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Authors

Lott, Ira T

Head, Elizabeth

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Dementia in Down syndrome: unique insights for Alzheimer disease research

Ira T. Lott^{1,*}, Elizabeth Head²

¹University of California, Irvine, CA, USA

²Sanders Brown Center on Aging, University of Kentucky, Lexington, KY, 40536, USA

Abstract

Virtually all adults with Down syndrome (DS) show neuropathological changes of Alzheimer disease (AD) by age 40 years. This association is due partially to overexpression of amyloid precursor protein, encoded by *APP*, owing to the location of this gene on chromosome 21. Amyloid- β (A β) accumulates in the brain across the lifespan of people with DS, which provides a unique opportunity to understand the temporal progression of AD and the epigenetic factors that contribute to the age of dementia onset. This age-dependency in the development of AD in DS can inform research into the presentation of AD in the general population, in whom a longitudinal perspective of the disease is not often available. Comparison of the risk profiles, biomarker profiles and genetic profiles of adults with DS with those of individuals with AD in the general population can help to determine common and distinct pathways as well as mechanisms underlying increased risk of dementia. This Review evaluates of similarities and differences between the pathological cascades and genetics underpinning DS and AD with the aim of providing a platform for common exploration of these disorders.

Introduction

In 1948 — 42 years after Alois Alzheimer gave his famous lecture about the condition that would bear his name — G. A. Jervis described three patients with Down syndrome (DS) who seemed to have a dementia course and neuropathological findings similar to those that Alzheimer previously described in a patient without DS¹. Jervis confirmed the existence of many senile plaques in individuals with DS, in addition to neurofibrillary tangles (NFT) and extensive neuronal loss^{2,3}. This remarkable association between DS and AD was supported by many subsequent publications. In 1988, Mann observed that patients with DS over the age of 40 years had high numbers of senile plaques and NFT, much the same as in AD within the general population⁴(FIG. 1). Studies also showed a similarity in lesion distribution between individuals with DS and euploid individuals with AD. Today, we understand that the neuropathological changes of AD in DS are characterized by initial formation of senile plaques within the hippocampus and amygdala. NFTs develop in neurons that project to these areas.

* itlott@uci.edu.

The cloning of *APP*, the gene encoding amyloid precursor protein (APP), and characterization of its location on chromosome 21 were key factors in the development of the ‘amyloid cascade’ hypothesis, which defines much of the current research in DS and AD⁵⁻⁷. Interestingly, the original description of amyloid- β (A β) was in DS⁸ and was one of the observations that led to the formulation of the A β hypothesis. APP overexpression and the exponential accumulation of A β in the brain is a primary driver of dementia in individuals with DS⁹. Soluble forms of oligomeric A β are thought by some researchers to be the primary noxious species in senile plaques and injure neurons through apoptosis, cytoskeletal disruption and synaptic loss^{10,11}. However, APP is not the only factor driving the pathological features of AD.

The fields of DS and AD research have many points of synergy including genetics, pathogenesis, clinical manifestations and opportunity for translational research. The study of DS affords an opportunity to understand the timing and sequence of pathological changes associated with AD, partially due to the lifelong accumulation of A β in individuals with DS. The objective of this Review is to highlight observations in DS that might be informative to researchers of AD in the general population. We address areas such as the relationship of oestrogen receptor genes to the cumulative incidence of dementia; the bidirectional role of apolipoprotein E (APOE) in AD pathogenesis; the common mechanistic features underlying disease in individuals with APP duplication (dup-*APP*) and individuals with AD in DS; candidate gene analysis and epigenetic observations; lessons from rare patients with partial trisomy 21; amyloid imaging and vulnerability for dementia; the role of chronic amyloid angiopathy in DS; biomarkers such as exosomes and inflammatory markers; and the role of seizures and other comorbidities in DS and AD. Ultimately, we seek to update information on the common pathways and common goals between DS and AD research, particularly in regard to the age-dependency of AD neuropathology, progression and early signs.

Epidemiology of AD in DS

Prevalence

Before 1954, people with DS generally did not survive beyond the age of 30 years, owing to high neonatal mortality and a failure to treat intercurrent disease in childhood. Over the past 30 years, increased attention to treatable medical disorders in DS has doubled life expectancy¹². A child with DS born today can expect to live into their 60s and often beyond.

Within the lengthened lifespan of individuals with DS, the prevalence of AD has shown a striking age-dependency. A 2016 study reported the prevalence of progressive cognitive impairment to be 55% in individuals with DS aged 40–49 years and up to 77% in individuals age 60–69 years¹³. Other studies have shown that the risk of dementia is approximately 23% at 50 years, 45% at age 55 years, and 88% or more at 65 years¹⁴⁻¹⁷. Several factors might confound the calculation of dementia prevalence in DS. One is whether an elderly person with DS lives in an institution or in a community residence: diagnosis of dementia within an institution can be challenging owing to a lack of expertise and the presence of severe intellectual disability in this setting¹⁸. Another confounding factor is the process of physiological ageing, wherein cognitive decline can occur due to factors other than AD^{19,20}.

The question arises as to whether protective factors might forestall or prevent dementia in some people with DS. Understanding the variables that affect dementia onset in people with DS affords an opportunity to recognize which factors might be protective or aggravating in the onset of cognitive decline, not only in DS but also in AD in the general population.

Apolipoprotein E

Evidence suggests that the *APOE*ε4* allele increases the risk of AD and overall mortality in people with DS, albeit to a lesser extent than in AD in the general population.^{21-24, 25} The physiological mechanisms by which the genetic risk of AD is increased by *APOE*ε4* are not fully elucidated but a bidirectional link seems to exist between lipid metabolism, *APOE* status and the processing of amyloid^{26,27}. APOE can be proteolytically cleaved to form a truncated amino terminal fragment, which becomes associated with NFTs and might be a driver in AD pathogenesis²⁸. In DS, APOE that has undergone proteolytic cleavage and fragmentation is also closely associated with the NFTs, similar to observations in AD in the general population^{29,30}. Further study of the dynamics of APOE function in DS and AD in the general population is likely to inform our understanding of pathogenesis in both conditions.

Menopause and oestrogen

Research over the past 20 years has indicated that the high vulnerability of women in the general population to AD is linked to the loss of ovarian hormones during and after menopause³¹. The oestrogen receptor β is involved in the development of AD in the general population and might also be a potential target for therapeutic interventions³². Oestrogen use is associated with decreased cognitive decline in *APOE*ε4* negative women but not in *APOE*ε4* positive women³². However, some studies are contradictory; for instance, a large study of post-menopausal women found that hormonal replacement did not result in cognitive improvement³³. Additional models are needed to facilitate the study of the effect of oestrogen on neurodegeneration³⁴. DS might serve as one of these models. Women with DS typically experience menopause between 44–46 years of age compared with an average of 51 years of age in the general population³⁵. Cognitive function in postmenopausal women with DS is worse than in men with DS at comparable ages³⁶, possibly owing to reduced bioavailability of oestrogen in these women. Polymorphisms in genes associated with the oestrogen receptor and oestrogen biosynthesis can affect endogenous oestrogen levels and seem to influence age of dementia onset as well as the cumulative incidence of dementia. The short time period between menopause and cognitive decline in women with DS suggests that this population might be well suited for future clinical trials of oestrogen-replacement therapies for AD. This interval between menopause and cognitive decline is longer in euploid patients with AD³⁷ and therefore longer clinical trials would be required in the general population than in people with DS.

