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Advanced Characterization Methods for Reaction Mechanism Investigation in Next Generation Energy Storage Systems

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UNIVERSITY OF CALIFORNIA SAN DIEGO

Advanced Characterization Methods for Reaction Mechanism Investigation in Next Generation Energy Storage Systems

A Dissertation submitted in partial satisfaction of the requirements for the degree Doctor of Philosophy

in

Materials Science and Engineering

by

Baharak Sayahpour

Committee in charge:

Professor Ying Shirley Meng, Chair Professor Andrea Tao, Co-Chair Professor Zheng Chen Professor Alex Frano Professor Michael Sailor

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The Dissertation of Baharak Sayahpour is approved, and it is acceptable in quality and form for publication on microfilm and electronically.

University of California San Diego

2023

DEDICATION

To "Women, Life, Freedom"

In memory of PS752; 176+1 innocent victims

In quest of justice for all loved ones we lost unjustly

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LIST OF ABBREVIATIONS

AFM	Atomic Frce Microscopy
Al	Aluminum
As	Arsenic
BET	Brunauer-Emmett-Teller
Bi	Bismuth
С	Carbon
CATL	Contemporary Amperex Technology Co., Ltd.
CEI	Cathode Electrolyte Interphase
CFx	Fluorinated graphite
СМС	Carboxymethyl cellulose
Co	Cobalt
Cryo-FIB	Cryogenic Focused Ion Beam
Cryo-STEM	Cryogenic scanning transmission electron microscopy
Cu	Copper
Cyrene	Dihydrolevoglucosenone
DFT	Density Functional Theory
Diglyme	Bis(2- methoxyethyl) ether
DLS	Dynamic Light Scattering
DMC	Dimethyl Carbonate
DME	Dimethoxyethane
DMF	Dimethylformamide
DoD	Depths of Discharge (DoD)

EC	Ethylene Carbonate
EDS	Energy Dispersive X-ray Spectroscopy
EELS	ElectronEnergy Loss Spectroscopy (EELS)
EIS	Electrochemical Impedance Spectroscopy
EOL	End of Life
EVs	Electric Vehicles
F	Fluorine
Fe	Iron
FEC	Fluoroethylene Carbonate
FeF ₃	Iron Fluoride
FFT	Fast Fourier Transform
GIC	Graphite Intercalation Compounds
GITT	Galvanostatic Intermittent Titration Technique
HRTEM	High Resolution Transmission Electron Microscopy
ICE	Initial Columbic Efficiency
Li	Lithium
Li/Ag ₂ CrO ₄	Lithium Silver Chromate
Li/CuS	Lithium Cupric Sulfide
Li/MnO ₂	Lithium Manganese Dioxide
Li/SOCl ₂	Lithium Thionyl Chloride
Li ₂ CO ₃	Lithium Carbonate
Li ₂ O	Lithium Oxide
LiBF ₄	Lithium Tetrafluoroborate

LIBs	Lithium Ion Batteries
Li-CF _x	Lithium Fluorinated Carbon
LiF	Lithium Fluoride
LiI	Lithium Iodide
Li-I ₂	Lithium Iodine
LiTFSI	Lithium bis(trifluoromethanesulfonyl)imide
Mn	Manganese
Na	Sodium
Na ₂ O	Sodium Oxide
Na ₂ CO ₃	Sodium Carbonate
NCO	Sodium Chromite
NaF	Sodium Fluoride
NaPF ₆	Sodium hexafluorophosphate
Ni	Nickel
NIBs	Na Ion Batteries
NMP	N-methyl-2-pyrrolidone
O3	Octahedral
O ₂	Oxygen
OCV	Open Circuit Voltage
Р	Phosphor/Phosphorus
P2	Prismatic
PAN	Polyacrylonitrile
PBA	Prussian blue analogs

PC	Propylene Carbonate
PFIB	Plasma Focused Ion Beam
PVDF	Polyvinylidene Fluoride
S	Sulfur
SAED	Selected Area Diffraction
Sb	Antimony
SEI	Solid Electrolyte Interphase
SEM	Scanning Electron Microscopy
SHE	Standard Hydrogen Electrode
Si	Silicon
SIB	Sodium Ion Battery
Sn	Tin
STEM	Scanning Transmission Electron Microscopy
SVHC	Substances of Very High Concern
TEGDME	Tetraethylene Glycol Dimethyl Ether
TGC	Titration Gas Chromatography (TGC)
Ti	Titanium
TiS_2	Titanium Sulfides
TMDC	Transition Metal Dichalcogenides
UK	United Kingdom
V	Vanadium
XPS	X-ray Photoelectron Spectroscopy
XRD	X-ray Diffraction

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ABSTRACT OF THE DISSERTATION

Advanced Characterization Methods for Reaction Mechanism Investigation in Next Generation Energy Storage Systems

by

Baharak Sayahpour

Doctor of Philosophy in Materials Science and Engineering

University of California San Diego, 2023

Professor Ying Shirley Meng, Chair Professor Andrea Tao, Co-Chair

Continuous increase in global energy demand along with supply chain risks associated with Li metal has underscored the need for advanced energy storage technologies in the past decade. Generally modern energy storage systems are divided into primary (non-rechargeable) and secondary (rechargeable) types of batteries, both of which currently suffer from the lack of high energy density for emerging technologies and compatibility with the harsh and extreme environments. This thesis is an effort to design, fabricate, and characterize two energy storage systems that hold a great promise as an alternative for the future of primary and secondary energy storage systems.

Lithium/fluorinated graphite (Li/CF_x) batteries are one of the most well-known primary batteries due to their high energy density (>2100 Wh kg⁻¹) and low self-discharge rate (< 0.5% per year at 25 °C). While the electrochemical performance of the CF_x cathode is indeed promising, the discharge reaction mechanism is not thoroughly understood. Here, we use a combination of titration gas chromatography, X-ray diffraction, focused ion beam scanning electron microscopy, and cryogenic scanning transmission electron microscopy with electron energy loss spectroscopy methods to propose a more comprehensive discharge mechanism in CF_x cathodes. We further investigate the possible rechargeability of the CF_x-based cathode using a hybrid structure with FeF₃.

Next, we focus on Sodium-ion batteries as one of the most promising alternatives to rechargeable lithium-based battery technologies. Implementation of this technology has been practically hindered due to a lack of high energy density cathode materials and stable anode materials with a desired cycle-life. To address these points, we implement uniaxial pressure as a knob to control sodium metal deposition with dense morphology to enable high initial coulombic efficiencies. Moreover, we use titration gas chromatography to precisely quantify the sodium capacity loss in ether- and carbonate-based electrolytes. With that, we enabled a long cycling battery using a controlled electroplated sodium metal as the anode with high-rate performance.

Implementation of advanced characterization for fundamental understanding of reaction mechanisms and interface properties in conjunction with synthesis and performance evaluation as demonstrated in this thesis is critical for designing next generation of energy storage.

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1. CHAPTER 1 - INTRODUCTION

During the past few decades, lithium-ion batteries (LIBs) have been the gold standard technology for mobile and grid level energy storage. It is expected that global demand for LIBs will increase by almost 10 times by 2030. This has triggered extensive research on development of advanced materials for Lithium-ion batteries with higher energy density and life cycle to meet the increasing demand as well as modern applications such as electric vehicles (EVs) and portable electronics. Besides that, a major challenge in current li-ion technologies is high cost of lithium and its supply chain risks. These facts highlight the importance of developing alternatives or complementary options that can sustain this growing energy demand.

Another emerging area where current Li-ion technology fall behind is powering electronic devices in non-conventional and harsh conditions where recharging the battery is not possible including implantable medical devices, NASA's lunar and Mars' surface exploration, or military applications requiring human and robotic operation in harsh environments (i.e., ultra-low temperature, radiation, complex terrain).

Conventional Li-ion technologies paired with complex thermal management systems, which provide less than 200 Wh/kg at temperatures between -40°C and -80°C, cannot satisfy these requirements. Given the added complexity, weight, and cost of external heating or internal selfheating systems, advancing the battery chemistry to operate at these extreme temperatures is a more efficient approach. This has led to a growing research effort on primary batteries in which the electrochemical reaction occurring in the cell is not reversible. This is mainly due to a strong covalent bond between lithium and cation sites in the cathode structure after first discharge cycle. In this thesis, we attempt to use advanced characterization methods in conjunction with synthesis and in-depth electrochemical performance evaluation to tackle two main research questions: (i) understanding the reaction mechanism in primary CF_x batteries and finding possible avenues for reachability of this conventionally non-rechargeable system, and (ii) developing Naion batteries based on sodium metal anode and highlighting the important factors in sustainable design of the cathode materials as an alternative for rechargeable Li-ion technology.

1.1. Role of Primary Batteries in the Future Energy Storage: Case of Fluorinated Carbon

Among the well-known lithium primary batteries^{1–3}, lithium fluorinated carbon (Li-CF_x) presents the highest theoretical specific capacity and energy density, while e.g. lithium iodine (Li/I₂), Lithium Manganese Dioxide (Li/MnO₂), lithium silver chromate (Li/Ag₂CrO₄), and lithium cupric sulfide (Li/CuS) suffer from reduced theoretical energy density. For example, the continuous growth of lithium iodide (LiI) interface will significantly decrease its low-temperature performance. Moreover, Li/CuS suffers from its low nominal voltage (1.2 V) and Li/MnO₂ battery suffers from a cathode swelling (gassing) at the rest stage.² Although lithium thionyl chloride (Li/SOCl₂) has high energy density (1470 Wh/kg) and nominal voltage (3.65 V), its remaining safety concerns make it unsuitable for space applications. A comparison of the key parameters and a summary of chemistry, theoretical capacity and energy density, and nominal voltages of the well-known lithium primary batteries are presented in Figure 1.1 and in Table 1.1, respectively. The figure and the values in the table are adapted from the reference.^{2–5}



Figure 1-1. A comparison of the key parameters for (a) Li-CF_x , (b) Li-SO_2 , (c) Li-SOCl_2 , and (d) Li-MnO_2 primary lithium batteries. The figure is adapted from this reference.⁴

Table 1-1. A summary of chemistry,	theoretical capacity	and energy density,	and nominal voltages
of the well-known lithium primary b	atteries.		

Battery Type	Anode	Cathode	Known Reaction	Theoretical Capacity (mAh/g)	Theoretical Energy Density (Wh/kg)	Nominal Voltage (V)
Li-CF _x	Li	CF _x	$xLi + (CF)_x \rightarrow xLiF + xC$	865	2189	2.8
Li-SOCl ₂	Li	SOCl ₂	$4 \text{ Li} + 2 \text{ SOCl}_2 \rightarrow 4 \text{ LiCl} + \text{S} + \text{SO}_2$	450	1470	3.6
Li-CuS	Li	CuS	$2 \text{ Li} + \text{CuS} \rightarrow \text{Li}_2\text{S} + \text{Cu}$	560	1050	2.2
Li-MnO ₂	Li	MnO ₂	$Li + Mn^{IV}O_2 \rightarrow Mn^{IV}O_2(Li+)$	310	1005	3.0
Li-I ₂	Li	I ₂	$Li + \frac{1}{2}I_2 \rightarrow LiI$	211	591	2.8
Li-Ag ₂ CrO ₄	Li	Ag ₂ CrO ₄	$Li + Ag_2CrO_4 \rightarrow Li_2CrO_4 + Ag$	160	515	3.1

As it can be seen, lithium fluorinated carbon (Li-CF_x) batteries are very promising to overcome this limitation mainly due to their high theoretical capacity (865 mAh/g), operating voltage (~2.6-2.7V at 25°C), and the low self-discharge rate (<0.5% per year at 20°C).¹ The following reaction is known to be the governing reaction in this class of materials: $CF_x + Li \rightarrow LiF + C$. Fluorinated carbon is a non-stoichiometric compound with 0.5<x<1.3, exhibiting a low electrical conductivity due to the nature of covalent C-F bonds.^{6,7}

Although the lithium fluorinated graphite system has been under investigation for a few decades, there is still a lack of fundamental understanding of the reaction mechanism in this system. There is no clear and concise perspective about the discharge products and the possibility of lithium metal deposition in the cathode. Moreover, the kinetic limitations that hinder the Li⁺ diffusion and further rate capability of the system.

Despite these challenges, high theoretical energy density of Li-CF_x chemistry encourages further investigations in order to (i) tackle kinetic limitations at both ionic and electronic conductivity as the governing parameters for enabling high energy density low-temperature primary battery and (ii) evaluate the possibility of the rechargeability in this high energy density system with earth abundant and light weight elements. At ultra-low temperatures, the decrease of electronic conductivity and sluggish solid-state diffusion of Li⁺ between the fluorinated graphite layers will rapidly exacerbate, which restricts the kinetics property at the cathode side.⁸ Moreover, it is known that the fluorination of CF_x further hampers the electronic conductivity at all temperature ranges due to the covalent C-F bond.

In chapter two, a multiscale investigation on the CF_x discharge mechanism was performed using a novel cathode structure to minimize the carbon and fluorine additives for precise cathode characterizations. Titration gas chromatography (TGC), X-ray diffraction (XRD), Raman spectroscopy, X-ray photoelectron spectroscopy (XPS), scanning electron microscopy (SEM), dilatometry measurement, cross-sectional focused ion beam (FIB), high-resolution transmission electron microscopy (HRTEM), and scanning transmission electron microscopy with electron energy loss spectroscopy (STEM-EELS) were utilized to investigate this system. This multiscale discharge mechanism study plays an important role in future experimental and computational works toward designing a higher power density cathode and help pave the path for developing a rechargeable Li-CF_x battery.

In chapter three, the possible rechargeability of the CF_x as a high energy density cathode material was investigated due to the low-cost abundant elements carbon and fluorine with the high energy density of active material CF_x . The rechargeability of this system depends on reversibility of the discharge reaction. Thus, there are two main parts in this study: (i) decomposition or limited formation of LiF as the main discharge product; (ii) re-fluorination of carbon for the subsequent cycles. The findings from discharge mechanism investigation encourage us to take a closer look into the rechargeable Li-FeF₃ system with similar nano-size LiF as the main discharge product. The possible interaction of FeF₃ with CF_x is investigated to evaluate the possible rechargeability of the Li- CF_x primary battery with the high energy density.

Despite all the advantages of the Li-CF_x battery system, there are kinetic limitations associated with the poor electrical conductivity and strong covalent C-F bonds results in slow cell reaction kinetics which further inhibits the utilization of lithium CF_x system in low temperature applications and high-power devices. To address this, in chapter four, a sustainable and recyclable liquid-phase exfoliation method was developed to convert pristine CF_x powder to nanoparticles with higher active surface area and lower thickness. The uniformity and size distribution of particles were measured using SEM, AFM and DLS. The chemical and structural stability of the

obtained powder was also evaluated by XPS, Raman spectroscopy, and XRD. The exfoliated powder has shown enhanced physical properties that boost the electrochemical performance of Li- CF_x battery at ultra-low temperature (-60°C) and higher rate capability due to the improved lithium-ion diffusion.

These works deepen the understanding of CF_x as a high energy density cathode material and highlight the need for future investigations on primary battery materials to advance performance and further consider their rechargeability.

1.2. Sodium-ion Batteries; Alternative and/or Complementary Technology

Lithium-containing resources, key components in most of the current energy storage systems, are centered in three main countries: Bolivia, Chile, and Argentina.^{9–11} Such localized distribution of Li (Figure 1.2) accounts for more than 80% of the global reserves¹² and creates an imbalance in supply and demand for growing large-scale applications. Prior to 2010, the cost of lithium metal accounted only for a very small fraction (< 2%) of total cost of LIBs. Emerging new technologies, such as electric vehicles and exponential production rise in small electronics, has disrupted the lithium metal market and led to a continuous increase on the market price of lithium metal.

