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Electrocoagulation of high salinity produced water: Lessons learned from its

early applications in unconventional reservoir plays

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1 Abstract

2 Ample research has demonstrated that electrocoagulation is a versatile technology capable of 3 facilitating the removal of a wide range of physical, biological, organic, and inorganic constituents such as 4 suspended solids, turbidity, bacteria, viruses, oil and grease, organic carbon (including chemical and 5 biological oxygen demand), silica, fluoride, etc. Despite its purported advantages and extensive lab-scale 6 evaluations, it has not yet been widely adopted for water and wastewater treatment and reuse at 7 commercial scale. This is symptomatic of a chasm between its technological capabilities on one hand and field performance and reputation on the other. Herein, we opine as to why this might be the case using 8 9 our collective experiences with the oil and gas industry as an exemplar. We highlight scientific, 10 technological, engineering, and business issues that need to be addressed to realize the full potential of this promising technology. 11

12

Background and motivation. Conventional coagulation using salts such as alum and ferric sulfate is
 chemically intensive [1]. Manufacturing necessary chemicals, transporting them to (waste)water
 treatment and reuse facilities, storing them on site prior to dosing, and optimizing coagulation chemistry
 generates substantial greenhouse gas emissions, is fraught with safety risks, requires a skilled workforce
 for monitoring and maintenance, and increases costs especially when implemented at remote locations
 associated with oil exploration and production.

19 A potential alternative is electrocoagulation wherein sacrificial aluminum or iron electrodes are intentionally corroded to release precursors that hydrolyze and precipitate in situ to coagulate suspended 20 21 solids [2]. This technology shows promise for distributed (waste)water treatment by alleviating many of 22 the issues related to conventional coagulation because it (i) replaces hazardous chemicals with non-toxic 23 solid electrodes, (ii) inherently neutralizes acidic hydrolysis products of conventional coagulants by 24 cathodic production of hydroxide ions reducing the need to externally add strong base, (iii) can be 25 automated and responds quickly to changing feedwater chemistry, and (iv) may decrease sludge 26 production [3-5]. Additionally, electrifying a chemically intensive process enables decarbonization as 27 more renewable energy is adopted, enabling stakeholders to achieve their environmental, social and governance (ESG) goals while avoiding high costs of managing hazardous chemicals onsite [6]. 28 Consequently, electrochemical technologies are well-suited for small-scale installations to treat municipal 29 30 and industrial (waste)waters of widely varying composition even at remote locations [4, 7, 8].

31 Although electrolytically adding coagulants in situ by oxidizing sacrificial Fe(0) and Al(0) anodes 32 provides numerous advantages, electrocoagulation has not lived up to its promise due to a variety of 33 scientific, technological, and business reasons which we opine on herein. We focus our attention on saline 34 feedwaters typical of (waste)water streams associated with unconventional oil and gas production and 35 exploration primarily because (i) our team has extensive experiences in this arena from some of its early suboptimal applications to generate "clean brine" for reuse in hydraulic fracturing, (ii) the low internal 36 37 electrical resistance reduces energy consumption making it very attractive, and (iii) there are significant 38 research and application opportunities in this realm. We categorize "high salinity" as waters with total dissolved solids (TDS) concentrations > 70 g/L, typical of the Permian Basin [9]. 39

Another consideration is that unconventional oil and gas extraction is highly water intensive [10,

41 11], which only increases further when coupled to drilling innovations such as using multiple well pads

40

42 and longer lateral lengths (i.e., hydraulic fracturing stages). Well completion water volumes in the

