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Triplet Tuning: A Novel Family of Non-Empirical Exchange-Correlation Functionals.

Permalink https://escholarship.org/uc/item/8r61z623

Journal

Journal of Chemical Theory and Computation, 15(2)

ISSN

1549-9618

Authors

Lin, Zhou Van Voorhis, Troy

Publication Date

2019-02-12

DOI

10.1021/acs.jctc.8b00853

Peer reviewed

# Triplet-Tuning: A Novel Family of Non-Empirical Exchange–Correlation Functionals

Zhou Lin and Troy Van Voorhis\*

Department of Chemistry, Massachusetts Institute of Technology, Cambridge, MA 02139

E-mail: tvan@mit.edu

#### Abstract

In the framework of density functional theory (DFT), the lowest triplet excited state,  $T_1$ , can be evaluated using multiple formulations, the most straightforward of which are unrestricted DFT (UDFT) and time-dependent DFT (TDDFT). Assuming the exact exchangecorrelation (XC) functional is applied, UDFT and TDDFT provide identical energies for  $T_1$  $(E_{\rm T})$ , which is also a constraint that we require our XC functionals to obey. However, this condition is not satisfied by most of the popular XC functionals, leading to inaccurate predictions of low-lying, spectroscopically and photochemically important excited states, such as  $T_1$ and the lowest singlet excited state  $(S_1)$ . Inspired by the optimal tuning strategy for frontier orbital energies [T. Stein, L. Kronik, and R. Baer, J. Am. Chem. Soc. 131, 2818 (2009)], we proposed a novel and *non-empirical* prescription of constructing an XC functional in which the agreement between UDFT and TDDFT in  $E_{\rm T}$  is strictly enforced. Referred to as "triplet tuning", our procedure allows us to formulate the XC functional on a case-by-case basis using the molecular structure as the exclusive input, without fitting to any experimental data. The first triplet tuned XC functional, TT- $\omega$ PBEh, is formulated as a long-range-corrected (LRC) hybrid of Perdew-Burke-Ernzerhof (PBE) and Hartree-Fock (HF) functionals [M. A. Rohrdanz, K. M. Martins, and J. M. Herbert, J. Chem. Phys. 130, 054112 (2009)] and tested on four sets of large organic molecules. Compared to existing functionals,  $TT-\omega PBEh$  manages to provide more accurate predictions for key spectroscopic and photochemical observables, including but not limited to  $E_{\rm T}$ , the optical band gap ( $E_{\rm S}$ ), the singlet-triplet gap ( $\Delta E_{\rm ST}$ ), and the vertical ionization potential ( $I_{\perp}$ ), as it adjusts the effective electron-hole interactions to arrive at the correct excitation energies. This promising triplet tuning scheme can be applied to a broad range of systems that were notorious in DFT for being extremely challenging.

# **1** Introduction

Due to its affordable computational cost, density functional theory (DFT) has become the main theoretical workhorse for large molecules where most wave function based approaches are infeasible.<sup>1–4</sup> DFT was originally established as a ground-state approach, while various excited-state extensions were also formulated to predict experimental observables. Representative excited-state methods include time-dependent DFT (TDDFT),<sup>5</sup> spin-flip DFT (SFDFT),<sup>6</sup>  $\Delta$  self-consistent field ( $\Delta$ SCF),<sup>7</sup> and restricted open-shell Kohn–Sham (ROKS).<sup>8</sup> Despite being formally exact, DFT has several fundamental issues which place a glass ceiling over its predictive power for excited states, especially for organic molecules with large  $\pi$ -conjugated structures or charge transfer characters. For example, the accuracy of a (semi-)empirical density functional heavily relies on the selection of parameters in its exchange–correlation (XC) component ( $E_{\rm XC}$ ). Optimal parameters are sensitive to the configurational features of species included in the fitting database. Also, the self-interaction error (SIE) and the locality problem can lead to unphysical density distributions in both short and long ranges for those difficult molecules.<sup>9–17</sup>

Within the recent decade, many methodological efforts have been reported to resolve abovementioned issues. For instance, new DFT variants have been developed, such as self-interaction corrected DFT (SIC-DFT),<sup>18</sup> average density self-interaction correction (ADSIC),<sup>19</sup> constrained DFT (CDFT),<sup>20</sup> and constrained variational DFT ( $CV(\infty)$ -DFT).<sup>21</sup> Without modifying the conventional DFT-based approaches, new XC functionals have also been constructed to approximate the exact density. Typical examples include *meta*-generalized gradient approximation (*meta*-GGA) that involves the second gradient of the density (TPSS,<sup>22</sup> SCAN,<sup>23</sup> M06-L,<sup>24</sup> and M06-2X,<sup>25</sup> *etc.*), and range-separation treatment that partially or fully reproduces the asymptotic density decay by implementing the Hartree–Fock (HF) exchange in the long range using an empirically determined range-separation parameter,  $\omega$  (CAM-B3LYP,<sup>26</sup> CAM-QTP,<sup>27</sup>  $\omega$ B97X-V,<sup>28</sup>  $\omega$ B97M-V,<sup>29</sup> LRC- $\omega$ PBE,<sup>30,31</sup> and LRC- $\omega$ PBEh,<sup>32,33</sup> *etc.*).

More recently, several optimally tuned (OT) versions of range-separated XC functionals have been proposed and applied to large molecules, including OT-BNL,<sup>34</sup> OT- $\omega$ B97XD,<sup>35</sup> and OT-

 $\omega$ PBEh,<sup>36</sup> etc. These functionals allow the users to optimize  $\omega$  in a non-empirical, system-dependent fashion, and can be considered as a "black box" in which the molecular structure serves as the exclusive input. As an outstanding example, Kronik, Baer, and co-workers developed the most popular optimal tuning scheme<sup>34,37,38</sup> based on Iikura's idea of range separation<sup>39</sup> and Koopmans' theorem.<sup>40</sup> They enforce an agreement between the vertical ionization potential ( $I_{\perp}$ ) and the negative eigenvalue for the highest occupied molecular orbital ( $-\varepsilon_{\text{HOMO}}^{(N)}$ ), which is satisfied by the exact XC functional. These optimally tuned functionals have successfully predicted diverse (pseudo-)one-electron properties such as the fundamental band gap, the photoelectron spectrum, and the charge transfer excitation energy. Also, they behave reasonably well for spectroscopic and photochemical properties like the optical band gap ( $E_{\rm S} = E_{\rm S_1} - E_{\rm S_0}$ ), the phosphorescence energy ( $E_{\rm T} = E_{\rm T_1} - E_{\rm S_0}$ ), and the singlet-triplet gap ( $\Delta E_{\rm ST} = E_{\rm S_1} - E_{\rm T_1}$ ).<sup>41-48</sup> However, as these functionals are not explicitly tuned for low-lying energetics, their for accuracy these quantities is not expected to be comparable with (pseudo-)one-particle properties.

