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## **Salivary Extracellular Non-Coding RNA: Emerging Biomarkers for Molecular Diagnostics**

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## **Abstract**

Saliva is a complex body fluid that comprises secretions from the major and minor salivary glands, nourished by body's vasculature. While many circulatory molecules (DNA, RNA and proteins) could also be present in saliva, saliva harbors unique molecular constituents that can be discriminatory for oral and systemic disease screening and detection. Many studies have reported that salivary constituents can discriminate oral diseases (oral cancer and Sjögren's Syndrome) and also systemic diseases (lung cancer, breast cancer, pancreatic cancer and ovarian cancer). Noncoding RNAs (ncRNAs) are emerging new regulators of diverse biological functions, playing important roles in oncogenesis and tumor progression. Indeed, the short size of these molecules makes them very stable in different body fluids such as urine, blood and saliva, being not as susceptible as mRNAs to degradation by RNases. Here, we reviewed the current status and clinical implications of the ncRNAs present in human saliva for translational applications and basic biological research. The development of non-invasive salivary test (based on ncRNAs profiles) for disease detection, could have impactful applications into the clinical context with a translational significance as emerging molecular biomarkers for non-invasively disease detection, not only by reducing the cost to the healthcare system, but also benefitting patients.

#### **Keywords**

saliva; body fluid; cancer; diagnostics; non-invasiveness; biomarkers; non-coding RNA; small ncRNAs; long ncRNAs

## **1. Introduction**

Saliva has critical roles in maintaining the oral health and function of the upper gastrointestinal tract. It is mostly water but also contains protein molecules that lubricate our tongue, inhibit the growth of bacteria, prevent excessive swings in pH and begin the process of digestion [1,2]. Unfortunately, the importance of saliva is often appreciated only when

#### **Conflicts of Interest**

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David Wong is co-founder of RNAmeTRIX Inc., a molecular diagnostic company. He holds equity in RNAmeTRIX, and serves as a company Director and Scientific Advisor. The University of California also holds equity in RNAmeTRIX. Intellectual property that David Wong invented and which was patented by the University of California has been licensed to RNAmeTRIX. Additionally, he is a consultant to PeriRx.

it's gone, as commonly happens in patients who get radiation treatments or have oral cancer [3]. Saliva comes primarily from three paired major salivary glands (parotid, submandibular and sublingual) where specialized cells take up water, salts and macromolecules from the blood that sum to their individual gland secretions. Hence, most compounds found in blood are also present in saliva, giving rise to the notion that saliva "mirror of the body" [ 4 , 5].

Saliva is a highly desirable body fluid for biomarker development for clinical applications as it provides a non-invasive, simple and low-cost method for disease screening and detection [6–8]. Much efforts have been implied in elucidating the molecular profiles in healthy saliva, both at protein and mRNA levels [ 9], by using several techniques such as 2-D gel electrophoresis, mass spectrometry and western blot for protein profiling [10 –14], and by using qPCR, microarray analysis and sequencing techniques for mRNA profiling [15 –21]. Furthermore, efforts have been made on establishing procedures for saliva collection, storage and analysis [22 ,23], as well as methods for increasing stability of proteins and mRNA [24 –27] present in saliva. These studies have generated a vast amount of salivaomics data, which had lead to develop the Salivaomics Knowledge Base (SKB) [28] (Figure 1), a data management system and Web resource that support salivary diagnostics research [29,30].

In the last decade, the potential use of saliva has not only been demonstrated useful for detecting various local diseases including Sjögren's syndrome [31 –33], and oral and head and neck cancers [34 –38], through proteomic and transcriptomic discovery phases and preclinical validation phases; but also for systemic diseases detection such as type-2 diabetes [39], lung [40–42], pancreatic [43,44], breast [45,46] and ovarian cancers [47]. It should be noted that while salivary diagnostics is recognized for oral diseases, its clinical utility and scientific credibility for systemic diseases are still largely unsubstantiated. The clinical and scientific credentialing of saliva for systemic disease detection will present a groundbreaking technology that is impactful, sustainable, and will transform molecular screening for diseases globally. Studies are in progress to address this gap and unmet need. We hypothesize that disease-specific molecular targets are shuttled from the primary organ of pathology to the vasculature and then salivary gland and appear altered in cancer saliva compared to control saliva [40] in tumor-bearing mice models of melanoma and lung cancer. Each tumor-type is associated a different salivary transcriptome profile. At the basic mechanistic levels, we have shown that by using a rodent pancreatic cancer model, exosomes-like vesicles carry, drive and deliver tumor-specific biomarkers into the saliva [44].