43 Permian Basin increased nearly 3-fold from ~128 thousand barrels to ~358 thousand barrels in the 4-year

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45	period 2015 – 2019 [12]. This implies that ~2 billion barrels of water will be required to complete the
46	~5,500 Permian well permits [13]. On the other end, a well can produce 10-times as much wastewater as
47	oil necessitating an estimated ~\$18 billion for water management in the Permian [12, 13]. Consequently,
48	much attention has been currently placed on (beneficial) reuse which also aligns with ESG objectives of
49	operators and addresses unpredictable and sometimes persistent droughts while taking advantage of the
50	favorable economics of water treatment. Electrocoagulation was initially implemented with the notion
51	of it being a "silver bullet" solution. However, it did not always work according to plans and valuable
52	lessons were learned regarding its proper application, enabling some of the authors to draw from their
53	experiences for this article.
54	Produced water quality varies considerably depending on the geographic location of the field, the
55	geologic formation, and the type of hydrocarbon product being produced. Because the water has been
56	in contact with hydrocarbon-bearing formations for millennia, it generally contains some of the chemical
57	characteristics of the formations and the hydrocarbons in those formations. About 600 different
58	chemicals have been detected in some produced water samples [14, 15], which ranges in TDS
59	concentrations between < 3,000 mg/L to > 300,000 mg/L [16].
60	Parations involved Figure 1 schematically summarizes the major reactions associated with Fo(0) and
60	Reactions involved. Figure 1 schematically summarizes the major reactions associated with Fe(0) and
61	Al(0) electrocoagulation [2, 17-21] although several other reactions are also possible [4, 8, 22]. Al(0)
62	electrocoagulation generates Al(III) identical to alum addition [21], which can exceed Faraday's law
63	predictions for brines [23]. In contrast, Fe(0) electrocoagulation generates highly soluble Fe(II) [24], which
64	needs to be oxidized to its insoluble Fe(III) form for effective coagulation, a process that is sensitive to pH
65	and redox conditions [25]. Anodic Fe(II) production can induce electro-Fenton reactions in conjunction
66	with cathodically generated peroxide [2, 20] adding to the technology's capability while also making it
67	extremely sensitive to water chemistry and operating conditions. In saline waters, the chloride ion can
68	be oxidized to anodically generate chlorine [26]. Even though the rate and magnitude of the production
69	of these oxidants has not yet been quantified, the presence of highly reactive radicals derived from Fenton

chemistry and/or chlorine allow the possibility of intensifying coagulation to include *in situ* contaminant

71 oxidation and disinfection [27], another potential advantage.



73 Figure 1. Schematic of iron (left panel) aluminum (right panel) electrocoagulation using elemental electrodes.

74 Although recent research has uncovered many underlying mechanisms [28-30], more insights are 75 needed into homogeneous Fenton chemistry to identify and quantify associated short-lived intermediates 76 and elucidate their interactions with contaminants including reaction rates. This is critical to our ability 77 to design and operate electrocoagulation at its full potential. Further, the heterogenous chemistry of 78 electrode corrosion in the presence of high concentrations of pitting enhancers and inhibitors further 79 obfuscates electrocoagulation mechanisms [31-33]. Resolving issues related to electrode fouling and 80 passivation, critical considerations for long-term operation [34], typically require collaborations between 81 electrochemists, materials scientists, engineers, and field operators [35-37]. A hindrance to engineering 82 this technology and its widespread adoption (especially with iron, and to a lesser extent, with aluminum) 83 is our incomplete knowledge of its complex aqueous and interfacial chemistry in hypersaline matrices. 84 2. Technological considerations for field-scale applications. 85 Lack of standardization. The absence of well-accepted guidelines and operating protocols makes it

challenging to consistently implement on a commercial scale necessitating the establishment ofstandardized practices and design criteria [38].

Complex system design and scalability. Electrode spacing, reactor configuration, and process optimization need to be carefully considered and standardized to ensure efficient and repeatable performance at larger scale [39]. Also, scale-up equations and procedures are not yet widely available posing challenges for the design and implementation of larger systems necessitating trial and error considerations and engineering expertise [40].

93 *Difficulties in predicting electrode behavior.* Systems consist of electrolytic cells, electrodes, and other

94 components that need regular maintenance to ensure optimal performance. Electrodes foul, scale, and

95 degrade over time, necessitating periodic cleaning or replacement [33]. Proper maintenance is essential

96 to ensure sustained performance and equipment longevity and avoid electrode overheating and failure.

97 Electrode replacement requires continual operating expenses in terms of manual labor. Additionally, non-

98 uniform corrosion (e.g., due to formation of impermeable scale) can lead to incomplete dissolution of

99 electrodes and their out-of-phase replacement needs.

- Limited demonstration and validation. Although electrocoagulation has shown promising results in laboratory studies (and, to a limited extent, at pilot-scale) [34, 41], there is a need for more comprehensive demonstration and validation of its performance and reliability under real-world, commercial-scale applications in shale plays. The limited availability of case studies and proven track records can thwart its adoption, particularly when it is competing with well-established conventional technologies, which have a long history of full-scale applications and operator comfort levels.
- Safety. Electrocoagulation units for saline waters may be operated at high current (hundreds of amps and tens of volts), which due to its proximity to water, makes electrical insulation of paramount importance. Another consideration is hydrogen gas evolution that requires adequate ventilation to avoid explosion hazards.
- 110 Regulatory acceptance. Primacy agencies often require comprehensive long-term data on performance,

111 reliability, and safety of new water treatment technologies before granting regulatory approval [42]. The

112 limited number of commercial full-scale applications and lack of standardized guidelines may contribute

113 to the hesitation of regulatory bodies in endorsing and permitting electrocoagulation systems.

