each year within the relocation period, we set the number of target grid cells for AM as  $N_{gc}$ . We simulated AM of two life stages in this sub-model, namely, seed and seedling. We simulated seed assisted migration (DA) by modifying the seed bank (relative recruitment) of the AM target species in the target grid cell, which gives the target species a relative recruitment advantage, namely a 30 times higher recruitment rate than other present species (even if the target species was not already present in the seed bank). For seedling assisted migration (GA), we based our simulation on the common practice in forestry of cultivating seedlings in a greenhouse for several years (Haase et al., 2019) before moving them to a target location. In our model, we simulated this by directly moving a certain number ( $N_{sd} \times 200$ ) of seedlings of the target species with  $DBH = 1.27 \text{ cm}$  (average size of cultivated seedlings among different tree species (Sáenz-Romero et al., 2021)) into a target grid cell, omitting the greenhouse cultivation processes. The number of seedlings moved to one patch was  $N_{sd}$ , while 200 is the number of patches within one grid cell. During an AM period, this sub-model simulates seed or seedling AM on all  $N_{gc}$  target grid cells.

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We model four strategies for choosing relocation destinations. Minimum-distance destinations (MD) focus on proximity, selecting grid cells closest to the species' current range. Least-competition destinations (LC) prioritize areas with the lowest existing tree biomass, reducing competition for resources. Post-fire destinations (PF) are areas recently affected by fire within the species' potential range. Least-fire destinations (LF) are the opposite, selecting grid cells with the least recent fire activity, which also generally had the lowest fire probability among the four destination types (Appendix S1: Figure S7). We evaluate each strategy in combination with the two life stages (DA for seeds, GA for seedlings), resulting in eight distinct AM strategies. Additionally, a ninth control strategy (CT) represents a scenario of no action. Our goal is to determine the most effective AM strategy under varying environmental conditions and species-specific factors. The full methodology and detailed analysis of the results are in Appendix S1: Section S1.2.

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### V. Climate sub-model

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We used the Environment sub-model of ForClim V3.0 for our Climate sub-model (Rasche et al., 2012). This sub-model calculates basic bioclimatic data needed by the Demographic Vegetation sub-model, namely minimum winter temperature, growing degree days, and drought index, which affect species recruitment, growth, and mortality, as well as fire probability. We calculated the local climate conditions in each cell using raw bioclimatic data from WorldClim. For each cell in our

306 model, we calculated the long-term minimum winter temperature as the minimum among the mean  
307 monthly temperatures of December, January and February over the years 1970-2000. We used the  
308 mean monthly temperature to calculate the growing degree days, and we used the mean monthly  
309 temperature and precipitation to calculate the drought index following the methods of Bugmann  
310 and Cramer (1998) (H. Bugmann & Cramer, 1998). For detailed description of this sub-model, see  
311 previous research using ForClim (H. K. M. Bugmann, 1996; Harald K. M. Bugmann & Solomon,  
312 2000) and Appendix S1: Section S1.3 Climate sub-model.

313

## 314 VI. Fire sub-model

315 In the fire sub-model (Figure 2.D), we simulate tree mortality due to fires in each time step,  
316 influenced by the Climate sub-model's environmental inputs. This sub-model consists of two parts:  
317 fire regime dynamics and fire-induced mortality. We base fire regime dynamics on the PC2FM  
318 model, which calculates annual fire probability within each grid cell using temperature,  
319 precipitation, and elevation data (Guyette et al., 2017). When a fire occurs, tree mortality  
320 probability depends on the species' fire tolerance class, fire severity, and tree diameter at breast  
321 height (*DBH*). There are two types of fire severities: light (ground fires) and severe (stand-  
322 replacing crown fires), with an assumed occurrence ratio of 2:1, based on regional empirical data  
323 (Safford et al., 2021). Fires affect every tree in a grid cell. We validated the fire sub-model by  
324 comparing modelled fire area with the actual fire area from 2001 to 2020 (Appendix S1: Figure  
325 S8). Individual trees living in each grid cell then had an additional probability of mortality within  
326 the mortality function of the Demographic sub-model (Busing & Solomon, 2006). To determine  
327 each tree's chance of mortality, we divided 23 tree species into four fire tolerance classes, based  
328 on the categories given by Busing & Solomon (2006) and fire tolerance data from both Busing &  
329 Solomon (2006) and the USDA Fire Effects Information System (Cooke et al., 2015).  $kFi_s$  is the  
330 fire tolerance class of species  $s$ , with class 1 being the least tolerant and most likely to be killed by  
331 fire. All fire tolerance classes except class 1 exhibit a decrease in mortality probability with  
332 increasing tree size (i.e. *DBH*). For details see Busing & Solomon (2006) and Appendix S1:  
333 Section S1.4.

334

## 335 VII. Model analysis

336 For our model validation, we simulated forest dynamics over a century under the current  
337 climate (1970-2000 average) as detailed in Appendix S1: Section S3. In short, we validated the  
338 model by testing its performance on reproducing current species distribution ranges and basal area  
339 distributions. The model provided relatively accurate predictions on both metrics: the Cohen's  
340 kappa values (used to measure the agreement between observed and modelled species occurrence,  
341 with values  $> 0.4$  indicating a fair degree of agreement; values  $< 0.2$  indicate performance no better  
342 than random) for species-range analysis were greater than 0.2 for 17 out of 23 species, which  
343 significantly outperform a locally parameterized dynamic vegetation model (kappa  $> 0.2$  for 9 out

344 of 18 species), and are fairly comparable with a regionally parameterized dynamic vegetation  
345 model ( $\kappa > 0.2$  for 15 out of 18 species) (Cohen, 1960; Gutiérrez et al., 2016). In addition,  $R^2$   
346 for comparison between , predicted basal area vs. observation were higher than 0.3 for 20 out of  
347 23 species and higher than 0.5 for 15 species.

