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1 **2D and 3D simulations of static response of a geosynthetic**
2 **reinforced soil bridge abutment**

3
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16

17 **ABSTRACT:** This paper presents two-dimensional (2D) and three-dimensional (3D) numerical
18 simulations of a half-scale geosynthetic reinforced soil (GRS) bridge abutment during
19 construction and bridge load application. The backfill soil was characterized using a nonlinear
20 elasto-plastic model that incorporates a hyperbolic stress-strain relationship and the Mohr-
21 Coulomb failure criterion. Geogrid reinforcements were characterized using linearly elastic
22 elements with orthotropic behavior. Various interfaces were included to simulate the interaction
23 between the abutment components. Results from the 2D and 3D simulations are compared with
24 physical model test measurements from the longitudinal and transverse sections of a GRS bridge
25 abutment. Facing displacements and bridge seat settlements for the 2D and 3D simulations agree
26 well with measured values, with the 2D simulated values larger than the 3D simulated values due
27 to boundary condition effects. Results from the 3D simulation are in reasonable agreement with
28 measurements from the longitudinal and transverse sections. The 2D simulation can also
29 reasonably capture the static response of GRS bridge abutments and is generally more
30 conservative than the 3D simulation.

31
32 **KEYWORDS:** Geosynthetics, Geosynthetic reinforced soil, Bridge abutment, Numerical
33 simulation, Three-dimensional; Two-dimensional.

34

35 **1. Introduction**

36 Geosynthetic reinforced soil (GRS) bridge abutments are becoming widely used in
37 transportation infrastructure. Several case histories of in-service GRS bridge abutments have
38 been reported in the literature and indicate good performance in terms of facing displacements
39 and bridge seat settlements (Abu-Hejleh et al. 2002; Adams et al. 2011; Saghebfar et al. 2017;
40 Talebi et al. 2017; Gebremariam et al. 2020a, 2020b). Field and laboratory loading tests have
41 also been conducted on GRS piers and abutments and yielded important findings (e.g., Wu et al.
42 2001, 2006; Pham 2009; Nicks et al. 2013, 2016; Adams et al. 2014; Iwamoto et al. 2015; Xiao
43 et al. 2016; Xu et al. 2019; Zheng et al. 2019a, 2019b). Such experimental studies are typically
44 time-consuming, labor intensive and costly.

45 Numerical modeling studies, when properly validated, can be used to effectively
46 compliment and augment experimental research. Most numerical studies of GRS bridge piers
47 and abutments are two-dimensional (2D) and predict relatively small magnitudes of facing
48 displacements and bridge seat settlements under service load conditions (e.g., Helwany et al.
49 2003, 2007; Ambauen et al. 2015; Leshchinsky and Xie 2015; Kaya 2016; Zheng and Fox 2016,
50 2017; Ardah et al. 2017; Zheng et al. 2018a; Shen et al. 2020). Corresponding parametric
51 evaluations from these studies indicate that the relative compaction of backfill soil,
52 reinforcement vertical spacing, reinforcement tensile stiffness, and bridge load have the most
53 significant effects on the performance of GRS bridge abutments.

54 Although these 2D numerical studies provide important insights into the performance of
55 GRS piers and abutments, three-dimensional (3D) numerical modeling is needed to accurately
56 capture the stress paths and boundary conditions for these systems. Zheng et al. (2018b)
57 validated a 3D numerical model for GRS mini-piers under service load conditions using a

58 nonlinear elasto-plastic model that incorporated a hyperbolic stress-strain relationship for the
59 backfill soil and a linear elastic orthotropic model for the geotextile reinforcement. Simulation
60 results indicate that backfill soil friction angle, backfill soil apparent cohesion, reinforcement
61 spacing, and reinforcement stiffness have important effects on settlements and facing
62 displacements under service load conditions. Rong et al. (2017) conducted a 3D numerical
63 simulation for a GRS bridge abutment and found that the application of bridge load produced
64 multi-directional deformations, including outward displacements for the front wall facing and
65 smaller outward displacements for the side wall facings. Additional investigations that consider
66 3D effects are needed to better understand the static response of GRS bridge abutments in both
67 the longitudinal and transverse directions.

68 Research on the comparison of 2D and 3D numerical modeling results for GRS piers and
69 abutments is limited. Abu-Farsakh et al. (2018) conducted both 2D and 3D numerical
70 simulations for a GRS-IBS abutment that included comparisons with field measurements.
71 Results indicate that facing displacements for 3D simulations were slightly smaller than those for
72 the 2D simulations, both of which generally agreed well with the field measurements. Shen et al.
73 (2019) conducted 2D and 3D numerical simulations for GRS mini-piers using a linearly elastic-
74 perfectly plastic soil model and a linear elastic reinforcement model. Simulation results indicate
75 that 2D plane strain conditions are more conservative than 3D conditions because lateral facing
76 displacements are permitted for opposite sides of the mini-piers in 2D but for all four sides in 3D.
77 In addition, the use of plane strain conditions requires the assumption of frictionless side
78 boundaries and do not account for mechanical interlocking at the corner of the GRS bridge
79 abutment facings in 3D conditions.

80 This paper presents a numerical investigation of a half-scale GRS bridge abutment

81 specimen during construction and bridge load application. 2D and 3D numerical simulations
82 were conducted considering the nonlinear behavior of backfill soil, orthotropic behavior of the
83 geogrid in the machine and cross-machine directions, various interfaces between different
84 components, and staged construction. Simulation results are compared with experimental
85 measurements for the longitudinal and transverse sections of a GRS bridge abutment to better
86 understand the multi-directional response of this system under static loading.

87

88 **2. Numerical model**

89 The finite difference program *FLAC3D Version 5.0* (Itasca Consulting Group 2015) was
90 used for the current investigation to simulate the static response of a half-scale GRS bridge
91 abutment specimen as measured from a physical model test. Considering a length scaling factor
92 of 2, the half-scale GRS bridge abutment specimen corresponds to a prototype GRS bridge
93 abutment with a clearance height of 4.5 m, which satisfies Federal Highway Administration
94 (FHWA) requirements (Zheng et al. 2019a). A 3D numerical model was developed to simulate
95 the GRS bridge abutment system, and a 2D numerical model was developed to simulate a plane
96 strain slice of the system in the longitudinal direction. The details of the experimental program,
97 including scaling relationships, specimen configuration, material properties, construction
98 procedures, and instrumentation, are reported by Zheng et al. (2018c, 2019a).

99

100 **2.1. Model configuration and instrumentation**

101 The 3D model for the GRS bridge abutment developed using *FLAC3D* is shown in Figure
102 1. A bridge beam rests on a GRS bridge abutment with a concrete bridge seat at one end and on a
103 concrete support wall at the other end. The GRS bridge abutment specimen includes three

104 modular block wall facings, including one front wall facing (perpendicular to the bridge beam)
105 and two side wall facings (parallel to the bridge beam), and the back of the specimen is
106 supported by a reaction wall. The bottom boundary of the model was fixed in the x , y , and z
107 directions. All four lateral boundaries were fixed in the direction perpendicular to the plane
108 boundary and free to displace in all directions parallel to the boundary.

109 Cross-sections of the GRS bridge abutment model in the longitudinal and transverse
110 directions are shown in Figures 1(b) and 1(c), respectively. The 2.7 m-high GRS bridge
111 abutment consists of a 2.1 m-high lower GRS fill and a 0.6 m-high upper GRS fill. The lower
112 GRS fill has fourteen 0.15 m-thick soil lifts, with each lift including reinforcement layers in both
113 the longitudinal and transverse directions. Reinforcement layers perpendicular to the diagram are
114 shown as dashed lines in Figure 1. The transverse reinforcement layers and side wall facing
115 blocks for each lift are offset by 25 mm vertically from the longitudinal reinforcement layers and
116 front wall facing blocks. The reinforcement layers were placed between facing blocks with
117 frictional connections. The upper GRS fill consists of four 0.15 m-thick soil lifts with
118 reinforcement layers only in the transverse direction. The total weight of the bridge seat and
119 bridge beam (including dead weights) produces an average applied surcharge stress of 66 kPa on
120 the lower GRS fill. The soil used for the foundation layer and GRS bridge abutment specimen
121 was a well-graded angular sand with no gravel and a low fines content, and the reinforcement
122 was a uniaxial high-density polyethylene (HDPE) geogrid (Zheng 2018c, 2019a).
123 Instrumentation for the abutment specimen is shown in Figure 2 for top view, longitudinal
124 section L1, and transverse section T1.

