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A 200-GHz Inductively Tuned VCO With -7 -dBm Output Power in 130-nm SiGe BiCMOS

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Abstract—A highly efficient push–push voltage-controlled oscillator (VCO) with a new inductive frequency tuning topology for (sub) terahertz frequencies is presented. The tuning technique is based on a variable inductance seen at the emitter node of a base-degenerated transistor. The variable inductor exhibits high quality factor and high tuning range due to the tunable transistor transconductance via bias current. Fabricated in a 0.13- μ m SiGe BiCMOS process, the VCO achieves a tuning range of 3.5% and an output power of -7.2 dBm at 201.5 GHz. The dc power consumption of the VCO is 30 mW, resulting in a high dc to RF power efficiency of 0.6% and a figure of merit (FoM_T) of -165 , which is the highest FoM for any silicon-based VCO reported to date at this frequency range. To demonstrate the functionality of the tuning technique, three VCO prototypes at different oscillation frequencies, including one operating in the 222.7–229-GHz range, are implemented and measured.

Index Terms—Clapp oscillator, Colpitts oscillator, inductive tuning, push–push oscillator, submillimeter wave, terahertz (THz), varactor-less tuning, voltage-controlled oscillator (VCO).

I. INTRODUCTION

HIGH-FREQUENCY range including (sub) terahertz (THz) and millimeter-wave (mm-wave) presents a great promise for noninvasive active and passive imaging, chemical, and biological spectroscopy, multigigabit wireless communications, and short-range radars [1]–[5]. Towards realization of a high-performance (sub) THz system, one of the most challenging steps is to design a high-power, tunable, and efficient signal source [6]–[8]. A traditional way of designing a solid-state high-frequency signal source is to have a tunable low-frequency signal source, followed by a chain of Schottky diode multipliers and amplifiers in compound semiconductors [9]. Despite their limited cutoff frequency and breakdown voltage, recent advances in silicon-based technologies have made them potential candidates for implementation of highly integrated THz radiators and detectors. Recently, high-power and high-frequency oscillators have been demonstrated in silicon [10], [11]. However, having a power-efficient tunable

signal source is necessary for many practical applications. A conventional and widely used way of controlling the oscillation frequency is to implement varactors in an LC oscillator [12], [13]. Nevertheless, a tight tradeoff exists between quality factor (Q -factor) and $C_{\max}/C_{\min}$ tuning ratio of varactors, which will be more stringent at higher frequencies. While the use of minimum-length varactors can improve the Q -factor, it decreases the tuning ratio due to parasitic capacitors. Furthermore, the varactors' loss varies significantly across the tuning range, resulting in dramatic variation in output power due to the limited negative resistance that transistors can provide at high frequency.

On the other hand, as oscillation frequency increases towards the THz band, the inductor size will decrease almost quadratically. This implies: 1) achieving Q -factors as high as 15–20 for small-size inductors (around 100 pH) is possible and 2) magnetic coupling with a coupling coefficient of 0.7 or more can be achieved. Therefore, inductive tuning VCOs can be considered as viable candidates for wider tuning range and higher and relatively constant output power across the band at mm-wave and THz frequencies. Varactor-less voltage-controlled oscillators (VCOs) using transformer coupling feedback have already been proposed for a wide-tuning-range and high- Q -factor LC tank [14]–[16]. The tuning range of this transformer-coupled inductance is determined by the mutual inductance M and the primary and secondary windings' current ratio which degrades at high frequency. Another varactor-less tuning topology is to introduce controllable phase delay along a ring oscillator [17].

In this paper, which is an extension of the work published in [18], an inductive tuning topology based on a variable inductance seen at the emitter of an RL -degenerated amplifier has been developed. This variable inductor exhibits a high quality factor and high tuning range due to the base-degenerated inductor and resistor. This inductor introduces a negative resistance that compensates for $1/g_m$ loss seen from the emitter node. Moreover, the base-degenerated resistor forms a tunable inductor controlled by the transistor's bias current. To verify the feasibility of this approach, multiple VCOs at different frequencies, including 198–205 GHz, 209–215 GHz, 218–225 GHz, and 223–229 GHz, are designed and implemented. The VCO, operating at 198–205 GHz, can achieve an output power of $-7.2 \sim -7.75$ dBm and a dc power of 30 mW. The tuning range is 3.5% and the power efficiency is 0.6%. To the best of the authors' knowledge, this work presents the highest frequency inductively tuned oscillator with the highest power efficiency among silicon-based VCOs.

