Search for $B^+ \to \ell^+ \nu \ell^- \bar{\nu} X$


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SEARCH FOR $B^+ \to \ell^+ \nu_{\ell} \text{ RECOILING ...}$

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We present a search for the decay \( B^+ \rightarrow \ell^+ \nu_\ell (\ell = \tau, \mu, \text{or} e) \) in \( (458.9 \pm 5.1) \times 10^6 \) \( B\bar{B} \) pairs recorded with the BABAR detector at the PEP-II B-factory. We search for these \( B \) decays in a sample of \( B^+B^- \) events where one \( B \)-meson is reconstructed as \( B^+ \rightarrow D^0 \ell^\mp \bar{\nu}_\ell X \). Using the method of Feldman and Cousins, we obtain \( \mathcal{B}(B^+ \rightarrow \tau^+ \nu_\tau) = (1.7 \pm 0.8 \pm 0.2) \times 10^{-4} \), which excludes zero at 2.3\( \sigma \). We interpret the central value in the context of the standard model and find the \( B \) meson decay constant to be \( f_B = (62 \pm 31) \times 10^3 \text{ MeV}^2 \). We find no evidence for \( B^+ \rightarrow e^+\nu_\ell \) and \( B^+ \rightarrow \mu^+\nu_\mu \) and set upper limits at the 90\% C.L. \( \mathcal{B}(B^+ \rightarrow \ell^+\nu_\ell) < 0.8 \times 10^{-5} \) and \( \mathcal{B}(B^+ \rightarrow \mu^+\nu_\mu) < 1.1 \times 10^{-5} \).

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The strategy adopted for this analysis is similar to that from our previously published work [10]. Signal \( B \) decays, \( B^+ \rightarrow \ell^+\nu_\ell \), are selected in the recoil of a semileptonic decay, \( B^- \rightarrow D^0 \ell^-\bar{\nu}_\ell X \), referred to as the “tag” \( B \). The final states of the \( \tau^+ \) decay in \( B^+ \rightarrow \tau^+\nu_\tau \) are identical to those in Ref. [10]: \( \tau^+ \rightarrow e^+\nu_\ell \bar{\nu}_\tau, \tau^+ \rightarrow \mu^+\nu_\mu \bar{\nu}_\tau, \tau^+ \rightarrow \pi^+\bar{\nu}_\tau, \) and \( \tau^+ \rightarrow \rho^+\bar{\nu}_\tau \). For the first time, we include \( B^+ \rightarrow e^+\nu_\ell \) and \( B^+ \rightarrow \mu^+\nu_\mu \) in this search. In addition to using about 20\% more data than in Ref. [10], we relax the constraints on the tag \( B \), improve the definition of the discriminating variables and use a combination of tag and signal \( B \) variables in a multivariate discriminant that improves signal efficiency and background rejection.

The tag \( B \) is reconstructed in the set of semileptonic \( B \) decay modes \( B^- \rightarrow D^0 \ell^-\bar{\nu}_\ell X \), through the full hadronic reconstruction of \( D^0 \) mesons and identification of the lepton, \( \ell^- \), as either \( e^- \) or \( \mu^- \). Other particles (\( X \)) resulting from a transition from a higher-mass charm state down to the \( D^0 \) are not explicitly reconstructed and are not included in the tag \( B \) kinematics. This strategy, and the reconstruction method (\( D^0 \) decay modes, \( D^0 \ell^- \) vertex requirements, etc.), are the same as in Ref. [10]. One difference in the present analysis is that we may assign up to one photon (from \( X \)) back to the tag \( B \), based on its consistency with the decay \( D^0 \rightarrow (\pi^0, \gamma)D^0 \).

The efficiency for tag \( B \) reconstruction (\( \epsilon_{\text{tag}} \)) is defined as the rate at which events in the signal MC are found to contain at least one reconstructed tag \( B \) and a single track recoiling against that tag. The efficiency for each signal mode is given in Table III, including corrections for systematic effects (described below). The efficiency is larger for \( B^+ \rightarrow \tau^+\nu_\tau \) events due to high-multiplicity \( \tau^+ \) decays faking tag \( B \) mesons.