Altogether, we can clearly note that after a decade of scientific advancements, the maturation of these basic and translational research is leading to eventual clinical utilities that can benefit patients. Nonetheless, the majority of all these efforts have been made on revealing the underpinning of the presence of mRNA and proteins as disease discriminatory biomarkers, little is known about the emerging new class of biomarkers in body fluids: the non-coding RNAs (ncRNAs). Here, we review the current status, power, advantages and future applications of ncRNAs in saliva as a source of biological information, disease status and biomarker performance.

#### **2. Salivary non-coding RNAs associated with (patho) physiological states**

About 98% of all transcriptional output in humans is non-coding RNA. RNA-mediated gene regulation is widespread in higher eukaryotes and complex genetic phenomena like RNA interference, co-suppression, transgene silencing, imprinting, methylation, and possibly position-effect variegation and transvection, all involve intersecting pathways based on or connected to RNA signaling [48,49]. Although proteins are the fundamental effectors of cellular function, the basis of eukaryotic complexity and phenotypic variation may lie primarily in a control architecture composed of a highly parallel system of trans-acting RNAs: the noncoding RNAs [48]. NcRNAs are short RNAs that have been widely described to be stable in many body fluids [50] and are emerging new regulators of diverse biological functions, playing an important role in oncogenesis and tumor progression [51–53]. NcRNAs are grouped into two major classes based on their transcript sizes: small ncRNAs (<200 bp) and long ncRNAs (lncRNAs) (≥200 bp) [48,54,55].

As the complex compositional profiles of salivary extracellular RNA molecules are emerging, encompassing mRNAs, microRNAs (miRNAs), small nucleolar RNAs (snoRNAs), and other non-coding RNAs [21,56,57]. However, the entire spectrum of extracellular RNA (exRNA) from saliva has not been extensively described, thus warranting the need of further comprehensive deciphering and analyses. Using high-throughput RNA sequencing (RNA-Seq), we have recently described a landscape of miRNA, piwi-interacting RNA (piRNA) and circular RNA (circRNA) in human saliva as a source of new molecular biomarkers [58]. In addition, there is also increasing interest in understanding the functional aspects of salivary exRNA in oral and systemic biology [40,44]. Such studies will be facilitated by a detailed delineation of the landscapes of salivary exRNAs.

#### **2.1. Characterization of salivary non-coding RNAs**

Compared with other biofluids, saliva can be collected easily and noninvasively. However, low RNA abundance, small sample volumes, highly fragmented mRNA and high abundance of bacterial contents create challenges for downstream RNA sequencing assays [21]. Thus, ncRNAs rise to the first position of ideal and suitable salivary biomarkers because of its short size body fluid stability, and also due to their main location inside exosomes [44,50,57,59].

Small ncRNAs are the most exploited and widely described ncRNAs in saliva. In particular, miRNAs, which are small, 19- to 23-nucleotide- long, single-stranded RNA molecules, that play an important role in regulating various biological processes through their interaction with cellular messenger RNAs (mRNA) [60], have been widely characterized in saliva body fluid. Weber *et al*. [61], with the goal of assessing the distribution of miRNAs and demonstrating the potential use of miRNAs as biomarkers, they examined the presence of miRNAs in 12 human body fluids including saliva, conducting a global survey of the miRNA distribution in these fluids, finding that miRNAs were present in all fluids tested and showing distinct compositions in different fluid types. Actually, they found that several of the highly abundant miRNAs in the body fluids tested were common among multiple fluid types, and some of the miRNAs were enriched in specific fluids. Interestingly, saliva, breast milk, and seminal fluid had a higher number of detectable miRNA species, whereas

urine, cerebrospinal fluid, and pleural fluid had far fewer. The miRNA spectrum in plasma was different from that of most of the other body fluids, indicating an extensive "filtering" process separating the plasma from other body fluid types, biases caused by the differential uptake or release of miRNAs from different circulating cell types that come in contact with the blood, or other processes not yet understood.

