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## Molecular genetic analysis subdivided by adversity exposure suggests etiologic heterogeneity in major depression

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### Abstract

**Objective**—The extent to which major depression is the outcome of a single biological mechanism or represents a final common pathway of multiple disease processes remains uncertain. Genetic approaches can potentially identify etiologic heterogeneity in major depression by dividing patients on their experience of major adverse events.

**Method**—Data are from China, Oxford, and VCU Experimental Research on Genetic Epidemiology (CONVERGE), a study of Han Chinese women with recurrent major depression aimed at identifying genetic risk factors for major depression in a rigorously ascertained cohort carefully assessed for key environmental risk factors ( $n = 9599$ ). To detect etiologic heterogeneity, genome-wide association studies (GWAS), heritability analyses, and gene-by-environment interaction analyses were performed.

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**Results**—GWAS stratified by exposure to adversity revealed three novel loci associated with major depression only in subjects with no history of adversity. Significant GxE interactions were seen between adversity and genotype at all three loci and 13.2% of major depression liability can be attributed to genome-wide interaction with adversity exposure. The genetic risk in major depression for samples who reported major adverse life events (27%) was partially shared with that in samples who did not (73%) (genetic correlation = +0.64). Together with results from simulation studies, these findings suggest etiologic heterogeneity within major depression as a function of environmental exposures.

**Conclusions**—The genetic contributions to major depression in women may differ in those with and without major adverse life events. These results have implications for the molecular dissection of major depression and other complex psychiatric and biomedical diseases.

### Keywords

major depressive disorder; childhood sexual abuse; stressful life events; genome-wide association; etiologic heterogeneity; clinical heterogeneity; gene-by-environment interaction

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### Introduction

The heterogeneity of major depression, demonstrated by variable symptom presentation, course of illness, and treatment response, has hindered our understanding of its etiology (1,2). To counter this, researchers have attempted to study homogeneous subtypes (e.g., atypical depression, early age-of-onset) (3,4). Indeed, the decades-long debate about the number of distinct depressive subtypes remains unresolved (1). Of particular interest, a large literature suggests that major depression can be usefully divided into a stress-responsive subtype (e.g., “reactive” depression) and a subtype with no apparent environmental precipitants (e.g., “endogenous”) (5–9).

In this paper, we examine whether genetic approaches can identify etiologically heterogeneous depressive subtypes. We explore whether the two main classes of known causal factors for major depression, genes and environment, represent partially distinct pathways to major depression. Genetic effects on major depression are well established from twin studies (10) and genome-wide association data (11–13). Molecular genetic analysis reveals that major depression, like other complex diseases, has a polygenic architecture with multiple loci of small effect (14, 15).

Adversity exposure increases risk for major depression (16) with a dose-response relationship between severity of stressors and disease risk (17–19). Results of co-twin control studies suggest that this association is largely causal (18, 20). However, adversity is neither necessary nor sufficient to produce major depression and it has been difficult to identify clinical features distinguishing cases of major depression with versus without environmental precipitants (21–23).

Genetic risk factors for major depression not only alter average risk but also influence sensitivity to depressogenic effects of environmental adversities, particularly childhood maltreatment and adult life events (24–26). For example, exposure to severe stressful life

events increases risk to major depression more strongly in those with high versus low genetic liability (27). Despite strong effects of environmental exposures on major depression risk, there have been limited efforts incorporating these factors into large-scale molecular genetic studies. Furthermore, the major depression-associated genetic loci identified to-date only account for a small portion of the variance in disease liability (12–14), underscoring the importance of continued research on detecting heterogeneity as a mechanism to identify etiologically relevant determinants.

Therefore, we investigate whether genetic approaches can demonstrate etiologic heterogeneity among major depression cases by classifying individuals on the basis of adversity exposure. Using data from the **China, Oxford, and VCU Experimental Research on Genetic Epidemiology (CONVERGE)** (13), a study of Han Chinese women with recurrent major depression ( $n=9599$ ) aimed at identifying genetic risk factors in a rigorously ascertained cohort assessed for key environmental risk factors, we explore whether major depression with and without major environmental adversities may represent, from a genetic perspective, partially distinct subtypes.

## Methods

### Sample collection

Recurrent major depression cases were recruited from 58 provincial mental health centers and psychiatric departments of medical hospitals in 45 cities and 23 provinces of China. Controls were recruited from multiple locations including general hospitals and local community centers. Subjects were Han Chinese women with four Han grandparents. Cases were ages 30–60 and had two episodes of major depression meeting DSM-IV criteria with the first episode at ages 14–50. The study was approved by the Ethical Review Boards of Oxford University and participating hospitals. All participants provided written informed consent. Details on sample collection, phenotypes, and sequencing are reported elsewhere (13,14,28).