For instance, the price of Li₂CO₃, a well-known extraction resource for lithium metal, has tripled over the past 10 years.¹³ The high demand of Li resources and its increasing cost have triggered the exploration of alternatives or complementarities to Li-based technologies.¹⁴



Figure 1-2. Global distribution of current lithium resources (2019).^{9,15}

Among different candidates, sodium-ion batteries (NIBs) metal is a great candidate for future energy grid storage due to high earth abundance of sodium (sixth most abundant element in the Earth's crust, ~2.6%), low cost per energy density, and sustainability. This alkali metal possesses a high theoretical specific capacity (1166 mAh/g), low reduction potential (-2.73 V vs. standard hydrogen electrode (SHE) compared to -3.02V vs. SHE for Li⁺/Li), and small ionic radius ($1.02 \circ A$).¹⁶ These features further highlight this metal as a promising alternative/complementary candidate to lithium metal. However, the differences in the chemical and electrochemical properties of sodium compared to lithium, as listed in Table 1.2, open up new challenges to explore this system.

Physical Properties					Mechanical Properties		Electrochemical Properties			
	Atomic Weight (g/mol)	Atomic Radius (A)	lonic Radius (A)	Molar Volume (cm³/mol)	Melting Point (K)	Heat of Vaporization (kJ/mol)	Bulk Modulus (GPa)	Brinell Hardness (MPa)	Voltage vs. SHE (V)	1 st ionization energy (kJ/mol)
Na	23	1.86	1.02	23.75	371	97	6.3	0.69	-2.7	495.8
Li	6.9	1.52	0.76	12.97	454	136	11	5	-3.0	520.2

Table 1-2. The physical, mechanical, and electrochemical properties of sodium in comparison with lithium. The data are acquired from the references.^{16,17}

Despite the growing research in this field, the implementation of this technology has been practically hindered due to a lack of high energy density cathode materials and stable anode materials with a long cycle-life. It is also vital to consider that liquid electrolyte as the unique component with direct physical contact to all cell components requires to be particularly taken into account in the investigation of anode or cathode, separately.¹⁸ The high chemical and electrochemical reactivity of sodium leads to occurrence of side reaction with a fast electrolyte decomposition and further the formation of the irreversible solid electrolyte interphase (SEI) layer.

1.2.1. Positive Cathode Electrode

The perspective sodium cathode electrodes for NIBs are investigated in main categories of layered oxides, Prussian blue analogs (PBAs), polyanions, and organic compounds. Between these, the first three types of cathode materials are leading candidates for cathode materials in NIB technology. Theoretical specific and volumetric capacities, operational voltage, ionic diffusivity, chemical and structural stability, cost-efficiency, and easy processibility are among the key parameters to evaluate a suitable cathode material. A schematic of three main types of sodium cathode structures is shown in Figure 1.3.



Figure 1-3. The three main types of cathode materials for SIBs; oxide, PBA, and polyanion. A representative crystal structure of oxide, PBA, and polyanion as the three main types of cathode materials for sodium-ion batteries. The general formula for each type of material is listed below the schematic. M is the representative of the transition metal (TM).

In chapter five, an overview of the milestones in the development of NIB systems over time is provided. Next, critical metrics in extraction of key elements used in NIB cathode materials which may impact the supply chain in near future has been discussed. Finally, in the quest of most promising cathode materials for the next generation of NIBs, an extensive perspective on the main findings in design and test of more than 295 reports in the past 10 years is overlaid, exhibiting that layered oxides, PBAs, and polyanions are leading candidates for cathode materials.

Based on this, an in-depth comparison of energy density and capacity retention of all the currently available cathode materials is provided.
1.2.2. Negative Anode Electrode

Despite advances in cathode materials for sodium-ion systems^{19–21}, development of a stable anode and electrolyte is still the key limiting factor in large-scale utilization of this battery technology.^{17,22,23} Unlike LIBs, graphite cannot be used as anode material for SIBs due to its incapability to intercalate Na⁺ into the structure.^{24,25} Only Na⁺ in the solvated state with diglyme (bis(2- methoxyethyl) ether) can be co-intercalated into graphite; however, the capacity of the reaction is too low that it can hardly find any practical applications.^{26,27}

Hard carbon was introduced as a carbon-based negative electrode alternative to graphite; however, hard carbon is not an impeccable anode for SIBs due to several drawbacks: (i) relatively low specific capacity (~ 300 mAh·g⁻¹), (ii) high irreversible capacity loss due to Na trapping in the first sodiation, and (iii) poor understanding of the sodiation mechanism.^{28,29}

Besides hard carbon, non-carbonaceous anodes for NIBs were also developed in the last few years.³⁰ Depending on the reaction mechanism with Na⁺, they can be classified as conversion (metal oxides^{31,32}, sulfides^{33,34}, and selenides^{35,36}), alloying (tin (Sn)^{37–39}, bismuth (Bi)⁴⁰, phosphorus (P)^{41,42}, and antimony (Sb)^{43,44}), or insertion materials (titanium-based oxides^{45–47}, transition metal chalcogenides^{48,49}, and MXenes⁵⁰). Among them, Sn and Sb have shown the greatest promises^{51–55} due to their high theoretical capacities (with a theoretical gravimetric capacity of 847 mAh·g⁻¹ for Sn and 660 mAh·g⁻¹ for Sb)^{56,57}, good electrical conductivity (8.7×10⁶ S·m⁻¹ for Sn and 2.55×10⁶ S·m⁻¹ for Sb at 20°C), low reaction potentials vs. Na⁺/Na (0.2–0.4V for Sn and 0.4–0.8V for Sb)⁵⁷, and less safety concerns associated with them.

However, both Sn and Sb suffer significant volume expansions during sodiation process (~ 420% for Sn and ~ 390% for Sb)^{40,58}, which might lead to contact loss and substantial irreversible capacities upon long-term cycling. Furthermore, the high price and the low abundance in the

earth's crust of these two elements (0.00023% for Sn and 0.00002% for Sb)⁵⁹ have prevented their wide implementation in NIBs. A summary of main anode categories, timeline and materials development is presented in Figure 1.4.^{58,60}



Figure 1-4. (a) A brief timeline of the development of anodes for NIBs. (b) A schematic of main anode categories. (c) Research progress on anodes in NIBs. The figures are adapted from the references.^{58,60}

Among a wide range of possible anode materials for NIBs, sodium metal is the ultimate one thanks to its high theoretical specific capacity (1166 mAh·g⁻¹) and low reduction potential (-2.73 V vs. SHE) (Table 1.2).¹⁶ Nonetheless, there exist several challenges hindering the large-scale utilization of sodium metal as a practical anode, mainly associated to its low melting point and high reactivity. Consequently, a considerable amount of electrolyte is consumed in the first cycle, leading to a significant SEI formation process as well as low initial columbic efficiency (ICE) and poor cyclability.^{61–63} In chapter six, the effect of pressure on morphology, electrochemical performance, as well as the mechanism of capacity loss of Na metal anode in ether- and carbonate-based electrolytes is comprehensively investigated. For the first time, the sodium capacity usage and loss in the first cycle at different applied pressures was evaluated by titration gas chromatography. Interestingly, the obtained results indicate that SEI formation is main cause of capacity loss for Na metal anode; nonetheless, this phenomenon can be mitigated by applying a suitable pressure on the cell. Through XPS, cryogenic TEM, and EELS, a dense and uniform SEI layer with a dominant presence of organic species on the surface and inorganic species underneath is detected in etherbased electrolyte. On the other hand, the SEI layer in carbonate-based electrolytes is rather thick with a fluffy structure consisting of organic carbonyl and carboxyl species.

Finally, a long-term cycling of Na||NaCrO₂ cell possessing a controlled amount Na metal is demonstrated with ether-based electrolytes. The cells exhibit fast charging at 20C rate and excellent performance even at elevated temperatures (40°C). The findings in this study may pave the way in the development of high energy NIBs using Na metal anode and trigger further investigations in this field.

2. CHAPTER 2 – DISCHARGE MECHANISM STUDY OF LI-CF_X

2.1. Introduction

Fluorinated graphite (CF_x) is a class of cathode materials with the highest theoretical specific energy (>2100 Wh kg⁻¹ with theoretical specific capacity of 865 mAh g⁻¹ in case of x=1) for lithium primary (non-rechargeable) batteries.^{1,2} When using Li metal as the anode material, the primary battery exhibits a low self-discharge rate (<0.5% per year at 25°C) compared to current alternative chemistries.^{1–3,64} This system, with the proposed governing reaction of CF_x + Li \rightarrow LiF + C, is one of the leading candidates for a variety of applications where high energy density is required and recharging of the battery is not feasible, e.g. implantable medical devices, military and space applications or other extreme environments.⁵ CF_x is a non-stoichiometric compound with 0.5 < x < 1.3, exhibiting a low electrical conductivity due to the nature of covalent C-F bonds.^{1,65} The F/C ratio (x) is shown to be dependent on the synthesis process and structural properties of precursor carbon material (such as coke, graphite, fiber).⁶⁵ Ideally, CF_x has a layered structure in which each carbon atom is bonded to three other carbon atoms and one fluorine atom, minimizing the total energy of the structure.^{66,67}

The study on possible cathode materials based on fluorides, chlorides, sulfides, etc., initiated in the 1960s, led to the introduction of CF_x as one of the most promising cathode materials in systems based on a lithium anode.^{68,69} The first CF_x reaction mechanism was proposed by Watanabe et al.^{69–71} with the following steps:

Anode reaction: $nLi \rightarrow nLi^+ + ne^-$ [Eq. 2.1]

Cathode reaction: $CF_n + ne^- \rightarrow C + nF^-$ [Eq. 2.2]

Overall cell reaction: $nLi + CF_n \rightarrow nLiF + C$ [Eq. 2.3]

In these studies, it has been suggested that poly-carbon monofluoride was converted into amorphous carbon while crystalline lithium fluoride (LiF) was formed in the cathode structure during the discharge process. Soon after these studies, in 1975, Whittingham⁷² suggested an alternative hypothesis for the overall reaction mechanism as shown below:

$$\operatorname{Li} + \frac{1}{nx}(\operatorname{CF})_{n} \to \frac{1}{x}(\operatorname{CLi}_{x}F) \to \operatorname{C} + \operatorname{Li}F$$
[Eq. 2.4]

The presence of a non-stoichiometric graphite intercalation compound (GIC) intermediate was proposed to explain the discrepancy between the practical open-circuit voltage (~2.8 V) from its theoretical value (4.0 V). Through this reaction, an intermediate ternary non-stoichiometric phase as a compound of CLi_xF with x < 1 was initially suggested, which then disproportionated to form lithium fluoride and graphite. Later, Watanabe et al. investigated the thermodynamic characteristics of CF_x by experimental measurements of entropy and enthalpy, and further confirmed the different values with theoretical calculations.^{73–75} They also studied the Gibbs free energies of lithium-ion (Li⁺) solvation in different solvents,⁷⁶ demonstrating that the open-circuit voltage and the voltage plateau increase with higher solvation energies of Li⁺. Based on these electrochemical results, it has been suggested that the solvent molecules affect the cell reaction and performance of the Li-CF_x cell. Later in the early 1980s, Watanabe et al.^{74,76} proposed the following reaction pathway with "S" representative of solvent:

Anode reaction:
$$\text{Li} + z\text{S} \rightarrow \text{Li}^+ \cdot z\text{S} + e^-$$
 [Eq. 2.5]

Cathode reaction:
$$C_xF + Li^+ \cdot zS + e^- \rightarrow C_x - F^- - Li^+ \cdot zS$$
 [Eq. 2.6]

Overall cell reaction:
$$C_xF + Li + zS \rightarrow C_x(Li^+ \cdot zS)F^- \rightarrow xC + LiF + zS$$
 [Eq. 2.7]

The above mechanism is based on the insertion of the solvated Li^+ into CF_x layers to form $C_x(Li^+ \cdot zS)F^-$ (GIC formation), and later, this compound decomposed to carbon, LiF, and solvent, with the solvated discharge product controlling the cathode potential.

This mechanism was generally accepted until 2009 when Read et al.⁷⁷ proposed a complementary idea to address the voltage delay in the initial discharge process named as a coreshell model. The authors suggested that the discharge reaction occurs between the CF_x and GIC intermediate phases through a shrinking core model with CF_x as core and a product shell consisting of GIC intermediate, carbon, and lithium fluoride. Most recently, Leung et al.⁶⁷ suggested that such solvent-coordinated Li⁺ complex undergoes an edge-propagation mechanism rather than a bulk-phase reaction pathway. Using density functional theory (DFT) calculations, they demonstrated this discharge mechanism based on lithium insertion at the zigzag edge boundary of the CF_x structure and showed an operating voltage range of 2.5-2.9 V that varied based on electrolyte solvent. This theoretical voltage range was further confirmed by experimental investigations using both solid and liquid state electrolytes in Li-CF_x systems.^{78,79} Figure 2.1 shows the evolution of the proposed reaction mechanism for Li-CF_x batteries over the years.

Most published studies have mainly relied on the discharge voltage profile and OCV recovery of the Li-CF_x system as the performance criteria with minimal surface and bulk characterizations on the cathode structure or determination of the discharge mechanism due to the sample preparation and air sensitivity of discharge products. Therefore, despite the progress made on this class of cathode material, there is still a lack of understanding of discharge mechanisms and product types.



Figure 2-1. The evolution of the proposed reaction mechanism for Li-CF_x batteries through the years.

To fully understand the discharge mechanisms in Li-CF_x batteries, the following points need to be thoroughly investigated: (i) the possibility of metallic lithium deposition or intercalation during the discharge reaction, (ii) the type and crystalline structure of the carbon species formed during discharge reaction, and (iii) the size and distribution of formed LiF particles. To provide insights on these main points, we took the following steps to investigate reaction mechanisms in primary lithium systems based on CF_x cathodes in this study. First, a controlled sample preparation method was developed to minimize air exposure given the high reactivity of lithium, lithium compounds, and electrolytes with oxygen and moisture. Second, a cryogenic FIB and STEM setup was utilized to study the size and distribution of discharge products. Using cryogenic electron microscopy enabled us to carefully study the discharge products and avoid possible beam damage to the beam sensitive products as shown by previous studies.⁸⁰ Additionally, we designed a novel CF_x cathode electrode with the no extra conductive agent and a non-fluorine-containing binder to investigate the Li-CF_x reaction mechanism precisely. This novel cathode structure, in addition to our custom characterization setups, enabled us to perform a wide range of bulk [Titration gas

chromatography (TGC), X-ray diffraction (XRD), Raman spectroscopy, and cryogenic focused ion beam scanning electron microscopy (FIB-SEM) imaging] and surface-sensitive characterizations [X-ray photoelectron spectroscopy (XPS), cryogenic scanning transmission electron microscopy with electron energy loss spectroscopy (Cryo-(S)TEM-EELS)] at different depths of discharge to gain a fuller picture of the Li-CF_x discharge mechanism.

2.2. Material and Methods

Electrochemical Tests: All the electrochemical performances were tested using CR2032 coin cells. The cathode electrodes consisted of pristine CF_x powder (ACS Materials, F/C ratio-1.05) as the active material and carboxymethyl cellulose (CMC) (Sigma-Aldrich) as the F-free binder with the ratio of 98:2 wt.% coated on aluminum mesh (MTI). 50 μ L of 0.5 M Lithium bis(trifluoromethanesulfonyl)imide (LiTFSI) in propylene carbonate (PC): dimethoxyethane (DME) (1:4, v:v) electrolyte was used with pure Li chip (1 mm thickness) as the anode. This modified cathode structure is used for all characterization techniques unless otherwise noted. The current rate was 10 mA/g with cathode loading of ~ 3.5 ± 0.5 mg cm⁻². 1.5 V was used as the cut-off voltage with an 8 hr rest at the beginning of the program. Detailed summary information of the coin-cell testing specifications is presented in Table S1, Section 1 in the Supplementary Information (SI) file.

