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Encephalitis and antibodies to DPPX, a subunit of Kv4.2 potassium channels

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Abstract

Objective—To report a novel cell-surface autoantigen of encephalitis that is a critical regulatory subunit of the Kv4.2 potassium channels.

Methods—Four patients with encephalitis of unclear etiology and antibodies with a similar pattern of neuropil brain immunostaining were selected for autoantigen characterization. Techniques included immunoprecipitation, mass spectrometry, cell-base experiments with Kv4.2 and several dipeptidyl-peptidase-like protein-6 (DPPX) plasmid constructs, and comparative brain immunostaining of wild-type and *DPPX*-null mice.

Results—Immunoprecipitation studies identified DPPX as the target autoantigen. A cell based assay confirmed that all 4 patients, but not 210 controls, had DPPX antibodies. Symptoms included agitation, confusion, myoclonus, tremor, and seizures (one case with prominent startle response). All patients had pleocytosis, and three had severe prodromal diarrhea of unknown etiology. Given that DPPX "tunes up" the Kv4.2 potassium channels (involved in somatodendritic signal integration and attenuation of dendritic backpropagation of action potentials), we determined the epitope distribution in DPPX, DPP10 (a protein homologous to DPPX) and Kv4.2. Patients' antibodies were found specific for DPPX, without reacting with DPP10 or Kv4.2. The

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unexplained diarrhea led to demonstrate a robust expression of DPPX in the myenteric plexus, which strongly reacted with patients' antibodies. The course of neuropsychiatric symptoms was prolonged and often associated with relapses while decreasing immunotherapy. Long-term follow-up showed substantial improvement in 3 patients (1 is lost to follow-up).

Interpretation—Antibodies to DPPX associate with a protracted encephalitis characterized by CNS hyperexcitability (agitation, myoclonus, tremor, seizures), pleocytosis, and frequent diarrhea at symptom onset. The disorder is potentially treatable with immunotherapy.

Keywords

Antibodies; encephalitis; autoimmune; DPP6; DPPX; potassium channels

Introduction

The discovery that memory, behavior, cognition, and thought processes can be altered by autoantibodies has changed the approach to the diagnosis and treatment of neuropsychiatric disorders previously considered idiopathic. Since 2007, seven such antibodies have been identified (anti-NMDAR, AMPAR, GABA(B), LGI1, Caspr2, GlyR, and mGluR5), all targeting cell surface proteins involved in synaptic transmission, plasticity, or nerve excitability, and associated with syndromes that although severe, often respond to immunotherapy.¹ Patients may be comatose for several months, with bizarre behaviors, abnormal movements, or refractory seizures and still recover with immunotherapy and extended care support.² Considering that until recently these disorders were unknown, the relative high frequency of some has been surprising. For example, in a center focused in the diagnosis and epidemiology of encephalitis (California Encephalitis Project) the frequency of anti-NMDAR encephalitis surpassed that of any individual viral encephalitis.³ For these reasons, similar immune mechanisms are increasingly being considered in patients who develop rapidly progressive neuropsychiatric symptoms in the context of encephalitis of unknown etiology, a situation that occurs frequently. Nowadays about 70% of encephalitis of unclear etiology remain undiagnosed after extensive evaluation for infectious etiologies.⁴ In this setting, the identification of autoantibodies against neuronal cell surface antigens shifts the management to the use of immunotherapy and may extend the intensive care support in cases that otherwise might be considered futile. We report here the clinical and immunological features of 4 patients with prominent neuropsychiatric symptoms (preceded in 3 by intense diarrhea) and antibodies against a novel cell surface antigen, dipeptidylpeptidase-like protein-6 (DPP6 or DPPX), a cell surface auxiliary subunit of the Kv4.2 potassium channels. In addition to the known robust expression of DPPX in the hippocampus and cerebellum, we show that DPPX is also expressed in the myenteric plexus.

