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### Title

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### Publication Date

2016-10-01

### DOI

10.1016/j.solener.2016.07.045

Peer reviewed

# 1 Simulating Irradiance Enhancement 2 Dependence on Cloud Optical Depth and 3 Solar Zenith Angle

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10 Keywords: Irradiance Enhancement; Over-irradiance; Monte Carlo RTM;

## 11 Abstract

12 Enhancement of solar irradiance (IE) has been observed in many locations under partly cloudy sky  
13 conditions. Despite its notoriety, few studies have attempted to systematically examine the underlying  
14 mechanism for the event through simulation. As a result, there is no consensus regarding the causes of IE,  
15 nor an understanding of the effects of cloud optical depth and/or solar elevation on the event. Using a 2D  
16 Monte Carlo radiative transfer model (RTM), we show that IE through a homogenous square cloud is  
17 caused by the superposition of a direct irradiance beam with diffuse light scattered through the bottom and  
18 out of the edge of a cloud, through Mie scattering. Using the RTM, we investigated the effect of optical  
19 depth up to 500 and solar zenith angles ranging from 0 to 60 degrees on the IE magnitude and spatial extent.  
20 For the overhead zenith case the IE magnitude is maximum between optical depths of 20 and 50, with a  
21 value of 1.27 times the clear sky value. IE magnitude increases monotonically with increasing solar zenith  
22 angle, with the maximum occurring at a higher optical depth. The greatest magnitude overall occurred for  
23 a solar zenith angle 60 degrees (our maximum) and optical depth of 100, with a value of 1.7 times the clear  
24 sky value. The simulation show that optically thick clouds at small solar zenith angles forward scattering is  
25 the dominate contributor to large IE, but at increased zenith angles outward scattering resembling  
26 “reflections” leads to larger IE’s. To facilitate implementation of model results in irradiance modeling,  
27 curve fits for the IE profile moving from the edge of the cloud are derived in terms of cloud optical depth  
28 and horizontal distance normalized by cloud height.

## 29 1. Introduction

30 Irradiance enhancements (IE) or “over-irradiance” in partly cloudy sky conditions is a well-documented  
31 phenomenon defined as a measured irradiance value higher than the expected clear sky maximum (Luoma  
32 et al., 2012; Yordanov et al, 2013a,b; Emck and Richter, 2008; Gottschalg et al., 2012; Shade et al., 2007;  
33 Pfister et al., 2003). IE is typically ignored in solar resource modeling or forecasting. However, it poses  
34 both a threat and opportunity. On the one hand, IE can foreshadow the passage of a cloud and potentially  
35 provide information about timing and magnitude of the ensuing down-ramp in power output. On the other  
36 hand it can result in temporary elevated power output that steepens the ensuing down-ramp to shaded  
37 conditions (Lave et al. 2011), and especially for undersized inverters, can lead to loss of available power  
38 through clipping and equipment damage due to high voltages (Luoma et al., 2012). IE absolute magnitudes  
39 were hypothesized to be greatest at tropical latitudes in the southern hemisphere, at high elevations

40 (Yordanev et al., 2013), consistent with the highest recorded event of  $1832 \text{ W/m}^2$  at 3400 m above mean  
41 sea level (MSL) in the Andes mountains (Emck and Richter, 2008).

42  
43 IE events were originally thought to be exclusively produced by reflection off the sides of towering cumulus  
44 clouds (Segal and Davis, 1992; Pfister et al., 2003; Zehner et al., 2012). Zehner et al. (2012) argued that  
45 clouds consisting of mainly water droplets have clear contours, which enable the reflection of photons from  
46 their towering sides. Pfister et al (2003) used one year of 1 min averaged data in conjunction with a sky  
47 imager to compare the effect of cloud fraction and type on measured enhancement effects, finding that a  
48 sky with low cloud fraction of thick cumulus clouds, and with an unobscured solar disk, is most likely to  
49 lead to an IE event. This was reasoned to be due to reflections from cloud edges “focusing” diffuse  
50 irradiance. However this explanation seems unlikely for a gas-liquid mixture, and is hard to explain with  
51 radiative transfer theory, considering the penchant for forward scattering described by Mie theory.

52  
53 However, more recently there is an increasing body of research suggesting that IE events are mainly due to  
54 strong forward scattering of photons inside clouds (Yordanev et al., 2013; Schade et al., 2007). Schade et  
55 al (2007) similarly employed a pyranometer and a sky imager in tandem to track IE events; however unlike  
56 Pfister et al. (2003) they found that high cloud fraction of thin clouds are more likely to lead to IE events,  
57 with the highest IE probability being in near overcast conditions. Yordanev et al. (2013) proposed this is  
58 due to the fact that the Mie phase function has a strong peak in the forward direction, with a large portion  
59 of all scattered photons concentrated within a scattering angle of 5 degrees. Berg et al. (2011) studied single  
60 layer cumulus cloud formations over 8 summers using a total sky imager and pyranometer in tandem with  
61 measurement devices of cloud macroscale properties. The authors concluded that IE is most prevalent in  
62 spatially and temporally inhomogeneous situations where forward scattered diffuse irradiance (and IE) is  
63 significant for small and optically thin clouds.

64  
65 Despite a large amount of empirical data for IE effects, few have attempted to model the effects with a  
66 radiative transfer model (RTM). Segal and Davis (1992) used a Monte Carlo method to model cloud-  
67 irradiance interaction. They simulated three different Solar Zenith Angles (SZA) for two different heights  
68 (5 km and 10 km) of a two-dimensional (2D) rectangular cloud. The simulations showed IE on the solar  
69 side of the cloud edge that extended beyond 10 km horizontal distance in all cases. They also found that as  
70 the SZA increased, the magnitude of the IE decreased. However, major drawbacks to their study were (i) a  
71 lack of investigation of the spatial surface irradiance profile below the cloud body and (ii) the a-priori  
72 assumption that IE is only caused by reflections of the cloud sides. Therefore, the interactions of the photons  
73 within or through the cloud body were not investigated.

74  
75 Bohren and Clothiaux (2006) presented a Monte Carlo RTM to obtain the surface irradiance around a  
76 rectangular cloud with changing aspect ratio, but fixed vertical optical depth of 16. A single SZA of zero  
77 was used. The authors showed that IE's are noticed around the edges of the cloud, whereas large attenuation  
78 was present below the cloud. The magnitude and horizontal extent of the IE was observed to be a function  
79 of the cloud aspect ratio. The investigation did not include thinner or thicker clouds and larger SZAs. Zehner  
80 et al. (2012) used a three-dimensional (3D) Monte Carlo RTM to investigate the spatial extent and  
81 magnitude of IE events around a single cubic cumulus cloud. The same cloud of  $\tau = 37.5$  was modeled  
82 with increasing cloud base height (100 to 2,000 m) and increasing SZA (20 to 70). The model produced  
83 enhancements as large as 40% at the sunfacing edge of the cloud, decreasing in intensity but increasing in  
84 area and distance to the cloud shadow with increasing cloud height and increasing SZA. This study also  
85 neglects cloud optical depth effects and is neither publicly accessible nor peer reviewed.

