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# Optimal Routing Schedules for Robots Operating in Aisle-Structures 

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#### Abstract

In this paper, we consider the Constant-cost Orienteering Problem (COP) where a robot, constrained by a limited travel budget, aims at selecting a path with the largest reward in an aisle-graph. The aisle-graph consists of a set of loosely connected rows where the robot can change lane only at either end, but not in the middle. Even when considering this special type of graphs, the orienteering problem is known to be $N P$-hard. We optimally solve in polynomial time two special cases, COP-FR where the robot can only traverse full rows, and COP-SC where the robot can access the rows only from one side. To solve the general COP, we then apply our special case algorithms as well as a new heuristic that suitably combines them. Despite its light computational complexity and being confined into a very limited class of paths, the optimal solutions for COP-FR turn out to be competitive even for COP in both real and synthetic scenarios. Furthermore, our new heuristic for the general case outperforms state-of-art algorithms, especially for input with highly unbalanced rewards.


## I. Introduction

Numerous robotic tasks can be abstracted using a graph model featuring vertices associated with rewards and edges associated with costs. Vertices usually represent locations that the robots must visit to perform some task, while edges costs represent the energy or time spent while moving between locations. Models like these emerge for example when robots are used for environmental monitoring, surveillance, logistic, urban mobility, and precision agriculture, just to name a few. Owing to the fact that most robots and vehicles have to periodically stop to recharge their batteries or refuel, this type of tasks are naturally connected to the combinatorial optimization problem known in literature as orienteering. In orienteering one is given a graph where each vertex has a reward and each edge has a cost. The objective is to determine a path on the graph maximizing the sum of collected rewards while ensuring that the cost of the path does not exceed a given budget. An important feature of this problem is that if a vertex is visited more than once the reward is collected only once, while the cost associated with an edge is incurred every time it is traversed. As pointed out in [1], this optimization problem is computationally hard, and therefore it is of interest to study either heuristic solutions that perform well on large problem instances, or exact solutions when one considers special types of graphs or specific classes of paths. In [1] the orienteering problem was used to solve a routing problem associated with robots used for precision irrigation in vineyards. A vineyard imposes specific motion constraints because a robot operating in it can change row only when it is at either end of the row, but not when it is in the middle. The same motion constraints can be found in warehouses, which are typically organized with long rows of parallel shelves, and crossing in the middle is typically impossible
due to stored goods [2]. Fig. 1 illustrates the two scenarios above. These motion constraints can be captured by the aisle-graph (a.k.a. irrigation graph [1]), formally defined in Sec. II. On such graphs, an associated orienteering problem can be defined to account for the limited battery runtime of the robot. In [1] it was shown that solving the orienteering problem on the special class of aisle-graphs is $N P$-hard, and two different heuristics were proposed. In this work


Fig. 1: Real examples of aisle-structures.
we propose various improvements to the results presented in [1]. First, we provide an efficient, optimal algorithm to solve the orienteering problem on graphs when one restricts the solution to a specific class of paths called full rows, that were heuristically explored in [1]. Then, we introduce a new class of paths called single column that is related to one of the heuristics given in [1], and for this case we also provide
an efficient, optimal solution. Finally, building upon the two optimal solutions found, we introduce a new heuristic that performs well in practical different scenarios. To evaluate the strength of our findings, we test our algorithms on synthetic instances based on various Zipf distributions [3], and on the same benchmarks proposed in [1] that are generated from a real world robotic precision irrigation application with graphs featuring more than 50,000 vertices.

## A. Related Work

The orienteering problem was first formalized and studied in [4], where its $N P$-hardness was also proven. Later, Blum et al. showed that the problem is $A P X$-hard [5]. Due to its inherent computational complexity, numerous heuristic approaches are found in literature, and due to space constraints, the reader is referred to [6] for a comprehensive overview of heuristics and problem variants. A distinct line of research aims instead at solving the orienteering using exact formulations [7]. These solutions, however, do not scale to instances as large as those we consider in this work.

Besides its theoretical interest, the orienteering problem finds applications also in robotics and automation [8]-[11]. In [1], it was shown that robotic routing problem described above in aisle-graphs can be formulated in terms of orienteering, and the most recent greedy solutions ad-hoc for aislegraphs were proposed. In [2], the aisle-graphs are used to model a warehouse where an automated picking system is implemented. The warehouse has cabinets that form long lanes separated by aisles. In the automated picking system, the robot has to collect all the items requested by a customer order minimizing the distance (i.e., budget) traversed and changing lane only at the lane extreme, not in the middle. The picking problem has been solved by applying the well-known Christofides' algorithm for Traveling Salesman Problem [12].

Organization: The remainder of this paper is organized as follows. The formal problem definition is provided in Sec. II Our novel algorithms are presented in Sec. III, together with their complexity analysis. In Sec. IV we evaluate the effectiveness of our approach on large scale instances, and in Sec. $V$ we draw conclusions and sketch avenues for future work.