### Adversity measures

A binary measure of adversity was derived from self-reported stressful life events and childhood sexual abuse (Supplemental Methods). The stressful life events questionnaire was adapted from a prior study (29) and assessed 16 traumatic events and their age at occurrence (Supplemental Table 1). The childhood sexual abuse questionnaire was a shortened version of a scale (30) that queried whether, before aged 16, any older person involved them in unwanted sexual incidents including sexual invitation, fondling, and intercourse. Subjects were considered “adversity-exposed” if they a) had data on stressful life events and childhood sexual abuse and b) endorsed any childhood sexual abuse and/or had high aggregate stressful life event scores ( $+3SD$ ). Since life events vary in severity, our score was constructed by weighting each event by its estimated effect-size on major depression and summing across events. Stressful life events for cases were only included if they preceded depression onset. We thereby grouped subjects into “adversity-exposed” and “unexposed” subgroups.

## Genome-wide association

Genome-wide associations between 4,313,801 imputed autosomal single nucleotide polymorphisms (SNPs) (with minor allele frequency (MAF) >5%, imputation information >0.95,  $P$ -value for violation of Hardy-Weinberg equilibrium > $10^{-6}$ ) and major depression was performed in: a) whole cohort, b) reduced cohort unexposed to adversity ('unexposed'), and c) only those exposed to adversity ('exposed') using linear mixed modeling (BOLT-LMM v.2.2) (31). To calibrate the BOLT-LMM statistic we calculated linkage disequilibrium (LD) scores of each SNP using LDSC (v.1.0.0) (32). The kinship matrix used was constructed from 413,669 LD pruned SNPs (LD <0.8). PLINK v.1.9 (33,34) was used for logistic regression to obtain odds ratios for top variants identified from BOLT. SNPs with  $P$ -values smaller than  $5 \times 10^{-8}$  were selected for gene-by-environment interaction tests. Regional association plots were constructed using LocusZoom v.0.4.8 (35).

## Polygenic risk scores

Polygenic risk scores (PRS) for CONVERGE have been previously constructed by two methods (14,36). First, using a random independent 50–50 split (Sample-1, Sample-2) we estimated Sample-1 SNP effects using the best linear unbiased prediction (BLUP) method implemented in GCTA and tested PRS constructed using the profile option in PLINK using SNP BLUP-solutions as weights in Sample-2 and vice versa (Conv-PRS) (14). Second, using summary statistics from the Psychiatric Genomics Consortium (PGC) meta-analysis of European studies of major depression, recurrent depression PRS were constructed from SNP weights based on  $P$ -value threshold <0.2 (36).

## Interaction test

Gene-by-environment interaction effects were tested at loci identified from GWAS. Interaction was tested on both the multiplicative (logistic regression) and additive scales (blm package in R) (37–39) including 10 principal components as covariates to control for population stratification.

## Random-effect meta-analysis

Heterogeneity of SNP effects on depression in the adversity-exposed and unexposed cohorts was tested using random-effect models that identify both main and heterogeneity effects and Cochran's  $Q$ -test, all implemented in METASOFT v.2.0.1 (40,41).

## Heritability estimation

SNP-based heritability ( $h^2_{\text{SNP}}$ ) was estimated using GCTA v.1.26.0 (42) with a genetic relatedness matrix (GRM) constructed from 413,669 LD pruned SNPs and 10 principal components used as covariates in a) the full cohort, b) the adversity-exposed cohort, and c) the unexposed cohort. Using the bivariate option (43) the genetic correlation ( $\rho$ ) was estimated for major depression between the adversity-exposed and unexposed subgroups, for adversity between major depression-cases and controls, and between major depression and adversity. GCTA was used to estimate the proportion of variance in major depression due to aggregate additive gene-by-environment interaction between adversity and all GRM SNPs.

Details for ascertainment adjustment ( $K$ ) and alternative  $h^2_{\text{SNP}}$  estimation using LDAK (44) and PGC-s are in the Supplemental Methods.

### Simulations of etiologic heterogeneity

We used simulations to mirror genetic approaches to discern features of heterogeneity and to demonstrate stratification of samples by adversity is an appropriate means for uncovering heterogeneous genetic effects (Supplemental Methods). Three scenarios were applied: a) SNP effect and adversity exposure contribute additively to liability (no etiologic heterogeneity), b) SNP effect is only present in the adversity unexposed (reflecting etiologic heterogeneity), and c)  $h^2_{\text{SNP}}$  estimates under the presence and absence of etiologic heterogeneity by replacing the single causal SNP with polygenic contributions. For each simulation, SNP effects were tested under four logistic regression models: I) ignoring effects of adversity, II) controlling for effects of adversity by incorporating it as a covariate, III) including an interaction between SNP and adversity, and IV) analyzing adversity-exposed and unexposed cohorts separately. For scenarios a) and b), we simulated 1,000 independent replicates of a SNP effect (matching SNPs associated in the unexposed) on a disease with prevalence of 5% in a cohort with adversity exposure, prevalence, and sample sizes matching CONVERGE. For scenario c), the single causal SNP was replaced with polygenic contributions from 10,000 simulated independent SNPs.

