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Carbon flux and forest dynamics: Increased deadwood decomposition in tropical rainforest tree-fall canopy gaps

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Authors

Griffiths, Hannah M Eggleton, Paul Hemming-Schroeder, Nicole et al.

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- Carbon flux and forest dynamics: increased deadwood 1 decomposition in tropical rainforest tree-fall gaps 2 3 4 Running title: Faster deadwood decay in canopy gaps 5 Authors: H. M. Griffiths¹, P. Eggleton², N. Hemming-Schroeder³, T. 6 Swinfield^{4,5}, J. S. Woon^{1, 2}, S. D. Allison^{3,6}, D.A. Coomes⁴, L. A. Ashton⁷ & C. 7 8 L. Parr^{1,8,9} Affiliations 10 11 ¹ School of Environmental Sciences, University of Liverpool, Liverpool, L69 3GP, UK
- 12 ² Department of Life Sciences, Natural History Museum, London, UK
- 13 ³ Department of Earth System Science, University of California, Irvine, CA 92697, USA
- 14 ⁴ Department of Plant Sciences, University of Cambridge Conservation Research Institute,
- 15 Pembroke Street, Cambridge, CB2 3QZ, UK
- 16 ⁵ Centre for Conservation Science, Royal Society for the Protection of Birds, David
- 17 Attenborough Building, Pembroke Street, Cambridge, CB2, 3QZ, UK
- 18 ⁶ Department of Ecology and Evolutionary Biology, University of California, Irvine, CA
- 19 92697, USA
- 20 ⁷ School of Biological Sciences, The University of Hong Kong, Hong Kong SAR, China
- 21 ⁸ Department of Zoology & Entomology, University of Pretoria, Pretoria, South Africa
- ⁹ School of Animal, Plant and Environmental Sciences, University of the Witwatersrand,
- 23 Wits, South Africa
- 24
- 25 Hannah Griffiths ORCID: 0000-0002-4800-8031
- 26 Contact information
- 27 Email: <u>Hannah.griffiths@liverpool.ac.uk;</u> Tel: +44 151 794 2000

28 Abstract

29 Tree mortality rates are increasing within tropical rainforests as a result of global environmental change. When trees die, gaps are created in forest 30 31 canopies and carbon is transferred from the living to deadwood pools. 32 However, little is known about the effect of tree-fall canopy gaps on the 33 activity of decomposer communities and the rate of deadwood decay in forests. This means that the accuracy of regional and global carbon 34 35 budgets is uncertain, especially given ongoing changes to the structure of rainforest ecosystems. Therefore, to determine the effect of canopy 36 37 openings on wood decay rates and regional carbon flux, we carried out 38 the first assessment of deadwood mass loss within canopy gaps in old-39 growth rainforest. We used replicated canopy gaps paired with closed 40 canopy sites in combination with macroinvertebrate accessible and 41 inaccessible woodblocks to experimentally partition the relative 42 contribution of microbes versus termites to decomposition within 43 contrasting understory conditions. We show that over a 12-month period, 44 wood mass loss increased by 63% in canopy gaps compared with closed 45 canopy sites and that this increase was driven by termites. Using LiDAR data to quantify the proportion of canopy openings in the study region, we 46 47 modelled the effect of observed changes in decomposition within gaps on 48 regional carbon flux. Overall, we estimate that this accelerated 49 decomposition increases regional wood decay rate by up to 18.2%, 50 corresponding to a flux increase of 0.27 Mg C ha⁻¹ yr⁻¹ that is not currently 51 accounted for in regional carbon budgets. These results provide the first 52 insights into how small-scale disturbances in rainforests can generate

53 hotspots for decomposer activity and carbon fluxes. In doing so, we show 54 that including canopy gap dynamics and their impacts on wood 55 decomposition in forest ecosystems could help improve the predictive 56 accuracy of the carbon cycle in land surface models.

57

58 Key words

- 59 Termites; Invertebrates; Carbon cycling; Carbon modelling; Canopy gap;
- 60 Tree mortality; Disturbance; Global change

62 Introduction

63

Uncertainty in the behaviour of the carbon cycle is one of the biggest 64 65 limiting factors in accurately predicting Earth's temperature into the 21st 66 century (Bodman, Rayner, & Karoly, 2013). Tropical forests hold over half of global forest carbon stocks (471 \pm 93 PgC), 56% of which is stored in 67 biomass, and sequester 1.2 ± 0.4 PgC annually (Pan et al., 2011). Recent 68 69 work has highlighted how human pressures affect rainforest carbon stocks 70 in living and dead biomass, showing that selective logging and 71 degradation increase the proportion of deadwood stocks relative to living biomass in African and Asian rainforests (Carlson, Koerner, Medjibe, 72 73 White, & Poulsen, 2017; Pfeifer et al., 2015).

74

75 Decomposition is the process by which the carbon in dead plant material is assimilated into soil carbon stores, lost through leaching or released as 76 77 CO₂ into the atmosphere through respiration (Cornwell et al., 2009; Swift, 78 1977). Yet, despite the fact that decomposition has far reaching 79 implications for global carbon budgets (Hubau et al., 2020), it remains 80 poorly understood compared with other key ecosystem processes such as 81 primary production (Harmon, Bond-Lamberty, Tang, & Vargas, 2011). 82 Furthermore, what is known about the factors controlling deadwood decay 83 is geographically biased towards temperate regions, with tropical forest 84 decomposition studies representing just 14% of the published literature 85 (Harmon et al., 2020). This bias means we lack a basic understanding of

86 the factors that mediate the rate and fate of carbon turnover through87 globally important deadwood stocks in tropical rainforests.

88

89 The effect of canopy openness represents an important source of 90 uncertainty in our understanding of the factors that affect the 91 decomposition of deadwood in forests (Harmon et al., 2020). This is a major gap in understanding given that tree mortality rates are rising in 92 93 humid tropical forests (McDowell et al., 2018) as a result of increases in 94 the frequency and severity of hurricanes and drought (Cai et al., 2014); 95 continued selective logging and degradation (Baccini et al., 2017); and increases in biotic agents of tree death (liana load, insect outbreaks and 96 97 disease; Allen, Breshears & McDowell 2015). Consequently, it is likely that 98 the size and frequency of rainforest canopy gaps are increasing, along 99 with concurrent changes in the volume and spatial distribution of deadwood stocks (Carlson et al., 2017; Pfeifer et al., 2015). However, 100 because our knowledge of the effect of canopy gaps on deadwood decay 101 rates is currently limited to just two studies in temperate and boreal 102 forests (Janisch, Harmon, Chen, Fasth, & Sexton, 2005; Shorohova & 103 Kapitsa, 2014), we lack an empirical evidence base from which to predict 104 105 the consequences of ongoing changes to the structure of tropical 106 rainforests for decomposition and carbon flux. Data shortages such as 107 these limit the capacity to resolve carbon budget imbalances because 108 information on how land-surface heterogeneity can affect carbon-cycling 109 and land-atmosphere interactions is a key area of uncertainty in Earth system models (Lawrence et al. 2019). Therefore, there is a clear need to 110

111 improve our mechanistic understanding of the drivers of change in 112 rainforest carbon budgets and thus increase the accuracy and predictive 113 power of the land surface models included in Earth system models.