Patients, Material and Methods

The observation of 4 patients with subacute onset of neuropsychiatric symptoms and serum or CSF antibodies showing a similar pattern of immunostaining of the neuropil of rodent hippocampus and cerebellum, as well as immunolabeling of the cell-surface of dissociated cultured hippocampal neurons led us to immunoprecipitate the target antigen. None of the patients had antibodies to previously known synaptic or cell surface proteins, including among others the antibodies attributed to voltage-gated potassium channels (measured by radioimmunoassay using protein complexes labeled with dendrotoxin), and antibodies against LGI1 or Caspr2. Serum or CSF of 210 subjects including patients with autoimmune inflammatory and non-inflammatory encephalopathies, and normal individuals served as controls (see "serum, CSF samples and controls" in Supplemental material).

Patients

Patients are described in detail below (index case), in supplemental information (cases 2 and 3), and summarized in Table 1. The fourth case was a 76 year-old man who developed prominent diarrhea and weight loss along with rapidly progressive confusion, cognitive decline, seizures, unsteady gait, and evidence of intrathecal IgG production (IgG index 1.49); he is not included in the table due to limited information and lack of follow-up.

Patient 1 (index case)—A 61 year-old man with history of obesity, hypertension, and adult-onset diabetes mellitus was admitted for four weeks of abdominal pain and diarrhea followed by subacute change in mental status, characterized by depression, aggression, withdrawal, visual hallucinations, mutism, myoclonus and an exaggerated startle response. MRI of the abdomen showed a fatty liver but no evidence of tumor, and extensive GI workup was negative for fecal leukocytes, clostridium difficile, parasites and ova. Endoscopic biopsies from stomach, small bowel, and colon showed only chronic gastritis (serum H. Pylori IgG positive without bacterium on histology). The diarrhea persisted for over one month without other symptoms of autonomic dysfunction.

CSF, MRI and EEG studies are described in Table 1. CSF PCR for HSV, VZV, Tropheryma whippelii, and enterovirus were negative. Rheumatologic, paraneoplastic, and neuronal cell surface antibody panels (which also included the glycine receptor) were negative. Whole body CT and PET scans and testicular ultrasound did not reveal a cancer.

The patient was briefly intubated for worsening mental status, and treated with intravenous methylprednisolone (1000 mg/day \times 5 days) with notable neurologic improvement. He was then placed on a prolonged oral steroid taper over 4 months and discharged to a skilled nursing facility. Four months later he was readmitted with worsening mental status and a urinary tract infection. Treatment with antibiotics followed by IVIg (2 grams/kg over 5 days) resulted in brief neurologic improvement. He subsequently developed sepsis and was transferred to the ICU requiring a tracheotomy and PEG tube. Repeated IVIg did not improve his mental status. He was then treated with Rituximab (1000 mg iv \times 2 doses 15 days apart) and the prednisone was titrated to 5 mg every other day. The clinical course was complicated by urinary tract infections and pneumonia, and his mental status remained poor for 5 more months. At his best he could mouth a few words and follow simple commands. One striking finding on the exam at this stage of disease was an exaggerated startle response to sound and touch. In addition, he exhibited frequent episodic myoclonus, oral dyskinesias and paratonia; the muscle strength was normal.

He was eventually treated with plasma exchange. After the first exchange, he was able to converse readily with his examiners and answer questions, but not enough to participate in formal cognitive testing. Subsequently, he received monthly intravenous pulses of cyclophosphamide with a steady but incomplete improvement in cognition. He was able to return home 15 months after symptom onset. On follow-up 21 months after symptom onset, and after having received 9 monthly doses of intravenous cyclophosphamide, he was still at home with family, but required assistance with many activities of daily living. On cognitive testing, orientation was relatively preserved but there were impairments in attention and concentration, executive functioning, abstraction, visual-spatial functioning and phonemic fluency. Testing of verbal memory revealed successful encoding (cueing required). He scored 9 out of 30 points on the Montreal Cognitive Assessment (MOCA). He has lost 45 kg during the course of the disease.

Techniques used for detection of novel antibodies and precipitation of the autoantigen—The laboratory techniques used for antibody and antigen characterization, including Immunohistochemistry on rodent tissue, immunocytochemistry with neuronal

Immunocytochemistry on HEK293 cells

HEK293 cells were transfected with plasmids containing rat DPPX-S (short cytoplasmic domain of 32 amino acids), DPPX-L (long cytoplasmic domain of 88 amino acids), DPPXed-myc (DPPX construct with extracellular domain deleted, and linked to a myc-tag), rat Kv4.2, human DPP10, or plasmid without insert (control).⁹ In other experiments, cells were co-transfected with DPPX and Kv4.2 in equimolar ratios. The reactivity of patients' antibodies was then assessed as previously reported⁷ using a double immunofluorescent assay with patients' serum or CSF and a commercial antibody against DPPX or Kv4.2 (Supplemental information).