X-ray Diffraction (XRD): The XRD measurements were done by a Bruker APEX II Ultra diffractometer with Mo K α ($\lambda = 0.71073$ Å) radiations to check the crystal structures. The diffraction images gathered by the 2D detector within an angular range of 4° to 40° were merged and integrated with DIFFRAC.EVA (Bruker, 2018) to produce 2d-plots. The samples were prepared by scratching the cathode electrode and filling the capillary tubes inside an Ar-filled

glovebox with < 0.1 ppm H₂O level. The cathode samples were not washed before these measurements.

Scanning Electron Microscopy (SEM): A FEI Apreo scanning electron microscope was used for cross-sectional imaging on the cathode electrodes. The standard mode with ETD detector using 0.1 nA and 2 kV was utilized in these imaging tests. The samples were not washed before these tests and were prepared inside an Ar-filled glovebox with < 0.1 ppm H₂O level.

Raman Spectroscopy: Raman spectroscopy was performed using Renishaw inVia Raman Microscope. The samples were sealed between two thin transparent glass slides inside an Ar-filled glovebox with < 0.1 ppm H₂O level. The measurements were run using a 532 nm laser source, 1800 l/mm grating, and x20 magnification.

X-ray Photoelectron Spectroscopy (XPS): XPS was performed using an AXIS Supra by Kratos Analytica. XPS electrode samples were disassembled and prepared inside an Ar-filled glovebox with < 0.1 ppm H₂O level. Unwashed samples were directly dried under vacuum before measurements. The washed samples were rinsed with PC solvent before this procedure. The XPS was operated using an Al anode source at 15 kV, scanning with a step size of 0.1 eV and 200 ms dwell time. Fits of the XPS spectra were performed with CasaXPS software to identify the chemical composition on the surface of the electrodes.

Dilatometry Measurement: An EL-CELL electrochemical dilatometer ECD-3-nano was used for measuring height changes during the discharge of a CF_x cathode. The instrument has a < 5 nm resolution with a total range of 250 μ m, within the maximum cathode thickness and height changes. Measurements were made with a three-electrode configuration. The CF_x cathode [CF_x: Carbon C65: PVDF (80:10:10) wt.%] was punched into a 10 mm diameter disk and assembled into the dilatometer. A 12 mm diameter Lithium metal disk (750 μ m thick) was used as an anode

and a separate piece of lithium was connected to serve as the reference. Approximately 500 μ l of 0.5 M LiTFSI in PC: DME (1:4, v:v) was used to fill the dilatometer. Assembly and filling of the dilatometer were undertaken within an Ar filled mBraun glovebox with < 0.1 ppm O₂ and H₂O. After a 20 h rest the cathode was discharged at 10 mA/g until the 1.5 V cut-off was reached with height displacements measured every 30 s throughout this period.

Titration Gas Chromatography (TGC): TGC was performed using a Shimadzu GC instrument equipped with a BID detector and using an ultra-high purity Helium (99.999%) as the carrier gas. The samples were prepared in an Ar-filled glovebox with less than 0.1 ppm moisture level. Each sample was immediately transferred to a glass flask after disassembling and sealed using a septum under Ar. A 0.5 mL of ethanol was injected into the container to fully react with metallic lithium. After reaction completion, a 30 μ L gas sample was taken from the container using a gastight Hamilton syringe and immediately injected into the GC. The amount of metallic lithium was quantified based on the amount of detected H₂ gas by the GC.

Cryogenic Focused Ion Beam (Cryo-FIB): The FIB-SEM was conducted on the FEI Scios Dual-beam microscopy; the discharged cells were disassembled in the Ar-filled glovebox after cycling. The samples were transferred to the FIB chamber via quick loader without any exposure to air. The electron beam operating voltage was 5 kV, and the stage was cooled with liquid nitrogen to -180 $^{\circ}$ C or below. Sample cross-sections were exposed using a 1 nA ion beam current and cleaned at 0.1 nA.

Scanning Transmission Electron Microscopy – Electron Energy Loss Spectroscopy (STEM-EELS): The lift-out sample from the FIB chamber was transferred to an Ar-filled glovebox using an air-free quick loader (FEI). The lamella was mounted to a vacuum cooling holder (Melbuild) to eliminate air exposure and transferred to the TEM column directly.

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HRTEM/STEM/EELS results for discharged samples were obtained on ThermoFisher Talos X200 equipped with a Gatan Oneview camera operated at 200 kV and UltraFast DualEELS Spectrum Imaging detector. The image was acquired with minimum beam damage at spot size 6 with a dose rate of 200 electrons/Å²*s. The EELS spectrum and mapping were collected with an exposure time of 0.02 s, and the dispersion energy was 0.25 eV/channel.

2.3. Results and Discussion

One of the principal challenges in studying the discharge mechanism in Li-CF_x systems is the presence of carbon and fluorine as dominant elements in various cathode components, e.g., active material, conductive agent, and binder, given the similar and lightweight nature of these elements. To address this, we developed a novel cathode structure with 98 wt.% CF_x active material and 2 wt.% CMC binder coated on an aluminum mesh. The removal of the carbon conductive additive and fluorine from common binders, such as PVDF or PTFE, minimizes the interference in characterizing the existing fluorine and carbon elements in the CF_x structure and discharge products.



Figure 2-2. Representative electrochemical performance of the modified cathode structure. Li chip as the anode and 50 μ L PC : DME (1:4, v:v) with 0.5 M LiTFSI as the electrolyte was used under 10 mA/g applied current density. The cathode loadings are 2.93-3.12 mg/cm².

The aluminum mesh acts as a conductive network and current collector in the cathode electrode. The electrochemical performance of modified cathode structure compared to a more conventional cathode structure is presented in Figure 2.2. More details on this cathode and the coin-cell specifications used in sample preparations are provided in Table 2.1.

Coin Cell Specifications	
Cell type	CR2032
Cathode active material	$CF_x (x=1.05) - 98 \text{ wt.\%}$
Binder	CMC – 2 wt.%
Cathode Current Collestor	Aluminum mesh (MTI)
Counter electrode	Li metal chip (thickness: 1mm, diameter: 15.4 mm)
Separator	Celgard 2325
Electrolyte	0.5 M LiTFSI in PC:DME (1:4, v:v)
Electrolyte amount	50 µL
Coin cell setup	0.5 mm thick spacer and one spring at the anode side
Voltage cut-off	1.5 V
Active material loading	$2.84-3.92 \text{ mg/cm}^2$
Applied current density	10 mA/g

Table 2-1. Coin cell testing specifications used in sample preparation for the characterizations.

2.3.1. Evaluating possible metallic/intercalated Lithium after discharge

Our group has recently demonstrated the TGC technique as an effective method to quantify metallic/intercalated lithium.⁸¹ Given that the reaction of Li^0 (metallic) or Li_xC_6 (intercalated) with ethanol solvent (eq. 2.8-2.9) leads to H₂ gas evolution, we can apply the TGC technique to evaluate possible metallic or intercalated lithium in a discharged CF_x cathode.

$$2 \text{Li} + 2 \text{C}_2\text{H}_5\text{OH} \rightarrow 2 \text{C}_2\text{H}_5\text{OLi} + \text{H}_2$$
 [Eq. 2.8]

$$2 \operatorname{Li}_{x}C_{6} + 2x \operatorname{C}_{2}H_{5}OH \rightarrow 2 \operatorname{C}_{6} (\text{graphite}) + 2x \operatorname{C}_{2}H_{5}OLi + x \operatorname{H}_{2}$$
[Eq. 2.9]



Figure 2-3. Titration Gas Chromatography (TGC) Study on Li-CF_x system. (a) The electrochemical performance of the Li-CF_x cells using PC: DME (1:4, v:v) with 0.5 M LiTFSI as the electrolyte under 10 mA/g applied current density.; (b) Detected H₂ gas for pristine and fully discharged cathodes using water and ethanol solvents.

TGC was performed on the pristine and fully discharged cathodes to reveal the possible presence of the trapped unreacted metallic lithium or intercalated lithium (LiC₆) in the cathode structure. It should be noted that a copper foil current collector was used to replace aluminum in the case of using water to avoid the possible H_2 formation by presence of the aluminum in the system. It is shown that the LiF in the system can react with water to produce H^+ and F^- ions which

further can react with the aluminum to generate hydrogen gas.⁸² We also used ethanol as the solvent to further check the lithium deposition or intercalation using an aluminum current collector. TGC results for both water and ethanol solvents are shown in Figure 2.3. The absence of detected H_2 above the background levels indicates no metallic or intercalated lithium in the system.

We further evaluated this observation using galvanostatic cycling of Li-CF_x at a lower discharge voltage range (down to 0.001V) and attempted recharge to understand the possible voltage plateaus for lithium (de)intercalation into graphitic layers.^{83,84} The cell was assembled using Li chip as the anode and 50 μ L 0.5 M LiTFSI in PC: DME (1:4, v:v) as the electrolyte. The current rate was 10 mA/g with cathode loading of 3.65 mg/cm². The electrochemical performance of the Li-CF_x cell is presented in Figure 2.4.



Figure 2-4. The electrochemical performance of the Li-CFx battery discharged to 0.001 V. The first discharge and the following charge profiles are presented.

The voltage profile of the system during the charging process started at and continued with a steep curve, with no plateau observed at low voltages. This confirms the absence of any lithium deposition or intercalation, and the resulting charging is likely purely capacitive in nature. Interestingly, the electrochemical performance of the Li-CF_x during discharge and consequently charge show that the CF_x structure acts similar to the hard carbon structure reported in the literature.⁸⁵ This suggests the transformation of CF_x structure to hard-carbon type structure at discharge state to 1.5 V.

2.3.2. Investigating the type and crystalline structure of carbon

To investigate the type and crystalline structure of the formed carbon species, we evaluated the formation of the products through various depths of discharge (DoD) using XRD and Raman spectroscopy. Figure 2.5 presents XRD results from pristine to discharged (to 1.5 V) samples.

Our results confirm crystalline LiF (Fm-3m) as the main product at the beginning of the discharge, in line with the previous reports.^{86,87} An important point that was not considered in previous reports^{87–90} is the overlap of the crystalline LiF (Fm-3m) peak with the dominant form of Al (Fm-3m). To address this here, the XRD measurement was directly performed on the cathode powder without aluminum mesh. CF_x peaks at 7° and 18° were observed in the pristine sample with no evident change up to 10% DoD. The presence of CF_x in the early stages of discharge when LiF begins to form can imply the possibility of starting the reaction from the edges and surface of the CF_x particles, preserving the overall bulk CF_x structure.



Figure 2-5. (a) The electrochemical performance of Li-CF_x system; (b) Ex-situ XRD results on CF_x cathode at different DoD; The zoomed-in range showing (c) the disappearance of CF_x phase after 40% DoD.; (d) the graphitic peak remains without change; (e) the presence of LiF as the only discharge product and the disappearance of CF_x phase after 40% DoD.

This observation is consistent with previous computational work by Leung et al. proposing the edge-propagation mechanism in Li-CF_x system.⁶⁷ CF_x peaks started to disappear after 40% DoD, while the presence of a graphitic peak at around 9° remained through discharged to 1.5 V state. This result confirmed the presence of both the graphitic and amorphous characteristics of CF_x materials and is in good agreement with previous computational work by Goddard et al.⁶⁶ It should also be noted that no intermediate phases were detected in our ex-situ XRD measurement, which is consistent with the previous reports.^{87,89}

Raman spectroscopy was also performed using the modified cathode structure at different depths of discharge to better understand the nature of carbon species in the system. The data for

Raman spectroscopy on the cathodes [CF_x: CMC (98:2 wt.%)] through depths of discharge is presented in Figure 2.6.



Figure 2-6. Raman spectra on the cathodes [CFx: CMC (98:2 wt.%)] through depths of discharge.

The G-band (~1580 cm⁻¹) is the characteristic peak of graphitic carbon representative of the stretching mode of C-C sp² species^{91,92}, while the D-band (~1350 cm⁻¹) is linked to breathing modes of carbon rings and requires defects in the carbon structure to materialize.^{91,92} The ratio of the D-band to G-band peaks (I_D/I_G) has been previously used to quantify the extent of disordering as well as sp² content in CF_x structures.^{91,93} A smaller I_D/I_G ratio is attributed to a higher degree of sp² in the structure^{91,93} and also lower disordering and defects in graphitic layers.^{92,94}

In our measurements, the I_D/I_G began around 0.55 and increased to 1.1 through 20% DoD, and then decreased through 20-40% DoD back to about 0.6. Again, after 40% DoD, this ratio increased with a lower ratio to around 0.9. The overall increasing trend is not severe.⁹²



Figure 2-7. Raman spectroscopy on CF_x cathodes $[CF_x:CMC (98:2 wt.\%)]$. (a) The electrochemical performance of the Li-CF_x system through different depths of discharge. (b) The ratio of D-band over G-band (I_D/I_G), (c) The G-peak position (cm⁻¹), and (d) The G-peak bandwidth (cm⁻¹) are shown through depths of discharge.

Furthermore, the absence of doublets on G peaks suggests no staging process of lithium intercalation into the carbon structure.^{95–97} This observation complements our results from TGC and the electrochemical performance measurements. Previously, Ferrari et al. observed a reversed correlation between I_D/I_G ratio and G-peak position based on experimental results.^{91,98} G-peak

position is susceptible to lattice parameter changes, possibly caused by chemical structure transformation during discharge.^{96,97}

We observed that the I_D/I_G initially increased through 20% DoD due to the lithium-ion insertion into the CF_x layers and the consequent expansion. Together, the G-peak position initially shifted to lower wavenumbers through 20% DoD due to the CF_x reacting with lithium to form the C-C bonds with lower energy. This phenomenon can also be further observed in the narrowing of G-peak in this region, as shown in Figure 2.7d. The G-peak position then blue-shifted up to 40% DoD. This shift can be attributed to the increase of the force constants of the in-plane C-C bonds by solvent insertion into CF_x structure and the continued formation of LiF, which can also be seen in the widening of G-peak in this region.⁹⁷ Later, this shift moved to lower wavenumbers through the discharge to 1.5 V, indicating continuation of Li-ion reaction with CF_x throughout the layers leading to the accumulation of LiF and lower energy C-C bonds.

A combination of XRD, Raman spectroscopy, and electrochemical performance provides a comprehensive understanding of the carbon species formed during discharge reactions. Overall, the increasing trend in I_D/I_G and the decreasing trend in the G-peak position show a lower sp² content of carbon in the discharged cathode than the pristine cathode.

2.3.3. Inspecting the size and distribution of LiF

To understand the surface chemistry of the CF_x cathode throughout the discharge reaction, we performed XPS on carbon (C), fluorine (F), lithium (Li), and oxygen (O) core levels. The survey spectra presented in Figure 2.8 show no other elements in the system, indicating no contamination or impurities in the samples. It should be noted that the sodium (Na) bonds observed in the spectra are from the carboxymethyl cellulose (CMC) binder [cathode CF_x : CMC (98:2 wt.%)].



Figure 2-8. X-ray photoelectron spectroscopy (XPS) spectra on CFx cathodes at different depths of discharge (data presented in Figure 2.9) in the binding energy range of 1200-5 eV.

The XPS results at different depths of discharge for the main four regions are shown in Figure 2.9. The pristine sample shows C-F bonding in both C and F regions with no signal in the Li region. We observed a decrease in C-F and an increase in C-C/C-H components as CF_x decomposed through depths of discharge. The ratio of C-F/C-C components decreased as the cell

progressed to deeper discharge stages. Consistent with XRD data, the intensity of C-F spectra from XPS significantly decreased after 30% DoD and C-C remained through discharge to the 1.5 V state that corresponds with the formation of carbon products. Meanwhile, we observed the formation of LiF and cathode electrolyte interphase (CEI) components. XPS results show that CEI consists of C-O, C=O, R-CO-Li, and R-COO species that mainly form in the range of 20% to 40% DoD.^{99,100}



Figure 2-9. X-ray photoelectron spectroscopy (XPS) on CF_x cathodes [CF_x :CMC (98:2 wt.%)] at different depths of discharge (DoD). The data is shown in different regions of (a) Li 1s; (b) F 1s; (c) C 1s; and (d) O 1s.

