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Charge-dependent pair correlations relative to a third particle in $p + $ Au and $d + $ Au collisions at RHIC

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A R T I C L E   I N F O

A B S T R A C T

Quark interactions with topological gluon configurations can induce chirality imbalance and local parity violation in quantum chromodynamics. This can lead to electric charge separation along the strong magnetic field in relativistic heavy-ion collisions – the chiral magnetic effect (CME). We report measurements by the STAR collaboration of a CME-sensitive observable in $p + $ Au and $d + $ Au collisions at 200 GeV, where the CME is not expected, using charge-dependent pair correlations relative to a third particle. We observe strong charge-dependent correlations similar to those measured in heavy-ion collisions. This bears important implications for the interpretation of the heavy-ion data.

1. Introduction

In quantum chromodynamics, interactions of massless quarks with fluctuating topological gluon fields are predicted to induce chirality imbalance and parity violation in a local domain [1–3]. This chirality imbalance can lead to an electric charge separation in the presence of a strong magnetic field ($\vec{B}$), a phenomenon known as the chiral magnetic effect (CME) [4–9]. Such a strong $\vec{B}$-field may be available in relativistic heavy-ion collisions, generated by the incoming protons at early times [8,10]. Extensive theoretical and experimental efforts have been devoted to the search for the CME-induced charge separation along $\vec{B}$ in heavy-ion collisions [11–14].

The commonly used observable to search for charge separation in heavy-ion collisions is the three-point azimuthal correlator [15],

$$\gamma \equiv \cos(\phi_\alpha + \phi_\beta - 2\psi), \tag{1}$$

where ϕ_α and ϕ_β are the azimuthal angles of particles α and β, respectively. In Eq. (1), ψ is the azimuthal angle of the impact parameter vector. In heavy-ion collisions, it is called the reaction plane (spanned by the impact parameter direction and the beam). It is often approximated by the second order harmonic participant plane (ψ_2) [16,17], constructed experimentally by the event plane measured from final state particle azimuthal distribution. To measure the γ, instead of using the event plane, the three-particle correlator method is often used [15,18,19]:

$$\gamma = \langle \cos(\phi_\alpha + \phi_\beta - 2\phi_c) \rangle / v_{2,c}, \tag{2}$$

where ϕ_c is the azimuthal angle of a third, charge-inclusive particle c which serves as a measure of the ψ. The imprecision in determining the ψ by a single particle is corrected by a resolution factor, equal to the second-order Fourier coefficient of particle c's azimuthal distribution, $v_{2,c}$, also known as the elliptic flow [20]. In order to remove the charge independent background [18,19], such

as that due to momentum conservation, the correlation difference variable is used,

$$\Delta\gamma \equiv \gamma_{\text{OS}} - \gamma_{\text{SS}}, \tag{3}$$

where γ_{OS} stands for the correlation of opposite-sign (OS) pairs (α and β have opposite-sign electric charges) and γ_{SS} for that of the same-sign (SS) pairs (α and β have same-sign electric charge).

Significant $\Delta\gamma$ is indeed observed in heavy-ion collisions at RHIC [18,19,21,22], and at LHC [23–26]. However, a decisive answer regarding the existence, or not, of the CME is still under debate. The main difficulty in interpreting the $\Delta\gamma$ observable as originated from the CME is the possibility of significant charge-dependent background contributions, such as those from resonance decays [15,27–31]. This is because the $\Delta\gamma$ variable is ambiguous between an OS pair from the CME back-to-back perpendicular to ψ_2 (charge separation) and an OS pair from a resonance decay along ψ_2 (charge conservation). There are more particles/resonances along the ψ_2 (or the particle c) direction than perpendicular to it, an effect quantified by the elliptic anisotropy parameter $v_{2,\text{res.}}$. Equation (2) is valid and $\Delta\gamma$ would be a good measure of the CME only under the assumption that all particles (including the CME-related particles) are correlated to a global plane ψ_2, but intrinsically uncorrelated among themselves. When α and β are intrinsically correlated, then $\Delta\gamma$ would contain a background ($\Delta\gamma_{\text{bkgd}}$), arising from the coupling of this elliptical anisotropy and the intrinsic decay correlation and is expected to take the following form [15,27,31]:

$$\Delta\gamma_{\text{bkgd}} \propto \langle \cos(\phi_\alpha + \phi_\beta - 2\phi_{\text{res.}}) \rangle v_{2,\text{res.}}. \tag{4}$$

Other possible backgrounds include three-particle nonflow correlations, where the correlation of particle α, β with particle c is also of nonflow nature. Moreover, the estimate of $v_{2,c}$ via two-particle correlations may also be affected by short-range nonflow correlations. These effects are likely dominant for very low multiplicity events because they are not sufficiently diluted by multiplicity

combinatorics. Nevertheless, the factorization relation in Eq. (2) is still expected to approximately hold, regardless of the nature of the background correlations [32].

