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## The neonatal Fc receptor, FcRn, as a target for drug delivery and therapy

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### Abstract

Immunoglobulin G (IgG)-based drugs are arguably the most successful class of protein therapeutics due in part to their remarkably long blood circulation. This arises from IgG interaction with the neonatal Fc receptor, FcRn. FcRn is the central regulator of IgG and albumin homeostasis throughout life and is increasingly being recognized as an important player in autoimmune disease, mucosal immunity, and tumor immune surveillance. Various engineering approaches that hijack or disrupt the FcRn-mediated transport pathway have been devised to develop long-lasting and non-invasive protein therapeutics, protein subunit vaccines, and therapeutics for treatment of autoimmune and infectious disease. In this review, we highlight the diverse biological functions of FcRn, emerging therapeutic opportunities, as well as the associated challenges of targeting FcRn for drug delivery and disease therapy.

### Keywords

Albumin; Immunoglobulin G; FcRn; nanoparticle; protein engineering

### 1.1 Introduction

It has now been 50 years since the remarkable foresight by F.W.R. Brambell, who put forth the hypothesis that there exists a specific receptor responsible for the salvage of IgG from catabolism<sup>1</sup>, eventually identified as the neonatal Fc receptor (FcRn). Originally discovered in the rat as the receptor responsible for the transmission of maternal antibodies from mother to pup<sup>2–5</sup>, FcRn is now known to be involved in a multitude of critical biological functions throughout human life<sup>6</sup>. The most recognized of these functions is the FcRn-mediated recycling and transcytosis process that results in the extraordinarily long, ~ 21 day serum

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persistence of IgG and albumin in humans<sup>7</sup>. In addition to regulating IgG and albumin homeostasis, FcRn participates in a plethora of immunological processes critical to the maintenance of human health<sup>8</sup>. Thus, FcRn has become an attractive target for drug delivery and disease therapy. In this review, we highlight the aspects of FcRn biology that have enabled the development of FcRn targeted therapeutics for the treatment of autoimmune and infection disease, as well as the expanding drug delivery approaches that hijack FcRn functions (Table 1). For more information regarding basic FcRn biology, we direct readers to a number of excellent past<sup>6,9–12</sup> and recent<sup>7,13,14</sup> reviews. We conclude this review by putting forth a hypothesis regarding the FcRn-dependent regulation of IgG homeostasis and echoing the words of a recent opinion by Clark Anderson<sup>15</sup>; ‘we may still have much to learn about the FcRn’.

## 1.2 FcRn structure, biology, and function

### 1.2.1 FcRn structure and mechanism of IgG and albumin binding

FcRn is structurally homologous to the MHC Class I heterodimeric receptor family<sup>16</sup> consisting of a type I transmembrane heavy chain that non-covalently associates with the soluble light chain,  $\beta$ 2-microglobulin ( $\beta$ 2m).  $\beta$ 2m is absolutely necessary for the proper folding, transport, and function of FcRn, as well as other MHC Class I homologs, both *in vitro* and *in vivo*<sup>17–20</sup>. The FcRn heavy chain contains three soluble domains ( $\alpha$ 1,  $\alpha$ 2, and  $\alpha$ 3), a single transmembrane helix, and a cytoplasmic tail (Figure 1). Unlike MHC Class I molecules, FcRn does not directly present antigen to T-cells due to point mutations on the top face of FcRn that disrupt peptide binding.

The ability of FcRn to protect IgG from intracellular catabolism is the result of a specific, pH-dependent interaction with the Fc portion of IgG (Figure 1). IgG binds FcRn in a strictly pH-dependent manner at acidic (< 6.5) but not neutral pH (> 7) mediated by electrostatics between titratable histidine residues in the Fc C<sub>H</sub>2-C<sub>H</sub>3 domains of IgG and acidic residues on the  $\alpha$ 2-domain of FcRn<sup>21–25</sup> (Figure 2). Binding is further stabilized by a series of hydrophobic interactions and hydrogen bonds between Fc and residues within the FcRn  $\alpha$ 2-domain and the  $\beta$ 2m light chain N-terminus<sup>26</sup>. One IgG molecule can simultaneously bind two FcRn molecules due to the homodimeric nature of IgG<sup>27,28</sup> resulting in a high affinity interaction between FcRn and IgG at pH 6 due to avidity. The 2:1 interaction between FcRn and IgG is critical for efficient binding, recycling, and transcytosis *in vitro*<sup>29</sup> and to preserve the long serum persistence of IgG *in vivo*<sup>22</sup>.

The FcRn binding site on IgG overlaps with the staphylococcal protein A (SpA), streptococcal protein G (SpG), and rheumatoid factor binding site<sup>30</sup>, but is distinct from the classical Fc $\gamma$  receptors<sup>25</sup> and the C1q component of complement<sup>31,32</sup> that bind near the upper C<sub>H</sub>2 domain and hinge region. IgG binding to FcRn is independent of glycosylation<sup>33</sup> in contrast to glycan dependent IgG binding to classical Fc $\gamma$  receptors<sup>34</sup>.

The amino acid residues involved in the IgG-FcRn interaction have been determined by mutagenesis<sup>25</sup>, molecular modeling<sup>26</sup>, and crystallography<sup>21,24,27,35</sup> (Figure 2). The IgG-FcRn interface is composed of three subsites: a hydrophobic core and two electrostatic sites. Ile253 on IgG is conserved across species and is critical for interaction with FcRn making

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numerous hydrophobic contacts with  $\alpha 2$  domain amino acids Leu112, Phe117, and Trp131 on FcRn<sup>26</sup>. The hallmark pH-dependent binding is driven by a key histidine residue, His310, on IgG that becomes positively charged as the pH approaches 6.0–6.5 resulting in the formation of a salt bridge with Glu115 on FcRn. His435 on IgG also interacts with Asp130 on FcRn and may also contribute to the pH-dependent binding. Indeed, certain IgG3 allotypes contain an Arg at position 435, which contributes to the significantly shorter half-life of the IgG3 subclass compared to IgG1, whereas the His435-containing IgG3 allotype half-life is comparable to IgG1<sup>36</sup>. Thus, both His310 and His435 are hot spot residues on IgG that contribute to pH-dependent binding and FcRn dependent half-life. Ile1 is the only known residue on  $\beta 2m$  that contributes to IgG binding as mutation to Ala abrogates rat IgG binding to rat FcRn<sup>37</sup>.

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In addition to IgG, FcRn also binds to albumin in a pH-dependent manner. As a result, albumin is salvaged by FcRn, which results in its long half-life in humans. Molecular modeling, structure guided mutagenesis, and the recent crystal structure of the albumin-FcRn complex indicates that albumin binds to a distinct site on FcRn spanning the  $\alpha 1$  and  $\alpha 2$ -domains, opposite that of IgG<sup>35,38–40</sup> (Figure 1 and Figure 2). IgG and albumin can simultaneously and non-cooperatively bind FcRn *in vitro*<sup>41,42</sup> in agreement with their distinctly different binding sites; however, whether a single FcRn molecule can simultaneously transport both IgG and albumin has not been determined. It is also unknown if concomitant or sequential albumin binding to FcRn is critical to IgG salvage by FcRn *in vivo*, or vice versa.

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Although IgG and albumin share the key pH-dependent FcRn binding mechanism, the 1:1 stoichiometry of the FcRn-albumin interaction<sup>41</sup> results in a significantly lower equilibrium binding affinity of albumin-FcRn compared to the 2:1 stoichiometry of the FcRn-IgG interaction, which increases the apparent affinity of IgG for FcRn due to avidity. However, under conditions that do not permit bivalent IgG binding to FcRn, the albumin-FcRn interaction (2–5  $\mu M$ ) and IgG-FcRn interaction (1–4  $\mu M$ ) at pH 6 have similar affinities<sup>39,41,43–45</sup>. The IgG-FcRn interaction is predominately driven by electrostatics whereas the albumin-FcRn interaction is predominantly hydrophobic<sup>35,39</sup>. The albumin interaction with FcRn spans a very large surface area and includes residues located within the  $\alpha 1$  and  $\alpha 2$  domains of the FcRn heavy chain as well as a number of residues on the  $\beta 2m$  light chain (Figure 2). In addition, pH-dependent binding between albumin and FcRn is the result of pH-dependent conformational changes within the HH-loop of albumin enabling numerous hydrophobic interactions with the WW-loop of FcRn (Figure 2a,b), whereas the IgG-FcRn interaction is due to the formation of pH-dependent salt bridges between protonated histidine residues on IgG-Fc and acidic residues on FcRn (Figure 2a,c).

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Both IgG and albumin exhibit significant cross-species differences in their interaction with mouse and human FcRn<sup>42</sup>. Human FcRn is selective for evolutionarily related IgG species but ignores rodent IgGs while mouse FcRn is promiscuous in its interaction with IgG from various species<sup>46</sup>. FcRn is less restrictive towards albumin across species as both mouse and human albumin bind mouse and human FcRn, albeit with species-specific kinetics and affinity<sup>42</sup>. The cross-species binding specificities of IgG and albumin are important

considerations when choosing pre-clinical animal models to study the *in vivo* properties of FcRn ligands.

### 1.2.2 The sites of FcRn protection of IgG *in vivo*

The major cell types involved in the FcRn-mediated protection of IgG from degradation have been deduced in the mouse. IgG clearance is accelerated in irradiated wild-type mice reconstituted with bone marrow from FcRn  $\alpha$ -chain null mice<sup>47</sup>, indicating the hematopoietic compartment is a major contributor to IgG homeostasis. FcRn is expressed in human intestinal macrophages, peripheral blood monocytes, and dendritic cells<sup>48</sup> as well as in mouse splenic macrophages, B cells, dendritic cells, and monocytes<sup>47,49</sup>; however, it is unknown if a particular hematopoietic cell type or many are important in maintaining serum IgG levels.

Conditional deletion of FcRn in mouse vascular endothelial cells, as well as essentially complete deletion in hematopoietic cells, results in rapid clearance of IgG and reduced steady state levels of IgG and albumin, indicating that the vascular endothelium is also a major contributor to IgG homeostasis<sup>49</sup> in the mouse, as was expected given the close contact between the vascular endothelium and IgG in blood. Thus, endothelial cells and hematopoietic cells including monocytes, macrophages, dendritic cells, and possibly B cells are important regulators of IgG clearance in the mouse.

FcRn expression at the mRNA level in mice is highest in the liver followed by kidneys, skin, lungs, spleen, and muscle<sup>50</sup>. The relative IgG tissues-to-plasma area under the curve ratios in wild type and FcRn  $\alpha$ -chain null mice indicate that FcRn contributes significantly to IgG exposure in the skin and muscle<sup>51</sup>, which are presumed to be the major sites of IgG catabolism in humans.

FcRn is also expressed in a wide variety of human tissues; however, the contribution of human endothelial cells to the FcRn-mediated protection of IgG is a point of debate as there are conflicting reports on the extent of FcRn expression in human endothelial cell lines<sup>52</sup> versus human tissues<sup>53</sup>. Regardless, expression of FcRn in human tissue resident dendritic cells, macrophages, and neutrophils, muscle endothelial cells, and a range of epithelial cells<sup>6,53</sup> is consistent with data in the mouse<sup>49,54</sup>. Therefore, the sites of FcRn function in humans is likely tissue specific endothelial cells, such as in the muscle and/or skin, hematopoietic cells such as peripheral blood monocytes and intravascular or tissue resident macrophages, and tissue specific epithelial cells. The specific human cell types and tissues that express FcRn and contribute to IgG and albumin homeostasis warrants further investigation.