## II. Problem Definition

Let us consider an undirected Aisle Graph $A(m, n)=$ ( $V, E$ ), where $m$ and $n$ denote the number of rows and columns, respectively. We define the set of vertices $V=$ $\left\{v_{i, j} \mid 1 \leq i \leq m, 1 \leq j \leq n\right\} \cup v_{i, 0}, v_{i, n+1} \forall i \in 1, \ldots, n$ and the set of edges $E$ is built as follows:

- Each vertex $v_{i, j}$ with $1 \leq i \leq m$ and $1 \leq j \leq n$ has two edges, one toward $v_{i, j-1}$ and the other toward $v_{i, j+1}$;
- each vertex $v_{i, 0}$ with $1<i<m$ has three edges: one toward $v_{i-1,0}$, one toward $v_{i+1,0}$, and one toward $v_{i, 1}$; symmetrically, each vertex of type $v_{i, n+1}$ with $1<i<m$ has three edges: one toward $v_{i-1, n+1}$, one toward $v_{i+1, n+1}$, and one toward $v_{i, n}$. Accordingly, edges connected to the corner vertices $v_{1,0}, v_{m, 0}, v_{1, n+1}$ and $v_{m, n+1}$ are then well defined.

The graph $A(m, n)$ has therefore $m$ rows and $n+2$ columns. Note that, the vertices in the first and last columns (e.g., Fig. 2(a) with indices $j=0$ and $j=n+1$, respectively) do not provide any reward, and their purpose is just to connect the $m$ rows.

Problem 1 (Constant-cost Orienteering Problem (COP)). Let $A(m, n)$ be an aisle-graph, $v_{s}, v_{d} \in V$ be two of its vertices, $r: V \rightarrow \mathbb{R}_{\geq 0}$ be a reward function where $r\left(v_{i, j}\right)=0$ if $j \in\{0, n+1\}$, and $c: E \rightarrow \mathbb{R}_{\geq 0}$ be a constant cost function on $A$, i.e., $c(e)=\alpha$ for each $e \in E$. The COP asks, for a given constant $B$, to find a path of maximum reward starting at $v_{s}$ and ending at $v_{d}$ of cost no greater than $B$.
W.l.o.g., it can be considered the case $c(e)=1$ for each $e \in E$, whereas for our purposes we restrict to the case $v_{s}=v_{d}=v_{1,0}$. In what follows, by $r_{i}$ and $c_{j}$ we denote the $i$-th row and the $j$-th column of $A$, respectively, and by $R=\left\{r_{1}, \ldots, r_{m}\right\}$ and $C=\left\{c_{0}, \ldots, c_{n+1}\right\}$ the set of rows and columns of $A$, respectively.


Fig. 2: Examples of graphs: the interconnecting vertices are dark.

Moreover, we define an undirected single column graph $A_{C}(m, n)=(V, E)$ as an Aisle Graph which interconnects the $m$ rows just using $c_{0}$. The set of vertices $V$ and the set of edges $E$ are equal to those of $A(m, n)$ with the only exception of column $c_{n+1}$ which is missing in $A_{C}$ and thus the vertices of type $v_{i, n}$, with $1 \leq i \leq m$, admit just one edge toward $v_{i, n-1}$. An instance of $A_{C}$ is shown in Fig. 2(b).

## III. Proposed Algorithms

In this section, we first optimally solve two special cases of COP: COP-FR (full row) which forces the robot to entirely traverse rows in $A$, and COP-SC (single column) which optimally solves COP on $A_{C}$.

COP-FR is a direct abstraction of real-world scenarios in which the robot can neither turn around nor reverse its movement. For example, if we are representing a warehouse with very narrow aisles or environments with multiple robots working simultaneously, in that case reversing the direction may be prohibited to avoid crashes, or impossible due to maneuvering limits. On the other hand, COP-SC may model instead robot motions in combed-shaped or star-shaped structures. Moreover, COP-SC can be easily modified to be used to solve COP with two robots working in parallel: one on the left side and one on the right side of an aisle-graph.

Lastly, we provide the HGc heuristic for solving COP on an aisle-graph by suitably combining the aforesaid optimum strategies.

## A. Optimal Solutions for COP-FR

In this section we propose two polynomial algorithms, called OFR and OFR-I, to solve COP-FR. The latter is an efficient implementation of OFR. Both algorithms return a subset $S$ of rows as a solution, i.e., $S \subseteq R$, such that the path starting and ending at $v_{1,0}$ has cost no greater than $B$ and collects maximum reward.

The following properties hold for COP-FR:

- P1: A reasonable budget $B \geq 2(n+1)$ must hold since a smaller value makes impossible to traverse any row of the graph and coming back to $v_{1,0}$. In contrast if $B \geq(n+1) m+2(m-1)$ then the problem becomes trivial since the robot can easily perform a complete visit of the graph.
- P2: In any optimal solution $S$, the robot performs a cycle traversing the rows in $S$ in an increasing (or decreasing) order with respect to their indices. Any other cycle would require a larger budget.
- P3: Any optimal solution $S$ that starts and ends at $v_{1,0}$ must fully traverse an even number of rows to prevent the robot to get stuck in $c_{n+1}$.