Motivated by reaching more accurate predictions of spectroscopically and photochemically important excited states, we proposed the novel triplet tuning scheme based on the lowest triplet excited state (T<sub>1</sub>). Our idea borrows a page from the above-mentioned optimal tuning prescription,<sup>34,37,38</sup> in which we optimized the values of one or two selected parameters in a *non-empirical* manner by matching a particular energy level evaluated using two different approaches. Instead of equaling  $I_{\perp}$  to  $-\varepsilon_{\text{HOMO}}^{(N)}$ , we enforced the agreement in the lowest triplet excitation energy ( $E_{\text{T}}$ ) between two excited-state DFT formulations, namely  $\Delta$ SCF<sup>7</sup> and TDDFT.<sup>5</sup>

In the present study, we developed the first triplet tuned (TT) functional in this series, TT- $\omega$ PBEh, by imitating the formula of LRC- $\omega$ PBEh<sup>30,31</sup> and leaving two adjustable parameters:  $\omega$  and the percentage of the HF exchange in the short range,  $C_{\rm HF}$ . The methodological details will be provided in Sec. 2. In Sec. 3, the accuracy and stability of TT- $\omega$ PBEh will be analyzed using four groups of large organic molecules which possess rich spectroscopic and photochemical information and are notoriously difficult for theoretical investigations, even for low-lying excited states. As will be illustrated by Sec. 3, TT- $\omega$ PBEh provides the most excellent agreement with

experiments regarding  $E_{\rm T}$ ,  $E_{\rm S}$ , and  $\Delta E_{\rm ST}$ , due to its accurate reproduction of the screening of the electron-hole interaction. Concerning  $I_{\perp}$ , we will show that the incorporation of triplet tuning will enhance the predictive power of the conventional optimal tuning prescription. The conclusion and the future work will be discussed in Sec. 4.

# 2 Theory

### 2.1 Triplet Excitation Energy

Constructed by Kohn and Sham (KS-DFT), the most popular version of DFT allows us to evaluate the energy and density based on molecular orbitals.<sup>2,3</sup> In this framework, there exists a universal, variational functional that provides the correct ground-state energy from the exact ground-state density. It is also straightforward to generalize the KS functional to one that gives the lowest state of a system with *any given symmetry* when constraining the density to the corresponding symmetry.<sup>49</sup> As a result, ground-state DFT is able to access an excited state that is the lowest state within a particular symmetry. The most common case of this has to do with the spin configuration. When the true ground state for a closed-shell molecule (like most organic molecules) is a spinrestricted singlet (S<sub>0</sub>), the lowest state with  $M_S = \pm 1$  must be a triplet (T<sub>1</sub>). These closed-shell species can achieve T<sub>1</sub> by promoting one electron from HOMO to the lowest unoccupied molecular orbital (LUMO) and flipping its spin accordingly. S<sub>0</sub> and T<sub>1</sub> can be most easily resolved by doing a restricted DFT (RDFT) calculation with  $M_S = 0$  in the former case and an UDFT calculation with  $M_S = \pm 1$  in the latter.<sup>50</sup> Therefore, the triplet excitation energy,  $E_{\rm T}$ , which corresponds to an experimental measure of  $E_{\rm T_1}$ , can be evaluated following the  $\Delta$ SCF scheme,

$$E_{\rm T}^{\Delta \rm SCF} = E_{\rm T_1}^{\rm UDFT} - E_{\rm S_0}^{\rm RDFT}.$$
(1)

Under the exact functional, Eq. (1) gives the true  $E_{\rm T}$ . In an alternative strategy, T<sub>1</sub> can be treated as an excited state using linear-response TDDFT.<sup>5,51</sup> With the exact XC kernel TDDFT yields exact energies for all excited states including  $T_1$ .<sup>52</sup> As such,  $E_T$  can also be obtained using

$$E_{\rm T}^{\rm TDDFT} = E_{\rm T_1}^{\rm TDDFT} - E_{\rm S_0}^{\rm RDFT}.$$
(2)

## 2.2 Triplet Tuning Protocol

Since RDFT, UDFT, and TDDFT are all formally exact approaches, Eqs. (1) and (2) would provide identical values of  $E_{\rm T}$  assuming the exact XC functional and kernel are used,

$$E_{\rm T}^{\Delta \rm SCF} \equiv E_{\rm T}^{\rm TDDFT}.$$
(3)

However, we note that for an approximate functional, Eq. (3) does not necessarily hold, and the difference between  $E_{\rm T}^{\Delta {\rm SCF}}$  and  $E_{\rm T}^{{\rm TDDFT}}$  strictly measures one aspect of the inaccuracy of the underlying functional. Eq. (3) is analogous to the Koopmans' theorem that the frontier orbital energies,  $-\varepsilon_{\rm HOMO}^{(N)}$  and  $-\varepsilon_{\rm LUMO}^{(N)}$ , should match  $I_{\perp}$  and the vertical electron affinity  $(A_{\perp})$  respectively under the exact XC functional.<sup>3,34,37,38,40,53</sup> However, our case differs from the conventional optimal tuning by using an excited state as a target of interest rather than an ionized ground state.

Thus, learning from the optimal tuning approach,<sup>34,37,38</sup> our key concept is to tune the underlying functional on a molecule-by-molecule basis so that Eq. (3) is fulfilled as rigorously as possible. In practice, we minimized the following objective function,

$$J_{\rm TT}^2 = \left(E_{\rm T}^{\Delta \rm SCF} - E_{\rm T}^{\rm TDDFT}\right)^2,\tag{4}$$

in order to obtain optimal parameters. We refer to this approach as "triplet tuning", which can be utilized to improve the performance of any XC functional. We should note that TT *does not introduce any empiricism* to the underlying functional, as the calculated  $E_{\rm T}^{\Delta {\rm SCF}}$  and  $E_{\rm T}^{{\rm TDDFT}}$  are not matched to any experimental value – instead the parameters are optimized to achieve an internal consistency of  $E_{\rm T}^{\Delta {\rm SCF}}$  and  $E_{\rm T}^{{\rm TDDFT}}$  that the exact functional is known to possess.

Similar to optimal tuning, our triplet tuning protocol can be partly justified based on the adi-

abatic connection:<sup>54</sup> the exact  $E_{\rm XC}$  is related to the coupling-constant-average of the electronelectron interaction energy, for which the value at the low-coupling limit is just the exchange energy ( $E_{\rm X}$ ) and the stronger coupling is embodied in the correlation energy ( $E_{\rm C}$ ). The precise weight of weakly and strongly interacting systems in the integral depends on the system and the range of the interaction and must typically be determined semi-empirically.<sup>30,32,55</sup> The triplet tuning scheme offers the attractive possibility of performing the adiabatic connection in a *non-empirical* fashion, that is, minimizing  $J_{\rm TT}^2$  in Eq. (4) to define the optimal form of  $E_{\rm XC}$ . In the present study, we focused on the tuning of  $E_{\rm X}$  as its weight is significant heavier than  $E_{\rm C}$  for most weakly interacting molecules of interest.

Bearing this in mind, we performed triple tuning on the basis of the range-separated hybrid formula of PBE and HF,<sup>30–33,56</sup> and named it as TT- $\omega$ PBEh. TT- $\omega$ PBEh was designed to predict the accurate density in both short and long ranges and to reproduce the correct electron-hole interactions in molecules. Its formula separates  $E_X$  into the short-range (SR) and long-range (LR) contributions,<sup>39</sup>

$$E_{\rm XC} = E_{\rm X}^{\rm SR} + E_{\rm X}^{\rm LR} + E_{\rm C}.$$
(5)

This can be accomplished by re-expressing the Coulomb operator,  $1/r_{12}$ , into <sup>39,57</sup>

$$\frac{1}{r_{12}} = \underbrace{\frac{1 - \operatorname{erf}(\omega r_{12})}{r_{12}}}_{SR} + \underbrace{\frac{\operatorname{erf}(\omega r_{12})}{r_{12}}}_{LR}, \tag{6}$$

in which  $r_{12} = |\vec{r_1} - \vec{r_2}|$  is the interelectron distance, and "erf" represents the Gauss error function.<sup>58</sup> Eq. (6) introduces  $\omega$  as the range-separation parameter, which is the reciprocal of the distance at which  $E_X^{SR}$  transitions to  $E_X^{LR}$ .<sup>39</sup> As the non-local HF exchange exhibits the correct asymptotic behavior,<sup>57</sup> we selected

$$E_{\rm X}^{\rm LR} \equiv E_{\rm X}^{\rm HF}.$$
 (7)