125 In addition to the 3D numerical model, a 2D numerical model was simulated using
126 *FLAC3D* for the longitudinal centerline section L1. *FLAC3D* was used to ensure consistency

127 between the 2D and 3D models with regard to the modeling approach and constitutive models.
 128 The 2D longitudinal model has a thickness of 0.3 m in the third dimension (equivalent to the
 129 width of one facing block) and was developed for plane strain conditions. Consistent with the 3D
 130 model, the average applied surcharge stress on the lower GRS fill is 66 kPa for the 2D model.

131

132 2.2. Soil

133 The foundation soil and backfill soil were the same in this study. The soil was modeled as
 134 a nonlinear elasto-plastic material with the Duncan-Chang hyperbolic stress-strain relationship
 135 (Duncan et al. 1980) and the Mohr-Coulomb failure criterion. The soil model accounts for
 136 nonlinear behavior and has been used to simulate the static response of GRS bridge abutments
 137 under service load conditions (Zheng and Fox 2016, 2017). The tangent elastic modulus E_t ,
 138 unloading-reloading modulus E_{ur} , bulk modulus B , and tangent Poisson's ratio ν_t are expressed
 139 as (Duncan et al. 1980):

$$140 \quad E_t = \left[1 - \frac{R_f (1 - \sin \phi') (\sigma'_1 - \sigma'_3)}{2c' \cos \phi' + 2\sigma'_3 \sin \phi'} \right]^2 K p_a \left(\frac{\sigma'_3}{p_a} \right)^n \quad (1)$$

$$141 \quad E_{ur} = K_{ur} p_a \left(\frac{\sigma'_3}{p_a} \right)^n \quad (2)$$

$$142 \quad B = K_b p_a \left(\frac{\sigma'_3}{p_a} \right)^m \quad (3)$$

$$143 \quad \nu_t = \frac{1}{2} - \frac{E_t}{6B} \quad (4)$$

144 where σ'_1 and σ'_3 = major and minor principal effective stresses; ϕ' = friction angle; c' =
 145 cohesion; R_f = failure ratio; K = elastic modulus number; n = elastic modulus exponent; p_a =

146 atmospheric pressure (101.3 kPa); K_{ur} = unloading-reloading modulus number; K_b = bulk
147 modulus number; m = bulk modulus exponent; and ν_t has a range of 0 to 0.49.

148 Soil parameters were calibrated using measured data from consolidated-drained triaxial
149 compression tests on dry sand specimens compacted to a relative density of 70%, which
150 corresponds to the target compaction density during construction of the GRS bridge abutment
151 specimen (Zheng et al. 2019a). Comparison of simulated and measured results is shown in
152 Figure 3 and indicates accurate reproduction of nonlinear stress-strain behavior up to the peak
153 shear strength. The sand has a peak friction angle of $\phi'_p = 51.3^\circ$. The post-peak strain softening
154 response at high axial strain is not captured by the model, and the model does not capture the
155 dilation behavior observed in the triaxial tests at high axial strains. However, these are not
156 expected to significantly affect the findings of the current study that focuses on service load
157 conditions (Zheng et al. 2018a). Based on the measured gravimetric water content for each lift
158 during construction, the apparent cohesion was calculated using the soil-water retention curve
159 (SWRC) reported by Zheng et al. (2019a) and the suction stress concept of Lu et al. (2010).
160 Calculated values of apparent cohesion are relatively uniform with elevation and have an average
161 of 2 kPa. A summary of soil parameters is presented in Table 1.

162

163 **2.3. Reinforcement**

164 The geogrid reinforcement was modeled using linearly elastic geogrid elements with
165 orthotropic behavior to account for different properties in the machine direction (MD) and cross-
166 machine direction (CMD). As such, different values of tensile stiffness were assigned for MD
167 and CMD according to results from tensile tests (ASTM D6637). For the physical model test, the
168 reinforcement strain level was approximately 0.15% and the elapsed time for construction was

169 approximately 7 days (168 hours) for the GRS bridge abutment specimen (Zheng et al. 2019a).
 170 According to the data sets of uniaxial HDPE geogrid reported by Bathurst and Naftchali (2021),
 171 the creep stiffness for strain level of 0.15% and elapsed time of 168 hours is approximately the
 172 same as the secant stiffness at 5% strain from constant rate-of-strain test at 10% strain/min.
 173 Therefore, the secant stiffness at 5% strain $J_{5\%} = 380$ kN/m in the MD and $J_{5\%} = 80$ kN/m in
 174 the CMD from constant rate-of-strain tensile tests were selected as the reinforcement stiffness
 175 values. The elastic modulus E_r was calculated using $J_{5\%}$ as:

$$176 \quad E_r = J_{5\%} / t_r \quad (5)$$

177 where t_r is the geogrid thickness. The geogrid has $t_r = 1$ mm, $E_r = 380$ MPa in the MD, and
 178 $E_r = 80$ MPa in the CMD.

179

180 **2.4. Structure components**

181 The modular facing blocks, bridge seat, support wall, reaction wall, and shaking table
 182 were modeled as linearly elastic materials with unit weight $\gamma = 23.5$ kN/m³, elastic modulus E
 183 = 20 GPa, and Poisson's ratio $\nu = 0.2$. The bridge beam was modeled as an elastic solid block
 184 with $E = 20$ GPa and $\nu = 0.2$. Considering the total weight of concrete beam and additional
 185 dead weights, the equivalent unit weight of this solid block $\gamma_b = 37.8$ kN/m³, which produced an
 186 average applied surcharge stress $q_v = 66$ kPa on the lower GRS fill. Similarly, the equivalent unit
 187 weight for one slice of the solid block with a thickness of 0.3 m was 27.1 kN/m³ for the 2D
 188 longitudinal model to produce the same value of $q_v = 66$ kPa.

189

190 **2.5. Interfaces**

191 The interaction between different components of the GRS bridge abutment system was
192 modeled through interfaces, in which the interface shear strength is defined using interface
193 friction angle δ'_i and adhesion c'_i . Soil interface shear strength parameters (e.g., soil-geogrid,
194 soil-block, and soil-bridge seat) are characterized using a reduction factor RF defined as:

$$195 \quad RF = \frac{\tan \delta'_i}{\tan \phi'_p} = \frac{c'_i}{c'} \quad (6)$$

196 The shear strength parameters for soil interfaces, block-geogrid interfaces, block-block
197 interfaces, and bridge beam-bridge seat interfaces were selected according to data from
198 references, as summarized in Table 2. The values of normal stiffness k_n and shear stiffness k_s for
199 the interfaces were determined according to the *FLAC* Manual (Itasca Consulting Group 2015).

200

201 **2.6. Modeling procedures**

202 The 3D numerical model for the GRS bridge abutment system was constructed in stages.
203 The support structures, including shaking table, reaction wall, and support wall were first
204 resolved to equilibrium under gravitational forces. The foundation soil layer then was placed on
205 the shaking table and the lower GRS wall was constructed in fourteen layers, with each layer
206 consisting of one lift of soil, one course of facing blocks (three sides), geogrid layers in both the
207 longitudinal and transverse directions, and interfaces between different components. A
208 temporary uniform vertical surcharge stress of 8 kPa was applied to the top surface of each soil
209 lift, and then removed prior to placement of the next lift to simulate the effects of backfill soil
210 compaction. This modeling approach for soil compaction has been widely used and validated in
211 many previous studies (e.g., Hatami and Bathurst 2006; Guler et al. 2007; Huang et al. 2010; Yu
212 et al, 2016; Zheng and Fox 2017). Once the lower GRS wall was completed, the bridge seat was
213 placed on top of the fill, and the upper GRS fill was similarly constructed in lifts with only

214 transverse reinforcement layers connected to side wall facings. The bridge beam then was placed
215 on the bridge seat and support wall. For each construction stage and soil layer, the numerical
216 model was resolved to equilibrium under gravitational forces. A sensitivity analysis also was
217 conducted to investigate the effect of mesh size on the simulation results. Considering the
218 computational accuracy and efficiency, a total of 10,297 elements was selected for the 3D
219 numerical model, with mesh elements shown in Figures 1(b) and 1(c). The 2D longitudinal
220 model was constructed in stages in the same manner and contained 791 elements.