86  
87 Optical depth is expected to have a non-linear effect on IE; very thin clouds do not provide sufficient  
88 opportunity for scattering to create IE while in very thick clouds most radiation is attenuated within the  
89 cloud, providing an opportunity for IE only further from the cloud edges. Due to the difficulty of measuring

90 optical depth of a cloud accurately, most work has focused on the relative cloud fraction in relation to IE  
91 (Schade et al., 2007; Pfister et al., 2003; Tapakis and Charalambides 2014). Pfister et al. (2003) used a  
92 trinary cloud classification (clear, thin, and thick) in sky image with measurements to determine that IE's  
93 were possible under both thick and thin cloud cover conditions. Both Zehner et al. (2012) and Segal and  
94 Davis (1992) looked at the effects of cloud height, but investigated only one optical depth. To the  
95 knowledge of the authors, the relationship between optical depth of a cloud and the magnitude of IE it  
96 produces has not been investigated to date.

97 SZA is also expected to influence IE. Tapakis and Charalambides (2013) found that measured IE  
98 magnitudes increase monotonically with increasing SZA. The simulation results of Zehner et al. 2012  
99 showed that the area of IE decreases with increasing SZA, but is countered by an increase in peak  
100 magnitude. Schade et al. (2007) measurements showed that IE are possible at all SZAs with the greatest  
101 likelihood occurring at around 45 degrees for the observed IE. However, these results do normalize out the  
102 fact that a SZA of 45 is measured more frequently than SZA angles near the extremes. The results from  
103 Segal and Davis (1992) are inconsistent with the measurements of Tapakis and Charalambides (2013) and  
104 Schade et al. (2007) as they showed a monotonic decrease in IE magnitude for increasing SZAs. We will  
105 examine the influence of IE more holistically to validate these divergent findings and provide conceptual  
106 explanations.

107 Our objective is to classify which optical depths and SZAs are conducive to IE events by simulating the  
108 interaction between a cloud and solar irradiance in a 2d Monte Carlo RTM. By varying both the optical  
109 depth of a single 2D cloud and the SZA, the variations in the surface irradiance profile can be used to help  
110 reconcile the two schools of thought that assign cloud side reflection or within cloud scattering as the  
111 primary process that causes IE. Further, functions are fit to the observed IE profiles, such that the insights  
112 could be used to improve IE modeling, for example in solar forecasting models. The paper is structured as  
113 follows: Section 2 introduces the methods used to investigate the interactions, Section 3 gives the results of  
114 this investigation and defines principles which govern IE events, and the final Section concludes the work  
115 and provides examples of applications.

## 116 2. Radiative Transfer Model

117 To investigate the causes of IE we use a 2-D Monte Carlo model of SW radiation in the atmosphere, similar  
118 to previous studies (Bohren and Clothiaux, 2006; Segal and Davis, 1992). The model consists of a non-  
119 absorbing atmosphere with a vertical height of 8 km (one Earth atmospheric scale-height) and a horizontal  
120 extent of 50 km. Scattering angle in the atmosphere is estimated via the Rayleigh Phase Function, and a  
121 typical total atmospheric optical depth of 0.2 is used (Bodhaine et al., 1999). Since the motivation for this  
122 work is harvesting solar energy, the surface is modeled as a perfect absorber. This assumption allows for  
123 isolation of the cloud-irradiance interactions without interference from the surface. We assume a  
124 characteristic solar wavelength of 530 nm for the photons traveling through our atmosphere since there is  
125 little visible wavelength dependency of IE events (Zehner et al., 2010).

126 A single homogenous water cloud with geometric thickness of 2 km in the horizontal and vertical direction  
127 was placed in the center of our model atmosphere (cloud base height (CBH) of 4 km, cloud top height  
128 (CTH) of 6km). The 2-D simulation setup effectively means that the simulated cloud is infinitely wide. Ice  
129 clouds were not considered in this study since they have been shown to be ineffective at producing IE events  
130 (Schade et al., 2007). The width of the atmospheric domain is 25 times greater than that of the cloud in  
131 order to investigate IE far from the cloud edge (Segal and Davis, 1992). Similar to the atmosphere, the  
132 single scatter albedo (SSA) of the cloud was set to one, since the absorption of photons within the cloud is  
133 negligible (Arking, 1996). In order to accurately represent the strong but narrow forward scattering peak

134 observed in liquid water clouds, which is critical to examining the role of forward scattering on IE events  
 135 (Yordanev et al., 2013), the cloud phase function was estimated to high accuracy by a 1,000 degree  
 136 Legendre Polynomial. A cloud droplet effective radius ( $R_{eff}$ ) of  $14 \mu\text{m}$  (King et al., 2013) was used to  
 137 calculate the phase function, which is typical of cumulus clouds observed at utility scale solar plants in the  
 138 continental Southwestern US. Vertical cloud optical depth ( $\tau$ ) can then be defined in terms of the cloud  
 139 liquid water content ( $LWC$ ),  $R_{eff}$ , cloud geometric height ( $h$ ), and liquid water density ( $\rho_w$ ),

$$\tau = \int_{z=CBH}^{CTH} \frac{3 LWC}{2 \rho_w r_{eff}} dz \quad (1)$$

140 Thus, the modeled changes in  $\tau$  are effectively realized via changes in cloud LWC since all other variables  
 141 are fixed.

142 In the model simulations we vary  $\tau$  from 0 (clear sky) to 2 in increments of 0.25 and with a larger non-  
 143 uniform stepping from  $\tau = 3$  to  $\tau = 500$ . SZA varies from  $0^\circ$  to  $60^\circ$  in steps of  $20^\circ$ . For each unique value  
 144 of  $\tau$  and SZA we “fire” 1 billion photons across the 50 km domain (20,000 photons per km), effectively  
 145 giving the simulation a 0.5 m horizontal resolution. To verify the accuracy of the model it was tested against  
 146 the SHDOM package (Evans, 1998) under the same scenario. The results were nearly identical. We use the  
 147 MC method (as opposed to SHDOM) due to its ability to track individual photons, and since it is an exact  
 148 method limited only by the accuracy of the phase function.

### 149 3. Results

#### 150 3.1 Simple model of irradiance distribution as a function of cloud optical depth

151 To explain the interaction between irradiance and clouds of different optical depths for the more complex  
 152 cases that are to follow, a simple simulation was performed. In this simulation, 10,000 photons were fired  
 153 at SZA =  $0^\circ$ , but only from a fixed location above the center of the cloud for several different optical depths.  
 154 The results are shown in figure 1, and depicted conceptually in figure 2. For the clear sky case, there exists  
 155 a large peak at the location where the photons were fired, as expected. With clouds the photons spread over  
 156 a larger area on the ground, proportional to the optical depth of the cloud. For very thick clouds, the  
 157 irradiance profile approaches isotropy. As illustrated in Fig. 2, scattering tends to cause the photons of a  
 158 beam to spread along a forward angle. For only single scattering the distribution of photons by scattering  
 159 angle is exactly described by the phase function. Multiple scattering, on the other hand, causes the photon  
 160 distribution to spread further.

161 In theory, an approximate analytical model could be developed from these results to predict irradiance  
 162 distribution through a cloud of known  $\tau$  by superimposing the probability density function (pdf) of Figure  
 163 1 over the width of the domain. However, the inset image shows non-linearities near the cloud edge, which  
 164 would reduce accuracy of such a model. Further, IE at non-zero SZAs may need to be parameterized  
 165 through effective optical depths or optical paths ( $\tau / \cos(\text{SZA})$ ). For these reasons, we elect to use the full  
 166 Monte Carlo simulation to analyze impacts of SZA and  $\tau$ , and reserve these results for explanation.

