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### Specific Heat of $YBa_2Cu_3O_7$ : Volume Fraction of Superconductivity; Parameters Characteristic of the "Ideal" Superconducting State

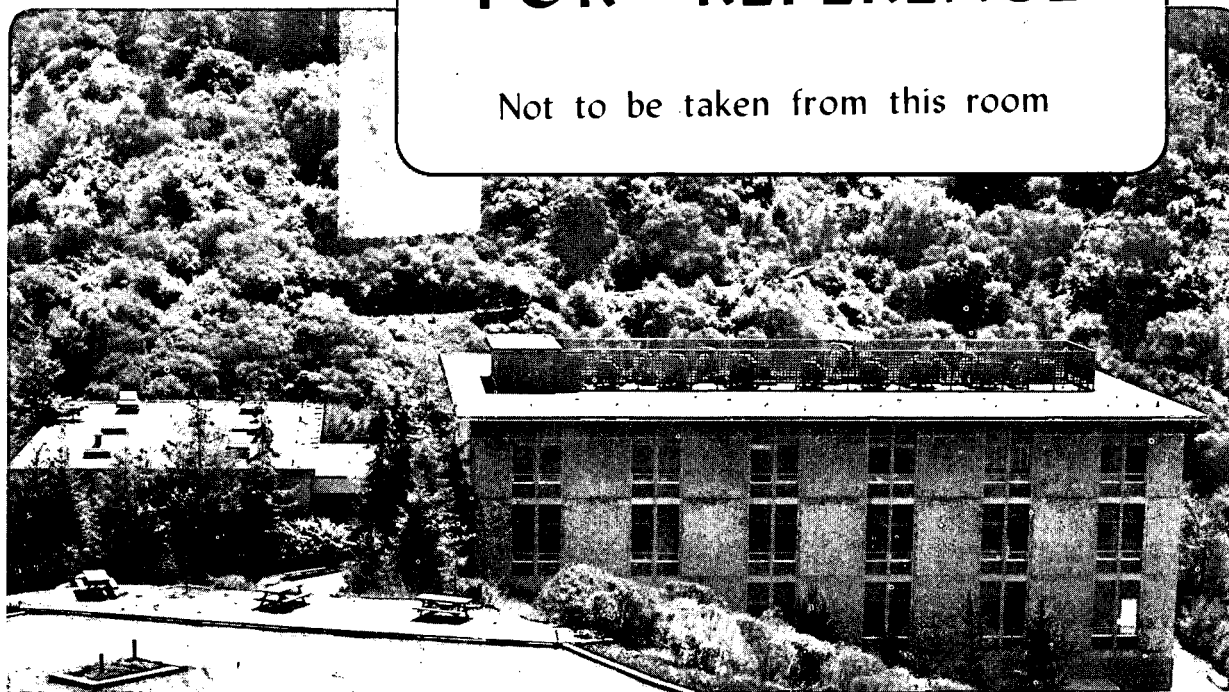
N.E. Phillips and R.A. Fisher

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**SPECIFIC HEAT OF  $\text{YBa}_2\text{Cu}_3\text{O}_7$ ; VOLUME FRACTION OF  
SUPERCONDUCTIVITY; PARAMETERS CHARACTERISTIC OF THE  
"IDEAL" SUPERCONDUCTING STATE**

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INTRODUCTION

Experimentally determined parameters for  $\text{YBa}_2\text{Cu}_3\text{O}_7$  (YBCO) are strongly sample dependent. However correlations among parameters derived from the specific heat ( $C$ ) suggest that the variation reflects a variation in the volume fraction of superconductivity, ( $f_s$ ). From these correlations  $f_s$  can be quantitatively determined and values of parameters characteristic of fully superconducting material can be derived. With additional assumptions, it is also possible to estimate the Sommerfeld constant ( $\gamma$ ) for the fully normal state. Among the parameters of particular importance in establishing the correlations are the discontinuity in  $C$  [ $\Delta C(T_c)$ ] at the critical temperature ( $T_c$ ) and concentration ( $n_2$ ) of localized  $\text{Cu}^{2+}$  magnetic moments. These are located on the YBCO lattice, at least in substantial measure, and are directly correlated with  $f_s$ . From an analysis of  $C$  in the vicinity of  $T_c$  it is possible to obtain information on the strength of the coupling responsible for the superconductivity; from a comparison of  $\gamma$  with that calculated for the bare density of states ( $\gamma_{bs}$ ) the electron-phonon enhancement parameter ( $\lambda$ ) can be obtained.

Some data for  $(\text{La}_{2-x}\text{Sr}_x)\text{CuO}_4$  suggest similar correlations, but there are insufficient data for other high temperature superconductors (HTSC) to test this possibility.