Meanwhile,  $E_X^{SR}$  takes a hybrid form of HF and a selected (semi-)local DFT exchange functional in

order to balance the localization error from HF and delocalization error from this DFT functional:<sup>59</sup>

$$E_{\rm X}^{\rm SR} = C_{\rm HF} E_{\rm X, HF}^{\rm SR} + C_{\rm DFT} E_{\rm X, DFT}^{\rm SR}.$$
(8)

 $C_{\rm HF}$  and  $C_{\rm DFT}$  represent the fractions of the HF and DFT components in  $E_{\rm X}^{\rm SR}$ , and they are related to each other through the uniform electron gas (UEG) constraint, <sup>1-4</sup>

$$C_{\rm HF} + C_{\rm DFT} = 1. \tag{9}$$

Eqs. (8) and (9) suggest the second to-be-determined parameter,  $C_{\rm HF}$ . In existing range-separated hybrid formulations,  $E_{\rm C}$  and  $E_{\rm X,DFT}^{\rm SR}$  can be selected among LDA,<sup>2</sup> GGA,<sup>60–62</sup> or *meta*-GGA<sup>22–25</sup> expressions based on the requirement of the system. In TT- $\omega$ PBEh one utilizes the Perdew–Burke–Ernzerhof (PBE) formulas for  $E_{\rm X,DFT}^{\rm SR}$  and  $E_{\rm C}$ .<sup>63</sup>

In the present study, we examined three variants of TT- $\omega$ PBEh, one with both parameters tuned (the original TT- $\omega$ PBEh), and two with a single tunable parameter, either  $\omega$  (TT- $\omega$ PBEh $\omega$ , similar to LRC- $\omega$ PBEh<sup>33</sup>) or  $C_{\rm HF}$  (TT- $\omega$ PBEhC). Such a comparison enables us to validate the insufficiency of the single-parameters versions and the necessity of tuning both  $\omega$  and  $C_{\rm HF}$ .

## 2.3 One-Electron Property

As was discussed in Sec. 1, our triplet tuning scheme reproduces correct electron-hole interactions while the optimal tuning prescription of Kronik and Baer provides accurate one-electron properties.<sup>34,37,38</sup> Therefore a performance comparison between these two approaches is necessary. To realize this we also re-constructed the range-separated hybrid OT- $\omega$ PBEh functional as described in Eqs. (5)–(9). The objective function was established following Koopmans' theorem, <sup>34,37,38,40</sup>

$$J_{\rm OT}^2 = \left[I_\perp + \varepsilon_{\rm HOMO}^{(N)}\right]^2 + \left[A_\perp + \varepsilon_{\rm HOMO}^{(N+1)}\right]^2,\tag{10}$$

where  $\varepsilon_{\text{HOMO}}^{(N+1)}$  stands for the HOMO energy of the anionic species with an identical molecular structure to the neutral species and is a substitute of  $\varepsilon_{\text{LUMO}}^{(N)}$  due to the incorrect physical interpretation of virtual orbitals in the KS framework.<sup>3,53</sup>  $I_{\perp}$  and  $A_{\perp}$  are evaluated using  $\Delta$ SCF,

$$I_{\perp} = E_{\rm C^+}^{\rm UDFT} - E_{\rm S_0}^{\rm RDFT},\tag{11}$$

$$A_{\perp} = E_{\rm S_0}^{\rm RDFT} - E_{\rm A^-}^{\rm UDFT},$$
 (12)

where C<sup>+</sup> and A<sup>-</sup> represent cationic and anionic species respectively.

In an attempt to take into account both one-electron properties and electron-hole interactions using the very same functional, we also combined the recipes of OT- $\omega$ PBEh and TT- $\omega$ PBEh to formulate another functional termed as mix- $\omega$ PBEh. Its objective function is consequently

$$J_{\rm mix}^2 = \frac{J_{\rm TT}^2 + J_{\rm OT}^2}{2}.$$
 (13)

#### 2.4 Molecular Test Sets

In order to evaluate the performance and applicability of TT- $\omega$ PBEh and mix- $\omega$ PBEh and compare their behaviors to OT- $\omega$ PBEh and existing functionals, we selected a total of 110 organic molecules, most of which posses semiconducting structures or charge transfer characters and reliable experimental measurements of  $E_{\rm T}$ ,  $E_{\rm S}$ ,  $\Delta E_{\rm ST}$ , and  $I_{\perp}$ . In spite of being closed-shell, these species are notorious as challenging cases for theoretical investigations. Molecules were divided into four test sets based on their structural features, excited-state properties, and real-life applications, including polycyclic aromatic hydrocarbons (PAH),<sup>64–97</sup> organic photovoltaics (OPV) materials,<sup>43,64,70,72,75,83,98–173</sup> thermally activated delayed fluorescence (TADF) emitters,<sup>174–178</sup> and  $\pi$ conjugated bioorganic (BIO) molecules.<sup>72,115,179–212</sup> Molecules in the PAH, OPV, and BIO sets possess locally excited T<sub>1</sub> and S<sub>1</sub> states with different extents of delocalized  $\pi$ -bonds, while TADF emitters have charge transfer T<sub>1</sub> and S<sub>1</sub> states. The structures of all molecules are listed in Figs. S1–S9 in the Supporting Information, and representative species are provided in Fig. 1.



Figure 1: Representative molecules from the test sets of (a) PAH, (b) OPV, (c) TADF, and (d) BIO.

### 2.5 Computational Details

With nuclear relaxation in consideration, we evaluated  $E_{\rm T}$ ,  $E_{\rm S}$ , and  $\Delta E_{\rm ST}$  under three geometric variants, "absorption" (abs), "emission" (em) and "adiabatic" (adi) (Fig. 2), and compared each of them to its experimental counterpart.  $I_{\perp}$ ,  $A_{\perp}$ ,  $-\varepsilon_{\rm HOMO}^{(N)}$ , and  $-\varepsilon_{\rm HOMO}^{(N+1)}$  were evaluated at the S<sub>0</sub> geometry. In all cases, the molecular configurations associated with S<sub>0</sub> and T<sub>1</sub> were optimized using RDFT with  $M_S = 0$  and UDFT with  $M_S = \pm 1$  respectively,<sup>213</sup> while those of the first singlet excited states (S<sub>1</sub>) were optimized using ROKS.<sup>8</sup> All geometry optimizations utilized the B3LYP functional.<sup>60,213,214</sup> System-dependent  $\omega$  and  $C_{\rm HF}$  were *non-empirically* tuned at the optimized S<sub>0</sub> geometries by minimizing Eqs. (4), (10), or (13). For single-parameter versions of TT- $\omega$ PBEh, we optimized  $C_{\rm HF}$  at  $\omega = 0.200a_0^{-1}$  (TT- $\omega$ PBEh $\omega$ ,  $a_0 \equiv$  bohr), or optimized  $\omega$  at  $C_{\rm HF} = 0.20$ (TT- $\omega$ PBEh, OT- $\omega$ PBEh, and mix- $\omega$ PBEh with tunable  $\omega$  and  $C_{\rm HF}$ , two-dimensional minimizations were carried out using the simplex algorithm.<sup>216</sup>



Figure 2: Geometric variants of  $E_{\rm T}$ ,  $E_{\rm S}$ , and  $\Delta E_{\rm ST}$  applied in the present study. "Abs", "em" and "adi" represent the absorption, emission, and adiabatic energy gaps.