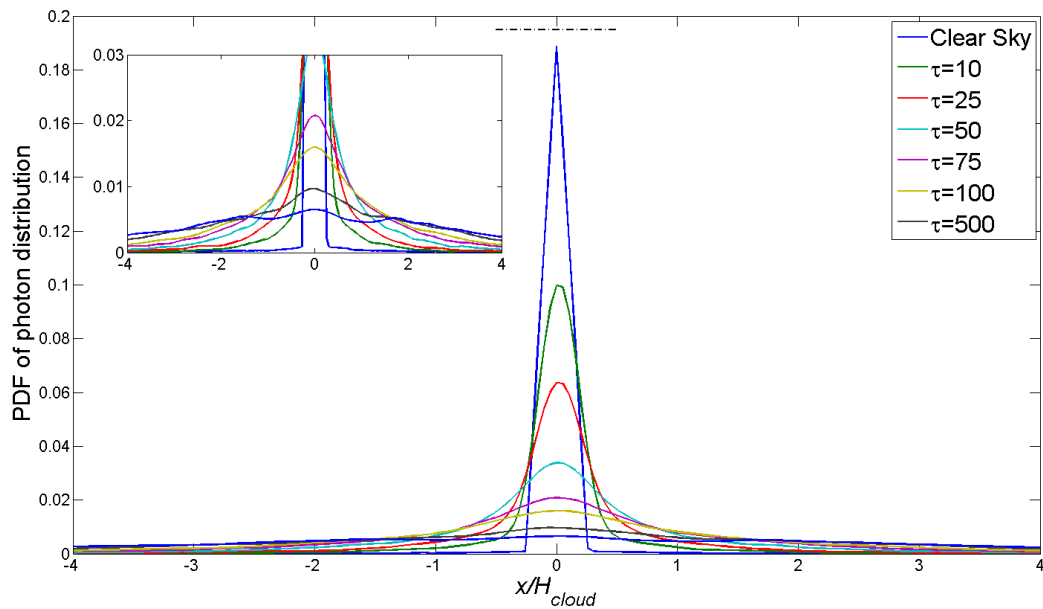


Figure 1: Effect of increasing cloud optical depth on the distribution of photons fired from  $x/H_{cloud}=0$  across the surface for the sun at zenith. The small inset plot highlights the "spread" of photon distribution with increasing optical depth.

167

168

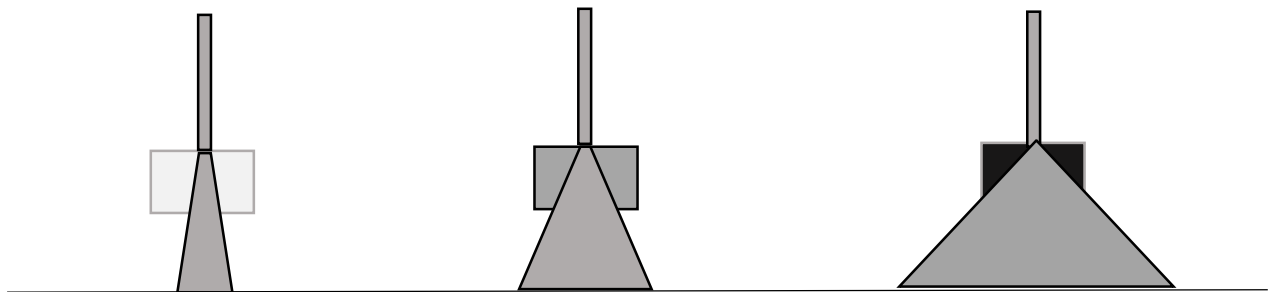


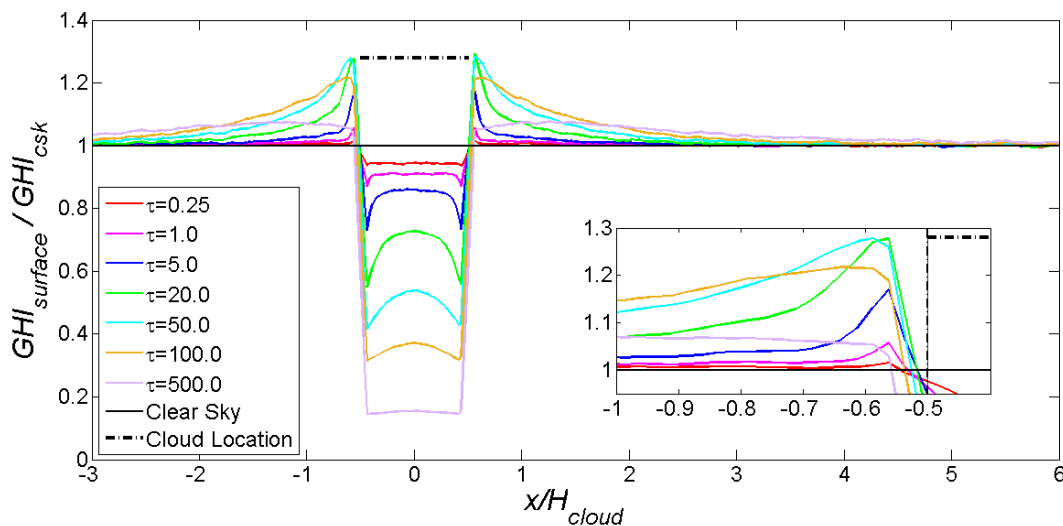
Figure 2: A conceptual depiction of the results of figure 1. In this figure, cloud optical depth increases from left to right, indicated by the darkness of the cloud. Increasing optical depth acts to "spread" the photons over a larger scattering angle.

169

### 170 3.2 Sun at zenith and IE mechanism

171 For the ensuing simulations, photons are released across the entire length of the domain at the same SZA.  
 172 Figure 3 shows global horizontal irradiance profiles at the surface, normalized by the clear sky value, for a  
 173 cloud with eight selected values of optical thickness at a SZA of 0 degrees. At this SZA the magnitude of  
 174 the IE increases with increasing optical depth up to  $\tau = 20$ , with a maximum between  $20 < \tau < 50$ . The  
 175 IE peaks shortly outward from the cloud edge and then decreases approaching a flat IE profile at about  
 176  $x/H_{cloud} > 5$  (or 10 km from the edge) depending on the case. Similar to the results of Boren and  
 177 Clothiaux (2006), except for  $\tau = 500$ , GHI peaks just beyond the edges of the cloud with a large attenuation  
 178 directly below the cloud.