### 1.2.3 The mechanism of FcRn-mediated recycling and transcytosis of IgG

The ability of FcRn to protect IgG from intracellular catabolism has been deduced through a series of *in vitro* cellular trafficking studies<sup>52,55-58</sup>. The albumin-FcRn salvage pathway has not been studied *in vitro* but it is widely believed to follow a fate similar to IgG. In almost all cell types FcRn is localized predominantly to intracellular vesicles such as early and recycling endosomes and sorting tubules<sup>59</sup>. FcRn expression on the cell surface is limited

and the pH of the extracellular environment is not favorable for an IgG-FcRn interaction; therefore, IgG is believed to enter cells through non-specific, fluid-phase pinocytosis (Figure 3). Endocytosed IgG is trafficked along the endosomal pathway and encounters FcRn in the early endosome where the acidic microenvironment ( $\text{pH} \approx 6$ ) favors a productive IgG-FcRn interaction<sup>56</sup>. The FcRn-IgG complex is trafficked away from the lysosomal pathway and back to the plasma membrane, where upon membrane fusion the FcRn-IgG complex disassociates due to the elevated extracellular  $\text{pH}$ <sup>55</sup>, returning IgG to the extracellular space, such as the blood, thus extending the serum half-life of IgG. Serum proteins that are not associated with a recycling receptor or IgGs that do not dissociate from FcRn<sup>57</sup> are destined for lysosomal degradation, either because they are not salvaged from transport to the lysosome or are catabolized during receptor turnover, respectively. In addition to recycling, FcRn can transcytosis IgG across polarized cell monolayers via a presumably similar molecular mechanism delivering IgG from the blood into tissue interstitial space and vice versa (Figure 3).

### 1.3 Modulating the IgG-FcRn interaction

Because FcRn contributes significantly to the half-life of IgG and its transport across cellular barriers, a number of macromolecular engineering approaches have been devised to modulate the IgG-FcRn interaction (21). The principle approaches have involved mutations of Fc-domain amino acid residues in proximity to the FcRn binding site. Modulating the IgG-FcRn interaction to increase antibody half-life could enable less frequent dosing while still maintaining efficacy. Conversely, reducing the half-life of antibodies used for tumor imaging may improve signal-to-noise by enabling antibody accumulation in the tumor but rapid clearance from the blood<sup>60,61</sup>. Finally, inhibiting the endogenous IgG-FcRn interaction has therapeutic potential for the treatment of IgG-mediated autoimmune disease.

#### 1.3.1 Fc-engineering to increase the half-life of therapeutic antibodies

The identification of the amino acid residues involved in the regulation of the catabolism and transcytosis of IgG indicated a strong correlation between serum half-life and affinity for FcRn at  $\text{pH} 6$ <sup>22,23,62</sup>. This suggested that increasing the affinity of the IgG-FcRn interaction at  $\text{pH} 6$  would result in an engineered IgG with increased serum persistence. Ghetie, Ward and colleagues randomly mutated three residues in close proximity to the IgG-FcRn binding interface and selected Fc variants that bound FcRn with increasing stringency by phage display<sup>63</sup>. One mouse Fc mutant (T252L/T254S/T256F) with an  $\sim 3.5$ -fold increase in affinity for mouse FcRn at  $\text{pH} 6$  while still maintaining  $\text{pH}$ -dependent binding had a modest but significantly increased half-life in mice<sup>63</sup>. This seminal study was the first to demonstrate that it is possible to increase the serum persistence of Fc, and likely IgG, by increasing affinity toward FcRn at  $\text{pH} 6$ .

Mutation of the same amino acid residues (M252Y/S254T/T256E) in the human IgG1 anti-respiratory syncytial virus (RSV) antibody motavizumab results in an  $\sim 10$ -fold increase in affinity for human FcRn at  $\text{pH} 6$  without increasing affinity at  $\text{pH} 7.4$  and an  $\sim 4$ -fold increase in half-life in monkeys<sup>64</sup>. Importantly, the M252Y/S254T/T256E human IgG1 mutant also has an increased half-life in healthy adult humans<sup>65</sup>. This is a major validation

of engineering efforts to increase IgG affinity for FcRn at pH 6 as a means to increase serum persistence in humans.

A separate set of IgG1 mutations (M428L/N434S) that resulted in an ~ 11-fold improvement in affinity for human FcRn at pH 6 and wild-type IgG like binding at pH 7.4 also resulted in an ~ 4-fold and ~ 3-fold increase in half-life in human FcRn transgenic mice (Tg276) and monkeys, respectively<sup>66</sup>. In this case the IgG1 mutations were introduced into the anti-vascular endothelial growth factor (VEGF) antibody bevacizumab and the anti-epidermal growth factor receptor (EGFR) antibody cetuximab. This was the first study to demonstrate that an FcRn-dependent increase in half-life in mice also translates to an improvement in anti-tumor activity *in vivo*, indicating that at least in these particular mouse cancer models increased drug exposure through half-life extension preserves efficacy and enables less frequent dosing.

Unfortunately, the IgG-FcRn affinity to half-life relationship observed in animal models may not be readily translated to humans. An alternative IgG mutant (N434H) with an ~ 3-fold increase in affinity for human FcRn at pH 6 and an ~ 2-fold increase in half-life in baboons did not show an increased half-life in diseased humans<sup>67</sup>. Thus, disease state and antibody target resulting in FcRn-independent IgG clearance mechanisms are potential confounding factors when translating engineered IgGs from pre-clinical models into the clinic.

A number of additional mutations to the Fc-domain of IgG that alter pH-dependent binding to FcRn (Figure 2) have now been described and are reviewed extensively in references<sup>14,50,68</sup>. For a detailed analysis of the IgG-FcRn affinity to half-life relationship including a table summarizing the IgG-Fc mutants, their respective affinity for FcRn, and resulting half-life in various animal models, see reference<sup>69</sup>. Increasing IgG affinity toward FcRn at pH 6 while maintaining little to no binding at pH 7.4 is a validated mechanism to increase antibody half-life. A high-level evaluation of all pre-clinical animal models used to evaluate IgG half-life indicates that a ~ 5–10 fold increase in affinity at pH 6 typically translates to an ~ 2–4-fold increase in half-life<sup>69</sup>. However, the FcRn affinity to half-life correlation is not always clear in pre-clinical animal models, as was also observed in humans.

Reports that either support<sup>64,66,70–79</sup> or a refute<sup>78,80,81</sup> the IgG-FcRn affinity to half-life relationship are in the literature. In all cases, the extent of IgG characterization with FcRn varies making it difficult to draw useful comparisons between studies. To address these issues, future studies evaluating the impact of FcRn affinity on IgG pharmacokinetics should consider numerous factors in addition to simply measuring equilibrium binding affinity at pH 6 and pH 7.4 such as: 1) binding kinetics including on and off-rates, 2) species matched FcRn binding affinities, and 3) alternative FcRn-independent clearance mechanisms. Despite the conflicting reports, it is clear that modulating the IgG-FcRn interaction via Fc mutation results in the generation of antibodies with altered *in vivo* properties and that maintaining pH-dependent binding to FcRn is critical for half-life extension.



In addition to altering half-life, engineering FcRn binding may be a strategy to improve the tissue distribution of antibodies or alternative drugs and drug carriers. An engineered variant of the anti-VEGF antibody bevacizumab with increased affinity for both human and mouse FcRn at pH 6 and pH 7.4 had an increased tumor accumulation and improved anti-tumor response in mice bearing human tumor xenografts compared to the wild-type antibody, especially at low doses, despite the similar pharmacokinetic properties of the two mAbs<sup>79</sup>. It was suggested that the improved anti-tumor effect may be due to a FcRn-mediated increase in antibody retention or distribution within the tumor, as alternative factors, such as systemic clearance, Fc $\gamma$ R binding, and non-specific binding were the same for both wild-type and variant antibody. Similarly, Dall'Acqua and coworkers observed an increase in the bronchoalveolar lavage concentration of the anti-RSV variant described above<sup>64</sup>. Collectively, these observations suggest that FcRn contributes to tissue-specific drug accumulation and may be a useful target for improving drug/nanoparticle accumulation or penetration within tumors and/or peripheral organs. Engineering FcRn transport capabilities into nanoparticles and the impact on tumor penetration has yet to be explored.

### 1.3.2 Inhibitors of the IgG-FcRn interaction

Pathogenic IgGs that are reactive toward a self antigen is a hallmark of numerous autoimmune disorders<sup>82</sup>. Because FcRn contributes to the serum persistence of IgG, therapeutics that block the IgG-FcRn interaction represent a potential treatment modality for IgG-mediated autoimmunity. Mice deficient in FcRn are protected against IgG-mediated disease<sup>83,84</sup> indicating that FcRn contributes, at least in part, to autoimmunity by maintaining pathogenic IgGs in circulation. High dose intravenous immunoglobulin (IVIg) therapy is FDA-approved for the treatment of immune diseases and provides a therapeutic benefit in IgG-mediated autoimmunity in part by saturation of FcRn thereby increasing the catabolism of pathogenic IgG<sup>85</sup>. However, IVIg therapy is expensive requiring IgG extraction from the plasma of many blood donors, thus recombinant approaches may provide alternative cost-effective treatment options.

IgG based antagonists have been developed to inhibit the endogenous IgG-FcRn interaction (Figure 4). One approach to interfere with the IgG-FcRn interaction is the use of monoclonal antibodies directed against FcRn or  $\beta$ 2m via the classical antibody:antigen binding mechanism. One such antibody, 1G3<sup>86</sup>, binds the rat FcRn heavy chain with an affinity of 1.9 nM and 5.8 nM at pH 6 and pH 7.4, respectively, inhibits IgG binding to FcRn *in vitro*, accelerates the clearance of endogenous serum IgG in rats, and reduces disease severity in rat models of myasthenia gravis<sup>87</sup>. In a similar approach, the anti-rat  $\beta$ 2m antibody, 4C9<sup>86</sup>, also inhibits IgG binding to FcRn and accelerates the clearance of a model IgG autoantibody in rats with ~ 50-fold increased potency compared to IVIg<sup>88</sup>. However, as  $\beta$ 2m is the common light chain of numerous MHC Class I like molecules<sup>16</sup> the off target effect of 4C9 will need to be carefully monitored.

An alternative antibody engineering approach to reduce IgG levels in serum is to engineer the Fc-domain of IgG to have high affinity for FcRn at both pH 6 and pH 7.4, termed antibodies that enhance IgG degradation (Abdegs)<sup>89</sup>. A series of five Fc mutations located at the IgG-FcRn binding interface resulted in an engineered IgG with a 1.2 nM and 7.4 nM



affinity for mouse FcRn at pH 6 and pH 7.4, respectively, similar to 1G3. Abdegs, inhibit FcRn-mediated recycling of wild-type IgG *in vitro*, accelerate the clearance of exogenous IgG, and reduce endogenous IgG concentrations in mice<sup>89</sup>. The high affinity at pH 7.4 presumably enables Abdegs to bind FcRn transiently present on the surface of cells during exocytosis<sup>55</sup> preventing any subsequent interaction with endogenous IgG. In addition, the increased affinity at pH 6 enables Abdegs to compete more efficiently with endogenous IgG for binding to FcRn in acidified endosomes. Both properties prevent or disrupt the endogenous IgG-FcRn interaction resulting in accelerated IgG clearance. Abdegs are effective in treating a murine model of arthritis at 25- to 50-fold lower doses than IVIg therapy<sup>90</sup> indicating that potent antagonists of the IgG-FcRn interaction is an alternative therapeutic intervention in autoimmunity. The Abdeg technology is in clinical development by the Netherlands-based biotech company, arGEN-X. The safety of Abdegs should be carefully monitored in the clinic as treatment regimens for autoimmune diseases commonly rely on the use of immunosuppressive drugs. Therefore, the combination of immune suppression and clearance of endogenous IgG may increase the risk of infection.

More recently, synthetic peptides that compete with IgG for binding to FcRn were identified from a phage library<sup>91</sup>. The phage identified FcRn binding peptides (FcBP) interacts with FcRn at the same position as the Fc-domain of IgG, despite the complete lack of sequence homology between the two FcRn binding molecules<sup>92</sup>. The FcBP is highly specific for human and monkey FcRn, with an approximate 1000-fold weaker binding to mouse and rat FcRn<sup>91</sup>. The phage identified FcBP monomer binds FcRn with micromolar affinity at pH 6 and pH 7.4 and inhibits IgG binding to FcRn *in vitro* using HEK293 cells engineered to express human FcRn, but is not effective at accelerating the clearance of IgG in mice presumably due to its weak affinity and rapid clearance. A chemically optimized peptide dimer (SYN1436) that bound FcRn with subnanomolar affinity at pH 6 and pH 7.4 was effective at increasing the clearance of exogenous IgG in mice as well as endogenous IgG in monkeys<sup>91</sup>, but has not been evaluated in a therapeutic model of IgG-mediated autoimmunity. Small molecule inhibitors of the IgG-FcRn interaction have also been reported<sup>93</sup>. Although less potent than IgG- and peptide-based inhibitors, these compounds represent a significant step toward the development of orally available IgG-FcRn inhibitors.