114

115 There is mounting evidence that termites along with microbes are the 116 major agents of deadwood decomposition in rainforest ecosystems (da 117 Costa, Hu, Li, & Poulsen, 2019; Griffiths, Ashton, Evans, Parr, & Eggleton, 118 2019; Liu et al., 2015). It is possible that treefall canopy gaps could 119 negatively or positively affect the activity of both groups. Habitat 120 disturbance and degradation reduces termite abundance and diversity 121 (Dibog, Eggleton, Norgrove, Bignell, & Hauser, 1999; Eggleton et al., 122 1995; Ewers et al., 2015; Luke, Fayle, Eggleton, Turner, & Davies, 2014; 123 Tuma et al., 2019) while microbial-mediated nutrient mineralisation rates 124 decline in response to drought (Yavitt, Wright, & Wieder, 2004). Changes to the structure of forests caused by removal of trees during selective 125 logging has been reported to increase microclimate heterogeneity and 126 127 create hotter and drier conditions in the forest understory (Blonder et al., 2018; Hardwick et al., 2015). Therefore, the changes in understory 128 conditions caused by openings in the canopy when a tree dies could have 129 130 major negative effects on both termite and microbial mediated 131 decomposition. If this is the case, we expect decay rates to slow in canopy 132 gaps as result of disturbance and unfavourable microclimatic conditions 133 for the decomposer community. However, an alternative possibility is that the high concentration of foraging resource (i.e. dead plant matter) in 134 135 canopy gaps, that result from tree death, may positively affect

decomposition processes by attracting termites and/or stimulating a positive priming effect within the microbial community (e.g. Lyu et al., 2018). Under this scenario, we expect to see an increase in decay rates in canopy gaps in response to elevated resource availability where a tree has fallen.

141

142 The overarching aim of this investigation was to determine if deadwood 143 decay rates differ in canopy gaps compared with closed canopy sites in tropical rainforest. Additionally, we partitioned the relative contribution of 144 145 microbes and termites in driving deadwood mass loss in canopy openings 146 and estimated the effect of any changes in decomposition rates within 147 canopy gaps on regional carbon flux. To achieve this aim, we used 148 macroinvertebrate-accessible and inaccessible woodblocks placed within 149 tree fall canopy gaps and closed canopy sites in an old growth rainforest in Malaysian Borneo. Furthermore, we assessed the termite community 150 151 composition and soil microclimatic conditions within experimental sites 152 and estimated the volume of deadwood associated with canopy gaps 153 compared with closed canopy sites. This unique experimental design 154 us to the test alternative hypotheses that deadwood allowed 155 decomposition in canopy gaps could either: 1) decelerate due to a 156 negative effect of disturbance and a hotter, drier microclimate (e.g. 157 Blonder et al. 2018) leading to a reduction in the activity of the 158 decomposer community, or 2) accelerate in response to an influx of dead 159 plant material attracting termite foraging activity and/or stimulating a 160 microbial priming effect (e.g. Lyu et al. 2018). To scale up our results and

161 place them in a regional context, we used remote sensing (LiDAR) data to 162 quantify the proportion of canopy openings in the study region and 163 modelled the effect of observed changes in decomposition within gaps on 164 regional carbon flux.

165

166 Materials and methods

167 Study site and gap selection

168 This study was carried out within an area of lowland, old growth dipterocarp rainforest in the Maliau Basin Conservation Area, Sabah, 169 170 Malaysia (4° 44' 35" to 55" N and 116° 58' 10" to 30" E; mean annual rainfall 2838 mm \pm 93 mm). On the 20th of July 2017, there was a storm at 171 172 the study site, which generated winds speeds of 8.4 m/s (Fig. S1). These 173 were among the strongest winds normally experienced in inland forests of 174 the region, which placed extreme sheer stress on trees (lackson et al., 175 2020). Consequently, a large number of trees fell within the same 24-hour 176 period in the study location. Ten tree-fall gaps (mean length: $32 \text{ m} \pm 2.8$, 177 mean width: 24.5 m \pm 3; see table S1 for gap characteristics) created 178 during this event were selected for use in this investigation, along with ten adjacent closed canopy sites, located 20 m from the edge of each gap. We 179 took 10 hemispherical photos in each gap and closed canopy sites to 180 181 quantity canopy openness at each location (see below).

182

183 Decomposition assay

184 In October 2017, we established a wood decomposition assay. Using a185 termite suppression experiment combined with macroinvertebrate

186 accessible and inaccessible mesh bags, Griffiths et al. (2019)187 demonstrated that non-termite macroinvertebrates did not contribute significantly to the decomposition of a standardised wood substrate, *Pinus* 188 189 radiata blocks, at this site. Therefore, to assess the rate of decomposition 190 within these paired gap and closed canopy sites and determine the relative contributions of termites versus microbes to the process, we used 191 the same assay of mass loss from untreated P. radiata wood within 192 193 macroinvertebrate accessible and inaccessible bags. Wood blocks (9 x 9 x 5 cm, 161.2 \pm 1.3 g; wood density of 0.40 g cm^{-3 [Zanne et al., 2009]}; wood C:N 194 195 ratio of 462 ± 48 [Ganjegunte, Condron, Clinton, Davis, & Mahieu, 2004]) 196 were dried at 60 °C until they reached a constant weight and placed 197 inside "open" (accessible to macroinvertebrates, principally termites, and microbes), or "closed" (accessible to microbes only) bags, which were all 198 199 made with 300 micron nylon mesh (Plastok[™], Merseyside, UK). The open woodblocks had ten 1 cm holes cut into the top and bottom of the bags to 200 201 confounding effects of using mesh of different sizes in avoid decomposition assays (Stoklosa et al., 2016). The edges of the closed 202 203 bags were folded several times and sealed with staples to prevent access 204 by invertebrates. In each gap and closed canopy site, we ran a 50-m 205 transect and randomly placed 5 open and 5 closed wood blocks 5 m apart 206 along the transect (n = 10 per site; n = 200 woodblocks in total: 10 x 207 forest sites x 2 canopy treatments [closed canopy or gap] x 2 mesh 208 treatments [open or closed] x 5 replicates). Because the gaps were 209 irregular in shape (Appendix table S1), we placed the transects along the 210 longest axis of each gap. In all but one of the gap sites, we were unable to

establish a 50 m transect, therefore, we placed an additional line
perpendicular to the first, ensuring that each block was always at least 5
m apart from its nearest neighbouring block (Fig. 1).