179 The maximum can be attributed to the superposition of unattenuated direct irradiance just outside the cloud  
 180 edge and diffuse irradiance from photons scattered by the cloud such that they reach the surface just outside  
 181 the cloud edge. The IE grows with increasing optical depth due to a higher likelihood of scattering. For  
 182 very thick clouds ( $\tau > 50$ ), the profile flattens in both the IE around the cloud and the attenuation in the  
 183 cloud shadow. This behavior is consistent with Fig. 1, where the pdfs begin to flatten significantly at  $\tau >$   
 184 50 indicating a larger spread of photons. The  $\tau = 500$  irradiance profile is nearly flat leaving the cloud  
 185 edge and actually peaks at 1.5 times the cloud height (3 km) away from the cloud edge, with a significantly  
 186 smaller magnitude than the maximum case. The extreme cloud optical thickness causes a greater proportion  
 187 of photons to escape the cloud through the edges and at higher altitude. Those photons travel a greater vertical  
 188 distance than if they exited near the cloud bottom, thus pushing the profile farther from the cloud edge. The  
 189 total ‘enhancement energy’  $\left( \int_x^{x \rightarrow \infty} \frac{GHI_{surface}}{GHI_{csk}} - 1 dx \right)$  is also less, due to increased backscatter towards  
 190 space.



191

192 *Figure 3: Clear sky normalized global horizontal solar irradiance (clear sky index) profiles at the surface for clouds at eight different*  
 193 *optical thicknesses at an overhead zenith. More optical thicknesses were examined, but only selected results are shown for clarity.*  
 194 *The cloud extends from  $-0.5 < x/H_{cloud} < 0.5$  (black dashed line). The abscissa is the cloud thickness normalized position. Since the*  
 195 *results are symmetric for  $SZA = 0$  the domain is cutoff on the left. The insert provides details just beyond the cloud edge and the*  
 196 *vertical black line indicates the position of the cloud edge. Note that all profiles have an enhancement beyond the cloud edge.*

197 While this is not the focus of this paper, the GHI profiles in the cloud shadow also warrant some comments.  
 198 Given the half-angle of the effective scattering angle distribution from a beam incident on a single column  
 199 of the cloud (Figs. 1,2), one can distinguish two scenarios for the area shaded by the cloud. If the beam half  
 200 angle is smaller than the cloud width (here the case for  $\tau < 5$ ), then there exist an area underneath the cloud  
 201 where the irradiance is horizontally homogeneous; in other words the beam is sufficiently narrow that a  
 202 point near the center of the cloud shadow does not receive a significant amount of photons from the cloud  
 203 edges. If on the other hand the beam half angle is larger than the cloud width, then a secondary peak  
 204 manifests at the cloud center  $x / H_{cloud} = 0$ , which is a result of the individual scattered beams overlapping  
 205 most in the center of axisymmetry. In this case any point in the cloud shadow receives a significant amount  
 206 of photons from all columns of the cloud. The minimum GHI just inward of the cloud edge is the result of

207 the fact that at the cloud shadow edge only beams from one side of the cloud overlap (in other words, the  
 208 cloud view factor is only  $\frac{1}{2}$ ) and therefore it receives minimal scattered irradiance.

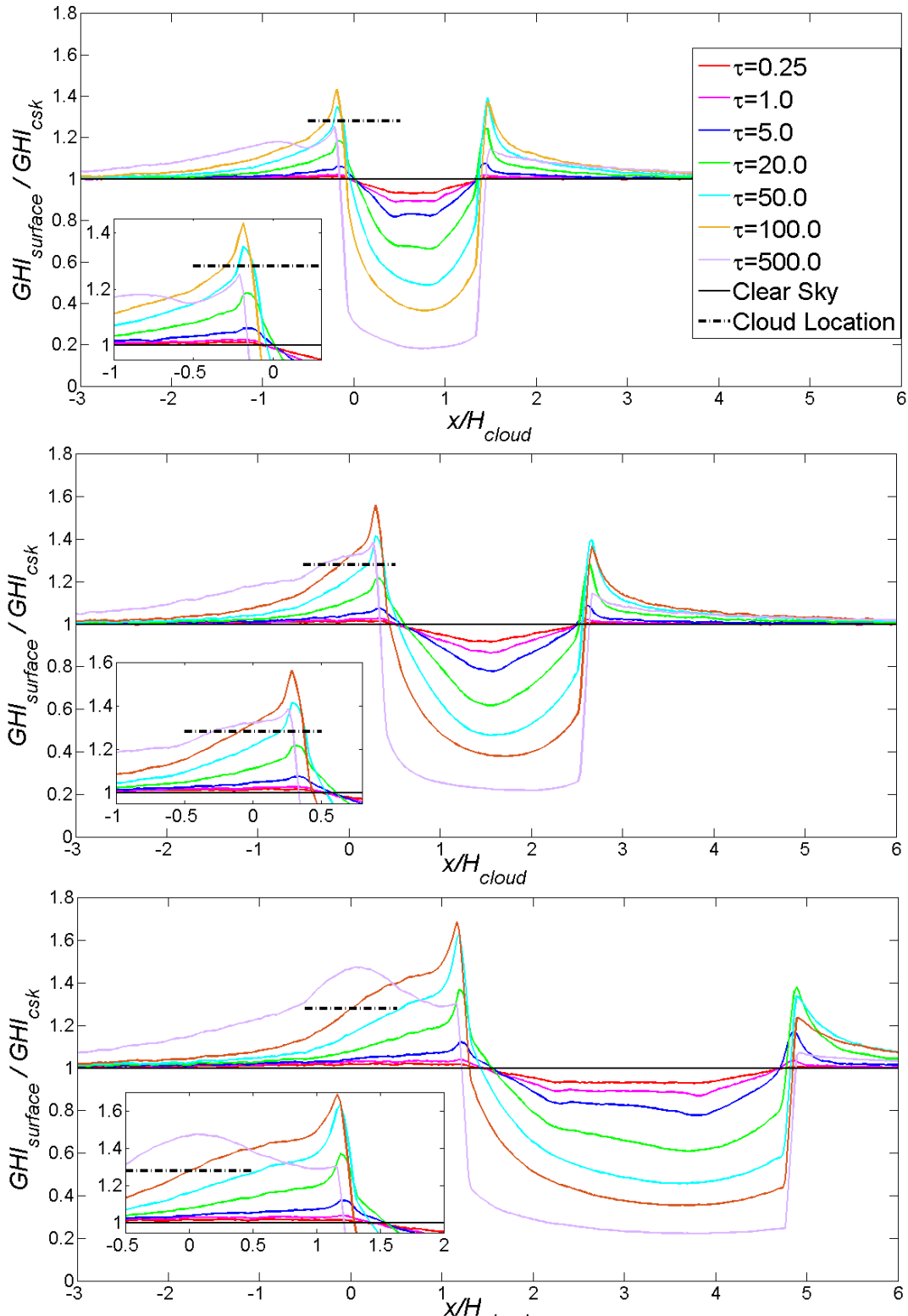


Figure 4: Clear sky index profiles for higher SZA cases for the same eight selected optical depths as given in Fig. 3. a) SZA = 20° b) SZA = 40° c) SZA = 60°.



209

## 210 3.3 Solar zenith angles greater than zero

## 211 3.3.1 IE peak magnitude

212 Figure 4a shows the results for a simulation with SZA of  $20^\circ$ . Increasing the cloud optical depth causes  
 213 stronger IE, similar to the case with the sun at zenith. However, the symmetry of the peaks is lost and the  
 214 largest IE is now seen at  $50 < \tau < 100$ . Similar behavior is observed for larger SZAs of  $40^\circ$  (Fig. 4b) and  
 215  $60^\circ$  (Fig. 4c).