Based on the optimized values of  $\omega$  and  $C_{\rm HF}$ ,  $E_{\rm T}$ ,  $E_{\rm S}$ ,  $\Delta E_{\rm ST}$ , and  $I_{\perp}$  were evaluated using single-point  $\Delta$ SCF, TDDFT, and ROKS. For molecules that suffer from failed diagonalization of the linear-response matrix, the Tamm–Dancoff approximation (TDA)<sup>217</sup> was included in the TDDFT calculations.  $\varepsilon_{\rm HOMO}^{(N)}$  and  $\varepsilon_{\rm HOMO}^{(N+1)}$  were extracted as eigenvalues of KS orbitals produced in the ground-state calculations of neutral and anionic species. All such single-point energies were compared with the experimental measurements performed at the appropriate geometric variants shown in Fig. 2, and the accuracy was described using the absolute error (AE):

$$AE(X) = \left| E_X^{\text{calc}} - E_X^{\text{expt}} \right|.$$
(14)

If more than one geometric variants for any species are experimentally available, we averaged these AEs . The accuracy of any XC functional was calibrated using the mean absolute error (MAE) that was averaged over all molecules within each test set.

Besides OT- $\omega$ PBEh, ten existing functionals were also compared with TT- $\omega$ PBEh and mix- $\omega$ PBEh, including HF,<sup>57</sup> B3LYP,<sup>218</sup> CAM-B3LYP,<sup>26</sup> PBE,<sup>63</sup> PBE0,<sup>219</sup> LRC- $\omega$ PBE ( $\omega = 0.300a_0^{-1}$  and  $C_{\rm HF} = 0.00$ ),<sup>31</sup> LRC- $\omega$ PBEh ( $\omega = 0.200a_0^{-1}$  and  $C_{\rm HF} = 0.20$ ),<sup>33</sup> TPSS,<sup>22</sup> M06-2X,<sup>25</sup> and M06-L.<sup>24</sup> All calculations reported in the present study used the cc-pVDZ basis set<sup>220</sup> and the Q-Chem 4.4 package.<sup>221</sup>

# **3** Results and Discussions

### **3.1 Optimized Parameters**

#### 3.1.1 Necessity of Double Parameters

In the current subsection, we will show the necessity to set both  $\omega$  and  $C_{\rm HF}$  as tunable in TT- $\omega$ PBEh by presenting the insufficiency of TT- $\omega$ PBEh $\omega$  and TT- $\omega$ PBEhC based on two oligomer series from our test sets: oligoacenes (n = 1 - 6, PAH) and  $\alpha$ -oligothiophenes (n = 1 - 7, OPV).

The reciprocal of  $\omega$  ( $\omega^{-1}$ ) provides the interelectron distance where the short-range PBE–HF

hybrid exchange transitions to the long-range pure HF exchange. A small  $\omega$  represents a small overall HF fraction, and *vice versa*. Fig. 3(a) illustrates optimal  $\omega^{-1}$  for TT- $\omega$ PBEh $\omega$  for both oligomer series. Although the trend of the overall HF fraction is not obvious for either series except for an increase in larger  $\alpha$ -oligothiophenes ( $n \ge 5$ ), a large  $\omega^{-1}$  ( $\omega^{-1} > 100a_0$ ) in optimized TT- $\omega$ PBEh $\omega$  indicate a negligible HF contribution, or more possibly, the non-existence of a minimum on the one-dimensional surface of  $J_{TT}^2(\omega)$  at a typical value of  $C_{HF} = 0.20$ . Similarly, TT- $\omega$ PBEhC presents a constant of  $C_{HF} = 0.00$  for both oligomer series (Fig. 3(b)), also showing the difficulty in finding the optimal  $C_{HF}$  at a typical value of  $\omega = 0.200a_0^{-1}$ .



Figure 3: (a) Optimized  $\omega^{-1}$  ( $a_0^{-1}$ ) in TT- $\omega$ PBEh $\omega$  ( $C_{\rm HF} = 0.20$ , hollow with plus) for oligoacenes (black square) and  $\alpha$ -oligothiophenes (red circle). (b) Optimized  $C_{\rm HF}$  in TT- $\omega$ PBEhC ( $\omega = 0.200a_0^{-1}$ , hollow with cross) for oligoacenes and  $\alpha$ -oligothiophenes. (c) Minimized  $|J_{\rm TT}|$  (eV) for oligoacenes using TT- $\omega$ PBEh $\omega$ , TT- $\omega$ PBEhC, and TT- $\omega$ PBEh (solid). (d) Minimized  $|J_{\rm TT}|$  for  $\alpha$ -oligothiophenes using TT- $\omega$ PBEh $\omega$ , TT- $\omega$ PBEhC, and TT- $\omega$ PBEh.

To evaluate how well the exact triplet tuning constraint (Eq. (3)) is satisfied by TT- $\omega$ PBEh $\omega$ , TT- $\omega$ PBEhC, and TT- $\omega$ PBEh under optimized  $\omega$  or  $C_{\rm HF}$ , we also compare the value of  $|J_{\rm TT}|$ , the square root of the minimized  $J_{\rm TT}^2$  (Eq. (4)), in Fig. 3(c) and (d). TT- $\omega$ PBEh $\omega$  and TT- $\omega$ PBEhCboth exhibit huge  $|J_{\rm TT}|$ 's for most molecules (as large as  $\simeq 0.6$  eV), while TT- $\omega$ PBEh presents very small  $|J_{\rm TT}|$ 's (< 0.1 eV) except for the smallest benzene, naphthalene, and thiophene. This observation further validates that a reasonable minimum of  $J_{\rm TT}^2$  *does not necessarily exist* unless at least two parameters are used in the triplet tuning procedure.

#### 3.1.2 Independent Parameters

As both  $\omega$  and  $C_{\rm HF}$  are related to the overall fractions of PBE and HF in our long-range-corrected (LRC) hybrid formula, it is necessary to eliminate any possible correlation between  $\omega$  and  $C_{\rm HF}$  for TT- $\omega$ PBEh. In Fig. 4 we summarize optimized  $\omega$  and  $C_{\rm HF}$  for all molecules in question. For the majority of species,  $\omega < 0.5a_0^{-1}$ , indicating that the long-range HF exchange usually takes effect when  $r_{12} > 2a_0$ . In addition,  $C_{\rm HF}$  can range from 0 to 1, but most molecules need  $C_{\rm HF} < 0.4$  to reach the desired accuracy.

To satisfy Koopmans' theorem, molecules with similar chromophoric sizes are expected to possess similar overall HF exchange fractions. Therefore in OT- $\omega$ PBEh a smaller value of  $\omega$  is usually compensated by a larger value of  $C_{\rm HF}$ , and this expected negative correlation is presented in Fig. 4(b). For TT- $\omega$ PBEh, on the other hand,  $\omega$  and  $C_{\rm HF}$  are statistically independence from each other (Fig. 4(a)) and can thus be *non-empirically* and *mutually* tuned, and molecules with similar-sized chromophores might end up with very different sets of optimized parameters.



Figure 4: Correlation between optimized  $\omega$  ( $a_0^{-1}$ ) and  $C_{\rm HF}$  for (a) TT- $\omega$ PBEh and (b) OT- $\omega$ PBEh.