221

222 **3. Simulation results**

223 Results from the 3D numerical simulation are presented for the instrumented longitudinal
224 section L1 and transverse section T1 (Figure 2) of the GRS bridge abutment specimen, and
225 results from the 2D numerical simulation also are presented for comparison. Simulated and
226 measured results, including wall facing displacements, bridge seat settlements, soil stresses, and
227 reinforcement tensile strains and tensile forces, are evaluated after construction of the lower GRS
228 wall (Stage 1), after placement of the bridge seat and construction of the upper GRS fill (Stage
229 2), and after placement of the bridge beam (Stage 3). Outward displacements for the front wall
230 and side wall facings and downward displacements (i.e., settlements) for the bridge seat are
231 defined as positive.

232

233 **3.1. Facing displacements**

234 Profiles of wall facing displacement for the longitudinal section L1 (i.e., front wall) and
235 the transverse section T1 (i.e., west side wall) after each stage of construction are shown in
236 Figure 4, and the maximum value from each profile is presented in Figure 5. For the longitudinal

237 section, measured facing displacements for section L1 generally increase with elevation, with
238 maximum values of 2.3 mm for Stage 1 and 3.2 mm for Stage 3. 2D and 3D simulations show
239 similar profile shapes, with displacements increasing from the bottom of the wall, reaching the
240 maximum near the mid-height, and then decreasing toward the top. The maximum facing
241 displacement for the 3D simulation increases from 1.8 mm for Stage 1 to 2.8 mm for Stage 3,
242 and the corresponding values for 2D simulation are slightly larger and the maximum value
243 increases from 2.0 mm to 3.6 mm. The prediction deviations, defined as the difference between
244 the simulated and measured values divided by the measured value, are 22% for Stage 1 and 12%
245 for Stage 3.

246 In general, facing displacements for the longitudinal section in both the 2D and 3D
247 simulations are in reasonable agreement with measured displacements, with the 3D simulated
248 values slightly smaller than the measured values and the 2D simulated values mostly larger. This
249 suggests that the 2D simulation is more conservative than the 3D simulation in terms of facing
250 displacements, which is consistent with the observations reported by Shen et al. (2019). This
251 conservatism is explained by boundary conditions, in which outward displacements were
252 permitted on three sides (one front wall facing and two side wall facings) for the 3D simulation,
253 but only on one side (front wall facing) for the 2D simulation. In addition, the soil shear stresses
254 developed between adjacent longitudinal slices in the 3D model could restrict the relative
255 movements, while frictionless side boundaries were assumed for the 2D model.

256 In Figure 4(b) for the transverse section, the 3D simulated facing displacement profiles
257 for are in good agreement with measured displacement profiles. Simulated and measured
258 maximum facing displacements are close and both occur at the mid-height of the wall with
259 prediction deviations ranging from 5% to 23% for all three stages. The simulated maximum

260 displacement for transverse section T1 is 1.3 mm for Stage 1 and 2.0 mm for Stage 3, which are
261 smaller than corresponding maximum values of 1.9 mm and 2.8 mm for longitudinal section L1.
262 In general, simulated facing displacements for the longitudinal and transverse sections are in
263 reasonable agreement with measurements with respect to both magnitude and trend.

264

265 **3.2. Bridge seat settlements**

266 Measured settlements at the four top corners of bridge seat due to placement of bridge
267 beam (i.e., difference from Stage 2 to Stage 3) are shown in Figure 6. Measured values are 3.4
268 mm, 0.7 mm, and 2.9 mm at the NW, NE, and SW corners, respectively. The string
269 potentiometer on the SE corner malfunctioned for this stage, as reported by Zheng et al. (2019a),
270 and the corresponding measurement is unavailable. The measured settlement of 0.7 mm at the
271 NE corner is small and likely reflects tilting of the bridge seat toward the west during placement
272 of the bridge beam (Zheng et al. 2019a) due to initial imperfect contact (i.e., gap) between the
273 bridge seat and top of reinforced soil (Gebremariam et al. 2020a).

274 Figure 6 also provides settlements from the 3D and 2D simulations. Settlements on the
275 north side (NW and NE corners) in both the 3D and 2D simulations are equal and settlements on
276 the south side (SW and SE corners) are equal. For the 3D simulation, the settlements of 3.8 mm
277 on the north side (NW and NE) are slightly larger than the value of 3.5 mm on the south side
278 (SW and SE), which indicates tilting of the bridge seat towards north under the bridge surcharge
279 stress. The 3D simulated average bridge seat settlement of the four corners is 3.6 mm, which
280 corresponds to a vertical strain of 0.17% for the 2.1 m-high lower GRS fill. Settlements on the
281 north and south sides for the 2D simulation are 3.9 mm and 3.6 mm, which are slightly larger
282 than the values for the 3D simulation. In general, simulated settlements on the west side of the

283 bridge seat (NW and SW) for 2D and 3D simulations are in good agreement with the
284 corresponding measured values. The 2D and 3D simulations provided reasonable estimates of
285 the average bridge seat settlements.

286

287 **3.3. Soil stresses**

288 Profiles of vertical soil stress for the longitudinal section and Stages 1 and 3 are shown in
289 Figure 7. Vertical stress profiles calculated using the AASHTO (2020) method also are shown
290 for comparison, in which values for Stage 1 were calculated using soil self-weight and values for
291 Stage 3 were calculated using soil self-weight plus a fraction of the applied surcharge stress
292 obtained from a 2:1 stress distribution. For Stage 1, vertical soil stresses for both 2D and 3D
293 simulations increase approximately linearly with depth and are in close agreement with the
294 calculated AASHTO (2020) values. Measured vertical soil stresses are in good agreement with
295 the simulated values near the top but deviate significantly with increasing depth. This is
296 attributed to the friction developed at the back of facing blocks and partial support of backfill soil
297 weight from reinforcement near the facing, similar to the findings of Runser et al. (2001) for a
298 steel-reinforced soil wall. However, these effects are not well captured in the simulations,
299 because the approach to model the soil compaction effect using an equivalent uniform surcharge
300 stress does not accurately simulate the local differential settlements between the facing blocks
301 and backfill soil.

302 Corresponding vertical stresses for Stage 3 are presented in Figure 7(b) and show similar
303 profile shapes, with the 2D values generally larger than the 3D values. The vertical stress profile
304 for the 3D simulation most closely matches the measured profile, with the simulated values
305 smaller near the top and larger near the bottom. The differences between the 3D simulated and

306 measured stresses near the bottom are close to the differences for Stage 1. Profiles of incremental
307 vertical stress from Stage 1 to Stage 3 are shown in Figure 7(c). Incremental stresses for the 3D
308 simulation are in better agreement with measurements. The 2D and 3D simulated incremental
309 vertical soil stresses are smaller than calculated incremental values using the AASHTO (2020)
310 method, which indicates that the AASHTO (2020) method generally is conservative for applied
311 surcharge stress.

312 Corresponding profiles of lateral (i.e., horizontal) soil stress behind the front wall facing
313 are shown in Figure 8, along with calculated values obtained using the AASHTO (2020) vertical
314 stress profiles in Figure 7 multiplied by the Rankine active earth pressure coefficient K_a (=
315 0.12). For Stage 1, with increasing depth, the 3D simulated lateral stresses are generally small
316 (less than 2 kPa) at the top and then increase significantly toward the bottom of the wall. The 3D
317 and 2D simulations show much larger stresses than the measured and calculated AASHTO
318 values near the bottom, which is attributed to the large toe restraint due to friction developed
319 between the lowermost facing block and foundation soil. Lateral soil stresses for the 3D
320 simulation are generally smaller than those for the 2D simulation, especially near the mid-height
321 of the wall because soil deformations in the out-of-plane direction were restricted for the 2D
322 simulation.

323 For Stage 3, shown in Figure 8(b), the 3D simulated lateral soil stresses are generally in
324 good agreement with measured values and smaller than the calculated AASHTO (2020) values
325 except near the bottom of the wall. The 2D simulated lateral stresses increase significantly with
326 depth, with much larger values than the 3D simulation due to constraints of the plane strain
327 conditions. Profiles of incremental lateral soil stress from Stage 1 to Stage 3 are shown in Figure
328 8(c). Incremental stresses for the 3D simulation are slightly larger than the measured values but

329 much smaller than the calculated AASHTO (2020) values, which again indicates that the
330 AASHTO (2020) method is conservative.