The total specific heat of a YBCO sample that is reasonably typical of the better polycrystalline samples currently available is shown in Fig. 1. At  $T_c$  the lattice specific heat is large compared with the electronic contribution, and the feature associated with the transition to the superconducting state is only 3% of the total. Furthermore, there is no obvious discontinuity in specific heat. Comparison of data for different samples shows that a major part of the apparent breadth of the transition is associated with sample-to-sample differences, presumably inhomogeneities and other imperfections, but the nature of the specific-heat anomaly at  $T_c$  for an ideal sample has not yet been unambiguously established. For YBCO there can be inhomogeneities associated with oxygen stoichiometry and with the ordering of the O atoms. The inclusion of impurity phases probably also contributes to the breadth. The effect of small-scale defects of all kinds can be expected to be enhanced in HTSC relative to that in conventional superconductors because the coherence length ( $\xi$ ) is smaller and

is comparable to the lattice parameters. Furthermore, there is the expectation, also based on the small value of  $\xi$ , that fluctuation effects should be important in determining the shape of the specific-heat anomaly at  $T_c$ .

Figure 1 also shows the low-temperature "upturn" in  $C/T$  that is characteristic of virtually all samples of HTSC, at least those that have been studied below 1K. It is associated with electronic magnetic moments that order below 1K as shown by its magnetic-field dependence. After appropriate correction for the upturn there is still a non-zero intercept of  $C/T$  at  $T=0$ . This "linear term",  $\gamma(0)T$ , has attracted much attention. It was recognized very early (1) that it could be simply a manifestation of an incomplete transition to the superconducting state. However, independently of, and more or less simultaneously with, its experimental discovery (2), a linear term was predicted theoretically (3) as one of the consequences of the resonant valence bond (RVB) theory. In the RVB model the excitations from the superconducting ground state are qualitatively different from those in a conventional superconductor, and so is the thermodynamics. The development of an understanding of the origin of  $\gamma(0)$  has, for these reasons, been a major goal of specific-heat measurements on HTSC.

#### ANALYSIS OF LOW-TEMPERATURE DATA

Empirically, at low temperatures the specific heat of the HTSC can be represented (4) as a sum of lattice ( $C_l$ ), hyperfine ( $C_h$ ) and localized electronic magnetic moment ( $C_m$ ) contributions, and the linear term ( $C_e$ ). The latter is field dependent,  $C_e = \gamma(H)T$ . It is related to the normal state electronic specific heat, but

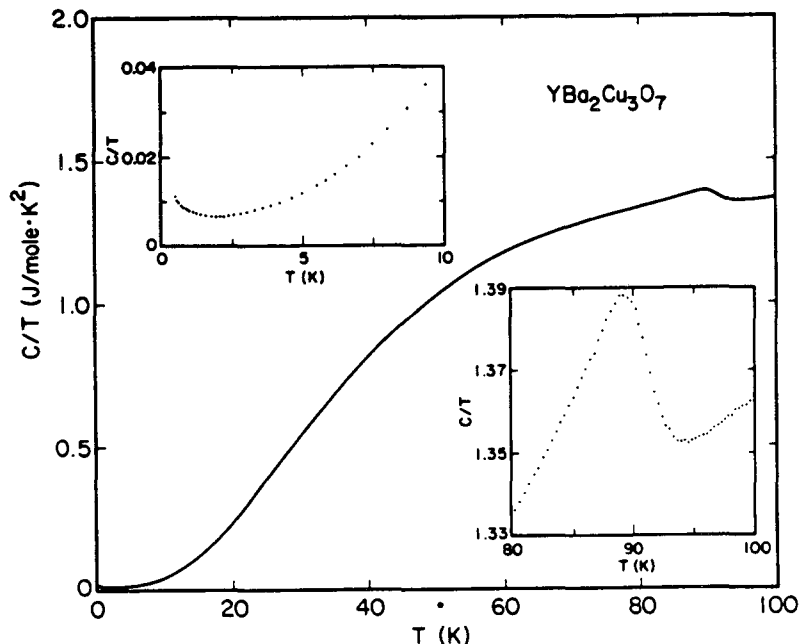


Figure 1. The total specific heat of a typical YBCO sample. The insets show actual data at low temperatures and in the vicinity of  $T_c$ .

in many cases it includes other contributions. The lattice specific heat is independent of magnetic field ( $H$ ) and is usually assumed to be the same in the normal and superconducting states. In the low-temperature limit the harmonic lattice expression for  $C_l$  is  $C_l = B_3 T^3 + B_5 T^5 + B_7 T^7 + \dots$ . In the temperature range of interest here,  $C_h$  is well represented by the lowest-order term in the high temperature expansion of a Schottky anomaly:  $C_h = D(H)T^2$ . In the case of a nuclear magnetic moment interacting with the applied field  $D(H) \propto H^2$ , but this relation would not apply if electric quadrupole interactions or internal magnetic hyperfine fields associated with electronic moments were important.