214

215 A hemispherical photograph was taken by placing an iPhone 6 with a 216 fisheye lens attachment directly on top of each wood block. Photographs 217 were analysed using the function *Hemiplot* in R to calculate canopy 218 openness, which was twice as high within the gaps compared with closed canopy sites (t = 9.67, P < 0.001, mean openness in gap sites = 0.24 ± 219 220 0.03; mean openness in closed canopy sites = 0.12 ± 0.02 ; Fig. S2). When 221 placing the woodblocks, the top layer of leaf litter was removed, and the 222 blocks were put directly on the humus layer. Wood blocks were left on the forest floor for 12 months (October 2017 to October 2018), after which 223 224 they were collected and dried at 60 °C until they reached a constant 225 weight. Once dried, wood material was separated from termite soil. The remaining deadwood and termite material (carton and soil) was then re-226 227 weighed separately to calculate the proportion of mass loss from each 228 block and the mass of soil brought into the mesh bags by termites. Given 229 that termites are the only invertebrates known to translocate soil into 230 deadwood (Oberst, Lai, & Evans, 2016), the mass of soil moved into the 231 experimental woodblocks provides additional information on the termite 232 activity compared with non-termite wood-feeding invertebrates.

233

234 Soil conditions and termite communities

235 Every month for the 12-month duration of the study, soil moisture 236 percentage and soil temperature were measured within 5 cm of each 237 wood block using a Delta-T Devices HH2 moisture metre (precise to 0.01) 238 %) and a digital soil thermometer. Measurements were taken in dry 239 conditions, between 8 AM and 10 AM. To assess termite communities 240 located within the gap and closed canopy sites, we carried out termite transects in September 2018 using the Jones and Eggleton transect 241 242 method (Jones & Eggleton, 2000). This method uses a 100 m x 2 m belt 243 transect which is divided into twenty 5 m x 1 m sections. Each section is 244 sampled for 30 minutes by two trained collectors searching for termites in 245 twelve 12 cm x 12 cm x 10 cm soil pits and examining all dead wood, leaf 246 litter and trees for the presence of termites. When encountered, termite 247 specimens were collected in 70% ethanol and taken to the laboratory for 248 identification. Because our gap sites were not big enough to place a 100 m transect, we carried out the same method but two using smaller 249 250 transects to equal a 50 m transect combined. Therefore the sampling 251 effort was half that of the Jones & Eggleton (2000) method.

252

253 Quantifying regional gap area

To assess the size and frequency of gaps within Maliau Basin Conservation Area, we used LiDAR data collected from an airborne survey, which was carried out by the Natural Environment Research Council (NERC) Airborne Research Facility (ARF). In November 2014, a Dornier 228-201 was flown at 1,400-2,400 m a.s.l. with a ground-based Leica base station running simultaneously to allow sub-meter accuracy and georeferencing of the

260 data. Light detection and ranging data were collected using a Leica 261 ALS50-II LiDAR sensor, which emits 120 kHz frequency pulses, has a 12° 262 field of view and a footprint of approximately 40 cm. See Swinfield et al. 263 (2019) for details of LiDAR data processing to generate canopy height and 264 digital terrain models at a 0.5 m resolution. Using these data, we analysed 265 canopy height models to identify gaps, defined as areas with a canopy 266 height of less than 5 m. Gaps larger than 1 ha were filtered out to remove 267 LiDAR artefacts, manmade clearances and the river running through Maliau Basin. We used the package landscapemetrics in R and the 268 269 thresholds described above to detect gaps and to calculate the area of 270 each. We then filtered these results to select only gaps that were between 271 0.025 and 0.16 ha, which is the area range of the gaps forming the basis of this investigation. This allowed us to assess the total area and 272 273 percentage of the landscape likely to be subject to similar microclimatic 274 conditions to our gap sites at the time of the airborne survey and to 275 quantify the percentage of gaps that are similar in size to those in this 276 study.

277

278 Dead wood surveys

To estimate the volume of deadwood found on the forest floor in areas affected by tree-fall, compared with undisturbed areas, we carried out deadwood surveys in December 2017. To avoid disturbing our decomposition assays, these surveys were carried out in areas within the forest surrounding experimental plots. We established eight 50 m transects, four of which were within 5 m of a tree that had fallen during

the storm in July 2017 and four that were in areas of forest at least 20 m from the nearest tree fall. Along each transect, we recorded the diameter of each piece of deadwood that intersected with the line, and these values were used to calculate the volume of dead wood using the following equation (Van Wagner 1968):

290

$$291 \quad V = \frac{\pi^2}{8L} \sum d^2$$

292

Where *V* is the volume of deadwood (cm³/50 m), *d* is the diameter of the deadwood item at the intersection and *L* is the length of the sample line.

296 Carbon Modelling

A bootstrapping scheme with a million simulations was implemented to estimate the carbon flux from dead wood and its uncertainty in Maliau Basin. We estimated carbon fluxes for a completely closed canopy scenario versus scenarios with observed changes in decay rate and deadwood volume in canopy openings as well as canopy gap percentages derived from the remote sensing analysis.

303

304 Wood density

305 One species, *Pinus radiata*, was used for estimating wood mass loss in our 306 experiment. Therefore, to account for diversity in wood traits of other 307 species likely to occur at the study site, we used tree survey data from 308 Newbery and Lingenfelder (2004) (collected from a lowland dipterocarp

309 forest site within 100 km of our study site). Our bootstrap analysis used 310 the tree species frequencies from Newbery and Lingenfelder (2004) and 311 selected a wood density for each species from the Global wood density 312 database (Zanne et al., 2009). Where wood density for a species was not 313 available, we randomly selected a wood density value from members of 314 the same genus within the same region category (South-East Asia 315 (tropical)). A histogram of the wood density distribution for this study is 316 shown in Fig. S3. Given that termite and microbial decay rate is negatively 317 associated with wood density in tropical systems (Liu et al., 2015; Mori et 318 this approach reduces the possibility that our model al., 2014), 319 overestimates overall decay rate as a result of the disparity between the 320 density of our decomposition substrate (P. radiata: wood density of 0.40 g cm⁻³) and the estimated median density of wood from trees in the study 321 322 region (0.54 g cm⁻³). We note that the relationship between wood density 323 and decay rate is less clear in temperate forests (Hu et al., 2018; Kahl et al., 2017). In addition to wood density, other traits, such as wood 324 325 stoichiometry and size of woody substrate (Hu et al., 2018; Kahl et al., 2017; Oberle et al., 2020), are likely to influence decay rates. However, 326 information is lacking on how these other traits affect termite-mediate 327 decay, or wood decomposition more generally in tropical systems. 328 329 Therefore, we did not incorporate these factors into our models of regional 330 carbon fluxes.