We identify one of the following reconstructed particles recoiling against the tag \( B \): \( e^+, \mu^+, \pi^+, \) or \( \rho^+ \). The \( e^+ \) and \( \mu^+ \) can come from \( B^+ \rightarrow \tau^+\nu_\tau \), with the \( \tau^+ \) decaying leptonically, or directly from \( B^+ \rightarrow \mu^+\nu_\mu \) or \( B^+ \rightarrow e^+\nu_e \). The signal track must originate from the interaction point (IP), with a distance of closest approach to the IP less than 2.5 cm along the beam axis and less than 1.5 cm transverse to the beam axis. We reject events that contain more than one such IP track recoiling against the tag \( B \).
There may be additional tracks that do not come from the IP. We reject events where the single IP track is identified as a kaon. We assign the single-track recoils to categories based on a hierarchical selection. An event is assigned to the \( \mu^+ \) category if the track passes muon identification or to the \( e^+ \) category if it passes electron identification; in the latter category, we recover up to one bremsstrahlung photon based on angular separation from the track and add its four-momentum to the electron's. We assign the event to the \( \rho^+ \) category if it fails lepton identification and can be paired with a \( \pi^0 \) candidate. The \( \pi^0 \) candidates used in the \( \rho^+ \) reconstruction are defined as a pair of photons, each with laboratory energy \( >50 \text{ MeV} \), with invariant mass \( m_{\gamma\gamma} = [0.115, 0.150] \text{ GeV/c}^2 \). Single-track events that fail the selections above are assigned to the \( \pi^+ \) category.

While the direction of neither \( B \) meson can be known precisely, four-momentum conservation constrains the tag \( B \) momentum to lie on a cone around the flight direction of the reconstructed \( D^0 \ell^- \) system. The cosine of the opening angle between the \( B \) meson and the \( D^0 \ell^- \) system in the CM frame is given by

\[
\cos\theta_{B;Y} = \frac{2E_Y E_{\gamma} - m_B^2 - m_{\ell}^2}{2|\vec{p}_{B}||\vec{p}_{\ell}|}, \quad (1)
\]

where \( \ell \) refers to the reconstructed tag \( B \) final state, \( (E_Y, \vec{p}_Y) \) and \( (E_B, \vec{p}_B) \) are the four-momenta in the CM frame, and \( m_Y \) and \( m_B \) are the masses of the \( Y \) system and tag \( B \) meson, respectively. \( E_B \) and the magnitude of \( \vec{p}_B \) are calculated from the beam energy: \( E_B = E_{\text{CM}}/2 \) and \( |\vec{p}_B| = \sqrt{E_B^2 - m_B^2} \). Decays of the \( B \) meson directly to \( D^0 \ell^- \nu \) are largely constrained to the physical region of this cosine, while decays involving a higher-mass charm state will yield cosine values below the physical region when the intermediate decay particles (e.g., \( \pi^0 \) or \( \gamma \)) are not explicitly reconstructed.

The signal \( B \) momentum vector is equal in magnitude to \( |\vec{p}_B| \) and is opposite to the tag \( B \) direction, so that it lies on the cone of the tag \( B \) momentum defined by Eq. (1). To estimate quantities in the signal \( B \) rest frame, such as the momentum of the signal \( B \) daughter(s), we choose the signal \( B \) boost vector on that cone and we compute the quantity in the corresponding rest frame. We then use the value of that quantity averaged over all trial rest frames as an estimate of the true value. We denote the momentum of the signal particle(s) determined by this method as \( p'_{\text{sig}} \).

