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When simple self-reference is too simple:

Managing the categorical relevance of speaker self-presentation*

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When simple self-reference is too simple:

Managing the categorical relevance of speaker self-presentation

Abstract

Membership categories such as ‘doctor,’ ‘customer,’ and ‘girl’ can form a set of alternative ways of referring to the same person. Moreover, speakers can select from this array of correct alternatives that term best fitted to what is getting done in their talk. In contrast, self-references alone ordinarily do not convey category membership, unless the speaker specifically employs some sort of category-conveying formulation. This report investigates how speakers manage the categorical relevance of these simplest self-references (e.g., “I,” “me,” “my”) as a practical means of self-presentation. We first describe how speakers forestall recipient attribution of membership categories. We then consider cases where simple self-references are subjected to subsequent elaboration – via self-categorization – in the face of possible recipient misreading of the speaker’s category membership. Thereafter, we introduce the practice of *contrastive entanglement*, and describe how speakers employ it to fashion tacitly categorized self-references that serve the formation of action.

Keywords: Person reference; conversation analysis; membership categorization devices; race; gender

When an individual appears in the presence of others, there will usually be some reason for him to mobilize his activity so that it will convey an impression to others which it is in his interests to convey.
(Erving Goffman, 1959)

Of all the practices for reference to persons in talk-in-interaction, the most common and the most straightforward – at least for English – appears to be self-reference.
(Emanuel A. Schegloff, 2007)

In his pioneering study, *The Presentation of Self in Everyday Life*, Goffman (1959) describes how everyday actors present themselves so as to manage the impression they give others, and to contribute to the definition of the situations in which they participate. In the years since its publication, there has been a steady stream of investigations exploring the work of ‘impression management’ that Goffman first identified. One branch of this scholarship has examined its psychological dimensions, including its cognitive, motivational, and personality-related underpinnings and outcomes (see, e.g., Jones 1964; Baumeister 1982; Jones & Pittman 1982; Leary & Kowalski 1990; Seidman 2013; Leary 2019). In contrast to the explicitly psychological orientation of that work, Goffman’s own approach foregrounds sociological accounts of the structures of shared situations, rather than the psychologies of the individuals who populate them. This orientation is encapsulated in his assertion that ‘the proper study of interaction is not the individual and his psychology, but rather the syntactical relations among the acts of different persons mutually present to one another’ (Goffman 1967:2).

In developing this approach, Goffman focused on ritualized practices through which the self is constructed and displayed in interactions. Following his initial account of ritual self-presentation strategies in *The Presentation of Self*, Goffman (1963) went on to describe how

individuals who occupy stigmatized identities may work to manage the stigma by controlling the information they convey about themselves – for example, by concealing the discredited identity or ‘passing’ as a member of a non-stigmatized group. Subsequently, Goffman began employing the concept of ‘face,’ in referring to ‘the positive social value a person effectively claims for himself by the line others assume he has taken during a particular contact’ (Goffman 1967:5), and described a set of ‘face-work’ rituals through which participants manage impressions of themselves in situations in which their face may be threatened (also see Brown & Levinson 1987).

Recent work in this tradition has employed a range of theoretical and methodological approaches in continuing to build on Goffman’s accounts of these ritual self-presentation practices, with this line of research including studies that examine self-presentation in relation to participants’ membership in and/or identities with respect to particular categories of persons. For example, Kang, DeCelles, Tilcsik, and Jun (2016) combine experimental and interview-based studies to describe how some racial minority job seekers may conceal or downplay racial cues in presenting themselves in job applications as a means of avoiding anticipated discrimination arising from their stigmatized racial identities; Baker and Walsh (2018) use visual content analysis to examine how users on the social networking site Instagram employ a range of self-presentation techniques and styles in presenting their gender identities; and Davies (2007) employs sociolinguistic ethnography to show how “bidialectal” speakers of Southern American English use shifts between dialects to express different presentations of self (also see related discussions of Goffman’s influence on studies of language and identity by, e.g., Bucholtz & Hall 2008; Rampton 2009; Bullingham & Vasconcelos 2013; Hall & Bucholtz 2013).

Despite Goffman's early disavowal of psychological accounts, Schegloff (1988:95) contends that Goffman's 'focus on ritual and face provides for the analytic pursuit of talk or action in the direction of an emphasis on *individuals* and their *psychology*. Although this is a very different psychology than the conventional ones, it is a psychology of individuals nonetheless' (emphasis in original). In light of this, Schegloff (1988:95) suggests that 'the greatest obstacle to Goffman's achievement of a general enterprise addressed to the syntactical relationship between acts was his own commitment to "ritual," and his unwillingness to detach such "syntactic" units from a functionally specific commitment to ritual organization and the maintenance of face.' In line with this appraisal, we offer one way to pursue Goffman's interest in self-presentation by focusing our attention on the elements of action formation, as opposed to individual psychology (also see Lerner 1996; Svennevig 2014). Specifically, we show how speakers can employ particular conversational practices of *self*-categorization as a commonplace means of self-presentation.

Our approach to self-presentation rests on Sacks's (1972a, b, 1992; also see Schegloff 2007c) pioneering investigations into the operation of Membership Categorization Devices (MCDs), and especially of membership categories as 'the store house and the filing system' for common-sense knowledge about people (Schegloff 2007c:469). As Sacks (1979:13) notes,

What we have is a mass of knowledge known about every category; any member is seen as a representative of each of those categories; any person who is a case of a category is seen as a member of the category, and what's known about the category is known about them, and the fate of each is bound up in the fate of the other, so that one regularly has systems of social control built up around those categories which are internally enforced

by members because if a member does something like rape a white woman, commit economic fraud, race on the street, etc., then that thing will be seen as what a member of some applicable category does, not what some named person did.

Membership categories can therefore be employed as an ever-ready adequate explanation for someone's behavior and beliefs:

That categorization which starts from some relevancies independent of a single action, permits you to go about, e.g., doing an explanation ... what's involved now is not simply that one is proposing to have categorized it as the actions of such people, but to have explained it as well. If you can turn a single action into "a thing that they do," it's thereby solved (Sacks 1992:I:577).