Additional molecules that bind Fc at the C<sub>H</sub>2-C<sub>H</sub>3 domain interface and may block the IgG-FcRn interaction have been described including: 1) a 13-amino acid cyclic peptide (FcIII) selected by phage display<sup>30</sup>, 2) a computationally designed IgG-Fc binding protein (FcBP6.1)<sup>94</sup>, and 3) an endogenous Fc receptor, TRIM21<sup>95,96</sup>. FcIII and TRIM21 bind Fc with nanomolar affinity at pH 6 and pH 7.4, whereas FcBP6.1 binds with micromolar affinity at pH 6 and nanomolar affinity at pH 7.4. In all cases, binding is predominantly driven by interaction with the Fc residues Met-252, Ile-253, Ser-254, Asn-434, and His-435. The same set of IgG-Fc domain amino acid residues is critical for pH-dependent binding to FcRn; therefore, it is likely that FcIII, FcBP6.1, and TRIM21 would inhibit IgG binding to FcRn. Indeed, we recently demonstrated that FcIII, when genetically fused to a model fluorescent, inhibits IgG binding to FcRn *in vitro*<sup>97</sup>; however, we have not explored the ability of FcIII to accelerate IgG clearance *in vivo*. The multitude of human and non-human ligands that bind at the C<sub>H</sub>2-C<sub>H</sub>3 domain interface on Fc indicates this region is naturally

poised for interaction with distinct molecules with remarkable plasticity in binding mechanism and likely biological function<sup>30</sup>. Thus, it would not be surprising to discover additional endogenous human proteins that bind Fc at the C<sub>H</sub>2-C<sub>H</sub>3 domain interface.

The major limitation of current IgG-FcRn antagonists as drugs is their short half-life that necessitates frequent dosing to maintain therapeutic concentrations in blood. In addition, because IgG-based inhibitors (e.g. Abdegs, IVIg) can exert additional immunomodulatory functions through the engagement of Fc $\gamma$  receptors and complement, it remains unknown whether inhibition of the IgG-FcRn interaction alone, as is the case for peptide and small molecule FcRn antagonists, is sufficient to produce a therapeutic benefit in IgG-mediated autoimmune disease.

## 1.4 Fc-fusion for half-life extension, non-invasive protein delivery, and as vaccines

The first Fc-fusion protein described in 1989 by Genentech<sup>98</sup> came well before detailed knowledge of the Fc-FcRn interaction. The promising outcomes in this pioneering study demonstrated that Fc-fusion can endow a protein with unique effector functions mediated by Fc receptor binding and complement fixation. Additional molecular knowledge of the Fc domain and its biological functions has accelerated the use of Fc-fusion proteins in the clinic and as research reagents. Dissection of the Fc-FcRn structure in combination with a more detailed understanding of FcRn biology has resulted in numerous protein drug delivery strategies that take advantage of the unique biological properties of both the Fc domain and FcRn.

### 1.4.1 Fc-fusion as a versatile half-life extension platform

The Fc-domain of IgG alone is sufficient for a direct interaction with FcRn<sup>45,63</sup>. Genetic fusion of a protein to the Fc-domain of IgG, or Fc-fusion, results in half-life extension by hijacking the FcRn recycling and salvage pathway, and reducing renal clearance through the increase in molecular weight due to the size of the Fc-domain (~ 50 kDa). Typical Fc-fusions are constructed by fusing the C-terminus of an effector molecule to the N-terminus of the IgG hinge region followed by the Fc-domain of IgG (Figure 6c). A number of Fc-fusion proteins are approved for clinical use resulting, in some cases, in a half-life of up to 13 days in humans<sup>99</sup>. However, there are drawbacks to this approach including: production, which is preferred in eukaryotic expression systems due to the disulfide bond pattern and glycosylation of Fc, reduced stability of Fc-fusion proteins<sup>100</sup>, lower activity of the fusion partner<sup>101</sup>, and the large size of the Fc domain that may adversely affect tissue penetration of the fusion protein.

Second generation Fc-fusion formats have been devised to address these issues<sup>102</sup>. Monomeric Fc-fusions contain a monovalent effector molecule fused to the dimeric Fc-domain. Fc-fusion monomers are lower in molecular weight and can have an improved half-life and biological activity compared to traditional Fc-fusion proteins<sup>103</sup>. A monomeric Fc-factor IX fusion (Alprolix) developed by Biogen Idec recently won FDA approval for the treatment of hemophilia B validating the monomeric Fc-fusion platform for protein half-life

extension<sup>104</sup>. Fc-fusion represents one of the most clinically successful protein half-life extension strategies to date and has been extensively reviewed recently<sup>14,102</sup>. Interestingly, Fc-fusion proteins in clinical use do not achieve the same ~ 21-day half-life as intact IgG. This may be due to a multitude of factors including steric hindrance between the Fc-domain and fusion partner that reduce protein stability or binding to FcRn, alternative clearance pathways dependent on the protein fused to Fc, contribution of the Fab domain to antibody half-life<sup>105–107</sup>, or molecular architecture.

#### 1.4.2 Oral and pulmonary protein delivery via FcRn transcytosis

In addition to recycling, FcRn transports its ligands across cellular monolayers providing a feasible route for the transport of protein cargo across epithelial barriers and into the blood stream (Figure 3 and Figure 5a). Administration of protein therapeutics by the oral route remains the ‘holy grail’ of protein drug delivery; however, the low pH, harsh proteolytic environment of the GI tract, and low protein permeability of the intestinal epithelium are major hurdles. FcRn is expressed in the neonatal mouse<sup>17</sup> and adult human intestine<sup>108,109</sup> and can transport IgG across polarized intestinal epithelial cell monolayers *in vitro*<sup>110</sup> and in mice<sup>111</sup>. Fc-fusion, in addition to prolonging circulation, can hijack FcRn transport for delivery of protein cargos across epithelial barriers, which has been evaluated by Syntonix Pharmaceuticals (now Biogen Idec) as a potential non-invasive protein delivery strategy. Oral delivery of a follicle stimulating hormone-Fc fusion (FSH-Fc) protein in a neonatal rat model resulted in systemic exposure of FSH-Fc that translated to an increase in testicular weight when compared to FSH or vehicle<sup>112</sup>. Although a valuable proof-of-concept study, the FSH-Fc bioavailability was not reported and the barrier properties of the neonatal rat intestine are a potential confounding factor as they do not mimic an adult rat or human<sup>113</sup>. Rodent FcRn expression in the intestine declines after weaning<sup>114,115</sup>; therefore, adult rodents may not be the preferred model to study FcRn-mediated intestinal IgG transport.

The lung represents an additional organ for the non-invasive delivery of proteins by inhalation. Compared to the intestine, the lung pH is neutral, the proteolytic activity is reduced, and the epithelium is naturally permeable to small peptides and proteins<sup>116</sup>. In fact, inhaled insulin was a clinical success resulting in FDA-approval in 2006, but was subsequently withdrawn from the market by Pfizer due to poor sales. Nonetheless, in June 2014, the FDA announced the approval of a second-generation inhaled insulin product, Afrezza, developed by California-based MannKind. To date, this is the only commercially available protein therapeutic approved for administration by non-parenteral routes, specifically via the lung.

FcRn is also expressed in bronchial epithelial cells of the adult human, non-human primate, and mouse lung<sup>117</sup> and studies with Fc-fusion proteins confirmed that FcRn is a viable target for transporting protein cargos across the lung<sup>101,117</sup> (Figure 5a). Pulmonary delivery of an erythropoietin Fc (EPO-Fc) fusion protein enables FcRn-specific transport from the lung air space and into systemic circulation with a bioavailability similar to subcutaneous administration (~ 35%) and an ~ 2-fold increase over EPO. An EPO-Fc monomer that contains a single EPO molecule fused to a Fc dimer exhibited higher pulmonary uptake and efficacy compared to the EPO-Fc dimer, suggesting that in addition to FcRn binding,

alternative factors such as molecular weight or steric hindrance may also impact pulmonary absorption of Fc-fusion proteins. Absorption of EPO-Fc was higher in monkeys when deposited in the central airways compared to the deep lung, in agreement with the FcRn expression pattern in primates<sup>101</sup>. Studies with Fc-fusion proteins validate FcRn as a target to enable non-invasive protein delivery and the pulmonary route appears more promising than the oral route to achieve non-invasive protein delivery. Indeed, delivery of EPO-Fc fusion proteins by inhalation has been translated to humans<sup>118</sup>.

Although hijacking FcRn to enable non-invasive protein delivery appears promising, the transport capacity of FcRn in the human lung or intestine is unknown. The maximum serum concentration of EPO-Fc in humans increased from 0.2 ng/mL to 7.1 ng/mL as the dose increased from 3  $\mu\text{g}/\text{kg}$  to 30  $\mu\text{g}/\text{kg}$ <sup>118</sup>. Although sufficient to produce a biological response, EPO is an extremely potent drug. Typical intravenous or subcutaneous doses of EPO range from 1  $\mu\text{g}/\text{kg}$  to 3  $\mu\text{g}/\text{kg}$  resulting in plasma concentrations at steady state between 1 and 10 ng/mL<sup>119</sup>. Villasaliu and colleagues estimated the IgG-FcRn transport capacity in the lung to be  $\sim 6.5 \mu\text{g}/\text{hr}$  based upon transcytosis rates of monomeric IgG across a model Calu-3 cell monolayer and the assumed surface area of the human bronchi<sup>120</sup>. Extending this analysis and assuming that IgG has a maximum lifespan in the lung of about 24 hours<sup>116</sup>, and that distribution is limited to plasma ( $\sim 3 \text{ L}$ ), approximately 150  $\mu\text{g}$  of protein could be absorbed into systemic circulation resulting in maximum serum concentrations not exceeding 50 ng/mL. Monoclonal antibody doses are typically between  $\sim 2 - 10 \text{ mg}/\text{kg}$  and require significantly higher serum concentrations in the  $\mu\text{g}/\text{mL}$  range to elicit a biological effect<sup>121,122</sup>; therefore, pulmonary/oral delivery of antibodies, and other low potency proteins, is likely constrained by the FcRn transport capacity. Nonetheless, FcRn transport may be a viable strategy for non-parenteral administration of potent proteins including EPO, human growth hormone, glucagon like peptide-1, and other potent cytokines and growth factors.

Packaging protein molecules into a carrier, such as a liposome or polymer, decorated with an FcRn binding ligand on the surface, such as Fc, has been proposed to increase the total amount of protein that can be delivered across an epithelial barrier by FcRn<sup>120</sup> (Figure 5a). In fact, oral delivery of a polymer-based nanoparticle encapsulating insulin was recently shown to reduce blood glucose in fasting mice only when the Fc domain of IgG was present on the nanoparticle surface and mice expressed FcRn<sup>123</sup>.

However, care must be taken when designing multivalent FcRn-targeted nanoparticle systems. FcRn present at mucosal barriers serves a dual role in transporting IgG-immune complexes across epithelial barriers for subsequent phagocytosis by antigen presenting cells (APCs)<sup>111</sup> and once internalized FcRn regulates immune complex sorting to the appropriate intracellular major histocompatibility complex (MHC) loading compartment<sup>124,125</sup>. Multivalent immune complexes that cross-link FcRn are typically sorted away from the recycling pathway and diverted to lysosomes<sup>58</sup>. Thus, Fc-decorated protein nanocontainers delivered to the lung or intestine may be phagocytosed by tissues resident APCs, degraded in the lysosome, and peptide antigens loaded onto MHC molecules for subsequent presentation to T-cells resulting in immune induction (Figure 5a). Nonetheless, this recent report by Pridgen and co-workers<sup>123</sup> is an important proof-of-concept study that

demonstrates the ability to hijack the FcRn to enable non-invasive delivery of protein-loaded nanoparticles resulting in a desired therapeutic effect. FcRn-targeted nanoparticles may also have utility in the development of oral or intranasal vaccines or to improve the efficacy of locally administered drugs for treatment of intestinal or pulmonary disease. Moving forward, it will be important to understand the cellular fate of Fc-modified nanoparticles delivered to the lung or intestine, and their potential for immune induction *in vivo*.