### **3.2 Triplet Excitation Energy**

As was mentioned in Secs. 1 and 2, our proposed TT- $\omega$ PBEh functional arrives at a *non-empirical* matching of  $E_{\rm T}$  between  $\Delta$ SCF and TDDFT (Eq. (3)). Accordingly the accuracy of  $E_{\rm T}$  becomes a natural calibration of TT- $\omega$ PBEh. Given the well-minimized  $J_{\rm TT}^2$ , we expected TT- $\omega$ PBEh to reach the best approximation of the exact XC functional and thus to provide the most accurate prediction of  $E_{\rm T}$  among all XC functionals in comparison, especially for badly-behaved molecules with large-

scale  $\pi$ -conjugations or significant charge transfer excitations. Because TT- $\omega$ PBEh allows us to evaluate  $\omega$  and  $C_{\text{HF}}$  *independently* from any experimental measurements, it presents a minimal fitting artifact and can be safely compared to experiments.

As was described in Sec. 2, the error of a functional is characterized using its MAEs. We report the MAEs of  $E_T$ 's using three single-point DFT variants:  $\Delta$ SCF, TDDFT, and TDDFT/TDA (Tables S1, S5, S9, and S11). We also summarize TDDFT-evaluated MAEs in the left part of Table 1. In these tables and those that follow, the **bold**, <u>underlined</u>, and *italic* numbers represent the smallest, second smallest, and largest MAEs within each column, respectively.

In an ideal situation, all DFT variants provide identical values for  $E_{\rm T}$ . However, this is difficult to accomplish as the minimized  $J_{\rm TT}^2$  can hardly reach a rigorous zero. To quantify the error arising from a non-zero  $J_{\rm TT}^2$ , as well as to characterize the lower and upper bounds of MAEs, for every single molecule we evaluated  $E_{\rm T}$  using all three variants and picked the best and worst results (the smallest and largest AEs). The averages of these *best* and *worst* AEs were defined as the *best* and *worst* MAEs, respectively, and are included along with their differences for all  $E_{\rm T}$ 's in Tables S1, S5, S9, and S11. *Worst* MAEs are summarized in the right part of Table 1.

In addition, it is worthwhile to make a systematic comparison between our proposed TT- $\omega$ PBEh and existing functionals, especially the very popular hybrid B3LYP,<sup>60,213,214</sup> PBE,<sup>63</sup> and PBE0<sup>219</sup> in the last two decades. Therefore we defined

$$\Delta AE_A = AE_A - AE_{TT-\omega PBEh}$$
(15)

and present molecules with significant  $\Delta AE_{B3LYP}$ 's and  $\Delta AE_{PBE0}$ 's in Figs. 5 and S11 evaluated using the *best* AE from TT- $\omega$ PBEh.

#### 3.2.1 Locally Excited Triplet State

In the present subsection, we will show the excellent performance of TT- $\omega$ PBEh for the test sets of PAH, OPV, and BIO. Each of these molecules possesses a moderate- or large-scale  $\pi$ -conjugation

energy	TDDFT				worst			
XC functional	PAH	OPV	TADF	BIO	PAH	OPV	TADF	BIO
$TT-\omega PBEh$	0.158	0.219	0.258	0.239	0.226	0.362	0.298	0.310
$OT-\omega PBEh$	0.315	0.432	0.212	0.365	0.397	0.534	0.374	0.527
mix- $\omega$ PBEh	0.306	0.489	0.341	0.404	0.428	0.592	0.474	0.547
HF	0.235	0.290	0.288	0.272	0.771	1.078	1.077	0.869
B3LYP	0.255	0.322	0.272	0.313	0.331	<u>0.367</u>	0.324	0.356
CAM-B3LYP	0.244	0.374	0.298	0.350	<u>0.330</u>	0.480	0.545	0.445
PBE0	0.331	0.310	0.236	0.340	0.392	0.884	0.316	0.406
LRC- $\omega$ PBE	0.367	0.390	0.299	0.428	0.455	0.516	0.700	0.514
M06-2X	<u>0.206</u>	<u>0.274</u>	0.352	0.192	0.405	0.444	0.557	<u>0.322</u>

Table 1: MAEs (eV) of  $E_{\rm T}$ 's are compared across various functionals for all test sets.<sup>a</sup>

<sup>*a*</sup>**Bold**, <u>underlined</u>, and *italic* numbers represent the smallest, second smallest, and largest MAEs within each column.



Figure 5: AEs of  $E_{\rm T}$ 's (eV) are illustrated for selected OPV materials. The results are compared among TT- $\omega$ PBEh (dark cyan star), mix- $\omega$ PBEh (violet cross), OT- $\omega$ PBEh (orange hexagon), LRC- $\omega$ PBE (dark yellow right triangle), B3LYP (black square), and PBE0 (pink pentagon).

over its chromophore and a *locally excited*  $T_1$  state. Based on Tables 1 and S1, S5, S9, and S11, as well as Figs. 5 and S11, TT- $\omega$ PBEh achieves the most accurate predictions of  $E_T$ 's among all XC functionals in question.

For PAH and OPV sets, using TDDFT TT- $\omega$ PBEh provides the smallest MAE, outperforming any other functionals including B3LYP and PBE0. Especially, OT- $\omega$ PBEh and mix- $\omega$ PBEh demonstrate doubled MAEs from TT- $\omega$ PBEh, indicating their expected inferior performances (Sec. 3.1.2) to TT- $\omega$ PBEh (Figs. 5 and S11). A similar trend was observed for BIO molecules as well (outperformed by M06-2X only). In addition, although TT- $\omega$ PBEh does not necessarily provide the smallest *best* MAEs, its *worst* counterparts are always superior to every other functional across all molecule sets. Also, TT- $\omega$ PBEh exhibits on average the smallest difference between *worst* and *best* MAEs, validating the best satisfaction of the triplet tuning constraint (Eq. (3)).

Combining these two results, we can draw two major conclusions: (1) TT- $\omega$ PBEh reproduces the most accurate electron-hole interactions and accomplishes the best approximation to the exact functional and the best stability across DFT variants when applying to a locally excited T<sub>1</sub> state. (2) The consideration of Koopmans' theorem deteriorates this advantage and make OT- $\omega$ PBEh and mix- $\omega$ PBEh worse than non-tuned functionals like B3LYP and PBE0. Based on these conclusions, we can assert that TT- $\omega$ PBEh is advantageous over other functionals for locally excited T<sub>1</sub> states.

In a systematic comparison, we found a large fraction of molecules where TT- $\omega$ PBEh exhibits significant advantages over B3LYP and PBE0. For instance, more than one third of OPV materials in question exhibit  $\Delta AE_{B3LYP} > 0.20$  eV and are shown in Fig. 5. Configurationally, most molecules illustrated here possess very extensive  $\pi$ -conjugations in one (like  $\alpha$ -oligothiophene) or two dimensions (like fullerene), for which the locality of LDA and GGA components in B3LYP and PBE0 are known to introduce a serious SIE and thus an inaccurate  $E_{T}$ .<sup>9–17</sup> However, the LRC and triplet tuned formula of TT- $\omega$ PBEh minimizes the SIE in both short and long ranges<sup>30–38</sup> and reaches the best accuracy of low-lying T<sub>1</sub> states. Also, the *non-empiricism* of TT- $\omega$ PBEh shows no bias towards any species and makes it robust for newly-synthesized molecules that are not yet included in any fitting database.



Figure 6: HONTO and LUNTO of the  $T_1$  state for anthanthracene and hexahelicene from PAH (left), and 4CzTPN and PhCz from TADF (right).

Finally, to depict the locality of  $T_1$  states discussed in the present subsection, we illustrate the perfect spatial overlaps between the highest occupied NTO (HONTO) and the lowest unoccupied

NTO (LUNTO) in the left panel of Fig. 6 for two selected PAH molecules, anthanthracene and hexahelicene. For a similar locally excited  $T_1$  state the accuracy of TT- $\omega$ PBEh can be guaranteed.