Moreover, as Schegloff (1996b:459) notes, there is 'an enormous inventory of terms for *categories of persons*' (emphasis in original). Thus, a speaker's choice of a particular membership category from a particular collection in referring to a person can be inspected for what this contributes to the formation of the action in a turn at talk (see Schegloff 1996b, 2007a). Subsequent research (e.g., Kitzinger 2005a, b; Stokoe 2009, 2010; Whitehead & Lerner 2009; Lerner, Bolden, Hepburn & Mandelbaum 2012; Whitehead 2012; 2013, 2020; Fitzgerald & Housley 2015; Raymond 2018, 2019a) has described some of the ways the use of membership categories can contribute to the actions formed up in conversation.

When it comes to *self*-reference, speakers, at least of English, ordinarily refer to themselves, as Schegloff (2007b:123) notes, through 'the dedicated term "I" (and its grammatical

variants – me, my, mine, etc.),’ which ‘is opaque with respect to all the usual key categorical dimensions – age, gender, status and the like.’ As such, more-than-minimal self-references are especially accountable (Schegloff 2007b:127). Indeed, West and Fenstermaker (2002) have shown that speakers can produce non-minimal self-references by employing the explicit self-categorizing format ‘speaking as an X’ (e.g., ‘speaking as a woman’ or as some other membership category or categories), and they suggest that such formulations ‘propose that *speakers see themselves as accountable* for their remarks in relation to their race category or race and sex category memberships’ (West & Fenstermaker 2002:547-48; emphasis in original). Further, Land and Kitzinger (2007) show that speakers may also employ ‘third person’ forms as self-references that make explicit the relevance of particular membership categories in referring to themselves (e.g., a woman referring to herself as “that silly old bat that lives across the road from you”). Such ‘third person’ self-references are recurrently used as a way to represent the view of another (e.g., a recipient or even a non-present person), and as such can contribute to the action of the turn at talk (Land & Kitzinger 2007). These findings exhibit the special interactional work speakers may do in the service of invoking their membership in a particular category, instead of simply referring to themselves. In doing so, speakers exploit the inference-richness of membership categories as a resource for self-presentation, and this can inform recipients’ understanding of the action produced in and as the speaker’s turn at talk.

In this report, we add to this strand of conversation analytic research, and other work at the intersection of self-reference and membership categorization (e.g., Kitzinger 2007; Stokoe 2009, 2010; Jackson 2011; Lerner et al. 2012; Whitehead 2013), by examining simple self-references (e.g., ‘I,’ ‘me,’ ‘my,’ ‘mine,’ etc.) that are ‘opaque with respect to all the usual key categorical dimensions’ (Schegloff 2007b:123), but that are treated as too simple. That is, we

consider instances in which speakers deploy practices that manage the possible categorical relevance of these simplest reference forms – thereby exposing speakers’ category-related concerns to both their co-participants and to analysts.¹ We begin by showing how speakers forestall their recipients’ possible attribution of category-relevance in relation to a simple self-reference by deploying practices that disclaim the relevance of any particular category for the action they are producing, or proceed to produce. We then consider cases in which simple self-references are subjected to subsequent elaboration via self-categorization in the face of category-related troubles – that is, instances in which speakers manage feasible mis-attribution of their category membership by converting a self-reference whose (possible) category-relevance was initially left tacit into an explicit self-categorization. Having demonstrated these explicit practices through which speakers manage the possible category-relevance of simple self-references, we then turn to the principal task of our report: a description of the practice of *contrastive entanglement*, which speakers can deploy to accomplish tacitly categorized self-references.²

Data and Method

The analysis we report here is part of our larger project on person reference in interaction that includes examination of both self-references and references to others (Whitehead & Lerner 2009, 2020). The data used in the project include a collection of legacy recordings produced over the course of several decades from the 1960s to the 2000s and shared among practitioners of conversation analysis (see discussion of these data in, e.g., Kitzinger 2005b; Schegloff 2009); other corpora produced by researchers and students within our institution, including the Santa

Barbara Corpus of Spoken American English and the UCSB Language Use in Social Interaction (LUSI) corpus; and a set of recordings of South African radio call-in shows produced between 2007 and 2013 (see further discussion of this dataset in Whitehead 2011). The present analysis is based on a collection of cases, assembled using the procedure described by Schegloff (1996a), in which speakers deploy practices designed to manage the possible category-relevance of simple self-reference.³

The cases we examine first are drawn from the radio call-in dataset. In these instances, callers are speaking as anonymous strangers to the host, but also for an overhearing audience, having called to offer opinions on (often controversial) topics of general public interest. They do this by assessing, blaming, claiming, complaining, and the like (see Hutchby 1996; Fitzgerald & Housley 2002; Whitehead 2011). This dataset proved to be a perspicuous setting for locating a range of practices used to establish an accountable basis for the opinion a caller expresses, so as to either give it more weight or to avoid it being discounted, including on the basis of the caller's membership in a particular category. (However, we do not expect that these practices will prove to be exclusive to this particular setting.) We then introduce the self-referencing practice of *contrastive entanglement* as the culmination of our report. Here too we include cases from this South African radio call-in dataset, but we also include cases from recordings of everyday telephone, dinner table, and backyard conversations among friends and families in the United States. The range of instances included in this section demonstrates that this practice – which can rely upon recipients' grasp of the particular circumstances, parties, action sequence, and other features of its context of use – is deployed by speakers across a range of ordinary conversational and institutional interactional settings.

Forestalling the Categorical Relevance of Simple Self-Reference

Sacks (1992:I:180-181) has demonstrated that a speaker's position may be 'explained away' or discounted if they are heard to be a member of a category for which that position is category-bound (also see Watson 1978; LeCouteur, Rapley & Augoustinos 2001; Whitehead 2020).⁴ On the other hand, Sacks also notes that a position taken by a speaker may be heard as 'fully generalized' – 'hold[ing] for whomsoever' – if the speaker is taken to be 'merely a person in this thing' (Sacks 1992:I:196). That is, in contrast to practices for promoting a category-specific understanding of self, speakers can claim to be speaking only 'as an individual,' or 'as a human,' or 'personally,' and not as a member or representative of any specific category. This can be seen in Extract 1.