331

332 Scaling decay rates

333 Liu et al. (2015) is the only study we know of that quantifies how termite-334 mediated decay rates depend on wood density. Therefore, we first built a 335 model to represent wood decay rates under termite attack based on Liu et 336 al. (2015). We scaled this model to represent wood decay in gaps using 337 the *P. radiata* wood density and associated decay rate from our study. 338 Then, we scaled the model again to represent these rates under the 339 closed canopy. Wood decay rates in forest gaps were based on Liu et al. (2015) who measured wood traits and decay rates driven by microbes and 340 341 termites for 66 species. We fitted an exponential model to decay rates as 342 a function of wood density using an L1 scheme that minimizes the sum of 343 the absolute value of the residuals (R package: *L1pack*) (Fig. S4). We used 344 this scheme, rather than a least-squares approach, to avoid over-345 weighting outliers with high decay rates. To obtain a decay rate for each 346 wood density value, we sampled from a normal distribution with the decay 347 rate model prediction as the mean and the 68%-confidence interval of the 348 model fit as the standard deviation (in log space). To avoid biologically unrealistic decay rates, we truncated the model to the middle 96% of the 349 350 modelled decay rate estimates (Fig. S4). Because the model derived from Liu et al. (2015) predicted a much higher mean decay rate for P. radiata 351 than found in our study (1.3 year⁻¹ compared with 0.49 year⁻¹), we scaled 352 353 the model to reflect the *P. radiata* decay rates in the canopy gaps open to 354 termite activity that we measured in the field. To predict decay rates 355 under the closed canopy, we also scaled our gap model predictions to 356 match the decay rates of *P. radiata* open to termite decomposition under 357 closed canopy in our study. We accounted for random error in this scaling

358 process by sampling our decay rate dataset with N(μ =0.49, σ =0.05) for 359 the forest gaps and N(μ =0.30, σ =0.04) for the closed canopy to obtain a 360 distribution of scaling factors. These normal distributions were also 361 truncated to the middle 96% quantile.

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363 Carbon fluxes
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To estimate the deadwood carbon pool at our study site, we used surveys 364 from Pfeifer et al. (2015) from nearby Old Growth plot (OG2) of the 365 Stability of Altered Forest Ecosystem (SAFE) project, located within Maliau 366 367 Basin, <5 km kilometres from our study sites. Pfeifer et al. (2015) estimated there to be 10.2 ± 3.5 Mg C per hectare contained in deadwood 368 at the OG2. For the bootstrapping scheme, we sampled 1×10^6 times from 369 370 a normal distribution of wood pools with the corresponding mean and 371 standard deviation, truncated to the middle 96% guantile. We then estimated carbon fluxes, F, for the closed canopy baseline scenario using 372 373 the equation

374

375 $F = k_{canopy}C$,

376

377 where k_{canopy} is the decay rate per year under the closed canopy and *C* is 378 the closed canopy carbon pool estimate in megagrams of carbon per 379 hectare. Because the percentage of canopy gaps is small, we assumed 380 that the carbon pool estimates from Pfeifer et al. (2015) are 381 representative of the closed canopy. We estimated the carbon flux for our 382 study site, including canopy gaps, using the following equation:

383

384
$$F^{\iota} = p k_{gaps} \alpha C + (1-p) k_{canopy} C$$
,

385

where F^{i} is the flux when gaps are included, p is the proportion of canopy 386 387 gaps at the study site, k_{qaps} , is the decay rate (yr⁻¹) in the canopy gaps and 388 α is the ratio of the volume of dead wood in the canopy gaps to the 389 volume of dead wood under the closed canopy. Because the sample size 390 was small (n = 4, each) for the volume of dead wood in the canopy gaps 391 and under the closed canopy, a normal distribution computed from these data may not be reliable. Therefore, we sampled α directly from the 392 393 dataset for the bootstrapping scheme. Fluxes are reported as geometric 394 means with geometric standard deviation intervals to best represent the 395 central tendency of the approximately log-normal bootstrapped 396 distributions we obtained.

397

398 Statistical analysis

399 A linear mixed effect model (R package: *LmerTest*) was used to determine 400 if wood block (macroinvertebrate accessible bag type vs. 401 macroinvertebrate inaccessible), canopy type (closed canopy vs forest 402 gap) and the interaction between the two factors affected proportion of 403 mass lost from wood blocks. Mass loss was logit transformed, which 404 allowed us to use standard Gaussian linear methods (Warton & Hui, 2011) 405 and forest site was included as a random factor. To carry out multiple 406 comparisons of means and identify any differences in wood block mass loss between bag types and canopy types, we used the glht function (R 407

408 package: *multcomp*) and Tukey contrasts. An Adonis test (package: 409 *vegan*) was used to assess if the community composition of termites 410 differed between the closed canopy and forest gap sites, and zero-inflated 411 generalised linear mixed effects models (R package: *glmmTBM*) were used 412 to test for differences in the encounter rate of each genus separately in 413 the closed canopy and forest sites. Linear mixed effects models were used 414 to test for differences in minimum, mean and maximum soil temperature 415 and moisture values in closed canopy and gap sites; forest site and 416 sampling date were included as random factors. Linear mixed models 417 were used to assess the differences in canopy openness between the 418 closed canopy and forest gaps, with site included as a random factor.

419

420 Finally, to model the relationship between termite-derived soil recovered 421 from the woodblocks and woodblock mass loss, while taking into 422 consideration the high proportion of zeros in the data (50% of open 423 woodblocks contained no termite-derived soil), we analysed the data in a two-stage approach following Min & Agresti (2002). First, we created a 424 425 binomial variable for the termite soil mass, where woodblocks containing no soil received a 0 and those with more than zero grams of soil received 426 427 a 1. We then fit the data to a generalised linear mixed effect model 428 (glmer) with site included as a random factor, to test if the proportion of 429 wood mass lost (logit transformed) had a significant effect on the 430 probability of a woodblock containing termite soil. Next, we removed the zero soil values from the dataset and ran a linear mixed effects model 431 432 (Imer) on only woodblocks from which we recovered soil, to assess if logit

433 transformed wood mass loss was significantly associated with the mass of 434 soil that was recovered from the woodblocks. Again, site was included as 435 a random factor. This approach overcame the problem of modelling zero-436 inflated data (only invertebrate accessible bags were included in these 437 models because no soil was recovered from closed bags).