#### 3.2.2 Charge Transfer Triplet State

In the present subsection, we will show that  $TT-\omega PBEh$  exhibits a strong predictive power for a *charge transfer* T<sub>1</sub> state which is essentially a pseudo-one-particle property, such as that of a TADF emitter.

OT- $\omega$ PBEh was constructed to largely reduce the SIE associated with a charge transfer excited state, <sup>9–17,34,37,38</sup> and it proves to exhibit the smallest MAE as expected (Tables 1 and S9). Interestingly, arriving at an analogous long-range HF exchange, <sup>222–224</sup> TT- $\omega$ PBEh shows a comparable predictive power to OT- $\omega$ PBEh and PBE0, and a smaller AE than B3LYP for three quarters of TADF emitters. Meanwhile, the *worst* MAE of TT- $\omega$ PBEh is still the smallest of all, while OT- $\omega$ PBEh is even outperformed by non-tuned B3LYP and PBE0. Although the ordinary performance of mix- $\omega$ PBEh indicates a difficulty in coordinating the electron-hole interaction and the asymptotic density using the same set of parameters, the *unexpected* excellent performance of TT- $\omega$ PBEh indicates the gain of complying with Eq. (3) at only a tiny cost of the long-range behavior. In conclusion, TT- $\omega$ PBEh is still the best functional on balance even for a charge transfer system for which OT- $\omega$ PBEh was originally designed.

To extend our discussion, we also explored the sensitivity of TT- $\omega$ PBEh on T<sub>1</sub> to the charge separation extent in the space.<sup>9–16,225</sup> Due to the SIE and the inaccurate adiabatic local density approximation (ALDA) in TDDFT, poor performance is expected for a severe charge transfer T<sub>1</sub> state using TT- $\omega$ PBEh. To visualize our argument, we illustrate HONTO and LUNTO for the T<sub>1</sub> states of two TADF emitters, 4CzTPN and PhCz, in the right panel of Fig. 6. 4CzTPN exhibits a strong charge transfer character and its TDDFT-evaluated AE using TT- $\omega$ PBEh (0.608 eV) is three times as much as that using OT- $\omega$ PBEh (0.205 eV), while for a minimally charge-separated PhCz the performance of TT- $\omega$ PBEh is significantly better (0.038 eV versus 0.364 eV). To treat molecules like 4CzTPN, one should consider a methodological modification like to incorporate the frequency dependence in  $E_{\rm XC}$ <sup>226–228</sup> or to implement the multi-configurational therapy.<sup>17,229,230</sup>

### **3.3 Optical Band Gap**

In spite of not being the direct tuning object,  $E_S$  is a more interesting observable than  $E_T$  and an independent benchmark of TT- $\omega$ PBEh as it can be directly measured via absorption or fluorescence spectroscopy with abundant data available in the literature. For a normal closed-shell molecule,  $E_S$  is the energy difference between S<sub>0</sub> and S<sub>1</sub> (which possesses an identical orbital configuration to T<sub>1</sub>). Therefore TT- $\omega$ PBEh is expected to provide an accurate prediction for  $E_S$ .

energy	TDDFT			worst				
XC functional	PAH	OPV	TADF	BIO	PAH	OPV	TADF	BIO
$TT-\omega PBEh$	0.381	0.316	0.266	0.370	0.581	0.661	0.357	0.571
$OT-\omega PBEh$	0.343	0.510	<u>0.338</u>	0.506	0.563	0.724	0.465	0.720
mix- $\omega$ PBEh	0.331	0.414	0.352	0.584	0.518	0.673	0.504	0.889
HF	0.774	0.793	1.792	1.292	0.774	0.793	1.792	1.358
B3LYP	0.276	<u>0.396</u>	0.390	0.309	0.525	0.590	<u>0.416</u>	0.432
PBE	0.416	0.507	1.017	0.456	0.741	0.792	1.023	0.614
LRC- $\omega$ PBE	0.494	0.525	0.768	0.545	0.854	0.716	0.907	0.773
LRC- $\omega$ PBEh	0.348	0.430	0.627	0.499	0.463	0.540	0.651	0.635
M06-2X	0.312	0.437	0.477	0.466	0.484	0.569	0.499	0.605

Table 2: MAEs (eV) of  $E_{\rm S}$ 's are compared across various functionals for all test sets.<sup>*a*</sup>

<sup>*a*</sup>**Bold**, <u>underlined</u>, and *italic* numbers represent the smallest, second smallest, and largest MAEs within each column.

We summarize all MAEs for  $E_{\rm S}$ 's in Tables S2, S6, S10, and S12, including the *best* and *worst* MAEs as defined in Sec. 3.2, except that ROKS is in place of  $\Delta$ SCF. In Table 2 we list the TDDFT-evaluated and *worst* MAEs. In Figs. 7 and S12, we visualize the comparison of *best* AEs for selected molecules with large  $\Delta$ AE<sub>B3LYP</sub>'s (> 0.20 eV except for DTC-DPS) and  $\Delta$ AE<sub>PBE</sub>'s (> 0.40 eV).

#### **3.3.1** Transferability from Triplet to Singlet

As we can expect in advance, TT- $\omega$ PBEh always provides a larger MAE for  $E_S$  than  $E_T$ . Due to the orbital similarity between S<sub>1</sub> and T<sub>1</sub>, TT- $\omega$ PBEh exhibits the strongest predictive power of  $E_S$ 



Figure 7: AEs of  $E_s$ 's (eV) are illustrated for selected TADF emitters. The results are compared among TT- $\omega$ PBEh (dark cyan star), mix- $\omega$ PBEh (violet cross), OT- $\omega$ PBEh (orange hexagon), LRC- $\omega$ PBE (dark yellow right triangle), B3LYP (black square), and PBE (red up triangle).

for the OPV and TADF sets, and the second strongest for BIO molecules (outperformed by B3LYP only). Especially, for TADF emitters TT- $\omega$ PBEh displays its superiority across all DFT variants (including *best* and *worst* MAEs). This discovery is *shocking* but not completely unexpected – it can be explained by the small  $\Delta E_{ST}$  between charge transfer S<sub>1</sub> and T<sub>1</sub> states with negligible spatial overlaps between HONTO and LUNTO. These results confirm the suggested transferability of TT- $\omega$ PBEh from a difficult observable,  $E_T$ , to an easy one,  $E_S$ , especially for a compound with a charge transfer S<sub>1</sub> state. Such a transferability sets the stage for the usage of TT- $\omega$ PBEh in large-scale screening and design of spectroscopically and photochemically active materials.

Similar to the assessment in Sec. 3.2, an explicit comparison was also made for  $E_{\rm S}$  between TT-  $\omega$ PBEh and popular functionals. Ten out of the twelve TADF emitters show positive  $\Delta$ AE<sub>B3LYP</sub>'s and  $\Delta$ AE<sub>PBE</sub>'s and are illustrated in Fig. 7. The poor performance of B3LYP and PBE on these charge transfer molecules can also be interpreted by their significant SIEs.

#### 3.3.2 Singlet–Triplet Instability Problems

TT- $\omega$ PBEh consistently overestimates  $E_{\rm S}$  for PAH molecules using TDDFT. Its MAE is larger than a handful of functionals including B3LYP, PBE, and LRC- $\omega$ PBEh (Table 2). The singlet-triplet instability problem is associated with the linear-response formulation that significantly underestimates  $E_{\rm T}$  and overestimates  $E_{\rm S}$  and is the probably the most substantial contribution to this error,<sup>231</sup> as parameters optimized by matching such underestimated  $E_{\rm T}^{\rm TDDFT}$  with  $E_{\rm T}^{\Delta {\rm SCF}}$  can definitely lead to an overestimated  $E_{\rm S}$ . The inclusion of TDA<sup>217</sup> in TDDFT can numerically eliminate the instability, but it even increases the MAE if TDA is not implemented in the triplet tuning procedure. This issue indicates a modification strategy to replace  $E_{\rm T_1}^{\rm TDDFT}$  in Eq. (2) with  $E_{\rm T_1}^{\rm TDDFT/TDA}$  for molecules affected by the instability problem so that the tuning will arrive at a better set of parameters.