Immunohistochemistry with wild type and DPPX-null mice

Wild-type and *DPPX*-null mice were generated and genotyped as previously reported.¹⁰ The brain and bowel were removed, processed, and examined by standard avidin-biotin-peroxidase immunohistochemistry or immunofluorescence using patients' serum (1:200) or CSF (1:5) as indicated for brain and small bowel of rat (supplemental information).

Results

All 4 patients (2 male, 2 female; age range 45–76 years) developed a rapidly progressive encephalopathy characterized by agitation, delusions, hallucinations, and myoclonic jerks, which in 3 patients associated with prominent diarrhea of unclear etiology. All had confirmed or clinically suspected seizures and CSF pleocytosis with evidence of intrathecal production of IgG or oligoclonal bands. Detailed information from 3 patients showed that after multiple immunotherapies all had substantial recovery at the last follow-up (18–68 months from symptom onset); minimal follow-up information was available for the fourth patient.

Identification of the target antigen as DPPX

All 4 patients had antibodies in serum or CSF that reacted with the neuropil of brain of rodents (supplementary Figure 1) and the cell surface of live, non-permeabilized cultures of dissociated rat hippocampal neurons (Figure 1A). Immunoprecipitation of the target antigen with serum of one of the patients, followed by electrophoretic protein separation and EZBlue gel staining showed a distinct band of approximately 100 kDa that was not present in the immunoprecipitate using a control serum (Figure 1B). Excision of the band from the gel and analysis by mass spectrometry demonstrated that it contained sequences derived from DPPX (scores 6441, 5945, and 383; cutoff score for a confident protein identification 70). This finding was confirmed by immunoblotting of the precipitate with an antibody specific for DPPX (Figure 1C).

Immunohistochemical analysis of small bowel demonstrated that DPPX was specifically expressed by neurons of the myenteric plexus and that patients' antibodies also reacted with DPPX expressed in these neurons (Figure 2).

Patients' antibodies recognize epitope regions contained in DPPX, but not Kv4.2

HEK293 cells transfected with DPPX-S or DPPX-L showed similar reactivity with patients' serum or CSF, consistent with the recognition of an extracellular epitope (Figure 3 shows the reactivity with DPPX-L; similar reactivity was obtained with DPPX-S, not shown). Patients' antibodies did not react with cells expressing Kv4.2 (supplementary Figure 2), and the reactivity with DPPX was not modified when it was co-expressed with Kv4.2 (data not

shown). Further analysis using a DPPX plasmid in which the extracellular domain was deleted (DPPXed-myc)⁹ showed abrogation of reactivity with serum and CSF of patients 1 and 4, and weak reactivity with serum and CSF of patients 2 and 3, indicating that the latter two patients had antibodies against cell surface and intracellular epitopes (panels D and G of supplementary Figure 3). Although DPPX and DPP10 have 51% amino acid sequence identity,¹¹ patients' antibodies did not react with DPP10 (data not shown). Overall, these findings demonstrate that patients' antibodies specifically target DPPX, but not the Kv4.2 channel, and that some patients have antibodies against both, the extracellular and intracellular domains of DPPX.

We next determined in a cell-based assay co-expressing DPPX and Kv4.2 the reactivity of serum or CSF of the 210 controls. None of these subjects was found to have antibodies reacting against these 2 proteins, suggesting that antibodies against DPPX are specific to a subgroup of patients with autoimmune encephalitis. In contrast, a patient without encephalitis who had a thymoma and seronegative myasthenia gravis (included in the controls) had antibodies to DPP10, but not DPPX (Martinez-Hernandez, data not shown).

Analysis of patients' antibody reactivity with brain of DPPX-null mice

To further confirm the specificity of patients' antibodies for DPPX, immunohistochemistry with brain and bowel of wild-type mice was compared with that of *DPPX*-null mice. These experiments demonstrated abrogation of reactivity of serum or CSF of 3 patients (those shown in Table 1) with brain and bowel of *DPPX*-null mice indicating that patients' antibodies were directed only against DPPX (Figure 4 and supplementary Figure 4). One patient had additional antibodies against a protein of unknown identity (Figure 4H).