Two other groups have studied the miRNAs composition of the whole saliva (WS), including the cell content, cell debris and abundant bacteria present in oral cavity. On one hand, Patel *et al*. [62] used commercial kits (Oragen and miRVana) for collection and isolation of total RNA from WS, and performed miRNA analysis aiming to profile salivary miRNAs. They have described the five most abundantly expressed miRNAs (miR-223, miR-191, miR-16, miR-203, and miR-24) that were similarly described in other published reports [59 ,63 ,64]. Additionally, many previously undetected miRNAs were also identified, what was justified by the improved RNA isolation method they describe, and the high quality of miRNAs isolated from WS. On the other hand, Spielmann *et al*. [21] aimed the comparison between the whole saliva (WS) and the cell-free saliva (CFS) miRNA content by using massively parallel sequencing. They found that more than 90% of the uniquely mapped genes were coding (i.e., mRNAs), and the remaining small percentage was noncoding. Rank ordering the genes by RPKM showed, however, that 95 of the top 100 highest-expressing genes encoded ncRNAs, being mostly and 224 small nucleolar RNAs (snoRNAs) present in saliva. Nonetheless, they found measurable differences between CFS and WS; with the greatest difference being the percentage of reads aligning to microbial genomes, with higher fraction of microbial RNA in WS compared to CFS, which markedly decreased the sensitivity of human RNA in WS analysis. Therefore, they suggest that a lowspeed centrifugation step cannot reduce the presence of microbial RNA, and adding subsequent steps might remove more of the microbial cells and cell debris.

Consistently with the microbial issue and cellular contamination, some groups start to investigate the miRNA content in exosomes isolated from saliva. This methodology is technically more complicated to implement in the clinics once you get the specific-disease biomarkers, but it is a better method to characterize the exRNA present in saliva. In 2010, Michael *et al.* [59], although they could isolate exosomes from both glandular and whole saliva, the viscosity and cellular contamination of whole saliva made it less than ideal for exosomes isolation. Therefore, they focused the study on glandular saliva only by using miRNA microarray as a proof of concept to profile miRNA in salivary exosomes.

Despite several studies have been focused on characterizing salivary exosomes at nanostructural, transcriptomic [65 ,66] and proteomic [67] levels, very little is known about ncRNA content in salivary exosomes. Gallo *et al*. [68] wanted to aim whether miRNAs, that are easily accessible in many body fluids, are circulating freely or are encapsulated in microvesicles (particularly exosomes). They extracted the RNA from the exosomes in the pellet and from the exosomes-depleted supernatant from both serum and saliva samples, and the miRNA concentration was lower in salivary exosomes than serum exosomes, but still predominantly present in the exosomes fraction compared to exosomes-depleted salivary supernatant. Furthermore, Ogawa *et al.* [57] examined small RNA transcriptomes by using next generation sequencing technology to elucidate a full transcriptome set of small RNAs

expressed in two types of salivary exosomes and in whole saliva (WS). Many types of small RNA, such as miRNA, piRNA, snoRNAs and other small RNAs are contained in salivary exosomes. Specifically, both salivary exosomes and WS commonly expressed a total of 143 miRNAs, and 147 miRNAs were detected between both exosomes fractions but not in WS. Importantly, piRNA and snoRNAs have been described for the first time in saliva samples: 129 piRNAs were mostly expressed in exosomes, while WS contained only 90. On the other hand, the number of snoRNAs detected in one exosomes fraction was less than 50% than in the other exosomes fraction and WS. Thus, again specific ncRNAs appear differentially expressed in depleted or non-depleted exosomes fraction, and further studies need to be addressed to define the function of small ncRNAs in salivary exosomes.

Recently, Bahn *et al.* [58] by using high-throughput RNA sequencing (RNA-Seq) conducted an in-depth bioinformatic analysis of ncRNAs in human CFS from healthy individuals, with a focus on miRNAs, piRNAs, and circular RNAs (circRNAs). Their data demonstrated robust reproducibility of miRNA and piRNA profiles across individuals. Furthermore, individual variability of these salivary exRNA species was highly similar to those in other body fluids or cellular samples, despite the direct exposure of saliva to environmental impacts. By comparative analysis of >90 RNA-Seq datasets of different origins, they observed that piRNAs were surprisingly abundant in CFS compared with other body fluid or intracellular samples, with expression levels in CFS comparable to those found in embryonic stem cells and skin cells. Summarizing, the most abundant types of small ncRNAs in their data included human miRNAs (6.0% of reads on average), piRNAs (7.5% of reads), and snoRNAs (0.02% of reads). In addition, 58.8% of reads corresponded to microbial RNA sequences, reflecting the enriched presence of microorganisms in saliva [21]. Furthermore, using a customized bioinformatics method, they identified >400 circRNAs in CFS. These data represent the first global characterization and experimental validation of circRNAs in any type of extracellular body fluid. These results suggest that the small ncRNA sequencing experiment can capture a wide spectrum of noncoding exRNAs in human saliva [58].