## Results

### Association between adversity and major depression

Adversity was significantly associated with major depression, confirming prior analyses (45,46). Depression cases experienced significantly more life events than controls ( $P=2.66\times 10^{-81}$ , Supplemental Figure 1, Supplemental Table 1). Childhood sexual abuse was significantly associated with major depression (10.3% cases vs. 2.5% controls, odds ratio=2.98,  $P=2.6\times 10^{-19}$ ) with effects increasing with greater abuse severity (Supplemental Figure 2). Together, stressful life events and childhood sexual abuse accounted for 11.6% of the phenotypic variance in major depression. Twenty-seven percent of the sample (1,646 cases, 982 controls) was adversity-exposed and 73% (3,139 cases, 3,832 controls) was not. Supplemental Table 2 shows rates of key clinical features by adversity exposure. Adversity-exposed individuals endorsed higher levels of neuroticism, younger age of onset, and were more likely to have comorbid dysthymia and anxiety disorders.

### Genome-wide association of major depression in cohorts with and without adversity

Figure 1 shows Manhattan plots for the GWAS of major depression in: a) CONVERGE subjects with complete information on adversity ( $n=9,599$ ), b) those who reported adversity ( $n=2628$ ), and c) those without adversity ( $n=6971$ ). The genomic control factors ( $\lambda$ ) were 1.047, 1, and 1.047; the adjusted measure to that of 1,000 cases and 1,000 controls ( $\lambda_{1000}$ ) were 1.01, 1, and 1.014 respectively (Supplemental Figure 3).

In the adversity-exposed subset (Figure 1b), no locus exceeded  $P<5.0\times 10^{-8}$ . In the subset without adversity, neither of the two previously reported loci on chromosome 10 exceeded  $P<5.0\times 10^{-8}$  (rs12415800:  $P=3.2\times 10^{-6}$ , rs35936514:  $P=8.7\times 10^{-5}$ ), likely due to reduced

power as odds ratios were not significantly different from the full cohort odds ratios (Supplemental Figure 6, Supplemental Table 10). However, three novel loci were detected (Table 1, Figure 1c): i) on chromosome 1 near *LPGATI* (lysophosphatidylglycerol acyltransferase 1) (rs7526682, chr1:211973950, MAF=13.3%,  $P=3.0\times 10^{-8}$ , odds ratio=1.31, Figure 2a), ii) on chromosome 1 in *CIORF95* (rs11577545, chr1:226799083, MAF=21.5%,  $P=3.1\times 10^{-8}$ , odds ratio=1.25, Figure 2b), and iii) on chromosome 8 at the 5' end of *SLC25A37* (Mitoferrin-1) (rs950893, chr8:23450510, MAF=28.0%,  $P=6.9\times 10^{-9}$ , odds ratio=0.79, Figure 2c).

Comparison of these newly identified loci with the PGC mega-analysis of European studies (11) revealed an association between rs950893 on chromosome 8 and major depression ( $P=0.009$ ), in the same direction as observed in CONVERGE. In contrast, the chromosome 1 loci (rs7526682, rs11577545) were not associated in the PGC study ( $P=0.37$ ,  $P=0.81$ ), although results were in the same direction (Supplemental Figure 4).

We performed four further analyses on the three newly identified SNPs in the unexposed group. First, to determine if results were due to stochastic effects, we randomly removed samples equal in size to the adversity-exposed group 10,000 times and obtained empirical distributions of odds ratios at these SNPs for major depression (Supplemental Methods). Our results were unlikely to have arisen by chance as all SNPs showed significant deviation in odds ratio from the full cohort (rs7526682 99.9th percentile of the empirical distribution of odds ratios, rs11577545 100th percentile, rs950893 0.2th percentile) (Supplemental Figure 5). In comparison, the two previously reported SNPs on chromosome 10 (rs12415800, rs35936514) were not significant (Supplemental Figure 6).

Second, we tested for statistical interaction between adversity and the minor allele at each locus and compared findings to results including adversity as a covariate. For the two previously reported SNPs (rs12415800, rs35936514), the interaction terms were not significant. The three newly identified SNPs, however, all had significant multiplicative and additive interaction terms (Table 2, Supplemental Table 3, Supplemental Figure 7).

Third, we investigated differences in variant effects in the adversity-exposed and unexposed groups using random-effect meta-analysis. Supplemental Table 4 shows significant effect-size heterogeneity at the three new loci (Q-tests: rs7526682  $P=3.13\times 10^{-4}$ , rs11577545  $P=9.42\times 10^{-6}$ , rs950893  $P=1.82\times 10^{-4}$ ) and significant random effect tests for heterogeneity ( $P=1.02\times 10^{-7}$ ,  $P=1.07\times 10^{-7}$ , and  $P=2.34\times 10^{-8}$ , respectively). This method detected significant heterogeneity of SNP effects across the adversity exposure groups for the three newly identified loci. Major depression case-only and control-only association of adversity also demonstrated effect-size differences at these variants (Supplemental Table 8).