This has the largest impact in the \( B^+ \to e^+\nu_\ell \), and \( B^+ \to \mu^+\nu_\mu \) channels, where the lepton is monoenergetic in the signal \( B \) rest frame. The improved resolution of the lepton momentum directly improves the separation of signal and background. If an event has a reconstructed signal muon (electron) candidate and \( p'_{\text{sig}} > 2.30(2.25) \text{ GeV/c} \), it is classified as a \( B^+ \to \mu^+\nu_\mu \) (\( B^+ \to e^+\nu_\ell \)) candidate; otherwise, it is classified as \( B^+ \to \tau^+\nu_\tau \), with \( \tau^+ \to \mu^+\nu_\mu\bar{\nu}_\tau \), \( \tau^+ \to e^+\nu_\ell\bar{\nu}_\ell \).
or pions); for \( \tau^+ \rightarrow \pi^+ \pi^0 p_\tau \), the reconstructed mass of the \( \rho^+ \), and the CM momenta of the \( \rho^+ \) daughters; and for \( B^+ \rightarrow \tau^+ \nu_\tau \), \( \cos \theta_{\tau \nu} \) vs \( p_\text{sig} \), where \( \cos \theta_{\tau \nu} \) is defined in the signal \( B \) meson rest frame using Eq. (1), replacing \( B \) meson quantities with those of the \( \tau (E_\tau = m_\tau/2 \text{ and } p_\tau = \sqrt{m_\tau^2 - m_\tau^2}) \) and where \( Y \) refers to the reconstructed \( \tau \) final state (computed using the signal \( B \) meson rest frame averaging procedure). Other variables used are: the separation between the tag \( B \) meson decay vertex and the point of closest approach to the IP by the signal \( B \) track; and the distribution of the cosine of the angle between the signal \( B \) CM momentum and the tag \( B \) thrust vs the minimum invariant mass of any three charged particles in the event [10].

The shapes of these variables in MC simulation are then used to define probability density functions (PDFs) for signal (\( P_s \)) and background (\( P_b \)). We define for each variable the ratio \( P_s / [P_s + P_b] \). We use the product of these ratios to construct a pair of likelihood ratios (LHRs) for each signal channel, one for rejecting background (LHR\(_{bg}\)) and the other for rejecting continuum (LHR\(_{cont}\)) backgrounds. The LHR output is bounded between 0 and 1, with signal accumulating toward 1 and background toward 0.

We optimize selection criteria on \( E_{\text{extra}} \), LHR\(_{bg}\), and LHR\(_{cont}\) for all modes. For the \( B^+ \rightarrow e^+ \nu_e \) and \( B^+ \rightarrow \mu^+ \nu_\mu \) modes, we additionally optimize the selection on \( p_\text{sig} \). For the \( \tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau \) mode we additionally optimize the selection on \( m_{\ell \ell} \) (to reject poorly modeled photon-conversion background). For the \( \tau \) decay modes, we choose the figure-of-merit (FOM) to be \( \sqrt{N_{\text{sig}} / N_{\text{bg}}} \), since there is still significant background left in these channels even after final selection criteria are applied. For \( B^+ \rightarrow \mu^+ \nu_\mu \) and \( B^+ \rightarrow e^+ \nu_e \) we use \( N_{\text{sig}} / (3/2 + \sqrt{N_{\text{bg}}}) \) [13] due to the low expected background. We divide the MC simulation samples for signal and background into thirds, two for optimization and one from which to compute unbiased efficiencies and background predictions. This latter sample has statistics roughly equivalent to the data. Optimized selection criteria are given in Table I. The signal efficiency (\( \epsilon_{\text{sig}} \)) is defined as the rate at which signal events containing a reconstructed tag \( B \) are also found to contain a signal \( B \) candidate, and it includes the \( \tau^+ \) branching fractions. These efficiencies are given in Table III.