In this call, Abie, in contributing to a discussion of a controversial newspaper article that has been criticized as insulting to Black South Africans, explicitly claims to be speaking "as a human being" (line 5). This upfront claim, especially given the direct relevance of race to the topic at hand, reveals Abie's orientation to the possibility that, were he to begin without an explicit categorical disavowal, his opinion could be understood as implicating a category-bound stance – and the simple self-references at lines 7 and 9 might be (mis)understood as implicating Abie's membership in a racial category.

The position Abie formulates is not one, in the first place, addressed to the complainable matter at hand (that an article treats Black people in an insulting manner); rather, he is proposing a broader principle about insulting people in general, thus not formulating it as (just) a racial issue – although the racial matter at hand is subsumed under this general principle.

(1) [543, 702 4-23-08]

- 1 CLIN: U::m::, all right, uh we're gonna go to: Abie from Mitchell's
2 Plain, Abie how you doing?
3 ABIE: Good morning to you, Clinton how are you?
4 CLIN: I'm very well thanks:.
5 ABIE: Fine (then/man.) .h First **as a human being**, you know? pt (.)
6 An:d (**I'm a Black person**, (0.2) tch=.hh first (as a) human
7 e- (conditionist y'know) **this is my piece** you know?
8 (.)
9 ABIE: tch=.h To **me** it's not right for **me** to insult whomever it may
10 be, be it white, or Black, whatever the case may be.
11 (.)
12 ABIE: tch=.hh This is (going) not conducive to: uh=h (0.4) .hh a
13 time to live >a- a- a-< a good life (or not.)=h

Shortly after describing himself as a “human being,” Abie then categorizes himself as a “Black person” (line 6), thereby explicitly treating this particular category as the one he would be vulnerable to being heard as speaking as a member of in the absence of his claims to be speaking “as a human being” (at lines 5 and 10). That is, he mentions race so as to disavow its relevance, in order to explicitly align his public persona with his upcoming stance as not racial, but human. He thereby casts the position he is headed toward formulating as one that any person might take, but concomitantly reveals that it will be a position that could be vulnerable to being understood as representing a racialized (and specifically “Black”) set of interests – and thereby discounted

(see Sacks 1992; Whitehead 2020). Moreover, he solicits alignment, or at least acknowledgement from the host (Clinton) of his formulation with “you know?” (line 7) before continuing on to assert his viewpoint (cf. Whitehead 2013), although Clinton passes up the opportunity to produce such a response (line 8). Having established a ‘personalized self,’ his subsequent simple self-references (“my” at line 7 and “me” at line 9) now can carry this forward as he offers his opinion.

In Extract 2, it is the host, Keeno, who backs away from a race category, already made relevant in a question from the caller, David (line 3). David’s question uses the category “Black” as part of the extreme case formulation (Pomerantz 1986) “all Black people,” to challenge support for U.S. presidential candidate Barack Obama, implying that this support is based solely on race, rather than being principled. In resisting this challenge, Keeno orients to, but distances himself from his (generally known) membership in this category, and then goes on to formulate his answer so as to undercut the relevance of race in having come to the position he adopts.

(2) [602, 702 5-5-08]

- 1 DAV: ↑Just a ↓thought, just to stir things up, cuz I like stirring things up,
- 2 KEE: Mm hm?
- 3 DAV: U::m::, (0.8) tch ↑Why are all Black people: (0.5) backing:: (0.8) >Barack
- 4 Obama?<
- ((0:14 omitted))
- 5 DAV: I mean .hhhh (0.2) every=hh (.) every Black celebrity: (0.2) I mean is
- 6 supporting, and in- ↑most Black people, I want to hear from them phoning in,
- 7 (.) saying “>no no no,< we don’t.” .hh Why: why is this?

((0:08 omitted))

8 KEE: Well it's an in- (an-) it's an interesting **I- I happen to be:: well,**
9 **they- they term me as being Black,** and **I- I** support Barack Obama for
10 one reason and one reason only. (.) To answer your question. .hh

11 DAV: Yeah.

12 KEE: Because of what he says, it's the c[ontent of his character. More than

13 DAV: [(Mm.)/(Ya.)

14 KEE: any[thing else.

15 DAV: [Sure.

In producing his response, Keeno initially concedes being Black, yet parries this designation by formulating it as incidental (“I happen to be” – line 8). He then further downplays its relevance for the matter at hand by asserting that his membership in this category has been attributed to him by others, thereby discounting its consequentiality for the support for Obama he goes on to assert (line 9). In this way, the subsequent simple self-reference (“I” at line 9) is protected (or even ‘inoculated’ – cf. Edwards & Potter 1992) against categorical inference in relation to the racial category whose relevance he has just discounted. Keeno then underscores his resistance to David’s racialized account by asserting a non-racial basis for his support of Obama by explicitly tying this claim to David’s question (line 10) and invoking Martin Luther King Jr.’s famous “content of his character” principle (line 12) in doing so.

We can see forestalling operating again in Extract 3, although here race is not the relevant MCD. In this case, Boudewijn has called in to comment on an exchange between the host, Eric, and a previous caller. In that previous exchange, Eric had criticized the South African

government's failure to support small and medium-sized businesses, and the previous caller had then supported that position. In prefacing his response to that exchange, Boudewijn (who has just been identified by Eric as a first-time caller) claims to have been “provoked...to just phone in” despite having never done so before (lines 1 and 2). This formulation poses a puzzle as to what Boudewijn may have found so provocative about the preceding discussion to have prompted this (reportedly) unprecedented action on his part – and therefore what might have accounted for it (cf. Whitehead 2009; Raymond 2019a). As he continues speaking, Boudewijn appears to be heading toward a self-identification (projected by the formulation “the opinion of” in line 3), but just as he reaches the crucial point at which a self-identification is due, he hesitates briefly, before claiming to be speaking as “a individual” (line 3). This slight hesitation, along with the marked use of the indefinite article “a” (rather than “an”) underlines the ‘non-categoricity’ of the self-descriptive “individual.” He then further sharpens the purely personal character of his yet-to-be-articulated pending response (lines 7–9). Thus, when he finally launches his response with “I have concluded” (line 9), the simple self-reference (and the opinion that follows on from it) have been carefully protected against categorical inference – at least, for now.