331

332 **3.4. Reinforcement tensile strains**

333 Distributions of reinforcement tensile strains are shown in Figure 9. For the longitudinal
334 section, shown in Figure 9(a), tensile strains from the 3D simulation for Stages 1 and 2 are much
335 larger in the lower and mid-height reinforcement layers than in the upper layers. For Stage 3,
336 tensile strains increase substantially due to placement of the bridge beam, especially in the upper
337 layers under the bridge seat. Tensile strains for the 3D simulation are smaller than for the 2D
338 simulated values at the end of each construction stage, especially near the facing connections.
339 The simulated maximum strain in each reinforcement layer occurs under the bridge seat (i.e., $x =$
340 0.4 m to 0.7 m) for the 3D simulation and near the facing connections for the 2D simulation.
341 Measured maximum values of reinforcement tensile strain occur near the facing connections in
342 lower layers 1, 4, and 7, and under the bridge seat in upper layers 10 and 13. In general,
343 reinforcement tensile strains for the 3D simulation are in good agreement with the measured
344 values except for some deviations near the facing connections for the mid-height layers.

345 Reinforcement tensile strains for the transverse section are shown in Figure 9(b). The
346 maximum strain in each reinforcement layer from the 3D simulation occurs under the bridge seat
347 for all three stages. The 3D simulated values are close to the measured values for Stages 1 and 2,
348 but smaller in layers 7 and 13 for Stage 3, which likely results from tilting of the bridge seat
349 toward the west side during construction (Zheng et al. 2019a).

350

351 **3.5. Reinforcement tensile forces**

352 Profiles of maximum tensile forces in each reinforcement layer for the longitudinal and
353 transverse sections are shown in Figure 10. The measured reinforcement tensile forces were
354 converted from the measured strains using a constant stiffness value of 380 kN/m. Maximum
355 tensile forces calculated from the Simplified Method and the Stiffness Method from AASHTO
356 (2020) using the soil peak friction angle of 51.3° also are presented for comparison. For Stage 1,
357 shown in Figure 10(a), simulated maximum reinforcement tensile force profiles for sections L1
358 and T1 in the 3D simulation are nearly the same. Maximum tensile forces first increase linearly
359 with depth from the top of the wall, reach the highest value of approximately 0.2 kN/m at $z =$
360 0.75 m, and then decrease slightly toward the bottom. The 3D simulated maximum tensile forces
361 for sections L1 and T1 are smaller than the measured values. The measured highest tensile forces
362 for sections L1 and T1 are 0.43 kN/m and 0.33 kN/m, respectively. The 2D simulated maximum
363 tensile forces are generally larger than the measured forces with the highest value of 0.5 kN/m at
364 $z = 1.05$ m. Calculated maximum tensile forces using the Stiffness Method are generally close to
365 the 3D simulated values, but are smaller than the measured values. Calculated forces using the
366 Simplified Method are larger than the 3D simulated values and are generally close to the 2D
367 simulated values and measurements except near the bottom.

368 For Stage 3, shown in Figure 10(b), the 3D simulated maximum tensile forces increased
369 significantly in the upper layers due to application of bridge surcharge stress. The 3D simulated
370 maximum force profiles for sections L1 and T1 are relatively uniform, with the tensile forces for
371 L1 slightly larger than those for T1 near the top of the wall, and the highest values are 0.43 kN/m
372 and 0.32 kN/m, respectively. Similar to Stage 1, the 3D simulated maximum tensile forces are
373 generally smaller than the measured values. Maximum tensile forces from the 2D simulation for
374 section L1 are much larger than for the 3D simulation and measurements, and the highest value

375 is 0.85 kN/m. Calculated maximum tensile forces using the Stiffness Method generally increase
376 with elevation and are larger than the 3D simulated values and measurements, while the
377 Simplified Method shows even larger tensile forces in the lower section of the abutment. In
378 general, the 2D simulation is more conservative than the 3D simulation in terms of maximum
379 tensile forces, with the 3D simulation underestimating the measured maximum tensile forces and
380 the 2D simulation overestimating the measured values. In addition, both the Simplified Method
381 and Stiffness Method overestimate the maximum tensile forces, with the Simplified Method
382 having larger overestimations.

383

384 **4. Conclusions**

385 This paper presents experimental measurements and two-dimensional (2D) and three-
386 dimensional (3D) numerical simulations for a half-scale geosynthetic reinforced soil (GRS)
387 bridge abutment specimen under static loading. The backfill soil was characterized using a
388 nonlinear elasto-plastic model that incorporates a hyperbolic stress-strain relationship and the
389 Mohr-Coulomb failure criterion. Geogrid reinforcements were characterized using linearly
390 elastic elements with orthotropic behavior. Various interfaces were included to simulate the
391 interaction between different GRS bridge abutment components. Results from the 2D and 3D
392 simulations were compared with measurements from instrumented sections in the longitudinal
393 and transverse directions. The following conclusions are reached for the conditions of the study:

- 394 1. Facing displacements and bridge seat settlements for the 2D and 3D simulations are in
395 reasonable agreement with measurements, with the 2D simulated values larger than the
396 3D simulated values. The 2D simulation is more conservative than the 3D simulation in
397 terms of deformations because of boundary condition effects, in which outward

398 displacements were permitted on three sides for the 3D simulation, but only on one side
399 for the 2D simulation. In addition, the soil shear stresses developed between adjacent
400 longitudinal slices in the 3D model could restrict the relative movements, while
401 frictionless side boundaries were assumed for the 2D model.

402 2. Incremental vertical and lateral soil stresses due to the applied surcharge stress for the 3D
403 simulation are in reasonable agreement with measured values. In general, the AASHTO
404 (2020) method for the calculation of incremental vertical and lateral soil stress under the
405 applied surcharge stress is conservative.

406 3. The maximum tensile strain in each reinforcement layer for the 3D simulation occurs
407 under the bridge seat in the longitudinal section. In general, the 3D simulated
408 reinforcement strains are in good agreement with the measured values except for some
409 deviations near the facing connections in the mid-height layers, and generally are smaller
410 than corresponding strains from the 2D simulation.

411 4. Profiles of maximum tensile force for sections L1 and T1 in the 3D simulation were
412 nearly uniform with elevation with the highest values near the top of the wall under
413 applied surcharge stress. In general, the 2D simulation is more conservative than the 3D
414 simulation in terms of maximum tensile forces, with the 3D simulation underestimating
415 the measured maximum tensile forces and the 2D simulation overestimating the
416 measured values. In addition, both the Simplified Method and Stiffness Method in
417 AASTHO (2020) overestimate the maximum tensile forces.

418 5. Results from the 3D numerical simulation are generally in reasonable agreement with
419 measurements from instrumented sections in the longitudinal and transverse directions.
420 The 2D simulation can also reasonably capture the static response of GRS bridge

421 abutments and generally is more conservative than the 3D simulation.

422

423 This study focuses on the 2D and 3D response of a half-scale GRS bridge abutment
424 specimen from physical model test. The abutment specimen is relatively narrow in the out-of-
425 plane direction due to the limitation of construction site conditions. Accordingly, numerical
426 simulations on GRS bridge abutments with realistic geometric conditions are needed to further
427 investigate the 2D and 3D static behavior of GRS bridge abutments. Nonetheless, the
428 comparisons between the simulations and experiments in this study provide useful insights into
429 this problem and show that simulations can effectively predict the static response of GRS bridge
430 abutments for service load conditions.

431

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436 Natural Science Foundation of China under Grant No. 52078392, and the financial support is
437 greatly appreciated.