Genetic epidemiology

Most individuals with DS (90–95%) have trisomy of chromosome 21 with translocation occurring in 2–4% and mosaicism in 2–4%³⁸. The percentage of mosaic cells in individuals with DS seems to be tissue specific and changes with age. Mosaicism for chromosome 21 can present with developmental delay and early-onset dementia but without the physical

features of DS³⁹. Mosaic aneuploidy of chromosome 21 in brain and peripheral cells has been argued to underlie neurodegeneration in multiple forms of AD⁴⁰. Post-mitotic somatic mutations that result in mosaicism can emerge that present a risk factor for AD both in individuals with DS and in the general population, which suggests a common area between these entities that could be investigated in future research⁴¹.

Some individuals develop AD as a result of duplication of a small region of chromosome 21 that includes *APP* (dup-*APP*). Pathogenic mechanisms in these individuals might parallel those in individuals who have DS with AD⁴². An additional copy of *APP* is present in both dup-*APP* and DS with AD, in contrast to conditions in which other genes such as *PSEN1* or *PSEN2* are mutated wherein the processing of APP is altered independently of gene copy number. Dup-*APP* shares some common traits with DS including early age of dementia onset (mean age 52 years for dup-*APP*), AD neuropathology^{43,44} and an increased prevalence of cerebral amyloid angiopathy (CAA)⁴⁵. Phenotypic features of DS do not seem to occur in people with dup-*APP*⁴⁶. Although almost all people with DS have AD neuropathology, the variability in the prevalence of dementia is more marked in DS than in dup-*APP*, whereas CAA is less prevalent in DS than in dup-*APP*. These phenotypic differences between DS and dup-*APP* provide a platform for further understanding the roles that genes on chromosome 21 other than APP might have in AD pathogenesis.

Genome-wide evaluation of AD in DS

Genetic mechanisms in AD and DS have been reviewed extensively elsewhere⁴². To date, only one genome-wide association study (GWAS) has been reported in DS, which aimed to determine which genes influence age of dementia onset in DS⁴⁷. Despite the small sample size of this study (n = 67), single nucleotide polymorphisms (SNPs) in *PICALM* were found to be associated with early onset of dementia in individuals with DS, consistent with previous observations that *PICALM* is associated with increased risk of AD in the general population. Candidate gene analysis is one approach that can help to explain the relationship between AD and DS — not only for chromosome 21 but also for other chromosomes. In a cohort of 320 individuals with DS (aged 30–78 years), SNPs were adjusted for age, sex, race, level of intellectual disability and *APOE* status⁴⁸. Multiple SNPs in *APP*, *CST3* and *MARK4* were identified that were associated with AD in DS, potentially highlighting pathways involved with A β , lipid metabolism and tau. An examination of measures of A β from this study showed an association between A β 42 levels, A β 40 levels or A β 42:40 ratio and variants in genes linked to the processing of APP (such as *CALHMI* and *IDE*), vesicular trafficking and oxidative stress⁴⁹. These studies further highlight some fundamental biological similarities between dementia in DS and AD in the general population, and suggest that APP processing genes might contribute to both conditions.

The field of epigenetics evaluates the factors (environmental or otherwise) that influence gene expression. Post-GWASs show how SNPs affect levels of methylation in nearby and distant chromosomal loci⁵⁰ and thereby affect phenotypic expression. Gene expression in DS can vary in relation to baseline levels of intellectual function⁵¹. Epigenetic mechanisms that remodel chromatin transcription include DNA methylation, post-translational histone modifications, nucleosomal positioning, histone variant incorporation and the action of small

and long noncoding RNAs⁵². Individuals with DS have an epigenetic signature (consisting of a characteristic pattern of DNA methylation in white blood cells) that includes modifications to genes on chromosome 21 and that also shows an ageing phenotype, suggesting a link between developmental defects and disease phenotype⁵³. A quantitative molecular marker has demonstrated that the ‘epigenetic clock’ (that is, estimation of molecular age based on DNA methylation) confers accelerated ageing in blood and brain tissue in people with DS⁵⁴. In addition, a brain tissue study found that a type of histone modification (acetylation of H4K16) occurs in the brains of individuals with AD in the general population, but not in control groups of young or old individuals with normal ageing parameters⁵⁵. Epigenetic changes in AD and DS present a potential target for future therapeutic interventions, as they occur before the formation of mature senile plaques and NFTs and are mediated by enzymes that can be inhibited or enhanced⁵⁶.

Neuropathogenesis

People with DS have differences in brain structure development that might also exacerbate vulnerability to AD compared with euploid individuals. Studies show that the neural phenotype of DS begins *in utero*⁵⁷. Developmental neuropathological aberrancies include disruptions in neurogenesis, synaptogenesis and myelination⁵⁸. As early as 21 weeks of gestation, cell numbers are reduced in the hippocampus and surrounding cortical regions in people with DS compared with euploid individuals⁵⁹. In DS, fetal neurons overexpress APP, which causes a disruption in axonal guidance within the brain⁶⁰. However, APP might also have a trophic role linked to neuronal survival, outgrowth and repair in early brain development⁶¹. The consequences of APP gain-in-function in early brain development in DS is unclear and requires additional research.

A reduction in the number of neuronal cells during brain development in DS compared with typical brain development might underlie findings in neuroimaging studies in DS, which indicate that the brain has a smaller frontal cortex, occipital cortex and cerebellum compared to typically developing brains at a commensurate age^{58,62} (FIG. 2). These anatomic discrepancies become more pronounced with age⁶²⁻⁶⁴. Cortical regions and the hippocampus show increasing levels of atrophy with age⁶². White matter integrity in the frontal cortex is reduced in individuals with DS compared with controls, and this abnormality is further compromised with age⁶⁵.

Role of APP in AD pathogenesis in DS

APP is overexpressed in DS with full trisomy 21⁶⁶. Age-dependency, temporal events and the postnatal modifications of A β have been discussed in depth elsewhere⁶⁷⁻⁷¹. To summarize, autopsy studies indicate that A β deposition can occur in very young people with DS^{69,72,73} but seems to be observed systematically after 30 years of age — still decades earlier than that observed in AD within the general population. A β initially appears in diffuse deposits that progress to compact neuritic plaques with increasing age. After age 40 years in individuals with DS, the accumulation of brain amyloid is not linear but exponential, which suggests that disease development enters a phase of acceleration at this age^{74,75}. People with DS show a similar early age of onset of A β pathology compared to

familial forms of AD such as dup-*APP* or autosomal dominant early onset AD^{42,76}. A β also accumulates around the cerebral vasculature in DS as AD develops, as occurs in sporadic AD.

Case studies of people with DS who have partial trisomy 21 have provided exciting insights into the major role that *APP* overexpression has in AD pathogenesis. One study described a woman who had partial trisomy 21 but was disomic for *APP*, who was mildly impaired intellectually and lived to age 78 years without dementia, plaques or NFTs⁷⁷. Another study described a 72 year old man with partial trisomy 21, also disomic for *APP*, who had mild intellectual impairment, no neuropsychological evidence of dementia over 7 years of testing, dramatically low plasma levels of A β , no significant amyloid uptake on 2 consecutive PET scans and no evidence of AD at autopsy⁷⁸. These rare cases of partial trisomy 21 point to the importance of *APP* as a key driver of dementia in DS.