The contribution  $C_m$  is associated with  $\text{Cu}^{2+}$  magnetic moments that order at temperatures below 1K, depending on their concentration. In zero applied field  $C_m$  is not a simple Schottky anomaly. No doubt this reflects the existence of a distribution of effective magnetic fields associated with disorder in the spatial distribution of the moments and their interactions. Several different approximations to the high-temperature "tail" of the anomaly by expansions in inverse powers of temperature have been used, and they can be represented by the general expression  $C_m = \sum A_n T^{-n}$ . In the presence of a magnetic field of a few T or more the anomaly is shifted to temperatures above 1K. It is the field dependence that suggests  $\text{Cu}^{2+}$  moments. If the concentration of moments is sufficiently low the anomaly may take the form of the Schottky anomaly expected for  $\text{Cu}^{2+}$  moments in the applied field (to within the accuracy of the data) but for higher concentrations, and depending on the field, the anomaly may still be broadened by the internal fields. The  $n_2$  concentration of these moments is determined by the Schottky anomaly.

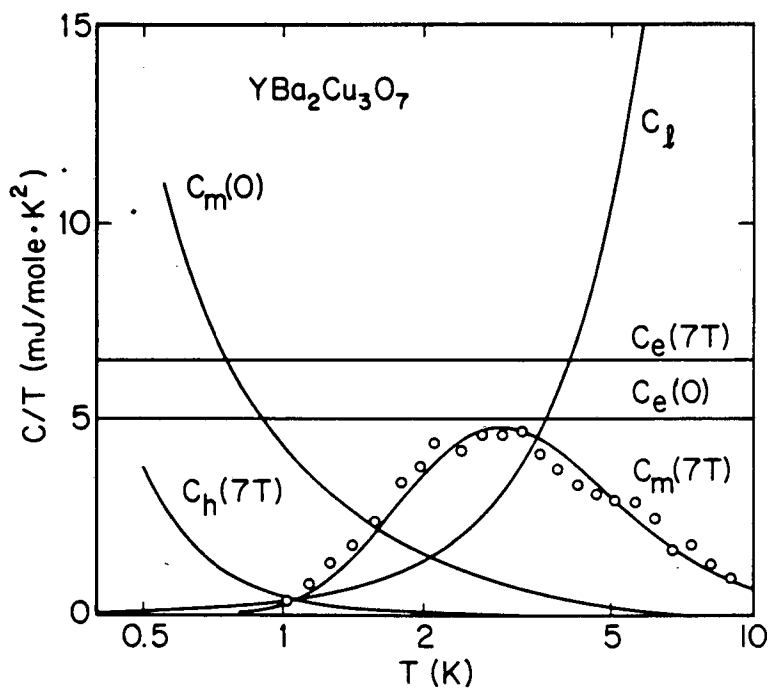


Figure 2. Components of the low-temperature specific heat for a YBCO sample.



The coefficient of the linear term is approximately linear in  $H$ ,  $\gamma(H) = \gamma(0) + (d\gamma/dH)H$ . It is the  $H=0$  component,  $\gamma(0)$ , that is unexpected, by comparison with the superconducting state specific heat of conventional superconductors. The  $H$ -proportional term is presumably of the same origin (5) as the analogous term in the mixed-state specific heat of conventional type-II superconductors – normal-state-like excitations in the vortex cores.

Figure 2 shows an analysis of low-temperature specific-heat data for YBCO into the four components for  $H=0$  and  $7T$ . The solid line through the points for  $C_m(7T)$  is a Schottky function for  $\text{Cu}^{2+}$  moments with  $n_2 = 0.0044$  moles  $\text{Cu}^{2+}$ /mole YBCO. The points were obtained by subtracting  $C_2$ ,  $C_h$  and  $C_e$  from  $C$ . The analysis was made by least-squares fits with the temperature dependences listed above.

### ANALYSIS OF SPECIFIC HEAT NEAR $T_c$

Perhaps the most serious problem associated with specific-heat measurements on HTSC is the impossibility of quenching superconductivity (except very close to  $T_c$ ) with the magnetic fields available in the laboratory. Thus, the relatively simple methods for separating the lattice and electronic contributions, and determining the zero-field specific-heat anomaly at  $T_c$ , which are so important for conventional superconductors, do not work for HTSC. The analysis of data near  $T_c$  is further complicated by the broadening of the anomaly by sample inhomogeneities.