LiF formation shows a low intensity and a minimal growth through depths of discharge, suggesting a limited formation of LiF on the surface and the possibility of LiF formation between the CF_x layers. We also performed a control experiment on the discharged to 1.5 V sample with two types of washed and unwashed samples. Unwashed samples were directly dried under vacuum before measurements. The washed samples were rinsed with PC solvent before vacuum drying.

The results presented in Figure 2.10 demonstrated a minimal change in the amount of LiF on the surface of the washed and unwashed electrodes, further confirming the hypothesis that the LiF particles are limited on the electrode surface.



Figure 2-10. The XPS on the fully discharged CFx cathodes for washed and unwashed cases are shown in different regions of (a) Li 1s; (b) F 1s; (c) C 1s; and (d) O 1s. The results demonstrate a minimal change in the amount of LiF on washed and un-washed electrodes as well as a limited amount of LiF on the surface.

Previous studies reported LiF products of spherical, cubic, or random morphology with the sizes of 100-600 nm on the surface of the CF_x particles demonstrated by SEM.^{87,89,101–103} A critical point to consider here is the possibility of air exposure and formation of other products such as lithium oxide (Li₂O) and lithium carbonate (Li₂CO₃) with similar spherical or cubic shapes.^{104,105}

While overlooked in most previous studies, it is vital to implement chemical mapping on the cathode surface to distinguish the products formed during discharge reactions from those formed by air contamination.

Here, we performed cross-sectional FIB and SEM to check the size and morphology of LiF particles formed during the discharge reaction. SEM and cross-sectional FIB-SEM images along with elemental mappings for the pristine and discharged CF_x cathodes without air exposure are presented in Figure 2.11 and 2.12, respectively.



Figure 2-11. The SEM and EELS elemental mapping on the pristine cathode sample. (a) The SEM image of the pristine CF_x powder, and (b) The cross-sectional FIB-SEM image of the pristine CF_x powder. (c) The EELS elemental mapping in (d) Carbon (C) and (e) Fluorine (F) regions.



Figure 2-12. The cross-sectional focused ion beam SEM (FIB-SEM) and energy dispersive x-ray spectroscopy (EDS) mapping on the discharged cathode $[CF_{1.05}:CMC (98:2 wt\%)]$ sample. The cross-sectional FIB-SEM images in (a), (b), and (c). The cross-sectional SEM and EDS mapping in (e) Carbon (C), (f) Fluorine (F), and (g) Oxygen (O) regions.

The pristine CF_x powder shows a dense layered structure with nearly parallel layers. After discharge, no spherical or cubic LiF particles were observed in the cross-sectional images. However, the layered CF_x structure clearly changed to a more disordered structure. This change is

likely due to the –F removal from the surface and possibly the accumulation of LiF between the layers. Earlier studies suggested a possible volume expansion after discharge in other primary batteries.^{80,101}

To better understand this, we further evaluated the thickness change of the cathode electrode through various depths of discharge using cross-sectional imaging and operando dilatometry. The cross-sectional SEM images showed a volume expansion of the cathode electrode after discharge. The cross-sectional SEM images of the cathode electrode for the pristine and discharged cathodes are shown in Figure 2.13. About two times volume expansion was observed due to the LiF and CEI formations.



Figure 2-13. The cross-sectional scanning electron microscopy (SEM) images of the three cathode electrodes for (a) The pristine cathode; and (b) The fully discharged cathode [CFx: C65: PVDF (80:10:10 wt.%)]. The scale bars are 100 μ m. (c) The average of electrode thickness for pristine and fully discharged cathodes in three different sample.

Operando electrochemical dilatometry technique was utilized to evaluate the vertical dimensional changes in the CF_x cathode electrode during the discharge without cell disassembly.

The result is presented in Figure 2.14. This operando measurement demonstrates a change of CF_x electrode thickness from 33 µm to about 67 µm after discharge, corresponding to a ~203% increase in height during discharge.



Figure 2-14. (a) The operando dilatometry measurement results of a cathode $[CF_x: C65: PVDF (80:10:10) wt.\%]$. (b) The voltage profile and the first derivation of height change through depth of discharge. (c) The voltage profile and the second derivation of height change through depths of discharge.

Evaluating the 1st derivation of the thickness change shows two minima around 20% and 40% depths of discharge which indicate major changes of the reaction mechanism in these points.

While cross-sectional SEM and operando dilatometry provide useful insights on the cathode volume expansion due to products and CEI formation, it provides limited information on

the nature of the species responsible for this expansion. To thoroughly investigate this, we utilized the Cryo-(S)TEM-EELS on the discharged CF_x cathode. Cryogenic techniques minimize the possible impact on the morphology and chemical structure of the particles from the beam.¹⁰⁶ STEM-EELS was performed on pristine and discharged (1.5 V) electrodes. The EELS spectra of C K-edge, F K-edge, and Li K-edge are compared with reference samples in Figure 2.15a-c.



Figure 2-15. The EELS data on pristine and discharged cathode samples in (a) C K-edge region; (b) F K-edge region; and (c) Li K-edge region; (d) Cryo-HRTEM on the discharged sample (e) The FFT showing LiF. EELS elemental mapping on (f) the surface; and (g) the bulk of the sample in Li and C regions.

The C K-edge of the pristine electrode exhibits an amorphous carbon structure similar to the previously reported data in the literature.¹⁰⁷ Upon discharge to 1.5 V, the signal in C K-edge shows a combination of amorphous and graphitic structures. This observation for carbon is well aligned with our XRD data, where a broad graphitic peak (002) at 9° was observed through different depths of discharge. No lithium signal was detected in pristine cathode electrodes, while in the discharged samples, the newly formed LiF was identified in both F K-edge and Li K-edge regions in line with the characteristic peaks of the LiF reference sample. The EELS data also show

no metallic lithium on cathode electrodes, confirming our previous TGC, Raman spectroscopy, and electrochemical performance tests.

We performed EELS mapping to further elucidate the distribution and size of LiF particles on lamella samples prepared by the Cryo-FIB lift-out method previously developed in our group.¹⁰⁸ The EDS images are presented in Figure 2.16. The EDS data shows the full coverage of carbon and fluorine elements on the sample as well as no accumulation of fluorine in any specific location. It should be noted that there is no oxygen observed in high intensity on the sample. The lift-out sample from the FIB chamber was transferred to an Ar-filled glovebox using an air-free quick loader for cryo-STEM-EELS measurement.



Figure 2-16. STEM sample preparation using FIB TEM lift-out method. (a) The cross-sectional thinning on the fully discharged sample. (b) The cross-sectional FIB-SEM image of the sample; The EDS data after thinning the sample are shown for (c) oxygen element; (d) carbon element; and (e) fluorine element. The scale bars are 600 nm.

The fast Fourier transform (FFT) further confirms crystalline LiF as the reaction product. The distribution of LiF particles was investigated using inverse FFT analysis, which shows a particle size of less than 10 nm. This observation was also verified by the EELS mapping conducted on the surface and bulk of the electrode. The EELS elemental mapping on the surface and the bulk of the sample in Li and C regions are presented in Figure 2.15, while F region is shown in Figure 2.17 in a well alignment with Li. In this study, we focused on the detection of the lithium as the representative of the LiF particles. The cryo-STEM EELS elemental mapping of fluorine (F) from

the same region of the surface and the bulk is shown in Figure S14. Note that the spatial resolution of fluorine is lower than that of lithium and carbon. It is because the fluorine K-edge is at a high energy loss region so that requires long time for the signal collection. It is shown in the literature that the discharge products are not stable under the electron beam.⁸⁰ To better protect the sample during the EELS mapping process, we increased the step size to reduce the electron per area, which will result to a 'blurry' image.



Figure 2-17. The electron energy loss spectroscopy (EELS) elemental mapping on the discharged cathode [CF1.05:CMC (98:2 wt%)] sample on (a) the surface of the sample, (b) the bulk of the sample in F region.

The EELS mappings show the enhanced signal for Li in random locations as a representative of LiF particles with sizes smaller than 10 nm. It is important to note that this is the first direct observation of LiF particle size and distribution on a CF_x electrode ever reported in the literature. The LiF particles were observed on the layers of formed carbon. We found no evidence of aggregation of LiF particles on the surface or outside of the carbon layers. This observation implies a limited movement of fluorine ions during the discharge while the Li ions were traveling through the layers.

2.4. Three-region discharge mechanism in Li-CF_x systems

Based on the findings presented here, we propose a three-region discharge mechanism in Li-CF_x systems: (I) beginning the discharge process up to 20% depth of discharge, the lithium ions start to react with CF_x and form LiF. The formation of LiF and the presence of the CF_x structure were observed in XRD and XPS results in this initial stage. XPS results also showed that the CEI starts to form in this region. The lithium-ion insertion and defluorination of the CF_x particles due to the LiF formation can be the main reason for the increase in I_D/I_G ratio and decrease in G-peak position in Raman spectroscopy results. (II) In the range of 20-40% depth of discharge, lithium ions continue to react with CF_x resulting in the transformation of CF_x structure to more carbon formation. These points were clearly shown by considerable reduction of CF_x peaks in XRD as well as reduction of C-F bond and increasing of C-C bond in XPS results in this region. Solvent insertion into CF_x structure is also observed in this region, with a decrease in I_D/I_G ratio and an increase in G-peak position and FWHM bandwidth in the Raman spectroscopy results. XPS results also showed that the CEI mainly grows in this region. (III) Above 40% depth of discharge to the discharged to 1.5 V state, LiF particles continue to form, but no change in the graphitic carbon was observed. There is about two times volume expansion in the cathode electrode through the discharge process shown by cross-sectional SEM images and operando dilatometry measurement. No metallic or intercalated lithium was observed at discharge to 1.5 V. Figure 6 summarizes the three-step model mentioned above.



Figure 6. The schematic of the Li-CF_x system mechanism through the depth of discharge.

2.5. Conclusions

In this work, a CF_x cathode electrode with minimal carbon and fluorine additives was developed for a precise study of the Li-CF_x discharge mechanism. We demonstrated that: (i) There is no lithium deposition or intercalation through the entire discharge. This was shown with TGC, Raman spectroscopy, STEM-EELS, and electrochemical performance tests. (ii) The CF_x structure transforms to a hard-carbon like structure with less sp² content by increasing depth of discharge, as shown by XRD, Raman spectroscopy, STEM-EELS, and the electrochemical performance tests. (iii) The crystalline LiF particles, detected by XRD and XPS, uniformly covered the layers of CF_x structure as shown by Cryo-(S)TEM-EELS, and the LiF has a size range of < 10 nm throughout the CF_x layers. A three-step discharge reaction mechanism is proposed in agreement with our electrochemical performances. This multiscale discharge mechanism study will play an important

role in future experimental and computational works toward designing a higher power density cathode and help pave the path for developing a rechargeable Li-CF_x battery.

2.6. Acknowledgements

Chapter 2, in full, is a reprint of the material as it appears in Advanced Energy Materials Journal and in partial in The Electrochemical Society Meeting Abstract. Baharak Sayahpour, Hayley Hirsh, Shuang Bai, Noah Schorr, Timothy Lambert, Matthew Mayer, Wurigumula Bao, Diyi Cheng, Minghao Zhang, Kevin Leung, Katharine Harrison, Weikang Li, Ying Shirley Meng "Revisiting Discharge Mechanism of CF_x as a High Energy Density Cathode Material for Lithium Primary Battery" 12.5 (2022) 2103196. Baharak Sayahpour, Shuang Bai, Diyi Cheng, Minghao Zhang, Weikang Li, Ying Shirley Meng "Elucidation of Discharge Mechanism in CF_x as a High Energy Density Cathode Material for Lithium Primary Battery" 241. No. 2. The Electrochemical Society, Inc., 2022. The dissertation author was the primary investigator and author of this paper.

3. CHAPTER 3 – RECHARGEABLE LITHIUM BATTERY BASED ON CF_X CATHODE MATERIAL

3.1. Introduction

The advancement of high performance, safe, reliable, and long shelf-life battery systems are vital for applications in extreme conditions, such as military rescue devices, space research missions, and implantable medical devices. Among different types of primary batteries (e.g. Li/I₂, Li/MnO₂, Li/Ag₂CrO₄, and Li/CuS), lithium fluorinated carbon (Li/CF_x) batteries are very promising for harsh and limited accessible applications mainly due to their high theoretical capacity (865 mAh/g in case of x=1 in CF_x), high energy density (>2100 Wh/kg), reliability, and low self-discharge rate (<0.5% per year at 25°C).¹

The following reaction is known to be the governing reaction in lithium fluorinated carbon (Li/CF_x) primary battery: $\text{CF}_x + \text{Li} \rightarrow \text{LiF} + \text{C}$. The reactant, CF_x , exhibits a low electrical conductivity due to the nature of covalent C-F bonds while the product, LiF, presents as a stable chemical with a strong ionic bond.

The goal of this study is to investigate the possible rechargeability of a high energy density lithium battery based on the CF_x cathode material, because of the encouragement from the lowcost abundant elements carbon and fluorine with the high energy density of active material CF_x . The challenging rechargeability of this system depends on reversibility of LiF as the main discharge product with a very strong bond that theoretically requires the high voltage of 6.1V to be decomposed.

According to our previous study of the Li-CF_x discharge mechanism^{109,110} results (i) Crystalline lithium fluoride particles uniformly distributed with <10 nm sizes into the CF_x layers, and (ii) carbon with lower sp² content similar to the hard-carbon structure are the products during discharge. (iii) There is also no metallic lithium deposition or intercalation during the discharge reaction.

These findings encourage us to consider the rechargeable Li-FeF₃ system with similar nano-size LiF as the main discharge product. The possible interaction of FeF₃ with CF_x is investigated to evaluate the possible rechargeability of the Li-CF_x primary battery with the high energy density.

3.2. Materials and Methods

Active materials: The commercial fluorinated carbon refer to CF_x (ACS Materials) was used through this study with x=1.05. The purity and F/C ratio of this powder were assessed using EDS, XPS and elemental analysis (CHN/S analysis). In these tests, the powder samples were dried under vacuum for 12-hr before each test. Nano-size iron fluoride (FeF₃) powder was received from Sandia National Laboratory.

Electrochemical Tests: All the electrochemical performances were tested using a CR2032 coin cell. The cathode electrodes consisted of the active material, carbon super C65 as the conductive agent, and polyvinylidene fluoride (PVDF) as the binder with the weight ratio of 75:15:10. In coin cells, 55µL of 0.5M LiTFSI in PC:DME (1:4) was used as the electrolyte with pure Li chip (1mm thickness) as the anode. The cathode loadings were in the range of 3.3-4.5 mg/cm². The cells were cycled in the voltage range of 1V to 4.2V with an 8-hr rest at the beginning of the program. The applied current density of 20 mA/g was used in electrochemical tests.

Scanning Electron Microscopy (SEM): A FEI Apreo microscope was used to characterize the particle size and morphology. The immersion mode with T1 detector using 0.1nA and 2kV was used to reduce the charge accumulation on the sample.

Raman Spectroscopy: Raman spectroscopy was performed using Renishaw inVia Raman Microscope. The measurements were run using a 532-nm laser source, 1800 l/mm grating, and x20 magnification.

X-ray Photoelectron Spectroscopy (XPS): XPS was performed using an AXIS Supra by Kratos Analytica. The XPS was operated using an Al anode source at 15 kV, scanning with a step size of 0.1 eV and 200 ms dwell time. Fits of the XPS spectra were performed with CasaXPS software to identify the chemical composition on the surface species.