348 We then initialized future projections incorporating initial seed banks and fire dynamics, and  
349 ran simulations under the eight Assisted Migration strategies plus the no-action control scenario,  
350 beginning from the year 2000 across 100 years of climate change. We used 1km resolution climate  
351 data from WorldClim data (Fick & Hijmans, 2017) of CanESM5-SSP245 (optimistic, with  
352 moderate greenhouse gas mitigation) and CanESM5-SSP585 (business-as-usual emissions)  
353 scenarios. We chose CanESM because it provides the projection closest to the average outcomes  
354 among ten different climate projection models selected for their historical performance in the  
355 region (Pierce et al., 2018; Swart et al., 2019), as we sought to use a more typical than extreme  
356 expectation for future climate outcomes. To create an annual time series of climate data across 100  
357 years, we performed a linear interpolation based on climate data of five key years (2000, 2040,  
358 2060, 2080, 2100) for each emissions scenario.

359 In our simulation, we begin by establishing an initial seed bank and seeds, utilizing  
360 distributions from the LEMMA database. Each simulation time step involves the growth and  
361 mortality of stems, as dictated by the demographic vegetation model. Concurrently, we simulate  
362 fire events to account for additional mortality due to fire. Following this, new seeds disperse, which  
363 leads to updates in the seed bank compositions. If any species' biomass falls below a critical  
364 threshold, we relocate it according to the assisted migration strategy being modeled. Finally, the  
365 climate changes according to linear interpolations of WorldClim data, and we start the next time  
366 step.

367 To answer our first question regarding the effect of AM on each of forestry and conservation  
368 goals for different AM strategies for location and timing, we conducted 100 replicates for each of  
369 the nine management scenarios under each emissions scenario. Outputs measured included total  
370 biomass (forestry-focused), species-specific biomass (conservation-focused), and gamma  
371 diversity weighted by biomass (relevant to both forestry and conservation). AM's effects on total  
372 biomass and gamma diversity were similar, differing from its impact on species-specific biomass.  
373 Therefore, we used total biomass and gamma diversity for forestry outcomes and focused on  
374 species-specific biomass for conservation outcomes.

375 We then analyzed the best-performing strategy, PFGA, under the CanESM5-SSP585 scenario,  
376 to explore the traits that influenced which species most benefited from AM and the effect of AM  
377 intensity. We chose this scenario for its sufficient climate change impacts on species-level biomass,  
378 allowing for a meaningful analysis of AM strategies. To answer our second question regarding  
379 which types of species most benefit from AM, we performed a principle components analysis of  
380 the average number of relocations per species as it depended on climatic-tolerance parameters  
381 (minimum growing degree-day requirement, drought tolerance, fire tolerance, and

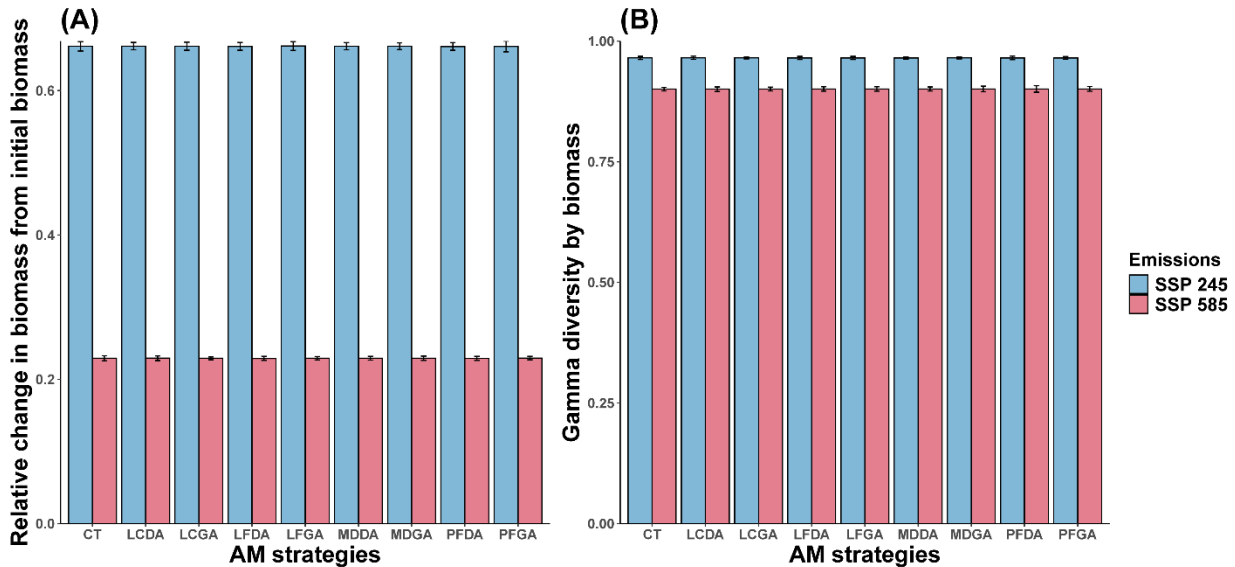
382 minimum/maximum winter temperature tolerances). To answer our third question regarding the  
 383 effects of different aspects of AM intensity, we varied AM intensity in terms of the frequency and  
 384 duration of AM actions (values of  $I_c$  and  $I_a$ ) and the number of seedlings ( $N_{sd}$ ) and target sites ( $N_{gd}$ )  
 385 implemented.