In spite of these complications, most specific-heat data for HTSC can be consistently analyzed to obtain the mean-field component of the anomaly,  $\Delta C(T_c)$ . There are different methods of estimating  $\Delta C(T_c)$  from the data, several of which are

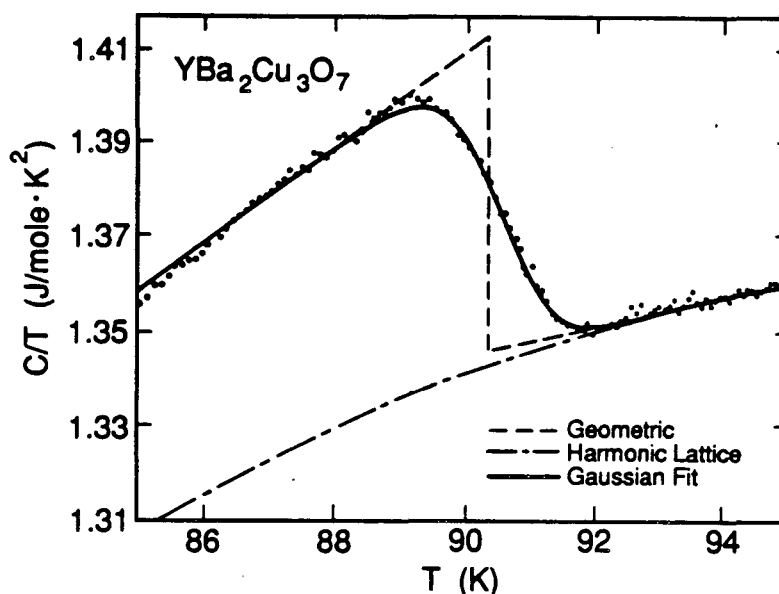


Figure 3. Specific-heat data for YBCO near  $T_c$  with several constructions used to determine  $\Delta C(T_c)$  as described in the text.

illustrated in Fig. 3, with data obtained on a polycrystalline sample of YBCO. The dot-dash curve is an estimate of  $(C_l + C_{en})$  based on a harmonic lattice approximation (6) with the addition of a term proportional to temperature which is fitted to the data from 50 to 280K, with the region between 70 and 110K excluded from the fit, and the data below 70K corrected for a small contribution from  $C_{en}$  (n and s refer to normal and superconducting, respectively). The dashed lines in the figure represent simple linear extrapolations of the  $C/T$  data just above and just below  $T_c$ .  $T_c$  and  $\Delta C(T_c)$  are determined by an entropy-conserving construction that equalizes the two areas between the dashed lines and the data. The height of the vertical dashed line,  $\Delta C(T_c)/T_c$  is 66 mJ/mole.K<sup>2</sup> and  $T_c = 90.3K$ . An entropy-conserving construction that uses the dot-dash curve corresponding to the harmonic-lattice background rather than the straight-line extrapolation above  $T_c$  gives  $\Delta C(T_c)/T_c = 69$  mJ/mole.K<sup>2</sup> and essentially the same value of  $T_c$ . The smooth curve through the data is the sum of the estimated lattice contribution and a term representing  $C_{en}$  calculated for a BCS superconductor with a Gaussian spread of  $T_c$ 's. The fit was obtained by adjusting the value of  $\gamma$  for  $C_{en}$  and the width of the Gaussian distribution. The mean  $T_c$  is 91K,  $\gamma = 45$  mJ/mole.K<sup>2</sup> and  $\Delta C(T_c)/T_c = 64$  mJ/mole.K<sup>2</sup>, in reasonable agreement with the other two estimates of  $\Delta C(T_c)/T_c$ . [ $\gamma$  is here used as a scaling factor to fit the observed specific-heat anomaly. Its high value relative to that determined for the normal state--16 mJ/mole.K<sup>2</sup> (7)-- is an indication of strong-coupling effects.]

The width of  $\Delta C(T_c)$  in Fig.3 is probably largely due to sample inhomogeneities which tend to obscure the effects of fluctuations. Figure 4 shows an example of the specific heat for another YBCO sample which has been analyzed using only fluctuations to account for the width of the transition (8). [ $\Delta C = C - (C_l + C_{en})$  was