Our understanding of how age and cognition are associated with A β neuropathology has been accelerated by the development of ligands that bind to A β *in vivo* and can be visualized with PET⁷⁹⁻⁸². Pittsburgh Compound B (PiB)⁸³, the first of these A β ligands, has now been used in a large number of clinical studies in patients with AD and can detect A β accumulation early in the disease⁸⁰. PiB binding in DS shows a similar cortical distribution to that observed in AD in the general population, with the exception of the striatum (FIG. 3). Interestingly, PiB binding suggests that the striatum is the earliest site of A β neuropathology in DS, with PiB signal typically observed after 35 years of age⁸⁴⁻⁸⁶. These findings are similar to reports of amyloid deposition in patients with presenilin-1 mutations, which suggests that enhanced A β production leads to a common phenotype in both conditions⁸⁷⁻⁸⁹. Striatal PiB binding might reflect diffuse A β accumulation as fibrillar plaques are rarely observed in these brain areas even in elderly individuals with DS⁹⁰. PiB binding in DS increases with age^{84-86,91,92}, and is increased in people with cognitive decline^{85,91}. PiB signal in individuals with DS suggests that A β neuropathology progressively spreads to additional cortical regions with age^{85,93} and correlates to hypometabolism, as detected by fludeoxyglucose (FDG)-PET⁹⁴. After appearing in the striatum, reports of PiB signal suggest that amyloid progressively involves different cortical areas, first affecting the rostral prefrontal and cingulo-parietal cortices, then caudal frontal, rostral temporal, primary sensorimotor and occipital cortices and finally parahippocampal cortex, thalamus and amygdala, in a similar pattern to that observed in AD in the general population^{83,85}. Similar results have been reported in PET imaging with different A β ligands, such as florbetapir⁹⁵⁻⁹⁷ and ¹⁸F-FDDNP⁹⁸. However, neither florbetapir nor ¹⁸F-FDDNP show early striatal binding as reported with PiB, which suggests that either the ligands have different affinity for different types of A β or that PiB binding might indicate additional neuropathologies. Thus, amyloid imaging studies suggest that DS includes features of A β accumulation that are consistent with both sporadic AD in the general population (in which cortical A β accumulation is observed) and familial AD (in which striatal A β accumulation is observed). In the future, beneficial interventions that target A β in DS might inform clinical trials that target A β both in sporadic and familial AD. Thus, future collaboration between DS and AD research might focus on the temporal dynamics of striatal and cortical amyloid accumulation in DS and links to clinical changes to provide insights into when to target A β accumulation associated with overproduction and reduced clearance typical of sporadic and familial AD.

Tau in DS

Tau phosphorylation and aggregation are evident in the brains of individuals with DS and can be found as NFTs and neuropil threads or dystrophic neurites around A β plaques. Early tau pathological changes are observed in the outer molecular layer of hippocampus in middle-aged (30–40 years of age) adults with DS⁹⁹, with subsequent findings of NFTs in the hippocampal CA1 region and subiculum, and neuronal loss in the entorhinal cortex¹⁰⁰⁻¹⁰³. In general, although NFTs seem to follow a similar distribution pattern in DS and in AD — starting in the entorhinal cortex and spreading to hippocampus and then to the neocortex — a higher density of NFTs are observed in DS brain than in AD brain¹⁰⁰. However, large and systematic studies are needed to determine whether the pattern of regions affected with disease progression of NFT accumulation parallels that observed in AD in the general population. Several genes on chromosome 21 might also contribute to acceleration of the early onset of NFT pathology in DS¹⁰⁴, including *DYRK1A* and *RCAN1*. *DYRK1A* is a dual specificity kinase that phosphorylates tau protein, facilitating GSK3 β phosphorylation. In addition, *DYRK1A* phosphorylates alternate splicing factors, leading to an increased ratio of 3-repeat to 4-repeat tau that is associated with neurodegeneration¹⁰⁵⁻¹¹⁰. Unsurprisingly, the number NFTs that are positive for *DYRK1A* and/or 3-repeat tau is increased in brains from middle-aged and elderly adults with DS compared to brains from individuals with AD in the general population¹¹⁰. *RCAN1* (Calcipressin-1) can act as a facilitator or inhibitor of calcineurin, a serine–threonine protein phosphatase that activates NFAT (Nuclear Factor of Activated T-cells) leading to transcriptional activation^{111,112}. *RCAN1* can also activate GSK-3, an enzyme that phosphorylates tau¹¹³ and might also lead to enhanced neurofibrillary tangle formation in DS.

Our understanding of the role of tau in AD pathogenesis in people with DS is likely to be enhanced by biomarker studies that measure CSF and/or plasma tau levels and neuroimaging studies that use tau ligands to detect tau in the brain. The few studies available show that levels of total tau and phosphorylated tau, reflecting neurofibrillary tangle formation in the brain, are increased in CSF from individuals with DS compared to controls^{104,114}. Plasma levels of tau increase with age in DS¹¹⁵ and were found to be associated with cognitive dysfunction in one study¹¹⁶ but not another¹¹⁵. Studies that measure levels of tau from neuronally derived exosomes isolated from plasma show higher levels of tau in DS than in controls¹¹⁷. In the first study of DS using the tau PET ligand ¹⁸F-AV-1451, tau binding was found to occur in people with DS who were amyloid positive (as detected by PiB or florbetapir) but not in those who were amyloid negative, and high tau binding was associated with poor cognition¹¹⁸. Overall, additional information about NFTs and tau in DS is clearly needed to establish when changes in tau pathology occur in relation to age in people with DS, whether tau pathology correlates with changes in cognition (or possibly precedes these changes) and with conversion to overt dementia. As NFT pathology increases with age in individuals with DS, future research could facilitate understanding of the mechanistic links between A β , tau and potential facilitators of tau expression and phosphorylation such as *DYRK1A* and *RCAN1*.

Neuroinflammation

Neuroinflammation is a crucial contributor to many neurodegenerative disorders and includes both pro-inflammatory and anti-inflammatory pathways. Yet the role of neuroinflammation in the pathogenesis of AD is relatively unexplored in DS^{119,120}. The primary mediators of the neuroinflammatory response in the brain are microglial cells¹²¹. Microglial cells show both morphological and pathological changes in people with DS who are over the age of 40 years, including decreased numbers of microglial cells with a resting state morphology and increased numbers of dystrophic microglial cells¹²².

GWASs show that genes involved in inflammation such as *TREM2* and *CD33* are risk factors for AD^{123,124}. In early studies of neuroinflammation in DS, increased S100 β and IL-1 β expression was detected in astrocytes¹²⁵. *S100B*, the gene encoding S100 β , is on the 21st chromosome. Complement C1q activation, which reflects the activation of the complement pathway that mediates immune functions, seems to be highly prevalent in individuals with DS after 29 years of age^{73,126}. The brains of people with DS seem to have a unique neuroinflammatory phenotype that differs from that of AD in the general population and is consistent with immune activation due to invasion of serum proteins in the brain¹²⁷. The extravasation of serum proteins into brain might be due to the presence of microbleeds in the brains of people with DS, as has been visualized by neuroimaging or at autopsy (described further in the next section). These findings might be relevant for future immunotherapies targeting A β or tau, given that immunological approaches might lead to adverse effects in the presence of already heightened inflammation in the brains of people with DS.