X-ray Diffraction (XRD): The XRD measurements were done by a Bruker SMART Platinum diffractometer with Cu K α (1.54056Å) radiation to check the crystal structures. The diffraction images gathered by the 2D detector within an angular range of 4° to 80° were merged and integrated with DIFFRAC.EVA (Bruker, 2018) to produce 2d-plots. The samples were prepared by scratching the cathode electrode and filling the capillary tubes inside an Ar-filled glovebox with <0.1ppm H₂O level. The cathode samples were not washed before these measurements. The measurements were performed at Crystallography Facility at University of California, San Diego.

Brunauer-Emmett-Teller (BET): The BET tests were performed using Micromeritics ASAP2020 to measure the specific surface area. The samples were dried under vacuum at 90°C for 12-hr, and then degassed in the sample tubes for 4-hr at 95°C under high vacuum before sorption experiment. The results were processed using the software of ASAP 2020 V4.02.

3.3. Results and Discussions

3.3.1. Modification of Fluorinated Carbon Material using Liquid-Exfoliation Method

A scalable liquid-phase exfoliation method was developed to convert pristine CF_x powder to thin exfoliated CF_x powder. The liquid exfoliation technique is currently of growing interest for large scale synthesis of few-layer particles due to its flexibility, safety, and low-cost.¹¹¹ After the successful demonstration of this technique for graphene synthesis from graphite¹¹¹, various groups have attempted to produce a large quantity of different layered materials including transition metal dichalcogenides (TMDCs), MXenes, and other two dimensional materials, e.g. hBN and black phosphorous.^{112–114} Here, we utilized this technique to create few-layer CF_x with significantly higher surface area and fluorine active sites, smaller particle sizes, and better ionic diffusion.



Figure 3-1. A schematic of the liquid exfoliation method in four main steps.

This liquid-phase exfoliation technique consists of (i) sonication of pristine CF_x powder in a solvent under ultra-sonic power to (ii) get dispersed exfoliated particles. This process results in

a uniform and stable dispersion of exfoliated particles in the solution. Then, the obtained solution is (iii) centrifuged to extract the supernatant containing exfoliated CF_x powders, and (iv) dry them out as the final product. A schematic of the procedure is shown in Figure 3.1.



Figure 3-2. The morphology and size of exfoliated CF_x powder compared to pristine CF_x powder. SEM images of (a) pristine, and (b) exfoliated CF_x powders. (c-d) AFM measurement of the exfoliated CF_x powder.

The morphology and size distribution of particles were evaluated by scanning electron microscopy (SEM) for pristine and exfoliated CF_x powders (Figure 3.2(a-b)). The results show
that the particle thicknesses decreased significantly after exfoliation. Specifically, the pristine powder is the stack of the multilayers with ~20 μ m length while the exfoliated powder shows less than 3 μ m length particles. The thickness of the produced exfoliated CF_x powder was also measured using atomic force microscopy (AFM), and the results are shown in Figure 3.2(c-d).

The particle sizes with the lengths of 1-2 μ m and the thickness of 40-140 nm after exfoliation. The uniformity of the exfoliated CF_x particles were also confirmed by dynamic light scattering (DLS) method. Fluorine (F) to carbon (C) ratio were further measured using the elemental analysis in pristine and exfoliated CF_x powders and showed a consistent F/C ratio before and after the exfoliation. The surface area of powders was measured using Brunauer–Emmett– Teller (BET) technique. The surface area of the exfoliated CF_x powder shows 209.96 m²/g compared to the surface area of 23.49 m²/g for the pristine CF_x powder. These results show about 9 times higher surface area achieved after exfoliation. A summary of these results is shown in Table 3.1.

	Pristine Powder	Exfoliated Powder
F/C ratio	1.05 ± 0.01	1.04±0.01
BET (m ² /g)	23.49	209.96
Length	~20 µm	<3 µm
Thickness	~10 µm	40-140nm

Table 3-1. The physical properties of the exfoliated CF_x powder compared with pristine CF_x powder.

Further surface and bulk characterizations on the pristine and exfoliated CF_x powders show a chemical stability of CF_x after liquid exfoliation. XPS and Raman spectroscopy were performed on both powders. The results are presented in Figure 3.3.



Figure 3-3. The surface and bulk characterizations of the pristine and exfoliated CF_x powders show chemical stability of the material after the liquid exfoliation. (a) XPS results of pristine and exfoliated CF_x powders in two main core levels of C 1s and F 1s. (b) Raman spectroscopy analysis of pristine and exfoliated CF_x powders.

The data show no C-F bond changes after liquid exfoliation that indicates the structural stability of CF_x powder. Besides, the C/F ratio was further confirmed by XPS semi-quantification, and values were consistent with the element analysis results for both samples. The above analyses results demonstrated that the exfoliation process mainly reduced the powder size of CF_x , while the chemical properties were well reserved.

3.3.2. Interaction between CF_x and FeF_3

As discussed before, LiF possesses the strongest ionic bonding that could barely break down, while it is the main product of CF_x discharge reactions. Meanwhile, iron fluoride (FeF₃), which is a promising rechargeable cathode material for lithium-ion batteries (LIBs), has LiF as the discharge product.^{115,116} The overall reaction mechanism for FeF₃ using Li anode is shown as follows: FeF₃ + 3Li \Rightarrow 3LiF + Fe, with discharge products of iron (Fe) and LiF. Noted that when utilizing the complete three-electron reaction, FeF₃ cathodes have poor rate performance and a short lifetime.¹¹⁶

The exact reaction mechanism for FeF₃ during electrochemical cycling was resolved recently that deepened the understanding on FeF₃ as a cathode material.¹¹⁵ It has been revealed that the simplified chemical equation, FeF₃ + 3Li \Rightarrow 3LiF + Fe, omits the complexity of the intermediate reactions and phases in four main steps describes below:

Step 1:
$$xLi + (1+2\delta)FeF_3 \rightarrow A-Li_xFe_{1-\delta}F_3 + 3\delta FeF_2$$
 [Eq. 3.1]

Step 2:
$$(1+2\delta-x)Li + A-Li_xFe_{1-\delta}F_3 \rightarrow B-Li_{1+2\delta}Fe^{2+}_{1-\delta}F_3$$
 [Eq. 3.2]

 $Step 3: 2(\eta-\delta)Li + B-Li_{1+2\delta} Fe^{2+}_{1-\delta} F_3 \rightarrow C-Li_{1+2\eta} Fe^{2+}_{1-\eta} F_3 + (\eta-\delta)Fe \qquad [Eq. 3.3]$

Step 4: $2(1-\eta)Li + C - Li_{1+2\eta} Fe_{1-\eta} F_3 \rightarrow 3LiF + (1-\eta)Fe$ [Eq. 3.4]

The intermediate phases and the size of the resulting Fe metal particles were first proposed by computation¹¹⁷ and further shown experimentally¹¹⁸.

It has been experimentally determined that FeF₃ reacts with Li ion to form multiple $Li_xFe_yF_3$ phases and FeF₂ during the first step of the discharge, while the original hcp F-sublattice is largely retained.¹¹⁹ The subsequent step of the discharge forms nanosized Fe metal and LiF, accompanied by the disappearance of hcp F-sublattice. The generated Fe metal nanoparticles (<5 nm in diameter) form a connective network throughout the discharge products¹²⁰ that plays an essential role in the reversibility of metal fluorides.¹²¹ During charge, the reverse reactions occur with the formation of $Li_xFe_yF_3$ phases and FeF₂ followed by the formation of FeF₃. However, residual $Li_xFe_yF_3$ and FeF₂ limit the reversibility.

The intrinsic low ionic and electronic conductivity of FeF_3 cathode must be considered, which are caused by the highly ionic Fe-F bonding in the material. Nanosized particles were employed¹²² to mitigate the poor ionic conductivity along with a conductive carbon coating to mitigate the poor electronic conductivity.

To recall, LiF is one of the discharge products in Li-CF_x, similar to Li-FeF₃.¹⁰⁹ This bring the idea to evaluate the possible interaction of CF_x with FeF₃ as a hybrid cathode. First, continuous carbon formation during discharge of CF_x can further help the connective network in the hybrid cathode structure, which may greatly help address the poor electronic conductivity of FeF₃; second, the intermediate Li-Fe-F phases may help restrict the LiF formation from CF_x, which can potentially improve the rechargeability.

To evaluate the possible interplay between CF_x and FeF_3 , a hybrid cathode based on the physical mixing of these two powder materials was investigated. A 50 wt.% CF_x and 50 wt.% FeF_3 mixture as the active material used in the hybrid cathode was evaluated in half-cell using the 0.5M

LiTFSI in PC:DME (1:4) electrolyte. The voltage profile of this system is compared with the pure CF_x and pure FeF_3 and presented in Figure 3.4. Here, both pristine and exfoliated CF_x powders were evaluated since the exfoliated powder may offer abundant reaction sites. As a result, a higher capacity was achieved.



Figure 3-4. The electrochemical performance of the hybrid cathode structure based on 50 wt% CF_x and 50 wt% FeF_3 in comparison with the pure CF_x and pure FeF_3 in (a) the 1st and (b) the 2nd cycles.

	1 st Cycle		2 nd Cycle	
	Discharge Capacity (mAh/g)	Columbic Efficiency (%)	Discharge Capacity (mAh/g)	Columbic Efficiency (%)
CF _x	996.03	13.26	143.73	81.84
FeF ₃	625.48	76.18	567.49	80.00
50wt% pristine $CF_x + 50wt\%$ FeF ₃	785.28	41.71	339.97	91.26
50wt% exfoliated CF_x + 50wt% FeF ₃	1013.03	43.15	455.14	90.47

Table 3-2. The summary of the discharge capacities and columbic efficiencies.

The summary of the discharge capacities and columbic efficiencies in the first and second cycles are shown in Table 3.2. The capacities in the second cycle are calculated based on the weight of combination of CF_x and FeF_3 as the active material. This voltage profiles show that there is an interaction between CF_x and FeF_3 .

An interesting observation achieved by looking into the electrochemical performance of the hybrid structure of CF_x and FeF_3 with different weight ratios. Different weight percentages of FeF_3 were mixed with CF_x to evaluate the discharge capacities. In particular, the investigation was focused on the second cycles to evaluate the possible contribution of re-fluorination of carbon after the first cycle. The second cycle discharge capacities were calculated based on total mass of active materials (CF_x and FeF_3). These results are shown in Figure 3.5. This observation requires further characterization to grasp a better idea. The trend of governed capacities further shows an interaction between these two active materials.



Figure 3-5. The second cycle discharge capacities of the hybrid structure of CF_x and FeF_3 based on different weight percentage of FeF_3 in cathode active material (CF_x+FeF_3).

The hybrid structure of CF_x and FeF_3 with 1:1 weight ratio was further characterized for its chemical composition and changes over the first discharge. Ex-situ XRD was performed at different depths of discharge and the presence of crystalline species was evaluated. The samples were not washed and not exposed to air. The results are shown in Figure 3.6. The XRD measurements show a limited formation of LiF after the 1st plateau of discharge at around 460 mAh/g. It was shown previously that the LiF is present and detected starting the lower depths of discharge in pure CF_x cathode.¹⁰⁹ However, LiF is not detected during and after the first plateau in the hybrid structure. This is a remarkable observation for limiting the formation of LiF in a system containing CF_x .



Figure 3-6. The ex-situ XRD results through the depths of discharge in hybrid structure of CF_x and FeF₃ with 1:1 weight ratio.

It is also observed that the CF_x and FeF_3 decreased, and carbon and intermediate species formed through the depths of discharge. The intermediate species are in a good agreement with the species reported recently by Hua et al.¹¹⁸ over the discharge of FeF₃ half-cell system. Detection of intermediate species is another notable observation in a system containing CF_x .

The interesting observation of the electrochemical performance of hybrid structure of CF_x and FeF₃ requires further investigation to evaluate the C-F bonding in this system.



Figure 3-7. The modified cathode design to the conventional cathode structure by substituting PVDF binder with fluorine-free PAN binder.

First, to avoid the contribution of the fluorine from the PVDF binder, the conventional cathode structure was modified to substitute PVDF with fluorine-free polyacrylonitrile (PAN) binder in the similar cathode composition. The electrochemical performance of this novel cathode structure was evaluated and presented in Figure 3.7. A consistent electrochemical performance was observed using the modified cathode compared to the conventional one. This cathode electrode is used in the following XPS characterization test, to precisely evaluate the C-F bonding in hybrid structure.

XPS was performed on the hybrid cathode structures of CF_x and FeF_3 to evaluate the C-F bond. The cathode electrodes of the pure CFx, pure FeF₃, and hybrid structure of CF_x and FeF₃ (CF_x :FeF₃ 50:50 wt%) after the first charge to 4.2V with no air-exposure were compared with pure CF_x cathode at pristine state. The XPS results in C 1s and F 1s core levels are shown in Figure 3.8. No C-F bonds detected in carbon and fluorine regions.¹²³ However, large amount of carbon was detected, especially in samples containing CF_x active material.

Observing higher capacity and change of redox chemistry in hybrid structure while there is no reformed C-F bond indicates a better utilization of FeF_3 active material in the second cycle. This highlights the previous hypothesis on the notable effect of in-situ formation of conductive carbon from discharged CF_x .

A controlled experiment was performed using the carbon C65 in replacement of CF_x powder to evaluate the contribution of CF_x in comparison with carbon in better utilization of FeF₃. First, higher capacity from FeF₃ governed in the 2nd discharge with CF_x compared to carbon C65.



Figure 3-8. The XPS results of the charged cathodes compared with pristine FeF_3 cathode in C core level. The samples are charged FeF_3 , 5 wt% FeF_3 , and 50 wt% FeF_3 with CF_x .

Second, EIS measurement was performed on the coin-cell using these two cathode electrodes. The relative charge transfer resistances between "as assembled" compared to "after 1st charge" in these two samples is shown in Figure 3.9. The EIS data shows a decrease of resistance in case of CF_x while an increase of resistance in case of only added C65. This highlights the role of in-situ formation of carbon in the cathode from CF_x that boost the low electronic conductivity of FeF₃ (1.3 x 10⁻⁸ S/cm²) and leads to better utilization of FeF₃ active material.



Figure 3-9. The relative resistance of coin-cells using CF_x with FeF₃ in comparison with C65 with FeF₃ using EIS measurement. The data is shown for "as assembled" and "after the 1st charge".

Moreover, the comparison of the longer cycling performance of cathodes using the hybrid structure shows higher capacity in the consequent cycles than pure CF_x and pure FeF_3 cathodes. This phenomena was also confirmed in case of using exfoliated CF_x powder with smaller particle sizes than the pristine one. These data are shown in Figure 3.10.



Figure 3-10. (a) The discharge capacities of hybrid structures over 10 cycles in comparison with pure CF_x and pure FeF_3 . (b) The comparison of the discharge capacity of exfoliated CF_x with pristine CF_x in hybrid structure of CF_x :FeF₃ over 10 cycles.

3.4. Conclusion

The aim of this project was to probe the possible rechargeability of a high energy density lithium battery based on CF_x cathode material. The rechargeability of this system depends on reversibility of the discharge reaction. The interaction of FeF₃ with CF_x was selected to achieve the mentioned goals.

First, a liquid-exfoliation method was also developed to get smaller CF_x particles in order to achieve better volume contact with nano-sized FeF₃ particles. Second, it is shown that the interaction between CF_x and FeF₃ resulting in higher discharge capacity in the first and subsequent cycles. We confirmed that the addition of FeF₃ in CF_x can control the formation of LiF and instead lead the reaction to form reversible intermediate species. Moreover, it was observed that this interaction can lead to higher capacity utilization of FeF₃ due to the in-situ formation of carbon in the cathode structure from the discharged CF_x that boost the low electronic conductivity of FeF₃. The electrochemical performance of the hybrid cathodes shows higher capacities than pure cathode structures. This observation highlights the contribution of CF_x in subsequent cycles from the insitu formation of conductive carbon through the cathode structure after the first cycle that significantly facilitates the charge transfer in cathode.