386  
 387 **Results**

388 **Forestry-oriented outcomes**

389 On average, the total biomass decreased by ~70% over the 100 years of simulated climate  
 390 change in the higher emission SSP585 scenario, regardless of management approach (Figure 3).  
 391 By contrast, gamma diversity remained almost the same as the initial state in the SSP585 scenario.  
 392 Under the SSP245 scenario, both total biomass and gamma diversity in 2100 remained almost the  
 393 same as the conditions in 2000. Different AM strategies had a negligible effect on total biomass  
 394 and gamma diversity. This lack of difference occurs because, while AM can affect individual  
 395 species' outcomes for a few particularly vulnerable species as detailed below, without AM  
 396 affecting those species, growth in other species with less vulnerability to climate change  
 397 counterbalances the loss of vulnerable species to lead to little change in community-level biomass-  
 398 based metrics. Therefore, for our forestry-oriented metrics (question 1(a)), AM had little effect on  
 399 the outcome compared to no action, regardless of AM strategy (Figure 3).

400  
 401



402  
 403 [double column] **Figure 3: Forestry-oriented outcomes using different AM strategies under two climate**  
 404 **change scenarios: SSP245 (moderate climate change; circles) and SSP585 (business-as-usual climate**  
 405 **change; triangles).** (A) Relative change in biomass from initial biomass (the biomass of all trees after 100 years  
 406 relative to the initial biomass), and (B) gamma diversity by biomass ratio over the same period. Results show

407 average values with standard deviation. AM strategies are grouped by destination criteria (LC: least-competition;  
408 LF: least-fire; MD: minimum-distance; PF: post-fire) and method (seeds: DA, seedlings: GA), including a  
409 control group (no intervention: CT)..

410

411

412 **Conservation-oriented outcomes**

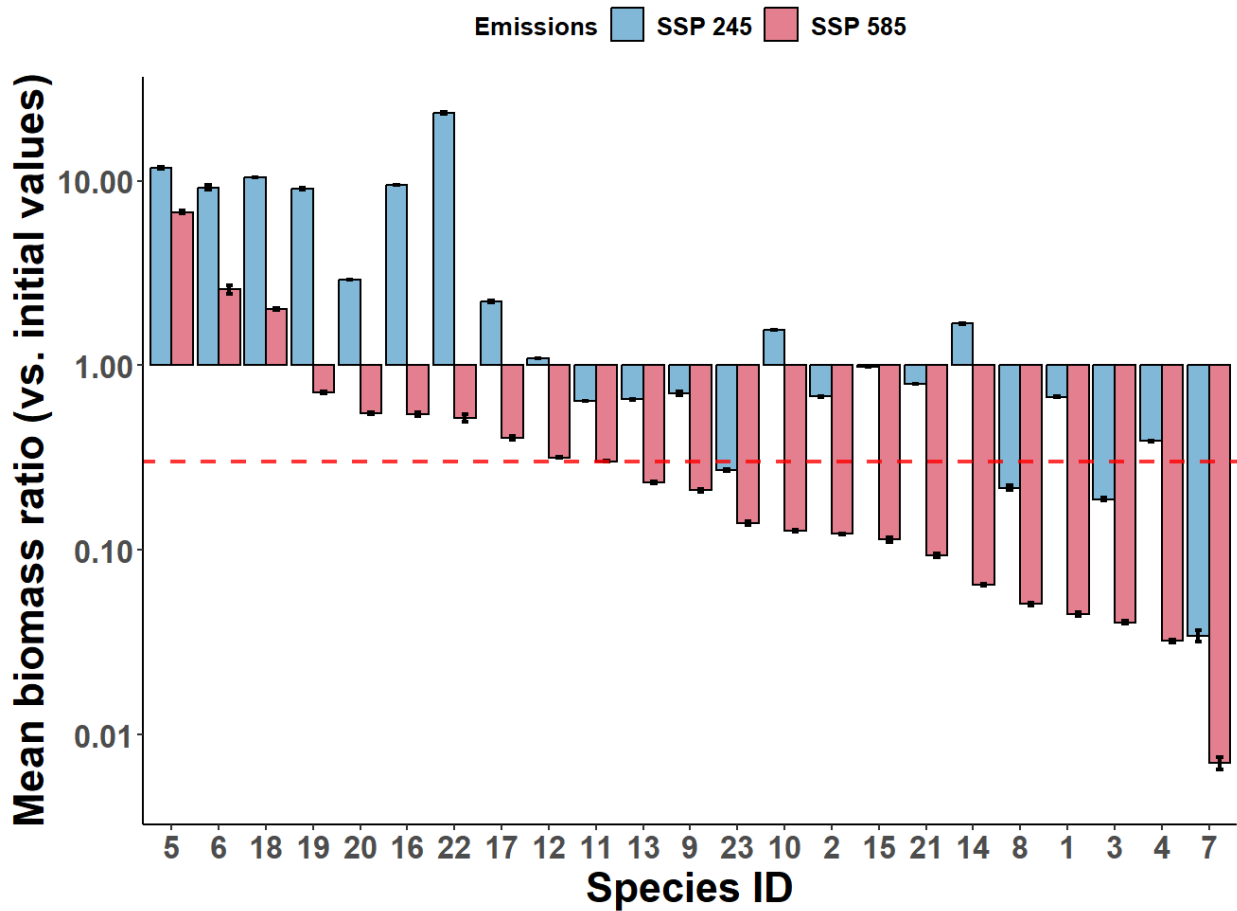
413 Under the SSP245 climate scenario, about half of the simulated species decreased in biomass,  
414 while the other half increased (Figure 4). Species like *Quercus kelloggii* (species 22), *Acer*  
415 *macrophyllum* (5), *Arbutus menziesii* (6), and *Calocedrus decurrens* (18), with their relatively high  
416 tolerance to drought, heat, and fire (Figure 4), showed biomass gains. A few species, such as  
417 *Chamaecyparis nootkatensis* (7), experienced declines below the level that triggered AM actions  
418 (Figure 4). In contrast, in the SSP585 scenario, 14 species experienced significant (>70%) biomass  
419 reductions (Figure 4). Seven of these, including various *Abies* and *Picea* species, faced a high risk  
420 of local extinction, with over 90% biomass decrease. They frequently fell below the AM action  
421 threshold.