The identification of biological markers of disease is a major impetus in current research. Ideal biomarkers have the capacity to identify a disease, with a strong degree of accuracy, before it can be diagnosed clinically. Thus, the search for a minimally invasive, easily accessible body medium such as saliva, housing biological information reflective of disease status is clinically very relevant. Whilst several groups have characterized the use of isolated small ncRNAs from saliva to further use this information as diagnostic biomarkers, there is no information on long ncRNAs quality and yield and also not consistent and standardized protocols for isolation, characterization and analyses have been established; hence emphasizing the discrepancies of the published findings. However, it is clear the emerging interest of this field and the recent publications revealing new ncRNAs present in saliva including miRNAs, piRNAs, circRNAs will generate future interests for biomarker development studies.

#### **2.2. Salivary non-coding RNAs: biomarkers for local/systemic diseases**

Human saliva has been used increasingly for biomarker development to enable noninvasive detection of diseases. The term "salivaomics" was coined to highlight the omics constituents

in saliva that can be used for biomarker development and personalized medicine [30]. Salivary extracellular (exRNA) [21] was discovered 10 years ago; since then, the nature, origin, and characterization of salivary RNA have been actively pursued [17,21,31,35,43]. These studies have demonstrated the potential for the use of salivary RNA to detect local diseases such as oral cancer [34 ,35], and Sjögren's syndrome [31], but also systemic diseases including resectable pancreatic cancer [43], lung cancer [40 ,42], ovarian cancer [47], and breast cancer [46].

Several studies have been implied the efforts on deciphering miRNA profiles for oral cancer detection by using saliva body fluid. In 2009, Park *et al*. demonstrate that several miRNAs present in CFS and WS of 12 healthy donors can be validated on 50 oral cancer patientcohort and found that miR-125a and miR-200a where differentially expressed in both CFS and WS from patients with oral cancer than patients without [63]. In 2011, pursuing the same disease, Wiklund *et al.* [69] demonstrated that a panel of miRNAs and DNA methylation patterns found in oral squamous cell carcinoma (OSCC) tissues could be validated in oral rinse and saliva from OSCC patients and healthy controls, with aberrant miR-375 and miR-200a expression and miR-200c-141 methylation which could be detected in and distinguish OSCC patient oral rinse and saliva from healthy volunteers, suggesting a potential clinical application for OSCC specific miRNA signatures in oral fluids. In 2012, Li *et al*. exploited miR-31 as a clinical biomarker of OSCC [70] in oral lesions, plasma and saliva, founding that miR-31 was significantly increased in saliva from patients with oral carcinoma at all clinical stages, including very small tumors. However, preliminary analysis showed no increase of salivary miR-31 in patients with oral verrucous leukoplakia relative to controls. The miR-31 was more abundant in saliva than in plasma, suggesting salivary miR-31 was a more sensitive marker for oral malignancy. Furthermore, they found that after excision of oral carcinoma, salivary miR-31 was remarkably reduced, indicating that most of the up-regulated salivary miR-31 came from tumor tissues.

More recently, Wang *et al*. [71], developed a electrochemical biosensor method for the ultra sensitive and specific detection of attomolar level oral cancer-related miRNA. In order to evaluate the applicability of the novel RNA biosensor, the saliva samples were spiked with different concentrations of target miRNA (miR-200a, miR-142-3p, miR-93 and miR-125a). The results shown clear indications that the magnetic-controllable electro-chemical biosensor had a strong resistance to the complex matrix of saliva, and can be used to detect ultra-trace target miRNA in real saliva samples with a recovery of 93–108%. In 2013, Yang *et al*. [72] reported firstly the use of microRNA microarray to profile low-grade dysplasia (LGD) oral premalignant lesions (OPLs) from progressing and non-progressing LGD OPLs, in order to explore the possible microRNAs, which later could lead the progression into high-grade dysplasia (HGD) or OSCC. They identified 25 miRNAs differentially expressed between progressive and non-progressive LGD leukoplakias. Compared to non-progressive LGD leukoplakias, 13 miRNAs were down-regulated and 12 miRNAs were up-regulated in progressive LGD leukoplakias. Finally, the latest report on OSCC and salivary miRNA was done in 2014 by Momen-Heravi *et al*. [73]. Of more than 700 miRNAs tested by the newly technology NanoString nCounter [74], 13 were identified as being significantly deregulated in saliva of OSCC patients compared to HCs: 11 miRNAs were down-regulated