Fourth, we performed simulations to determine whether the difference in the estimated SNP effects between the adversity-exposed and unexposed groups implicates heterogeneity. The average logistic regression results for scenario a) (no heterogeneity) are displayed in the left panel of Table 3. Three results are noteworthy. First, in model IV (analyzing adversity groups separately), the  $P$ -values are orders of magnitude less significant than in models I (adversity ignored) and II (adversity as covariate). Second, as no heterogeneity is simulated,

the  $P$ -value difference between the two groups in model IV must only reflect power differences, not heterogeneity. Crucially, this shows that disparate  $P$ -values between cohorts alone does not indicate heterogeneity. Third, the  $G \times E$  interaction test in model III is well calibrated and shows no evidence of (false) inflation. These features of homogeneous SNP effects are all evident for both loci on chromosome 10.

Next, we modified the baseline simulation by making the SNP causal only in the adversity-unexposed group (scenario b) and performed the same tests (Table 3, right panel). The presence of heterogeneity induces three novel features: the genetic effect-sizes for each cohort estimated in model IV are now different; the unexposed cohort test in model IV is more powerful than the test in model I (ignoring adversity), despite an attendant reduction in sample size; and the  $G \times E$  interaction test in model III is statistically significant. These simulation results all distinguish the loci on chromosomes 1 and 8 from those on chromosome 10.

### **The genetic basis for major depression in adversity-exposed and unexposed groups may differ**

To determine the presence of heterogeneity on aggregate genetic effects, estimates of the additive SNP contribution ( $h^2_{\text{SNP}}$ ) on the liability scale, after correction for sample ascertainment, were compared between adversity-exposed and unexposed depressive subgroups. Without etiologic heterogeneity,  $h^2_{\text{SNP}}$  in subgroups should be similar to that in the entire sample. However, given genetic heterogeneity,  $h^2_{\text{SNP}}$  may be larger in both subgroups than in the entire sample.

Although the  $h^2_{\text{SNP}}$  estimate of major depression in the adversity unexposed cohort ( $h^2_{\text{SNP}} = 38.0\%$ ,  $SE = 4.8\%$ ,  $P = 1.11 \times 10^{-16}$ ) was higher than the exposed cohort ( $h^2_{\text{SNP}} = 34.2\%$ ,  $SE = 15.9\%$ ,  $P = 0.013$ ), and the overall combined major depression sample ( $h^2_{\text{SNP}} = 30.5\%$ ,  $SE = 3.7\%$ ,  $P < 10^{-16}$ ), they were not statistically different. Because differences in  $h^2_{\text{SNP}}$  estimation methods may impact estimates and their interpretations (44,47–49), we accounted for LD in dense, imputed data using LDAK (44) and assessed underestimation from restricted maximum likelihood using PCGC-s. These results were consistent with results from GCTA (Supplemental Table 5).

Second, the proportions of variance in major depression due to aggregate additive  $G \times E$  interaction between adversity and all GRM SNPs, Conv-PRS, or PGC-PRS were estimated. The interaction component for the  $\text{GRM} \times \text{Adversity}$  term was significant ( $P = 0.038$ ), with the proportion of variance attributable to additive genetic ( $h^2_{\text{SNP}}$ ) and  $G \times E$  interaction components estimated at 23.3% ( $SE = 5.8\%$ ) and 13.2% ( $SE = 7.4\%$ ) respectively. However, none of the  $\text{PRS} \times \text{Adversity}$  interactions were significant (Supplemental Table 6) perhaps due to limitations of a PRS-based approach (Supplemental Material).

Third, the genetic correlation of major depression between the adversity-exposed and unexposed cohorts was estimated at +0.64 ( $SE = 0.23$ ). While less than unity, this is known so imprecisely that it is not significantly different from 1 (95%  $CI = [0.19, 1.0]$ ).



Finally, we consider which models of genetic architecture are consistent with observed trends. The resulting  $h^2_{\text{SNP}}$  estimates from the overall cohort with and without adversity exposure are shown in Supplemental Figure 9. The two within-cohort heritabilities, along with genetic correlation and G×E estimates, are shown in Supplemental Figure 10. The results confirm our prior intuition: without heterogeneity, within-group heritabilities coincide with the overall average heritability, though the reduced sample sizes induce larger variance in the within-group estimators; however, as heterogeneity increases (or causal variant sharing decreases) the overall heritability decreases while the within-cohort heritabilities remain constant.

### Exposure to adversity may have a heterogeneous genetic basis

One interpretation of our findings is that the presence of adversity in one group attenuates the contribution of genetic effects. However, self-reported environmental measures are moderately heritable (reviewed in (50)) and ~29% of the variance in the number of stressful life events has been attributed to SNPs (51). Here, the  $h^2_{\text{SNP}}$  of adversity was 18.2% in the overall sample (SE=6.2%,  $P=0.001$ ,  $K=0.215$ ), 25.7% (SE=12.0%,  $P=0.013$ ,  $K=0.344$ ) among major depression cases, and 44.2% for controls (SE=14.7%,  $P=0.001$ ,  $K=0.20$ ). The genetic correlation of adversity between major depression cases and controls was +0.34 (SE=0.31) but was not statistically significant.