This paper is organized as follows. In Section II, the proposed inductive tuning circuit is introduced and analyzed. In

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Fig. 1. LC tank with negative resistance.

Section III, test setup and measurement results of the 200-GHz inductively tuned push–push VCO are illustrated. In Section IV, we present the experimental results of the proposed tuning circuit, and Section V concludes the paper.

II. INDUCTIVE TUNING CIRCUIT

Shown in Fig. 1 is an LC tank with a negative resistance cell where Q_C and Q_L represent the Q factor of the capacitor and the inductor, respectively. If the negative resistance cell, which is usually implemented using cross-coupled or Colpitts topologies, compensates for the loss of the LC tank, then the LC tank starts to oscillate. The oscillation frequency ω_{osc} and Q factor of the tank Q_{tank} are expressed as [19]

$$\omega_{osc} = \frac{1}{\sqrt{L(C + C_P)}} \quad Q_{tank} = \frac{Q_C Q_L}{Q_C + Q_L} \quad (1)$$

where C_P denotes the parasitic capacitor of the negative resistor. The conventional varactor tuning is losing its advantages at high frequencies. The parasitic capacitors dominate the total capacitance and degrade the tuning range. Furthermore, low Q factor of varactors (i.e., only 2~5 at 100 GHz) compared with Q_L of 20 decreases the Q factor of the LC tank, leading to smaller output power and higher phase noise. On the other hand, inductive tuning can be used to achieve higher Q_{tank} and tuning range, making it attractive for high-frequency VCO design.

A. Inductive Tuning Mechanism Using RL-Degenerated Transistor

It is commonly known that an emitter follower circuit with a small base resistor will generate an inductive reactance seen at the emitter node. Also, an inductor placed at the base terminal causes the emitter follower circuit to go to an unstable region due to the negative resistor at the emitter node. We investigate a series RL circuit to be employed as the degeneration network of an emitter follower circuit for frequency tuning. To illustrate the idea, we first look into a differential base-degenerated amplifier shown in Fig. 2(a). The circuit is comprised of transistors $T_3 - T_4$, the series RL network $R_{BB} - L_{BB}$, and a tail current I_E . The input impedance Z_{in} can be expressed as

$$Z_{in} = \frac{R_{BB} + r_\pi + j\omega(L_{BB} + r_\pi C_\pi R_{BB}) - \omega^2 r_\pi C_\pi L_{BB}}{1 + g_{m3,4} r_\pi + j\omega r_\pi C_\pi} \quad (2)$$

$$\cong \frac{(R_{BB} + r_\pi - \omega^2 r_\pi C_\pi L_{BB})(1 + j\omega/z_0)}{g_{m3,4} r_\pi (1 + j\omega/p_0)} \quad (3)$$

$$= R_1 || j\omega L_1 + R_2 \quad (4)$$

in which

$$z_0 = \frac{R_{BB} + r_\pi - \omega^2 r_\pi C_\pi L_{BB}}{L_{BB} + r_\pi C_\pi R_{BB}} \quad p_0 = \frac{g_{m3,4}}{C_\pi} = \omega_T \quad (5)$$

$$R_2 = \frac{R_{BB}}{g_{m3,4} r_\pi} + \frac{1}{g_{m3,4}} - \frac{\omega^2 L_{BB}}{\omega_T} \quad (6)$$

Fig. 2. (a) Differential RL-degenerated transistor pair and (b) its equivalent circuit.

Fig. 3. Simulated L_{EFF} with respect to frequency for different values of I_E from 2 to 12 mA.