438

439 **Notations**

440 Basic SI units are given in parentheses.

441 B bulk modulus (kPa)

442 c' apparent cohesion (kPa)

443 c'_i interface adhesion (kPa)

444	E	elastic modulus (kPa)
445	E_r	elastic modulus for reinforcement (kPa)
446	E_t	tangent elastic modulus (kPa)
447	E_{ur}	unloading-reloading modulus (kPa)
448	$J_{5\%}$	reinforcement secant stiffness at 5% tensile strain (kN/m)
449	K	elastic modulus number
450	K_b	bulk modulus number
451	K_{ur}	unloading-reloading modulus number
452	m	bulk modulus exponent
453	n	elastic modulus exponent
454	p_a	atmospheric pressure (kPa)
455	q_v	applied surcharge stress on lower GRS fill (kPa)
456	RF_i	reduction factor for soil interface shear strength
457	R_f	failure ratio
458	t_r	thickness of reinforcement (mm)
459	x	distance from front wall facing (m)
460	y	distance from west side wall facing (m)
461	z	elevation above foundation soil (m)
462	δ'_i	interface friction angle ($^\circ$)
463	ϕ'	friction angle ($^\circ$)
464	γ	soil unit weight (kN/m ³)

465 γ_b equivalent unit weight of bridge beam (kN/m³)

466 ν Poisson's ratio

467 ν_t tangent Poisson's ratio

468 σ'_1 major principal effective stress (kPa)

469 σ'_3 major principal effective stress (kPa)

470

471 **Abbreviations**

472 2D two-dimensional

473 3D three-dimensional

474 AASHTO American Association of State Highway and Transportation Officials

475 CMD cross-machine direction

476 FHWA Federal Highway Administration

477 GRS geosynthetic reinforced soil

478 HDPE uniaxial high-density polyethylene

479 MD machine direction

480 NE northeast

481 NW northwest

482 SE southeast

483 SW southwest

484 SWRC soil water retention curve

485

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Table 1. Soil parameters.

Property	Value
Unit weight, γ (kN/m ³)	17.7
Elastic modulus number, K	260
Unloading-reloading elastic modulus number, K_{ur}	312
Elastic modulus exponent, n	0.5
Failure ratio, R_f	0.65
Bulk modulus number, B	150
Bulk modulus exponent, m	0
Apparent cohesion, c' (kPa)	2.0
Friction angle, ϕ' (°)	51.3
Dilation angle, ψ (°)	13.0

Table 2. Interface parameters.

Property	Soil-geogrid ^a	Block-geogrid ^b	Soil-block Soil-bridge seat ^c	Block-block ^d	Bridge beam-bridge seat ^e
Friction angle, δ'_i	46.7°	35.0°	39.1°	36.0°	21.8°
Adhesion, c'_i	1.7 kN/m/m	0	1.3 kPa	0	0
Normal stiffness, k_n	-	-	100GPa/m	100GPa/m	100GPa/m
Shear stiffness, k_s	4MPa/m	400MPa/m	400MPa/m	400MPa/m	400MPa/m

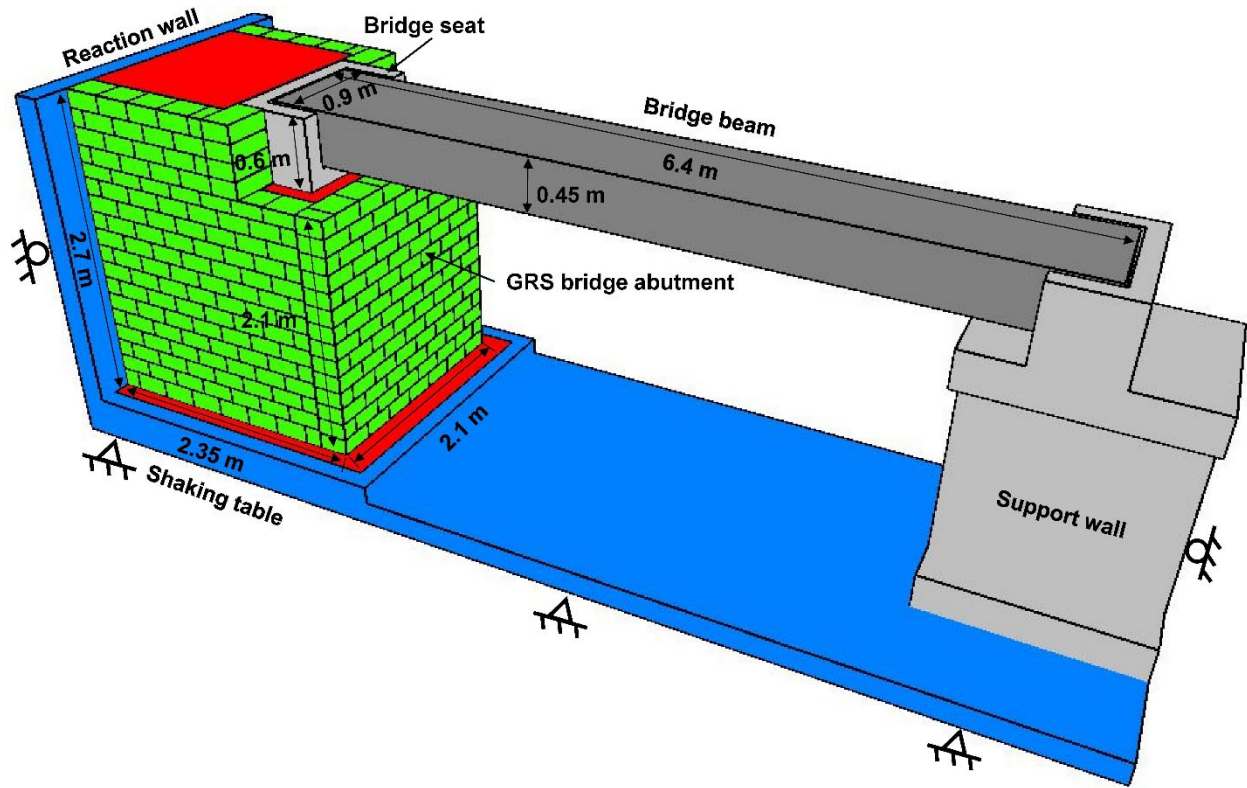
^a Based on average of data ($RF_i = 0.85$) from Vieira et al. (2013)

^b Based on data reported by Unified Facilities Guide Specifications (2008).

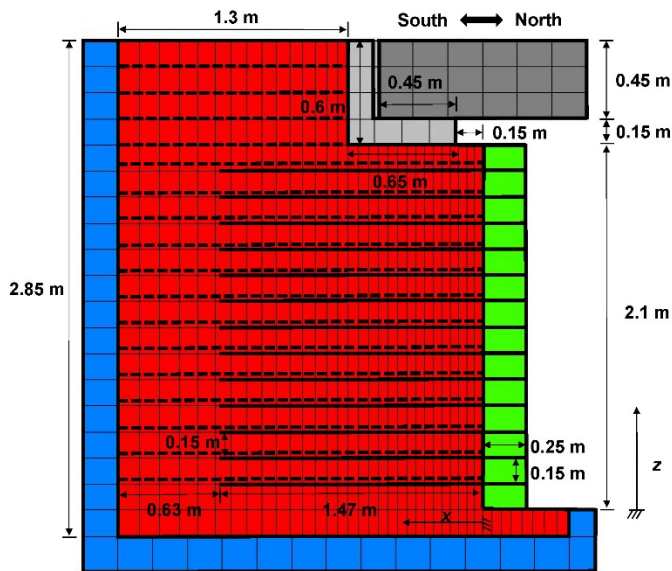
^c Based on data ($RF_i = 0.65$) from Ling et al. (2010)

^d Based on data reported by Yu et al. (2016)

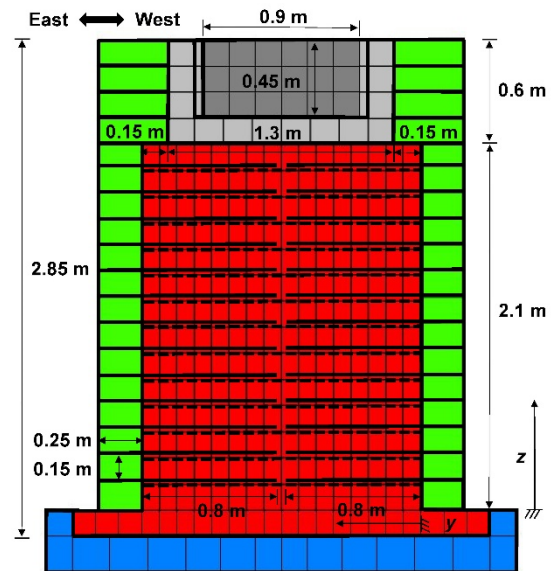
^e Based on coefficient of friction of 0.4 for elastomeric bearing pad suggested by California Department of Transportation (1994)



(a)



(b)



(c)

Figure 1. 3D numerical model for GRS bridge abutment: (a) model configuration; (b) longitudinal cross-section; (c) transverse cross-section.