### Assessment of G-E correlation

Since G–E correlation can bias G×E results, we tested for G–E correlation by three methods. The estimated SNP-based genetic correlation between major depression and self-reported adversity was negligible as  $\rho=-0.02$  (SE=0.15) and not significantly different from 0 ( $P=0.45$ ). Additional tests of G–E correlation examined association of major depression-PRS with adversity and were not significant (Supplemental Table 7). An exploratory test of G–E correlation examined by genome-wide correlation of SNP odds ratios for adversity between major depression-cases and controls was also small ( $r=0.008$ , Supplemental Table 9, Supplemental Figure 8). These results do not support significant systematic G–E correlation in our sample between major depression and adversity exposure.

## Discussion

We applied molecular genetic methods to a large sample of carefully characterized depressed women to evaluate etiologic heterogeneity between those exposed versus unexposed to severe environmental adversities. These efforts yielded three major findings.

First, classifying samples based on adversity exposure identified genetic loci with heterogeneous effects. We identified three novel loci on chromosomes 1 and 8 that confer risk of major depression only among individuals *unexposed* to adversity. The newly discovered locus on chromosome 8 is at the 5' end of the SLC25A37 gene which encodes an iron carrier localized in the mitochondrial inner membrane (52) adding further support for a mitochondrial role in major depression (13,14,53). Second, we found evidence for interaction between adversity and genotype at all three loci. Third, we provide modest evidence for heterogeneity at the whole-genome level: i) 13.2% of the variance in major

depression liability arises from interaction between genome-wide SNP effects and adversity, ii) genetic correlation for major depression between subgroups with and without adversity exposure was +0.64, and iii) although confidence intervals overlapped, SNP-based heritability estimates of major depression in the unexposed subgroup was higher (~39%) than the overall sample (30%). Furthermore, simulations reflecting etiologic heterogeneity are consistent with our results.

These results have several implications. First, they provide support for long-debated typology that major depression patients can be meaningfully divided into those whose illness arises in reaction to environmental stressors and those whose disorder emerges “from within” (5–8). The genetic substrates of these two forms of major depression appear to be correlated but not identical, and some genetic factors may have subtype specific effects.

Second, these findings provide insight into how effects of genes and environment combine to give rise to major depression. A leading hypothesis consistent with prior studies (24,25,27) is that certain genes have a stronger impact on risk for major depression in adversity-exposed than unexposed individuals. We unexpectedly find, that for three loci, an opposite pattern in which effects were *stronger* in cases without adversity exposure. While the CONVERGE sample may contain loci with an increased impact on adversity-exposed individuals, power to detect these is low as only 27% of our sample reported severe adversity.

An appealing interpretation of our findings is that absent environmental stressors, a higher genetic loading is required to cause depression. This cannot, however, explain our findings as it would predict a graded response at the three identified loci in exposed and unexposed individuals. Rather, our results suggest at least two classes of molecular variants that predispose to major depression: those whose effects are present in all cases and those whose effects depend on the history of adversity. In contrast to the three SNPs discovered by stratifying on adversity, results for the two previously reported SNPs on chromosome 10 (13) are consistent with a liability threshold model. Major depression may be a syndrome arising from several partially distinct etiologies. The design of CONVERGE enabled the combination of “deep” phenotypes and genotypes to detect differences in the genetic architecture of those with and without adversity. Other subtypes may be detectable in a similar manner.

Our findings counter the dominant paradigm in psychiatric molecular genetics research that increasing sample size should be the primary method for detecting more genetic loci (12). Here, the newly detected loci were discovered in a sample size 30% smaller than the cohort that yielded the two previously reported loci (13). These results support the value of more detailed phenotyping, especially the assessment of environmental adversities. To characterize major depression etiology, future efforts may need both careful assessment of the phenotype and environmental exposures in large samples.

Three limitations to the study should be noted. First, our power to detect genetic variants with the expected small effect-sizes is limited (Supplemental Table 10). Second, we are unaware of Asian replication cohorts with genetic information and environmental