Plasma markers of inflammation might serve as biomarkers for the development of dementia in DS, although peripheral drivers of inflammation (for example, periodontal disease¹²⁸) might also contribute to changes in the levels of these markers. Increased serum levels of inflammatory cytokines — including IL-1 β , IL-6, TNF- α and IFN- γ — have been detected in individuals with DS¹²⁹. In addition, levels of TNF- α , IL-6 and IL-10 were found to be increased in plasma in people with DS compared with euploid individuals, and the levels of these cytokines are further increased in individuals who have DS with dementia¹³⁰. The unique inflammatory phenotype observed in the DS brain might provide novel insights into the role of different cytokines or chemokines in AD progression, which might present therapeutic targets and provide novel insights into AD in the general population. However, this area would benefit from additional research to clarify the similarities and important differences in DS-AD compared with AD in individuals without DS.

Cerebrovascular Neuropathology

Cerebrovascular pathology, which occurs in up to 45% of the general population, is now recognized to lower the age of dementia onset and to increase the rate of disease progression^{131,132}. Cerebrovascular disease has been hypothesised to act as a ‘second hit’ necessary for inducing dementia, particularly in combination with a ‘first hit’ of a substantial A β burden in the brain¹³³.

CAA is defined as the deposition of amyloid in the walls of medium-sized and small-sized leptomenigeal and cortical arteries, arterioles and, less frequently, capillaries and veins. CAA can lead to microhaemorrhages and macrohaemorrhages¹³⁴ and is observed in elderly individuals with DS (>55 years of age)¹³⁵⁻¹³⁷. In a 2017 study, we showed a strikingly higher frequency and severity of CAA in DS at autopsy than in AD without DS, and that CAA accumulation increased with age in DS¹³⁸ (FIG. 4). However, whether the CAA in DS brain leads to more frequent haemorrhage in DS compared with sporadic AD is unknown^{135,136}. This topic presents an obvious area for future research.

People with DS are protected from atherosclerosis and hypertension. In a study of 70 adults with DS aged 40–66 years, atheroma was completely absent, in contrast to similarly aged adults without DS¹³⁹. Furthermore, young adults with DS aged 13–42 years seem to have low blood pressure compared with age matched controls¹³⁹ and a study of 86 people with DS from 18–56 years found no systematic increase in blood pressure (i.e. hypertension) with increasing age¹⁴⁰. By studying the brains of people with DS, who exhibit a high incidence and severity of CAA, we can determine the role of CAA in driving cerebrovascular dysfunction and cognitive decline in AD within the general population in a cohort uncomplicated by hypertension and atherosclerosis.

Neuroimaging adds to our understanding of the contribution of cerebrovascular disease to dementia in DS and AD in the general population. For example, characterization of microbleeds by MRI (using T2* or susceptibility-weighted imaging) in individuals with DS^{7,62} has shown frequent CAA that increases with dementia⁷⁶. FDG-PET shows that glucose hypometabolism correlates with the loss of cerebral blood flow in the temporal lobes that is observed with increasing age and dementia in DS^{95,97,141}. Interestingly, the reduction in FDG-PET signal in DS involves the same areas that show a compensatory increase in FDG values in individuals with DS just prior to dementia, which suggests that compensatory responses might occur prior to the loss of function associated with dementia¹⁴². FDG-PET seems to be a valid biomarker for prediction of conversion to dementia in individuals with AD in the general population¹⁴³, and offers a common platform for studying dementia in DS and in AD in the general population. The study of AD in DS might lead to the identification of unique protective factors for cerebrovascular pathology that could be leveraged in clinical trials for AD in the general population. Furthermore, the study of autopsy tissue from individuals with DS — a population not complicated by atherosclerosis and hypertension — provides exciting opportunities to understand the mechanisms and consequences of CAA.

Other contributors to AD pathogenesis

Here we briefly summarize other potential pathways of AD pathogenesis in DS, which have been reviewed in depth elsewhere. Oxidative damage is consistently observed in DS, beginning at a young age and becoming exacerbated with ageing and AD progression^{75,144-147}. Other relevant pathways include the autophagy and endosomal systems¹⁴⁸, white matter degeneration¹⁰⁴, neurotransmitter losses¹⁴⁹, neuron loss¹⁵⁰, dysregulation of synaptic protein expression¹⁵¹, consequences of increased gene expression (such as *DYRK1A* and *SYNJ1*)¹⁵², telomere shortening¹⁵³ and losses in neurotrophic factors^{104,154-157}. All of these pathways and mechanisms that are compromised in DS and that

contribute to AD pathogenesis are viable targets for treatment and are also compromised in AD in the general population.

Whether individuals with DS age prematurely presents an intriguing question. Ample evidence certainly shows that markers of oxidative stress are increased in AD as well as DS^{158,159} and some of these measures are associated with cognitive decline of the AD type¹⁴⁶. Molecular mechanisms responsible for mitochondrial damage and energy deficits have been found to occur in DS¹⁶⁰. An epigenetic signature is present in DS that is similar to that of premature aging syndromes such as Werner syndrome⁵³. However, although chronic medical conditions are similar between elderly adults in the general population and young individuals with DS¹⁶¹, atherosclerotic complications are rare in DS¹⁶², which suggests that this common complication of ageing is lessened in trisomy 21. Further study of commonalities and differences in biological ageing between DS and AD in the general population promises to be illuminating with regard to mitochondria as a potential target for dementia prevention or therapy¹⁶³. Overall, however, whether DS represents premature aging or possibly accelerated aging is still debated.

Clinical evaluation

Dementia

Variability of baseline cognitive function in DS makes the diagnosis of dementia challenging. Because of the pathological changes of AD and the age-related prevalence of cognitive decline, the time of transition to dementia can seem arbitrary¹³. Indeed, expert clinical judgement of an individual patient with DS might be a more accurate way to diagnose dementia than with International Classification of Disease 10th revision (ICD-10) or Diagnostic and Statistical Manual of Mental disorders 4th edition text revision (DSM-IV-TR) criteria¹⁶⁴. The diagnosis of dementia in DS uses the consensus approach to diagnosis typically used for sporadic AD as a guideline¹⁶⁵, and is dependent on the clinical examination, neuropsychological tests and biomarkers. Single tests usually fall short in assessing the breadth of different cognitive function present in individuals with DS and, as a consequence, a battery of neuropsychological measures is required¹⁶⁶. An ideal battery for testing cognition in DS should measure a wide range of skills, including both strengths and weaknesses. The testing protocol must meet standards of reliability, statistical evaluation, sensitivity, reproducibility and applicability across a wide range of ages. Brain areas that develop later in children with DS than in euploid individuals, such as the hippocampus and prefrontal cortices, seem to be those most susceptible to neurodegeneration in dementia and thus might show early cognitive changes associated with the onset of dementia¹⁶⁷. Difficulties in executive function that reflect frontal lobe dysfunction occur in the preclinical stages of dementia in DS^{168,169}. This area has also been an important focus in a comprehensive battery test for AD in the general population^{170,171}. The temporal changes in executive function seem to be a common area for comparative studies in DS and AD in the general population.