1) The OFR Algorithm: We now introduce the OPT FULL-ROW (OFR) algorithm that optimally solves COP-FR. The pseudo-code of OFR is provided in Algorithm 1.
```
Algorithm 1: \(\operatorname{OFR}(\) Graph \(A\), Budget \(B)\)
    \(V_{\text {cycle }} \leftarrow \emptyset\),opt \(\leftarrow-\infty\)
    \(R_{i} \leftarrow \sum_{j=1}^{n} r\left(v_{i, j}\right) \forall i \in 1, \ldots, m, \tilde{R} \leftarrow \operatorname{SORT}\left(R_{i}\right)\)
    for \(m^{\prime} \leftarrow 1, m\) do
        \(V=\emptyset\), val \(\leftarrow 0\)
        \(B^{\prime} \leftarrow B-2\left(m^{\prime}-1\right)\)
        if \(B^{\prime}>0\) then // Build current solution
            \(k\left(m^{\prime}\right)=2\left\lfloor\frac{B^{\prime}}{2(n+1)}\right\rfloor\)
            if \(k\left(m^{\prime}\right) \geq m^{\prime}\) then
                val \(\leftarrow \sum_{j=1}^{m^{\prime}} R_{j}\), UPDATETOUR \((V)\)
            else
                \(j \leftarrow 1, s \leftarrow 1\)
                val \(\leftarrow R_{m^{\prime}}\)
                while \(s \leq k\left(m^{\prime}\right)-1\) do
                    if \(i_{j}<m^{\prime}\) then
                        val \(\leftarrow v a l+\tilde{R}_{i_{j}}\), UPDATETOUR \((V)\)
                        \(s \leftarrow s+1\)
                    \(j \leftarrow s+1\)
            if \(\mathrm{val}>o p t\) then
                \(V_{\text {cycle }} \leftarrow V\), opt \(\leftarrow v a l\)
```

OFR consists of two steps. In the first pre-processing step, for each row $i$ a cumulative reward $R_{i}$, i.e., the reward collected by completely traversing the $i$-th row, is computed (Line 2), as $R_{i}=\sum_{j=1}^{n} r\left(v_{i, j}\right)$. Then (Line 22, we sort the $R_{i}$ values in decreasing order obtaining the row permutation $\tilde{R}=\left[i_{1}, \ldots, i_{m}\right]$ such that $\tilde{R}_{i_{1}} \geq \ldots \geq \tilde{R}_{i_{m}}$. This phase has $\operatorname{cost} \mathcal{O}(m n+m \log m)$.

In the second step, exploiting the three properties above, the optimal solution with budget $B$ can be easily determined
if we fix the furthest row traversed by the algorithm.
Letting $m^{\prime}$ be the furthest traversed row (Line 3), the solution moving vertically spends $\left(m^{\prime}-1\right)$ units of budget to reach row $m^{\prime}$ from $v_{1,0}$, and additional $\left(m^{\prime}-1\right)$ units to go back to $v_{1,0}$. Any optimal solution can either first visit the selected rows up to row $m^{\prime}$ and then come back, or first reach the furthest row $m^{\prime}$ and then come back visiting the selected rows. The two options have the same vertical cost of $2\left(m^{\prime}-1\right)$ : the vertical movements of the robot from row 1 to row $m^{\prime}$ alternate on $c_{0}$ and $c_{n+1}$. In the former case, the vertical movement from row 1 to the first row selected in the solution are performed on $c_{0}$. Once the first row is reached, the robot traverses it left to right, ends up in $c_{n+1}$, and moves vertically from the first to the second selected row on $c_{n+1}$. Since the second row is traversed right to left, the robot ends up in $c_{0}$, and starts again going down to reach the third selected row. After traversing the furthest row $m^{\prime}$, if $m^{\prime}$ is even, the robot goes back to $v_{1,0}$ traversing $c_{0}$. Otherwise, if $m^{\prime}$ is odd, the robot traverses row $m^{\prime}$ again from right to left and reaches $v_{1,0}$ moving on $c_{0}$.

Given that $2\left(m^{\prime}-1\right)$ is the budget required for the vertical movements, the residual budget $B^{\prime}=B-2\left(m^{\prime}-1\right)($ Line 5) is spent to traverse as many even rows as possible (Line 7) that is $k\left(m^{\prime}\right)=2\left\lfloor\frac{B^{\prime}}{2(n+1)}\right\rfloor$. The optimal solution than includes row $m^{\prime}$ and the $k\left(m^{\prime}\right)-1$ rows with the largest reward among the first $m^{\prime}$ rows (Line 13) if $k\left(m^{\prime}\right) \leq m^{\prime}$. If $k\left(m^{\prime}\right)>m^{\prime}$ (Line 8), then all the $m^{\prime}$ rows are selected. If $m^{\prime}$ is odd, to make an even number of rows, a row, say $m^{\prime}$ for the sake of simplicity, is traversed twice. Note that, if $B<2\left(m^{\prime}-1\right)$, the $m^{\prime}$-th row cannot be reached and there is no feasible solution that includes row $m^{\prime}$.

To find the optimal solution constrained to budget $B$, we compute the most profitable solution for any possible value of $m^{\prime}$ (Line 3) and we retain the solution with the largest overall reward (Line 18).

Finally, the procedure UPDATETOUR $(V)$ constructs the path accordingly to the rows that the robot has to take, and adding the suitable vertical movements as explained above.

The total computational cost of the algorithm is $\mathcal{O}(\mathrm{m}$. $\max \{n, m\})$ since it spends $\mathcal{O}(m n+m \log m)$ for the preprocessing phase and $\mathcal{O}\left(m^{2}\right)$ for the second phase.