422

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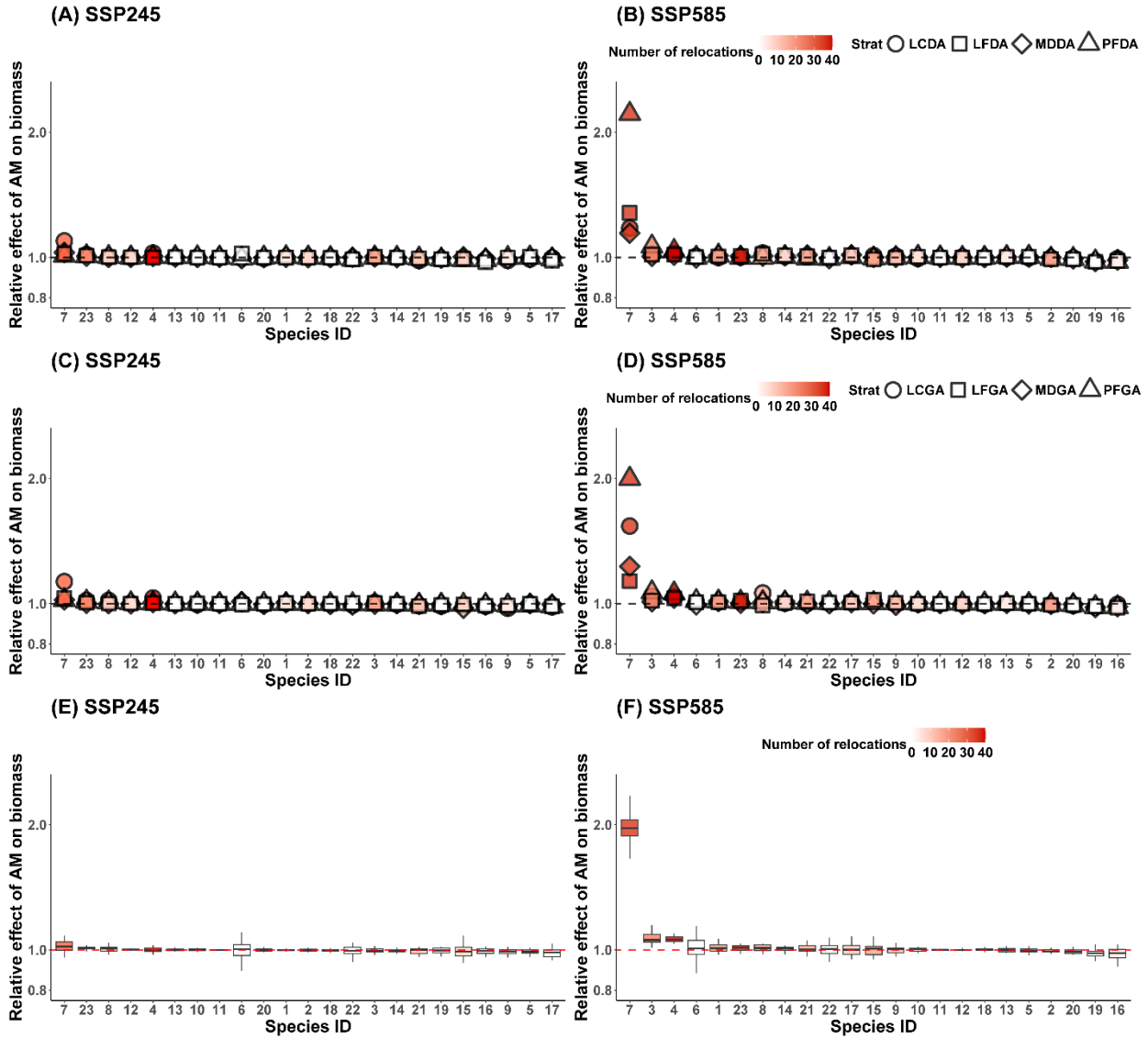




425  
 426 [double column] Figure 4: Conservation-oriented outcomes of biomass for the control group (no AM) in  
 427 simulations under SSP245 and SSP585 climate change scenarios. The y-axis indicates relative change in  
 428 species-specific biomass from initial biomass (the biomass of all trees after 100 years relative to the initial  
 429 biomass). Blue bars indicate simulations with dispersal (mean  $\pm$  sd), red bars without. Red dashed lines mark  
 430 the AM threshold. Species IDs on the x-axis are ordered by mean biomass ratio under SSP585 emission scenario.

431  
 432  
 433

MODELING GOALS OF TREE ASSISTED MIGRATION



434

435 [double column] Figure 5: Conservation-oriented outcomes using different AM strategies under two  
 436 climate change scenarios: SSP245 (left column) and SSP585 (right column). Relative effect of AM on  
 437 biomass (species-specific biomass in 2100 under each AM strategy divided by species-specific biomass without  
 438 AM) are shown on a log10 scale; horizontal dashed lines indicate equal biomass with and without AM. If the  
 439 biomass ratio > 1 for an AM strategy, it means it performs better than no AM actions. Dot/bar colors represent  
 440 the frequency of AM for each species, and shapes in panels A-D indicate AM strategies. Panels A-B and C-D  
 441 display relative effects for AM at seed and seedling stages, respectively, averaged over 100 repetitions. Panels  
 442 E-F feature boxplots of relative effects under the optimal post-fire seedling AM strategy (PFGA). Species  
 443 identities for x-axis numbers are in Table 2. Effects of AM are small except for species 7, 3 and 4 under SSP585  
 444 scenario.

445

446  
447 Throughout the simulations, 15 out of 23 species underwent relocation at least once (Figure  
448 5). However, only a few, such as *C. nootkatensis* (7), *Abies lasiocarpa* (3), and *A. procera* (4),  
449 consistently benefited from AM, with *C. nootkatensis* (7) showing the most significant  
450 improvement, especially for SSP585 (Figure 5.B,D,F). On the other hand, *Abies concolor* (16)  
451 displayed lower biomass under some AM strategies compared to no action.