In non-central heavy-ion collisions, the participant plane, although fluctuating [17], is generally aligned with the reaction plane, thus generally perpendicular to $\vec{B}$. In proton-nucleus collisions, however, the participant plane is determined purely by geometry fluctuations, and thus is essentially uncorrelated with the impact parameter or the $\vec{B}$ direction [25,33,34]. A recent study, considering the fluctuating size of the proton, suggests a small but non-zero correlation [34]. Therefore, CME-induced $\Delta\gamma$ with respect to the ψ_2 is significantly suppressed in proton-nucleus collisions compared to possible signals from heavy-ion collisions [34]. Background correlations, on the other hand, are expected to be present in proton-nucleus collisions. These correlations are propagated to the three-particle correlator via correlations with respect to particle c, not directly to the impact parameter or the $\vec{B}$ direction. Thus, the backgrounds in proton-nucleus collisions contribute in a similar fashion as those in heavy-ion collisions. Indeed, a large $\Delta\gamma$ signal was observed in $p +$ Pb collisions at the LHC, similar to that in Pb + Pb collisions. This challenged the CME interpretation of the heavy-ion data [25].

It is possible that the CME would decrease as collision energy increases, due to the more rapidly decaying $\vec{B}$ at higher energies [8,35]. Hence, the similarity between $p +$ Pb and Pb + Pb collisions at $\sqrt{s_{NN}} = 5.02$ TeV at the LHC may be expected, and the situation at RHIC could be different [11]. Here we report $\Delta\gamma$ measurements by the STAR experiment at RHIC in small-system $p +$ Au and $d +$ Au collisions at $\sqrt{s_{NN}} = 200$ GeV.

2. Experiment and data analysis

The data reported here were taken by the STAR experiment in 2003 ($d +$ Au) and 2015 ($p +$ Au). The STAR experiment apparatus is described elsewhere [36]. Minimum bias (MB) triggers were used for both data taking periods. For $d +$ Au [37], the MB trigger required at least one beam-rapidity neutron in the Zero Degree Calorimeter (ZDC) [38] in the Au beam direction. For $p +$ Au, the MB trigger data used in this analysis was defined as a coincidence between the two Vertex Position Detectors (VPDs) [39].

The detectors relevant to this analysis are the cylindrical Time Projection Chamber (TPC) [40,41] residing inside an approximately uniform magnetic field of 0.5 Tesla along the beam direction (z). Charged particles traversing the chamber ionize the TPC gas. The ionization electrons drift towards the TPC endcaps in a uniform electric field, provided by the high voltage on the TPC central membrane. The avalanche electrons are collected by the pad planes, and together with the drift time information, provide three-dimensional space points of the ionization called "hits".

Trajectories are reconstructed from those hits; at least 10 hits are required for a valid track. The interaction's primary vertex is reconstructed from charged particle tracks. Tracks with the distance of closest approach (DCA) to the primary vertex within 3 cm are considered primary tracks. The data are reported as a function of the efficiency corrected charged particle multiplicity density $dN_{\rm ch}/d\eta$ at mid-rapidity [42]. The efficiency is estimated via the STAR standard embedding procedure, which is $\sim 93\%$ in $p +$ Au and $d +$ Au collisions.

In this analysis, events with primary vertices within 30 cm in $p +$ Au (50 cm in $d +$ Au) longitudinally and 2 cm in $p +$ Au (3.5 cm in $d +$ Au) transversaly from the geometrical center of the TPC are used. To ensure high quality of primary particles, further selections are applied to require tracks with at least 20 hits and DCA less than 2 cm. Split tracks are removed by requiring the number of hits over the maximum number of possible hits to be greater

Table 1
The systematic uncertainties of the $\Delta\gamma$ correlator in $p +$ Au and in $d +$ Au collisions.

source	$p +$ Au	$d +$ Au
dca & nHits	$\pm 5\%$	$\pm 8\%$
$p_T(c)$	$\pm 0\%$	$\pm 1\%$
V_z	$\pm 2\%$	$\pm 2\%$
p_T-dependent efficiency	$\pm 1\%$	$\pm 1\%$
p_T-independent non-uniformity	$\pm 5\%$	$\pm 4\%$
TOF acceptance	-6%	–
total	$^{+7}_{-9}\%$	$\pm 9\%$

than 0.52 [43]. In the $p +$ Au analysis, where VPD detectors and Time-of-Flight (TOF) detector [44] are available, the primary vertex is required to match with the VPD's measured vertex within 6 cm, and primary tracks are required to match with the TOF detector in order to reduce the pile-up tracks.