By the above discussion the next theorem can be stated.

## Theorem 1. Algorithm OFR optimally solves COP-FR in

 time $\mathcal{O}(m \cdot \max \{n, m\})$.Proof. About the complexity of the algorithm, it has been already analyzed above.

Given a graph $A(m, n)$ and a budget $B$, consider the optimal solution given as the set of rows traversed by the robot, $O P T=\left\{r_{i_{1}}, \ldots, r_{i_{k^{*}}}\right\}$ of size $k^{*}$. By property P3, $k^{*}$ is even. By P2, $r_{i_{j}} \leq r_{i_{j+1}}$ for any $j<k^{*}$, i.e., the rows are increasingly ordered with respect to their index. Now consider Algorithm 1 at iteration $m^{\prime}=i_{k^{*}}$. To reach the $m^{\prime}$-th row and go back, at least $2(m-1)$ units of budget have to be spent. Let $k=k\left(m^{\prime}\right)$ be the number of rows defined at Line 7 that will be visited by the robot with the
residual budget $B^{\prime}$. Since $k\left(m^{\prime}\right)=2\left\lfloor\frac{B^{\prime}}{2(n+1)}\right\rfloor$ is even and since there is not enough budget to traverse any other pair of rows given that $B-k\left(m^{\prime}\right)(n+1)<2(n+1), k\left(m^{\prime}\right)$ is the largest possible even number of full rows when the solution includes row $m^{\prime}$. If $k\left(m^{\prime}\right)>m^{\prime}$ and $m^{\prime}$ is odd, then the subprocedure $\operatorname{UPDATETOUR}(V)$ will make the robot traverse row $m^{\prime}$ twice. Finally, fixed $m^{\prime}$ and computed $k\left(m^{\prime}\right)$, the solution is optimal because it selects the most profitable rows (see Line 13) according to the sorting of Line 2 Since all the possible values of $m^{\prime}$ are tested, Algorithm 1 optimally solve COP-FR.
2) The OFR-I Algorithm: We next present a faster implementation for OFR, called OFR-I. The idea is to reduce the cost of selecting the rows of the optimal solution from $\mathcal{O}\left(m^{2}\right)$ time for OFR to $\mathcal{O}(m)$ time for OFR-I. The pseudocode of OFR-I is provided in Algorithm 2

```
Algorithm 2: OFR-I(Graph \(A\), Budget \(B\) )
    \(V_{\text {cycle }} \leftarrow \emptyset\), opt \(\leftarrow-\infty\), old \(\leftarrow 0\)
    \(S \leftarrow[1 \ldots m], j \leftarrow 1 \quad / /\) Current index
    \(R_{i} \leftarrow \sum_{j=1}^{n} r\left(v_{i, j}\right) \forall i \in 1, \ldots, m, \tilde{R} \leftarrow \operatorname{SORT}(R)\)
    for \(m^{\prime} \leftarrow m, 1\) do // Decreasing cycle
        \(V=\emptyset\), val \(\leftarrow 0\)
        \(B^{\prime} \leftarrow B-2\left(m^{\prime}-1\right)\)
        \(k\left(m^{\prime}\right)=2\left\lfloor\frac{B^{\prime}}{2(n+1)}\right\rfloor\)
        if \(m^{\prime}=m\) then // 1 -st iteration
            while \(j \leq k\left(m^{\prime}\right)\) do
                \(S\left[i_{j}\right] \leftarrow 1\)
                val \(\leftarrow\) val \(+\tilde{R}_{i_{j}}\), UPDATETOUR \((V)\)
                    \(j \leftarrow j+1 \quad / / j\) scans \(\tilde{R}\)
                old \(\leftarrow k\left(m^{\prime}\right)\)
        else if \(m^{\prime}<k\left(m^{\prime}\right)\) then
            for \(i \leftarrow 1, m^{\prime}\) do
                \(S[i] \leftarrow 1\)
                    val \(\leftarrow v a l+R_{i}\), UPDATETOUR \((V)\)
            \(m^{\prime} \leftarrow 1\)
        else
            \(c \leftarrow 0\)
            if \(k\left(m^{\prime}\right) \neq\) old then
                \(c \leftarrow 2\)
                if \(S\left[m^{\prime}+1\right]=1\) then
                    \(c \leftarrow c+1, S\left[m^{\prime}+1\right] \leftarrow 0\)
                val \(\leftarrow v a l-R_{m^{\prime}+1}\), UPDATETOUR \((V)\)
            \(t \leftarrow 1\)
            while \(t \leq c\) do // Finds \(c\) feasible rows
                if \(i_{j} \leq m^{\prime}\) then
                    \(S\left[i_{j}\right] \leftarrow 1\)
                        \(v a l \leftarrow v a l+\tilde{R}_{i_{j}}\), UPDATETOUR \((V)\)
                    \(t \leftarrow t+1\)
                \(j \leftarrow j+1\)
            old \(\leftarrow k\left(m^{\prime}\right)\)
        if \(\mathrm{val}>o \mathrm{opt}\) then
            \(V_{\text {cycle }} \leftarrow V\),opt \(\leftarrow v a l\)
```