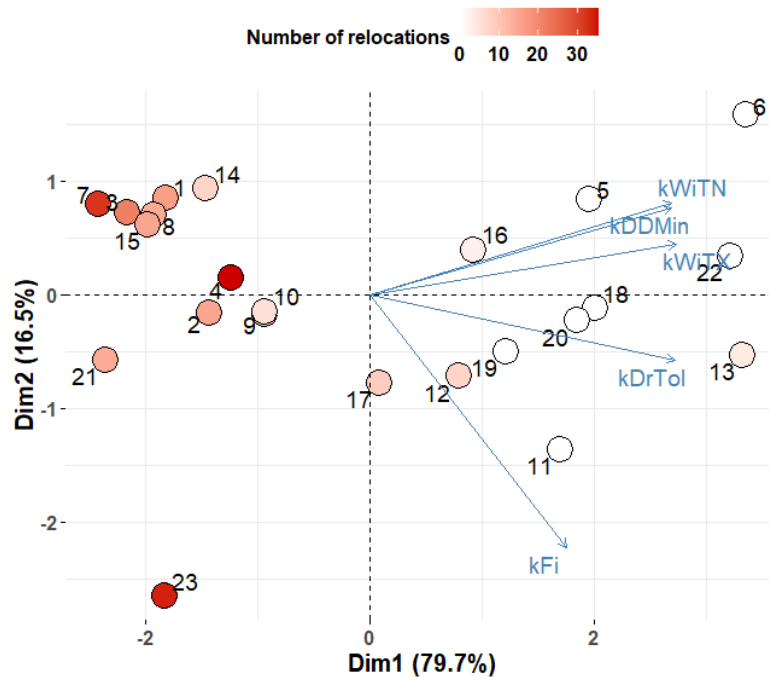
452 For SSP585, post-fire seedling AM and post-fire seed AM were generally the most effective  
453 strategies in increasing species-specific biomass (Figure 5.B&D).. For SSP245, the least-  
454 competition seed or seedling strategies were the most effective strategies (Figure 5A&C), but their  
455 effect on target species' biomass was much smaller than the effect of AM on biomass for SSP585.  
456 Overall, for our conservation-oriented metrics (Question 1(b)), the effectiveness of AM varied by  
457 climate scenario, AM strategy, and species, with only a subset of species showing substantial  
458 benefits under more extreme climate change (Figure 5), highlighting the role of targeted and  
459 species-specific AM strategies under different climate change scenarios.

460

#### 461 **Types of species that benefit from AM**

462 For the most effective AM strategy (post-fire seedling AM), our principal component analysis  
463 showed that species that were often relocated in our model had similarly low values on dimension  
464 1, which accounted for 79.7% of variance (Figure 5). Low values in dimension 1 implied species  
465 were drought-intolerant, fire-intolerant, and cold-adapted. Accordingly, *C. nootkatensis* (7), one of  
466 the least drought/fire-tolerant species, benefited the most from AM as noted above (Figure 5).  
467 Conversely, species like *A. macrophyllum* (5), with high drought and heat tolerance, thrived under  
468 future climate conditions (Figure 4). In sum, our analysis found that species which are poorly  
469 adapted to drought, fire, and warmer conditions were more likely to face local extinction without  
470 AM intervention and to benefit from AM intervention compared to other species we modeled  
471 (Question 2; Figure 6).