# 3.4 Singlet–Triplet Gap in a Charge Transfer System

In a TADF system,  $\Delta E_{\rm ST}$  serves as a direct predictor for the (reversed) intersystem crossing rate  $(k_{\rm (R)ISC})$  and the quantum yield ( $\phi$ ) of the emitter.<sup>232</sup> The accuracy of  $\Delta E_{\rm ST}$  proved very sensitive to the level of theory<sup>174,233–237</sup> and is then an additional benchmark of TT- $\omega$ PBEh. In the present subsection, we will show that TT- $\omega$ PBEh maintains an exceptional prediction for  $\Delta E_{\rm ST}$ .

Table 3: MAEs (eV) of $\Delta E_{\rm ST}$ 's are compared	ed across various	s functionals for the	e TADF test set. <sup><i>a</i>,<i>b</i></sup>
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XC functional	$\Delta$ SCF/ROKS	TDDFT	TDDFT/TDA	best	worst
TT- $\omega$ PBEh (gas)	0.298	0.298	0.297	0.227	0.362
TT- $\omega$ PBEh (PCM)	0.309	0.268	0.278	0.118	0.447
OT- $\omega$ PBEh (gas)	0.298	0.310	0.208	<u>0.156</u>	0.381
OT- $\omega$ PBEh (PCM)	0.456	0.314	0.292	0.220	0.551
mix- $\omega$ PBEh (gas)	0.355	0.463	0.345	0.233	0.526
mix- $\omega$ PBEh (PCM)	0.392	0.361	0.237	<u>0.156</u>	0.525
HF	-	1.487	1.487	1.487	1.487
B3LYP	0.226	0.279	0.305	0.186	0.358
LRC- $\omega$ PBE	0.360	0.672	0.349	0.242	0.727
LRC- $\omega$ PBEh	-	0.567	0.285	0.271	0.581
TPSS	-	0.375	0.381	0.372	0.384
M06-L	-	0.365	0.376	0.360	0.382

<sup>*a*</sup>**Bold**, <u>underlined</u>, and *italic* numbers represent the smallest, second smallest, and largest MAEs within each column.

<sup>b</sup>"Gas" and "PCM" represent single-point calculations performed in the gas phase and using the PCM solvent model.

In Table 3, we illustrate MAEs of  $\Delta E_{ST}$ 's for TADF emitters evaluated using different DFT variants as well as *best* and *worst*. As was discussed in Sec. 3.2.2, although TT- $\omega$ PBEh does

not implement Koopmans' theorem, <sup>40</sup> its long-range HF exchange allows its accuracy to approach that of OT- $\omega$ PBEh.<sup>222</sup> Analogously for  $\Delta E_{ST}$ , the values of  $|\Delta AE_{OT-\omega PBEh}| < 0.09$  eV across all single-point DFT variants and TT- $\omega$ PBEh exhibits the second lowest *worst* MAE. On the other hand, the non-LRC B3LYP functional also shows outstanding performance due to the error cancellation between  $E_S$  and  $E_T$ , which is however viewed as an unreliable coincidence. Taking these results into account, TT- $\omega$ PBEh reaches the strongest and most stable predictive power for  $\Delta E_{ST}$ .

#### 3.4.1 Solvation Effect

Due to large permanent dipole moments,  $S_1$  and  $T_1$  of a TADF emitter can both be stabilized in a polarized solvent, leading to an unpredictable shift of  $\Delta E_{ST}$ .<sup>233–236</sup> Also, the experimental measurements cited in the present study were carried out in the condensed phase (solutions or aggregates).<sup>175–177</sup> In recent studies,<sup>174,237</sup> the solvation effect was modeled using the implicit polarizable continuum model (PCM), in which the dielectric constant of the environment,  $\varepsilon$ , rescales all electric effects. However, the involvement of even the simplest PCM significantly increases the computational cost, and therefore it was not used in our triplet tuning process.

This issue motivated us to find an inexpensive way of including the solvation effect. Based on gas-phase-optimized parameters, we re-evaluated  $\Delta E_{\rm ST}$  in toluene ( $\varepsilon = 2.38$ ) using PCM (labeled accordingly in Table 3). This treatment improves, or at least *does not compromise*, the accuracy of TT- $\omega$ PBEh and mix- $\omega$ PBEh as the polarized environment increases the charge transfer extent that was underestimated by the gas-phase triplet tuning process. On the contrary, OT- $\omega$ PBEh already overestimated the charge transfer extent in the gas phase, so the implementation of the solvation effect plays an adverse role. Our findings suggest a future direction that incorporates the solvation effect in the construction of TT- $\omega$ PBEh such as replacing  $r_{12}$  with  $\varepsilon r_{12}$  in the Hamiltonian.<sup>47,238–240</sup>

### 3.5 Spin Contamination

Although we have shown the excellent performance of TT- $\omega$ PBEh in  $E_{\rm T}$ ,  $E_{\rm S}$ , and  $\Delta E_{\rm ST}$ , the spin contamination problem of UDFT still implies an additional source of error. UDFT constrains

 $M_S = \pm 1$  for a triplet state but loses control of  $\langle S^2 \rangle$ . In the present study, we defined a UDFTevaluated T<sub>1</sub> to be spin pure when  $1.95 < \langle S^2 \rangle < 2.05$ . This criterion is satisfied in our test sets, except for a few TADF emitters that are mixed with higher spin configurations. Such spin contamination usually occurs at a large HF fraction (large  $C_{\rm HF}$  and large  $\omega$ ) or in a strongly-correlated or multi-radical system.<sup>241–243</sup> For instance, T<sub>1</sub> of 4CzTPN displays a vast spatial separation between HONTO and LUNTO under TT- $\omega$ PBEh ( $\omega = 0.147a_0^{-1}$  and  $C_{\rm HF} = 0.85$ ), and thus can be treated as a *diradical* structure (Fig. 6). UDFT produces  $\langle S^2 \rangle = 2.3358$ , equivalent to a superposition of 92% triplet and 8% quintet ( $\langle S^2 \rangle = 6$ ). Its TDDFT-evaluated AEs are 0.618 eV, 1.633 eV, and 1.025 eV for  $E_{\rm T}$ ,  $E_{\rm S}$ , and  $\Delta E_{\rm ST}$ , respectively, all being huge.

In an attempt to resolve this problem, we re-evaluated  $E_{T_1}$  for spin-contaminated molecules using restricted open-shell DFT (RODFT) but still UDFT-tuned parameters. However, this short-term fix raised the computational cost by one order of magnitude and increased the AEs by more than 1 eV as it introduces extra difficulty to the variational calculation while imposing the additional spin symmetry. The long-term therapy in the frame of single-reference DFT is to replace  $E_{T_1}^{UDFT}$  in Eq. (1) with  $E_{T_1}^{RODFT}$  to ensure the spin purity of  $T_1$ , but the above-mentioned convergence difficulty makes it beyond the scope of the present study due to technical limitation.