Assuming $g_{m3,4} r_\pi$, (2) is simplified to (3), which resembles the expression for the impedance of a network comprising a parallel $R_1 - L_1$ in series with R_2 , as shown in Fig. 2(b). Substituting (5) and (6) into (2) results in the following closed-form expressions for R_1 and L_1 :

$$R_1 = R_2 \left(\frac{p_0}{z_0} - 1 \right) = R_{BB} - \frac{1}{g_{m3,4}} + \left(\left(\frac{\omega}{\omega_T} \right)^2 + \frac{1}{g_{m3,4} r_\pi} \right) \omega_T L_{BB} \quad (7)$$

$$L_1 = \frac{R_1}{p_0} = \frac{R_{BB}}{\omega_T} - \frac{1}{g_{m3,4} \omega_T} + \left(\left(\frac{\omega}{\omega_T} \right)^2 + \frac{1}{g_{m3,4} r_\pi} \right) L_{BB} \quad (8)$$

Substituting (6)–(8) into (4) yields a closed-form expression for driving point impedance seen from the emitter node of T_3 , i.e.,

$$Z_{in} \cong \frac{1}{g_{m3,4}} - \frac{\omega^2 L_{BB}}{\omega_T} + \frac{\omega^2 R_{BB}}{\omega_T^2} + j\omega \left[\frac{R_{BB}}{\omega_T} - \frac{1}{g_{m3,4} \omega_T} + \frac{\omega^2 L_{BB}}{\omega_T^2} \right] = R_{EFF} + j\omega L_{EFF} \quad (9)$$

R_2 in (6) is dominated by the last two terms, as R_{BB} (in the range of tens of ohms) is divided by the transistor's current gain $\beta_{3,4}$ (≥ 100). It will be equal to $1/g_{m3,4}$ if there is no L_{BB} and R_{BB} , as expected. L_{BB} contributes a negative term to both R_2 and Z_{in} , thereby decreasing equivalent series loss of the variable inductor. R_{BB} is added to have inductance tuning ability via I_E . From (8), R_{BB} helps to boost variable inductance. From

Fig. 4. (a) Simulated L_{EFF} versus I_E at 100 GHz for three different values of R_{BB} . (b) Corresponding quality factor.

(9), one can infer that the equivalent inductor L_{EFF} varies with I_E (through $g_{m3,4}$). Furthermore, R_{EFF} is reduced due to L_{BB} , and, thus, the quality factor is improved.

Fig. 3 shows the simulated L_{EFF} as a function of frequency with differential tail current I_E varying from 2 to 12 mA. In this simulation, the transistor size is $6 \mu\text{m}/0.13 \mu\text{m}$, R_{BB} is 15Ω , and L_{BB} is 60 H. From Fig. 3, as I_E increases from 2 to 12 mA L_{EFF} decreases from 70 to 30 pH around the 100-GHz fundamental frequency.

A tradeoff exists between the tuning range and quality factor of L_{EFF} , as both L_{EFF} and R_{EFF} are directly affected by R_{BB} . Shown in Fig. 4(a) is L_{EFF} versus I_E at 100 GHz with three different values of R_{BB} , where L_{BB} is set to 60 pH. The simulated L_{EFF} increases with R_{BB} , while $L_{EFF} - I_E$ variation follows the same behavior for all three values of R_{BB} . Fig. 4(b) depicts simulated Q factor of L_{EFF} with respect to I_E and shows that higher values of R_{BB} results in lower Q factor. Fig. 5 shows the simulated Q factor at 100 GHz versus I_E for three different values of L_{BB} , where R_{BB} is fixed at 15Ω . In the low- I_E region, the Q factor is low because the transistors are not fully biased in forward active region. Once the transistors are biased in forward active region, the Q factor will increase. The Q factor will be boosted from 5 to 25 at $I_E = 10$ mA when L_{BB} increases from 50 to 60 pH. This notion is also verified in (9).

Note that, if L_{BB} is chosen to be too large, it may cause an undesired oscillation due to the fact that the negative part of R_{EFF} will start dominating the other terms.

B. Transformer-Coupled Inductive Tuning Circuit

The proposed inductive tuning circuit is shown in Fig. 6(a), where a 1:1 differential L_T transformer with coupling factor k_C is used to couple L_{EFF} to the oscillator's tank. The transformer isolates the dc bias current of the core oscillator from I_E , which is varying to achieve inductive tuning. A separate constant dc bias current is necessary for a VCO core because it provides constant negative resistance even in lower current tuning region. Furthermore, transformer coupling decreases the variation of Q factor. As shown in Fig. 5, the Q factor variation with a constant L_{BB} can be as high as 55. Such variation may lead to significant change in VCO output power, or it may even dampen the oscillation in the low Q region. To address this, the half equivalent

Fig. 5. The simulated Q factor of L_{EFF} at 100 GHz for three values of L_{BB} .