472

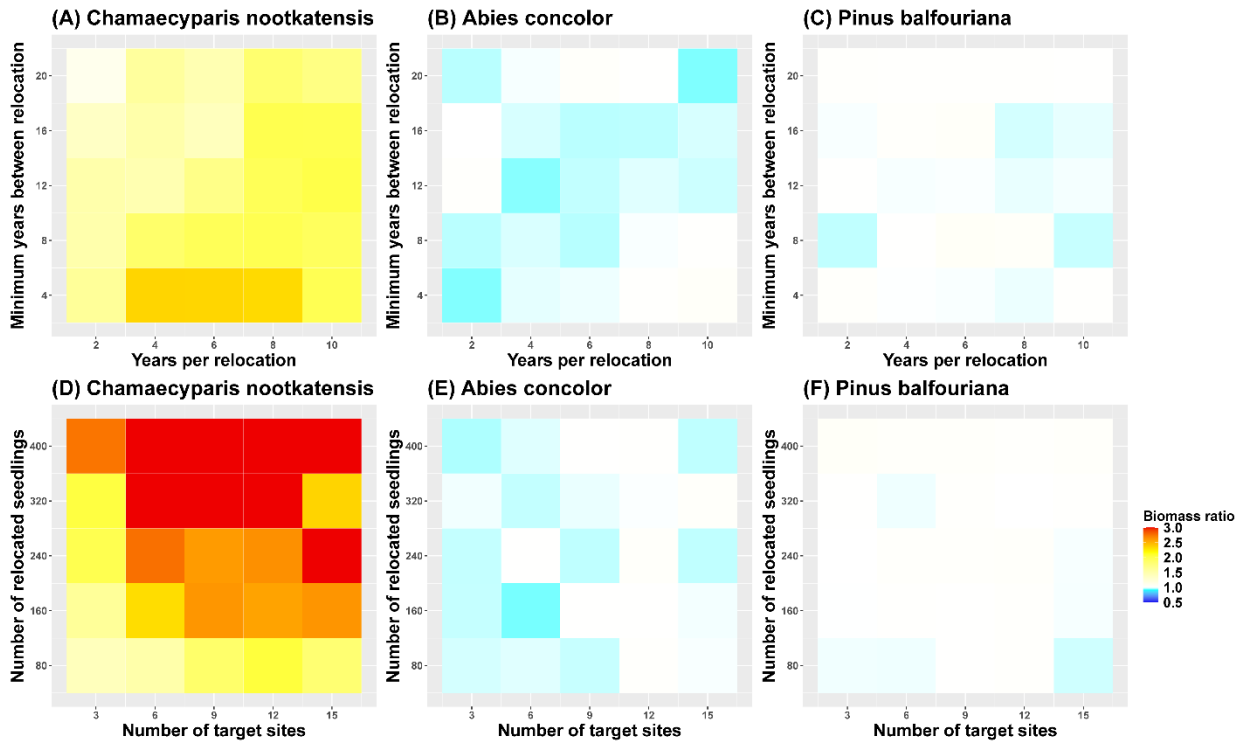


473  
 474 **[single column] Figure 6: Principal component analysis of species-specific climatic-tolerance parameters.**  
 475 Dot colors represent the average number of relocations per species under the post-fire seedling AM strategy  
 476 (PFGA) over 100 repetitions, with numbers indicating tree species. More relocations suggest higher local  
 477 extinction risk. Parameters include minimum growing degree-day requirement (kDDMin), drought tolerance  
 478 (kDrTol), fire tolerance (kFi), and minimum/maximum winter temperature tolerances (kWITN, kWITX). Tree  
 479 species identities for each number are detailed in Table 2.

480  
 481 **AM intensity**

482 In the analysis of different AM durations, neither years per relocation nor minimum years  
 483 between relocation had a prominent effect on the total biomass ratio and gamma diversity by  
 484 biomass (see Appendix S1: Figure S8). More consecutive years per relocation and fewer years  
 485 between relocations increased the biomass ratio of *C. nootkatensis* (7, Figure 7.A), and *A. procera*  
 486 (4, Appendix S1: Figure S5). AM strategies with more seedlings and more destinations increased  
 487 the biomass ratio of *C. nootkatensis* (7, Figure 7.D), *A. amabilis* (1), *A. lasiocarpa* (3) and *A.*  
 488 *procera* (4) (Appendix S1: Figure S6). These species are all AM target species with weak heat,  
 489 fire, and/or drought tolerance. In comparison, species with more moderate-to-high tolerances (e.g.,  
 490 *A. concolor*, Figure 7.B&E and *P. balfouriana*, Figure 7.C&F) displayed no consistent trends in  
 491 response to increased AM intensity, neither benefitting significantly nor experiencing adverse  
 492 effects. Therefore, multiple approaches to increasing AM intensity benefited at-risk species, with  
 493 minimal effects on non-target species (Question 3; Figure 7).

494  
 495



496

497 [double column] Figure 7: The effect of AM intensity on conservation-oriented outcomes of PFGA under  
 498 the CanESM5-SSP585 scenario. Panels A-C depict color maps illustrating species-specific biomass ratios  
 499 (biomass in 2100 using post-fire seeding (PFGA) divided by biomass with no AM). These maps focus on the  
 500 effects of years per relocation and minimum years between relocations on three species with varying tolerances:  
 501 *Chamaecyparis nootkatensis* (low tolerance to heat, fire, drought), *Abies concolor* (moderate tolerance), and  
 502 *Pinus balfouriana* (moderate drought, high fire tolerance). Panels D-F assess how the number of relocated  
 503 seedlings and target sites influence species-specific biomass ratios for these species..

504

505

506 **Discussion**

507 Our simulations indicate that assisted migration can aid conservation by reducing extinction  
 508 risks for species vulnerable to climate change, but it has limited impact on forestry-specific goals,  
 509 affecting overall biomass minimally. The effect of AM arises from the significant impacts of  
 510 climate change on montane forests in the western United States predicted by our simulations.  
 511 Under the SSP585 scenario, we observed a dramatic ~70% decline in total tree biomass.  
 512 Conversely, under the SSP245 scenario, biomass and gamma diversity showed little change.  
 513 Previous studies support these findings. Loarie et al. (2008) predicted major range reductions for  
 514 numerous endemic plant species in California and southern Oregon due to climate change. Lenihan  
 515 et al. (2008) and Rogers et al. (2011) forecasted potential net carbon losses in California forests by  
 516 2100, depending on the climate scenario. In contrast, Zhu et al. (2018) suggested a possible ~40%

517 increase in forest biomass in Sierra Nevada and Cascades by 2080 under the RCP8.5 scenario, due  
518 to warming and forest recovery from agricultural land. However, this study did not account for  
519 disturbances like fires, insect outbreaks, and drought. Recent data shows a 6.7% loss in California  
520 forest cover from 1985 to 2021, mainly due to wildfires (Wang et al., 2022). Additionally, Steel et  
521 al. (2022) reported that 30% of conifer forests in the southern Sierra Nevada transitioned to non-  
522 forest vegetation between 2011-2020, primarily due to drought-related tree mortality and wildfires.  
523 While future forest biomass trends carry some uncertainty (Lenihan et al., 2008; Zhu et al., 2018),  
524 the majority of projections and trend analyses suggest a significant decline in biomass (Rogers et  
525 al., 2011; Wang et al., 2022; J. N. Williams et al., 2023), underscoring the potential value of  
526 management strategies like assisted migration.

527 Our simulations showed that under a business-as-usual climate scenario, 15 out of 23 species  
528 would face significant biomass reduction. This aligns with previous studies that have documented  
529 population declines in similar species due to climate-induced disturbances (Coops & Waring, 2011;  
530 Loarie et al., 2008; Stanke et al., 2021). For instance, Stanke et al. (2021) noted declines in *A.*  
531 *lasiocarpa*, *P. engelmannii*, and *P. contorta latifolia*, while Coops & Waring (2011) predicted a  
532 40%-50% range reduction for *P. contorta latifolia* and *P. ponderosa* by 2080 in the Northwestern  
533 US under similar climate scenarios, assuming no dispersal.