(miRNA-136, miRNA-147, miRNA-1250, miRNA-148a, miRNA-632, miRNA-646, miRNA668, miRNA-877, miRNA-503, miRNA-220a, miRNA-323-5p), and 2 miRNAs were overexpressed (miRNA-24, miRNA-27b).

In 2013, a meta-analysis study was published addressing esophageal squamous cell carcinoma (ESCC) investigations [75], including 6 data sets from plasma/serum circulating miRNAs and 2 data sets from saliva miRNAs profile for ESCC, which had been downloaded from two previous studies published on the field [76,77] on 2013. Seventeen studies from eight articles, including 995 ESCC patients and 733 healthy controls, were included in this meta- analysis. The pooled AUC was 0.91 (95 % CI 0.88–0.93), but subgroup analyses indicated that blood-based miRNA assay displays better diagnostic accuracy than saliva-based miRNA assay. However, the individual study reported by Xie et al. [76] showed that after validation by RT-qPCR, miR-10b\*, miR-144, and miR-451 in whole saliva and miR-10b<sup>\*</sup>, miR-144, miR-21, and miR-451 in saliva supernatant were significantly upregulated in patients, with sensitivities of 89.7, 92.3, 84.6, 79.5, 43.6, 89.7, and 51.3% and specificities of 57.9, 47.4, 57.9%, 57.9, 89.5, 47.4, and 84.2%, respectively, showing that miRNAs possess discriminatory power for detection of esophageal cancer by using saliva body fluid.

Most of the published studies described small ncRNAs, in particular miRNA, in saliva. However, there is only one study that describes long ncRNAs in saliva for oral cancer detection aberrantly expressed in oral cancer and metastasis tissues [78]. Moreover, whole saliva contained a detectable amount of some long ncRNAs, which appeared to be potential salivary biomarker candidates. Among several long ncRNAs investigated, MALAT-1 was present in all the participants (n=9), but HOTAIR was only detected in 5/9 patients with higher expression in patients with lymph node metastasis. Taken together, these data indicate that whole saliva contains detectable amount of certain long ncRNAs that may be potential markers for OSCC diagnosis. Since there are much evidence that long ncRNAs can serve as circulating diagnostic biomarkers for several diseases such as B-cell neoplasms and prostate cancer [79–81], and have the sufficient power to discriminate between cancer and healthy status [78,82], we truly believe that it is just a matter of time that long ncRNAs will appear as the new spectrum of diagnostic biomarkers in saliva, specific for either local and systemic diseases. Last but not least, a study focused on salivary and circulating small ncRNAs as miRNA signatures for prostate cancer detection [83], supporting the translational utility of the salivary ncRNA for systemic diseases detection.

#### **4. Conclusions and Future perspectives**

Non-coding RNA expression profiles in human cancers have highlighted the potential value of this class of RNAs as tumor markers in patient diagnosis and prognosis. The rapidly expanding and continuously catalog of salivary ncRNAs holds promises that in the near future ncRNAs will become ever more important in cancer patient management. The potential relevance to oral diseases were proposed (84). An analogy can be made with the impact of salivary mRNA profiling in many types of cancer, which has provided different experimental lines of evidence that deregulation of mRNAs not only results as consequence of cancer progression but also directly affects gene networks that promote tumor initiation

and progression in a cause-effect manner. As the catalog of salivary ncRNAs grows, it will become important to elucidate the genetic networks and pathways regulated by the abnormally expressing ncRNAs in saliva from cancer patients as a means to understanding the role and biomarker performance of these ncRNAs in the induction of malignant transformation as well as their ability to create significant profiles for salivary diagnostics.

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**Figure 1.**  The rise of non-coding RNA in saliva

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WS: whole saliva CFS: cell free saliva

**LEGEND**<br>WS: whole saliva<br>CFS: cell free saliva<br>SP: saliva pellet<br>NA: not avaliable SP: saliva pellet NA: not avaliable