Tracks in the full TPC acceptance ($|\eta| < 1$, reducing to $|\eta| < 0.9$ in case of TOF matched tracks in $p +$ Au) with transverse momentum p_T from 0.2 to 2.0 GeV/c^2 are used for all three particles in the three-particle correlator of Eq. (2). The cumulant method is used to compute γ, where the calculation loops over the α and β particles, and the particle c is handled by the cumulant of the remaining particles except α and β. No η gap is applied between any pair among the three particles as in Refs. [18,19]. The $v_{2,c}$ is obtained by the two-particle cumulant [45]. To gauge the nonflow effects, various η gaps of 0, 0.5, 1.0 and 1.4 are applied. The p_T-dependent TPC tracking efficiency is not corrected for the γ correlator as in Refs. [18,19], and this effect is included in the systematic uncertainties. The detector non-uniform azimuthal acceptance effect is corrected by the recentering method as a function of p_T [46,47].

3. Systematic uncertainties

The systematic uncertainties are estimated as follows. The required minimum number of hits is varied from 20 to 25. The DCA of tracks is varied from 2 cm to 1 and 3 cm. The p_T range of the particle c is varied from 0.2-2 GeV/c to 0.2-5 GeV/c. The difference between the results from events with positive and negative reconstructed z coordinate of primary vertex is $\sim 2\%$. The p_T-dependent TPC tracking efficiency correction introduces a $\sim 1\%$ difference. The systematic uncertainty due to the recentering correction for azimuthal non-uniformity is estimated to be $\sim 5\%$ by using a p_T-independent correction instead of the default p_T-dependent one. The TOF detector acceptance is limited to $|\eta| < 0.9$, and this causes a $\sim -6\%$ (single sided) effect in $p +$ Au. The systematic uncertainties obtained by various cuts and sources are added in quadrature. These are plotted in the figures as brackets. The horizontal brackets indicate the systematic uncertainty of the $dN_{\rm ch}/d\eta$. The vertical brackets indicate the systematic uncertainty of the correlator. Total systematic uncertainty of the $\Delta\gamma$ is $\sim 9\%$ in $p +$ Au and in $d +$ Au (Table 1). Total systematic uncertainty of the $dN_{\rm ch}/d\eta$ is $\sim 15\%$ in $p +$ Au and is $\sim 7\%$ in $d +$ Au.

4. Results and discussions

Fig. 1 shows the $\gamma_{\rm SS}$ and $\gamma_{\rm OS}$ results as functions of multiplicity in $p +$ Au and $d +$ Au collisions at $\sqrt{s_{NN}} = 200$ GeV. For comparison, the corresponding Au + Au results [18,19,21] are also shown. The dashed lines represent the results with $v_{2,c}$ using different η gaps of 0, 0.5 and 1.4 in $p +$ Au and $d +$ Au collisions. The results with $v_{2,c}$ using η gaps of 1.0 in $p +$ Au and $d +$ Au collisions are plotted as solid lines. The results show that the variation from different η gaps is large but tends to converge towards high multiplicity.
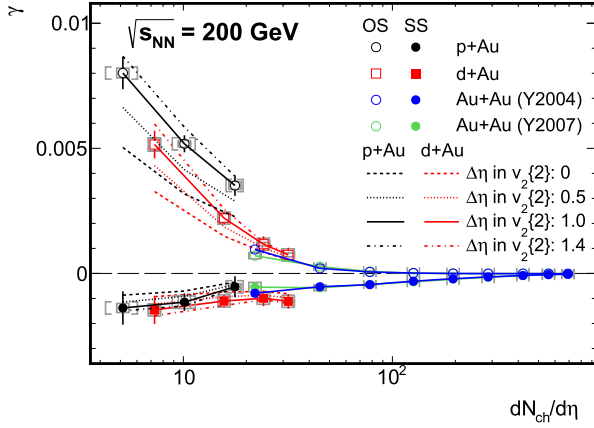
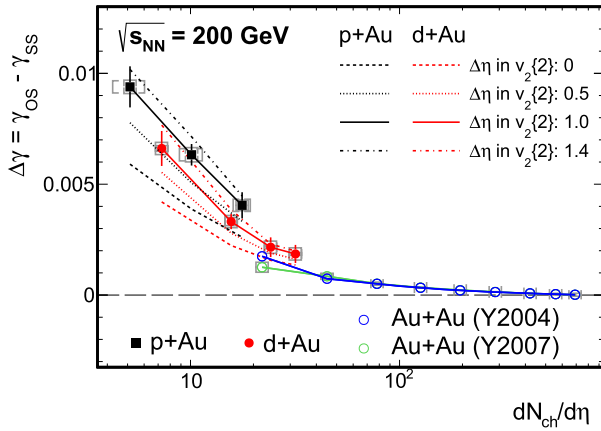**Fig. 1.** The γ_{SS} and γ_{OS} correlators in $p + Au$ and $d + Au$ collisions as a function of multiplicity, compared to those in Au + Au collisions [18,19,21]. Particles α, β, and c are all from the full TPC $|\eta| < 1$; no η gap is applied. The $v_{2,c}$ is obtained by two-particle cumulants with η gap of 1.0; results with η gaps of 0, 0.5 and 1.4 are shown as dashed lines. Statistical errors are shown by the vertical bars and systematic uncertainties are shown by the vertical brackets. The horizontal brackets indicate the systematic uncertainty of the $dN_{ch}/d\eta$.