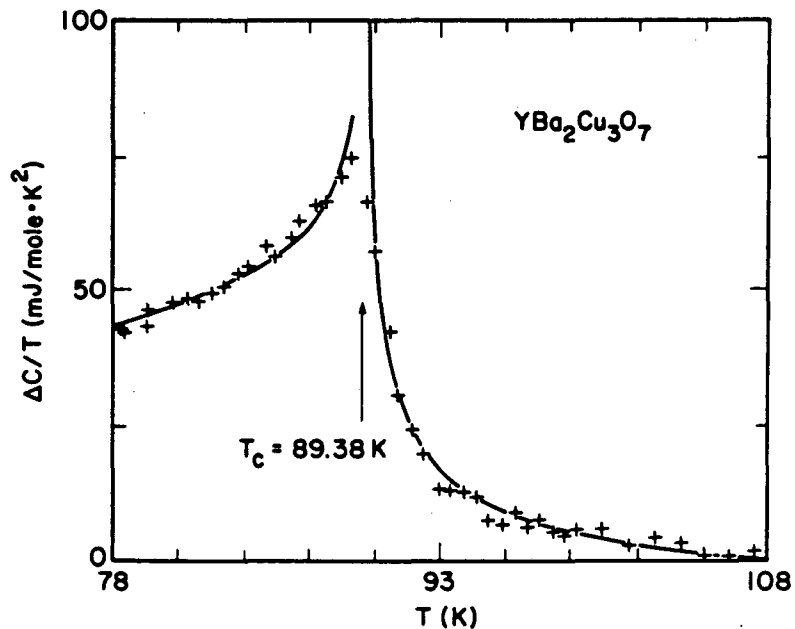


Figure 4. Specific heat of polycrystalline YBCO. The curves represent a fit by the expression for the fluctuation contribution given in the text.

obtained in a similar fashion to that described above for Fig. 3.] The continuous curves represent a BCS like  $C_{\infty}$  with  $T_c=89.38\text{K}$ ;  $\gamma=41\text{ mJ/mole.K}^2$  ( $\gamma$  is also used as a scaling factor here); and with an added 3-dimensional Gaussian fluctuation contribution ( $C_f$ ) given by  $C_f = A^{\pm} |T/T_c - 1|^{1/2}$ . The values of  $A^+$  ( $T>T_c$ ) and  $A^-$  ( $T<T_c$ ) are 0.51 and 0.24 J/mole.K, respectively.

### VOLUME FRACTION OF SUPERCONDUCTIVITY

Independently of the sample-to-sample variation of the width of the anomaly, there is a strong sample dependence of  $\Delta C(T_c)$ . One obvious possibility is that the sample-to-sample variation of  $\Delta C(T_c)$  corresponds to a sample-to-sample variation of  $f_x$ . This possibility can be tested by a comparison of values of  $\Delta C(T_c)$  with the values of two other parameters derived from the specific-heat data that would also be expected to measure  $f_x$ ,  $d\gamma/dH$  and  $\Delta S$  (defined below). If  $d\gamma/dH$  corresponds to the mixed-state electronic specific heat, it should clearly be proportional to  $f_x$ .  $\Delta S$  is defined in Fig. 5 (9). The shaded area represents the entropy decrease produced by the application of a magnetic field between the temperature at which the zero-field and in-field specific heat curves cross ( $T_x$ ) and  $T_c$ . If the zero-field superconducting transition is incomplete,  $\Delta S$  should also be proportional to  $f_x$ . The third law of thermodynamics requires that  $\Delta S$  be equal to the area between the zero-field and in-field curves for  $T<T_x$ . The relation  $\Delta S/T_x = \Delta\gamma$ , where  $\Delta\gamma$  is the value measured at low temperatures, has been found to hold to within a few percent for all samples for which it has been tested, suggesting that  $d\gamma/dH$  is approximately constant over a wide