216 For the overhead case the peak IE is 1.3 times the clear sky irradiance value at  $\tau = 50$ . The greatest IE is  
 217 found to be 1.7 times the clear sky case, for  $\tau = 100$  at SZA =  $60^\circ$ . The peak IE magnitude is consistent  
 218 with Emck and Richter (2008) and Yordanev et al. (2013a), who measured IEs of approximately 1.5 times  
 219 the clear sky value. The measured peaks are smaller due to the absorbing atmosphere and since neither  
 220 group may have observed broken clouds of a thickness as extreme as  $\tau = 100$ .

## 221 3.3.2 IE Peak reversal between sun facing and shaded cloud sides

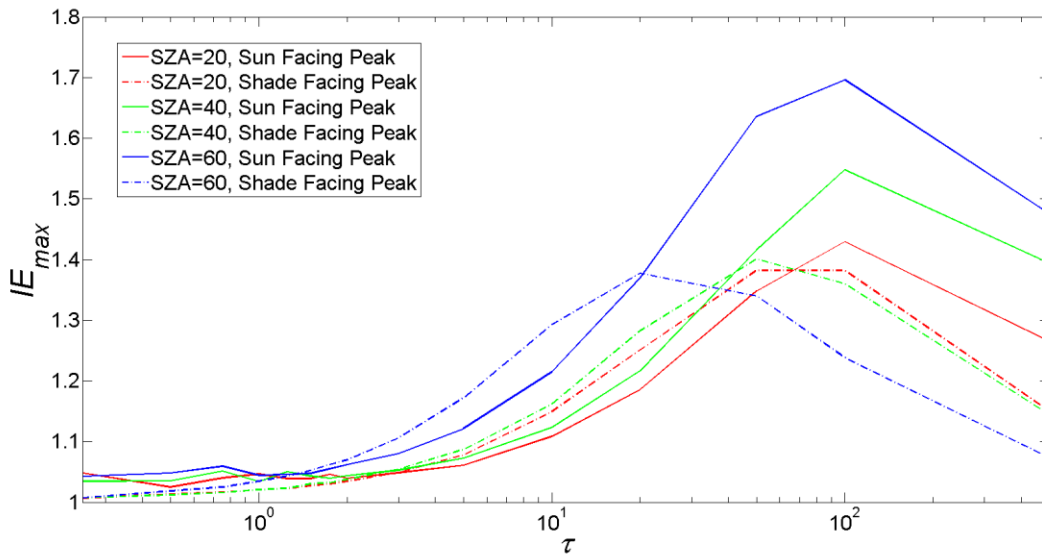


Figure 5: The magnitude of each IE peak plotted against optical depth. The magnitude of both the peak on the sun facing as well as the shade facing side of the surface irradiance profile are shown.

222 Figure 5 shows the maximum IE of both the sun facing side and the shaded side peak against the cloud  
 223 optical depth. An interesting feature is that the strongest IE is not always on the sun-facing side. For thin  
 224 ( $\tau \lesssim 1$ ), clouds, the shaded peak is nearly non-existent, and the sun facing peak has the higher magnitude  
 225 albeit still small at about 1.05. However, as the optical depth increases ( $1 \lesssim \tau \lesssim 20$ ), the shade facing peak  
 226 becomes larger than the sun facing peak. For very thick clouds ( $\tau \gtrsim 20$ ), the pattern reverses again such  
 227 that the sun facing peak is larger. The distinction between thin, moderately thick, and thick clouds depends  
 228 on the SZA, as observed by the transition of the peak from one side to the other in Fig. 5. Increasing SZA  
 229 decreases the optical depth at which the peak shifts from the sun facing side to the shaded side (thin to  
 230 moderately thick), as well as the optical depth at which it shifts back (moderately thick to thick). This is  
 231 due to the increased effective optical depth (or optical path) at higher SZAs. For this reason the regimes are  
 232 denoted as approximate in the above description.

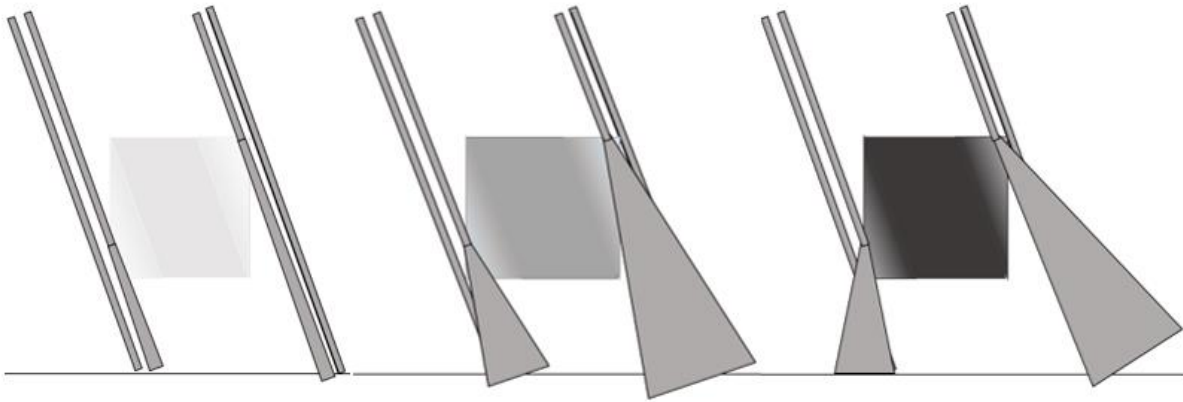


Figure 6: Conceptual depiction of the scattering through effectively thinner cloud corners, leading to different IE peak location. The three scenarios depicted from left to right are for thin, moderately thick, and very thick clouds. Each cloud depicted has a maximum effective optical depth (or optical path) toward the cloud center, with decreasing depth towards the corners, as displayed by the gradient in shading density. Note this depiction is shown for a SZA of 20 degrees, but similar behavior holds for all nonzero SZAs. Note that the cones are plotted to be symmetric about the beam for thin and moderately thick clouds, but are rotated outwards for very thick clouds. This is explained further in the text.

233 The shift of peak between cloud sides can be explained by referring to Fig. 6, and using the logic developed  
 234 in Figs. 1-2. Non-zero SZAs have two major effects on the irradiance-cloud interaction. First, the cloud  
 235 appears as a collection of columns parallel to the SZA with varied effective optical depths or optical paths  
 236 ( $\tau_{\text{eff}}$ ), proportional to the length of the column. For this reason the sun facing bottom and shade facing top  
 237 corners of the cloud have low  $\tau_{\text{eff}}$  with parallel columns increasing to a higher  $\tau_{\text{eff}}$ , until a constant region  
 238 of  $\tau_{\text{eff}_{\text{max}}}$  where column length is constant ( $|x| < L_{\text{cloud}}/2 - \tan(\text{SZA}) \times H_{\text{cloud}}$ ). Second, the average  
 239 height of a photon's last scattering event varies, moving upwards with larger cloud optical depth. At a large  
 240 SZA the photons strike the sun facing bottom corner near the cloud base, and the shade facing top corner  
 241 near the cloud top. Assuming identical behavior for columns of equal  $\tau_{\text{eff}}$ , photons scattered at a lower  
 242 height will spread less before reaching the surface, as compared to a larger height.