Mild cognitive impairment (MCI) can be defined as a decline in cognition that reflects an intermediate state between typical brain ageing and dementia. A number of test batteries have been developed to define MCI in adults with DS^{13,164,168,171-173}. Although no

consensus has been reached for a ‘gold standard’ to diagnose MCI in DS, longitudinal observations, monitoring of behavioural changes and domain-specific tests of memory and executive functioning will be at the core of the battery. The domains identified in neuropsychological testing of MCI in the general population are relevant to DS, including general intellectual function, attention, processing speed, language, visuospatial skills, learning, memory and executive function¹⁷⁴. Neuropsychiatric symptoms occur in up to 97% of individuals with AD in the general population, with psychosis, agitation, apathy, depression and sleep disturbances representing the most common symptoms¹⁷⁵. These symptoms overlap almost completely with those seen in DS and dementia¹⁷⁶. Individuals with DS and individuals with AD in the general population show similar cognitive profiles on the Severe Impairment Battery test, suggesting that parallel cognitive changes take place in these two groups¹⁷⁷. A caveat to diagnosis of psychosis in DS is the prevalence of ‘self-talk’ — private speech in which the individual seems to be talking to imaginary persons. Private speech is seen at an early age and proceeds into adult life, and is often adaptive and not reflective of a systematic disturbance in thinking¹⁷⁸. Although 4–12% of patients with MCI in the general population progress to dementia within any given year¹⁷⁹, up to 53% can improve or revert to normal status¹⁸⁰. Individual variation in gene expression and epigenetic factors might account for similar variability in MCI in DS¹⁸¹.

Gait

Disturbances of gait are a common feature of dementia progression in DS and in AD in the general population^{182,183}. This commonality suggests that shared pathological mechanisms might exist between these groups. In the general population, individuals with AD show a slowing of gait and increased stride variability^{184,185}. Children with DS show inefficient gait strategies for obstacle crossing¹⁸⁶, and this problem becomes more marked in the early stages of dementia. Taken together, the gait problems in DS and AD can be viewed as a motor dyspraxia, defined in this setting as an inability to use the legs in the absence of other neurological or vascular causes. Gait dyspraxia seems to be associated with brain areas that are important for sensorimotor integration, including the hippocampus and white matter tracts of the frontal and parietal lobes¹⁸⁷.

Seizures

Individuals with DS have a bimodal occurrence of seizures¹⁸⁸. In individuals younger than 1 year of age, infantile spasms are seen along with generalized seizures. Following this first peak of seizure incidence, a second peak begins after the third decade of life and can herald the onset of dementia. In a prospective study of individuals with DS and dementia, as many as 84% developed seizures¹³⁶. The presentation of seizures in DS is associated with increased psychiatric co-morbidities¹⁸⁹. Brain tissue studies in individuals with DS and data from a mouse model of DS suggest an imbalance in the excitatory and inhibitory functions associated with the GABAergic system¹⁹⁰. In turn, this change might alter the ratio between excitation and inhibition of neurons and thus propagate epileptic impulses¹⁹⁰. As dementia progresses, it is not unusual for patients with DS to develop myoclonic epilepsy with myoclonic jerks time-locked to EEG abnormalities, particularly upon awakening¹⁹¹. Interestingly, mutations in *CSTB* (encoding cystatin B), which is located on chromosome

21, produce senile myoclonic epilepsy as part of the Unverricht–Lundborg syndrome¹⁹². Seizures seem to augment cognitive decline in adults with DS and dementia¹⁹³.

Non-motor complex seizures are the predominant presentation of epileptic activity in AD in the general population¹⁹⁴. Neuronal excitation associated with the epileptic impulses propagates tau pathology¹⁹⁵ as do perturbations in GABAergic, glutamatergic and cholinergic networks. Long term EEG monitoring in patients with MCI or AD in the general population has shown a high prevalence of subclinical epileptiform discharges¹⁹⁶ particularly around the frontal and temporal lobes and in sleep. Seizure prevalence is increased in individuals with dup-*APP* or mutations in presenilin, with myoclonic manifestations observed in the latter population¹⁹⁷. As in DS, epileptiform activity results in a deleterious cognitive outcome in individuals with dup-*APP* or mutations in presenilin,¹⁹⁸ probably owing to defective remodelling of neuronal circuitry in the hippocampus.^{197,199} In a mouse model of AD, elevated levels of APP and A β caused neuronal hyperexcitability and made these animals more susceptible to becoming epileptic^{194,200}. In mouse models for DS, trisomy for *APP* disrupts retrograde transport of nerve growth factor and the morphology of basal forebrain cholinergic neurons²⁰¹. Whether these changes in the DS mouse cause similar electrophysiological changes to those observed in the AD transgenic mouse is a potential subject for future research.

Sleep disorders

Sleep disorders are common both in DS and in AD in the general population. Certain facial features that are a part of the physical phenotype in DS (such as midfacial hypoplasia and a small oro-pharynx) make obstructive sleep apnoea syndrome (OSAS) more common than in typically developing children^{202,203}. OSAS has been associated with depression²⁰⁴ and seems to affect cognition in children with DS due to disruption of verbal learning and executive functioning²⁰⁵. Insomnia in young adults with DS is linked to a disintegrative disorder characterized by cognitive decline to a dementia-type state and autistic regression, the cause of which is unknown²⁰⁶. Sleep fragmentation and resulting hypoxaemia across the lifespan in DS has been purported to increase deposition of A β in brain, potentially leading to AD²⁰⁷. Sleep might facilitate amyloid clearance from the brain, and disordered sleep might impair amyloid clearance²⁰⁸. Considerable correlations exist between measures of poor sleep, cortical A β burden and measures of phosphorylated tau in patients with MCI or AD in the general population^{209,210}.

Thyroid dysfunction and cognition

Hypothyroidism occurs in more than one-third of children with DS²¹¹ with hyperthyroidism also found in a small proportion of individuals (<3%)²¹². In addition, the prevalence of autoimmune thyroiditis is increased in DS compared with the general population²¹³. Such alterations in cellular and immunological responses are partly related to increased expression of *AIRE* (encoding autoimmune regulator) on chromosome 21, which results thyroid dysfunction and other immune manifestations²¹⁴. All parameters of B-cell development seem to be altered in DS²¹⁵, which can result in increased susceptibility to infections and thyroiditis. Maintenance of a euthyroid status is important for myelination and white matter integrity early in brain development in DS. However, in contrast to DS, AD in the general

population is more often associated with hyperthyroidism than hypothyroidism²¹⁶. The biological mechanisms underlying the association between thyroid function and cognition in AD is as yet unknown and it is unclear whether AD neuropathology causes thyroid dysfunction or whether thyroid dysfunction can exacerbate AD neuropathology and progression²¹⁷.

Healthcare guidelines

Multimorbidity for general health problems seems to be similar for adults with intellectual disabilities with or without DS^{218,219}. The most prevalent co-morbidities are visual impairment, obesity, epilepsy, constipation and gait disorders related to orthopaedic conditions. Unidentified comorbidities and failure to recognize the behavioural effects of pharmacological medications might confound the diagnosis of dementia in DS. Primary care education and ongoing surveillance of healthcare guideline compliance seems to improve quality of life in adults with DS^{220,221}, thus simplifying the differential diagnosis of dementia. Current healthcare guidelines for co-occurring medical conditions in adults with DS are in the process of being updated on the basis of data from meta-analyses²²².

Clinical trials and translational research

A 2015 literature-based review of randomized clinical trials of anti-dementia medications for adults with DS indicated that insufficient data exist to evaluate the therapeutic effects of acetylcholinesterases, memantine, simvastatin, antioxidants, and L-carnitine in this population²²³. Although most of the existing studies of anti-dementia therapies in DS were considered to be well conducted, the small number of participants and the outcome methodology employed did not enable results from different trials to be combined. Consequently, larger trials conducted over longer periods of time and that use uniform criteria are needed. In addition, if preventative interventions (as opposed to symptomatic therapy) are to be tested, then biomarkers will be necessary that act as proxy indicators for disease progression²²⁴. This point is also true for AD in the general population in those individuals who have a slow disease onset that allows consideration of preventative therapies²²⁵.