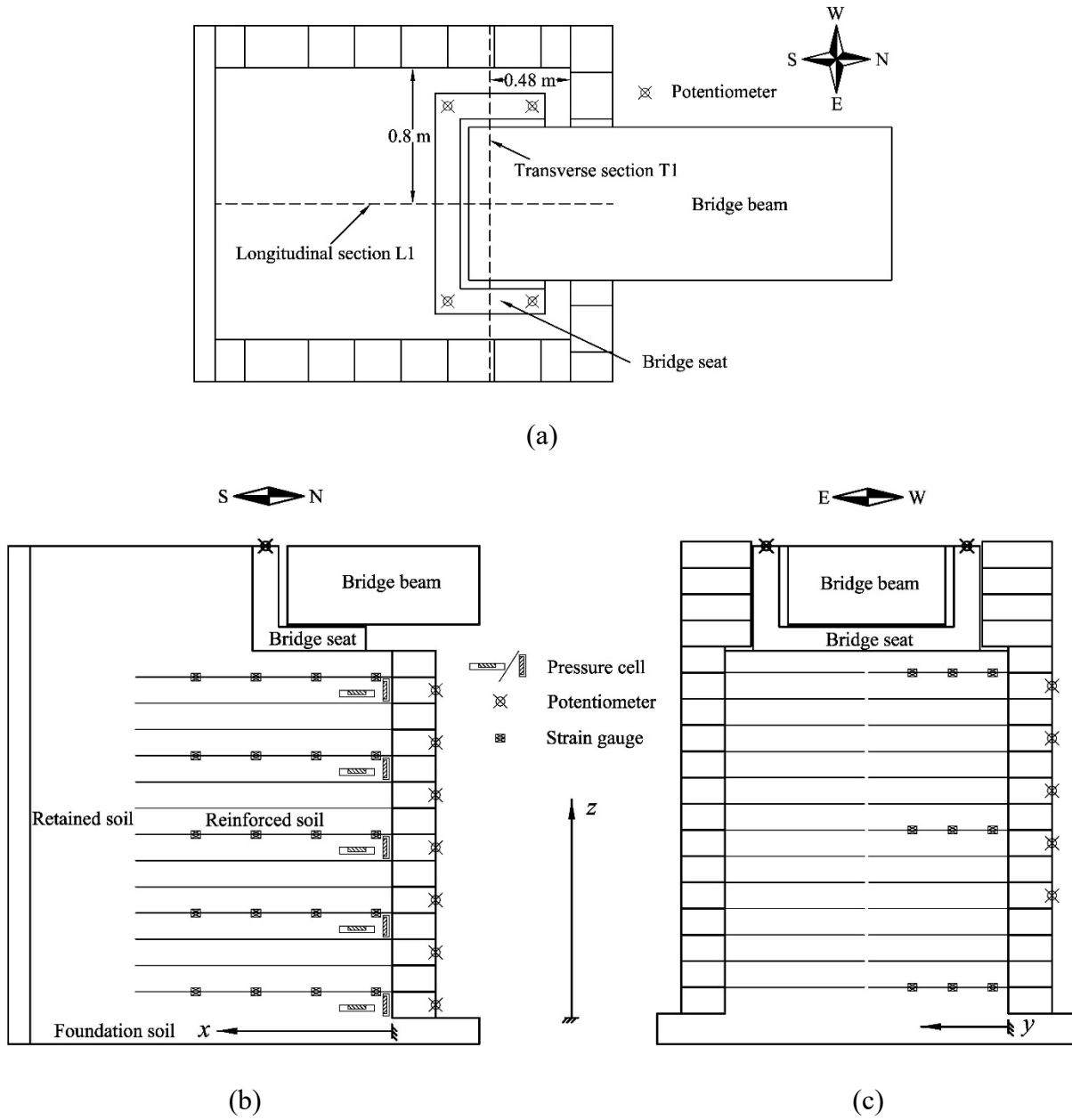


Figure 2. Instrumentation for GRS bridge abutment: (a) top view; (b) longitudinal section L1 ($y = 0.8$ m); (c) transverse section T1 ($x = 0.48$ m) (after Zheng et al. 2019a).

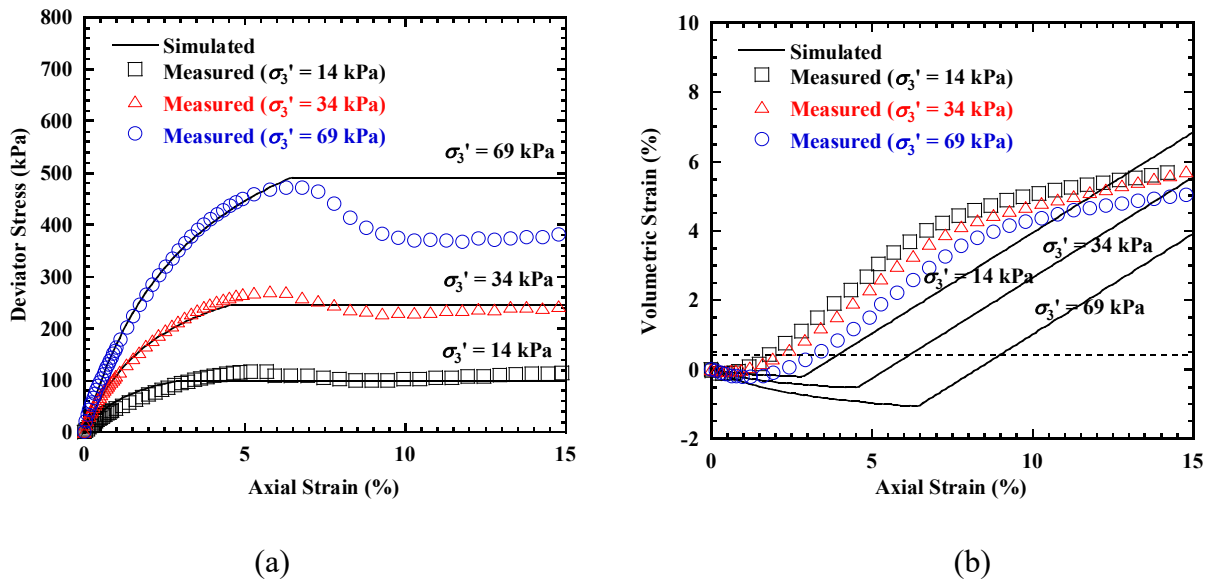


Figure 3. Triaxial compression test results: (a) deviator stress vs. axial strain; (b) volumetric strain vs. axial strain.

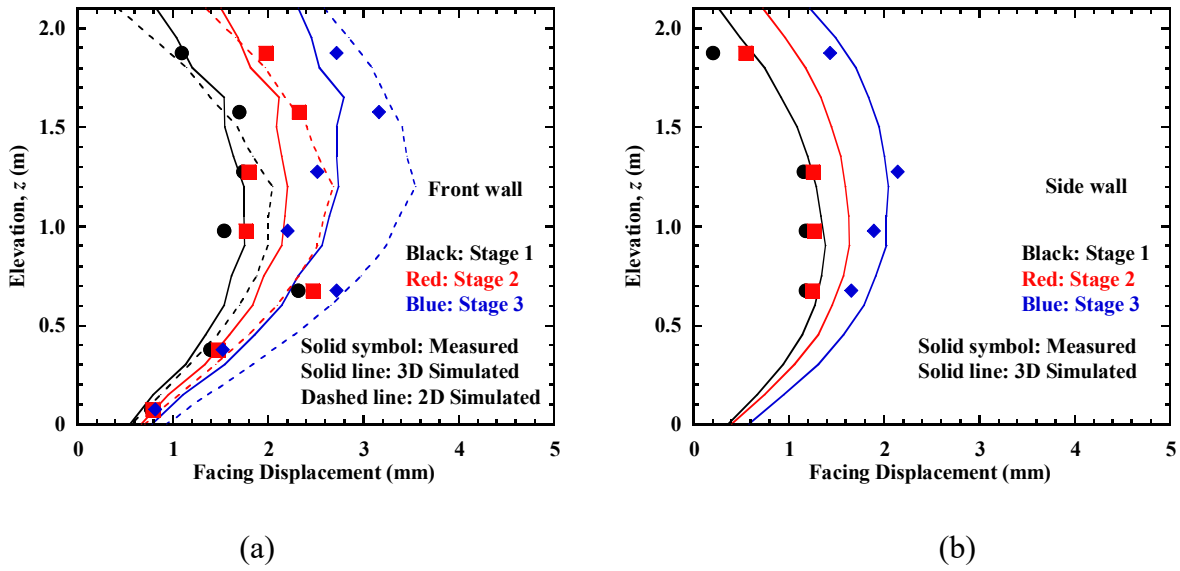


Figure 4. Profiles of facing displacement: (a) longitudinal section; (b) transverse section.