We calibrate our background prediction using sideband regions of \( E_{\text{extra}} \) where the signal contribution is negligible. We define the sidebands for \( B^+ \rightarrow \tau^+ \nu_\tau, B^+ \rightarrow \mu^+ \nu_\mu, \) and \( B^+ \rightarrow e^+ \nu_e \) as \( E_{\text{extra}} \geq 0.4 \text{ GeV}, \geq 0.72 \text{ GeV}, \) and \( \geq 0.6 \text{ GeV}, \) respectively. We predict \( N_{\text{data}}^{\text{side}} \), the number of background events in data in the \( E_{\text{extra}} \) signal region (Table II), by scaling the yield predicted by the MC simulation (\( N_{\text{MC}}^{\text{side}} \)) by the ratio of yields in data (\( N_{\text{data}}^{\text{side}} \)) and MC (\( N_{\text{MC}}^{\text{side}} \)) in the sideband. This method assumes that the shape of \( E_{\text{extra}} \) is well described but does not rely on the absolute prediction of the yield. We validate this approach by defining sidebands in other variables (\( D^0 \) mass, LHR\(_{cont}\), LHR\(_{bg}\), and \( p_\text{sig} \)) and studying the data/MC agreement for the entire \( E_{\text{extra}} \) background shape. We find the shape to be well described. We also studied the effect of varying the \( E_{\text{extra}} \) sideband definition and obtained consistent background predictions.

<table>
<thead>
<tr>
<th>Mode</th>
<th>LHR(_{bg})</th>
<th>LHR(_{cont})</th>
<th>( E_{\text{extra}} ) (GeV)</th>
<th>( p_\text{sig} ) (GeV/c)</th>
<th>( m_{\ell \ell} ) (GeV/c^2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>( B^+ \rightarrow \tau^+ \nu_\tau )</td>
<td>0.77</td>
<td>0.25</td>
<td>&lt;0.20</td>
<td>\cdots</td>
<td>&gt;0.29</td>
</tr>
<tr>
<td>( e^+ \bar{\nu}_\tau )</td>
<td>0.14</td>
<td>0.72</td>
<td>&lt;0.24</td>
<td>\cdots</td>
<td>\cdots</td>
</tr>
<tr>
<td>( \mu^+ \bar{\nu}_\mu )</td>
<td>0.97</td>
<td>0.95</td>
<td>&lt;0.24</td>
<td>\cdots</td>
<td>\cdots</td>
</tr>
<tr>
<td>( \rho^+ \nu_\rho )</td>
<td>0.57</td>
<td>0.80</td>
<td>&lt;0.35</td>
<td>\cdots</td>
<td>\cdots</td>
</tr>
<tr>
<td>( B^+ \rightarrow (\mu^+, e^+) \nu_\mu )</td>
<td>0.33</td>
<td>0.61</td>
<td>&lt;0.72</td>
<td>[2.45, 2.98]</td>
<td>\cdots</td>
</tr>
<tr>
<td>( \mu^+ \nu_\mu )</td>
<td>None</td>
<td>0.01</td>
<td>&lt;0.57</td>
<td>[2.52, 3.02]</td>
<td>\cdots</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Mode</th>
<th>( N_{\text{MC}}^{\text{side}} )</th>
<th>( N_{\text{data}}^{\text{side}} )</th>
<th>( N_{\text{MC}}^{\text{bg}} )</th>
<th>( N_{\text{data}}^{\text{bg}} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \tau^+ \rightarrow e^+ \nu_e \bar{\nu}_\tau )</td>
<td>333 \pm 19</td>
<td>334 \pm 18</td>
<td>81 \pm 10</td>
<td>81 \pm 12</td>
</tr>
<tr>
<td>( \tau^+ \rightarrow \mu^+ \nu_\mu \bar{\nu}_\mu )</td>
<td>1248 \pm 36</td>
<td>1236 \pm 35</td>
<td>136 \pm 12</td>
<td>135 \pm 13</td>
</tr>
<tr>
<td>( \tau^+ \rightarrow \pi^+ \bar{\nu}_\tau )</td>
<td>6507 \pm 88</td>
<td>7167 \pm 85</td>
<td>212 \pm 19</td>
<td>234 \pm 19</td>
</tr>
<tr>
<td>( \tau^+ \rightarrow \rho^+ \bar{\nu}_\rho )</td>
<td>1841 \pm 48</td>
<td>1734 \pm 42</td>
<td>62 \pm 9</td>
<td>59 \pm 9</td>
</tr>
<tr>
<td>( B^+ \rightarrow \mu^+ \nu_\mu )</td>
<td>12 \pm 5</td>
<td>14 \pm 4</td>
<td>12 \pm 5</td>
<td>13 \pm 8</td>
</tr>
<tr>
<td>( B^+ \rightarrow e^+ \nu_e )</td>
<td>26 \pm 6</td>
<td>42 \pm 6</td>
<td>15 \pm 5</td>
<td>24 \pm 11</td>
</tr>
</tbody>
</table>
The branching fraction for any of the decay modes is