adversities. Therefore, these results should be considered tentative, although their plausibility is supported by simulations. Third, our assessments of age at onset of depression and adversity exposure were retrospective. Although interviewers encouraged effortful responding, we cannot rule out recall biases. Despite these limitations, our results highlight the value of empirically driven approaches to address heterogeneity and provide a framework applicable to other complex psychiatric diseases to identify putative subtypes and etiologically relevant genetic variation.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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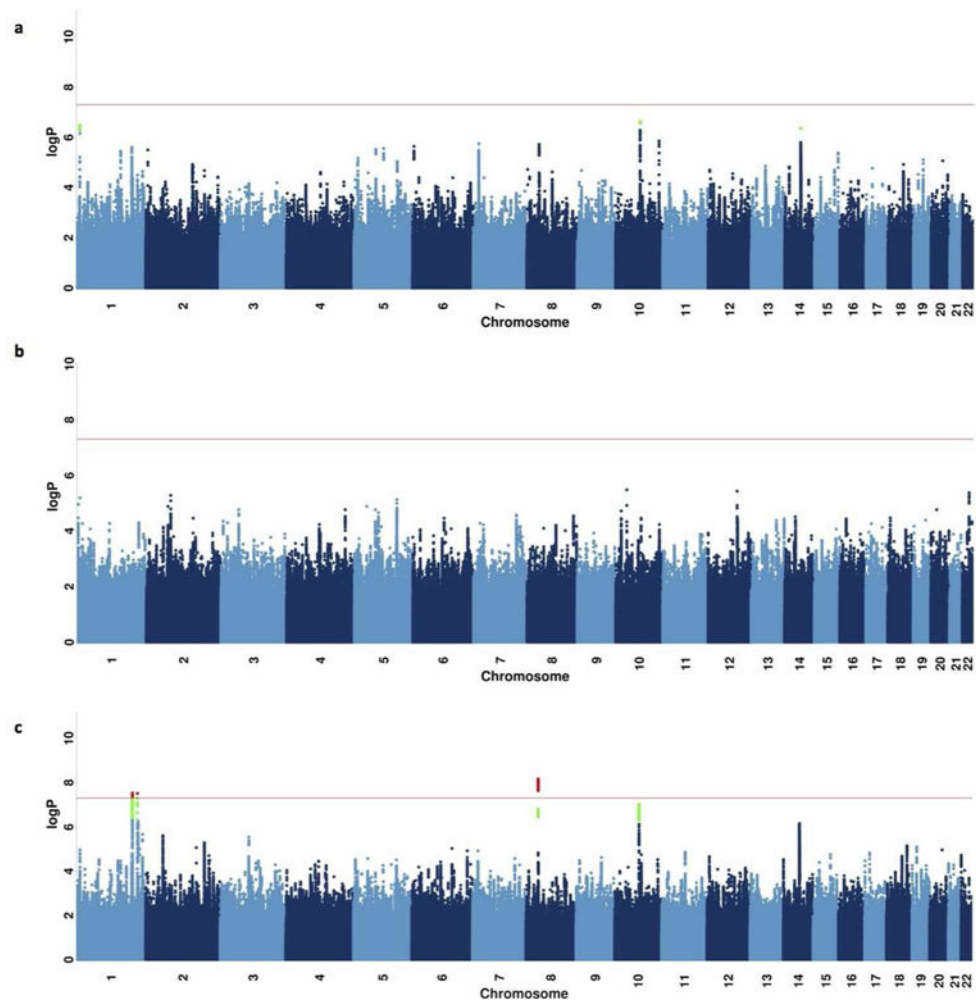
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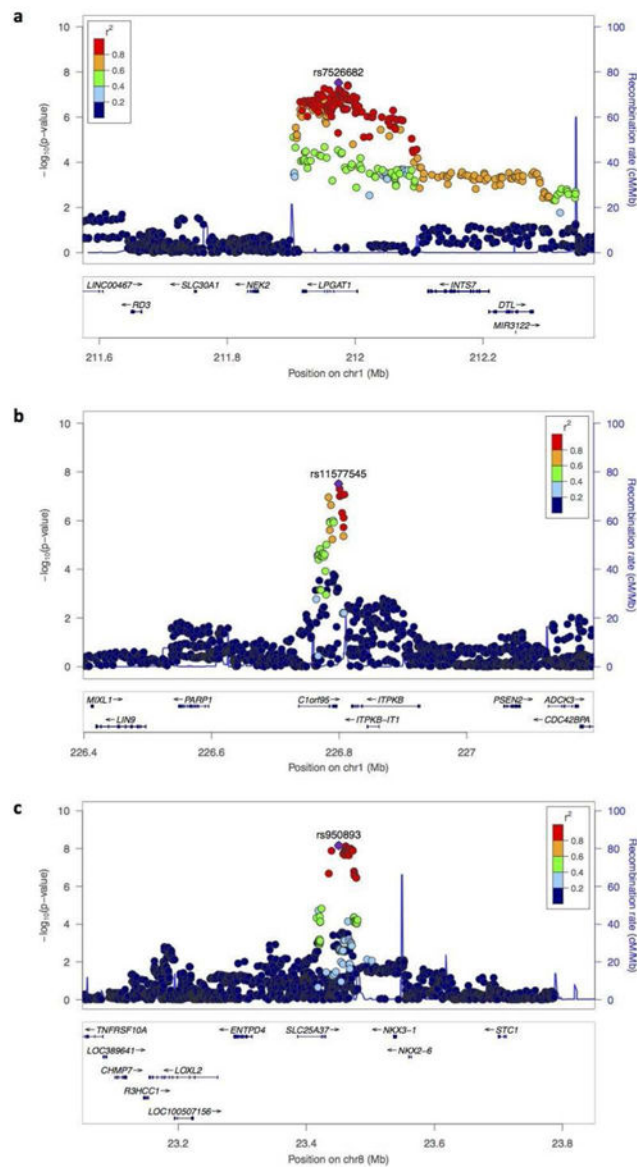
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**Figure 1.** Manhattan plots of loci associated with major depression. (a) Manhattan plot of major depression for all subjects for whom information on exposure to adversity is available; (b) Manhattan plot of major depression in a subgroup reporting exposure to adversity; (c) Manhattan plot of major depression in a subgroup reporting no exposure to adversity. In each plot, the  $-\log_{10} P$ -values of imputed SNPs associated with major depression by leave-one-chromosome-out linear mixed model association in BOLT-LMM are shown on the left y axes. The horizontal axis gives the position on each chromosome; chromosomes are numbered below the axis.