114 Some pictures of electrocoagulation in the Permian Basin are shown in Figure 2 to orient the

115 reader on its scale under field conditions.



Figure 2. Representative photographs from the Permian Basin to visualize the scale of electrocoagulation under field conditions. Top left: typical set up 119 showing a portable electrocoagulation unit, electrical generator, and a treated water holding tank. Top right: different view showing the pump jack 120 extracting oil and generating the produced water. Bottom left: Close up view of the electrocoagulation unit and associated plumbing. Bottom middle: 121 Treated water after electrocoagulation pumped into the holding pond for sedimentation. The cloudiness and white color of aluminum flocs is apparent on 122 the top right of this image. Bottom right: Dramatic visual differences in the color and opacity of the produced water before and after treatment.

123 3. Early user's perspective. Initially, electrocoagulation was touted as a "silver bullet" in the oil industry 124 and its capabilities were often exaggerated. It was marketed as an emulsion breaker, replacement for 125 conventional coagulation to remove solids and iron, and both as a standalone technology and 126 pretreatment process. Although low technology readiness level research (i.e., TRL 2-4) had demonstrated 127 its potential, there was a lack of extensive mid-TRL testing at pilot-scale under real-world conditions. This 128 resulted in a critical knowledge gap required to successfully transition from controlled laboratory 129 experiments to larger-scale commercial applications. Field engineers within the energy industry carried 130 the burden of necessary, onsite technical evaluation in order to identify its operational envelope under real world conditions and separating it from the hype. One early lesson learnt was that electrocoagulation 131 132 performed well within a treatment train that included flocculation, sedimentation, and filtration but was insufficient as a standalone technology. While it was a workhorse for facilitating turbidity removal, its 133 134 capabilities with respect to boron, metals, and hydrogen sulfide were markedly lower than what was 135 promoted and were not cost-effective. It successfully floated oil and grease only when skimming equipment was installed. Often, the need for auxiliary equipment to realize electrocoagulation's 136 137 capabilities was not fully appreciated resulting in suboptimal installation, a poor user experience, high 138 retrofitting costs, and consequently hurting its credibility with end users. 139 Example results from a successful electrocoagulation installation are provided in Figure 3,

validating its capabilities. The effluent turbidity was consistently below 20 FAU, iron concentrations were always < 4 mg/L (achieved via NaOH addition), and silica removal was excellent (>90%). However, implementation required careful and extensive attention by competent technical staff, not unlike chemical coagulation, which unfortunately was not always available, resulting in several failed applications.









Figure 3. Facile removal of turbidity (top panel), iron (middle panel 7.5≤pH≤8.5), and silica (bottom panel, pH =

9.0)) from a month-long field test (250-300 gallons per minute) of aluminum electrocoagulation in the Permian Basin. Effluent turbidity was relatively high because no attempt was made to further minimize turbidity since it

151 *met process requirements.*

152	4. Porous electrodes and long-term performance. Increasing specific surface area of electrodes can
153	enhance energy efficiency, which has been pursued by implementing porous electrodes [43-45]. Neutron
154	computed tomography of porous aluminum electrodes has demonstrated that electrolysis occurs over its
155	entire outer and inner surfaces [46] leading to more uniform electrodissolution (Figure 4, left panel). This
156	enabled a four-fold reduction in energy requirements for dissolving the same mass of aluminum compared
157	to the energy needed by solid electrodes (Figure 4, right panel). The current cost of fabricating porous
158	electrodes is probably higher than what could be offset by such energy savings, necessitating alternative
159	manufacturing techniques (e.g., using recycled metals).

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165 Challenges associated with maintaining stable electrocoagulation performance over extended 166 time periods include:



174 many operating cycles, which can reduce Faradaic efficiency, overall energy efficiency, and contaminant

176 removal. A porous and thin oxide layer is formed on the anode, which traps Fe(II) allowing it to grow in 177 thickness, thus decreasing the mass transfer of Fe(II) to the bulk solution necessary for its oxidation, precipitation, and uptake of contaminants. Over time, the porous oxide layer is transformed into a dense 178 179 passivation layer consisting of less-conductive iron oxide minerals that increases charge transfer 180 resistance and energy consumption. Eventually, the increasing potential favors oxidation of H_2O to O_2 instead of coagulant electrodissolution [33, 34]. Techniques to mitigate passivation-layer growth and 181 182 stabilize performance have been proposed: (i) reversing electrode polarity (not labor intensive), (ii) storing electrodes in a dry environment (not labor intensive), and (iii) mechanical abrasion (highly labor intensive). 183 Figure 5 shows a black iron oxide anodic layer, which was removed after polarity reversal 184 185 attributed to $H_2(g)$ evolution (Figure 5b inset). It was >150 μ m thick (Figures 5c and 5d) and significantly worsened electrical energy efficiency and identified to be Fe₃O₄ (Figure 5e). 186