### 1.4.3 Fc-fusion proteins for vaccination

FcRn participates in immune surveillance at mucosal barriers, such as in the intestine and lung, through bidirectional transport of IgG from the tissue interstitial space to the lumen, and vice versa<sup>111</sup>. Monomeric IgG delivered to the lung airspace or intestinal lumen can neutralize antigen through the formation of IgG immune complexes (ICs), be transported back across the epithelial barrier by FcRn, and be delivered to tissues resident APCs<sup>111</sup>. FcRn is also expressed in professional APCs, such as macrophages and dendritic cells, and participates in MHC Class I and Class II antigen presentation to T-cells<sup>48,124,125</sup>, and was recently shown to contribute to tumor immunity against colorectal cancers and lung metastases by potentiating anti-tumor CD8+ T cell responses<sup>126</sup>. In polymorphonuclear neutrophils, FcRn facilitates the phagocytosis of IgG opsonized bacteria<sup>127</sup>. The immunologic functions of FcRn in phagocytes, epithelial cells, and antigen presenting cells at mucosal surfaces make it a potential target for protein subunit vaccination, mucosal vaccination, and pathogen neutralization strategies.

Ward and colleagues demonstrated that *in vitro* and *in vivo* there is a fine balance between the role of FcRn and Fc $\gamma$  receptor engagement to induce antigen specific T-cell expansion<sup>128</sup>. Although *in vitro* Fc-antigen fusions that engage Fc $\gamma$  receptors and have a high, pH-independent affinity to FcRn (Fc-mut) induce the most potent antigen specific T-cell response, Fc-mut has poor stimulatory capacity *in vivo*. In contrast, Fc-antigen fusions that retain pH-dependent binding to FcRn and engage Fc $\gamma$  receptors (Fc-wt) induce weak T-cell responses *in vitro*, but are the most potent *in vivo*. The shorter *in vivo* half-life of Fc-mut compared to Fc-wt indicates that length of antigen exposure *in vivo* is more important than FcRn targeting, at least for antigen administered systemically. In all cases, Fc $\gamma$  receptor binding is crucial to induce antigen specific T-cell responses against Fc-antigen fusions *in vivo*. The studies suggest that fine tuning the Fc-FcRn interaction (or Fc-Fc $\gamma$ R interactions) to increase antigen processing by APCs without significantly altering serum persistence may be a viable strategy to improve antigen specific immune responses to Fc-fusions *in vivo*.

Typical vaccination strategies rely on intramuscular or subcutaneous injection yet most viral and bacterial infections occur at mucosal barriers. Thus, the ideal vaccination strategy would induce both systemic and mucosal immunity. Zhu and colleagues devised a FcRn-dependent mucosal vaccination strategy by fusing the herpes simplex virus type-2 glycoprotein gD to the Fc-domain of IgG (Fc-gD)<sup>129</sup>. Intranasal administration of Fc-gD to mice induced gD-specific local and peripheral T-cell responses resulting in the induction of gD-specific memory B-cells in the spleen, and most importantly protection against a subsequent intravaginal HSV-2 challenge that persisted for 6 months post immunization. Protection was both dependent on FcRn expression in mice and also Fc binding to FcRn. The role of Fc $\gamma$

receptor engagement was not evaluated, but the mouse IgG isotype used (IgG2a) preferentially binds mouse activating Fc $\gamma$  receptors (Fc $\gamma$ RI, Fc $\gamma$ RIII, Fc $\gamma$ RIV) expressed on the surface of APCs<sup>34,130</sup>. Thus, a common theme of FcRn targeted vaccination strategies is the necessity for Fc $\gamma$ R engagement on antigen presenting cells to facilitate antigen endocytosis and intracellular processing. Zhu and colleagues have also extended their Fc-fusion based mucosal vaccination strategy to induce protection against HIV infection with similar successes<sup>131</sup>, indicating this strategy may be general and not be restricted to a particular viral antigen.

Pathogen neutralization by IgG is a primary line of defense against invading viruses, such as influenza and HIV, and FcRn dependent deposition of neutralizing IgG in the mucosa is an important pathway in IgG-mediated host defense<sup>114,132</sup>. Fc-engineering to increase IgG affinity for FcRn at pH 6 and subsequently the *in vivo* half-life of IgG has been repeatedly demonstrated in the literature. Recently, this concept was extended to the broadly neutralizing (bnAb) anti-HIV antibody, VRC01, as a means to increase IgG deposition and/or retention in the rectal mucosa via increased FcRn-transport<sup>133</sup> (Figure 5b). Indeed, VRC01 Fc-mutations that increase affinity for FcRn at both pH 6 and pH 7.4 increased VRC01 levels in rectal and vaginal tissue, resulting in greater protection against an intrarectal simian HIV challenge in macaques. These findings have important implications for the use of Fc-engineered bnAbs to prevent viral infections via prophylactic antibody administration.

In addition to extracellular pathogen neutralization by IgG, Zhu and colleagues identified an intracellular, FcRn-mediated viral neutralization mechanism<sup>134</sup>. An influenza hemagglutinin-specific monoclonal antibody, Y8-10C2, that neutralizes a particular influenza strain (PR8) only at acidic pH (e.g. only in acidic intracellular compartments) was used to demonstrate that FcRn expression in MDCK cells delivers Y8-10C2 to the early endosome where it can bind PR8 and divert the neutralized virus to the lysosome<sup>134</sup>. Importantly this was dependent on FcRn expression in MDCK cells and Y8-10C2 binding to PR8; however, a Y8-10C2 that lacks FcRn binding was not evaluated. Nonetheless, mice pre-treated with Y8-10C2 are protected from a subsequent lethal, intranasal PR8 challenge for up to 9 days, whereas FcRn knockout mice that received Y8-10C2 were only partially protected. This suggests that both FcRn dependent and independent mechanisms of presumably intracellular viral neutralization occur *in vivo*. Mice pre-treated with an irrelevant IgG control or vehicle died of infection within 6 days of viral challenge. Collectively, the studies by Ko et al.<sup>133</sup> and Bai et al.<sup>134</sup> shed new light on the role of FcRn in IgG-mediated viral neutralization; however, a recent study suggests that FcRn may also contribute to the transport of IgG-opsonized HIV across epithelial cell monolayers<sup>135</sup>, at least *in vitro*. Although this study raises an important consideration that deserves investigation, it is unclear if such a phenomena would occur *in vivo*, given the vast differences between an *in vitro* cell monolayer and the *in vivo* mucosal microenvironments.

## 1.5 Alternative strategies that target FcRn

Aside from Fc-fusion a number of additional engineering approaches have been devised to target proteins to FcRn. These strategies include fusion to albumin, engineered Fc domains,



or synthetic peptides. To date, most of these strategies are not clinically validated, aside from albumin based drugs and a recently FDA-approved albumin fusion protein. Regardless, alternative strategies that target proteins to FcRn to enable half-life extension, non-invasive delivery, or immune modulation are likely to become important new reagents that expand our understanding of FcRn biology.

### 1.5.1 Albumin fusion

Albumin is the most abundant protein in human serum and has an extraordinarily long half-life as a result of its size and direct interaction with the FcRn. The interaction between albumin and FcRn has been exploited as a mechanism to increase the circulation time of proteins that are covalently or non-covalently bound to albumin<sup>136</sup>. Direct interaction with FcRn is achieved by genetic fusion or covalent attachment of a protein cargo to the terminus or single free cysteine, respectively, of recombinant serum albumin (Figure 7b) that in some cases results in half-lives ranging from 9–15 days in humans<sup>137</sup>. A GLP-1 albumin fusion (albiglutide) developed by Human Genome Sciences (acquired by GSK) extends the half-life of GLP-1 by ~ 3600-fold<sup>138</sup> (~ 2 min to ~ 5 days) and won FDA-approval for the treatment of type 2 diabetes.

Recently, human serum albumin (HSA) variants with increased affinity towards FcRn at pH 6 were isolated by yeast display<sup>39</sup> or rational design<sup>139</sup>. The HSA variants maintain pH-dependent binding to FcRn, although some mutants bind weakly at pH 7.4<sup>39</sup>. The ~ 300-fold increased affinity for FcRn at pH 6 translated to an ~ 1.5 fold increase in HSA half-life in monkeys. These studies validate the role of FcRn in regulating albumin homeostasis and engineering approaches to modify the albumin-FcRn interaction as a means to tailor the plasma half-life of albumin linked drugs.

Non-covalent binding of therapeutic proteins and peptides to albumin enables an indirect interaction with FcRn. Albumin binds a number of endogenous compounds including fatty acids. Conjugation of a C16 fatty acid to GLP-1 results in non-covalent attachment to albumin and half-life extension and is FDA-approved for the treatment of type 2 diabetes<sup>138</sup>. Fatty acids inhibit albumin binding to FcRn<sup>39</sup>, therefore fatty acid conjugated drugs bound to albumin may not be productively salvaged by FcRn *in vivo*. Alternative albumin binding molecules have been engineered such as peptides<sup>140</sup>, albumin binding domains from streptococcal protein G<sup>141</sup>, and antibody based scaffolds<sup>142</sup>. The immunogenicity of non-human albumin binding proteins is still a concern, especially if such therapeutics are intended for long-term use. Nonetheless, the use of albumin as a drug carrier is a clinically successful platform for half-life extension, and more details are presented in two recent reviews<sup>136,137</sup>.

### 1.5.2 Low molecular weight FcRn binding ligands

The molecular weight of protein therapeutics, or hydrodynamic radius, is inversely proportional to diffusivity and capillary permeability<sup>143</sup>. Monoclonal antibodies are proven anti-cancer agents but heterogeneous distribution in tumor tissues can result in restricted tumor cell exposure and poor efficacy. Antibody distribution through a tumor is the result of numerous factors including length of systemic exposure, extent of tumor vasculature,



convection, diffusion, and antigen binding<sup>144</sup>. However, the concentration gradient between blood and tumor is a major driving force in protein accumulation within a tumor that can be controlled by modulating circulation time<sup>145</sup>. Low molecular weight proteins have excellent tumor penetration properties, but rapid clearance limits their use as therapeutics<sup>144</sup>. Strategies that increase serum persistence without substantially increasing molecular weight may improve the distribution of drugs in tumors (and other tissues) without compromising dosing frequency.

Towards this goal Dimitrov and colleagues generated a variety of engineered monomeric C<sub>H2</sub>, C<sub>H3</sub>, and Fc domains<sup>146–148</sup> (Figure 6d,e). Although substantially smaller than dimeric Fc (~ 15 kDa vs 50 kDa), monomeric C<sub>H2</sub> and C<sub>H3</sub> bind weakly to FcRn, as expected given the contribution of amino acid residues that span both the C<sub>H2</sub> and C<sub>H3</sub> domains to FcRn binding<sup>22</sup>. Nonetheless, the terminal half-life of monomeric C<sub>H2</sub> is extended 2–3 fold in mice compared to a mutant C<sub>H2</sub> that does not bind FcRn<sup>149</sup>. Monomeric C<sub>H3</sub> has not been evaluated *in vivo*. Monomeric C<sub>H2</sub> and C<sub>H3</sub> fusion proteins are unlikely to achieve circulation times comparable to Fc-fusion as they cannot make a bivalent, high-affinity interaction with FcRn. Additional mutations to increase affinity toward FcRn may improve the *in vivo* properties of monomeric C<sub>H2</sub> and C<sub>H3</sub> domains.