(3) [176, SAfm 4-28-08]

- 1 BOUD: I d- I don't ever phone in [↑]eyer, .hh but uh what I: heard (.)
2 being said .hh provoked me to just phone in and just give
3 you .h an opinion of (.) **a individual.**
4 (.)
5 ERIC: Sure.
6 (.)

7 BOUD: .hh And that is: (.) that from my personal experience not from
8 the newspapers, .hh not from the radio, not from my friends,
9 my (per) experience, .hh **I have concluded** in the last (.) .h
10 so many years that the government unfortunately has become (.)
11 dysfunctional.
12 (.)

13 ERIC: Mm hm?

14 BOUD: Many departments. .hh And it's very sad f- (tig) for the local
15 people, .hh because they should be uplifted. .h And everything
16 is (.) c- going (.) towards impoverishing them. (As/And) run by the
17 very government they have elected.
18 (.)

19 BOUD: It is extremely sad.

20 ERIC: Gimme these examples of uh of things going (.) downhill.

21 BOUD: .hh Well to give you an idea, uh u:m **I had a factory** which
22 they have broken down illegally, .h uh in courts (shall
23 decide it) but you can't go to (court with this) government,
24 .hh simply because they use the .hh you have no money because
25 all the money, (.) so you cannot win a court case, .hh so they
26 broke my whole factory down, [()

27 ERIC: [How did they break your factory
28 down? Just talk to me?

29 BOUD: E- (.) Illegal road. Empire Exchange?

((0:25 omitted))

- 30 ERIC: How- how big was your (wally) was your business? Would
31 you call it small, medium or (.) [large?
32 BOUD: [No I would say it's medium,
33 yes. [()
34 ERIC: [Hya I have a- you know what I- Boudewijn I feel for you.
35 .hh I might be wrong about this but I [really .h
36 BOUD: [(I don't feel for myself,
37 .hh I can survive it. I feel for the country.

Nevertheless, Boudewijn is eventually pressed to reveal his germane membership category (albeit rather obliquely) under questioning by Eric, noting that he “had a factory” (line 21; also see lines 30-33), before going on to complain that the government had illegally demolished it (lines 22-26). In mentioning his membership in the business-owner category, he finally reveals that he has a stake in the discussion at hand. As such, his alignment with Eric's criticisms of the government is revealed as somewhat self-serving,⁵ and thereby vulnerable to being discounted on the basis of this now-revealed category membership.⁶

In constructing a ‘just-speaking-as-an-individual’ claim (as in Extracts 1–3) speakers are oriented to – and are, at the same time, resisting – the possibility that, in the absence of an explicit assertion to the contrary, recipients may well infer their membership in a particular – topically infelicitous – category. Moreover, as will be seen in the next extract, speakers do have at their disposal a rather straightforward technique aimed at pushing back against such implications – one that is expressly designed to ‘de-categorize’ a simple self-reference in the

course of its production, and to do so without disrupting the progressive development of their ongoing turn-at-talk: Speakers can ‘personalize’ a simple self-reference by simply appending the term “personally” to it.

In Extract 4 (taken from the same call as Extract 2), David personalizes his simple self-reference, thereby discounting a possible racialized – and hence racist – source for his displayed skepticism toward Barack Obama’s candidacy.

(4) [602, 702 5-5-08]

- 1 DAV: ↑Just a ↓thought, just to stir things up, cuz I like stirring things up,
- 2 KEE: Mm hm?
- 3 DAV: U::m::, (0.8) tch ↑Why are all Black people: (0.5) backing:: (0.8) >Barack
- 4 Obama?<
- 5 (0.5)
- 6 DAV: It’s just a thought?
- 7 (0.2)
- 8 KEE: Mm.=
- 9 DAV: =U:m:, (.) **I- I personally** don’t: (0.8) think (a-) any politician’s good,
- 10 I think they all- they all- (0.2) you know, (0.8) whatever you get, you get
- 11 type of thing, I[=um:: you know, .hh **personally**, ↑but I mean .hhhh (0.2)
- 12 KEE: [Yuh.
- 13 DAV: every=hh (.) every Black celebrity: (0.2) I mean is supporting, and in-
- 14 ↑most Black people, I want to hear from them phoning in, (.) saying
- 15 “>no no no,< we don’t.” .hh Why: why is this?

David's questioning of race-based support for Obama potentially implicates a race-based account for the skepticism he displays in asking the question. That is, by effectively using race to account for support for Obama, David provides a warrant for recipients to then use a racial category to account for the position his question reveals – by attributing it to white racism on his part (see Whitehead 2009 on "categorizing the categorizer"). This possibility is underscored when Keeno passes up the opportunity to respond during a 0.5-second interval (line 5), and David then issues a partial backdown (line 6). This backdown exhibits his orientation to Keeno's withheld response as indicative of incipient disapproval of David's racialized challenge ((cf. Pomerantz 1984; Whitehead 2015). Following another brief interval (at line 7), Keeno issues just a minimal acknowledgment (line 8) of David's question (cf. Gardner 1997). In response to this, David personalizes his simple self-references (at line 9 and again at line 11) as a way to de-racialize his viewpoint. In this way, he can frame his position on Obama as personal, rather than as tied to his membership in a racial category.⁷

Having shown how speakers can forestall the categorical relevance of their self-presentations in general and their simple self-references in particular, we next examine how speakers manage recipients' possible mis-categorizations by adding category-based elaborations to their simple self-references.