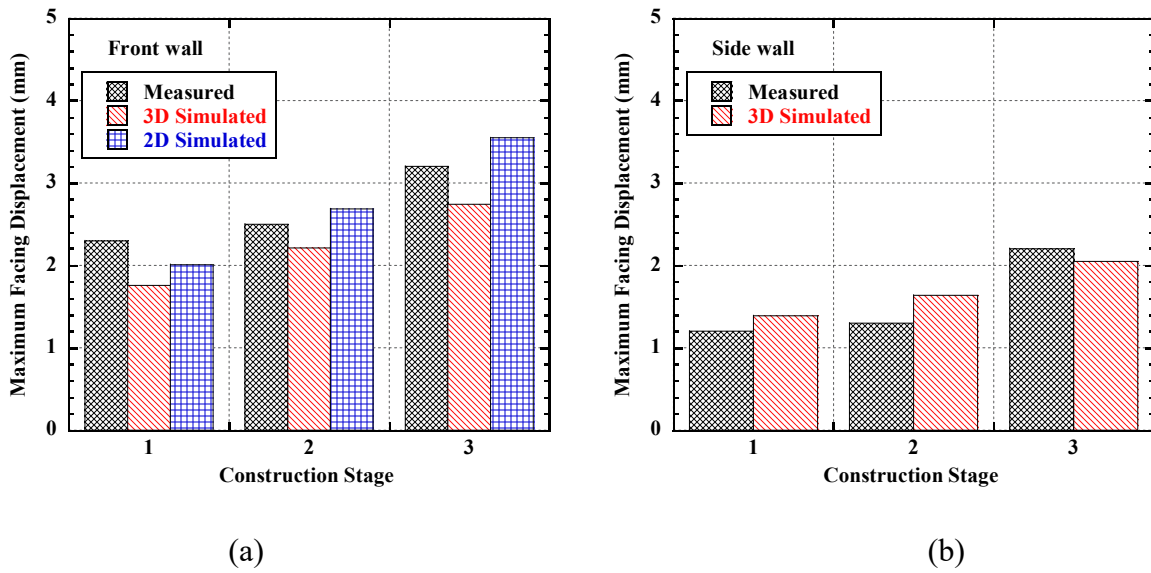


Figure 5. Maximum facing displacement: (a) longitudinal section; (b) transverse section.

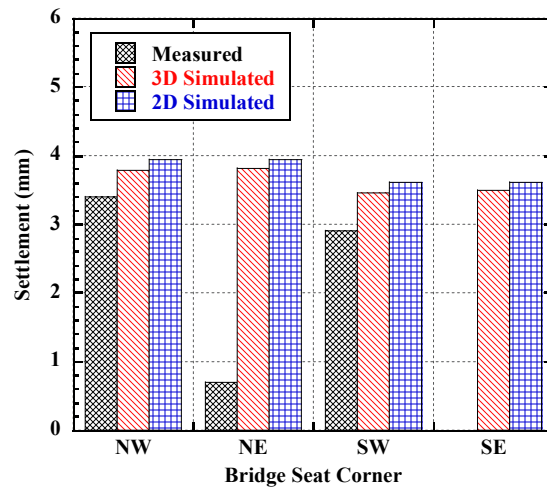
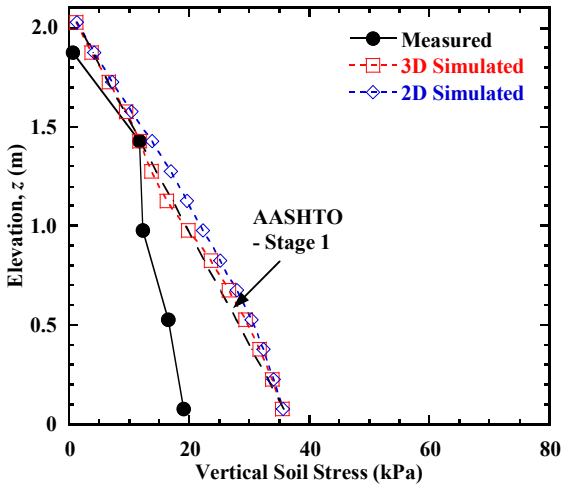
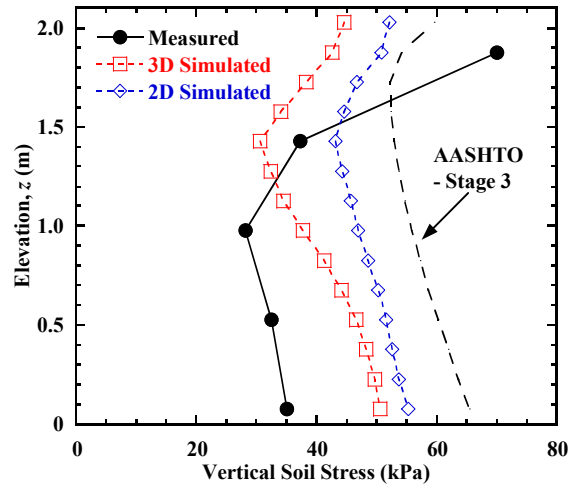


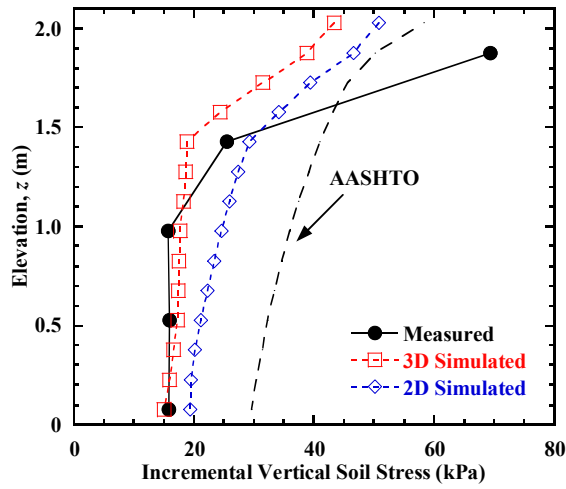
Figure 6. Settlement at the top corners of the bridge seat.



(a)

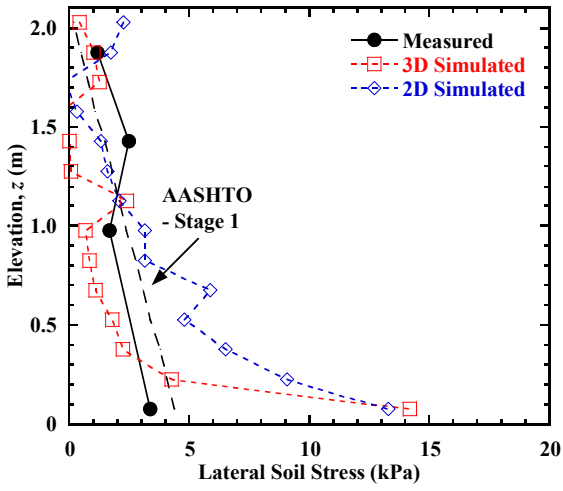


(b)

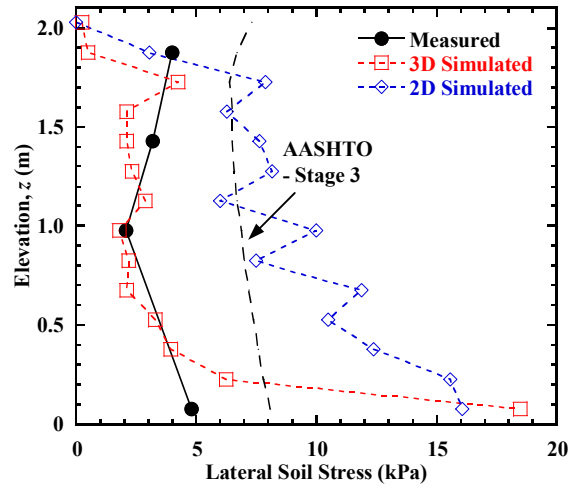


(c)