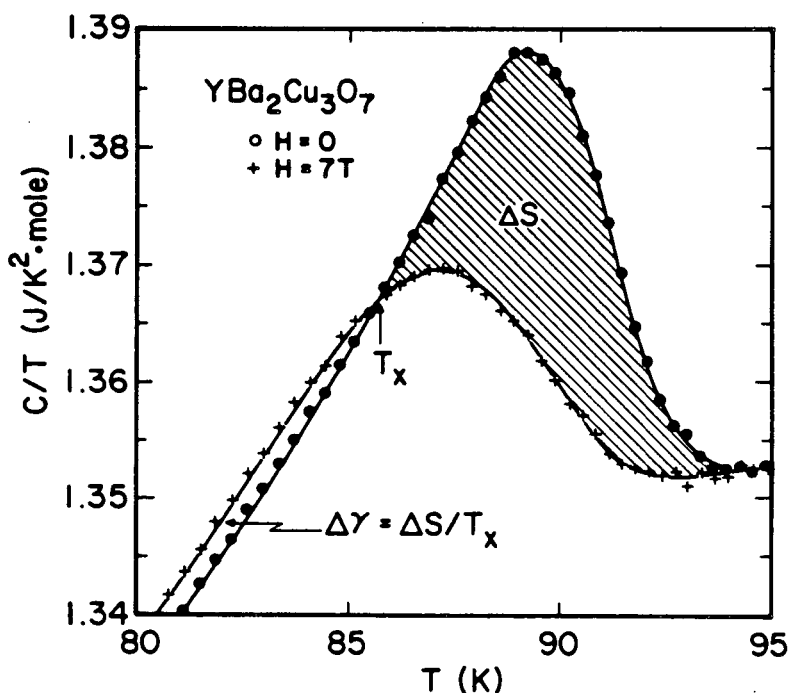


Figure 5.  $C/T$  versus  $T$  for  $H=0$  and  $7\text{T}$ . See text for a discussion of the relation between  $\Delta\gamma$  and  $\Delta S$ .

temperature range extending nearly to  $T_x$ . However, it should be noted that although  $\Delta S$  and  $d\gamma/dH$  are not thermodynamically independent, empirically they are independent measures of  $f_x$ .

In fact, the three quantities  $\Delta C(T_c)/T_c$ ,  $d\gamma/dH$ , and  $\Delta S$  are mutually proportional, as illustrated in Fig. 6, where  $d\gamma/dH$  and  $\Delta S$  are plotted versus  $\Delta C(T_c)/T_c$ . [Since  $T_c$  is essentially constant for these samples, it does not matter whether  $\Delta C(T_c)$  or  $\Delta C(T_c)/T_c$  is used for this purpose.] The interpretation of all three quantities as measures of  $f_x$  is supported by these correlations. [It could be argued that the variations in  $\Delta C(T_c)/T_c$ ,  $d\gamma/dH$  and  $\Delta S$  might be due to variations in the density of electron states. However, the temperature-independent term in the high-temperature susceptibility is constant, suggesting that the electron density of states is also constant.]

Although  $\Delta C(T_c)/T_c$ ,  $d\gamma/dH$  and  $\Delta S$  are all proportional to  $f_x$ , there is at this point no means of identifying the values corresponding to  $f_x = 1$ . For that purpose, the correlations of the three quantities with  $n_2$  is useful, as shown in Fig. 7 for  $\Delta C(T_c)/T_c$ . The fact these parameters correlate with  $n_2$  at all shows that a substantial number of the  $\text{Cu}^{2+}$  ions that contribute to  $n_2$  must be located on the YBCO lattice. The scatter of the points in Fig. 7 probably reflects not only uncertainty in  $\Delta C(T_c)$  but also the possibility that some of the magnetic moments counted in  $n_2$  are in impurity phases and do not contribute to the effect on  $\Delta C(T_c)$ .

The correlation between  $\Delta C(T_c)/T_c$  and  $n_2$  displayed in Fig. 7 implies a maximum value of  $\Delta C(T_c)/T_c$  that is approximately  $77 \text{ mJ/mole.K}^2$ . For other values of  $\Delta C(T_c)/T_c$ ,  $f_x = [\Delta C(T_c)/T_c]/77$ . Essentially the same conclusion has been reached by the Geneva group (10) on the basis of somewhat different, but related, evidence.

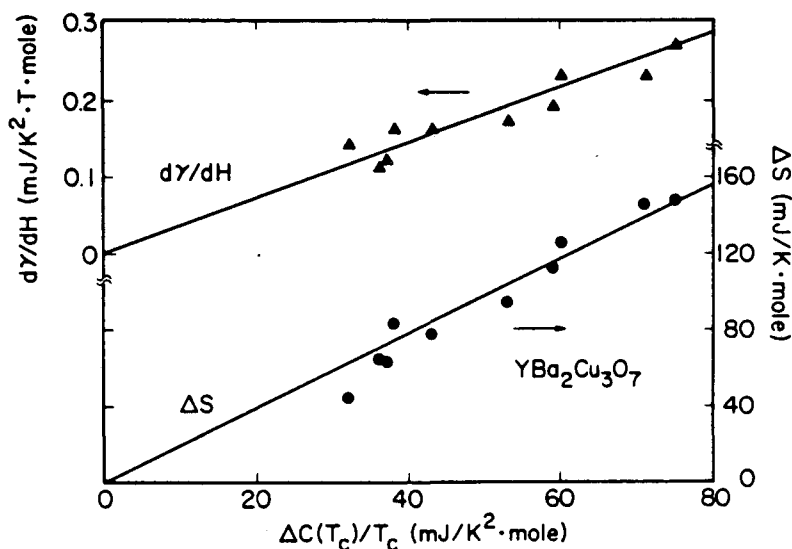


Figure 6. Correlation of  $d\gamma/dH$  and  $\Delta S$  with  $\Delta C(T_c)/T_c$ .