When Simple Self-Reference is Treated as a Source of Possible Recipient Mis-Categorization

Lerner and Kitzinger (2007) have shown that speakers sometimes replace an individual self-reference (“I”) with a collective self-reference (“we”), thereby fine-tuning the self-reference for the action of a turn at talk. For instance, a speaker can convert individual responsibility for an action into the collective responsibility of a couple or into an organizational policy by replacing “I” with “we” (see Lerner & Kitzinger 2007:546-48). Further, Lerner *et al.* (2012) describe how ‘reference recalibration repairs’ – including replacing non-categorical references to persons with membership-categorical references – can contribute ‘what is known about a category’ to sharpen the action of a turn.⁸ In addition, conversation analytic research has shown that speakers not only initiate repair on misunderstandings displayed in prior turns-at-talk (e.g., Heritage 1984; Schegloff 1992b), but also work to manage possible and even potential misunderstandings (e.g., Maynard 2013; Raymond 2019b).

In this section, we consider situations in which speakers appear to target and manage possible or potential recipient mis-attribution of category-relevance to their simple self-references. Here, we describe circumstances in which the relevance of membership self-categorization can be found in the management of troubles that can arise from simple self-references that are initially left standing, with their possible category relevance at first remaining tacit – perhaps left to be gleaned by recipients from the thick particulars of content and context. We consider two sources of trouble associated with simple self-reference that are then targeted for elaboration via explicit self-categorization: In the first case, a speaker elaborates a simple self-reference when an evidently category-bound action is not acknowledged as such by a recipient; and in the second case, a speaker elaborates a simple self-reference when a recipient

might well be (mis)led to infer the wrong membership category from the feasibly category-bound position the speaker has taken.

When an implied category is not acknowledged by a recipient

In Extract 5, the caller (Andrew) tells of being denied the use of a bathroom at an embassy he was visiting (lines 5-18). In the South African context, this type of story can readily (although not invariably) be understood as implementing a complaint about racial discrimination – that is, it can be understood as a category-bound action ascription – with such an understanding providing a solution to the apparent puzzle of why an embassy employee may have refused to allow Andrew to use a bathroom (cf. Whitehead 2009).

However, during an extended exchange in response to this story (lines 19-25, and in the omitted portion of the call thereafter), the host, Keeno, does not consider Andrew's membership in any category as relevant for uptake of his story. That is, although he displays sympathy for the difficulty arising from not having a bathroom available when one is needed, he treats the story as an at-face-value account of the embassy not having a bathroom – and in doing so, effectively treats Andrew as an individual, and thus Andrew's self-references in telling the story (especially in lines 12-14) as not category-implicative.

(5) [535, 702 4-23-08]

- 1 KEE: Talk to me.
- 2 (.)
- 3 KEE: You went to an embassy, I believe?
- 4 (0.5)

5 AND: Yes, I went to thee: embassy of thee h (.) Czech Republic
6 in Pretoria.

7 KEE: Ya?
8 (0.3)

9 AND: And I was going to: make arrangements for: (0.2) um a
10 family that was traveling.

11 KEE: Ya.

12 AND: (In) Europe, ja. .hh I mean af- after I was done with
13 my:: (.) business, (0.4) I asked for the bathroom. And
14 you know what they told **me**?
15 (0.6)

16 AND: That they didn't have a bathroom. hhh
17 (0.2)

18 AND: (Eh- in that- in that uh) (0.2) on- on the premises.

19 KEE: THEY DIDN'T HAVE A BATHROOM?
21 (0.4)

22 AND: Ye:s. And I was (0.6) pressed. <I mean I was (breaking/bursting)
23 (at the seams,) you know?

24 KEE: Ooh, I know how that feels. Mm=h I know how that feels.
25 .hhh[h sheesh.

26 AND: [.hh And then:
((1:31 omitted))

27 AND: But then ehm: >another thing too,< I didn't want to bring

28 the race thing into >the whole thing, but I mean eh< (0.2)

29 **I'm- I'm- I'm- I'm- I'm Black (.) African.**

30 (.)

31 KEE: Yuh.

32 AND: **And uh I was speaking to a white (0.2) man,** >I mean when

33 he told me< (.) >you know,< hh (telling me) there was no-

34 (that there) were no °facilities, no (bathroom facilities

35 there.°)

36 (0.2)

37 KEE: There's no [what?

38 AND: [So I mean-

39 (1.0)

40 AND: Uh sorry?

41 KEE: You were saying, what did he say ↑to you?

42 (1.0)

43 AND: I mean I said- **I mean I'm- I'm- I'm talking from a Black**

44 **eh]: Black point of view.**

45 KEE: [Perspec-

46 KEE: Ya.

47 AND: (But-) (0.4) **And I mean I was talking to a (.) °eh° to a**

48 **white person,**

49 KEE: Mm.

50 (0.2)

51 AND: And I mean (.) I didn't want to bring the race thing
52 >into the whole thing but I mean< (because) the Czech
53 Republic is- is a European country,
54 (.)
55 KEE: Yuh.
56 (.)
57 AND: And eh:m I felt I was (0.8) being >discriminated< against
58 >(an' in) I mean< (.) th- there is a bathroom there is a
59 toilet in that I mean that- I mean how how d- wh- ((call is cut off))

Subsequently, Andrew then explicitly categorizes himself (the protagonist in the story) as “Black African” (line 28), in contrast to his antagonist (“a white man” at line 31). Moreover, Andrew then reiterates this contrast by noting that he is “talking from a...Black point of view” (lines 42-43) and that he was “talking to... a white person” (lines 46-47). These categorical contrasts make explicit the upshot of the story: Accusing the embassy employee of racial discrimination. In doing so, they retrospectively expose and deal with a categorization trouble source arising from Andrew's self-references earlier in the call (particularly those on lines 12-14) being evidently designed as tacitly racialized, but never acknowledged as such by his recipient.⁹

When a recipient might have reasonably inferred a wrong category

Extract 6 presents a case in which no membership category is mentioned in a self-reference, but the action formed up in the turn comes to be treated as mistakenly implying a categorical identity for its speaker. Prior to this call, there has been a discussion that involved a strong condemnation

of colonialism, and a consensus that it was harmful to colonized countries. In contributing to this discussion, a caller, Grant, makes the counterclaim that many countries have benefitted from colonialism – so his claim goes against the anti-colonial consensus that has been established during the prior discussion.¹⁰

(6) [549, 702 4-23-08]

1 GRA: U:m, (.) **I** just wanna say that like um (.) Africa isn't
2 the only third world country that benefitted from colonialism.