Discussion

We report 4 patients with a novel autoimmune disorder characterized by subacute development of cognitive dysfunction, agitation, hallucinations, confusion, resting tremor and myoclonus in association with antibodies against DPPX, a cell surface auxiliary subunit of the Kv4.2 potassium channel. In three patients, the neurological symptoms were preceded or overlapped with severe diarrhea and weight loss to the point that two patients underwent extensive endoscopic biopsies without a clear diagnosis. Support for an autoimmune etiology of this disorder is provided by the presence of cerebrospinal (CSF) pleocytosis, increased IgG index or oligoclonal bands, and the neurological response to intensive or persistent immunotherapy. Using patients' antibodies three sets of experiments established DPPX as the main autoantigen: immunoprecipitation of DPPX from cultures of dissociated rat hippocampal neurons; immunostaining of DPPX in a cell-based assay; and comparative brain immunostaining of wild-type and *DPPX*-null mice, showing abrogation of reactivity of patients' antibodies to an unknown antigen.

DPPX has a critical role "tuning up" the Kv4.2 channels by remodeling channel gating.¹² This type of potassium channel belongs to the mammalian Shal K+ channel family¹³ which has different properties compared with the Shaker K+ (Kv1) family, previously considered the target of antibody-associated limbic encephalitis, neuromyotonia, or Morvan's syndrome (the main autoantigens are LGI1 and Caspr2).^{14,15} The Kv4.2 channels operate in the subthreshold range of membrane potentials.¹² This somatodendritic subthreshold A-type K+ current (I_{SA}) is a critical component of the ensemble of voltage-gated ionic currents that determine somatodendritic signal integration.¹⁶ In many neurons, action potentials that initiate in the axon hillock propagate down the axon but also backpropagate into the dendrites. In the dendritic tree, these action potentials serve as signals that report the status of the neuron's output. The transient subthreshold I_{SA} current in dendrites attenuates this

backpropagation of action potentials. Under resting conditions I_{SA} shuts the action potential as it tries to spread into the distal regions of the dendritic tree. However, when excitatory synaptic inputs and somatic action potentials are paired within a certain time window, the ensuing subthreshold depolarization in distal dendrites inactivates I_{SA} , and the attenuation of backpropagating the action potential is substantially reduced. ¹³ It is believed that this interaction provides a coincidence detection mechanism that plays an important role in dendritic Ca⁺⁺ signaling, signal integration and synaptic plasticity. ^{12,13,16}

The function of the Kv4 channels is dependent on two auxiliary subunits, the intracellular Kv-channel-interacting proteins (KChIPs),¹⁷ and the extracellular DPPX that is predominantly expressed in hippocampal pyramidal neurons and cerebellum, or DPP10 that has a different brain expression profile and is also present in pancreas.^{9,11} DPPX is composed of a short cytoplasmic N-terminus, a single trans-membrane domain, and a large extracellular C-terminus. Depending on the length of the cytoplasmic domain, two adult forms, DPPX-S and DPPX-L, have been identified.^{18,19} Consistent with the presence of antibodies against extracellular epitopes, our 4 patients' serum and CSF equally recognized DPPX-S and DPPX-L, but two patients had additional antibodies against intracellular epitopes present in a mutant construct in which the extracellular C-terminus was deleted.

The extensive evaluation and prolonged follow-up of three patients indicate that this disorder is severe, resulting in lengthy hospitalizations or multiple relapses that usually occurred while the immunotherapy was decreased. Patient # 1 was able to return home 15 months after symptom onset, and had a clinical relapse while the prednisone was tapered. Patient #2 spent 10 months in the hospital and currently continues to receive rituximab treatments when the CD19 count increases to 1%. On one occasion delay of treatment resulted in symptom recurrence. Patient #3 had 7 relapses in 5 years, most related with attempts to decrease the dose of steroids.