An interpretation of the above observations, consistent with the small values of  $\xi$ , is that defects suppress superconductivity in their immediate vicinity, leaving  $T_c$  unchanged elsewhere. The  $\text{Cu}^{2+}$  moments measured by  $n_2$  may act as pair-breaking centers or they may constitute a measure of the concentration of another defect that has the primary role. Other evidence that supports this interpretation (but does not distinguish the two possibilities for the role of the  $\text{Cu}^{2+}$  moments) is the existence (7) of a contribution to  $\gamma(0)$  that is proportional to  $n_2$ .

Another interesting correlation is that of the  $T=0$  Debye temperature  $[\theta(0)]$ , derived from  $B_3$ , with  $f_2$ . It is displayed in Fig. 8 and suggests that  $\theta(0)=545\text{K}$  for fully superconducting material and  $345\text{K}$  for non-superconducting material. This correlation may be evidence in support of the conjecture that there is a change in the lattice accompanying the transition to the superconducting state, or it may simply be a direct effect of the defects on the vibration spectrum.

#### COUPLING STRENGTH AND ELECTRON DENSITY OF STATES

The value of  $f_2$  can be used in the analysis of specific-heat data near  $T_c$  to obtain information about the strength of the coupling. An example is illustrated in Fig. 9 with data for a YBCO sample prepared by the citrate pyrolysis method. The analysis is based on the  $\alpha$  model (11), a model that takes into account the possibility of strong coupling effects. The thermodynamics of the transition corresponds to a gap with the BCS temperature dependence but scaled by a constant factor, represented by  $\alpha=\Delta_0/kT_c$ . Application of the  $\alpha$  model gives the value of  $\alpha$ , determined by the shape of the anomaly, and also  $f_2\gamma$ , determined by the amplitude.

The dotted and solid curves in Fig. 9 represent, respectively, approximations to  $C_n$  and  $C_s$ .  $C_n=C_2+\gamma T$ , where  $C_2$  is assumed to consist of dilatation and harmonic terms (6).  $C_n$  was obtained by fitting the data above  $96\text{K}$  and between  $62\text{-}65\text{K}$ , the region expected to include the temperature ( $T_2$ ) at which  $C_n=C_s$ . The solid curve is

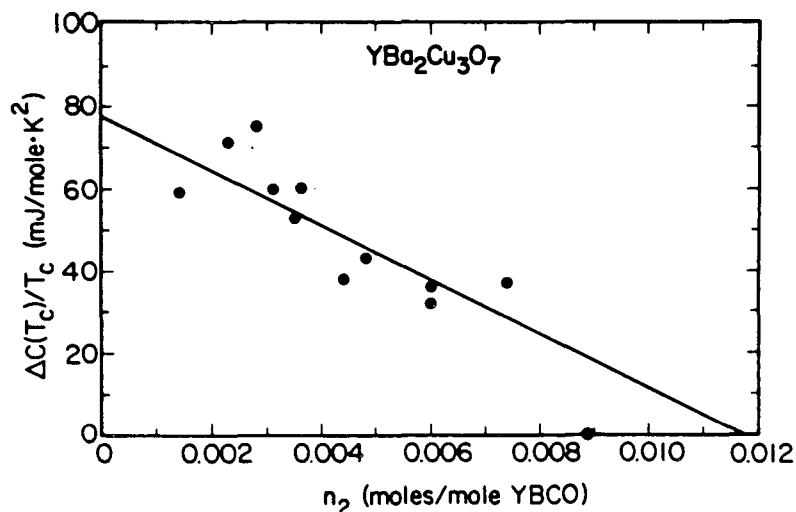


Figure 7. Correlation of  $\Delta C(T_c)/T_c$  with  $n_2$ .

given by  $C_s = C_e + f_s C_{es} + (1-f_s)\gamma T$ , where  $C_{es}$  is calculated by assuming a Gaussian distribution of transition temperatures centered on  $\langle T_c \rangle$  with width  $\Delta T_c$ . The fit parameters for  $C_s$  are given in Fig. 9. (The inclusion of a small fluctuation contribution would slightly improve the fit near 93K, but is not essential.) From the fit:  $\gamma = 15 \pm 3$  mJ/mole.K<sup>2</sup> (for this sample  $f_s = 0.83$ ); the gap ratio  $2\Delta_0/kT_c = 6.8 \pm 0.6$ , 1.9 times the weak-coupling BCS value;  $\Delta C(T_c)/\gamma T_c = 5.3$ , approximately three times the weak-coupling BCS value;  $T_s/T_c = 0.69$  compared with 0.51 for the weak-coupling limit. These comparisons clearly point to the importance of strong-coupling effects.