Fig. 3. The measured two-particle cumulant $v_2\{2\}$ with η gap of 1.0 as a function of multiplicity in $p + Au$ and $d + Au$ collisions, compared to that in Au + Au collisions [18,19]. The data points connected by solid lines are measured using $\Delta\eta$ gap of 1.0 in $v_2\{2\}$. Results with η gaps of 0, 0.5 and 1.4 are shown in dash lines.

Fig. 2. The $\Delta\gamma$ correlator in $p + Au$ and $d + Au$ collisions as a function of multiplicity, compared to that in Au + Au collisions [18,19,21]. The difference measures the charge-dependent correlations. The data points connected by solid lines are measured using $\Delta\eta$ gap of 1.0 in $v_2\{2\}$. Dashed lines represent the results using $v_{2,c}$ with η gaps of 0, 0.5 and 1.4.

Fig. 4. The $\Delta\gamma \times dN_{ch}/d\eta/v_2$ in $p + Au$ and $d + Au$ collisions as a function of multiplicity, compared to that in Au + Au collisions [18,19,21]. The data points connected by solid lines are measured using $\Delta\eta$ gap of 1.0 in $v_2\{2\}$. Dashed lines represent the results using $v_{2,c}$ with η gaps of 0, 0.5 and 1.4.

The γ_{SS} and γ_{OS} results seem to follow a decreasing trend with increasing multiplicity in all systems.

Fig. 2 shows $\Delta\gamma$ as a function of multiplicity in $p + Au$ and $d + Au$ collisions, and, for comparison, in Au + Au collisions [18, 19,21]. The $\Delta\gamma$ decreases with increasing multiplicity in both systems. Large $\Delta\gamma$ values are observed in $p + Au$ and $d + Au$ collisions, comparable to the peripheral Au + Au collision data at similar multiplicities. Our new $p + Au$ and $d + Au$ measurements demonstrate that background contributions could produce magnitudes of the $\Delta\gamma$ correlator comparable to what has been observed in Au + Au data, and thus offer a possible alternative explanation of the $\Delta\gamma$ measurements in Au + Au collisions without invoking CME interpretation.

If indeed dominated by background contributions, the $\Delta\gamma$ may be proportional to the average v_2 of the background sources, as represented by Eq. (4). The v_2 of the background sources likely scale with the v_2 of the final-state particles that are measured. The background should also be proportional to the number of background sources, and because $\Delta\gamma$ is a pair-wise average, the background is also inversely proportional to the total number of pairs.
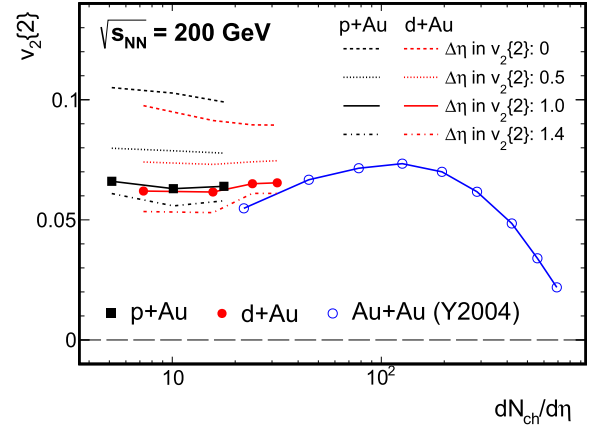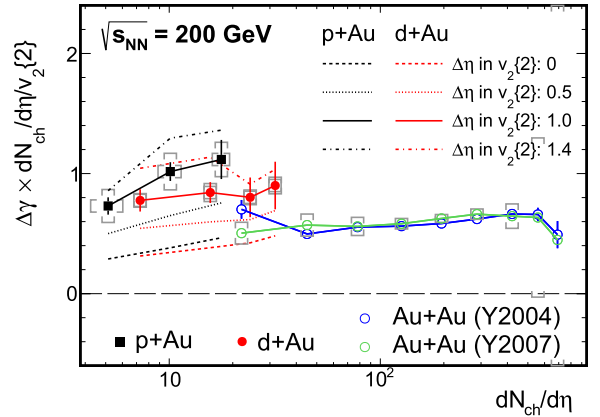
As the number of background sources likely scales with $dN_{ch}/d\eta$, thus $\Delta\gamma$ approximately scales with $v_2/dN_{ch}/d\eta$. To gain more insight, a scaled $\Delta\gamma$ observable is introduced:

$$\Delta\gamma_{scaled} = \Delta\gamma \times dN_{ch}/d\eta/v_2 . \qquad (5)$$

Since in our analysis there is no distinction between particles α, β and c except the electric charge, the v_2 in Eq. (5) is the same as $v_{2,c}$. Fig. 3 shows the measured v_2 by the two-particle cumulant method with various η gaps as a function of multiplicity in $p + Au$, $d + Au$ collisions, together with results from Au + Au [18,19] collisions. The results show that $v_2\{2\}$ is large in $p + Au$ and $d + Au$ collisions, and comparable to Au + Au results. HIJING [48] simulation studies of $p + Au$ and $d + Au$ collisions suggest significant contribution of nonflow correlations to v_2 at very low multiplicities. Evidence of contribution to v_2 from collective flow has also been observed at RHIC and the LHC from long-range particle correlations in small systems, especially at higher multiplicity [49–53].

Fig. 4 shows the scaled observable $\Delta\gamma_{scaled}$ as a function of multiplicity in $p + Au$ and $d + Au$ collisions, and compares to that in Au + Au collisions. Results with different η gaps for $v_{2,c}$ are also shown. The $\Delta\gamma_{scaled}$ in $p + Au$ and $d + Au$ collisions are similar to that in Au + Au collisions. For both small-system and heavy-ion collisions, the $\Delta\gamma_{scaled}$ is approximately constant over $dN_{ch}/d\eta$, although within large systematic uncertainties. Since $p + Au$ and

$d + $ Au results are dominated by background contributions, the approximate $dN_{ch}/d\eta$-independent $\Delta\gamma_{scaled}$ over the wide range of multiplicity in Au $+$ Au collisions is consistent with the background scenario. Future measurements with larger η gaps, especially utilizing upgraded forward detectors, have the potential to significantly suppress short-range background correlations. Those studies will help further to understand the background behavior and differentiate it from the possible CME signal.

5. Conclusions

Experimental measurements of $\Delta\gamma$ in heavy-ion collisions suffer from major backgrounds. It is expected that the $\Delta\gamma$ correlator from small-system $p + $ Au and $d + $ Au collisions will be dominated by background correlations, as CME-induced contributions would be strongly suppressed due to the random orientations of the magnetic field and the participant plane. We reported here measurements of large $\Delta\gamma$ magnitudes in $p + $ Au and $d + $ Au collisions, comparable to the values previously reported for peripheral Au $+$ Au collisions at similar multiplicities ($dN_{ch}/d\eta$). This is similar to the observation at the LHC, where a large $\Delta\gamma$ signal is observed in $p + $ Pb collisions and is comparable to that in Pb $+$ Pb collisions. The scaled quantity, $\Delta\gamma \times dN_{ch}/d\eta/v_2$, is approximately constant over $dN_{ch}/d\eta$ for each of the collision systems studied, a result expected if background sources dominate. Our new $p + $ Au and $d + $ Au measurements, where CME contribution is negligible, demonstrate that background contributions could produce magnitudes of the $\Delta\gamma$ correlator comparable to what has been observed in Au $+$ Au data. These backgrounds come from particle correlations (such as resonance decays) that are propagated to the $\Delta\gamma$ observable through correlations to the third particle c. Our results, while they do not rule out the CME, offer a possible alternative explanation of the $\Delta\gamma$ measurements in Au $+$ Au collisions without invoking CME interpretation. New observables [54] and more differential measurements [55,56] are needed to understand the nature of backgrounds and extract any part of the correlations that may be from the CME. Isobaric collisions taken at RHIC [57] will further help elucidate the respective CME and background contributions.

Acknowledgements

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