The main symptoms of this disorder including, agitation, myoclonus, tremor, and seizures, although not characteristic of a specific syndrome, are compatible with neuronal hyperexcitability, and consistent with the increased excitability noted in electrophysiological studies of *DPPX*-knockouts.²⁰ Interestingly, a truncation mutation of Kv4.2 identified in a patient with temporal lobe epilepsy resulted in aberrant excitability of cells expressing the mutant channel.²¹ Altogether, these findings suggest that genetic or immunological alteration of the DPPX-Kv4.2 complex leads to neuronal hyperexcitability. In clinical practice, the combination of the neurological symptoms indicated above with severe diarrhea and non-organ specific antibodies (e.g. ANA) may lead to a wide differential diagnosis including among other Whipple's disease or lupus erythematosus, as occurred in our patients.

At this time the significance of the diarrhea is unclear, but this symptom is notable because it was severe, lasted for several weeks, and only occurred at the initial episode of encephalitis. Moreover, review of our experience with encephalitis suspected to be autoimmune suggests a link between diarrhea and DPPX antibody-associated encephalitis. Indeed, among 1429 cases of encephalitis of unclear etiology examined by us in the last 4 years, only 11 had severe diarrhea at symptom onset. Three of these 11 patients correspond to the cases reported here, and the other 8 did not have DPPX antibodies; none of the 1418 cases without diarrhea had serum or CSF brain reactivity as that shown by DPPX antibody positive samples (see supplementary Figure 1A). A plausible explanation for the association of diarrhea and DPPX antibody-associated encephalitis is that in some patients the immune response may result from molecular mimicry between DPPX and a yet unknown infectious agent. This paradigm would be similar to the mechanism that triggers GM1 autoantibodies in patients with Guillain-Barré syndrome and Campylobacter jejuni infection. Moreover, the

A practical implication of this study is that detection of antibodies to DPPX in patients with encephalitis of unclear etiology should prompt the use of immunotherapy. Although the frequency of this disorder is unknown, the triad of diarrhea, encephalitis with signs of hyperexcitability and CSF pleocytosis will likely lead to the recognition of new cases.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1. Immunoprecipitation of DPPX

In cultures of dissociated rat hippocampal neurons, patients' antibodies showed intense reactivity with the neuronal cell surface (A), bar = 10 μ m. Immunoprecipitation of the antigen with serum of the index case is shown in B, where the precipitated proteins were run in a gel and subsequently stained with EZblue. Note that patient's antibodies precipitated a protein (band close to 102 kDa in lane P), which was excised from the gel and analyzed by mass spectrometry, demonstrating sequences of DPPX. Lane N is the precipitate obtained from control serum. Immunoblot of these proteins with a rabbit polyclonal antibody against DPPX (1:1000, developed by BR) confirmed that the band corresponded to DPPX (C).



Figure 2. Expression of DPPX in myenteric plexus

Transverse section of small bowel of rat showing the longitudinal muscular layer (LM), circular muscular layer (CM), submucosal layer (SM), and glans (G). The myenteric plexus (Plex) is revealed as clusters of large neurons between the two muscular layers. In the 3 panels (A–C) the nuclei of the neurons (red) was labeled with anti-Hu (a highly specific neuronal marker). Panel A, shows in green the DPPX immunostaining using a rabbit polyclonal antibody (1:1000, developed by BD); panel B shows the DPPX reactivity of serum from one of the patients with encephalitis, and panel C shows the lack of reactivity of serum from a healthy subject. Note that DPPX is predominantly expressed in the cytoplasmmembrane of the large clustered neurons of the myenteric plexus, and is also detected in a fine longitudinal pattern in CM and SM where the submucosal plexus is located. Bar = 20μ m.



Figure 3. Analysis of DPPX antibodies using a cell-based assay

HEK 293 cells expressing DPPX-L immunostained with patients' serum (A, D, G, J) and a mouse monoclonal antibody against DPPX (B, E, H, K). The merged reactivities are shown in the corresponding panels (C, F, I, L). Similar studies comparing the serum of a healthy individual and the DPPX monoclonal antibody are shown in M and N, and the merged reactivities in O. Note that patient's antibodies immunoreact with cells expressing DPPX. Bar = $10 \,\mu$ m.