The value of  $\gamma$  obtained by analysis of the specific-heat anomaly using the  $\alpha$  model and the value of  $f_s$  is in reasonable agreement with values obtained by several other methods (4): 16 mJ/mole.K<sup>2</sup>, from extrapolation of the  $n_2$ -proportional term in  $\gamma(0)$  to the value of  $n_2$  at which  $f_s = 0$ ; 18 mJ/mole.K<sup>2</sup>, from extrapolation of the H-proportional term in  $\gamma(H)$  to  $H_{c2}(0)$ ; and 20 mJ/mole.K<sup>2</sup> from an analysis of high-temperature specific-heat data. Comparison of these values with band-structure calculations which give the bare density of states  $\gamma_{bs} = \gamma/(1+\lambda)$  provides an estimate of  $\lambda$ . The result, based on  $\gamma = 17$  mJ/mole.K<sup>2</sup>, and  $\gamma_{bs} = 16$  mJ/mole.K<sup>2</sup> (12) to 13 mJ/mole.K<sup>2</sup> (13), is  $\lambda \sim 0.1-0.3$ . These relatively small values of  $\lambda$  are inconsistent with the strong-coupling effects deduced above if the coupling is via the phonons and the gap has the BCS temperature dependence.

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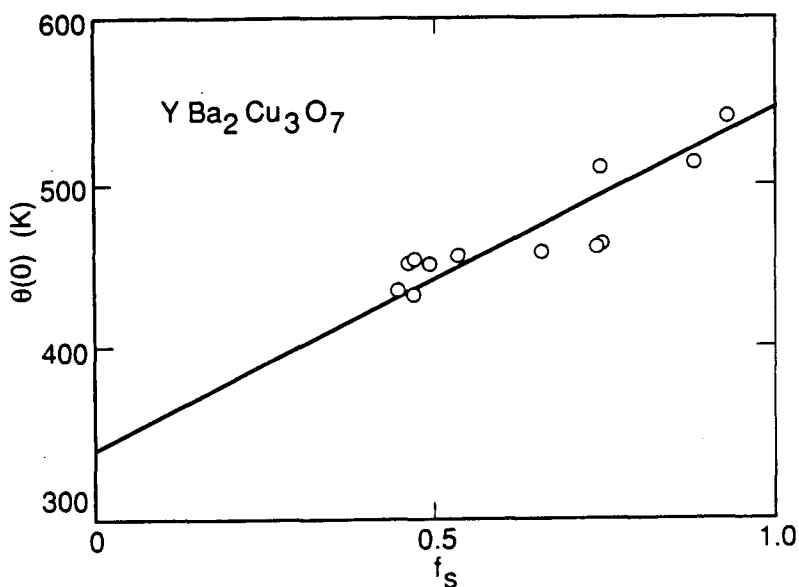


Figure 8. Low-temperature limiting Debye temperature as a function of  $f_s$ .

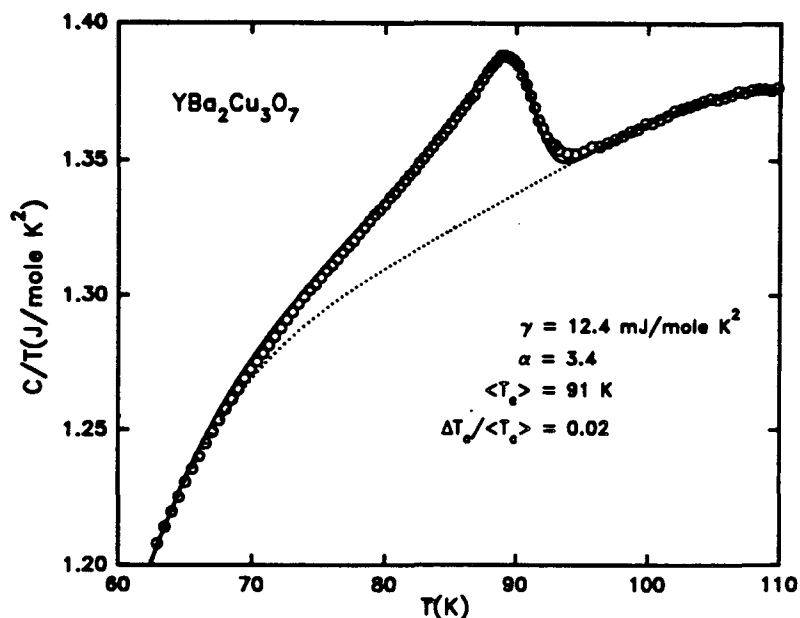


Figure 9. Fit of  $C$  to the  $\alpha$  model. See text for discussion.

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