Initially, OFR-I performs the same pre-processing phase as the one of OFr. Then, in the second step, the optimal
solution is calculated in the opposite way with respect to OFr. In fact, we first set $m^{\prime}$ as the farthest possible row, i.e., $m^{\prime}=m$ (Line 4). Then, the main loop proceeds decreasing $m^{\prime}$ and, at each step, according to the value of $m^{\prime}$, the residual budget and the number of permitted rows to be considered are computed (Line 7). During the first iteration of the main loop, i.e., $m^{\prime}=m$ (Line 8), the algorithm builds the current solution $S$ by picking the first $k\left(m^{\prime}\right)$ rows in $\tilde{R}$ (Line 9). The indices of the top $k(m)$ rows with the largest rewards (Line 9) are inserted in the Boolean vector $S$ (Line 10). Precisely, $S\left[i_{j}\right]=1$ if $i_{j}$ is one of the first $k(m)$ entries in $\tilde{R}$, and $S\left[i_{j}\right]=0$ otherwise, with $1 \leq i_{j} \leq m$. Clearly, the insertion procedure can be done in constant time for each row. Note that using this approach we are not forcing row $m^{\prime}$ to belong to the current solution. It is worthy to note that when $m^{\prime}=m$ the total reward obtained by OFR-I is larger or equal to that of OFR constrained to use row $m$ because OFR-I takes the best possible top $k(m)$ rows and OFR only the top $k(m)-1$ best possible rows plus the $m$-th. When $m^{\prime}<m$, OFR-I evaluates the new residual budget. As in OFR , the best solution using the rows from 1 to $m^{\prime}$ is searched. It may happen that 1 ) the number of permitted rows $k\left(m^{\prime}\right)$ increases with respect to $k\left(m^{\prime}+1\right)$, and 2 ) the row $m^{\prime}+1$ has to be removed from the current solution to be substituted by a row with index no larger than $m^{\prime}$. In the first case, the number of permitted rows may increase since the length of the vertical movements decreases by 2 and thus, the residual budget increases by 2 . According to Line 7, it must hold $c=k\left(m^{\prime}+1\right)-k\left(m^{\prime}\right)=2$. In the second case the algorithm is able to remove the $\left(m^{\prime}+1\right)$ th row in constant time just by setting $S\left[m^{\prime}+1\right]=0$ and increasing by 1 the number $c$ of rows to be added to the current solution (Line 23).
For every value of $m^{\prime}$, the algorithm adds $c$ rows scanning $\tilde{R}$ starting from the position $j$ of the last row inserted and ignoring the rows with index larger than $m^{\prime}$ (Line 27). If $c=$ 0 , the solution $S$ is unchanged and the algorithm proceeds decreasing $m^{\prime}$. Otherwise, $\tilde{R}$ is scanned from position $j$ to find $c$ new rows. Note that $j$ never decreases because any row discarded during a previous iteration cannot be inserted in this iteration either. Namely, whenever a row $i_{j}$ in $\tilde{R}$ is discarded from $S$ it is because its row index is greater than the current value $m^{\prime}$, i.e., $i_{j}>m^{\prime}$, and since $m^{\prime}$ decreases, $i_{j}$ cannot be reinserted in any subsequent iteration. The algorithm terminates when at least one of the following conditions is satisfied:

1) $m^{\prime}<k\left(m^{\prime}\right)$ : in such a case the current solution takes just the first $m^{\prime}$ rows (Line 14);
2) $m^{\prime}=1$ : which is the main condition of the algorithm.

Even if more than $c$ positions of $\tilde{R}$ may be visited for each value of $m^{\prime}$ (Line 27), overall during the second phase (Line 4), no more than $|\tilde{R}|=m$ rows are visited. Since any row visited is handled in constant time, to update the solutions for all the values of $m^{\prime}$ costs $\mathcal{O}(m)$.

The total cost of OFR-I is then $\mathcal{O}(m \cdot \max \{n, \log m\})$ since it spends $\mathcal{O}(m n+m \log m)$ for the pre-processing
phase and $\mathcal{O}(m)$ for the second phase. Thus, the overall time complexity of OFR-I is never worse than that of OFr, but ignoring the first pre-processing step whose results could be passed in input to the algorithm along with the reward graph, OFr-I is much faster than OFr. Indeed, OFr-I costs $\mathcal{O}(m)$ time, while $\operatorname{OFR} \mathcal{O}\left(m^{2}\right)$ time.

By the optimality of OFR and by the above discussion the next theorem can be stated.

Theorem 2. Algorithm OFR-I optimally solves COP-FR in time $\mathcal{O}(m \cdot \max \{n, \log m\})$.

Proof. By the optimality of OFR and by the arguments provided in describing the algorithm, optimality is achieved.

## B. Optimal Solution for COP-SC

For COP-SC, since there is only one column in $A_{C}$, the robot is forced to go back-and-forth on each selected row. We devise a dynamic programming algorithm, called OPT Single-column (OSC), that optimally solves COP-SC in polynomial time. Clearly, OSC sub-optimally solves COP.