243 For very thin clouds, scattering is unlikely and there is a small spread about the forward direction, thus  
 244 resulting in small peaks on both sides of the cloud, with the sun facing side being slightly more illuminated.  
 245 For moderately thick clouds, there is a significant amount of scattering events, and the photons are spread  
 246 further in the forward direction. However, the variation in effective optical depth means the edges have a  
 247 smaller spread than the center of the cloud (Fig. 1, 2). The spread is even smaller at the sun facing corner,  
 248 due to its lower altitude, thus contributing less to the IE peak. This trend continues away from the corner,  
 249 until the regime of constant  $\tau_{\text{eff}}$  is reached. For this reason there is a larger spread of photons on the shade  
 250 facing side of the cloud, creating a larger IE. For very thick clouds, we expect this trend to continue  
 251 according to the results in Fig. 1. However, at very large effective optical depths, backscatter dominates,  
 252 and photons striking the cloud side are more likely to be backscattered / rejected toward the surface than  
 253 penetrating forward through the cloud. This manifests most strongly for the  $\tau = 500$  case in Fig. 4c, where  
 254 there is so much backscatter that the IE peak moves a significant distance away from the cloud edge.

### 255 3.3.3 Shape and location of IE peak

256 Geometrically, the direct beam is interacting with the cloud between  $x_{\text{center}} -$   
 257  $\text{CBH} \tan(\text{SZA})$  and  $x_{\text{center}} + \text{CTH} \tan(\text{SZA})$ . Since the conceptual model brought forth in Figs. 2 and 6  
 258 suggests that IE is strongest near the projected cloud edge, we also expect these locations to coincide with

259 the largest IEs occur. This result is confirmed in Table 1. For the sun-facing side the IE peak occurs slightly  
 260 outward of the projected cloud edge for  $SZA = 0$  and slightly inward for  $SZA > 0$ .

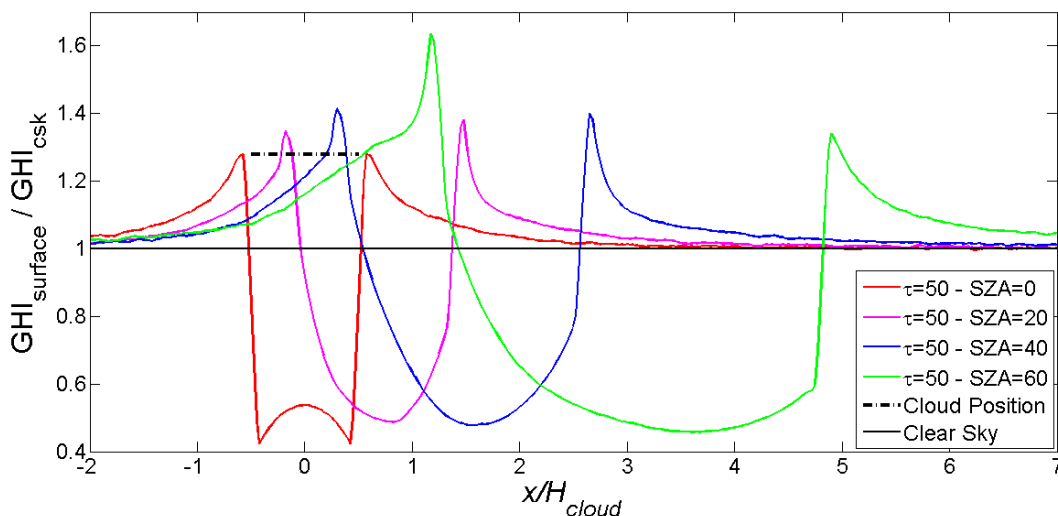
261 *Table 1: Comparison of IE peak location and the projected cloud edge for all SZAs and  $\tau = 20$ .*

SZA	Distance from cloud center ( $x/H_{cloud}$ )			
	Sun facing peak		Shade facing peak	
	Geometric prediction	Measured Location	Geometric prediction	Measured Location
0	-1	-1.07	1	1.07
20	-0.09	-0.04	2.82	2.96
40	1.52	1.44	5.20	5.24
60	4.20	4.18	9.66	9.79

262

263 Edge projection and IE location are not in close proximity for  $\tau = 500$  at a SZA of 60 (also SZA 40 to a  
 264 lesser extent), where IE occurs over a wide region with the peak far away from the projection of the cloud  
 265 edge; for this particular cloud height the peak IE ends up directly below the cloud (Fig. 4c). As discussed  
 266 previously, this is due to backscatter out of the sun facing cloud edge.

267 To isolate the effect of changing SZA on the irradiance profile, the profiles for the highest common IE ( $\tau$   
 268 =50) are plotted together in Fig. 7. In all cases increasing SZA leads to an increase in sun facing edge IE,  
 269 as well as a loss of symmetry. The result is consistent with the findings of Tapakis and Charalambides  
 270 (2013), who note that IE magnitudes increase monotonically with increasing SZA. However, as observed  
 271 in Fig. 5, the shaded IE peak increases monotonically with SZA for thin and moderately thick clouds, but  
 272 decreases monotonically for thick clouds. This fact may help explain that Schade et al (2009) and Davis  
 273 and Segal (1992) found contradictory results.



274

275 *Figure 7: Surface irradiance profile with fixed  $\tau = 50$  for multiple SZA.*

### 276 3.4 A simple model for IE

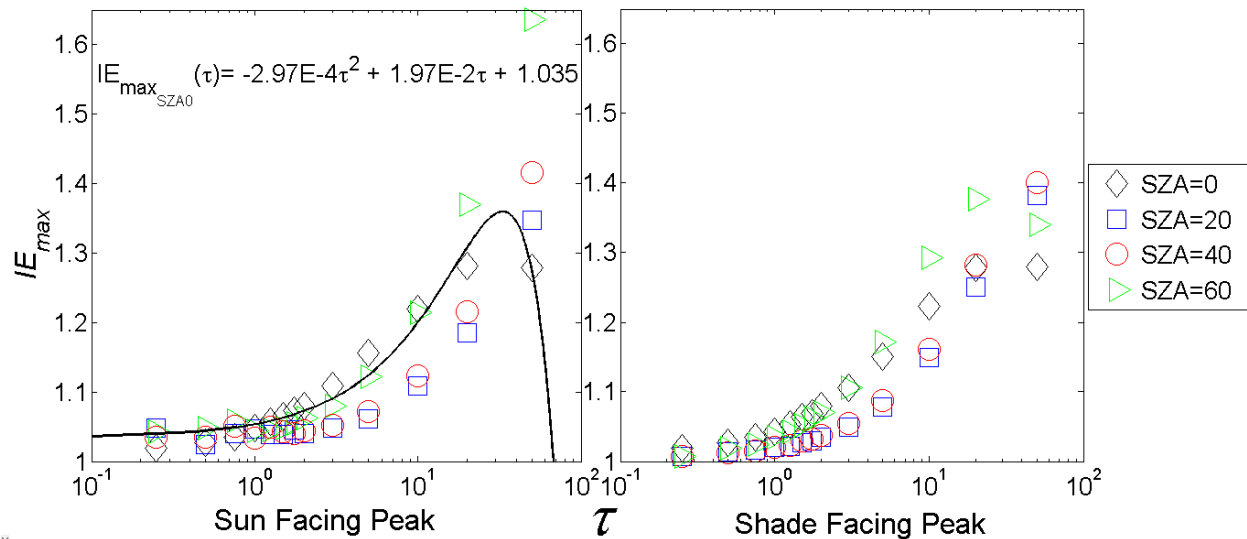
277

278 Since it is often not computationally feasible to run a 3D RTM for operational forecasting a simpler  
 279 analytical solution would be beneficial. Implementing the findings using a first approximation model into  
 280 a solar forecasting algorithm is possible given *a priori* knowledge of the cloud optical depth, cloud spatial