Fc is a dimer composed of two C<sub>H2</sub>-C<sub>H3</sub> chains (Figure 6c). Fc dimerization is mainly mediated by hydrophobic interactions between two C<sub>H3</sub> domains and stabilized by disulfide bonds in the hinge region preceding the C<sub>H2</sub> domain. Fc dimerization empowers IgG with bivalent antigen binding as well as bivalent Fc receptor binding. Monomeric Fc domains have recently been described by either mutation of hydrophobic residues at the C<sub>H3</sub>-C<sub>H3</sub> dimer interface<sup>146</sup> or introduction of N-linked glycosylation sites on the C<sub>H3</sub> domain<sup>150</sup> (Figure 6d). Both formats retain pH-dependent binding to FcRn and a Fc monomer Fab fusion protein (Fab-monoFc) has a FcRn dependent half-life in mice; however, the half-life of Fab-monoFc compared to Fab alone was not determined. Nonetheless, monomeric Fc domains represent the smallest reported IgG domain (~ 25 kDa) that make a productive, pH-dependent interaction with FcRn. A more thorough investigation into the FcRn binding, circulating, and tumor penetrating properties of monomeric Fc compared to alternative formats (e.g. dimeric Fc fusion) is needed to understand the potential of this novel half-life extension platform.

We previously described an alternative strategy to engineer FcRn-mediated recycling and transcytosis in recombinant proteins by genetic fusion of short FcRn binding peptides (FcBP) to the termini of a model fluorescent protein<sup>151</sup> (Figure 6g). Our approach was motivated by results of Mezo and colleagues who identified peptides that compete with IgG for binding to FcRn<sup>91</sup>. Proteins modified with FcBPs exhibit pH-dependent binding to FcRn with an affinity comparable to human IgG1, and are recycled and transcytosed across cell monolayers that express human FcRn. *In vitro*, FcBP fusion proteins are highly mimetic of the hIgG1-FcRn interaction. To date, FcBP fusion represents the smallest protein modification (~ 2 – 4 kDa) approach to target FcRn without compromising affinity or pH-dependent binding. However, we found that the plasma clearance of FcBP fusion proteins *in vivo* is independent of human FcRn binding, whereas the clearance of IgG and albumin is FcRn dependent (unpublished results). We speculate that alternative unknown factors are

important regulators of IgG homeostasis *in vivo*, which cannot be replicated by FcBP fusion. We expand upon this hypothesis in section 1.7.

More recently, Seijsing et al. selected affibody molecules, a 3-helix bundle scaffold protein derived from staphylococcal protein A (Figure 6f), that exhibit pH-dependent binding to the FcRn<sup>152</sup>. The selected affibody variants ( $Z_{FcRn}$ ) bind human FcRn with low nanomolar affinity at pH 6 (10–50 nM), and weaker, yet functional binding at pH 7.4 (~ 100 – 1000 nM). Various  $Z_{FcRn}$  affibodies were subsequently tethered to an albumin binding affibody (ABD) for evaluation *in vivo*. Fusion of  $Z_{FcRn}$  to ABD resulted in a 2–3 fold increase in half-life (~ 60–90 hrs) of the  $Z_{FcRn}$ -ABD fusion compared to the ABD (~ 33 hr) in mice.  $Z_{FcRn}$  is an additional low molecular weight fusion molecule to endow recombinant proteins with FcRn-mediated recycling and transcytosis, however more research is required to elucidate the  $Z_{FcRn}$  half-life extension mechanism. It is currently unknown whether  $Z_{FcRn}$  can functionally hijack FcRn in the absence of albumin binding; the extended half-life of the  $Z_{FcRn}$ -ABD fusion may be entirely dependent on albumin binding. To broaden the utility of the  $Z_{FcRn}$ , it is important to determine if  $Z_{FcRn}$  can extend the half-life of recombinant proteins that do not interact with FcRn. Nonetheless,  $Z_{FcRn}$  represents an interesting new reagent to probe the *in vitro* and *in vivo* biology of the FcRn. Nanobodies that target FcRn have also been reported<sup>153</sup>; however, their utility in drug delivery or disease therapy has not been explored.

## 1.6 Future perspectives: the not so well characterized FcRn?

In the field of drug delivery, a deep understanding of underlying biological mechanisms that contribute to human physiology and disease is a prerequisite to the development of effective drug carriers. FcRn is a prime example of how basic biology and incremental discoveries can be translated into therapeutics. So what is next for FcRn? To conclude this review we provide a perspective on the future of FcRn as a therapeutic target for drug delivery and speculate that additional yet unknown biological mechanisms regulate FcRn function.

A number of unexplored drug delivery strategies may be useful when considering the known biology of FcRn. For instance, FcRn expressed in the lung and intestinal epithelia provides a route for the transport of Fc-fusion proteins across the epithelium and into the blood stream, enabling non-invasive protein administration via FcRn-mediated transcytosis<sup>112,118</sup>. However, FcRn also recycles its ligands and may act to increase lung retention of pulmonary administered therapeutics, which is hampered by rapid mucociliary and alveolar macrophage clearance<sup>116</sup>. It is unknown whether FcRn can increase the residence time of therapeutics delivered to the lung or what role alveolar macrophages play in the disposition of FcRn-targeted therapeutics. An investigation into these processes may identify useful FcRn-dependent strategies to improve the efficacy of pulmonary therapeutics.

Interestingly, intense expression of FcRn in human small intestine crypt cells was recently reported<sup>115</sup>. The cells also stained positive for chromogranin A, Glucagon-Like Peptide (GLP)-1, GLP-2 by immunohistochemistry suggesting their identity as enteroendocrine cells. Although the biology of FcRn in this specific cell type is unknown, the crosstalk between endocrine and immune cells in the gut<sup>154</sup> suggests FcRn may play a specialized

role in maintaining mucosal immunity in this cell type. Endocrine cells in the gut also play an important role in nutrient absorption and metabolism<sup>155</sup>. Thus, targeting therapeutics to FcRn expressing endocrine cells may be a strategy for the treatment of metabolic disease and gastrointestinal disorders but requires a significant amount of further research to comprehend the biology. It is provocative to speculate that at least part of the therapeutic effect elicited by the oral delivery of insulin loaded, FcRn targeted nanoparticles was mediated by a local FcRn dependent process in the gut rather than the systemic delivery of insulin via FcRn-transcytosis as suggested by the authors<sup>123</sup>.

In general, organ specific functions of FcRn outside of regulating IgG homeostasis have not been thoroughly investigated. Recently the expression of FcRn in the mouse and human eye was reported<sup>156</sup>. The expression pattern between human FcRn transgenic mice (Tg32 strain) and human tissues were similar although not identical. Interestingly, strong FcRn staining in monocytic cells co-expressing the Iba1 marker was observed in sections from the human eye<sup>156</sup>. We have observed a low frequency but consistent phenotype in the eye of the human FcRn transgenic mice<sup>72,157</sup> associated with a partial or complete degeneration of predominately the left eye (unpublished observation). The expression of FcRn in the eye and the observed phenotype suggest that FcRn has specific, unknown functions in eye development and disease progression. Confirming the link between FcRn and eye disease, if any, may enable new ocular treatment opportunities using IgG/albumin based drugs.

There may also still be unexplored antibody engineering strategies that alter the biodistribution or pharmacokinetics of mAbs mediated by FcRn. Increasing the affinity the IgG-FcRn interaction at pH 6 can extend IgG half-life; however, there appears to be a direct correlation between affinity gains at pH 6 and pH 7.4<sup>73</sup>. This correlation places a limit on increasing the affinity of the IgG-FcRn interaction at pH 6, as subsequent affinity gains at pH 7.4 decrease IgG serum persistence<sup>158</sup>. IgG can simultaneously bind two FcRn molecules due to the homodimeric nature of the Fc-domain<sup>27</sup>. Therefore, an intriguing idea may be to engineer a heterodimeric Fc with each subunit having a differential affinity for FcRn at either pH 6 or pH 7.4 (Figure 7a). Although heterodimeric Fc/FcRn interactions have been described, the hybrid Fc molecules reported all contain one Fc C<sub>H</sub>2-C<sub>H</sub>3 domain that lacks binding to FcRn<sup>21,22,29,159</sup>. An evaluation of hybrid Fc molecules constructed from two Fc C<sub>H</sub>2-C<sub>H</sub>3 domains with varying affinity for FcRn at pH 6 and/or pH 7.4 has not been conducted. Such engineered heterodimeric Fc molecules may alter the pH 6 to pH 7.4 affinity correlations, could be useful to study the role of FcRn affinity and dimerization on recycling, transcytosis, and half-life, and may result in IgGs with unusual *in vivo* properties. A number of methods now exist to readily create bispecific IgG-Fc<sup>160-162</sup>.

In addition, engineering mAb variable domains to bind FcRn in a pH-dependent (or independent) fashion at a site that does not interfere with albumin or IgG binding may be an efficient strategy to hijack FcRn-mediated recycling and transcytosis (Figure 7b). Presumably these engineered mAbs would not compete with the high concentrations of endogenous IgG and albumin for FcRn transport. Coupling engineered anti-FcRn mAbs or Fab-fragments to drugs or carriers may enable the non-invasive delivery of therapeutic agents or their accumulation in peripheral organs such as the lung or brain. A similar

strategy that hijacks the transferrin receptor was recently reported by Yu and colleagues at Genentech to improve the brain accumulation of therapeutic antibodies<sup>163</sup>.

The role of FcRn in the protection and transport of albumin has lagged IgG. The recent crystal structure of an engineered human serum albumin variant in complex with human FcRn<sup>39</sup> as well as the co-crystal structure of human albumin and human Fc in complex with human FcRn<sup>35</sup> will certainly provide new insights into the albumin-FcRn-IgG interaction that should guide engineering approaches. Indeed, engineered albumin variants that have an increased affinity for FcRn at pH 6 and an extended half-life in mice and monkeys were recently described<sup>39,164</sup>. Compared to IgG, there is little knowledge of the FcRn-mediated recycling and transcytosis and intracellular fate of albumin. Albumin is a carrier of numerous endogenous compounds and exogenous drugs, thus engineering inhibitors of the albumin-FcRn interaction may be a viable strategy to enhance the clearance of albumin-bound molecules.

### 1.7 An alternative FcRn-IgG salvage hypothesis

As part of our efforts to improve the drug-like properties of proteins we developed a method, termed FcBP fusion, to engineer proteins to interact with the FcRn through short terminal peptide extensions. FcBP fusions are highly mimetic of the IgG-FcRn interaction and are recycled and transcytosed by FcRn *in vitro*. Similar *in vitro* assays formed the foundation of our knowledge of the FcRn recycling process and IgG salvage mechanism presumed to also occur *in vivo*. However, our attempts to hijack FcRn-recycling with a synthetic FcBP have not translated to a FcRn-dependent increase in half-life of FcBP modified cargos *in vivo* (unpublished results). This observation formed the initial basis of our hypothesis that the IgG-FcRn recycling pathway involves an additional component(s) that acts in collaboration with FcRn to salvage IgG but not FcBP fusions from catabolism.

We speculate that such a mechanism may involve a yet unknown receptor or known receptor with unknown/additional function, termed “Factor X.” In this conclusion, we outline three alternative IgG salvage mechanisms (Figure 8). Although we describe and justify our hypotheses based upon our experience with FcBP fusion proteins and relevant literature, the proposed mechanisms align with our current understanding of the IgG-FcRn interaction, as well as the caveats of the systems used to study the IgG-FcRn transport mechanism. The latter was the focus of a recent opinion article by Clark Anderson<sup>15</sup>.

A number of observations in the literature support the potential of an additional IgG salvage process. First, and most relevant, is the observation that  $\beta 2m$  deficient mice catabolize IgG faster than FcRn  $\alpha$ -chain deficient mice leading Kim, Anderson and coworkers to suggest an alternative  $\beta 2m$ -associated IgG salvage mechanism<sup>165</sup>. Unfortunately,  $\beta 2m$  is required for FcRn function<sup>19,166</sup> making it impossible with current mouse models to decouple the relative contribution of FcRn or an alternative  $\beta 2m$ -dependent process that regulates IgG half-life. Also of relevance is the observation that the varying plasma clearance of IgG subclasses (1, 2, 3, and 4) and isotypes ( $\lambda$  or  $\kappa$ ) cannot be explained by a simple FcRn-IgG affinity relationship<sup>80,167,168</sup>, suggesting alternative factors contribute to IgG serum persistence. Interestingly, albumin is not actively transported across the placental barrier

even though IgG transport from mother to fetus is dependent on FcRn<sup>169</sup>. This suggests that the maternofetal transfer of IgG requires an additional FcRn-independent mechanism that is not replicated by albumin. Perhaps a similar step also regulates IgG circulation in blood?