DS might serve as a model for early intervention in AD in the general population. Indeed, an advantage of designing and testing prevention approaches for AD in DS is that virtually all people with DS have full blown AD pathology by 40 years of age. Ballard and colleagues assembled findings of changes in A β , tau, volumetric MRI, FDG-PET and cognitive impairment over the lifecourse into a model of biomarker changes in relation to age in AD (FIG. 5). Small sample sizes and short trials are feasible in individuals with DS and dementia compared with AD in the general population. Such trials could be an important first step for the design of prevention studies in AD in the general population. Indeed, it might be possible to identify the temporal progression of many different AD-associated pathological mechanisms such as A β , tau, inflammation, cerebrovascular pathology, and others as a function of age in individuals with DS, as suggested hypothetically in FIG 6. Understanding AD pathogenesis as a function of age in DS could enable identification of

early molecular abnormalities that can be targeted for interventions both in DS and in AD in the general population.

However, the pharmacokinetic profile of individuals with DS might differ from that of other groups with AD — for example, as has been observed for donepezil, one of the few compounds systematically tested in DS — which presents a potential confounding factor for clinical trials²²⁶. Medication compliance for adults with intellectual disability (including DS) seems to be much better in a residential home setting than in the patient's own home²²⁷ and this compliance needs to be taken into account during a clinical trial. The heterogeneity of baseline intellectual disability in DS and the difficulty in choosing neuropsychological outcome measures is an ongoing challenge in DS²²⁸. In this regard, a new approach to outcome measures might be appropriate. Goal attainment scaling (GAS) attempts to tailor outcome measures to the unique expectations of individual participants in a clinical trial. The GAS was a successful outcome measure in a trial of galantamine in AD in the general population,²²⁹ with improvement in verbal repetition used as a treatment goal. The GAS seemed to track improvement better than the AD Assessment Scale–Cognitive Subscale²³⁰.

More than 600 genes are overexpressed as a consequence of trisomy 21. As a result, additional off-target effects of any pharmacological intervention will need to be considered. For example, one therapeutic strategy in AD is alteration of beta-secretase 1 (BACE1) activity as a means to reduce A β production; however, BACE1 has several targets, which might include proteins encoded by genes on chromosome 21. Furthermore, *BACE2*, a close homolog of *BACE1*, is on chromosome 21, which might complicate the interpretation of clinical trial outcomes. None of these challenges are insurmountable but require careful consideration of the unique genetic characteristics of trisomy 21.^{152,231}

Preclinical studies are a necessary step to identify therapeutic targets and to ensure safety in future clinical trials in DS²³². However, preclinical studies present a challenge for AD in DS²³³. Mouse models of DS are exceptionally good at capturing developmental phenotypes^{234,235} and it might also be possible to model early features of AD pathogenesis in DS with mouse models²³³. However, mouse models of DS do not develop A β plaques or tangles with age, probably owing to the triplication and overexpression of murine APP, which leads to murine A β that does not aggregate in the way that human-A β can. In addition, mouse models of AD capture some of the ageing phenotypes of people with DS but these models often have mutations that are typically not observed in DS²³⁶. Thus, depending on the pathways being targeted as a possible treatment for AD in DS, use of multiple mouse models might present the most efficacious approach to determine efficacy and safety of potential new therapeutics.

Conclusions

In this Review, we attempt to identify some areas in which research on dementia in DS can inform similar areas of AD research. DS provides an opportunity to address temporal events and mechanistic pathways that are important to AD across the lifespan. Research areas of interest include the similarities and differences in the molecular drivers of pathogenesis; targets for therapeutic intervention; timing of preventative studies; the evolution of clinically

meaningful biomarkers; and the course of lifelong accumulation of amyloid in the brains of individuals with DS and its relationship to dementia onset. Systematic examination of large cohorts will be required in DS, including assessment of clinical, neuroimaging, biomarker and autopsy outcomes. Such a systematic approach will require uniform clinical and neuropathology databases and consensus between sites and across different age groups in terms of the clinical measures used to assess cognition. Interestingly, however, the sample sizes required for these studies (for example, in clinical trials) might be considerably smaller than those needed to achieve the same level of power for AD in the general population due to less variability in AD phenotype for dementia in DS. Several gaps in clinical knowledge exist that once addressed in DS might also lead to novel insights in AD in the general population. These include understanding the similarities and differences in Braak staging in DS compared with AD in euploid individuals, the contribution of CAA to dementia and the mechanisms underlying white matter integrity losses. Future research in these areas is likely to aid the design of clinical trials in both cohorts. We hope that a focus on DS will continue play an important part in the objectives of AD research centres.

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Key points

- Virtually all people with Down syndrome (DS) have Alzheimer disease (AD) pathology by 40 years of age; this association facilitates an increased understanding of the temporal progression of AD pathogenesis and provides unique insights for AD in the general population.
- Understanding the role of amyloid precursor protein in DS might lead to a greater understanding of its role in both sporadic AD and familial AD in the general population
- The study of neuroinflammation in DS might provide unique insights into AD in the general population and highlight key pathways that might be amenable to therapeutic intervention
- Investigation of cerebrovascular pathology and its role in dementia might be simplified by the study of DS cohorts and lead to novel hypotheses regarding the causes and consequences of cerebral amyloid angiopathy
- Co-morbidities in DS, such as sleep disturbances, seizures and psychiatric conditions, overlap with those conditions seen in AD in the general population

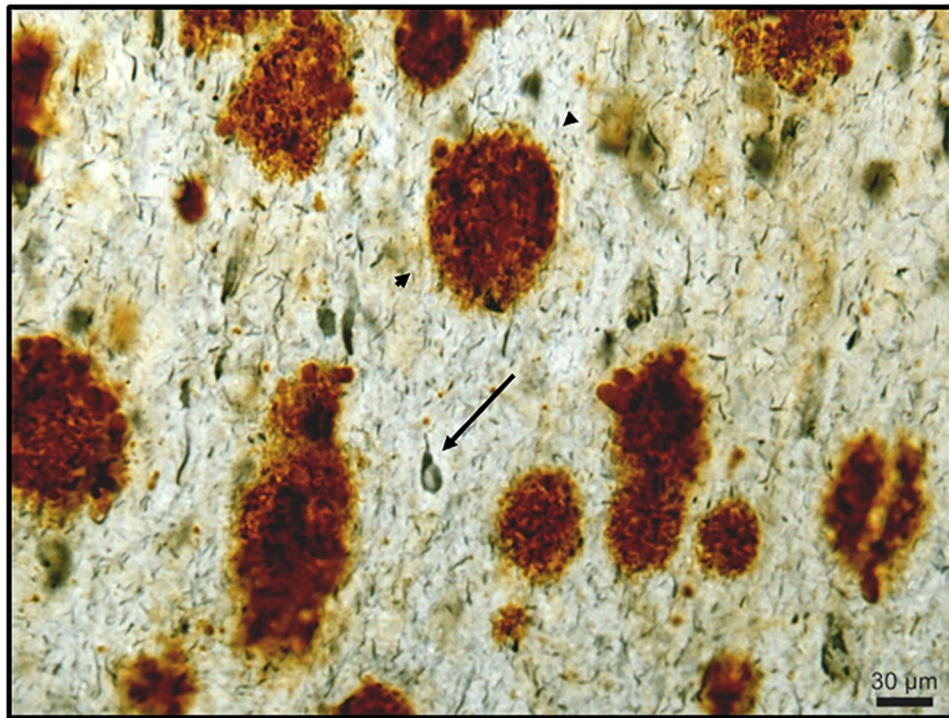


Figure 1 l. Amyloid plaques in Down syndrome. Representative example of A β plaques (A β 1-42; brown staining; arrowheads) and neurofibrillary tangles (PHF-1 antibody; blue staining; arrow) in the frontal cortex of a 46 year old person with DS and endstage AD.