438

439 Results

440 Decomposition

Significantly more mass was lost from open woodblocks (accessible to 441 442 both microbes and macroinvertebrates) in forest gaps (mean mass loss 443 over 12 months: $49\% \pm 5\%$) compared with open woodblocks in closed 444 canopy sites (mean mass loss: $30\% \pm 4\%$; z = 3.8, P < 0.001). This is an 445 increase in decomposition by a factor of 1.63 in forest where both 446 microbes and macroinvertebrates have access to the woodblocks (Fig. 2). the closed canopy 447 In both and gaps sites, the presence of 448 macroinvertebrates significantly increased the proportion of mass lost, but 449 the magnitude of this increase was greater in forest gaps, as indicated by significant interaction between woodblock bag type and canopy type (LRT 450 = 4.18, P = 0.04): woodblock mass loss increased by a factor of 2 in open 451 452 (mean mass loss: $30 \pm 4\%$) compared with closed bags (mean mass loss: 453 $15 \pm 2\%$) in closed canopy sites (z = 3.08, P = 0.01), but increased by a 454 factor of 2.58 within open (mean mass loss: $49 \pm 5\%$) versus closed bags 455 $(19 \pm 2\%)$ in forest gaps (z = 5.9, P < 0.001). We found a significant 456 positive relationship between woodblock mass loss and the likelihood that 457 a wood block contained termite-derived soil and carton within the open

bags (z = 4.19, P < 0.001; Fig S5), and a significant positive relationship 458 between the proportion of mass lost from a woodblock and the mass of 459 dry soil recovered from bags containing soil (z = 2.94, P = 0.005; Fig. S5); 460 461 indicating that termites, rather than other macro-invertebrates, were 462 responsible for this mass loss. There was no significant difference in mass 463 lost from closed woodblocks in the closed canopy compared with closed woodblocks in forest gap sites (z = 0.86, P = 0.83), suggesting that 464 465 changes in microbial activity were not responsible for the increase 466 decomposition in the gaps (Fig. 2).

467

468 Soil microclimate and termite communities

469 We found small but significant differences in soil temperature and soil moisture within closed canopy and forest gap sites. The soil in gaps 470 471 tended to be warmer and wetter. Minimum soil temperature was higher by 472 0.5°C and mean soil temperature was 0.3°C higher in gaps compared with 473 closed canopy sites. There was no significant difference in maximum soil 474 temperature. Minimum, mean and maximum soil moisture were higher in 475 canopy gaps compared with non-gap sites by 2, 1.5 and 3.5 percentage 476 points, respectively (Fig. 3; Table 1). We found no difference in the 477 composition of termite communities collected in the closed canopy 478 compared with forest gaps sites nor was there any difference in the 479 number of encounters of individual genera in the two canopy types (Fig. 480 S6).

481

482 Gap area and carbon modelling

483 Within the LiDAR surveyed area of 940 ha of lowland tropical rainforest, we detected a total of 20,928 gaps, with the centre of the cumulative 484 485 distribution of gaps (i.e. the point where half of the gap area is comprised of smaller gaps and the remaining half by larger gaps) at 122 m² (0.01 ha) 486 487 and covering a cumulative area of 24 ha, or 2.5% of the study site. Of 488 these, 128 gaps were of comparable size to those used in this study 489 (between 0.025 and 0.16 ha). These gaps covered a cumulative area of 490 6.5 ha, which is 0.7 % of the surveyed area and represents 27% of the 491 total gap area in the study region (Fig. 4). In the forest matrix immediately 492 surrounding our experimental plots, we found 187% more deadwood in 493 areas affected by tree fall compared with undisturbed areas (average volume in areas more than 20 m from tree fall: $95.4 \pm 36.6 \text{ cm}^3$ per 50 m 494 495 transect; average volume in areas close to tree fall: $272.9 \pm 98.7 \text{ cm}^3$ per 496 50 m transect; Fig. 5).

497

498 Our initial model applied the changes in decay rate and wood pools to 499 canopy gaps covering 0.7% of the surveyed area, which is the cumulative 500 area that includes gaps of the same size as those forming the basis of this investigation: 128 gaps in total, measuring between 0.025 and 0.16 ha. 501 502 Under this assumption of gap area, deadwood carbon fluxes increased 503 above baseline by a geometric mean value of 5.7% with a geometric SD 504 interval of -3.1% to 15.2%, corresponding to a flux increase of 0.09 Mg C 505 ha⁻¹ yr⁻¹ (Table 2). If we assumed changes in wood pools and decay rates 506 applied to all gaps detected by LiDAR, i.e. 2.5% of the survey area, then 507 the flux increase was 18.2% (geometric SD interval of -15.4% to 47.7%),

508 or 0.27 Mg C ha⁻¹ yr⁻¹. Increases in both wood pool sizes and termite-509 driven decay rates in gaps contributed to the higher fluxes relative to the 510 baseline scenario with no gaps (Fig. S10). At the scale of the 940 ha 511 region of our LiDAR analysis, gap-driven fluxes increased from 1380 Mg C 512 ha⁻¹ yr⁻¹ to 1460 Mg C yr⁻¹ for the 0.7% gap scenario and to 1640 Mg C ha⁻¹ 513 yr⁻¹ for the 2.5% gap scenario.

514

515 **Discussion**

We found that deadwood decomposition in a lowland tropical rainforest 516 517 increased by approximately two thirds in tree-fall canopy gaps, compared 518 with closed-canopy forest, and that this accelerated decomposition was 519 driven by termites. These results add to a growing body of evidence 520 showing that termites are major drivers of deadwood decomposition in 521 tropical rainforests (Griffiths et al., 2019; Law et al., 2019) and that their 522 importance for the maintenance of ecological processes can increase in 523 response to environmental perturbations (Ashton et al., 2019). The functioning of canopy gaps as hotspots for carbon cycling has important 524 implications for land-surface model development given that tree mortality 525 is increasing in rainforests (Brienen et al., 2015; Hubau et al., 2020; 526 McDowell et al., 2018), which will increase the number of gaps, and 527 528 cumulative area of forest affected by canopy openings.

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531

532 Drivers of increased decomposition

533 We hypothesized that changes in deadwood stocks and microclimate in 534 gaps might alter wood decomposition fluxes. Deadwood stocks were three 535 times higher in canopy gaps than in closed canopy sites. Microbial 536 decomposition did not differ between contrasting canopy conditions while 537 termite-mediated decay increased by almost two thirds in tree-fall gaps. The small but significant differences we detected in the soil microclimate 538 539 of our gap and closed canopy sites had no effect on microbial decay but may have led to an increase in termite-mediated decay. Combined, these 540 results point to an influx of deadwood foraging material for termites as a 541 542 likely driver of the increased decomposition in gaps we detected. 543 However, because this hypothesis needs further testing, this work serves 544 as a platform from which the mechanisms behind the patterns we report can be rigorously tested and a starting point for incorporation of these 545 546 patterns into global carbon models.