After the 1st charge, fluorine content as the active source of capacity can be transformed in four possible forms after the charging process: (i) Li-F bonds, formed through the discharge process of CF_x and FeF_3 with lithium, (ii) Li-Fe-F bonds, originated from not reversible intermediate species, (iii) Fe-F bonds, combination of reversible and possible unreacted FeF₃, and (iv) C-F bonds, possible unreacted pristine CF_x . A summary of active materials content through the first discharge and charge is shown in Figure 3.11.



Figure 3-11. A summary figure of the interaction between CF_x and FeF_3 through the first cycle.

In this study, the possible decomposition or limiting the formation of LiF as the main discharge product was shown. However, the investigation on the rechargeability of CF_x -based cathodes should be focused on the possible re-fluorination of carbon to obtain the C-F bonding for the subsequent cycles.

The study of possible re-fluorination of carbon is of great interest in investigation of the possible rechargeability of the Li-CF_x primary battery with the high energy density, reliability, and low self-discharge. This study highlights the need for future investigations on primary battery materials to advance performance and extend the possibility of rechargeability in this system.

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4. CHAPTER 4 – HIGH ENERGY-DENSITY LOW-TEMPERATURE LI-CF_X BATTERY

4.1. Introduction

Fluorinated carbon is a non-stoichiometric solid fluorocarbon with empirical formula of CF_x in which 0.5 < x < 1.3. The fluorine content (x) in CF_x depends on the synthesis process and structural properties of the pristine carbon material (such as coke, graphite, fiber). This compound is synthesized by direct fluorination of carbon with fluorine gas in temperatures higher than $350^{\circ}C$. It has been shown that the higher reaction temperature during synthesis will result in higher fluorination level and higher C-F covalent character (even compared to undesired CF₂ and CF₃).

Goddard et al. have previously investigated the possible structure of fluorinated graphite by density functional theory (DFT) for different compositions.⁶⁶ They have considered x in CF_x to be equal to 0.0625, 0.25, 0.33, 0.5, and 1, and for each composition, investigated the formation mechanism and calculated the formation heat based on pristine graphite and fluorine gas.

For the first time, they showed that the carbon in $CF_{0.5}$ structure is the combination of sp³ hybridization owing to C-F covalent bonds and sp² hybridization similar to pristine graphite.⁶⁶ This founding was contrary to the previous belief that the whole structure is consisted of C-F and C-C covalent bonds with sp³ hybridization.

The structural models for CF_1 have been shown in Figure 4.1. The two possible structural model of the infinite array of trans-linked cyclohexane chairs and the cis-trans-linked cyclohexane boats have been shown and compared in two possible layer stackings of AB and AA'. The calculated formation heat in each case is also presented in kcal/mol in each case. The influence of layer stacking sequence was in the structure was also investigated previously and it was found that the effect of layer stacking (i.e. AB ir AA') is insignificant.



Figure 4-1. The possible structural models and calculated heat of formation in CF_1 for the infinite array of trans-linked cyclohexane chairs in layer stacking of (a) AB, (b) AA', and for the cis-trans-linked cyclohexane boats in layer stacking of (c) AB, and (d) AA'. The figure is adopted from the reference ⁶⁶.

Conventionally, fluorinated carbon material has been synthesized through direct fluorination of carbon at high temperatures (>350°C). However, fluorinated carbon material obtained by this method possesses a high insulating character. Therefore, new methods were suggested to improve the properties of this material including electronic conductivity and ionic diffusion.¹²⁴

Notably, it was shown that the fluorination conditions strongly influence the electrochemical performance. Hamwi et al. have summarized the fluorination methods and their effects on the discharge potential, the energy density, the power density or the faradic yield as the main electrochemical parameters.¹²⁵ They have considered three advance fluorination methods including (a) catalytic fluorination followed by a post fluorination under pure fluorine gas, (b) sub-fluorination process, and (c) fluorination by solid fluorinating agent such as TbF₄ and XeF₂; and compared them with conventional method as the direct reaction of fluorine gas with carbonaceous materials.

Another important parameter is the carbon material as the basis of the synthesis. In case of using low crystalline structure or amorphous carbon, such as carbon black and petroleum coke, it may lead to a less active fluorinated carbon structure or to over fluorination means more fluorine atoms per carbon atom, such as formation of CF_2 or CF_3 .

Li-CF_x primary battery was one of the most promising systems due to its high theoretical specific capacity and energy density (865 mAh/g and 2180 Wh/kg), long shelf life (>10 years at 25°C), stable operation and wide operating temperatures (-60°C to +170° C), low self-discharge (annual capacity loss of <0.5% in 25°C and <4% at 70°C), and high reliability and safety.^{1,68,126,127} This system is considered as convenient, reliable, and cost-efficient energy storage system that can be sized and shaped easily in different configurations for varied applications. They are widely used in portable electric and electronic devices, communication equipment, hearing aids, military tools, and implantable medical devices due to their lightweight and good shelf-life with no maintenance requirements.

Despite all the advantages of the Li-CF_x battery system, there are kinetic limitations associated with the poor electrical conductivity and strong covalent C-F bonds results in slow cell reaction kinetics which further inhibits the utilization of lithium CF_x system in low temperature applications and high-power devices.

When the battery is exposed to sub-zero temperatures, several aspects begin to influence its low-temperature performance^{128,129} (Figure 4.2): (i) Li⁺ diffusion through the solid electrolyte interface (SEI) and cathode electrolyte interface (CEI) layers; (ii) Li⁺ solvation and de-solvation processes; (iii) Li⁺ diffusion through bulk electrolytes; (iv) Solid-state diffusion in CF_x layers; (v) Redox reactions. Therefore, current research efforts to improve low-temperature performance encompasses electrolyte optimizations, electrode modifications, and interfacial engineering.



Figure 4-2. A schematic of challenges that hindered the performance of a battery at low temperatures.

Developing primary batteries with competitive performance at low temperatures requires a comprehensive picture of both ionic and electronic conductivity as the governing parameters. At ultra-low temperature, the decrease of electronic conductivity and sluggish solid-state diffusion of Li^+ between the fluorinated graphite layers will rapidly exacerbate, which restricts the kinetics property at the cathode side⁸. Moreover, it is known that the fluorination of CF_x further hampers the electronic conductivity at all temperature ranges due to the covalent C-F bond^{6,7}. There have been different approaches to improve electrical conductivity, such as different strategies of fluorination^{89,125}, coating or doping the fluorinated graphite with conductive additives, e.g. noble metals¹³⁰, metal oxides^{131–133}, or other cathode materials¹³⁴, and employing carbon nanostructures^{2,6,127,135–143}. Although they have been reported with an enhancement of the energy density, power density, or faradic yield, however, most of them can be considered unscalable,

difficult to engineer, and expensive which limits the implementation of them in practical applications.

To address this issue for low-temperature cathodes, there have been a few studies mainly focused on the following strategies: (i) using a thinner electrode to decrease the cell resistance^{144–146}, (ii) utilizing a sub-fluorinated carbon which has been attributed to better electronic conductivity^{147,148}, (iii) considering a room-temperature pre-discharge step to make a fluorine-free carbon layer at the electrode/electrolyte interface (GIC layer engineering) to enhance electrolyte wettability and conductivity^{147,149}, and (iv) employing CF_x -MnO₂ hybrid structure as a co-catalytic cathode to improve the reaction kinetics.^{150,151}

Previously, utilization of sub-fluorinated graphitic carbon (CF_{0.65}) with a better electronic conductivity along with a room-temperature pre-discharge step was reported to deliver a higher energy density compared to fluorinated graphite (CF_{1.08}).¹⁴⁹ Using the same PC:DME (1:4) with 1M LiBF₄ as the electrolyte, it has been shown that commercial CF_{1.08} with excess carbon conductive agent (30%) delivered about 200 mAh/g discharged capacity (C/40) at -40°C while this discharged capacity increased to about 600 mAh/g with the same condition using CF_{0.65}. Later, another group investigated four different commercial CF_x (0.8<x<1.2) along with two different electrolytes in the temperature range of -51°C to 72°C.¹⁵¹ These studies confirmed the importance of structural modification and their effect on ionic and electronic conductivity for improved electrochemical performance of CF_x cathodes.

Despite the limited studies to overcome the electronic conductivity barrier of the CF_x cathode, such as using the thinner electrodes or sub-fluorinated carbon, there are still many outstanding questions and challenges for low-temperature performance of this system. (i) it is not well-understood what the effect of the size and morphology of CF_x particles on the performance

of CF_x cathodes at low temperatures is. (ii) a systematic study on ionic and electronic conductivity of CF_x cathode materials at different temperatures, e.g., room temperature compared to low temperature (-40°C or lower). Addressing such questions can lead to a better understanding of the current limitations of low-temperature CF_x cathodes and improving their performance.

To overcome this, we have modified CF_x cathode structure to obtain smaller particle sizes, larger specific surface area, better ion diffusion and charge mobility while maintaining structural, chemical and thermal stability. We use a wide array of characterization methods to investigate how these changes affect the electrochemical performance of modified CF_x cathodes. The details of methods are discussed in detail in Section 4.2.

4.2. Materials and Methods

Electrochemical Tests: All the electrochemical performances were tested using a CR2032 coin cell. The cathode electrodes were consisted of pristine or exfoliated CF_x powder as the active material, carbon super C65 as the conductive agent, and PVDF as the binder with the weight ratio of 8:1:1. The commercial CF_x (ACS Materials) was used through this study as the pristine powder. In all coin cells, 55 μ L of 0.5M LiBF₄ in PC:DME (1:4) as the electrolyte was used with Li chip (1mm thickness, >99.9%) as the anode. The cathode loading was kept in the range of 3-3.5 mg/cm².

Galvanostatic Intermittent Titration Technique (GITT): The GITT tests were performed in the first discharge step under 10 mA/g pulse current for 100 minutes with 4-hr relaxation time in each step to reach the steady state.

Electrochemical Impedance Spectroscopy (EIS): EIS was performed with an applied AC potential of 10 mV in the frequency range of 1 MHz to 0.01 Hz, using a Solartron 1260 impedance

analyzer. The EIS measurements for each case were performed on the same coin cell in the three steps of: pristine, after the first discharge, and after the first charge.

Scanning Electron Microscopy (SEM) and Energy Dispersive X-ray Spectroscopy (EDS): A FEI Apreo microscope was used to characterize the particle size and morphology. The immersion mode with T2 detector using 0.1 nA and 2 kV was used to reduce the charge accumulation on the sample. On the same instrument, the EDS chemical mapping was performed using 5 kV and 6.4 nA.

X-ray Photoelectron Spectroscopy (XPS): XPS was performed using an AXIS Supra by Kratos Analytica. The XPS was operated using an Al anode source at 15 kV, scanning with a step size of 0.1 eV and 200 ms dwell time. XPS spectra were analyzed using CasaXPS software.

Elemental Analysis (CHN/S Analysis): The elemental analysis was performed by NuMega Resonance Labs in San Diego, CA. The powder samples were dried under vacuum at 90 °C for 24-hr before the measurement. The tests were done using a Perkin Elmer PE2400 – Series II instrument.

Brunauer-Emmett-Teller (BET): The BET tests were performed using a Micromeritics ASAP2020 instrument to measure the specific surface area. The samples were initially dried under vacuum at 90 °C for 24-hr. Then, the powders were degassed in the sample tubes for 4-hr at 95 °C under high vacuum before sorption experiment. The results were processed using the software of ASAP 2020 V4.02.

Dynamic Light Scattering (DLS): The DLS tests were performed using Malvern Zetasizer nano range instrument to measure the particle size of samples at room temperature. The instrument was equipped with 670 nm laser and a thermoelectric temperature controller. The powder samples were dispersed in IPA solution using 15-min bath sonication.

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4.3. Results and Discussions

4.3.1. Development of A Sustainable and Recyclable Liquid-Exfoliation Method

In this study, a scalable liquid-phase exfoliation method was developed to convert pristine CF_x powder to thinner exfoliated CF_x powder. The liquid exfoliation technique is breaking interlayer weak van der Waals bonds to create a few monolayers particles and is currently of growing interest for large scale synthesis of nanoparticles due to its high yield, safety, and low synthesis cost.¹¹¹ After the successful demonstration of this technique for graphene synthesis from graphite¹¹¹, various groups^{112–114,152–154} have attempted to produce a large quantity of different layered materials including transition metal dichalcogenides (TMDCs), MXene, and other two dimensional materials, e.g. hBN and black phosphorous. Here, we utilized this technique as this process can potentially create CF_x thin-sheets with significantly higher active surface area to improve ionic diffusion, which is proven to be vital for ultra-low-temperature battery operation.

A four-step liquid exfoliation method was used to prepare exfoliated CF_x powder as described in section 3.3.1. A schematic of the procedure was shown in Figure 3.1. The size and morphology of the pristine and exfoliated CF_x powders using SEM and AFM methods besides the chemical and structural stability of the material after exfoliation using XPS and Raman spectroscopy were shown in Figure 3.2 and figure 3.3, respectively. The Brunauer–Emmett–Teller (BET) technique showed about 9 times higher surface area after exfoliation (surface area of 209.96 m^2/g for the exfoliated CF_x powder compared to the surface area of 23.49 m^2/g for the pristine CF_x powder). Moreover, the conductivity measurement on the pressed pallet using the four-probe method shows a value of 2.85×10^{-7} S/cm for exfoliated CF_x compared to 3.47×10^{-9} S/cm for pristine CF_x powder. The increased electronic conductivity of the CF_x powder after exfoliation might be attributed to higher sheet conductivity of CF_x flakes compared to the bulk structure. Liquid exfoliation is a versatile, scalable, and safe method that be applied to a wide range of materials; however, it raised environmental concerns due to the requirement of a relatively large amount of a solvent. The current common solvents are chloroform, n-methyl-2-pyrrolidone (NMP), or dimethylformamide (DMF) which all are toxic and carcinogenic liquids, and substances of very high concern (SVHC).

In 2014, Cyrene (dihydrolevoglucosenone) was for the first time introduced by Sherwood et al. as a bio-based alternative solvent to petrochemical-derived solvents.¹⁵⁵ Cyrene is a bio-based dipolar molecule which is a sustainable and green solvent and can be simply derived in two steps from cellulose.^{155,156} The physical properties of Cyrene with two most common aprotic solvents, NMP and IPA, are shown in Table 4.1. The data are obtained from the chemical safety data sheets.

Physical Properties	Cyrene	NMP	IPA
Empirical Formula	C ₆ H ₈ O ₃	C ₅ H ₉ NO	(CH ₃) ₂ CHOH
Molecular Weight (g/mol)	128.13	99.13	60.1
Density (g/mL)	sity (g/mL) 1.25 1.028	1.028	0.785
Dipolarity	0.93	0.9	0.68
Viscosity (mPa.s)	14.5	1.67	2.37
Boiling Point (°C)	227	202	89
Melting Point (°C)	-18	-24	-89.5

Table 4-1. The physical properties of Cyrene, NMP, and IPA solvents.

Later in 2016, this solvent was tested for the dispersion of graphene from graphite and has shown an order of magnitude higher concentration system.¹⁵⁷ In this work, Cyrene was used as the solvent for liquid exfoliation of pristine CF_x powders, following the similar procedure with IPA. Different sonication time has been tried to evaluate the effect of the duration of the liquid exfoliation on yield and size distribution of the exfoliated powders. A summary of the liquid exfoliation yield and the size distribution of the exfoliated CF_x powders measured by dynamic light scattering (DLS) technique are shown in Figure 4.3. These tests were performed on total 1g of the pristine powders.