**Figure 2.**

Genes at three loci associated with major depression in the subgroup reporting no adversity. (a) Locus on chromosome 1, 212 Mb, over the gene encoding lysophosphatidylglycerol acyltransferase 1 (*LPGAT1*) (peak SNP = rs7526682); (b) Locus on chromosome 1, 226 Mb, over the gene *C1orf95* (peak SNP = rs11577545); (c) Locus on chromosome 8 at 23.4Mb, over the 3' end of the mitoferrin gene *SLC25A37* (peak SNP = rs950893). The  $-\log_{10} P$ -values of imputed SNPs associated with major depression by logistic regression are shown on the left y axes together the recombination rates (NCBI Build GRCh37), represented by light-blue lines, with scales on the right y axes. Genes within the regions are shown in the bottom panels. The horizontal axis gives the chromosomal position in megabases (Mb). The index SNPs are shown as larger purple diamonds labeled by their

marker names; linkage disequilibrium (hg19 1000 Genomes ASN panel Nov 2014) with the remaining SNPs is indicated by different colors.

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**Table 1**

Top SNP associations with major depression in full cohort and subgroups.

rs ID	rs7526682	rs11577545	rs950893	rs12415800	rs35936514
Chr	1	1	8	10	10
Position	211973950	226799083	23450510	69624180	126244970
Major/Minor Alleles	C/G	C/T	A/G	G/A	C/T
MAF	0.13	0.22	0.28	0.46	0.26
<b>Full cohort</b>					
<i>P</i> -value	4.1×10 <sup>-5</sup>	3.2×10 <sup>-5</sup>	1.9×10 <sup>-6</sup>	5.1×10 <sup>-7</sup>	1.8×10 <sup>-6</sup>
z score	4.10	4.16	-4.76	5.02	-4.78
MAF	0.13	0.21	0.28	0.46	0.26
<b>Linear mixed model (BOLT-LMM)</b>					
No adversity					
<i>P</i> -value	<b>3.0×10<sup>-8</sup></b>	<b>3.1×10<sup>-8</sup></b>	<b>6.9×10<sup>-9</sup></b>	3.2×10 <sup>-6</sup>	8.7×10 <sup>-5</sup>
z score	5.54	5.54	-5.79	4.66	-3.93
MAF	0.13	0.23	0.27	0.45	0.27
<b>Adversity</b>					
<i>P</i> -value	3.8×10 <sup>-1</sup>	9.4×10 <sup>-2</sup>	8.2×10 <sup>-2</sup>	6.0×10 <sup>-2</sup>	2.50×10 <sup>-3</sup>
z score	-0.89	-1.67	0.82	1.88	-3.03
<b>Full cohort</b>					
<i>P</i> -value	3.7×10 <sup>-5</sup>	9.0×10 <sup>-5</sup>	6.9×10 <sup>-7</sup>	9.6×10 <sup>-7</sup>	1.5×10 <sup>-6</sup>
odds ratio	1.19	1.15	0.85	1.15	0.85
CI	1.10-1.30	1.07-1.23	0.80-0.91	1.09-1.22	0.80-0.91
<b>No adversity</b>					
<i>P</i> -value	<b>4.6×10<sup>-8</sup></b>	8.0×10 <sup>-8</sup>	<b>2.1×10<sup>-9</sup></b>	2.9×10 <sup>-6</sup>	8.8×10 <sup>-5</sup>
odds ratio	1.31	1.25	0.79	1.17	0.86
CI	1.19-1.45	1.15-1.36	0.74-0.86	1.10-1.26	0.80-0.93
<b>Adversity</b>					
<i>P</i> -value	3.7×10 <sup>-1</sup>	9.7×10 <sup>-2</sup>	4.6×10 <sup>-1</sup>	5.1×10 <sup>-2</sup>	2.0×10 <sup>-3</sup>
odds ratio	0.93	0.90	1.05	1.12	0.82
CI	0.78-1.10	0.79-1.02	0.92-1.19	1.00-1.25	0.72-0.93

This table reports the test statistics at the SNPs associated with major depression in the subgroup unexposed to adversity a) in the full cohort, b) in the subgroup unexposed to adversity (No Adversity) and c) adversity-exposed (Adversity); the minor allele at each SNP is the tested allele. Results from leave-one-chromosome-out linear mixed model association testing in BOLT-LMM and logistic regression with 10 principal components as covariates in PLINK are shown. SNPs showing genome-wide significant associations are shown in bold. Two SNPs (rs12415800 and rs35936514) showing genome wide significant association with major depression in our previous analysis of all samples in CONVERGE (including those without the self-reported adversity measure) are included for comparison.