During the initialization, we create two tables $T$ and $R$ of size $m \times(n+1)$ and $m \times\left(\frac{B}{2}+1\right)$, respectively. Table $R$ has columns $j=0,1, \ldots, \frac{B}{2}$. We initialize table $T$ as follows: $T[i, j]=\sum_{k=0}^{j} r\left(v_{i, k}\right)=\sum_{k=1}^{j} r\left(v_{i, k}\right)$ for each $i, j$ which represents, fixed a row $i$, the cumulative reward up to the $j$-th column starting from the leftmost side, with $0 \leq j \leq n$. This phase has cost $\mathcal{O}(m n)$. In table $R$, let $R[i, b]$ be the largest reward that can be attained with budget $2 b$ visiting the first $i$ vertices of row 0 . Let $S[i, b]$ be the last column visited in row $i$ to obtain the reward $R[i, b]$.

As for COP-FR, COP-SC there are some general properties that will be exploited by our dynamic programming solution:

- PA: If $B \geq 2 n m+2(m-1)$ then the robot can visit the whole graph.
- PB: An optimal solution must traverse the selected rows in increasing (or decreasing) order w.r.t. their indices.
- PC: An optimal solution visits a row at most once. Two (or more) visit of the same row can be in fact replaced by the only visit that reaches the furthest vertex.
- PD: For each row the algorithm has to select the last vertex to visit. If no vertex is visited, still $v_{i, 0}$ has to be traversed to reach the subsequent row $i+1$.
The optimal solution that visits the first $j$ vertices in row $i$, with $j \geq 0$, gains $T[i, j]$ reward from row $i$, and spends budget $2 b-2 j-2$ to reach the previous row $i-1$. Namely, we reserve 2 units of budget to change row and $2 j$ units to traverse row $i$. We thus have the next recurrence. The first row of table $R$ is defined as follows:

$$
R[1, b]= \begin{cases}T[1, b] & 0 \leq b \leq n-1 \\ T[1, n] & n \leq b \leq \frac{B}{2}\end{cases}
$$

Then, for each subsequent row $R[i, b], i \geq 2$ :

$$
R[i, b]= \begin{cases}-\infty & b<i-1 \\ 0 & b=i-1 \\ \max _{0 \leq j \leq \min \{b-i, n\}}\{R[i-1, b-j-1]+T[i, j]\} & b>i-1\end{cases}
$$

Note that $j \leq \min \{b-i, n\}$ is obtained by observing that $j \leq n, b-j-1 \geq 0$ and more strictly $b-j-1 \geq i-1$ to avoid $-\infty$ reward. If $b=i-1$ and $i \geq 2, R[i, b]$ is just 0 because there is only enough budget to reach row $i$ traversing $c_{0}$. Precisely, $R[i, b]=\max _{j=0}\{R[i-1, b-j-1]+T[i, j]\}=$ $R[i-1, b-1]$ and recursively back up to $R[1,0]=0$.

Table $S$ is then filled recalling for each position the column that has given the maximum reward, i.e., $S[i, b] \leftarrow j=$ $\arg \max R[i, b]$. Finally, the reward of the optimal solution with budget $\frac{B}{2}$ is found by calculating $\max _{1 \leq i \leq m} R\left[i, \frac{B}{2}\right]$ because we do not know in advance the furthest row reached by the optimal solution. The solution is computed in $O(\mathrm{~m})$ tracing back the choices using the table $S$. Note that with a single execution of OSC, we compute the optimal reward for any value $b$, with $0 \leq b \leq \frac{B}{2}$. That is, the maximum reward for budget $b$ is the maximum in column $b$ of table $R$.

The algorithm runs in time $\mathcal{O}\left(m n \frac{B}{2}\right)$ plus $\mathcal{O}\left(m \frac{B}{2}\right)$ to retrieve the solution, and takes $\mathcal{O}\left(m n+m \frac{B}{2}\right)$ space. Since by property PA budget $B$ is upper bounded by $\mathcal{O}(m n)$, then algorithm OSC is strictly polynomial in the size of the input.

By the above discussion and sub-optimality arguments, the next theorem can be stated.

Theorem 3. Algorithm OSC optimally solves COP-SC in time $\mathcal{O}\left(m n \frac{B}{2}\right)$.
Proof. The running time is obvious. Let us define $R(i, b)$ as the optimal profit to reach any vertex of row $i$ when the budget is $2 b$. The solution $S(i, b)$ associated with $R(i, b)$ has maximum profit and must traverse the vertices $v_{1,0}, v_{2,0}, \ldots, v_{i, 0}$ of $c_{0}$.

For the correctness we prove by induction. For the base case, $i=1$ and any $b$, or any row $i$ and $b \leq i-1$, the correctness follows from the above discussion.