534 AM in our simulations effectively reduced extinction risks for certain species by enhancing  
535 their biomass. *C. nootkatensis* (7), for example, showed significant biomass increases (60% and  
536 120% higher than no action scenarios under optimistic and business-as-usual climates,  
537 respectively). AM also supported biomass retention in *A. lasiocarpa* (3) and *A. procera* (4) under  
538 the business-as-usual scenario. However, the overall ecosystem impact of AM was limited,  
539 resulting in only slight increases in total biomass and gamma diversity.

540 Interestingly, AM led to biomass declines in four species, possibly due to increased  
541 competition from other relocated species. The most significant conservation benefits of AM were  
542 observed for species intolerant to fire, drought, and heat, particularly when targeting post-fire  
543 locations and implementing AM with greater frequency, number of relocated individuals, and  
544 number of target locations.

#### 545 546 *Candidate species for AM*

547 Our model identified species with low tolerance to drought, fire, and high temperature as the  
548 most vulnerable species to climate change, making them prime candidates for AM to be relocated  
549 to more climatically suitable habitat. This aligns with predictions from previous Species  
550 Distribution Models (SDMs) that these species may face severe declines in biomass and range  
551 under a business-as-usual climate scenario (Coops & Waring, 2011; Stanke et al., 2021). Our  
552 dynamic model, accounting for competition and dispersal, suggests that natural dispersal is too  
553 slow to keep pace with rapid climate change for these at-risk species (Figure S10), reinforcing  
554 findings from earlier studies.

555 In our model, these at-risk species with low tolerance to fire, drought, and/or heat then  
556 benefited most from AM. To identify potential AM candidates in other ecosystems, one could  
557 employ a phylogenetic approach to pinpoint clades sensitive to these stresses (Niinemets &  
558 Valladares, 2006). Alternatively, a trait-based analysis could estimate climatic tolerance for each  
559 tree species. For instance, thin bark is often a marker of low fire resistance (Kidd & Varner, 2019;  
560 Stevens et al., 2020), so species with such traits could be considered for AM in systems with fewer  
561 available data. Note that these suggestions are speculative and require further research to validate  
562 their effectiveness before implementation in forest management practices.

563

#### 564 *Optimal approaches for AM*

565 In our model, the most effective AM strategies were post-fire seedling AM and post-fire seed  
566 AM (Figure 5). These methods likely do well due to reduced establishment failure and reduced  
567 competition for transplanted trees. In our model, the effectiveness of seed dispersal (e.g., via  
568 drones) post-fire was comparable to moving greenhouse-cultivated seedlings, suggesting an  
569 alternative to the conventional approach. However, current seed-based propagation has seen  
570 limited success in forestry, and drone-based seeding lacks definitive field-based analysis of success  
571 (Castro et al., 2023).

572 Seedling survival and growth are typically hindered by surrounding vegetation (due to light  
573 competition) and are enhanced by canopy openness (Berkowitz et al., 1995; Duclos et al., 2013;  
574 Gerhardt, 1996). Our post-fire AM strategies target recently burned areas with lower biomass and  
575 higher canopy openness (Figure S7), providing relocated seeds or seedlings with more light and  
576 other resources. Although our model does not account for water competition, soil water availability  
577 is also often higher in recently burned forests, where water withdrawals by living vegetation have  
578 been reduced (e.g., (Cardenas & Kanarek, 2014)). At the same time, water stress in exposed, highly  
579 insolated burned sites can be very high in the dry season, negatively impacting seedling survival  
580 (Shive et al., 2018); our model does not account for topographically driven soil water availability.

581 Least-competition AM strategies also performed well, targeting areas with minimal biomass  
582 and hence reduced competition. However, these strategies often relocate species to higher  
583 elevations with less suitable temperatures (Figure S7), slightly diminishing their effectiveness  
584 compared to post-fire AM.

585

#### 586 *Accounting for the risks of AM*

587 Our model highlights two risks of assisted migration: establishment failure for target species  
588 and increased competition with non-target species. For instance, *A. grandis* (2) showed no biomass  
589 change during simulations, suggesting establishment failure. This is likely due to harsh 2100  
590 climates under the SSP585 scenario, with suitable habitats shifting outside our study region, as  
591 also projected in other studies (Coops & Waring, 2011). Such conditions may permit survival but  
592 hinder seedling establishment. Identifying species unlikely to benefit from AM is as crucial as

593 finding suitable targets, considering AM's costs.

594 Additionally, our model indicates increased competition risks to non-target or target species.  
595 For example, under all eight AM strategies in the SSP585 scenario, *A. concolor* (16) and *P. jeffreyi*  
596 (19), predominantly located in southern California and the Sierra Nevada, showed reduced  
597 biomass compared to no action. This decrease might result from establishment failures at AM  
598 destinations and increased competition from other AM-targeted species within their current range.

599

600

601

602 *Potential effects of model assumptions*

603 Our model, while comprehensive, is based on several simplifying assumptions, which can  
604 inevitably affect our conclusions. First, our climatic data resolution is 1km, which averages over  
605 microclimatic variations (i.e., due to elevational or topographic changes) that affect tree  
606 demography (De Frenne et al., 2019; Fick & Hijmans, 2017). Cooler, wetter microclimates can  
607 buffer macroclimate effects, potentially leading our model to overestimate negative climate change  
608 impacts on seedling/sapling survival and, consequently, forest biomass and range loss. On the other  
609 hand, assisted migration strategies that are focused on relocation to open-canopy areas, such as the  
610 least-competition and post-fire strategies, will likely be riskier in warmer, drier microclimates. Our  
611 model ignores microclimatic variation on the landscape, and assumes that dry and moist  
612 microclimates balance each other out at the landscape scale.