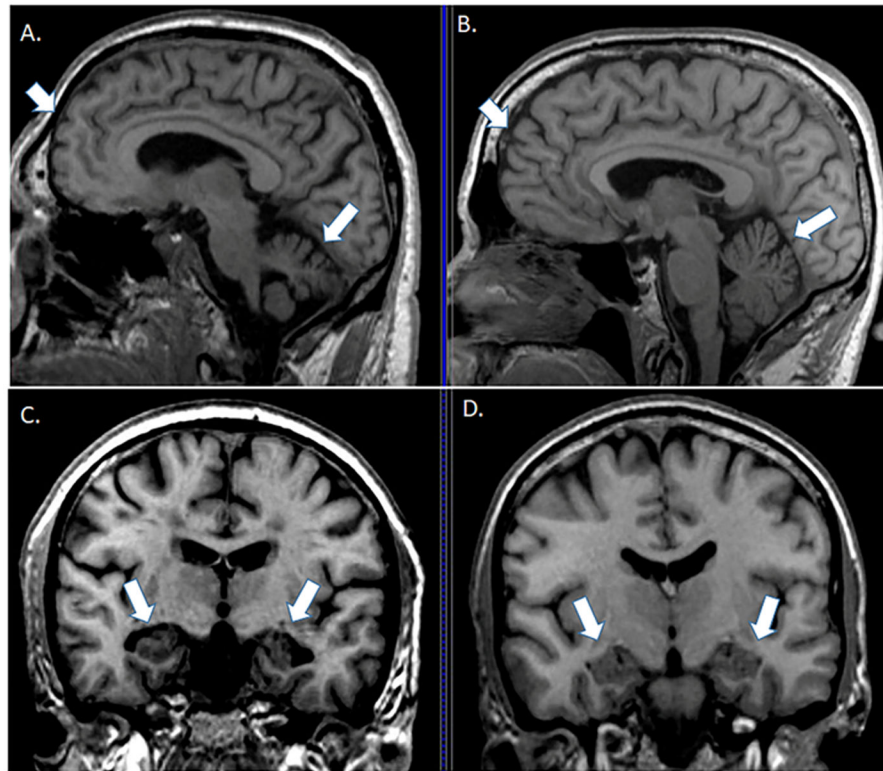


Figure 2 I. Brain structural changes in Down syndrome.

Structural differences shown in coronal and sagittal sections from an MRI between a 46 year old person with DS without dementia (parts A and C) and a person without DS (parts b and d) highlighting structural differences in frontal cortex (arrowhead in parts a and b), cerebellum (arrow in parts a and b) and hippocampus (arrow in parts c and d).

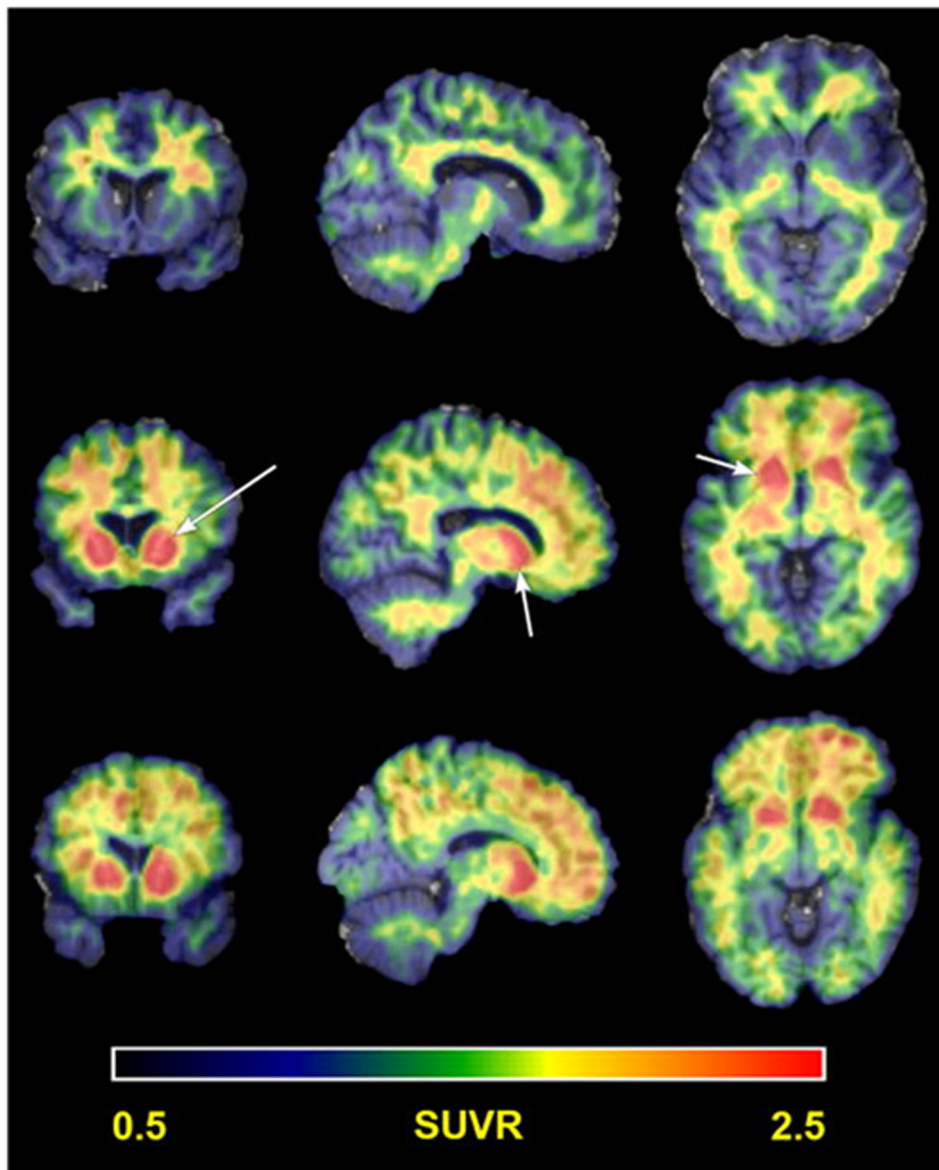


Figure 3 | Amyloid PET in Down syndrome.

Representative examples of Pittsburgh Compound B (PiB) PET neuroimaging for amyloid in people with DS. The pattern of PiB binding highlights striatal PiB uptake (arrows). Three patterns of PiB uptake are highlighted in this figure from Lao and colleagues (2016)⁸⁶ showing nonspecific white matter binding in the top row, striatal only PiB uptake in the middle row and striatal with cortical PiB uptake on the bottom row. SUVR, standard uptake value ratio.