281 extent, cloud speed, and SZA, all of which can be deduced directly from sky images (Mejia et al. 2015;  
 282 Ghonima et al. 2012). The IE caused by the most common clouds ( $\tau > 50$  is uncommon in broken clouds)  
 283 can be modeled spatially as an exponentially decaying function away from cloud edges as in Eq. 2  
 284

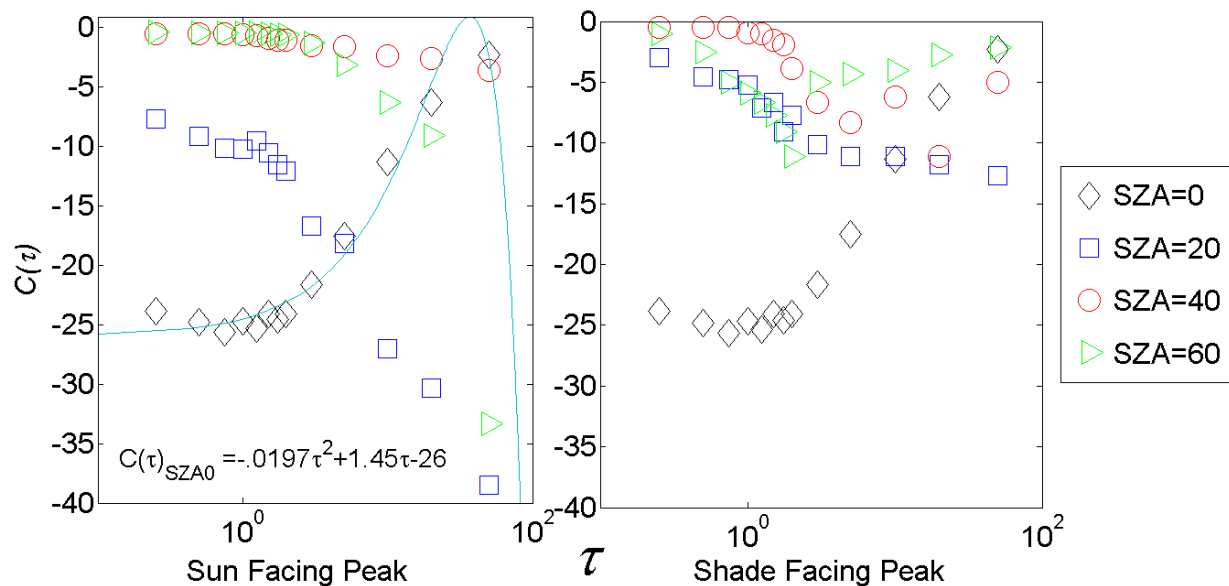
$$IE(X, \tau) = IE_{max}(\tau) * e^{X * C(\tau)}, \quad (2)$$

285 where  $IE_{max}(\tau)$  is the IE peak,  $X$  is the horizontal distance from the projected cloud edge  
 286 ( $X = x - \frac{L_{cloud}}{2}, x \geq \frac{L_{cloud}}{2}$ ), and  $C(\tau)$  is the spatial decay constant associated with the exponential  
 287 function. Note that each term depends on SZA. Figure 8 provides the relationship of  $IE_{max}(\tau)$  for different  
 288 SZAs.  $IE_{max}$  was found by taking the magnitude of the peak at each of the optical depths listed from Figs.  
 289 3 and 4. The function is modeled with a quadratic fit and the fit equation is provide in the figure for SZA =  
 290 0.  
 291



292  
 293 *Figure 8: Magnitude of the IE peak versus  $\tau$  for the four simulated SZAs. The abscissa is limited to  $\tau < 50$  since these are the clouds  
 294 most likely to occur in nature. The fit equation for SZA = 0 and the sun facing peak is shown.*

295 Spatially, the IE peak decays exponentially with increasing distance from the cloud edge, at least close to  
 296 the cloud. Figure 9 is a depiction of the spatial exponential decay coefficients for each optical depth  
 297 simulated. The trends are consistent with the data presented in Figs. 3 and 4. For the sun at zenith, we see  
 298 that the peaks are sharp and decay rapidly at small  $\tau$ , but tend to widen as  $\tau$  increases leading to a slower  
 299 rate of decay. For non-zero SZAs we see the opposite trend where low  $\tau$  causes a wide flat peak, and  
 300 increasing  $\tau$  leads to a sharper peak which decays more rapidly. Interestingly we see the results of Fig. 5  
 301 manifested in the shade facing peaks. For both SZA = 40° and 60°, for thin clouds ( $\tau \lesssim 1$ ) increasing  $\tau$   
 302 leads to sharper declines. However as we reach moderately thick clouds, the trend reverses due to more  
 303 forward scattering through the cloud and the peaks begin to widen and decay slowly. The  $C(\tau)$  and  $IE_{max}(\tau)$   
 304 relationships can be used together with Eq. 2 to generate a fast empirical model. Table 2 summarizes the  
 305 equations for  $C(\tau)$  and  $IE_{max}(\tau)$  for all simulated SZA.  
 306



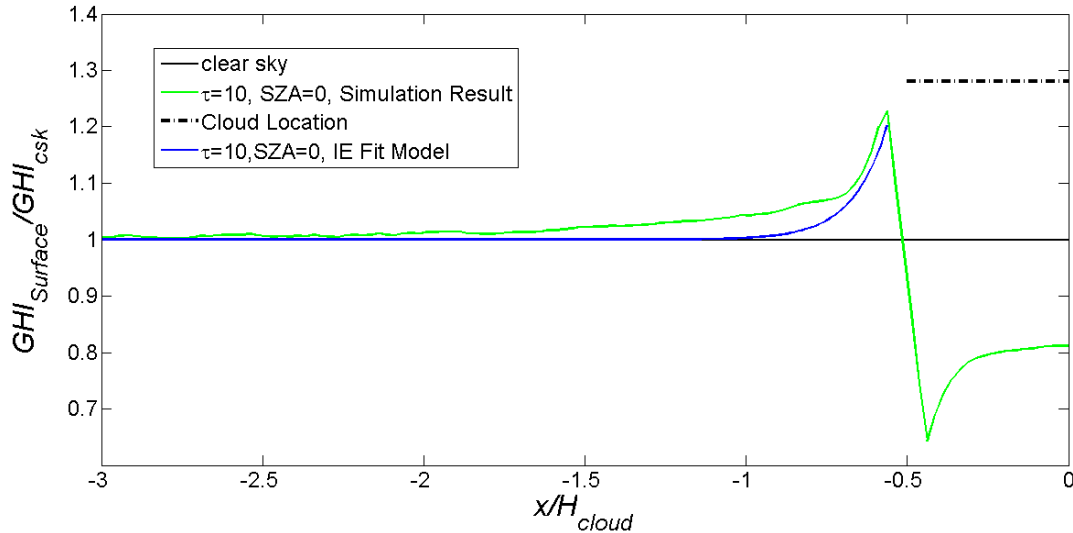
307  
308 *Figure 9: Decay constant  $C(\tau)$  of Eq. 2 as a function of cloud optical depth. The decay constants are equivalent to the  $e$ -folding*  
309 *distance, i.e. the distance from  $IE_{max}$ , where the IE is reduced to  $1/e$  of the peak magnitude. The line and equation show the*  
310 *quadratic fit that can be used in Eq. 2.*