Figure 4. Comparison of patients' serum reactivity using brain from *DPPX*-null mutants and wild type mice

The reactivity of patients' serum with the hippocampus of wild type mice is shown in A, C, E and G. The reactivity of a rabbit polyclonal DPPX antibody with the hippocampus of wild-type mice is shown in I. Panels on the right side show the results of a similar experiment but using the hippocampus of *DPPX*-null mice. Note that the reactivities of the sera of the first three patients (cases 1, 2, and 3 of Table 1) and the rabbit polyclonal DPPX antibody are abrogated in the hippocampus of *DPPX*-null mice (panels B, D, F, J). Patient 4, not included in the table (panels G and H) showed remaining reactivity with the hippocampus of *DPPX*-null mice indicating that this patient had two antibodies, one against DPPX and the other against an unknown antigen. Bar = $200 \,\mu$ m.

Clinical	features, treatmen	nt, and outcome							
Sex, age	Initial symptoms	Main symptoms	Other	CSF	Brain MRI	EEG	Antibody titer*	Treatment (ordered chronologically)	Outcome (duration follow-up)
M,61	Abdominal pain, diarrhea, depression, aggression, withdrawal	Paranoid delusions, visual hallucinations, mutism, resting tremor, myoclonus, exaggerated startle response.	Decreased level of consciousness, able to track, but not follow commands, commands, suspected seizures	July 2010: WBC 117, protein 82, no OCB. December 2010: WBC 9, protein 51, IgG index 1.36; May 2011: WBC 5, protein 50, IgG index 0.95; August 2011: WBC 1, protein 40, IgG index 0.92; May 2011: WBC 1, protein 40, IgG	Multiple MRIs: Non- specific patchy periventricular and subortical white matter T2/FLAIR increased signal.	Video EEG: diffuse slowing, poor organization; no epileptic activity	July 2010: serum 1:6400 CSF: 1:160 September 2011: Serum 1:1280 May 2012: CSF: 1:10	IV methylprednisolone, oral steroids: substantial improvement, but relapsed with steroid taper. IVIg: mild improvement. Rutximale: mild improvement. exchange: substantial improvement. Cyclophosphamide: steady, but incomplete improvement.	Able to return home 15 months after symptom onset. Currently completing the 6 th monthly cycle of cyclophosphanide. Oriented to person, place and time, able to follow simple conversations. Occasional episodes of agitation. Persistent deficits in executive functioning, attention, concentration, visual-spatial functioning. (FU=21 months)
F, 45	Diarrhea, 30 kg weight loss, memory deficit, insonnia, anxiety	Agitation, paranoia hallucinations, anxiety, insomnia. Recurrent generalized seizures, episodes of status Myoclonus, coarse resting tremor	Decreased level of consciousness, hyperreflexia, orofacial movements, horizontal nystagmus. ANA >2560	WBC 15, normal protein; normal glucose; positive OCB.	Multiple MRIs Normal	Background with intermittent generalized theta, delta. PLEDS.	Serum: 1:1280 CSF: 1:160	IV methylprednisolone: no response. IVIg: slow improvement. Rituximab: accelerated improvement (remains on periodic Rituximab).	Good. Mild transient relapse when rituximab was skipped. Living independently, normal cognition. Dependent on Rituximab. (FU=49 months)
F, 58	none	Hallucinations, decreased speech, parasomnias, myoclonus, tremor, unsteady gait.	Psychosis (admitted to psychiatry). Clinically suspected seizures. Congenital nystagmus. Single stranded DNA antibodies to dsDNA. antibodies to dsDNA.	June 2006: WBC 11., protein 50, increased IgG index, positive OCB: April 2007: WBC 5, protein 52 protein 52 WBC 4, protein 53: April 2008: WBC 4, protein 62: September 2008: WBC 1; protein 81; December 2008: WBC 1; protein 71	Multiple MRIs: non- specific white matter changes. One showing non-acute but new right frontal infarction (biopsy = resolving infarction without vasculitis)	slow background activity	April 2008: CSF 1: 80 September 2008: Serum 1: 1280 CSF: 1:80	Prednisone: improvement (relapses at several tapers). IVIg and rituximab: no clear effect. Cyclophosphamide: 1 cycle (no further cycles due to cryptococcal pneumonia). Plasma exchange: partial improvement.	Alert, attentive, fully oriented, normal short-term memory, knows current events. No tremor, myoclonus, or hallucinations. Walks with a slightly wide base. (FU=68 months)

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Table 1

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OCB: oligoclonal bands; FU: follow-up