Induction Step: When computing $R[i, b]$ by induction hypothesis, we have that $R[i-1, b-j-1]$ for any $0 \leq$ $j \leq \min \{b-i, n-1\}$ are already computed correctly. Since any optimal solution $S[i, b]$ visits the rows in increasing index order, traverses only once row $i$, up to any $c_{j}$ (recall that $j=$ 0 means that the row is not visited), and must traverse the vertices $v_{1,0}, v_{2,0}, \ldots, v_{i, 0}$ of $c_{0}, S[i, b]$ is built starting from a sub-problem that traverses the vertices $v_{1,0}, v_{2,0}, \ldots, v_{i-1,0}$ and some vertices of row $i$. Then, $S[i, b]$ is based on a subproblem that considers up to row $i-1$, leaves 1 unit of budget to reach row $i$, and leaves $j$ units of budget to reach vertex $j$ in row $i$, for some $0 \leq j \leq b-i$. Hence, the value of $R[i, b]$ in Eq. (III-B is correct. Induction Step: When computing $R[i, b]$ by induction hypothesis, we have that $R[i-1, b-j-1]$ for any $0 \leq j \leq \min \{b-i, n-1\}$ were already computed correctly. Note that any optimal solution $S[i, b]$ is built starting from a sub-problem that traverses the vertices $v_{1,0}, v_{2,0}, \ldots, v_{i-1,0}$ and some vertices of row $i$. In fact, such solution visits the rows in increasing index order, traversing any row $i$ only once up to a $c_{j}$ (recall that $j=0$ means that the row is not visited), and must traverse the vertices $v_{1,0}, v_{2,0}, \ldots, v_{i, 0}$ of $c_{0}$. Then, $S[i, b]$ is based on a sub-problem that considers up to row $i-1$ keeping aside enough budget to reach vertex $j$ in row $i$, i.e., $1+j$ units of
budget for some $0 \leq j \leq b-i$. Hence, the value of $R[i, b]$ in Eq. (III-B) is correct.

Now, assume by contradiction, that there exists a solution $S^{\prime}[i, b] \neq S[i, b]$ with cost $R^{\prime}[i, b]>R[i, b]$, and that is the first time that Eq. (III-B does not provide the optimum. Let vertex $v_{i, j}$ be the vertex reached by $S^{\prime}[i, b]$ in row $i$. The solution $S^{\prime}[i, b]$ gains $T[i, j]$, and $R^{\prime}[i, b]-T[i, j]$ corresponds to the profit of a sub problem $S[i-1, b-j-1]$ for which $R[i-1, b-j-1]$ is optimal. That is, $R^{\prime}[i, b]-T[i, j]=$ $R[i-1, b-j-1]$ and thus $R[i, b]=R[i-1, b-j-1]+$ $T[i, j]=R^{\prime}[i, b]$.

Finally, since we do not know in advance the furthest row that belongs to the optimal solution, the optimal solution with budget $B$ is found in column $b$ and precisely it is $\max _{1 \leq i \leq m} R[i, B]$.

## C. Heuristic solution for COP

We propose an heuristic, called Heuristic GeneralCASE (HGC), that combines the sub-optimal solutions of COP returned by OFR-I and OSC algorithms in three strategies: $H 1, H 2$, and $H 3$. $H 1$ prefers full rows, while $H 2$ and $H 3$ select partial rows on both the left and right side plus some full rows to complete the tour: $H 3$ traverses only two full rows (i.e., the minimum number of rows to reach the right side and be back at $v_{1,0}$ ), while $H 2$ replaces all sufficiently long partial rows with full rows. Precisely:

- H1: First OFr-I is applied. If some budget $p$ there remains, then clearly $p<2(n+1)$. OSC is applied to select partial rows on both the sides of the aisle-structure $A$, constrained to $p$ and to the requirement to return in $v_{1,0}$. In particular, the partial rows can be selected among all the rows of the left side not yet selected, and among the rows of the right side that the robot passes in front traversing the tour created for OFR-I.
It is worthy to note that the reward of $H 1$ always dominates that of OFR-I.
- H2: Here first OSC is applied with the full budget $B$, obtaining a temporary solution $S_{L}$ then again OSC is applied with the full budget $B$ but starting and returning in $v_{1, n}$, hence optimizing on the right side and obtaining another temporary solution $S_{R}$. Successively, $S_{L}$ and $S_{R}$ are analyzed so as to select an even number of full rows. These will be the rows where $S_{L}$ and $S_{R}$ combined together select at least $\frac{n}{2}$ elements. If none of such rows exist, then bounds $\frac{n}{3}, \frac{n}{4}, \ldots$, will be considered just to select two rows. The final solution will then include the selected full rows. According to the residual budget, the solution will be completed with all possible partial rows similarly to $H 1$;
- H3: Here firstly two rows are selected: the first one and the furthest row reachable with the budget $B$. Successively, OSC is applied with the residual budget selecting the partial rows as in $H 1$.
Heuristic HGc then returns the best solution among $H 1$, $H 2, H 3$, OFR-I and OSc.


## IV. Simulations

We test our algorithms on the same benchmarks proposed in [1] that are generated from a real world robotic precision irrigation application. The reward map of one of them is illustrated in Fig. 3(a) where the cold and hot colors represent low and high rewards, respectively. We also test our algorithms on synthetic instances whose rewards are based on various Zipf distributions [3]. The synthetic instances consist of graphs $A(100,50)$ and $A(50,100)$. The rewards are random integers in the interval $[0,100)$ that follow a Zipf distribution of parameter $\theta=\{0,0.9,1.8,2.7\}$. To avoid a punctiform reward in $A$ and make it more continuous as it is in the real world, we generate $\frac{m \cdot n}{5 \cdot 5}=\frac{50 \cdot 100}{5 \cdot 5}$ random numbers and we assign each of them to a $5 \times 5$ sub-graph. When $\theta=0$, the rewards are uniformly distributed in $[0,100$ ) (see Fig. 3(b) , while when $\theta$ increases the lowest rewards become more and more frequent (see Figs. 3(c) 3(e)).


Fig. 3: Reward maps for real and synthetic graph instances.