3 (1.0)

4 GRA: There a lot of countries that >benefitted from colonialism<
5 like um, (.) Asian countries, and South American countries,
6 Hong Kong, >and that (so.)<

7 (0.5)

8 GRA: So **I'm** you know just saying, (.) tch fact is fact, the white-
9 (0.2) the white (.) countries were (1.0) have helped a lot of
10 countries develop, they also caused a lot of hh you know,
11 problems (there.)

12 (0.6)

13 GRA: **I'm**- (.) **I'm** Chinese, so **I'm** not **defending** the white people

14 >or anything,< I'm just saying, (0.5) you know (y-) (.) just-
15 this- the- the fact is the fact, huh?

16 (0.2)

17 CLI: Mm.

18 (0.7)
19 CLI: Mokay. .hh Thanks very much for your call, Grant.
20 GRA: Thanks, by[e.
21 CLI: [Cool.

Following the claim Grant makes (lines 1-2), and at several places thereafter where he pursues an aligning response (lines 4-6 and 8-11), Clinton (the host) does not respond. Grant then treats Clinton's non-responses as indicative of incipient disagreement (cf. Extract 4 above), as he produces, *post hoc*, an explicitly categorized contrast between himself (as "Chinese") and "white people" to manage what he treats as a possible mis-categorization of himself by Clinton (lines 13-14). In producing this categorized contrast, Grant appears to orient to Clinton as having feasibly heard him to be speaking *as* a white person in defense of colonialism – a position that would be vulnerable to being discounted on the basis of the category membership of the speaker who has taken it (cf. Extracts 1 and 2 above). By explicitly categorizing himself as Chinese, Grant establishes himself as a 'cross-member' (Sacks 1992:I:590) – i.e., as a member of a different category from the same (race) MCD – and thereby heads off being heard as merely offering the viewpoint one could expect of a white person (cf. Whitehead 2020). This self-categorization thus (retrospectively) contributes to the formulation of his prior claim as addressed to "the fact" (line 15), rather than as tied to a racialized ideological position (bound to the category 'white people').

Contrastive Entanglement: A Practice for Tacitly Categorizing Simple Self-Reference

Our analysis thus far has focused on how speakers explicitly manage the category-relevance of their self-presentations. We now turn to situations in which membership categories are never mentioned, but where otherwise simple self-references are produced in a manner that conveys the speaker's membership in a particular category. In introducing the practice of *contrastive entanglement* through which this is accomplished, we are supplying one procedural specification for Land and Kitzinger's (2007:523, note 19) observation that 'It is sometimes possible for "I" (and its grammatical variants) to be heard, in context, as indexing a particular category of person'.¹¹

This practice entails a speaker employing a simple self-reference term such as "I," but *particularizing* its delivery by adding contrastive stress (see Bolinger 1961), thereby separating themselves from – while juxtaposing themselves to – another (discoverable) person or persons. This establishes a puzzle as to the basis upon which the speaker and other(s) are being contrastively entangled in forming up the action of the turn. Or posed differently, recipients are tasked with determining which MCD could partition these contrasted referents as 'cross-members' of a collection of membership categories.¹²

Ogden and Walker (2013:307) have found that 'high-level social actions like "offer" or "complaint" do not have phonetic properties of their own; but such actions and activities are implemented through more generic practices (to do with e.g. handling turn-taking, sequence, seeking alignment) which have phonetic exponents.' On the other hand, Schegloff (1998:249) found that in specific, characterizable situations, 'practices of prosody may contribute to a turn being analyzable as a "possible compliment.'" In particular, he describes how displacing the primary stress of a TCU by one beat and one word, 'invokes a connection, a pairing, with something else' (Schegloff 1998:249). And, as Schegloff (1998:249) notes, 'In providing for a

“connection” between some unit in which the stress occurs...and some other such unit...this practice invites inclusion in what Sacks referred to as “tying techniques” (1992, Vol. I:150-55, 716-23, 30-37, et passim).’

The use of a stressed simple self-reference as a tying technique can be seen in Extract 7.¹³ Here, the contrastively stressed self-reference term (“I”) is accompanied by a correspondingly stressed recipient reference term (“you”) that overtly targets just which other person the speaker is thereby juxtaposing himself to – but without making the basis of that entanglement explicit. In this case, the caller, Wayne, offers a negative assessment of a controversial 12-meter long walk-in sculpture of a vagina, describing it as “a little bit absurd” (line 9), and treats his membership in a sex category as relevant in doing so (lines 6-7). After receiving no response during the interval at line 10, which (as in Extract 6) may well adumbrate incipient disagreement, Wayne solicits alignment via his assessment of the sculpture from the host, Aubrey (line 11). It is apparently by reference to Aubrey’s status as a co-member of the category Wayne has just invoked – as a fellow male – that he is soliciting Aubrey’s alignment with his assessment. However, instead of following Wayne’s lead, Aubrey produces two contrastively stressed self-references (“I” – line 13), as well as a contrastively stressed recipient-reference to Wayne (“you” – line 14), that together appeal to his membership in the radio host category in contrast to Wayne’s membership in the caller category.