In the first hypothesized mechanism, IgG binds Factor X at the cell surface. Factor X then shuttles IgG from the blood to FcRn-containing endosomes in a “bait and switch” type endocytosis and recycling mechanism (Figure 8a). This contrasts with the widely accepted mechanism of non-specific, fluid-phase uptake to deliver serum IgG to intracellular FcRn. In the “bait and switch” mechanism only serum proteins that bind both Factor X and FcRn complete the intracellular FcRn-mediated recycling process. A two-component “bait-n-switch” could regulate IgG salvage in parenchymal and hematopoietic cells as these cells both express known (or possibly unknown) IgG receptors (IgR)<sup>34</sup> and are the major cell types involved in regulating IgG serum persistence in mice<sup>47,49</sup>. Even a low affinity interaction between IgG and an IgR could result in receptor-mediated endocytosis given the high concentration of IgG in serum while dissociation of IgG during endosomal transit, either due to weak or pH-dependent affinity, would enable capture by FcRn.

Alternative to the “bait and switch,” an additional membrane component may interact directly with the FcRn  $\alpha$ -chain and/or  $\beta$ 2m light-chain and influence the IgG-FcRn interaction resulting in a cooperative (**P**artner **I**nitiated) or competitive (**P**artner **I**nhibited) **R**ecycling process, or “**PAI**Red.” The human hemochromatosis protein (HFE) acts as a “Partner Inhibited” regulator of iron absorption by competing with transferrin-bound iron (Tf-Fe) for transferrin receptor binding<sup>170</sup>. HFE function, like FcRn, is dependent on association with  $\beta$ 2m<sup>171</sup>. In a similar fashion, Factor X may compete with IgG for binding to FcRn providing an additional regulatory component to control IgG homeostasis (Figure 8b).

The invariant chain (Ii), which promotes MHC Class II folding and endosomal targeting, also associates with FcRn in immortalized and bone marrow derived cells from mice and humans<sup>172</sup>. The Ii interacts with FcRn alone or the FcRn/ $\beta$ 2m heterodimer. The FcRn/Ii complex can be isolated from cell lysates with IgG-beads at pH 6 suggesting that the Ii does not interfere with IgG binding to FcRn and is not displaced upon IgG binding. The Ii appears to shift the distribution of FcRn toward late endosomes/lysosomes (LAMP-1 positive compartments) suggesting a potential mechanism for the delivery of IgG immune complexes to the lysosome. The interacting amino acid residues on both the Ii and FcRn/ $\beta$ 2m are unknown as well as the biological relevance of this interaction *in vivo*. A detailed molecular understanding of the Ii-FcRn/ $\beta$ 2m-IgG interaction is warranted as this complex may regulate the IgG-FcRn interaction.

Alternatively, an additional membrane component may induce affinity between IgG and FcRn at physiological pH, resulting in FcRn-mediated endocytosis of the ternary Factor X-FcRn-IgG complex (Figure 8c). Again, even weak affinity at pH 7.4 would be sufficient to capture serum IgG. At some point along the recycling pathway, Factor X may dissociate from FcRn while IgG remains bound due to its high affinity at low pH. The binary FcRn-IgG complex may be trafficked back to the plasma membrane resulting in release of IgG from FcRn due to the elevated pH and absence of Factor X.

A major question that remains is why FcBP fusion proteins and IgG are recycled and transcytosed by human FcRn *in vitro*, if in fact an alternative regulatory process exists? We postulate that there may be cross-species differences in the Factor X interaction with FcRn,  $\beta$ 2m, and/or IgG in the *in vitro* MDCK cell model (or other cell models) commonly used to study FcRn transport. MDCK cell systems over-expressing FcRn and  $\beta$ 2m are widely used to study FcRn-mediated recycling and transcytosis of IgG<sup>29,151,166,173–176</sup> and was also used to study the FcBP interaction with human FcRn<sup>151</sup>. However, the MDCK cell model has caveats. First, to detect both IgG and FcBP accumulation in MDCK cells by fluorescence FcRn must be over-expressed such that a high fraction of the receptor is present on the cell surface. In this model, when the culture medium is acidic, we rely on FcRn-dependent endocytosis not fluid-phase pinocytosis or alternative receptor mediated endocytosis processes. However, at sufficiently high enough ligand concentrations we would also expect some degree of fluid-phase uptake, although in this particular cell model we detect little to no non-specific IgG accumulation at pH 7.4 even at concentrations up to 25  $\mu$ M<sup>151</sup>. Second, MDCK cells may not express Factor X or may express a species of Factor X that does not interact with human FcRn/ $\beta$ 2M or human IgG. This is reminiscent of the lack of association between human FcRn and dog  $\beta$ 2m in MDCK cells<sup>166</sup>. Thus, if Factor X exists, such *in vitro* models may not reflect the *in vivo* biology. The uptake and recycling mechanisms of IgG, albumin, FcBP fusions, or alternative FcRn-binding ligands should perhaps be evaluated in immortalized and/or primary human endothelial/epithelial cells and hematopoietic cells, as these cell types are thought to be a major contributor to FcRn function *in vivo*<sup>47,49</sup> and possibly a more representative cell model.

The suggestions in this section are highly speculative; however, we would not be surprised that an additional regulatory component influences IgG homeostasis in combination or collaboration with FcRn, as has been suggested for the maternofetal transfer of IgG<sup>177</sup>. Our hypotheses are built upon published data and our observation that a synthetic peptide specific for human FcRn does not enable half-life extension of its fused cargos *in vivo* even though it mimics the IgG-FcRn interaction in single component model systems *in vitro*. These data suggest an alternative IgG-salvage component that cannot be mimicked by the FcBP and/or that has species-specific properties. However, we cannot rule out that subtle, unidentified differences in FcBP-FcRn binding kinetics, affinity, site, or mechanism contribute to its lack of function *in vivo*. Nonetheless, if an alternative IgG or FcRn regulatory component exists, its identification would have a significant impact on FcRn biology and provide new opportunities to engineer IgG, albumin, or alternative FcRn-targeted molecules for enhanced serum persistence.

## 1.8 Conclusion

In recent years a number of advancements in our understanding of FcRn biology have translated to the development of novel FcRn based drug delivery strategies. Most notably, the recently elucidated immunological functions of FcRn have been exploited to improve delivery and efficacy of protein subunit vaccines<sup>129</sup> and neutralizing antibodies<sup>133,134</sup> for treatment of infectious diseases. The continual exploitation of the classical roles of FcRn in regulating IgG homeostasis and transport across cellular barriers have also resulted in a number of novel engineering approaches that hijack FcRn-mediated recycling and



transcytosis to improve protein half-life and delivery. Most approaches focus on engineering higher affinity IgG Fc-domains or albumins for use as therapeutics or fusion partners; however, a number of novel FcRn targeting strategies are in their infancy<sup>146,150,151,153</sup>. Engineered IgGs with higher affinity toward FcRn to improve half-life, a concept that originated almost 20 years ago<sup>63</sup>, have finally been evaluated in humans<sup>65,67</sup>. The recent crystal structure of albumin and an albumin variant in complex with FcRn<sup>35,39</sup> should accelerate the development of albumin based therapeutics and underlying FcRn-albumin biology, which has lagged IgG. New immunological functions of FcRn continue to emerge demonstrating the importance of this receptor in human health<sup>126</sup>. The future is bright for FcRn as a molecular target to improve the drug-like properties of proteins and macromolecule drug carriers. With continual discovery of the vast biological functions of FcRn, the development of new tools to study FcRn *in vitro* and *in vivo*, and clever engineering approaches and creative minds, we anticipate research in the field to have a profound impact on the development of novel therapeutic strategies to treat human disease.

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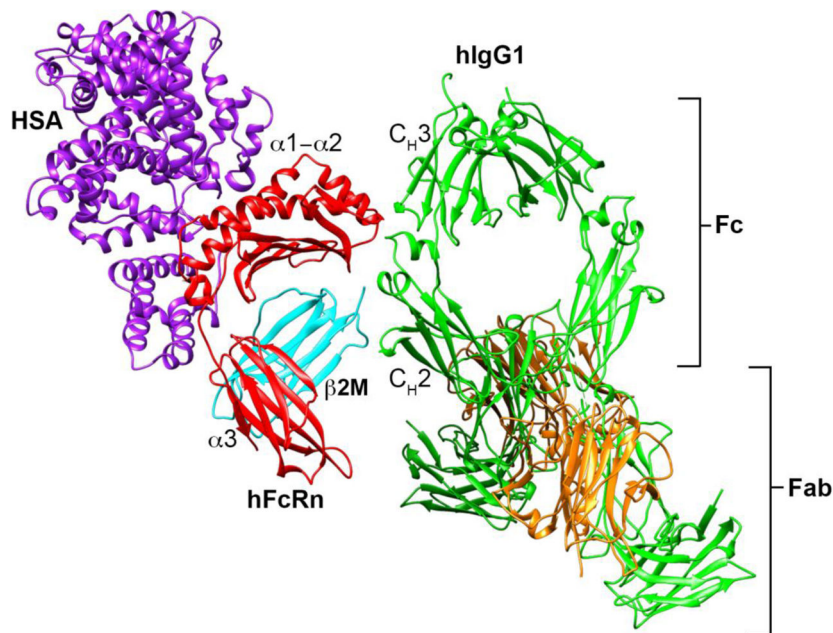


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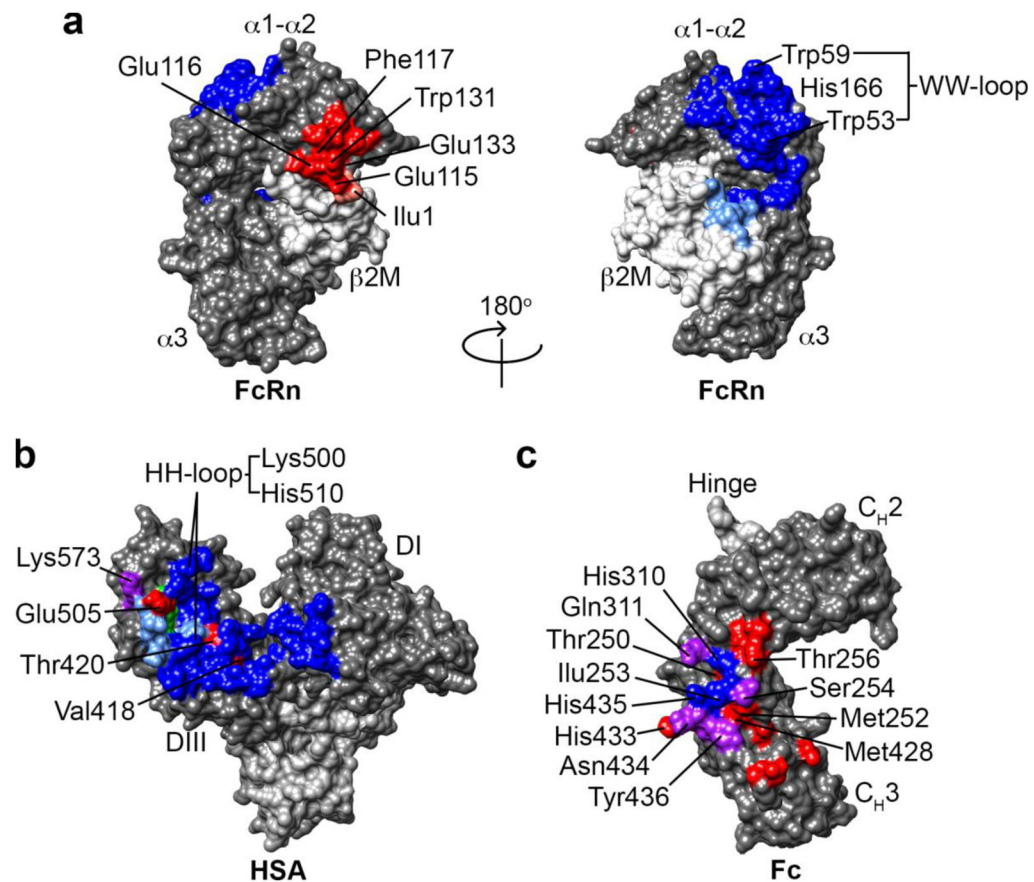
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**Figure 1. Structure of human FcRn in contact with hIgG1 and human serum albumin**  
 Human IgG1 (green) contacts the  $\alpha 2$  domain of human FcRn (red) and N-terminus of  $\beta 2M$  (cyan) at the intersection of the  $C_{H2}$ - $C_{H3}$  domains within the Fc portion of IgG. Human serum albumin (purple) contacts a distinctly different binding site that spans the  $\alpha 1$ - $\alpha 2$  domains of FcRn and  $\beta 2M$ . The HSA/hFcRn/hIgG1 model in this figure is based upon the HSA/hFcRn/Fc-YTE structure<sup>35</sup> (PDB code 4N0U) and the full length human IgG1 structure (PDB code 1HZH). As there is no crystal structure of the full length hIgG1/hFcRn complex, full length hIgG1 was aligned to human FcRn based upon the human Fc-YTE/FcRn structure using Chimera<sup>178</sup> v1.6.1 (UCSF, San Francisco, CA).