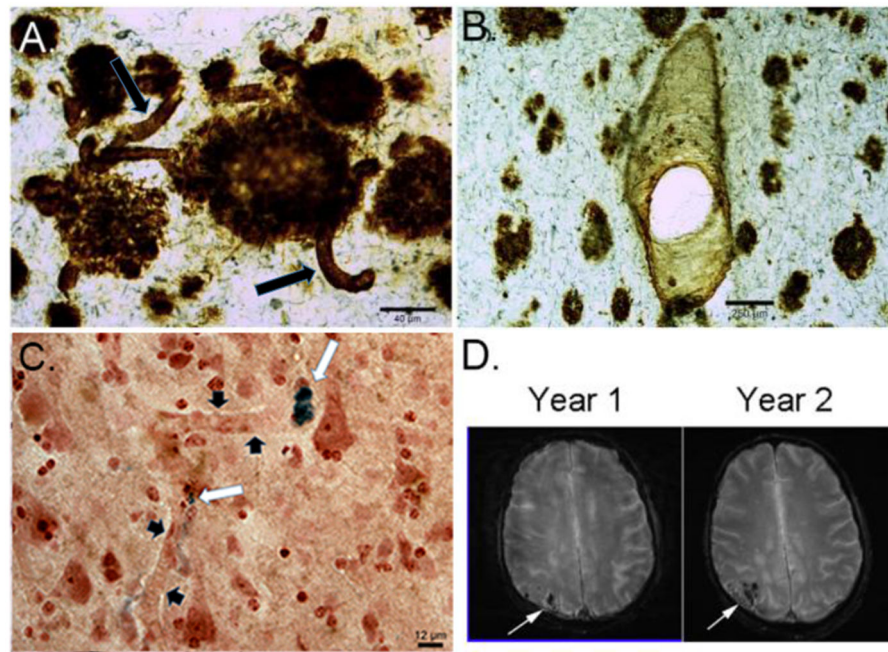


Figure 4 | Cerebrovascular pathology in Down syndrome.

a | A β 1-42 immunolabeling in a 67 year old man with DS defines plaques clearly but also shows substantial accumulation on blood vessel walls (arrows). b | A β 1-40 labeling clearly defines vascular pathology but plaque labeling is less than that observed with A β 1-42. c | panel shows the possible consequences of CAA in a 58 year old man with DS — microhemorrhages, illustrated with a Prussian blue stain as deposits (white arrows) that are blue adjacent to blood vessels (black arrowheads). d | cerebrovascular pathology identified by T2* MR imaging in a 60 year old man. Progressive worsening of bleeds can be seen, particularly in the occipital cortex (arrows). Modified with permission from Wilcock et al., 2016²³⁷.

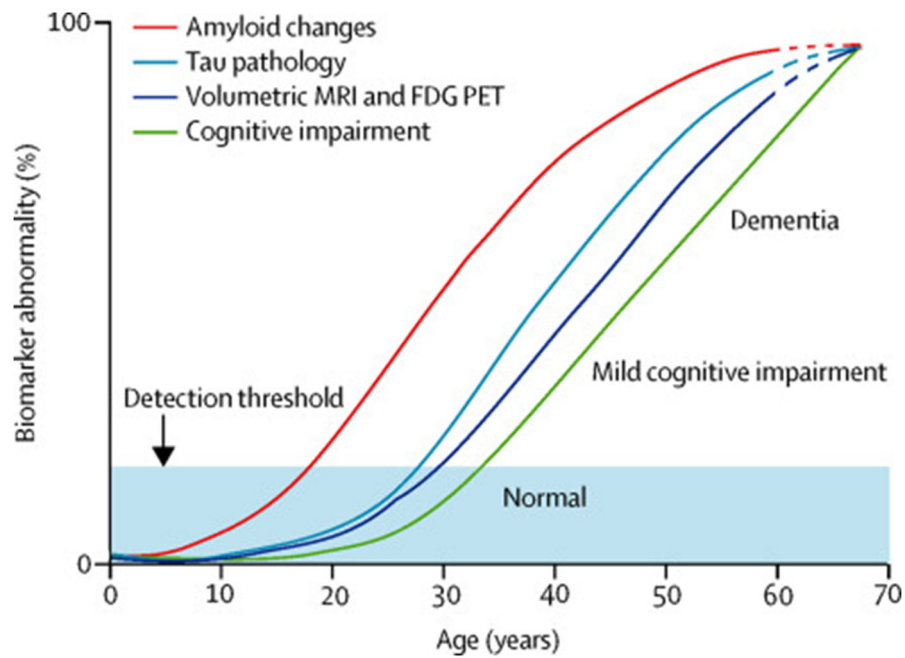


Figure 5 1. Hypothetical model of biomarker and clinical outcomes.

Ballard and colleagues developed a hypothetical model of different biomarker and clinical outcomes reflecting the progression of AD in DS. Amyloid changes are thought to be detectable after 20 years of age whereas tau pathology might not be detectable until 30 years of age. In vivo neuroimaging suggests that changes in brain volume and glucose metabolism (FDG-PET) can develop after 30 years of age, not long after tau pathology develops. Clinical changes are delayed and may not be detectable until after 35 years of age. Each of these biomarkers is thought to get progressively more abnormal as people with DS age. Ages are estimated on the basis of published studies. Reproduced with permission from Ballard et al., 2016¹³.

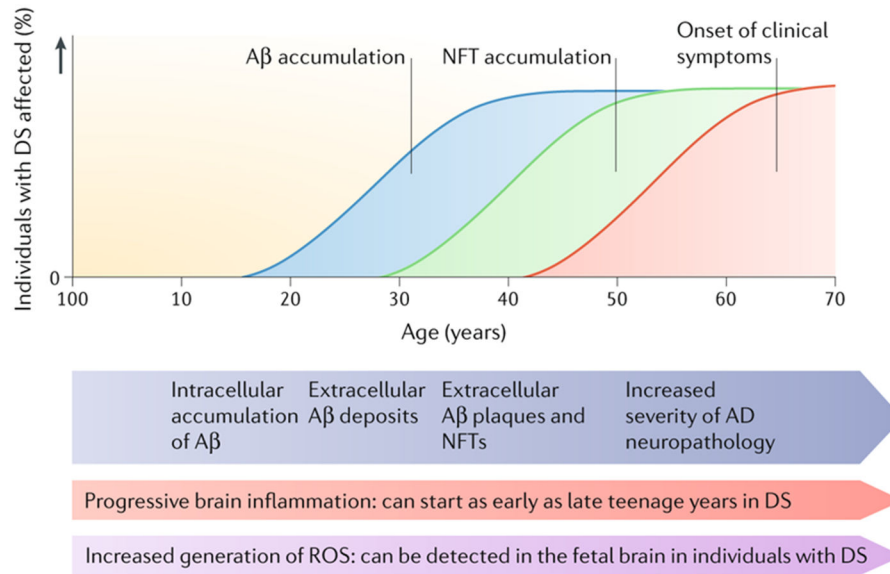


Figure 6. Hypothetical progression of Alzheimer disease neuropathology in Down syndrome. A proposed timeline from birth to over 60 years of age of Alzheimer disease (AD) pathology in individuals with Down syndrome (DS). Mitochondrial dysfunction and increased generation of reactive oxygen species (ROS) occurs as early as in fetal brain. Brain inflammation can begin as early as in the late teens with the presence of activated microglial cells, which are associated with A β plaques later in the disease. By age 40 years, both extracellular A β and NFTs are present in sufficient quantities for a neuropathological diagnosis of AD. As individuals with DS age to over 50 years, AD neuropathology increases in severity and clinical signs of dementia become frequent.