**Table 2** Tests for gene-by-environment interaction between adversity and genetic variants predicting major depression.

Test	Model 1: Interaction				Model 2: Covariate			
	OR	95%CI	P-value	R <sup>2</sup>	OR	95%CI	P-value	
chr1:rs7526682_G	1.29	1.17–1.43	1.94×10 <sup>-7</sup>	0.0024	1.20	1.10–1.31	2.50×10 <sup>-5</sup>	
Adversity	2.21	1.99–2.46	2.22×10 <sup>-48</sup>	0.0316	2.03	1.85–2.23	5.21×10 <sup>-51</sup>	
adversity:rs7526682	0.73	0.60–0.89	<b>0.0016</b>	0.0013	–	–	–	
chr1:rs11577545_T	1.28	1.17–1.39	<b>1.48×10<sup>-8</sup></b>	0.0018	1.14	1.06–1.23	0.0003	
Adversity	2.41	2.15–2.71	4.38×10 <sup>-49</sup>	0.0311	2.02	1.84–2.22	3.11×10 <sup>-50</sup>	
adversity:rs11577545	0.67	0.57–0.79	<b>9.31×10<sup>-7</sup></b>	0.0032	–	–	–	
chr8:rs950893_G	0.80	0.74–0.86	<b>5.05×10<sup>-9</sup></b>	0.0029	0.86	0.81–0.92	3.28×10 <sup>-6</sup>	
Adversity	1.74	1.54–1.97	6.47×10 <sup>-19</sup>	0.0311	2.02	1.84–2.22	3.04×10 <sup>-50</sup>	
adversity:rs950893	1.31	1.13–1.52	<b>0.0003</b>	0.0018	–	–	–	
chr10:rs12415800_A	1.17	1.10–1.26	2.77×10 <sup>-6</sup>	0.0035	1.16	1.10–1.23	2.87×10 <sup>-7</sup>	
Adversity	2.10	1.81–2.45	2.76×10 <sup>-22</sup>	0.0315	2.03	1.85–2.23	1.00×10 <sup>-50</sup>	
adversity:rs12415800	0.96	0.84–1.10	0.5630	<0.0001	–	–	–	
chr10:rs35936514_T	0.85	0.79–0.92	3.61×10 <sup>-5</sup>	0.0037	0.84	0.79–0.90	1.61×10 <sup>-7</sup>	
Adversity	2.09	1.85–2.36	2.80×10 <sup>-32</sup>	0.0315	2.03	1.85–2.23	7.43×10 <sup>-51</sup>	
adversity:rs35936514	0.95	0.82–1.10	0.5010	<0.0001	–	–	–	

This table shows the odds ratio (OR), 95% confidence interval of OR, and P-value of the minor allele of each single nucleotide polymorphism (SNP) association with major depression in the full cohort in logistic regression, with an interaction term between SNP and self-reported adversity (adversity:SNP) term included in Model 1, and without it in Model 2. All analyses performed using 10 principal components as covariates, bold font indicates significant genetic effect ( $P < 5.0 \times 10^{-8}$ ) or gene by environment interaction ( $P < 0.005$ ).

**Table 3**

Average test output from four types of logistic regression on 1,000 simulated datasets: one ignoring adversity (model I); one controlling for the additive effect of adversity (model II); one additionally incorporating an interaction between genotype and adversity (model III); and finally a model which analyzes adversity-exposed and unexposed cohorts separately (model IV).

Regression Model	Without Heterogeneity			With Heterogeneity		
	Z Stat	odds ratio	P-value	Z Stat	odds ratio	P-value
Model I, g	5.36	1.18	$8.22 \times 10^{-8}$	4.67	1.16	$3.06 \times 10^{-6}$
Model II, g	5.36	1.18	$8.15 \times 10^{-8}$	4.99	1.17	$6.05 \times 10^{-7}$
Model II, s	13.95	1.90	$2.94 \times 10^{-44}$	11.14	1.68	$7.77 \times 10^{-29}$
Model III, g	4.64	1.19	$3.34 \times 10^{-6}$	5.85	1.24	$4.80 \times 10^{-9}$
Model III, s	10.2	1.92	$1.93 \times 10^{-24}$	10.22	1.92	$1.64 \times 10^{-24}$
Model III, g:s	-0.15	0.99	0.877	-3.08	0.8	$2.10 \times 10^{-3}$
Model IV, g, no adversity	4.64	1.19	$3.44 \times 10^{-6}$	5.85	1.24	$4.80 \times 10^{-9}$
Model IV, g, adversity	2.69	1.18	$7.14 \times 10^{-3}$	-0.08	1.00	0.94

For each row, Z statistic (Z Stat), odds ratio, and P-value are shown for SNP effect (g), adversity effect (s), or an interaction effect (g:s). The three columns on the left (Without Etiologic Heterogeneity) show results for simulations of no etiologic heterogeneity between simulated phenotype in samples with and without adversity; the three columns on the right show that for simulations with heterogeneity. Data was simulated using a liability threshold model with realistic ascertainment, effect-sizes and allele frequencies (Supplemental Methods).