**Figure 2. Amino acid residues on albumin and the IgG1 Fc-domain involved in binding to human FcRn and that have been mutated to alter albumin and IgG half-life**  
**(a)** The human FcRn heavy chain (HC) and  $\beta$ 2M light chain (LC) residues involved in the IgG (red, HC; salmon, LC) and albumin (blue, HC; light blue, LC) interaction. Residues involved in the IgG-FcRn interaction are restricted to the  $\alpha$ -2 domain of the HC and Ilu1 on the  $\beta$ 2M LC, whereas the residues involved in the albumin-FcRn interaction span the  $\alpha$ -1 and  $\alpha$ -2 HC domains and include a number of residues on the  $\beta$ 2M LC<sup>24,27,35,39</sup>. **(b)** Human serum albumin residues involved in pH-dependent binding to human FcRn. Residues that interact with the hFcRn HC are colored blue,  $\beta$ 2M LC are colored light blue, and those that interact with both the HC and LC are colored green. The residues are mostly located within domain III (DIII) with a smaller contribution from domain I (DI). The critical HH-loop residues on albumin that result in pH-dependent binding to the WW-loop on hFcRn are located in DIII. Amino acid residues that have been mutated to increase the albumin-FcRn affinity at pH 6 are labeled red and cluster in DIII<sup>39,139</sup>. Residues that contribute to the endogenous albumin-FcRn interaction and also have been mutated to increase affinity are colored purple. **(c)** Human IgG1 Fc-domain residues involved in pH-dependent binding to human FcRn are colored blue and purple. The residues predominately cluster at the  $C_H2$ - $C_H3$  domain interface and include the key protonatable histidine residues H310 and H435. The residues in the Fc-domain of hIgG1 that have been mutated to alter the binding affinity to human FcRn are colored red. Again the residues cluster at the  $C_H2$ - $C_H3$  domain interface and most are distinct from the FcRn contact residues shown in blue. The residues colored

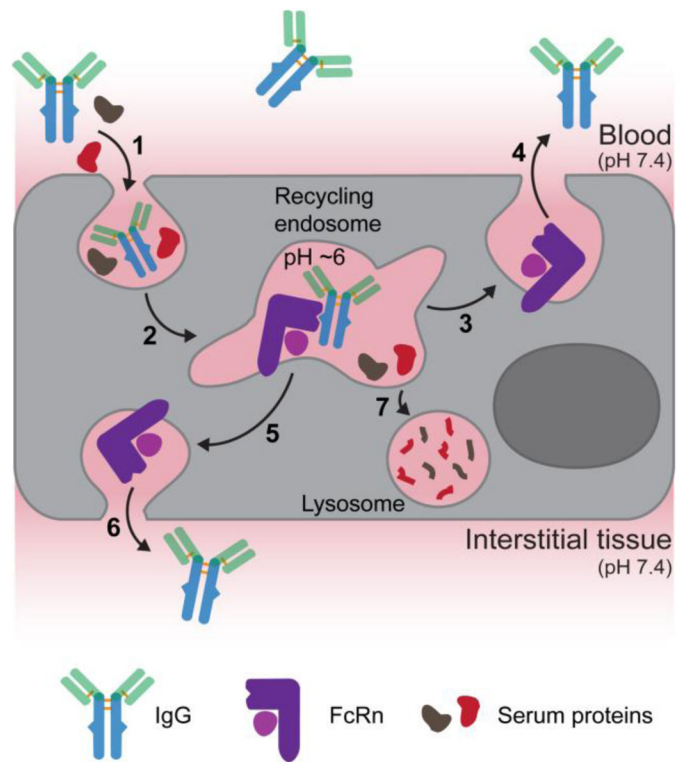
purple are both involved in the endogenous IgG-FcRn interaction and have been mutated to alter IgG affinity for FcRn.

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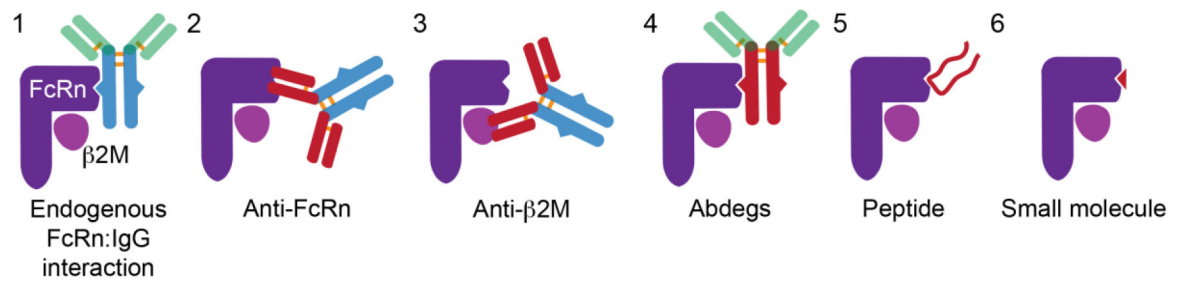
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**Figure 3. The FcRn-mediated recycling and transcytosis model**

FcRn-mediated recycling initiates upon non-specific fluid-phase pinocytosis of serum IgG into FcRn expressing cells (1). As IgG is trafficked along the endosomal pathway (2) the pH decreases to 6 resulting in association with endosomal FcRn. The IgG-FcRn complex is recycled back to the plasma membrane (3) where IgG is released into blood due to its weak affinity for FcRn at blood pH (4). FcRn-mediated transcytosis of IgG across polarized epithelial cells, such as in the gut or lung, follows a similar cellular trafficking mechanism that directs the FcRn-IgG complex to the opposing cell membrane (5) where IgG can be released into the interstitial tissue space (6) due to the elevated pH. Serum proteins that do not bind a salvage receptor are trafficked to the lysosome and catabolized (7).



**Figure 4. Inhibitors of the FcRn-IgG interaction**

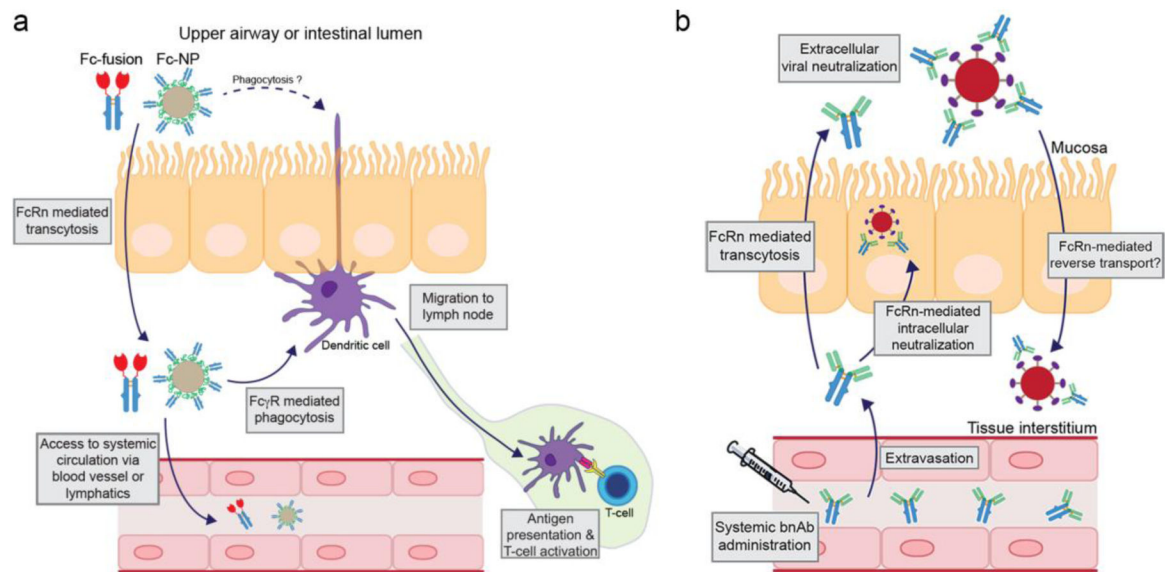
From left to right: 1) High dose IVIg can saturate FcRn and accelerate the clearance of endogenous IgG, 2) anti-FcRn heavy chain antibodies and 3) anti-β2m light chain antibodies bind FcRn epitopes that overlap with the IgG-Fc domain binding site, thereby inhibiting FcRn function, 4) Fc-engineered IgGs that have increased, pH-independent affinity for FcRn (Abdegs), and 5) peptides and 6) small molecules that compete with IgG for binding to FcRn.

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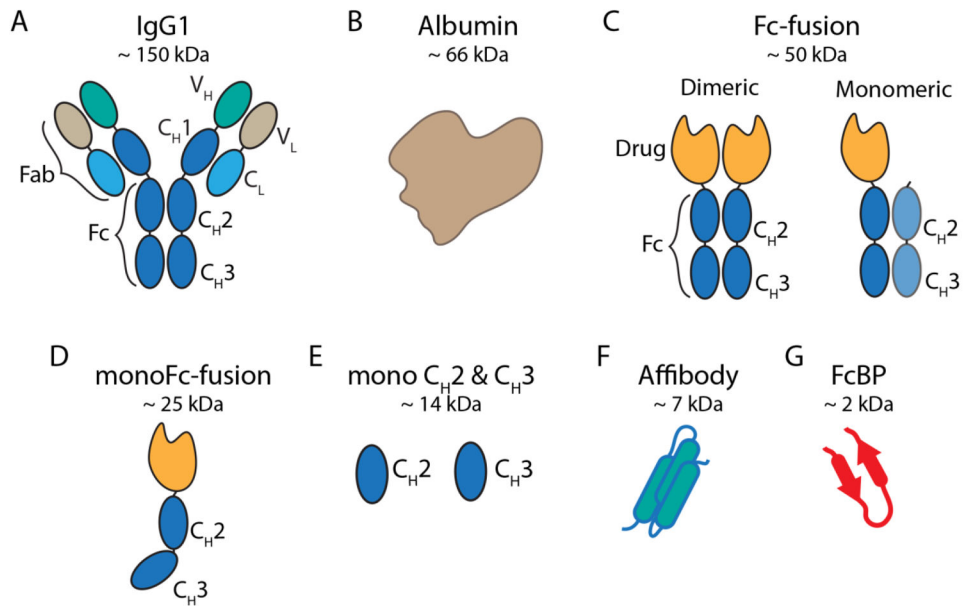
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**Figure 5. Proposed mechanisms of non-invasive protein delivery, mucosal vaccination, and mucosal viral neutralization**