311 *Table 2: Amplitude and decay constant equations from simulated SZA for all data presented in Figs. 8 and 9 for use with Eq. 2.*

	SZA	$IE_{max}(\tau)$	$C(\tau)$
Sun Facing Peak	$0^\circ$	$-2.97 * 10^{-4} \tau^2 + 1.97 * 10^{-2} \tau + 1.04$	$-1.97 * 10^{-2} \tau^2 + 1.45 \tau - 26.0$
	$20^\circ$	$-3.80 * 10^{-5} \tau^2 + 8.30 * 10^{-3} \tau + 1.03$	$2.17 * 10^{-2} \tau^2 - 1.67 \tau - 8.9$
	$40^\circ$	$-4.54 * 10^{-5} \tau^2 + 1.00 * 10^{-3} \tau + 1.03$	$2.01 * 10^{-3} \tau^2 - 0.159 \tau - 0.62$
	$60^\circ$	$-1.58 * 10^{-4} \tau^2 + 2.01 * 10^{-2} \tau + 1.03$	$-5.22 * 10^{-3} \tau^2 - 0.401 \tau - 0.15$
Shade Facing Peak	$0^\circ$	$-2.97 * 10^{-4} \tau^2 + 1.97 * 10^{-2} \tau + 1.04$	$-1.97 * 10^{-2} \tau^2 + 1.45 \tau - 26.0$
	$20^\circ$	$-1.64 * 10^{-4} \tau^2 + 1.58 * 10^{-2} + 1.04$	$8.85 * 10^{-3} \tau^2 - 0.57\tau - 5.86$
	$40^\circ$	$-2.00 * 10^{-4} \tau^2 + 1.80 * 10^{-2} \tau + 1.01$	$1.55 * 10^{-3} \tau^2 - 0.85\tau - 0.97$
	$60^\circ$	$-4.48 * 10^{-4} \tau^2 + 2.89 * 10^2 \tau + 1.01$	$-1.75 * 10^{-3} \tau^2 + 0.17\tau - 6.01$

312  
313 An example of the model is shown in Fig. 10 for  $\tau = 10$  and  $SZA=0$ . The fit under predicts the IE peak  
314 magnitude at this  $\tau$ , as expected based on Fig. 8, since the quadratic fit underestimates that data point. The

315 model could be improved by using a higher order or different functional fit to the  $IE_{\max}$  data. The model  
 316 captures the decay rate accurately within  $0.25 x/H$  from the IE peak. However, beyond that the model  
 317 decays too rapidly. A double exponential function would better represent the observed dependence,  
 318 however that is not implemented here since we are primarily interested in the behavior near the peak.  
 319



320  
 321 *Figure 10: Comparison of the Eq. 2 model to 2D RTM simulation data for  $\tau = 10$  and  $SZA = 0$ .*

322

## 323 4. Conclusions

324 The surface irradiance profile around a 2D homogenous cloud was simulated by varying its optical depth,  
 325 as well as the incident SZA using a Monte Carlo radiative transfer model. The model showed that IE  
 326 occurred due to the presence of the cloud, as expected from empirical evidence. The results along with the  
 327 explanation show that IE events are due to a superposition of forward Mie scattering of diffuse light with a  
 328 direct beam at the surface. For extremely thick clouds at large SZAs, multiple scattering lead to rejection  
 329 of photons from the cloud edge in a manner resembling a “reflection” off the cloud sides.

330 IE grows in magnitude and spatial extent with increasing  $\tau$ . This happens due to increased probability of  
 331 Mie scattering in thick clouds leading to a larger spread of diffuse radiation. Some of the diffuse is scattered  
 332 outside the projection of the cloud edges, where it is superposed with the direct beam. With the exception  
 333 of unrealistically thick clouds, peak IE is located less than  $0.15 x/H_{\text{cloud}}$  from the geometric projection of  
 334 the cloud edges. For unrealistically thick clouds, the IE peak moves away from the cloud edge and lessens,  
 335 but remains elevated for greater distances due to scattering rejection out of the upper cloud sides.

336 Increasing the SZA leads to an increase in optical path of irradiance, leading to a higher likelihood of both  
 337 Mie and Rayleigh scattering. This scattering causes a redistribution of photons, which for thin clouds is  
 338 manifested through a small IE peak on the sun-facing side of the cloud only. For moderately thick clouds,  
 339 the reduced optical path of the cloud corners causes more photons to be forward scattered through the cloud  
 340 edges and creates a larger peak on the shaded side of the cloud. However for very thick clouds, the photons  
 341 striking the cloud face are more likely to be backscattered out towards the surface and the IE peak is again  
 342 larger on the sun facing side. Differences in IE between sun-facing and shaded side of the clouds are within  
 343 10% of clear sky GHI for  $SZA = 40^\circ$ , but become large for  $SZA = 60^\circ$ .

344 Our results show that IEs with magnitudes as high 1.63 times the clear sky radiation exist, in agreement  
345 with literature where magnitudes as high as 1.5 times the clear sky value have been found in rare cases. It  
346 is expected that our magnitude would be lower if atmospheric absorption was considered in the RTM.  
347 Yordanev et al. (2013) discuss that the largest IEs may be due to two clouds close to one another with a gap  
348 separating them, which acts to magnify the irradiance passing through the gap. Although not shown  
349 explicitly, this description is consistent our results and the conceptual model brought forward.

350 A simple model for the spatial structure of cloud IE was developed. Knowledge of the spatial structure of  
351 cloud IE could be implemented into a solar forecasting algorithm if the horizontal extent and optical depth  
352 of relevant clouds in the forecast domain was known (Mejia et al. 2015). Combining those metrics along  
353 with the cloud speed, it would be possible to predict the magnitude, duration, and position of IE at high  
354 resolution. This information could prepare facility and/or grid operators for the up and down ramping  
355 associated with the cloud edge passage. In practice, deviations from our results will occur due to different  
356 cloud shapes, both in cross section and the 3-dimensionality as opposed to the 2-dimensional clouds  
357 considered in our simulations. As described earlier the 3-dimensionality of sun position and cloud position  
358 is primarily important at large SZA, while IE is more homogeneous at small SZA. However, since IE will  
359 still be caused by Mie scattering processes we project that the conceptual explanations presented here will  
360 still apply.

#### 361 Acknowledgments

362 We acknowledge Ben Kurtz for his assistance with the design and debugging of the MC RTM used in the  
363 study, and Dr. Frank Evans for the insight into RT mechanisms. Funding was provided from the California  
364 Solar Initiative RD&D program and a UC San Diego graduate student fellowship.

365

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