For each $A$ and $\theta$, we run our new algorithms OFR , OSC, HGC and contrast them with the two greedy heuristics Greedy Full-Row (GFr) and Greedy Partial-Row (GPR) presented in [1]. Both OFR and OFR-I optimally solve COP-FR, so we do not need to test both.


Fig. 4: The performances for the real benchmark and synthetic data with different values of $\theta$.

The GFR and GPR heuristics choose a subset of full and partial rows to be traversed, respectively. At each selection, the robot computes the budget required to collect rewards from its current position, and prefers row/vertices with maximum reward per unit of budget. The time complexities of GFR and GPR are $\mathcal{O}\left(m^{2}\right)$ and $\mathcal{O}\left(m^{2} n\right)$, respectively. Fixed $m$ and $n$ (i.e., the size of $A$ ) and $\theta$, we populate 30 random graphs. For each graph, we test each algorithm with budget $B$ increasing in 20 steps from the minimum to the maximum value (see $P 1$, Sec. III-A). Since the overall reward differs for each graph (as rewards are randomly generated), we return the reward gained as a percentage of the overall reward. Finally, we plot the average of the results on the 30 instances, along with their $95 \%$ confidence interval. Fig. 4 compares the reward over the different algorithms on the real and synthetic data and different $\theta$. When $\theta=0$, the performance of OFR increases linearly with the budget and it is absolutely comparable with that of the general heuristics GPR and HGC even if it is computationally lighter. GFR instead performs poorly with respect to OFR. OSC is the worse in the uniform case and not surprisingly it gains about half of the reward of OFR. In fact, OSc requires the double of the budget than OFR to explore a single row. When $\theta$ increases, and rewards become scarcer, the percentage of gained reward increases faster than the budget: at $\theta=1.9$ with $50 \%$ of the
budget, OFR gains already more than $70 \%$ of the reward. The performances of OFR is coincident with that of HGC up to $\theta=1.9$, and about $1-2 \%$ less when $\theta=2.7$. For large values of $\theta$, the performance of GPR slightly downgrades especially for budget between $50 \%$ and $80 \%$, whereas that of OSC upgrades although OSC explores only the left side of $A$. In fact, when the reward is unbalanced, for OSC it is easier to decide which vertices to take, while GPR may waste budget on useless vertical movements because the rewards are widely scattered. OSC reaches the same performance of HGC and GPR when $\theta \geq 1.9$ and $B \leq 20 \%$.

Fig. 4(a) compares the algorithms behavior on a set of 20 real world robotic precision irrigation graphs, collected in the open fields by the authors of [9]. HGC and OFR achieve the best results, and generally improves by less than $2 \%$ the performance of GPR (with a peak of $5 \%$ when $B<20 \%$ ). In general, the GFR heuristic performs better on the real data than on the synthetic ones. Vice-versa, OSc performs better on the synthetic data than on the real ones. According to our experiments, the performance on real graphs are comparable with that of synthetic graphs of moderate skewness.

Observations: Although all experiments show excellent performance for GPR and even better for OFR , we are aware that one can hand-pick graphs where such algorithms behaves rather poorly compared the optimal solution. For
example, consider a graph $A=A(m, n)$ built as follows: for each vertex $v_{i, 2}$ with $i \in 1, \ldots, m-1$ we set $r\left(v_{i, 2}\right)=2 i-\epsilon$; we set reward $r\left(v_{m, m-2}\right)=m-1+2(m-2)=2 m-3$ for the vertex $r\left(v_{m, m-2}\right)$ of the last row; and finally we set reward equal to zero for all the other vertices. Let $B=$ $2(m-2)+2(m-1)$ the given budget. The OFR will select the furthest row $m^{\prime}$ it can reach constrained on $B$ and the previous row $m^{\prime}-1$ for a total reward that cannot be larger than $2 m-5+m-\epsilon<3 m-\epsilon$. The GPR will choose in the first iteration the vertex $v_{m, m-2}$ consuming the whole budget for a total reward of $r\left(v_{m, m-2}\right)=m-1+2(m-2)=2 m-5$. The OSC algorithm will compute a solution composed by the first $m-1$ rows with a total reward of $\sum_{i=2}^{m-2}(i-\epsilon)=$ $\frac{1}{2}(m-3)(m-2 \epsilon)$. Since the optimal solution will gain at least the same reward as OSC, both OFR and GPR are away from the optimum by an arbitrarily large factor $m$.

Similar examples can be found where OSc looses, while GPR and OFR are much closer to the optimum. In conclusion, since OSC and OFR seem to show opposite behaviors in the same instance (like in the example above) and since HGC applies both, our aim for HGC is to limit performance losses. On the one hand we could not find any dramatic instance for HGC as for the other heuristics. On the other hand we are looking forward for formal arguments to guarantee some limited approximation ratio.

## V. Conclusion

We have shown how to optimally solve in polynomial time the two special cases COP-FR and COP-SC of COP. We have also simulated the new optimal proposed algorithms OFR and OSC as well as the new heuristic HGC in the special vineyard context where COP was originally defined. Although HGC gains in percentage few units over the previous best algorithm, it can be still valuable in high constrained scenario. As future work, we will undertake further investigations for providing formal guarantees on the quality of the solutions in the general case.

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