$$B(B^+ \rightarrow \ell^+ \nu_\ell) = \frac{N_{\text{obs}} - N_{\text{bg}}^\text{data}}{2N_{B^+B^-}e_{\text{tag}}e_{\text{sig}}}.$$  \hspace{1cm} (2)$$

where $N_{\text{obs}}$ is the total number of events observed in the signal region and $N_{B^+B^-}$ is the total number of $\Upsilon(4S) \rightarrow B^+B^-$ decays in the data. The estimation of $N_{B^+B^-}$ has an uncertainty of 1.1% [14].

Potential sources of significant systematic uncertainty in $e_{\text{tag}}$ and $e_{\text{sig}}$ include the tag reconstruction rate, the modeling of $E_{\text{extra}}$, and signal track and neutral reconstruction. We use “double-tagged” events to study possible effects. Double-tagged events contain two fully reconstructed, independent, oppositely charged semileptonic tag $B$ decays. These double-tagged events are analogous to signal, in that every particle that can be assigned to the original $B$ decays has been assigned.

We use the absolute yields of tagged events to obtain a systematic uncertainty on $e_{\text{tag}}$. We form a double ratio from the ratios of double-tagged to single-tagged events in the data and MC simulation. Single-tagged events are defined as events containing at least one semileptonic tag $B$ decay with no constraints on the rest of the event. We improve the sample purity by requiring that $D^0 \rightarrow K^-\pi^+$ in at least one of the tags. We measure this double ratio to be $0.891 \pm 0.021$. As a comparison, we perform the same measurement replacing $D^0 \rightarrow K^-\pi^+$ with $D^0 \rightarrow K^-\pi^-\pi^+\pi^-$ and find the double-ratio to be $0.954 \pm 0.011$. We use $0.891$ as the nominal correction to $e_{\text{tag}}$ and treat the relative difference between the two methods (7.1%) as the systematic uncertainty.

The $E_{\text{extra}}$ distribution in double-tag events is expected to contain contributions similar, though not identical, to those from signal events. We validate $E_{\text{extra}}$ using the double-tagged events described above, additionally requiring that the second tag contains only $D^0 \rightarrow K^-\pi^+$ and satisfies $\cos(\theta_{B\gamma}) = [-1.1, 1.1]$ to reject second tags with missing neutrals. The resulting $E_{\text{extra}}$ distribution is shown in Fig. 1. It is well-described by the MC simulation. We compare the efficiency of selecting events in data and MC simulation for $E_{\text{extra}} \approx 0.4$ GeV and find that the efficiency needs to be corrected by $0.985 \pm 0.044$ to match the data. The uncertainty on this correction is due to the statistical uncertainty on the data and MC simulation, and we treat it as a systematic uncertainty.

The remaining systematic uncertainties on $e_{\text{sig}}$ come from tracking efficiency (0.36% per signal track), $\pi^0$ reconstruction for the $\tau^+ \rightarrow \rho^+\bar{\nu}_\tau$ mode (0.984 ± 0.030), and particle identification. These are evaluated using control samples of well-characterized particles. The particle identification efficiency corrections and systematic uncertainties are 0.953 ± 0.003 (0.97 ± 0.04) for identified electrons in the $B^+ \rightarrow \tau^+\nu_\tau$ ($B^+ \rightarrow e^+\nu_e$) and $0.92 \pm 0.05$ (1.016 ± 0.022) for identified muons in the $B^+ \rightarrow \tau^+\nu_\tau$ ($B^+ \rightarrow \mu^+\nu_\mu$) analysis.