Fig. 6. (a) Proposed transformer coupled inductive tuning circuit and (b) its half equivalent circuit.

circuit of the differential transformer coupled inductive tuning circuit in Fig. 6(a) is shown in Fig. 6(b). A T model [20] is used to represent the 1:1 transformer in Fig. 6(a). R_T represents the series loss of primary and secondary inductors and M denotes the mutual inductance. Assuming Q^2 of both the transformer and L_{EFF} to be much larger than 1, Z_{tank} can be expressed as

$$Z_{\text{tank}} \cong R_T + \frac{(R_T + R_{EFF})M^2}{(L_T + L_{EFF})^2} + j\omega \left(\frac{L_T L_{EFF} + L_T^2 - M^2}{L_T + L_{EFF}} \right). \quad (10)$$

Fig. 7. (a) Simulated quality factor Q_{tank} of Z_{tank} . (b) Inductor L_{tank} of Z_{tank} at 100 GHz, where L_{BB} is 60 pH, R_{BB} is 15 Ω , and the coupling factor k_C is 0.7.

Fig. 8. Simulated inductance and Q factor of L_{tank} at 100 GHz, where $L_T = 30$ pH, $k_C = 0.7$, $L_{BB} = 60$ pH, and $R_{BB} = 15$ Ω .

Since, according to (10), Z_{tank} will retain higher percentage of the tuning range contributed by L_{EFF} as the transformer coupling increases, the transformer is designed to achieve a k_C factor of 0.7 and a Q factor of 15. Shown in Fig. 7(a) is the simulated Q factor of Z_{tank} , Q_{tank} , with five L_T values, where L_{BB} and R_{BB} are set to be 50 pH and 15 Ω , respectively. At the low I_E region, Q_{tank} is 6, which is higher than that of L_{EFF} in Fig. 5. As I_E increases, Q_{tank} will increase due to a decrease in R_{EFF} . Moreover, higher L_T leads to additional improvement in Q_{tank} , for a given I_E . As also shown in Fig. 7(b), for L_T varying from 20 to 60 pH, the tuning range slightly increases from 7% to 9%, while the average value of L_{tank} also increases from 18 to 45 pH. Therefore, the L_T transformer has to be designed based on the desired oscillation frequency with maximum achievable coupling factor. For the VCO in this work, $L_T = 30$ pH, $L_{BB} = 60$ pH and $R_{BB} = 15$ Ω , and the simulated L_{tank} and Q_{tank} versus I_E are shown in Fig. 8. The VCO with the proposed inductive tuning topology achieves an overall Q factor three times as high as the varactors' at 100 GHz. This Q factor will further increase towards the higher end of the tuning range. This helps the flatness of the VCO output power across the tuning range, because the higher Q factor at higher oscillation frequency compensates for degradation of the transistors' transconductance with frequency.

$T_1 \sim T_5$	C_1	C_2	R_{BB}	R_E	L_T	L_E	L_{BB}
$L_E = 2 \times 3 \mu\text{m}$	25 fF	86 fF	15 Ω	38 Ω	30 pH	55 pH	60 pH

Fig. 9. Proposed inductively tuned push-push 200-GHz VCO.