		30min	4-hr	8-hr	12-hr
	IPA	12%	27%	33-34%	34-35%
	Cyrene	37%	42%	56%	58%
40 35 30	IPA – 12hr Average: <u>163.6</u> r	(á 1m	a) ⁴⁰ 35 30	Cyrene – 8hr Average: <u>146.</u>	(b) <u>0</u> nm
20 15 10 5			(%) 25 20 20 10 10 10 5		
40 35	10 100 Cyrene – 12hr Average: <u>129.8</u> r	1000 1m (10000 1 10000 40 35	10 10 Cyrene – 12hr Average: <u>132.</u>	0 1000 1000 - recycled 3 nm (d)
30 - 30 - 30 - 25 - 25 - 25 - 25 - 25 - 25 - 25 - 2			30 - 30 - 30 - 30 - 30 - 30 - 30 - 30 -		
1	10 100 Size (nm	1000	10000 1	10 10 Size	0 1000 1000 (nm)

Liquid Exfoliation Yield (%) for 1g powder

Figure 4-3. A comparison of yield and size distribution of exfoliated CF_x powders using IPA and Cyrene solvents with varied sonication times. Table shows liquid exfoliation yield for 1g pristine powder. The DLS data show the size distribution of exfoliated powders under (a) IPA-12hr, (b) Cyrene-8hr, (c) Cyrene-12hr, and (d) Cyrene-12hr-recycled.

It is shown that Cyrene, with higher di-polarity and surface tension, has a higher efficiency than IPA solvent with smaller particles sizes. It is interesting to observe that increasing the exfoliation time from 8-hr to 12-hr does not increase the yield significantly but results in smaller particle sizes. Therefore, 8-hr exfoliation time was fixed through the later experiments using Cyrene as the solvent for liquid exfoliation method to scale-up the synthesis and increase the overall yield. This solvent also helps to avoid other common intercalated molecules, such as NMP and chloroform molecules, or expensive ionic liquids.

The recyclability of the Cyrene is also considered as another important factor of sustainability. To evaluate the recyclability of the Cyrene solvent, the CF_x powders left in the used Cyrene were separated out using a membrane filtration and the cleaned Cyrene solvent was obtained. This recycled Cyrene was used again in liquid exfoliation procedure with a new batch of pristine CF_x powders. The size distribution of the exfoliated particles in recycled solvent shows similar range of size distribution (Figure 4.3-d). The electrochemical performance of the exfoliated CF_x powders using recycled Cyrene is also evaluated in comparison with fresh Cyrene (Figure 4.4). These results highlight the recyclability of the Cyrene as a sustainable solvent for liquid-exfoliation method.



Figure 4-4. The electrochemical performance of the exfoliated CFx powders using recycled and fresh Cyrene solvent.

4.3.2. Rate Capability and Ultra-Low Temperature Performance of the Exfoliated CF_x

First, the electrochemical performance of the obtained exfoliated powders in comparison with the pristine powders was tested at room-temperature (25°C) and low-temperature (-60°C). The cells were assembled using the CF_x cathodes, Li chip as the anode, and 0.5M LiBF₄ in PC:DME (1:4) as the electrolyte. The detailed testing configurations are presented in Table 4.2. The electrochemical performance results are presented in Figure 4.5.

Table 4-2. The coin-cell testing specifications used in low-temperature study.

Cell type	CR2032		
Cathode active material	$CF_{1.05} - 80\%$		
Conductive Agent	Carbon C65 – 10%		
Binder	PVDF – 10%		
Counter electrode	Li metal chip (thickness: 1mm, diameter: 15.4 mm)		
Separator	Celgard 2325		
Electrolyte	0.5M LiBF ₄ in PC:DME (1:4vol%)		
Electrolyte amount	50 µL		
Coin cell setup	0.5 mm thick spacer and one spring at the anode side		
Voltage cut-off	1-1.5V		
Active material loading	$2.5-3.3 \text{ mg/cm}^2$		
Applied current density	10 mA/g		

Coin-Cell Specifications

The electrochemical voltage profiles show similar performance for both systems using pristine and exfoliated CF_x powders indicating a proper utilization of CF_x cathode in the first discharge (theoretical capacity of 881 mAh/g for CF_x with x=1.05). At -60°C, the system using the exfoliated CF_x shows a superior energy density by showing higher operating voltage plateau (1.96 V vs 1.78 V in pristine case) and higher governed specific capacity (748 mAh/g vs 415 mAhg in pristine case) in the case of discharged to 1.5 V.

An about 0.2V higher voltage plateau and >400 mAh/g more specific capacity using the exfoliated-based cathode show a great promise of using exfoliated CF_x powder for the ultra-low temperature application.



Figure 4-5. The electrochemical performance of Li-CF_x battery using the exfoliated powder in comparison with the pristine powder at (**a**) room-temperature (25°C) and (**b**) ultra-low temperature (-60°C). The applied current density is 10 mA/g in all tests.

The electrochemical impedance spectroscopy (EIS) measurement was also performed through the depth of discharge on the cells using the pristine-based cathode and exfoliated-based cathode, at room temperature (25°C). A semicircle at high frequencies and a followed tail at low frequencies are observed in impedance spectra. The results are shown in Figure 4.6. The impedance spectra are shown through the depths of discharge to 1.5 V. A better charge mobility and lower charge transfer resistance from EIS analysis (Figure 4.7) was observed using the exfoliated-based cathode compared with the pristine-based cathode. The equivalent circuit used for EIS analysis is shown in the inset of the figure where the R_b reflects the bulk resistance, R_{ct} reflects the charge transfer resistance, CPE reflects the double layer capacitance, and W_0 reflects the Warburg impedance.



Figure 4-6. The EIS measurement through the depth of discharge on the cells using (**a**,**c**) the pristine-based cathode and (**b**,**d**) exfoliated-based cathode, at room temperature. The impedance spectra are shown through the depths of discharge to 1.5 V.



Figure 4-7. A comparison of the (**a**) bulk resistance and (**b**) charge transfer resistance in the pristine-based and the exfoliated-based cathode. The EIS analysis performed using the equivalent circuit shown in the inset of the figure.

Next, the galvanostatic intermittent titration technique (GITT) was performed to evaluate the lithium-ion diffusion in the pristine and exfoliated CF_x powders at room- and low-temperatures. This method has been used to measure the chemical diffusion coefficients of lithium ion in different cathode electrode materials.^{133,158}

The GITT discharge curves of Li-CF_x cells using the exfoliated-based cathode in comparison with the pristine-based cathode at room-temperature (25° C) and ultra-low temperature (-60° C) are shown in Figure 4.8. This measurement was performed with discharge step at 10 mA/g for 100 min and followed by an open circuit stand for 4hr to relax the cell to reach to its equilibrium. This procedure is repeated for the full discharge to 1.5 V. The systems using the exfoliated-based cathode show higher operating voltage plateaus with longer performance run than the pristine-based cathode.



Figure 4-8. The GITT discharge curves of Li-CF_x cells using the exfoliated-based cathode in comparison with the pristine-based cathode at (a) room-temperature (25°C) and (b) ultra-low temperature (-60°C).

The lithium-ion diffusion was calculated based on the Fick's second law of diffusion. The simplified version of this theory for lithium ion diffusion calculation¹⁵⁹ can be written as the following equation:

$$D_{Li^+} = \frac{4\tau}{\pi} \left(I_0 \frac{V_m}{SFz_i} \right)^2 \left(\frac{\Delta E_s}{\Delta E_\tau} \right)^2$$
(Eq. 4.1)

Where I₀ is the applied current (A), V_m is the molar volume (cm³/mol), z_i is the number of charge transfer, F is the Faraday constant, and S is surface area (cm²). In this equation, ΔE_s is the potential decay between consequent relaxing times (E₀ – E_s) and ΔE_{τ} is the potential drop from the equilibrium potential state after the relaxation time to the consequent discharge potential (E₀ – E_{τ}). For a better clarification, a schematic of GITT discharge curve with labels of these parameters is shown in Figure 4.9.



Figure 4-9. A schematic of the GITT discharge curve in a single step, labeling the required parameters for the Fick's second law of diffusion.

The last term of the equation is this term
$$\left(\frac{\Delta E_s}{\Delta E_\tau}\right)^2$$
 which is the simplified form of $\left(\frac{\frac{dE}{dx}}{\frac{dL}{d\sqrt{\tau}}}\right)^2$ that

requires a linearity between E and $\sqrt{\tau}$. To validate this factor in the measurements, the plots of potential E as a function of $\sqrt{\tau}$ for the both systems of pristine-based and exfoliated-based cathodes at room-temperature (25°C) and ultra-low temperature (-60°C) are shown in Figure 4.10. The roughly linear initial slops in all cases to about 800 s^{1/2} are considered as the validity of using equation 4.1.



Figure 4-10. The linear behavior of the potential (E) as a function of $\tau^{1/2}$ for pristine-based cathode at (a) room-temperature (25°C) and (b) ultra-low temperature (-60°C) and for exfoliated-based cathode at (c) room-temperature (25°C) and (d) ultra-low temperature (-60°C).

The ionic diffusion of lithium-ion (D_{Li+}) during the first discharge for pristine-based and exfoliated-based cathodes at room-temperature (25°C) and ultra-low temperature (-60°C) is calculated based on the equation 4.1 and the results are shown in Figure 4.11. It is observed that the D_{Li+} in the exfoliated-based cathode is about two orders of magnitude higher than the pristinebased cathode at room temperature. It is interesting that this trend is more significant at ultra-low temperature.



Figure 4-11. The ionic diffusion of lithium-ion (D_{Li+}) during the first discharge for (**a**) pristinebased and (**b**) exfoliated-based cathodes, at room-temperature (25°C) and ultra-low temperature (-60°C). The D_{Li+} is calculated based on the Fick's second law applied on the GITT measurement.

The improved ionic diffusion at room temperature indicates a better rate capability of the system using the exfoliated-based cathode. This phenomenon might be due to the larger surface area and more exposed CF_x sheets that facilitate the lithium-ion and electrolyte diffusion. Furthermore, the rate capability of the system using the exfoliated-based cathode under varied applied current densities have been explored and showed an improved performance.

A summary of the low-temperature performance at different operating temperatures of 25°C, 0°C, -20°C, and -60°C for the exfoliated-based cathode compared to the pristine-based cathode is shown in Figure 4.12(a). The improvement of performance is more highlighted at lower temperatures. The exfoliated-based cathode provides high capacity of 748 mAh/g and high energy density of 1095 Wh/kg at -60°C (discharged to 1.5V).

Similarly, a summary of the rate capability of the Li-CF_x battery at different applied current densities of 10, 100, 500, 1000, and 1500 mA/g using the exfoliated-based cathode compared to

the pristine-based cathode is shown in Figure 4.12(b). The exfoliated-based cathode show about 1500 Wh/kg energy density at 1500 mA/g applied current density (discharge to 1.5V).



Figure 4-12. A summary of the Li-CF_x batteries using the exfoliated-based cathode in comparison with the pristine-based cathode (**a**) at varied operating temperatures of 25° C, 0° C, -20° C, and -60° C, and (**b**) under varied applied current densities of 10, 100, 500, 1000, and 1500 mA/g.

Furthermore, the performance of the Li-CF_x system using the exfoliated-based cathode is compared with the literature (Figure 4.13). Different approaches and protocols have been used in these works (Table 4.3) to modify the cathode or the operating condition to improve the performance of the Li-CF_x battery.



Figure 4-13. The standing of the Li- CF_x battery using the exfoliated-based cathode in comparison with the literature under varied low temperatures.

	Cathode	Electrolyte	C rate	Capacity	Approach	Ref
1	CF _{0.65}	1M LiBF ₄ in PC:DME (1:4)	C/40	600	Sub-fluorinated CF_x and room temp. pre-discharge	149
2	CF _{0.647}	0.5M LiBF ₄ in PC:DME (1:4)	C/50	550	Sub-fluorinated CF _x and anion receptor	160
3	CF_1	0.5M LiBF ₄ in 1:1 AN/γ- GBL	C/50	300	Room temp. pre-discharge	161
4	CF_1	1M LiBF ₄ in γ-GBL +2% 15-crown-5	C/100	120	Sub-fluorinated CF _x and electrolyte additive	162
5	CF _x :MnO ₂ (35:65)	1M LiBF ₄ in GBL	C/120	115	CF _x mixing with other cathode material	150
6	CF_1	0.5M LiBF ₄ in PC:DME (1:4)	10 mA/g	320	Room temp. pre-discharge	163
7	CF_1	1M LiBF ₄ in EC:PC:EMC $(1:1:3 \text{ wt.\%})$	C/100	650	Electrolyte modification	151

Table 4-3. A summary of the protocols and approaches used in the literature in the Figure 4.13.

4.4. Conclusion

In summary, we investigated the effect of liquid exfoliation and low temperatures on the electrochemical performance of primary CF_x batteries. Our results show that at low temperatures electronic conductivity and sluggish solid-state diffusion of Li^+ between the fluorinated graphite layers will rapidly exacerbate, which restricts the performance of CF_x cathodes.

In order to improve the high-rate performance of Li-CF_x battery at low temperature, we attempted to modify the electrode materials with liquid exfoliation technique toward a higher electronic conductivity and ion diffusion. Notably, the liquid-exfoliation method was developed and optimized based on a sustainable and recyclable solvent. The uniformity and size distribution of particles were measured using SEM, AFM and DLS. The chemical and structural stability of the obtained powder was also measured by XPS, Raman spectroscopy, and XRD. The exfoliated powder exhibited about nine times higher surface area compared to pristine powder. This modified powder demonstrates a considerable higher energy density at low temperatures and better rate capability compared to pristine powder. The cell resistance and ion diffusion in these systems were also evaluated using the EIS and GITT measurements at room- and low- temperatures.

We believe further studies on this field can be focused on three main area: (i) scaling up the system toward a high-loading cathode,^{123,164} (ii) understanding and improving the electrode and electrolyte interface, and (iii) optimizing electrolyte, toward enabling high energy-density Li-CF_x at lower temperatures (< -80°C).

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5. CHAPTER 5 – SUSTAINABLE DESIGN OF SODIUM CATHODE MATERIALS

5.1. Introduction

The world's ever-growing energy demand has highlighted the role of energy storage systems more than ever. During the past few decades, lithium-ion batteries (LIBs) has been the gold standard technology both for mobile and grid level storage. Lithium-containing resources, e.g. mineral ore spodumene or mineral rich brine, are centered in three main countries: Australia, Chile, and Argentina.^{9–11} Such localized distribution of lithium (Li) accounts for more than 80% of the global reserves¹² and creates an imbalance in supply and demand for growing large-scale applications. Prior to 2010, the cost of lithium metal accounted only for a very small fraction (< 2%) of total cost of LIBs. Emerging new technologies, such as electric vehicles and exponential production rise in small electronics, has disrupted the lithium metal market and led to a continuous increase on the market price of lithium metal. For instance, the price of Li₂CO₃, a well-known extraction resource for lithium metal, has tripled over the past 10 years.¹³ The high demand of Li resources and its increasing cost have triggered the exploration of alternatives or complementarities to Li-based battery technologies.^{165,166}

Low cost per energy density, high safety, reliability, and sustainability are the key requirements for alternatives of lithium-ion batteries. Among different candidates, Na-ion batteries (NIBs) hold a great promise mainly due to the fact that, unlike Li, sodium (Na) is an earth abundant and cost-effective element. Moreover, Na⁺/Na redox couple possesses a reduction potential of - 2.73 V vs. standard hydrogen electrode (SHE) (compared to -3.02 V vs. SHE for Li⁺/Li) making it a promising candidate by enabling a similar operating voltage in NIBs compared to LIBs.^{13,58} Sodium has a higher molar mass (23 g·mol⁻¹ vs. 6.9 g·mol⁻¹ for Li⁺) and larger ionic radius (1.02 Å vs. 0.76 Å for Li⁺), leading to great differences in its chemical and electrochemical properties,
compared to lithium.¹⁶ Higher chemical reactivity of sodium can cause faster solid electrolyte interphase (SEI) formation and a rapid electrolyte consumption.^{167–169} On the other hand, since sodium does not form an alloy with aluminum, even at reduced potentials, aluminum (Al) instead of copper (Cu) can be used as the current collector in NIBs. Al is more favorable due to its higher earth's abundance and lower price compared to Cu (abundance in earth's crust: 8.23% for Al and 0.0068% for Cu)⁵⁹. Moreover, the charge density (q/r ratio) of Na⁺ is lower than Li⁺ in solid materials and in certain cases the diffusion of Na⁺ in solid phases is much faster than Li⁺, thus allowing a fast-charging up to 500 C current rate (58.5 A.g⁻¹) as recorded by Yang et al.¹⁷⁰ Despite the higher molar mass of Na, Na-containing materials exhibit a great diversity in their structures, especially in polyanions, where Na⁺-extracting voltages can be tuned easily through the so-called "inductive effect", which can be used to design high-voltage materials and compensate the energy density loss due to the weight penalty.^{171–173}

The attempt of employing the Na⁺ as the intercalating guest ion was first introduced in 1980 by G. Newman and L. Klemann from Exxon Research and Engineering Company.¹⁷⁴ A reversible Na⁺ insertion/extraction using titanium sulfides (TiS₂) as the host structure at ambient temperature was demonstrated with the cycling up to 16 cycles using sodium triethyl (N-pyrrolyl) borate in 1,3-dioxolane as the electrolyte. Nevertheless, the (de)-sodiation of TiS₂ occurred at rather low voltages (below 2.0 vs. Na⁺/Na)¹⁷⁴ that it is not an ideal cathode for NIBs.