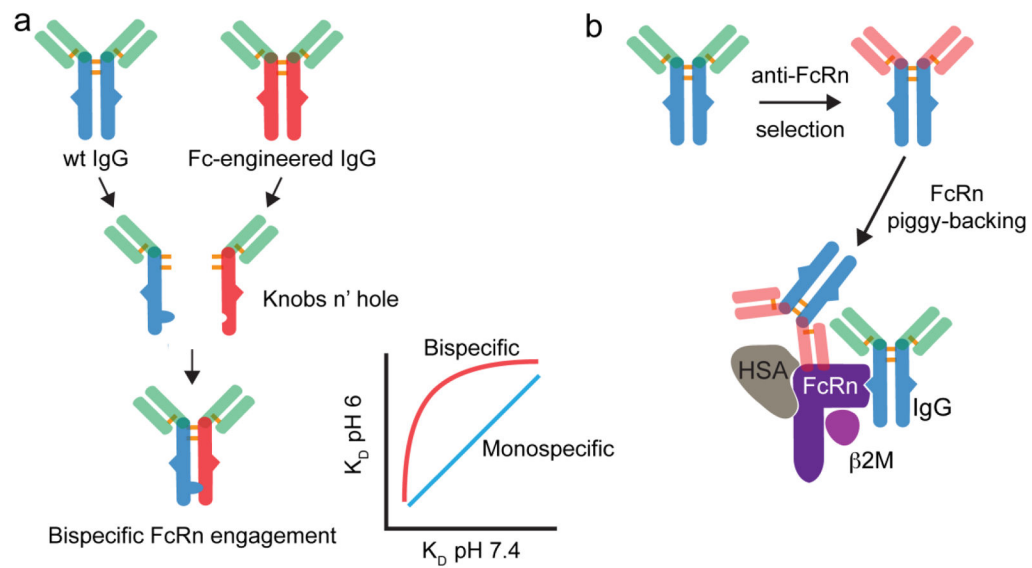
**(a)** Fc-fusion proteins or Fc functionalized nanoparticles (Fc-NP) delivered to the lung airspace or intestinal lumen transcytose the polarized epithelial barrier mediated by FcRn. Once delivered to the tissue interstitial space, Fc-fusions or Fc-NPs may access the systemic circulation directly by crossing the capillary endothelial cell barrier or indirectly by first entering the lymphatic system prior to accessing the blood<sup>101,123</sup>. Alternatively, Fc-fusions or Fc-NPs may be phagocytosed by resident dendritic cells or macrophages expressing Fc $\gamma$  receptors. Fc-fusions or Fc-NPs may be recycled by FcRn-expressing antigen presenting cells or be diverted to the lysosome where the proteolytic peptides can be loaded onto MHC molecules. APCs then migrate to the lymph node and stimulate a T-cell response resulting in immune induction<sup>129</sup>. **(b)** Broadly neutralizing antibodies (bnAbs) extravasate from the blood after systemic administration, accumulate in the tissue interstitial space, and are subsequently transported by FcRn across the epithelium, during which IgG may intercept and neutralize intracellular virus, or be released into the mucosa to neutralize invading pathogens, such as HIV or influenza<sup>132,133</sup>. IgG-opsonized virus may be degraded in the lysosome or be cleared from the mucosa via alternative mechanisms. Alternatively, IgG-opsonized virus may be reverse transcytosed by FcRn across the epithelial barrier and delivered to the tissue interstitial and initiate infection<sup>135</sup>. The latter has not been proven *in vivo*.





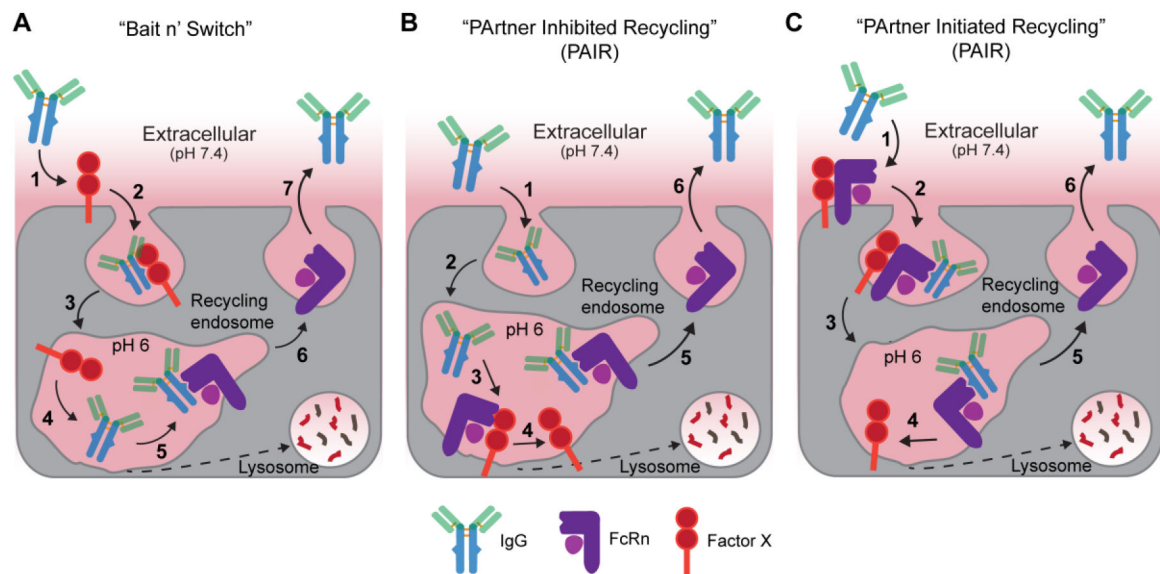
**Figure 6. Schematic of various fusion protein domains that can hijack FcRn**

(a) Cartoon depiction of full length human IgG1 and (b) albumin are shown on the top. (c) The Fc-domain of hIgG1 used to construct Fc-fusion proteins. Typical Fc-fusions are constructed by fusing the C-terminus of a protein of interest to the N-terminus of the Fc hinge with either two drugs attached to a homodimeric Fc (left) or one drug attached to a heterodimeric Fc (right). (d) Monomeric Fc-domain fusion. Fc-domain residues are mutated to generate monoFc-fusions or residues are mutated to incorporate N-linked glycans resulting in a monomeric Fc. (e) Monomeric CH2 and CH3 derived from IgG1-Fc. (f) Engineered FcRn-binding affibody (cartoon derived from PDB 2M5A; a representative albumin binding affibody structure<sup>179</sup>). (g) FcBP fusion (cartoon derived from PDB code 3M17).



**Figure 7. Potential IgG engineering strategies to alter Fc interactions with FcRn or hijack FcRn transport**

(a) Bi-specific IgG-Fc derived from various combination of wild-type or Fc-engineered IgGs may be constructed by various mechanism (e.g. Knobs n' hole). Given the bivalent nature of the IgG-FcRn interaction, such bispecific IgG-Fc molecules may have interesting FcRn affinity relationships that may alter their recycling or transport properties. (b) The selection of IgGs (or alternative protein scaffolds) that bind an exosite on FcRn, which does not compete with endogenous IgG or albumin binding, may be a useful reagent to piggy-back on the FcRn an alter the biodistribtuion and/or clearance of fusion proteins and drug carriers.



**Figure 8. Alternative IgG salvage theories that act in combination with FcRn**

(a) In this “bait n’ switch” type mechanism an unknown cell-membrane anchored receptor, Factor X, binds IgG in serum (1) triggering receptor-mediated endocytosis (2). As IgG is trafficked along the endosomal pathway (3) the pH decreases to 6 resulting in dissociation of IgG from Factor X (4) and subsequent transfer to FcRn (5). FcRn then recycles IgG back to the plasma membrane (6) where IgG is released into blood due to its weak affinity for FcRn at blood pH (7). FcBP fusion proteins would not be readily endocytosed because they cannot bind Factor X. (b) In the PARTner Inhibited Recycling mechanism IgG is endocytosed into FcRn expressing cells by non-specific pinocytosis (1) and is trafficked to the endosome. The IgG binding site on FcRn is blocked by Factor X at steady state; however, IgG can effectively compete with the Factor X (2) resulting in dissociation of Factor X from FcRn and the formation of the FcRn-IgG complex (3). FcRn then recycles IgG back to the plasma membrane (4) where IgG is released into blood due to its weak affinity for FcRn at blood pH (5). FcBP fusion proteins cannot compete with the Factor X-FcRn interaction and are not salvaged by FcRn. (c) Alternatively, in a PARTner Initiated Recycling process an additional, unknown cell-membrane anchored FcRn binding partner increases the affinity of FcRn for IgG at physiological pH (1) resulting in FcRn-mediated endocytosis of IgG (2). As the complex is trafficked along the endosomal pathway (3) the pH decreases to 6 resulting in dissociation of Factor X from the FcRn-IgG complex (4). IgG stays bound to FcRn due to its high affinity at pH 6 and is trafficked back to the plasma membrane (5) where IgG is released into blood due to its weak affinity for FcRn at blood pH in the absence of Factor X (6). In all cases, IgG, FcBP fusion proteins, or additional serum components that do not bind FcRn or cannot compete with Factor X for binding FcRn are trafficked to the lysosome and degraded.

Table 1

Summary of drug delivery approaches and therapeutic modalities that target the FcRn

PROTEIN HALF-LIFE EXTENSION			
Strategy	Mechanism	Effect	Reference
1. Fc-fusion	Hijacking FcRn recycling, increased molecular weight (MW)	Half-life extension by reducing catabolism and renal clearance	14, 77
2. Albumin fusion	Hijacking FcRn recycling, increased MW	Same as Fc-fusion	136
3. IgG-Fc or albumin engineering	Increased mAb/albumin affinity for FcRn at pH 6	Half-life extension by reducing catabolism	39, 40, 63, 64, 66, 70, 71, 72, 73, 74, 78, 81, 139
4. Monomeric Fc and CH domains	Hijacking FcRn recycling, increased MW	Increased half-life by reducing catabolism and potentially renal clearance	146, 147, 148, 149, 150
5. Fusion to alternate FcRn binding ligands	Hijacking FcRn recycling	Half-life extension by reducing catabolism (only validated <i>in vivo</i> in ref. 147)	151, 152
NON-INVASIVE PROTEIN DELIVERY			
Strategy	Mechanism	Effect	Reference
1. Fc-fusion	FcRn-mediated epithelial transcytosis	Increased pulmonary/oral protein absorption	101, 102, 103, 112, 118
2. Fc-decorated protein nanocontainers	FcRn-mediated epithelial transcytosis, protection of protein cargo from degradation by encapsulation	Oral insulin delivery that improves glucose tolerance dependent on FcRn	123
MUCOSAL VACCINATION			
Strategy	Mechanism	Effect	Reference
1. Fc-antigen fusion	FcRn-mediated transcytosis, Fc $\gamma$ R-mediated delivery to phagocytic cells, FcRn potentiation of antigen presentation	Improved innate and adaptive immune response against protein antigens	128, 129, 131
2. bnAb-Fc engineering	Increased FcRn-dependent deposition and/or retention of engineered IgG in mucosal tissues	Enhanced protection against intra-rectal HIV challenge	133
INHIBITORS OF THE IgG-FcRn INTERACTION			
Strategy	Mechanism	Effect	Reference
1. IVIG	Saturation of FcRn	Accelerated clearance of endogenous IgG	83, 85
2. FcRn antagonists ( $\alpha$ - $\beta$ 2M mAbs, $\alpha$ -FcRn mAbs, Abdegs, synthetic peptides, small molecules)	Blocking IgG binding site on FcRn and/or competing with endogenous IgG for binding to FcRn	Accelerated clearance of endogenous IgG	87, 88, 89, 91, 93
3. IgG antagonists	Blocking FcRn binding site on IgG	Inhibits FcRn-IgG interaction <i>in vitro</i> . Not tested <i>in vivo</i> . May accelerate IgG clearance.	30, 97

INHIBITORS OF THE IgG-ALBUMIN INTERACTION			
Strategy	Mechanism	Effect	Reference
1. α-FcRn mAbs	Blocking albumin binding site on FcRn	Not determined. May enhance clearance of albumin or albumin bound toxins	40

INCREASED TUMOR/TISSUE ACCUMULATION, DISTRIBUTION, and/or RETENTION			
Strategy	Mechanism	Effect	Reference
1. IgG-Fc engineering	Presumably increased FcRn transport via increased affinity for FcRn	Improved anti-tumor effect in mice potentially mediated by tumor cell FcRn expression, increased amount of IgG in bronchio-alveolar lavage of monkeys	64, 79