(7) [691; 702 8-19-13]

1 AUB: ↑Hi, Wayne?

2 (0.5)

3 WAY: Aubrey?

- 4 AUB: Yu[h?
- 5 WAY: [Um, (.) this (.) this is- i- is compl- com-
- 6 Completely and (.) an- an' to my mind, (.) um: from a:
- 7 a testosterone um:: filled person, (0.7) u:m: it's a-
- 8 i- i- im: (.) uh: coming from a mu- a mother, (.) and
- 9 a father, (0.8) um I ↑find it a <little bit (.) abs↓urd.>
- 10 (0.4)
- 11 WAY: Don't ↑you?
- 12 (0.8)
- 13 AUB: **(Y)I:: \$mean it do(h)esn't m(h)atter what I think, Wayne I**
- 14 **wanna know what you th(h)ink.\$.hh**
- 15 WAY: Okay. ((continues, and produces further assessment of sculpture))

This contrastive entanglement can lead recipients (including the overhearing audience) to work out what MCD can relevantly categorize him on the one hand, and Wayne on the other hand, so as to account for his deflecting response. Whereas, Wayne has introduced the sex MCD as a basis for categorizing himself, thereby also implicating Aubrey (see Whitehead 2009), this MCD does not provide a solution for the puzzle of what *contrastive* identities would provide for Wayne, but not Aubrey, properly offering an opinion on this matter, since Aubrey and Wayne are co-members of a category from the sex collection. Instead, the solution requires them to be cross-members. The readily-available solution, then, is Aubrey's membership in the 'host' category, in contrast to Wayne's membership in the 'caller' category.¹⁴ This bolsters his claim that his opinion “doesn't matter” (line 13) relative to that of Wayne by evoking the category-

bound activities and expectations that hosts should facilitate the expression of opinions on the topic at hand, whereas callers should express their opinions. In response, Wayne accedes to Aubrey's deflection ("Okay" – line 15) and then goes on to produce a further assessment of the sculpture. In this case, the speaker's contrastive entanglement involves both a contrastively stressed self-reference and a contrastively stressed recipient reference. However, contrastive entanglement can be accomplished by employing only a contrastively stressed self-reference. This can be seen in the remaining cases. In Extract 8, the speaker employs an unstressed recipient reference, whereas in Extracts 9 and 10 the entangled "other" remains entirely tacit.

Extract 8 is taken from a backyard gathering of three couples. Just before the extract begins, Mike has addressed a 'dick measuring' joke to Curt and Gary. This is apparently a genre that circulates principally amongst men (cf. Sacks 1978), and as such, its telling can make the sex MCD relevant. On its conclusion, Curt produces a story preface that launches a next joke-story on the same theme. However, the joke's actual telling is delayed because of resistance from his two principal recipients (Mike and Gary), as seen at the beginning of the extract (lines 1-13). Then, just as Curt's recipients reluctantly align as joke recipients (see especially line 14), Carney, who is seated with her back to the table, and thus facing away from the other participants, interjects, "You ferget I'm here" (line 19), with contrastive stress on the self-referential "I".¹⁵

(8) [Auto Discussion, 44-45]

1 GAR: [(Mike'n I.)]

2 CUR: [N o m e]'n Mike wz in Vietnam

3 we got captured by the enemy. Me['n Mike 'n,

4 GAR: [Oh:: horseshit,=

5 CUR: =Ye::s we di:d!

6 (0.7)

7 CUR: 'n we wz in- en there wz me'n Mike en, en another guy en,

8 GAR: Alright, kee[p goin Curt,

9 CUR: [Ennuh::

10 GAR: [Keep bullshittin] a w a y ,]

11 CUR: [W e d i : d ?] Didn' we.] r'member Mike?

12 CAR: ([)

13 GAR: [R'member Mike?

14 MIK: Dah, [yeh, sure enough,

15 GAR: [You remember Mike, he wz [pretty nice guy).]

16 CUR: [Remember we wz in there] en

17 he [s a y[s-

18 GAR: [uhh!]

19 CAR: [**You ferget I'm here.**

20 CUR: Ulright,

21 CAR: [Teh hhah hah hah

22 CUR: [Mhh hehh heh[heh

23 MIK: [heh-heh-heh hah-hah[hah heh heh

24 CUR: [ehh heh heh

25 CAR: Go o:n,

26 CUR: We:ll? We wz just in there et the prison camp ennuh,

As in Extract 7, the contrastive stress Carney produces on her self-referential “I” makes it relevant for her recipients to work out just how she is separating herself from the others present. This contrastive entanglement presents recipients with a puzzle: What MCD can relevantly categorize Carney on the one hand, and the others present on the other hand, given the positioning of her interjection between two dick-measuring jokes. It is, of course, the sex MCD that furnishes the solution to this puzzle. Although palpably gendered joke-telling is ongoing, it is Carney who exposes this through contrastive entanglement. In response, the recipients show their understanding of the gendered (but non-serious) point of Carney’s protest, as Curt accepts it with “Ulright” (line 20), and then both he and Carney simultaneously begin to laugh (lines 21 and 22), with Mike joining in shortly thereafter (line 23).

Land and Kitzinger (2007:523, note 19) provide the following convergent analysis of another instance of tacitly gendered self-reference (here displayed as Extract 9):

...over a videotaped family meal [FAM38] at which mother and daughter are discussing a non-present person’s proposed clothing and hairstyle for a forthcoming event (she’ll be wearing “crinoline,” “very Cinderella,” “like a wedding dress”), the daughter asks whether this person’s hair will be “down or up”. The mother’s response is delayed (she is chewing) and the son volunteers “Probably down”. He is corrected by his mother who says that “if you want it dressier you would probably wear it up” – where the generic “you” treats her correction as being based in taken-for-granted knowledge about appropriate women’s hairstyles for a “dressy” occasion. The son accepts correction saying, “Well what would I know.” Here “I” is at least open to being heard as indexing the category of “males” to which the speaker belongs – a category of persons supposedly

10 BEE: =.hhh So the nex' cla:ss hh! .hh fer en hour en f'fteen
 11 minutes I sat there en I watched his ha:n(h)ds hh
 12 hh[.hhh=
 13 AVA: [Why wha[t's the ma[tter] owith (his h'nds)/(him.)
 14 BEE: [=She [meh-]
 15 BEE: .hhh t! .hhh He keh- He doesn' haff uh-full use uff hiss
 16 hh- fin::gers or something en he, tch! he ho:lds the chalk
 17 funny en, .hh=
 18 AVA: =Oh:

In contrast to Extract 9, where a gendered basis for speaker ignorance allows the speaker to invoke membership in a sex category as an excuse, here ignorance is tied to the speaker's status as a layperson, vis-à-vis a professional. Bee adds contrastive stress to her self-references ("I" at lines 3 and 7) and then contrasts herself to a classmate she describes categorically as "a nurse" (line 5). Although a vocational category is employed, the relevant contrast here is between 'layperson' and 'professional'. The relevant self-referential category (layperson) invoked via this contrastive entanglement remains tacit (as in Excerpts 7-9), but nevertheless accounts for how Bee's classmate could notice something 'wrong with his hands' (lines 7 and 8), while Bee was only able to see that "he has a handicap" once it was pointed out to her – by a professional.