The $E_{\text{extra}}$ distributions for each channel are given in Fig. 2 and results given in Table IV. We use the method of Feldman and Cousins [15] to interpret the yields in each channel. When computing the level at which we exclude the null hypothesis, we include systematic errors as a Gaussian convolution with the nominal Poisson distribution. Our results in the $B^+ \rightarrow \mu^+\nu_\mu$ and $B^+ \rightarrow e^+\nu_e$ channels are consistent with the background expectation and we obtain only one-sided 90% confidence intervals. For $B^+ \rightarrow \tau^+\nu_\tau$, we obtain a two-sided 68% confidence interval and exclude the null hypothesis at the level of 2.3$\sigma$. This result supersedes that of the previous work [10]. The statistical consistency test of the results over the four $B^+ \rightarrow \tau^+\nu_\tau$ channels has a $\chi^2$ per degree-of-
from this measurement and combining this result with B simulation is luminosity normalized and corrected for the data/ing fraction (dotted line) normalized to 10 times the expected background MC simulation (gray shaded), and signal MC simulation have been applied for each final state. Shown are data (black points), In the context of the SM, we determine that formed using branching fractions computed with Eq. (2).

FIG. 2 (color online). $E_{\text{extra}}$ after all selection criteria have been applied for each final state. Shown are data (black points), background MC simulation (gray shaded), and signal MC simulation (dotted line) normalized to 10 times the expected branching fraction ($10^0$ times for $B^+ \rightarrow e^+ \nu_e$). The background MC simulation is luminosity normalized and corrected for the data/ MC ratio in the $E_{\text{extra}}$ sideband; the rectangles represent the MC simulation statistical uncertainty. In (a–d), the vertical dashed line indicates the signal region boundary. In (f–g) the first bin is the signal region.

freedom of 2.02/3, or a probability of 57%, and is performed using branching fractions computed with Eq. (2). In the context of the SM, we determine that $f_B^2 = (62 \pm 31) \times 10^3$ MeV$^2$, where the uncertainty arises dominantly from this measurement and $|V_{ub}|$.

We obtain a single $BABAR$ result for $B^+ \rightarrow \tau^+ \nu_{\tau}$ by combining this result with $\mathcal{B}(B^+ \rightarrow \tau^+ \nu_{\tau}) = (1.8_{-0.9}^{+1.0}) \times 10^{-4}$, which is derived from a statistically-independent sample using tag $B$ mesons decaying into fully hadronic final states [16]. We use a simple error-weighted average, since the correlated systematics (mainly due to particle identification, charged particle tracking, and $E_{\text{extra}}$) have a negligible impact on the combination. We obtain $\mathcal{B}(B^+ \rightarrow \tau^+ \nu_{\tau}) = (1.7 \pm 0.6) \times 10^{-4}$, which excludes zero at the 2.8$\sigma$ level. Both this and the combined results are consistent with the SM prediction.

In conclusion, we have used the complete $BABAR$ data sample to search for the purely leptonic $B$ meson decay $B^+ \rightarrow \ell^+ \nu$ using a semileptonic $B$ decay tagging technique. We measure $\mathcal{B}(B^+ \rightarrow \tau^+ \nu_{\tau}) = (1.7 \pm 0.8 \pm 0.2) \times 10^{-4}$ and exclude the null hypothesis at the level of 2.3$\sigma$. We find results consistent with the background predictions for the decays $B^+ \rightarrow \mu^+ \nu_{\mu}$ and $B^+ \rightarrow e^+ \nu_{e}$. We are grateful for the excellent luminosity and machine conditions provided by our PEP-II colleagues, and for the substantial dedicated effort from the computing organizations that support $BABAR$. The collaborating institutions wish to thank SLAC for its support and kind hospitality.

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[1] Charge-conjugate modes are implied throughout this paper.
SEARCH FOR $B^+ \rightarrow \ell^+ \nu_\ell$ RECOILING . . .