547

548 We found no support for our hypothesis that shifts in microclimate and/or 549 disturbance caused by tree mortality are detrimental to the decomposer community. Neither termite nor microbial-mediated wood mass loss 550 declined beneath canopy gaps. Soil conditions in our focal canopy gaps 551 552 were not as we predicted: although slightly warmer, they were wetter, 553 rather than drier than in the paired closed canopy sites. This result could, 554 in part, explain the lack of disturbance/microclimate effect detected on 555 the decomposer community because we have no a priori reason to believe that these small increases in soil moisture would negatively affect 556 557 microbial or termite activity.

559 Our finding of increased termite-mediated decay in canopy gaps supports 560 our alternative hypothesis that an increase in termite food sources 561 (deadwood) in tree fall gaps attracts more termites to these areas, which 562 leads to increased decomposition. We found almost three times more 563 deadwood on the forest floor in areas close to tree fall (Fig. 5), and we propose that this influx of wood is likely to have led to an increase in 564 565 termite foraging in the gap sites. This finding has important implications for the way in which decomposition models are parameterised in 566 567 rainforest systems because our results suggest that carbon flux rates from 568 deadwood are not only a function of the proportion of wood necromass in 569 the system (Rice et al., 2004) but may also be mediated by the spatial 570 clustering of the deadwood resource. Given that microbial decay rates did 571 not change in the canopy gaps, we found no evidence to suggest the 572 clustering/influx of dead plant resources had a comparable positive effect 573 on the microbial decomposer community.

574

575 We are confident that termites were responsible for the invertebrate driven increase in decomposition because a previous study, which used 576 577 macroinvertebrate accessible and inaccessible woodblock bags, in 578 combination with a large-scale suppression of termite communities, 579 demonstrated that non-termite macroinvertebrates do not contribute 580 significantly to wood decay at this site Griffiths et al., (2019). Our present 581 study exactly mimics the experimental design used to manipulate the 582 macroinvertebrate community access to wood blocks in the previous

583 work. Therefore, we conclude that termites were responsible for the 584 elevated mass loss from wood within the macroinvertebrate accessible 585 bags. Moreover, we found a significant positive relationship between the probability that a wood block contained termite-derived soil and 586 587 proportion wood mass loss, as well as a positive relationship between the 588 mass of soil brought into our open woodblock bags and wood block mass loss (no soil was recovered from closed woodblocks; Fig. S5). This 589 590 relationship provides further evidence that termites are the main drivers 591 of the observed wood mass loss from the macroinvertebrate accessible 592 bags because termites are the only decomposer organism known to 593 move clay and soil around in this way (Oberst et al., 2016). Because our 594 sampling to assess the composition and biomass of termites within the gap and closed canopy sites was carried out 15-months after the storm 595 596 that created the focal gaps and influx of deadwood material, it seems 597 likely that we missed the increase in termite activity within the gap sites 598 that we hypothesise led to the elevated decay rate within our gaps. Further work is needed to conclusively disentangle the possible drivers of 599 the increased termite activity and wood decay rates in canopy gaps 600 (microclimate versus increased food supply). Our findings highlight the 601 602 need to explicitly test the influence of microclimate versus deadwood 603 volume on decay rates in field experiments. This would allow us to gain a 604 deeper understanding of the factors mediating decomposition and carbon 605 balance in rainforest ecosystems.

606

607 Implications for rainforest carbon flux and sources of uncertainties

608 We show that termite-mediated deadwood decay responds positively to 609 small-scale disturbances within old-growth rainforest. This suggests that 610 accelerated termite decomposition could be a key driver of observed 611 elevated carbon fluxes caused by increased tree mortality and 612 degradation within standing tropical forests (Baccini et al., 2017; Hubau et al., 2020). As such, these results add to our understanding of the biotic 613 mechanisms underpinning ongoing changes to rainforest carbon budgets. 614 However, the resilience of termite-mediated ecosystem processes to 615 differing disturbance thresholds is largely unknown (but see Tuma et al. 616 617 2019). Recent work has shown that termites maintain leaf litter decomposition, nutrient heterogeneity and soil moisture retention in old 618 growth forest during periods of drought (Ashton et al., 2019), indicating 619 that they can provide ecosystem resilience to climate change. 620 621 Understanding the extent to which the resilience provided by termites is 622 maintained in degraded habitats is key to the on-going improvement of land-surface models as well the development of land-management 623 practices aimed at increasing the resilience of tropical landscapes under 624 625 ongoing environmental change

626

Given the vast amounts of carbon contained within tropical forests (Lewis, Edwards, & Galbraith, 2015; Pan et al., 2011), even a relatively small change in C flux due to termite-mediated decomposition in canopy gaps may scale up to large differences over tropical biomes. For example, our estimated flux increase of 0.27 Mg C ha⁻¹ yr⁻¹ represents 2% of total net primary productivity (13.5 Mg C ha⁻¹ yr⁻¹) measured in lowland rainforests

of Malaysian Borneo (Riutta et al., 2018). This timely finding is of 633 634 particular relevance given that the Community Land Model version 6 (CLM6) is currently under development, which will include additional 635 636 parameterisation of ecosystem processes that influence the cycling of C through terrestrial ecosystems and build upon progress made in CLM5 637 (Lawrence et al., 2019). However, although our analysis indicated the 638 potential for substantial increases in carbon flux due to changes in termite 639 640 activity in canopy gaps, the variance around the estimated magnitude of this change in flux remains high due to a number of potential sources of 641 642 uncertainty in our model.

643

Lack of data on how climate mediates the relationship between termite-644 645 driven decay and wood density represents an area of uncertainty in our model estimates and contributes to the large confidence intervals 646 associated with our C-flux estimates. Our estimate of termite-mediated 647 decay associated with the varying wood densities is reliant on an 648 empirical model we fitted to a single dataset of decay rates from a distant 649 study site in Yunnan Province, China (Liu et al., 2015). While both are 650 Asian tropical rainforests, the climate differs between the two regions: 651 652 mean annual rainfall of 1463 mm versus 2838 mm and average monthly 653 temperatures of 21.7°C versus 24.9°C in Yunan (Li et al., 2012) and Maliau 654 (Law et al., 2019), respectively. These climatic differences could be 655 important because while some studies suggest that wood traits are key drivers of deadwood decay (Hu et al., 2018; Zanne et al., 2015), others 656 657 have found stronger relationships with climate (Chambers, Higuchi,

Schimel, Ferreira, & Melack, 2000; Pietsch et al., 2019). Consequently, it is
possible that the effect of wood density on rate of termite mediated decay
could differ between the two regions.