Concluding Remarks

Our investigation demonstrates that, in addition to practices through which speakers explicitly promote a categorical understanding of a self-reference, speakers can employ practices designed to forestall such an understanding – albeit exposing a membership category as feasibly relevant in the process (Extracts 1-4). We have also shown that speakers may orient to – and can manage via self-categorization (Extracts 5 and 6) – the possibility that a simple self-reference may have allowed or contributed to a skewed understanding of the position they are staking out.

These findings demonstrate that, despite the ordinarily opaque character of simple self-reference with respect to category membership(s), speakers can employ practices to manage (possible and actual) attributions of membership in one or more categories – and thereby attend to recipients’ grasp of their self-presentation in terms of its category-relevance (cf. Lerner et al. 2012; Heritage 2013). This serves as a mechanism through which the commonsense cultural knowledge associated with membership categories is reproduced time and again as a by-product of the everyday activities in which speakers find themselves engaged (also see, e.g., Sacks 1992; Kitzinger 2005a; Whitehead 2012; Raymond 2019b).

In contrast to practices that manage category membership explicitly, contrastive entanglement is employed to accomplish tacit categorization of an otherwise simple self-reference. It does so by tying speaker and another referent together in a discoverable fashion (as in Extracts 7-10). The local particulars of context and content, brought to bear under the auspices of contrastive entanglement, constitute a resource for producing tacitly categorized self-references that rely on (and thereby reproduce) recipients’ shared cultural knowledge of membership categories. As a result, the category-relevance of self-references may, on some occasions, be exploited by participants even in the absence of any explicit mention of a membership category. The common-sense knowledge associated with categories, along with

speakers' orientations to the accountability associated with membership in a particular category, can thus, on such occasions, be brought to bear without being named as such.

Finally, these findings refine our understanding of Goffman's concept of self-presentation in two ways. First, by formulating the 'presentation of self' in terms of practices for self-reference and its elaboration, we tie members' self-presentation work to the machinery of talk-in-interaction. And second, by situating our analysis in turns at talk, we are able to specify just how and just where the presentation of self contributes to the definition of the situation: It does so by playing a part in the configuration of practical action.

Notes

¹ Whereas, our focus is individual self-reference, Sacks (1992:I:149) does mention a comparable array of contingencies regarding collective self-reference, noting the potential puzzle of whether "we" is some collection of these guys' names, directly? Or is it some category or a set of categories for which these fellows are incumbents?

² For further discussion of practices for managing the possible tacit relevance of a speaker's membership in a particular category, see Whitehead (2020).

³ Our investigation uncovers features of the social organization of category systems that may be of special interest to many social scientists (e.g., race and gender); yet by focusing on the practices themselves, rather than the particular membership categories they incorporate, we are able to show just how deeply rooted in human social intercourse these practices are.

⁴ Sacks's (1972b:338) description of a 'viewer's maxim' suggests that this phenomenon can also be extended to the visual field.

⁵ Note how Boudewijn then works to resist such a hearing in lines 36-37, in response to Eric's claim to "feel for" him (line 34).

⁶ A later portion of this call, analyzed by Whitehead (2012:1253-56), reveals the possibility of being hearable as more than just "a individual" in a different way. At that point, Eric treats Boudewijn's membership in a racial category (white) as affording him heightened authority to take a position on a separate matter.

⁷ This is augmented by additional extreme case formulations ("any" at line 9 and "all" at line 10) that show his cynicism applies not only to this particular (Black) politician, but to politicians in general (cf. Whitehead 2009).

⁸ For considerations of membership categorization in second- and third-person references, see, e.g., Oh (2010), Raymond (2016), Whitehead (2009), and Whitehead and Lerner (2009).

⁹ It is possible that aspects of Andrew's accent and/or dialect, among other speech patterns, may have made his membership in this category evident to Keeno (and to members of the overhearing audience) prior to this explicit self-categorization – and this possibility is consistent with participants' evident uses of these resources as bases for inferring a speaker's racial or ethnic category, both in South Africa (e.g., Durrheim, Cole & Richards 2012) and in other national contexts (e.g., Giles & Bourhis 1976; Purnell, Idsardi & Baugh 1999; Baugh 2003; Szakay 2012; Raymond 2018). However, the availability of such resources does not on its own imply that recipients will necessarily take them to be indicative of the relevance of the associated category for a speaker's conduct at any particular moment (see, e.g., Schegloff 1991, 1992a; Raymond 2018; Whitehead 2020); nor does it automatically imply the relevance of a racial category over and above other categories that may be inferable from features of voice quality – for example, a sex category (see Kitzinger 2007). What remains crucial here is not whether Andrew could have

been recognized as a Black African speaker prior to his self-categorization as such, but that his explicit self-categorization was evidently occasioned by his recipient's failure to employ his membership in this category in his response.

¹⁰ Also see Whitehead's (2015:378-80) analysis of this exchange.

¹¹ In contrast, Jackson (2011) describes cases of 'the gendered "I"' that occur in environments where gender – especially gendered difference and resistance – has already “crept into” the talk' (Jackson 2011:44).

¹² Of course, not all referential contrasts entail juxtaposed membership categories from an MCD. In this report, our interest is in those action environments that can be shown analytically to involve such identities.

¹³ Also note the contrastive stress on the self-references in Extract 2 (lines 8 and 9) and Extract 6 (line 13).

¹⁴ These are also categories from an 'omni-relevant' MCD for this setting (Sacks 1992), although (as subsequent cases demonstrate) this need not always be the case for contrastive entanglement.

¹⁵ Although Carney does employ a recipient reference (“you”) here, she does so without the contrastive stress seen in Extract 7, and as such this recipient reference is not markedly tied to the contrastive entanglement of the self-reference.

¹⁶ For further discussion of the categories employed in this extract, see Schegloff (2007a:435-37).

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