III. INDUCTIVELY TUNED PUSH-PUSH VCO

Colpitts topology has proved to achieve a higher maximum oscillation frequency than a cross-coupled pair counterpart [21], [22], due to a nonunity-gain feedback loop realized using the tapped capacitor resonator, which decreases the capacitance contribution of C_π into the LC tank. Moreover, the phase noise of a Colpitts oscillator is a function of capacitive division ratio [22], making it more flexible to achieve a lower phase noise. Shown in Fig. 9 is the proposed schematic of the Colpitts push-push inductively tuned VCO. Owing to the core circuit comprising the transistor T_1 (T_2) and tapped capacitor network $C_1 - C_2$, a negative resistance can be seen at the base or collector nodes due to a capacitive feedback around these two nodes. The tank inductor L_T is placed at the base of T_1 (T_2), whose loss is compensated by the negative resistance. The oscillation frequency will be $1/[L_T C_1 C_2 / (C_1 + C_2)]^{1/2}$. Together with the inductive tuning circuit composed of R_{BB} , L_{BB} , and T_3 (T_4), the oscillation frequency can be tuned by changing V_{tune} . Note that, once oscillating, the second-harmonic signal

Fig. 10. Simulated voltage swing of the fundamental signal at the base node of a Colpitts VCO core for (a) conventional varactor-tuning VCO and (b) the proposed inductive-tuning VCO.

Fig. 11. Die photograph of the inductively tuned VCO where the chip area is $250 \times 290 \mu\text{m}^2$ excluding the pad ring.

can be extracted at any common-mode node of the VCO. In Fig. 9, the second harmonic is extracted from collectors in order to achieve higher output power. The primary reason is that the major portion of the second-harmonic current generated by transistors $T_1 - T_2$ will flow out from their collector nodes to the output load, while, for the other common-mode nodes, the inherent current division will degrade the output power. Also, placing the tank inductor at the base node eliminates the passive loss in the second signal path which would otherwise exist if that inductor would have been placed at collector node. The resistor R_{EE} is used to improve common mode rejection. However, there is a tradeoff between the second-harmonic output power and R_{EE} value to improve common-mode rejection, as R_{EE} is also in the second-harmonic path.

The inductor L_C in Fig. 9 models the wiring interconnection from collectors to RF pads and is considered to be part of the output-matching network along with the RF pad's parasitic capacitor. The common-mode node between C_2 's is grounded to improve output matching at the second harmonic. With a bias-T implemented on a ground-signal-ground (GSG) waveguide probe, the dc supply voltage can be applied directly into

Fig. 12. (a). The 200-GHz VCO oscillation frequency measurement setup. (b). The 200-GHz VCO output power measurement setup.

Fig. 13. IF tone shown on the spectrum analyzer after the VCO's 201.6-GHz output signal was down-converted by a harmonic mixer ($n = 11$) and LO frequency of 18.3 GHz.

the circuit, while the signal can be brought out from this probe to measurement equipment.

As illustrated in Section II, the Q factor of the proposed inductive-tuning LC tank is higher than that of the conventional varactor-tuning LC tank. A varactor-tuning VCO with the same oscillation frequency and tuning range is designed for comparison. The base voltage swing of the fundamental signal for transistor $T_1(T_2)$ is simulated and shown in Fig. 10, where the voltage swing of the inductive-tuning VCO is higher than the conventional one. This higher voltage swing means that: 1) the Q factor of the LC tank is higher as long as the swing does not exceed saturation region; 2) transistors $T_1 - T_2$ can generate a stronger second-harmonic signal; and 3) phase noise is improved due to

Fig. 14. Measured oscillation frequency and output power versus V_{tune} of (a) 200-GHz VCO and (b) 210-GHz VCO.

the fact that it is proportional to $1/Q_{tank}$ [23]. In our simulation, the output power of the proposed inductive-tuning VCO is 3 dBm higher than that of the conventional counterpart.

IV. MEASUREMENT SETUP AND EXPERIMENTAL RESULTS

The proposed inductive tuning VCO was designed and fabricated in a 0.13- μm SiGe BiCMOS technology. All of the transformers and inductors were implemented on the two topmost metal layers, M5-M6, with the bottom metal layer M1 being used as a ground plain, shielding from the lossy substrate. Fig. 11 shows the chip photograph of the VCO with a chip area of $250 \times 290 \mu\text{m}^2$ excluding the pad ring. All of the elements and routing lines have been placed symmetrically in order to minimize mismatch and improve overall performance.