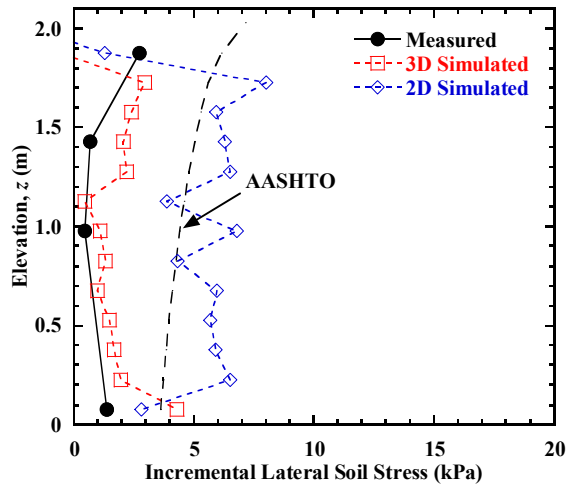
Figure 7. Profiles of vertical soil stress under bridge seat for longitudinal section: (a) Stage 1; (b) Stage 3; (c) Incremental from Stage 1 to Stage 3.



(a)



(b)



(c)

Figure 8. Profiles of lateral soil stress behind front wall facing for longitudinal section: (a) Stage 1; (b) Stage 3; (c) Incremental from Stage 1 to Stage 3.

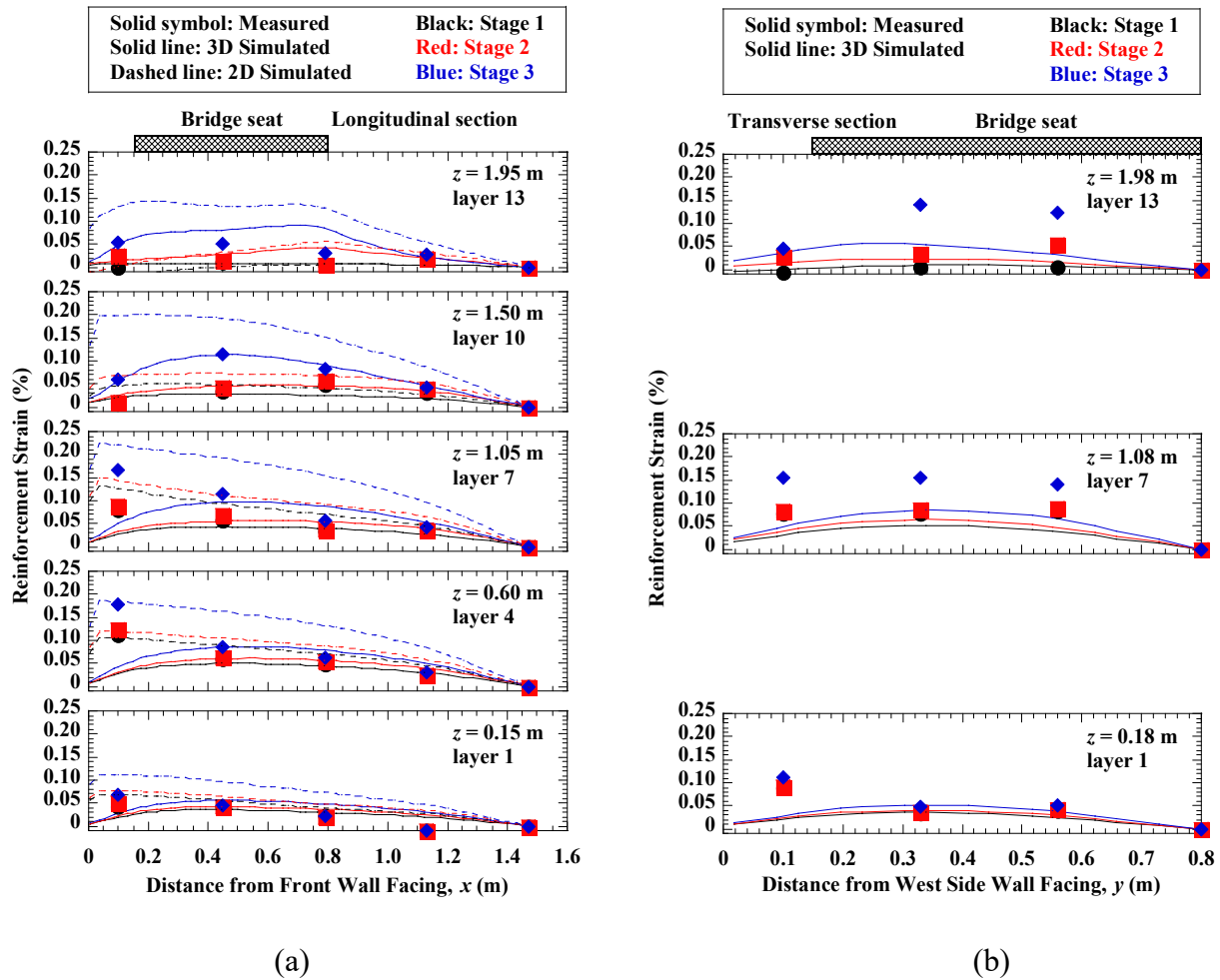


Figure 9. Distributions of tensile strain in reinforcement layers: (a) longitudinal section; (b) transverse section.

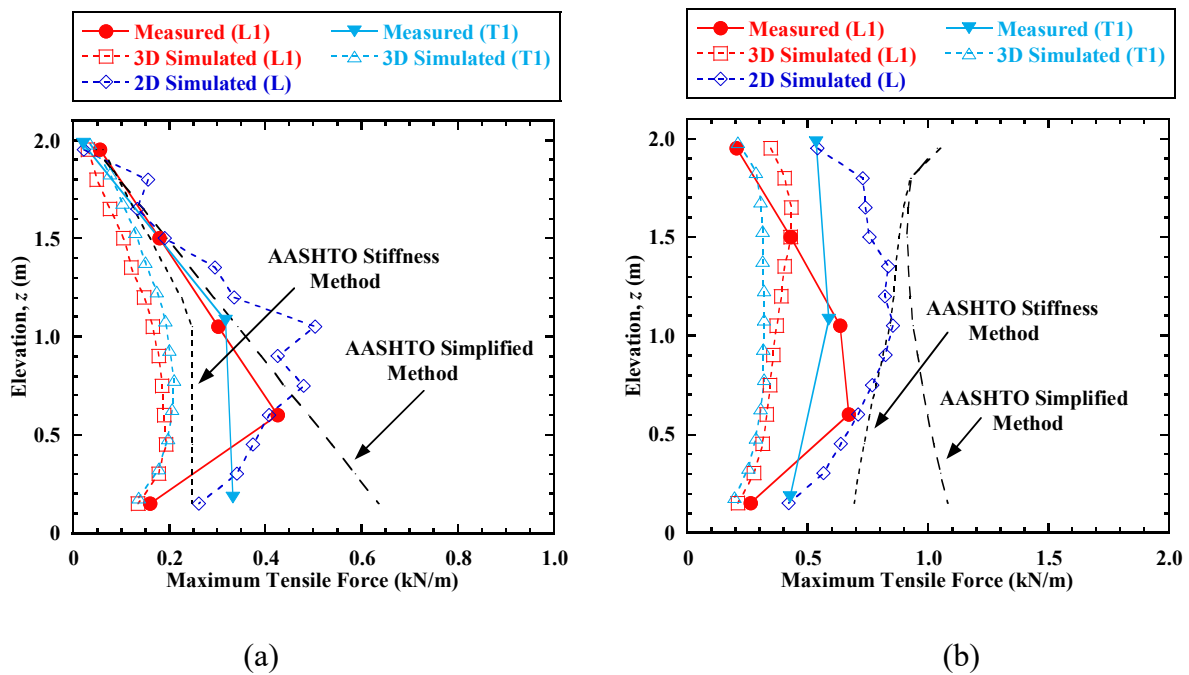


Figure 10. Profiles of maximum tensile force in reinforcement layers: (a) Stage 1; (b) Stage 3.