#### **3.6 One-Electron Property**

The observable one-particle property,  $I_{\perp}$ , affords an additional benchmark for TT- $\omega$ PBEh. In the present subsection, we will compare  $-\varepsilon_{\text{HOMO}}^{(N)}$  to experimental  $I_{\perp}$ 's ( $I_{\text{expt}}$ ) for various functionals and will show the superiority of TT- $\omega$ PBEh over non-LRC functionals like B3LYP and M06-L. Herein the difference between  $-\varepsilon_{\text{HOMO}}^{(N)}$  and  $I_{\text{expt}}$  is defined as the AE. All MAEs are listed in Tables S3, S7, and S13, and those from  $-\varepsilon_{\text{HOMO}}^{(N)}$ 's are reported for the PAH, OPV, and BIO sets in Table 4. The  $-\varepsilon_{\text{HOMO}}^{(N)}$  versus  $I_{\text{expt}}$  relation for selected OPV materials is displayed in Fig. 8.

As we foresaw earlier, because of the incorrect long-range nature,  $-\varepsilon_{\text{HOMO}}^{(N)}$  is consistently underestimated by all non-LRC functionals (B3LYP, PBE, TPSS, and M06-L), which also loses the predictive power for  $I_{\perp}$ . Like all existing optimally tuned functionals, <sup>37,41,42,244–246</sup> OT- $\omega$ PBEh

Table 4: MAEs (eV) of  $-\varepsilon_{\text{HOMO}}^{(N)}$ 's are compared across various functionals for the test sets of PAH, OPV, and BIO.<sup>*a*</sup>

XC functional	PAH	OPV	BIO
$TT-\omega PBEh$	1.182	1.737	2.037
$OT-\omega PBEh$	<u>0.174</u>	0.213	0.352
mix- $\omega$ PBEh	0.191	0.173	0.327
HF	0.394	0.298	0.429
B3LYP	1.901	2.055	2.472
PBE	2.446	2.868	3.355
LRC- $\omega$ PBE	0.170	0.231	0.177
TPSS	2.500	2.857	3.323
M06-L	2.354	2.661	2.980

<sup>*a*</sup>**Bold**, <u>underlined</u>, and *italic* numbers represent the smallest, second smallest, and largest MAEs within each column.

was constructed based on Koopmans' theorem<sup>40</sup> and exhibits expected excellent performance. Meanwhile, although the accuracy of TT- $\omega$ PBEh is surpassed by HF and other LRC functionals (OT- $\omega$ PBEh, mix- $\omega$ PBEh, and LRC- $\omega$ PBE), it is significantly better-behaving than all non-LRC functionals due to its correct asymptotic behavior, and it presents an overestimated  $-\varepsilon_{HOMO}^{(N)}$  rather than an underestimation when the overall HF fraction is higher than usual.

More interestingly, mix- $\omega$ PBEh has an *unexpectedly* comparable performance to OT- $\omega$ PBEh and its MAEs are even smaller than OT- $\omega$ PBEh for OPV and BIO sets, indicating that using mix- $\omega$ PBEh we can obtain equally good  $I_{\perp}$  as those using OT- $\omega$ PBEh without sacrificing the accuracy for  $E_{\rm T}$  and  $E_{\rm S}$ . This result suggests the combination of the ideas of Koopmans-based optimal tuning and our proposed triplet tuning leads to a correct direction to the mutual treatment of electron-hole interactions and one-particle properties – within a two-dimensional parameter space, these two targets are no longer incompatible with each other.

The discussion we have conducted so far in Sec. 3 allows us to safely assert the value of the fully and partially triplet tuned XC functionals like TT- $\omega$ PBEh and mix- $\omega$ PBEh in the accurate prediction of spectroscopically and photochemically important excited states.



Figure 8:  $-\varepsilon_{\text{HOMO}}^{(N)}$ 's (eV) are compared against  $I_{\text{expt}}$ 's (eV) for selected OPV materials. The results are presented for TT- $\omega$ PBEh (dark cyan star), mix- $\omega$ PBEh (violet cross), OT- $\omega$ PBEh (orange hexagon), LRC- $\omega$ PBE (dark yellow right triangle), B3LYP (black square), and M06-L (green plus). The Koopmans' theorem<sup>40</sup> is illustrated as the diagonal grey dashed line.

# **4** Conclusion and Future Directions

In the present study, we proposed triplet tuning, a novel prescription that allows us to construct an approximate XC functional based on the energy of  $T_1$  ( $E_T$ ), in a *non-empirical* manner. The first triplet-tuned functional, TT- $\omega$ PBEh, was constructed by an internal matching of  $E_T$  from two DFT variants,  $\Delta$ SCF and TDDFT. To evaluate the behavior of TT- $\omega$ PBEh, we compared its errors for  $E_T$ ,  $E_S$ ,  $\Delta E_{ST}$ , and  $-\varepsilon_{HOMO}^{(N)}$  against existing functionals, including the most popular B3LYP, PBE, PBE0, and one using the conventional optimal tuning scheme, OT- $\omega$ PBEh. Without fitting to *any* experimental data, TT- $\omega$ PBEh provides an accurate prediction of electron-hole interactions in a  $\pi$ -conjugated organic molecule and is in general significantly more powerful than other functionals in photochemically interesting energetics like  $E_T$ ,  $E_S$ , and  $\Delta E_{ST}$ . On the other hand, TT- $\omega$ PBEh also improves the accuracy of one-electron properties like  $-\varepsilon_{HOMO}^{(N)}$  relative to any (semi-)local, non-LRC functional. In the end, given the difficulty of balancing local electron-hole interactions and non-local one-electron properties, the mix- $\omega$ PBEh functional that grants both aspects has achieved *unexpected* accuracy for  $-\varepsilon_{HOMO}^{(N)}$ .

Similar to conventional optimally tuned functionals,<sup>247–256</sup> we expected a broad application of

our triplet tuning approach for  $\pi$ -conjugated organic molecules that were considered theoretically challenging before. Beyond the accurate predictions of spectroscopy and dynamics, the method can set the stage for the computationally aided design and screening of photoactive materials. In parallel projects being conducted in our group, the present version of TT- $\omega$ PBEh has been applied to molecules and clusters that are involved in singlet fission and photoluminescence.

As discussed in Sec. 3, there is room for non-trivial development of the present triplet tuning scheme so as to strengthen its predictive power for difficult excited states. For example, the present LRC hybrid formula of HF and PBE<sup>63</sup> turns out inaccurate for some PAH molecules and TADF emitters, and  $\omega$  and  $C_{\rm HF}$  do not necessarily span a large enough two-dimensional space that contains the exact exchange functional. To resolve these issues without touching the current framework of single-reference DFT, we can reformulate the present triplet-tuned functionals by using alternative formulas or adjustable parameters. For example, we can include the environmental factors such as  $\varepsilon$  of the solvent and the intermolecular interactions,<sup>237,257</sup> or we can develop functionals that depend on the frequency<sup>226,227</sup> and the local electronic density.<sup>258</sup> Finally, to accelerate the tuning process we can implement alternative minimization algorithms and apply the data-driven idea like machine learning.<sup>259,260</sup>

# 5 Associated Content

In the Supporting Information, we provide the structures for all organic molecules that are included in the four test sets under investigation, the discussion about parameter convergence, the tables and figures that summarize AEs and MAEs, and the statistical analysis for all functionals. This information is available free of charge via the Internet at http://pubs.acs.org.

# 6 Acknowledgment

Z. L. and T. V. thank the National Science Foundation for the support of the present study (Grant CHE-1464804). We also thank Prof. Leeor Kronik, Prof. Roi Baer, Dr. Tianyu Zhu, Dr. Xing

Zhang, and Mr. Diptarka Hait for inspirational and insightful discussions.

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# **Graphical TOC Entry**