The 200-GHz VCO frequency and power measurement setups were configured as shown in Fig. 12(a) and (b). For frequency measurement, the VCO output signal was brought through the RF probe to a harmonic mixer, then down-converted to around 5 GHz, and measured on a spectrum analyzer. In Fig. 12(a), a GGB WR5 GSG probe with bias tee, an Agilent E4407 spectrum analyzer, a Pacific Millimeter GM harmonic mixer and MD4A diplexer are used to verify the oscillation frequency. Fig. 13 shows the down-converted 201.6-GHz VCO output signal when an LO signal of 18.3 GHz and a harmonic number of 11 is utilized. Due to the narrow IF bandwidth of the diplexer compared with the tuning range of the VCO, the LO frequency needs to be adjusted with respect to the VCO oscillation frequency. To accurately measure the output

Fig. 15. Measured oscillation frequency of the 220- and 227-GHz VCOs.

Fig. 16. Measured phase noise of the 200-GHz VCO.

power, a direct power measurement setup was used, as shown in Fig. 12(b). The WR5 GSG probe is converted to a WR10 waveguide via a taper, and then connected to a power sensor and an Erickson PM4 power meter. The measured output power levels need to be calibrated by 3.25 dB to account for the loss of the probe, waveguide extension, and taper.

Fig. 14(a) shows the measured oscillation frequency and output power of the 200-GHz VCO with respect to the tuning voltage, V_{tune} . By controlling V_{tune} from 0.5 to 1.5 V, the oscillation frequency is varied from 198 to 205 GHz, resulting in a tuning range of 3.5%. The measured output power is -7.2 dBm with less than 0.5-dB variation across the band. Fig. 14(b) shows the measured performance of a 212-GHz VCO prototype, which was designed using the same topology. The tuning range is from 209 to 215 GHz with -7.1 -dBm output power. Both VCOs consume a dc power of 30–57 mW with respect to V_{tune} . As shown in Fig. 15, two additional VCOs were implemented for 220 and 227 GHz. The measured tuning range is 6 GHz when the maximum oscillation frequency extends to 229 GHz. Due to the bandwidth limitation of WR5 waveguide (140–220 GHz), the output power of these two VCOs could not be measured accurately. Fig. 16 shows the measured phase-noise profile of the 200-GHz VCO, wherein the phase noises of -87.2 and -103.1 dBc/Hz are reported at 1- and 10-MHz offset, respectively. Table I shows the performance comparison with prior work. The proposed VCOs show a maximum figure of merit (FoM) (FoM_T) of -165.6 ,

TABLE I
VCO PERFORMANCE COMPARISON

	This Work	This Work	[24]	[25]	[26]	[27]	[28]	[29]
Frequency (GHz)	201.5	212	161.1	184.2	277.6	196.5	139	290
Tuning Range	3.5%	2.8%	4.7%	2%	1.5%	1.5%	0.09%	4.5%
Power(dBm)	-7.2	-7.1	-15	-11	-20	-19	-19	-1.2*
DC Power (mW)	30 (min.)	30 (min.)	46.5	95	132	29	9.6	325
Power Efficiency	0.64%	0.65%	0.068%	0.084%	0.0076%	0.087%	0.13%	0.23%
PN @ 1MHz (dBc/Hz)	-87	-92	-86	NA	NA	-94	-79	-78
FoM _T **	-162	-165.6	-151.9	NA	NA	-150	-112.1	-154
Technology	0.13μm SiGe	0.13μm SiGe	0.25μm SiGe	0.13μm SiGe	0.13μm SiGe	90nm CMOS	90nm CMOS	65nm CMOS

* Power combination of four cross-coupled oscillators.

** $FoM_T = PN - 20 \log((f_o/\Delta f) \cdot (FTR/10)) + 10 \log(P_{DISS}/1 \text{ mW}) - P_{out}$

which was the highest compared with the state of the art in silicon-based VCOs.

V. CONCLUSION

A varactor-less push–push VCO topology has been presented and analyzed for mm-wave and THz frequency bands. Four VCOs with different frequencies have been implemented to show the feasibility of the proposed approach. The maximum tuning range is 3.5% and highest oscillation frequency is 229 GHz. A power efficiency of 0.65% was achieved with an output power of -7.2 dBm. The proposed inductive tuning topology increases the Q factor of the VCO LC tank, which improves the output power and phase noise, resulting in a higher FoM among the other silicon-based low-THz VCOs.

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