661

662 Wood density is not the only trait known to influence decay rates. Results from studies focussed on microbial wood decomposition in temperate 663 regions show that a range of other traits can also significantly effect wood 664 decay, either positively (e.g. phosphorous, nitrogen) or negatively (e.g. 665 bark ratio, lignin concentration [Kahl et al., 2017; Oberle et al., 2019]). 666 Furthermore, a recent meta-analysis (Hu et al., 2018), highlighted the 667 importance of wood size (diameter) and nitrogen concentration in 668 controlling wood decay globally. We acknowledge that termite-mediated 669 670 decay rates could also be influenced by these wood traits and our models 671 may be improved if more data were available on the effect of wood 672 stoichiometry on termite attack rate in our system. However, data on wood chemical traits within our study region are currently unavailable, but 673 Martin, Erickson, Kress, & Thomas (2014) provide an overview of wood 674 nitrogen concentration and correlations between nitrogen and other wood 675 traits for 59 Panamanian tree species. This work reveals a mean wood C:N 676 ratio for these neo-tropical tree species of 278 ± 32 , with values ranging 677 678 from 84.7 to 1360.8, and a positive relationship between wood density 679 and wood nitrogen concentration. Our wood decomposition substrate 680 (Pinus radiata) falls within this range with a C:N of 462 (Ganjegunte et al., 2004). 681

682

683 We are aware of no study that has interrogated the influence of wood 684 chemical traits on termite mediated decomposition; therefore, we are 685 unable to speculate as to how these factors could influence our flux estimate. However, Ulyshen, Müller, & Seibold (2016) show that termite-686 687 mediated wood mass loss increased significantly where bark was present, 688 which is in contrast to the findings presented by Kahl et al., (2017) who 689 show that higher bark ratio negatively affected microbial decay rates. It is important to note that our use of wood blocks of a uniform (small) size 690 and lacking in bark could have resulted in elevated mass loss compared to 691 692 larger woody substrates with intact bark. However, our wood substrate 693 was chosen to allow for standardization and to facilitate comparison 694 across our experimental sites and treatments. Therefore, we highlight the 695 need for additional work to partition the contributions of microbes versus 696 termites in the decomposition of deadwood with a range of traits and in a 697 range of ecosystems to facilitate the development of more precise models 698 of wood decomposition and carbon cycling.

699

700 Possible inaccuracies in our estimates of deadwood on the forest floor are another potential source of error in our model estimates. We reported that 701 702 the volume of deadwood was 187% higher in areas affected by treefall 703 compared with those unaffected, using field transects 5-months after the 704 storm that created the canopy gaps. However, it is possible that termite-705 mediated wood removal in that 5-month period, in response to the influx 706 of foraging material, removed deadwood disproportionately from the tree-707 fall sites. This would result in an underestimation of the difference in wood

708 volume in contrasting canopy environments, with potentially more 709 deadwood in recently created gaps than we reported. Further, we used 710 data from Pfeifer et al. (2015) to describe the deadwood carbon pool 711 under closed canopy conditions. However, Pfeifer et al. (2015) reported 712 different deadwood carbon estimates from two sites, both within 3.2 km of 713 our study site ("OG1": 27.05 Mg C per ha, and "OG2": 10.24 Mg per ha). 714 We used values from the site closest to our experimental plots (< 1km), 715 OG 2, which was the lowest carbon pool value and thus avoids inflated 716 estimates of the effect of termites on regional C flux. However, the higher 717 deadwood carbon pool reported from Old Growth 1 combined with the 718 possibility that we underestimated the proportional difference in 719 deadwood volume in gaps versus closed canopy sites suggests that our modelling effort is a conservative estimate of the true effect of termite 720 721 mediated C flux in canopy gaps.

722

723 Finally, difficulties in describing temporally and spatially representative 724 forest canopy gap fractions may have contributed model inaccuracies. 725 Using data from the aerial survey carried out in November 2014, we found the cumulative area of canopy gaps in the study region to be between 0.7 726 727 and 2.5%. This range is within the lower bounds of canopy gap fractions 728 described by Hunter et al. (2015) in the Amazon rainforest (2-5%) and 729 smaller than that reported by Yavitt et al. (1995) within a Panamanian 730 forest (4%). Small canopy openings in rainforest ecosystems caused by isolated tree fall events rapidly become colonised by lateral canopy 731 732 growth, meaning that their detectability using remote sensing quickly

733 decreases with time since gap creation (Asner, Keller, & Silva, 2004). The 734 aerial survey used in this investigation was not, as far as we are aware, carried out soon after an intense storm similar to the storm that created 735 736 the focal gaps in this study. Therefore, our gap fraction estimate is likely 737 to be smaller than if it been carried out immediately following the storm 738 that formed the basis of this investigation. However, despite these uncertainties, our analysis demonstrates that canopy gaps in rainforest 739 740 ecosystems function as hotspots of deadwood decay, which has far 741 reaching implications for regional and global budgeting.

742

743 Conclusion

744 To our knowledge, this is the first study to show that rainforest treefall 745 canopy gaps represent hotspots for deadwood decay and carbon cycling. We provide insights into the relative importance of invertebrates 746 747 compared with microbes in driving the decomposition of deadwood, 748 adding to a growing body of literature showing that termites and their 749 mutualistic microbes are equally, if not more important than free-living 750 microorganisms for deadwood decay in rainforests (Griffiths et al., 2019; 751 Law et al., 2019). These results demonstrate that to improve the accuracy 752 of carbon modelling, a variable rate of decomposition should be included 753 in model parameters to account for accelerated termite-mediated decay 754 within tree fall canopy gaps. However, we urgently require information on 755 the effect of a variety of wood traits on termite-mediated decay rates, as 756 well as research efforts to quantify whether these patterns of accelerated 757 decomposition hold true in selectively logged forest or oil palm

758 plantations. Only through addressing these knowledge gaps will we be 759 able to reduce model uncertainties and accurately predict how ongoing 760 changes to tropical landscapes will affect global carbon cycling, climate 761 and the functioning and maintenance of vitally important tropical 762 rainforest ecosystems.

763

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776 Data Sharing and Accessibility

777 The data that support the findings of this study are openly available in 778 Dryad data repository at http://doi.org/[doi], reference number [reference 779 number].

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1021 **Tables**

Table 1. Mean soil temperature and moisture in closed canopy and forest
gap sites and outputs from linear mixed effects models to assess the
effect of gaps on soil conditions (asterisks indicate significant differences
between closed canopy and gap sites).