613 Second, due to the lack of data, our model does not include the effects of non-fire disturbances,  
614 such as those arising from pests, pathogens, or seed predators, despite their significant role in forest  
615 dynamics (Bentz et al., 2010). In particular, we did not model bark beetles and diseases like white  
616 pine blister rust and Sudden Oak Death, which are prevalent in the North American Mediterranean  
617 Climate Zone (NAMCZ) (Berner et al., 2017; Negrón et al., 2009). This exclusion likely leads to  
618 an underestimation of tree mortality and extinction risks, and therefore a potential underestimation  
619 in AM frequency. Bark beetle and disease susceptibility could also be considered in choosing AM  
620 target locations to minimize infection risks, but this raises the issue of potentially spreading  
621 diseases through translocated seedlings. Furthermore, our model's coarse scale does not account  
622 for the critical role of soil microsites in seed germination and survival (Castro et al. 2023).  
623 Incorporation of these additional drivers of forest dynamics and their connections to climate  
624 change could expand the primary factors that influence vulnerability to climate change beyond the  
625 three modeled here (drought, fire, and temperature tolerance).

626 Third, our study's spatial limits are defined by geographic and political boundaries, not  
627 ecological properties. This limitation is especially relevant for species like *A. lasiocarpa* in the  
628 western United States, where suitable habitats for northward movement are scarce. Cross-border  
629 coordination, such as with Canada, could potentially increase the persistence of northern species,  
630 aiding conservation goals (Schwartz et al., 2012; Vitt et al., 2010).



631 Fourth, our model presupposes that tree seeds, once deposited, can persist in the seed bank  
632 through the entire duration of the 100-year simulation. Forest dynamic models frequently assume  
633 indefinite seed longevity for computational ease and due to the absence of detailed seed longevity  
634 data for most species (Botkin et al., 1972; Harald K. M. Bugmann & Solomon, 2000; Rasche et  
635 al., 2012). However, empirical evidence suggests that most tree seeds do not survive more than a  
636 year or two under typical field conditions (Davies et al., 2020; Mame et al., 2019; Nadarajan et al.,  
637 2023). Therefore, the persistent seed bank assumed here could lead to an overestimation of  
638 recruitment success, thereby potentially inflating the projected biomass for certain species. To  
639 evaluate the impact of our assumption regarding long-term seed viability on our conclusions, we  
640 conducted a series of simulations with a one-year seed bank duration under the SSP585 emission  
641 scenario. The outcomes mirrored the patterns observed in Figures 2 and 4 (Figure S9). We interpret  
642 this consistency to stem from the strong filtering effects of climate and light conditions on plant  
643 growth and survival, such that these processes are the primary drivers of which species establish  
644 and outweigh any effect of seed bank availability.

645 Lastly, our model does not account for the potential of phenotypic and genetic adaptations in  
646 tree species, which might reduce extinction risk and the need for AM under climate change (Chown  
647 et al., 2010; Nicotra et al., 2010). Short-term phenotypic changes, such as increased root-to-shoot  
648 ratio for water efficiency (Nicotra et al., 2010), and long-term evolutionary adaptations are crucial  
649 considerations (Alberto et al., 2013). The concept of assisted gene flow, moving locally-adapted  
650 genes within species ranges, emerges as an additional strategy for dispersal-limited species,  
651 warranting further investigation in future studies incorporating evolutionary dynamics (Aitken &  
652 Bemmels, 2016; Kelly & Phillips, 2019; Young et al., 2020).

653

#### 654 *Model applications to other settings*

655 Applying our approach in new geographic locations to evaluate conservation performance of  
656 AM strategies requires data on species-specific physiological parameters (see Table.S1), which  
657 might be available in the literature or the TRY dataset (Kattge et al., 2020), as well as data on  
658 species occurrence and climatic tolerance traits. The European Alps are one candidate location for  
659 implementing this framework to evaluate AM performance, because these parameters are available  
660 for hundreds of European tree species (H. Bugmann, 1994). To inform management of climate-  
661 threatened species in more data-poor locations, future research could focus on our broader take-  
662 home messages of which species could be candidate functional types for AM (e.g., species  
663 intolerant to fire, drought, or heat, depending on which environmental factors climate change is  
664 impacting and how in a given location) and the effects of inter-specific competition on AM risks  
665 and benefits.

666

667

668 **Open Research Statement**

669 The major code (novel) and example data to replicate the results in this paper are on the  
 670 GitHub repository: [https://github.com/Yibiaozou/UCDavis\\_Forest\\_AM](https://github.com/Yibiaozou/UCDavis_Forest_AM), which is also the  
 671 intended repository for permanent archive. Observation data and climatic data used in this paper  
 672 are already published and publicly available, with those items cited in this submission. Tree  
 673 observation data in year 2017 was downloaded from LEMMA  
 674 (<https://lemmdownload.forestry.oregonstate.edu/>) using the following query: [BA\_GE\_3,  
 675 ABAM\_BA, ABGRC\_BA, ABLA\_BA, ABPRSH\_BA, ACMA3\_BA, ARME\_BA, CHNO\_BA,  
 676 PIEN\_BA, PICO\_BA, PIMO3\_BA, PIPO\_BA, PSME\_BA, QUGA4\_BA, TSHE\_BA, TSME\_BA,  
 677 CADE27\_BA, PIJE\_BA, PILA\_BA, PIAL\_BA, QUKE\_BA, PIBA\_BA]. For climatic data, we  
 678 used WorldClim version-2.1 [[https://www.worldclim.org/data/cmip6/cmip6\\_clim30s.html](https://www.worldclim.org/data/cmip6/cmip6_clim30s.html)]. For  
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## MODELING GOALS OF TREE ASSISTED MIGRATION

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993 **Biosketch**

994 Yibiao Zou is broadly interested in global ecosystem ecology. This work represents a component  
 995 of his undergraduate work at UC Davis on forest assisted migration. He now works on questions  
 996 of alternative biome states and forest fragmentation in Crowther Lab, ETH Zurich (see Crowther  
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999 Author contributions: YZ, GAB and MLB, conceived the ideas; YZ conducted the simulations and  
 1000 analysed the data; and YZ led the writing with assistance from GAB, HDS, SS and MLB.

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