Figure 5. (a) Black rust layer formation on the anode after operation over 15 days while treating a simulated
secondary municipal wastewater effluent. (b) Surface cleaning after polarity reversal. Shown in the inset is a
broken piece of the passivating oxide film formed on the anode after polarity reversal. <u>The yellow dashed line</u>
<u>indicates the area of film removal by polarity reversal.</u> (c) Scanning electron microscopy image of the broken film.
(d) Corresponding cross-sectional electron micrograph of the film. (e) X-ray diffraction pattern of the broken oxide
film showing Fe₃O₄ presence.

194 5. Estimating performance and costs for full scale electrocoagulation. Cost analyses of 195 electrocoagulation should account for initial investment costs, operational expenses, maintenance 196 requirements, and residuals disposal and evaluate payback periods. Most available models of system cost 197 and energy consumption neglect crucial scale-up issues. For example, the energy associated with the 198 electrode dissolution processes can been estimated based on Faraday's law but empirical models have 199 not been validated at high current densities (>10 mA/cm²) [49]. Simply optimizing Faradaic efficiency 200 does not translate to optimized target contaminant removal efficiency at pilot-scale due to confounding factors such as long residence times required for electrode cleaning [50]. While energy use associated with electrode dissolution, mixing within reactors, and producing a metal ingot and metal sheet are typically estimated [47], energy inputs for pumps, instrumentation, controls, and downstream treatment processes along with indoor temperature control (if any) are not. Cost models sometimes neglect key factors such as maintenance [51], electrode manufacturing, sludge management and disposal, labor, chemical reagents, ancillary equipment and installation costs, and conveyance, e.g., [52, 53]. These costs are required for accurate economic analysis at full-scale.

Published costs and energy demands span a wide range and, understandably, depend on the application, location, and estimation methodology. Comparing results is difficult when they are reported in inconsistent units and using different cost categories. For example, energy consumption for oil elimination has been reported as 0.43 kWh/g of oil and gas for biodiesel wastewater and 0.74 kWh/m³ and 32 kWh/g of phenol for two different studies of petroleum refinery wastewater [54].

213 6. Path forward. Finding the right fit for electrocoagulation within the oil and gas market requires an improved scientific understanding of (i) associated homogeneous, heterogeneous, and interfacial 214 215 chemistry including formation of reactive intermediates, (ii) the extent of and mechanisms underlying 216 contaminant removal (including microorganisms), and (iii) kinetics of electrode corrosion and 217 fouling/passivation. An essential consideration is the variability and high concentrations of myriad 218 electrolytes, organic matter, and proprietary chemicals in oilfield brines [14], which necessitates advanced 219 geochemical and electrochemical modeling coupled with high energy characterization techniques to 220 interpret experimental data [55, 56]. Follow-up process engineering work should target (i) establishing 221 technology operating envelope and lower/upper bounds of its capability, (ii) making electrode corrosion 222 more uniform and predictable, (iii) experimentation with flow-through reactors based on batch tests, and 223 (iv) upscale laboratory tests to mid-TRLs via long-term pilot-scale evaluations with close collaboration 224 between equipment manufacturers, energy companies, and end users. Identifying operational 225 parameters where electrocoagulation has the greatest advantage(s) over conventional coagulation 226 techniques (e.g., flow rates, water chemistry, turbidity influent/effluent limits, etc.) is also essential. 227 Technical work to integrate electrocoagulation within a complete treatment train needs to be augmented 228 with building trust and familiarity by training end users and carefully documenting its competences and 229 limitations to realize its full potential and streamline commercial-scale installation. Similarly, better 230 documentation of cost and energy demands of full-scale systems evaluated with consistent units would 231 provide helpful information to end users considering adopting electrocoagulation. This could be 232 facilitated via academic-industrial collaborative technoeconomic analyses using extensive datasets 233 generated by the practicing engineers that are not often shared in the peer-reviewed literature. These

lessons can be extrapolated to other brines including seawater [57], brackish (ground)water [58], reverse

osmosis and nanofiltration concentrates [59], and other industrial process water and wastewater [60].

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