Microclimate			t-
metric	Mean value Closed	Forest	value <i>P</i>
	canopy 22.7	gap 23.2	
Min. soil temp. (°C)	7 ± 0.22 24.0	5 ± 0.17 24.4	2.56 0.01* 0.001
Mean soil temp. (°C)	9 ± 0.07 25.1	0 ± 0.07 25.3	3.22 **
Max soil temp. (°C) Min. soil moisture	3 ± 0.10 12.9 ± 0.39	8 ± 0.09 14.0 ± 0.24	1.38 0.17 2.28 0.02*

	(%) Mean soil	moisture	0 19.5		6 20.9			
	(%) Max soil	moisture	0 26.7	± 0.44	5 30.2	± 0.48	2.65	0.01* 0.001
	(%)		7	± 0.58	6	± 0.76	3.37	**
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1038	Table 2.	Estimate	es of	geometric me	ean c	arbon fluxe	es and	standard
1039	deviation intervals (square brackets) based on $1x10^6$ simulations for the							
1040	following scenarios: a closed canopy baseline; a scenario with 0.7% forest							
1041	. gap, which, based on the LiDAR data, is the cumulative percentage of							
1042	forest area that is a gap of the same size as our focal experimental gaps							
1043	(between 0.025 and 0.16 ha); and a scenario with 2.5% forest gap, which							
1044	is the total (maximum) proportion of forest that was classified as a gap in							
1045	the LiDAR	survey.						

Baseline	0.7%	Forest	2.5%	Forest
	gaps		gaps	

	Carbon flux	1.47	1.56	1.74			
	(Mg C ha ⁻¹ yr ⁻¹)	[0.57, 3.83]	[0.61, 3.96]	[0.70, 4.32]			
	Ratio to baseline	1.000	1.057 [0.969, 1.152]	1.182 [0.846, 1.477]			
	Carbon flux for LiDAR region (Mg C yr ⁻¹)	1380 [530, 3600]	1460 [570, 3720]	1640 [660, 4060]			
1046							
1047							
1048	Figure legends						
1049							
1050	Figure 1. Schematic diagram of the study experimental design. In						
1051	October 2017, we selected 10 canopy gaps (mean width 24.5 m, mean						
1052	length 32 m), created by tree-fall during a storm even in July 217, and 10						
1053	paired closed canopy sites (located 20 m from the edge of each gap).						
1054	Within each gap and closed canopy site, we randomly placed 5 x						
1055	invertebrate accessible woodblocks (represented by the grey boxes) and 5						
1056	x invertebrate inaccessible woodblock (yellow boxes). Each woodblock						
1057	was separated by at least 5 m and was left on the forest floor for 12-						
1058	months.						
1059							
1060	Figure 2. Medi	ian plus interquart	tile range for	mass loss from			
1061	macroinvertebrate	e accessible (grey	boxes) and	macroinvertebrate			
1062	inaccessible (yellow boxes) wood blocks within closed canopy and tree-fall						
1063	gaps. Points are the raw data are displayed over the boxes.						

Figure 3. Frequency distributions of minimum, mean and maximum soil temperature (panels a, c, e) and soil moisture (panels b, d, f) within closed canopy (grey ribbons) and forest gaps (yellow ribbons). Vertical dashed lines indicate significant differences between mean microclimate attributes in the different canopy types (closed canopy: grey lines, forest gaps: yellow lines).

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1072 Figure 4. Cumulative distribution of canopy gap area. Gaps of the same 1073 area as those forming the basis of this investigation (128 gaps, between 1074 0.025 and 0.16 ha) fall within the yellow rectangle. The total area 1075 represented by the yellow rectangle is 6.5 ha, which is 0.7 % of the 1076 surveyed area and represents 27% of the total gap area in the study region. The vertical dashed line at 122 m^2 (0.01 ha) represents the centre 1077 1078 of the cumulative distribution function, where half of the gap area is 1079 comprised of smaller gaps and the remaining half by larger gaps.

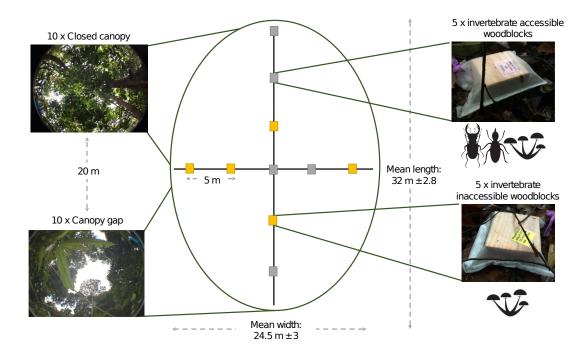
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1081 Figure 5. Median (horizontal lines) plus 95% confidence intervals

1082 (whiskers) of the volume of deadwood on the forest floor beneath closed

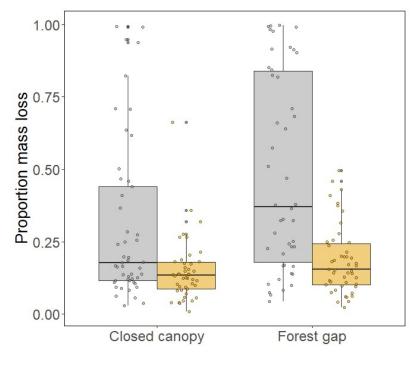
1083 canopy (grey box) and sites within 5 m of a canopy gap.

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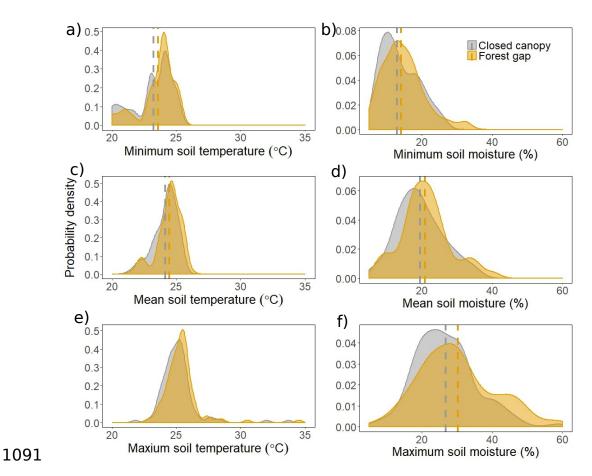




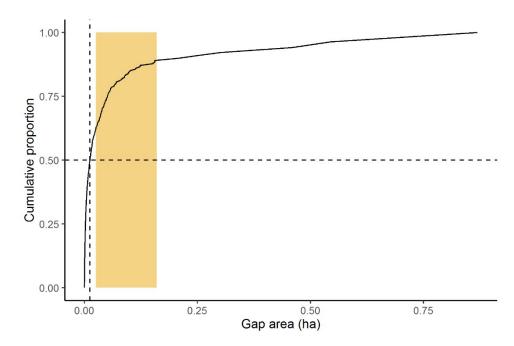


🖻 Open bags 🖻 Closed bags











1095 Figure 4.