In the same year, Delmas et al.¹⁷⁵ published a summary study on the structural classification and properties of A_xMO_2 layered oxides (where A stands for alkali metal and M stands for transition metals). In this study, the authors carefully investigated the crystal structures of several A_xMO_2 compositions, including A = Na, and classified them as O3, P2, and P3 types, depending on the Na crystallographic site and stacking sequence of MO₂ slabs. This nomenclature is still widely used up to the present time. The transport properties such as the ionic mobility of the alkali ions based on the crystal chemistry were studied in continuation of their previous works on sodium oxides.^{176,177} Soon, the same team reported the electrochemical performance of Na_xCoO₂ ($0.5 \le x \le 1$) layered oxide with a promising performance and this was also considered as the first layered oxide for the cathode in NIBs.^{178,179} Based on this pioneering work, several generations of layered oxides¹⁸⁰ were developed including those with anionic redox activity^{181–183}.

Besides layered oxides, polyanions are an important class of cathode materials for SIBs. Depending on the structure of the crystallized materials, polyanions can be classified as olivine¹⁸⁴, alluaudite^{185,186}, tavorite¹⁸⁷, Na₃V₂(PO₄)₂F₃,^{188–190} or NA-Super-Ionic-CONductors^{191,192} (NASICON)-like structures. Among them, NASICON-type materials have been widely developed as prospective cathodes for NIBs. This class of materials was discovered in 1976 by Goodenough et al.^{191,192} and its general chemical formula can be written as $A_nM_2(XO_4)_3$ (where A stands for alkali metal ions or vacancies, M stands for transition or main-group metals, and X stands for sulfur (S), phosphor (P), silicon (Si), or arsenic (As)). The structure possesses a robust tridimensional network due to the strong covalent bonds between XO₄ tetrahedra and MO₆ octahedra.

Despite its early discovery, the electrochemical activity of NASICON in Na-based batteries had not been demonstrated till the mid-1980s with the pioneering work of Delmas et al.¹⁹³ on NaTi₂(PO₄)₃. Later, PBAs as another type of NIB cathode materials with the general formula of $A_xM_y[M'(CN)_6]_z$ ·*n*G (where A stands for an alkali metal, M and M' stands for transition metals, and G stands for neutral molecules such as H₂O) were developed.^{194–201} They were first introduced in the 1980s and 1990s, but their applications as cathode materials for NIBs using a non-aqueous electrolyte were demonstrated by Goodenough et al. in 2012.^{202,203} As this perspective discusses the future development of cathode materials for NIBs, they will be focused in more detail in later sections.

The discoveries and developments in anode structures also catalyzed the improved performance of NIBs. Graphite has been historically known as a prevailing anode in LIBs and many early works in the field of NIBs attempted to employ graphite to this new technology.^{95,204,205} However, Na⁺ ions cannot be intercalated into graphite due to the instability of the Na⁺–graphite intercalation (Na-GIC) and the low potentials of the reaction, which hinder the application of graphite in NIBs.^{24,25,206–208} Na⁺ can only be inserted into graphite as a solvated form in diglyme (or bis(2-methoxyethyl) ether) solvent, but the capacity of the reaction is too low, which is not suitable for practical applications.^{26,27} Hard carbon, also known as non-graphitizable carbon, was initially introduced by Dahn et al.²⁸ as anodes for NIBs in 2000. Hard carbon (with a gravimetric theoretical capacity of 300 mAh·g⁻¹ and volumetric theoretical capacity of 420 mAh·cm⁻³)²⁹ can be synthesized by a wide range of methods such as chemical, thermal, or biomass-derived processes from various organic compounds at elevated temperatures (700–2000°C).²⁹ Low-cost precursors and easy synthesis procedures have made hard carbon a promising anode material for NIBs.

However, there are still some fundamental challenges for the implantation of hard carbon in NIBs: (i) its limited practical storage capacity (around 300 mAh·g⁻¹), (ii) the electrochemical performance of hard carbon depends strongly on the nature of the precursors as well as processing temperature, which requires a careful optimization, and (iii) the Na⁺ storage mechanism needs to be better understood by employing advanced characterization techniques.^{209,210}

Besides hard carbon, non-carbonaceous anodes for NIBs were also developed in the last few years.³⁰ Depending on the reaction mechanism with Na⁺, they can be classified as conversion

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(metal oxides^{31,32}, sulfides^{33,34}, and selenides^{35,36}), alloying (tin (Sn)^{37–39}, bismuth (Bi)⁴⁰, phosphorus (P)^{41,42}, and antimony (Sb)^{43,44}), or insertion materials (titanium-based oxides^{45–47}, transition metal chalcogenides^{48,49}, and MXenes⁵⁰). Among them, Sn and Sb have shown the greatest promises^{51–55} due to their high theoretical capacities (with a theoretical gravimetric capacity of 847 mAh·g⁻¹ for Sn and 660 mAh·g⁻¹ for Sb)^{56,57}, good electrical conductivity (8.7×10⁶ S·m⁻¹ for Sn and 2.55×10⁶ S·m⁻¹ for Sb at 20°C), low reaction potentials vs. Na⁺/Na (0.2–0.4V for Sn and 0.4–0.8V for Sb)⁵⁷, and less safety concerns associated with them. However, both Sn and Sb suffer significant volume expansions during sodiation process (~ 420% for Sn and ~ 390% for Sb)^{40,58}, which might lead to contact loss and substantial irreversible capacities upon long-term cycling. Furthermore, the high price and the low abundance in the earth's crust of these two elements (0.00023% for Sn and 0.00002% for Sb)⁵⁹ have prevented their wide implementation in NIBs.

Beyond research level prototypes, the advances in this field have enabled some commercialized energy storage systems based on NIB technology in the past few decades. In the 1980s, prior to the commercialization of LIBs, Elsenbaumer et al.^{211–213} from Allied Corp. (USA) and Takeuchi et al.^{214,215} from Hitachi Ltd. (Japan) have introduced the first full-cell model for NIBs with P2-Na_xCoO₂ as cathode and sodium-lead alloy as anode. The cell could operate up to 300 cycles with no failure. However, the low energy density due to the low operational voltage (< 3 V) did not help these systems compete with the relatively high energy density LIBs available at that time (3.7 V for a Graphite||LiCoO₂ cell).

Many years later, the first non-aqueous NIB system was introduced by Faradion Limited in 2015. The company, established in 2011 in the United Kingdom (UK), showcased their first product as an e-bike, powered by NIBs with an energy greater 400Wh per pack. Faradion batteries utilized O3/P2-type Na_aNi_{1-x-y-z}Mn_xMg_yTi_zO₂ layered oxides (130 mAh·g⁻¹) as the cathode and hard carbon anode (230 mAh·g⁻¹). The cells utilized non-aqueous electrolyte and could operate from -20°C to 60°C.^{216–218} In the next few years, several start-ups and companies aiming at commercializing NIBs were created all around the world with a great diversity in the choice of chemistry in the commercialized products. In 2017, the start-up Tiamat^{219–221} (France) introduced their first Hard carbon||Na₃V₂(PO₄)₂F₃ cylindrical 18650 cells, which could deliver an energy density of 100-120 Wh·kg⁻¹. At the same time, HiNa company²²² (China) developed power banks (120 Wh·kg⁻¹) using O3-type Na_x[Cu,Fe,Mn]O₂ layered oxide cathode. Altris AB (Sweden) and Natron Energy^{223,224} (USA) have also developed prototypes using PBA cathode materials. Recently, Contemporary Amperex Technology Co., Ltd. (CATL)²²⁵ (China) announced a NIB prototype (160 Wh·kg⁻¹) for electric vehicles (EVs) with a plan for supply chain (target to 200 Wh·kg⁻¹) with a cost \$40 per kWh in 2023.

So far, we provided a historical timeline on the advances in the field of NIBs with examples on efforts on both cathode and anode materials as well as some commercialization attempts. A roadmap of NIBs over the years is shown in Figure 5.1.

While all these efforts have pushed the performance boundaries of NIBs and highlighted their promise as a complement to LIBs, most of the current reports in the literature are rather scattered on different electrode materials with different cycling conditions. Therefore, there remains the need to a clearer roadmap on the design and required performance metrics for NIBs to meet the current market goals.



Figure 5-1. The schematic roadmap of sodium-ion batteries over years, highlighting the discovery of key materials and the relevant prototypes.

Amongst various components of NIBs, cathode materials are widely considered as the primary limiting factor in part because of their restraint energy density and substantial structural complexity; leading to challenging stability over long cycle life.⁵⁸ Given the critical role of cathode material in the cost efficacy and performance of NIB systems^{13,226}, we first discuss the important metrics in the key metals in cathode materials that will impact the supply risk and market price in near future. Next, we provide a perspective on design metrics of cathode materials based on an

extensive survey on 295 reports in the past 10 years with the focus on the implemented chemistry and composition, and its correlation to battery performance. An outlook on supply risk factor for NIB technology along with performance insights based on a large experimental data set as shown in this work, can set clear directions for future research efforts and pave the path for design of next generations of NIB cathode materials from sustainable and abundance resources.

5.2. Elements Applied in Cathode Materials for NIBs

The research on NIBs has been on the rise since 2010, mainly due to their high-power density and cost-effectiveness. Even though there have been several studies on cathode materials with different structures and elemental compositions^{22,60,173,227}, no benchmark NIB cathode is considered in the battery community. Designing new cathode materials with high energy density, sustainability, and long cycle-life is crucial for NIBs to compete with current LIBs. Habib et al. reported²²⁸ a study on the resource assessment for passenger EVs based on the baseline, moderate, and stringent scenarios. They estimated an increase to a total number of 2.25–2.6 billion passenger vehicles in 2050 compared to 1.13 billion in 2011 and EVs will take over from 24% up to 73% of these passenger vehicles. The authors also pointed out that the use of critical elements in batteries might be the possible limiting factor, impeding the transition to EVs in the near future.²²⁹ In this context, a sustainable design of cathode materials is crucial as all cathodes contain transition metal ions^{230–232} and many of which are considered as "critical" due to natural or geopolitical constraints. The main transition metals used in sodium cathode materials are manganese (Mn), Iron (Fe), Aluminum (Al), Titanium (Ti), Nickel (Ni), Vanadium (V), and Cobalt (Co). The metals are sorted in order of their price per ton and are shown in Figure 5.2(a). The overall abundance of the elements

in the earth's crust⁵⁹ is shown in Figure 5.2(a). Among these elements, Al and Fe are the most abundant, while Ni and Co have the lowest abundance in the earth's crust.

Metals can be extracted from ores and rocks as the naturally formed compounds in the Earth's crust. The minerals with high enough concentrations of desired metals can be considered as a source of metals. There are four common mining methods for the extraction of metals: underground mining, open surface (pit) mining, placer mining, and in-situ mining.²³³ Underground mining requires to dig down (varied between 300-3000m) into the earth with horizontal tunnels and vertical and diagonally sloped shafts to reach the ore deposits. It is considered as a suitable method for minerals located deep under the surface of the earth. The open surface or pit method requires removal of the plant life, soil, and potentially bedrock to access the deposits of ore, which is suitable for minerals located closer to the earth's surface.



Figure 5-2. (a) The abundance of elements in the earth's crust and the main methods of their mining. (b) Spider plot comparing various desirable parameters for the main mining methods. (c) Share of global reserves for the main metal elements presented on the world's map. (d) Supply risk for the main metal elements from 2015 to 2030.

Placer and in-situ mining are expensive techniques, but they cause minimal disturbance to the surface and minimal waste rock generation. The placer method separates metals from sediments through sifting.²³⁴ In-situ method or solution mining is an in-place extraction approach by injecting a chemical solution to dissolve the minerals in their original location and pumping back the solution including the minerals (known as the pregnant solution) to the surface. There is no extraction of rocks and ore to the surface for any process.²³⁴

From the scientific point of view, the choice of mining method²³³ is usually determined based on four main factors: (i) the location of the mineral, (ii) the financial value of the deposit, (iii) environmental considerations, and (iv) the chemical composition of the mineral. However, other external parameters can also have a great impact, such as (i) energy efficiency, (ii) governance (characterizing the adequacy of national political and regulatory institutions), (iii) cost (including logistic, production, and labor), (iv) social impacts (reflecting the national and regional socioeconomic factors of vulnerability such as poverty, inequalities, and demographic imbalance), and (v) environmental effects (impacting waste containment including climatic and topographic factors, water resources and availability, biodiversity).^{235,236} The impacts of these external factors on the four mining methods are compared in a spider plot in Figure 5.2(b). Open surface and underground mining methods possess higher energy efficiency, lower cost, and better governance compared to placer and in-situ mining methods and are mainly used for Mn, Fe, Al, and Ni. On the other hands, placer and in-situ mining methods are considered as better social and environmentally friendly methods used for V and Co, although exhibiting lower energy efficiency and higher cost.

5.3. Global Reserves and Supply Risk for Critical Elements

The share of global reserves for main metal elements in the world is shown in Figure 5.2(c).¹² These values are presented in percent of the world total and are reported for the countries with above 3% global shares. The unbalanced geographical distribution of some critical metals highlights the limitation of the supply chain around the world. For example, the Democratic Republic of Congo solely retains more than 60% of global reserves for cobalt while Russia, Australia, and Cuba are the following countries with less than 5% of the global share in each country. Another critical element is vanadium, which is distributed mainly in China, Russia, and South Africa with about 56%, 25%, and 11% of the global share, respectively, leading to above 92% of the total global share. On the other hand, manganese is distributed in Australia, Asia, Africa, and South America, yet there is a lack of resources in North America. Overall, the unequal geographical distribution of critical elements leads to long-term economic, ecological, and political challenges over the world especially for countries with no sufficient share reserves.

The limited share of global reserves and the increasing demand for critical metals have a great impact on their economic values around the world.^{237–239} Therefore, ensuring a sustainable supply of these metals is the essential key for industrial and large-scale manufacturing. The supply risk index, defined as the ratio between demand over supply, for some critical metals from 2015 to the forecast of 2030 is presented in Figure 5.2(d). Co, V, and Ni are predicted to suffer high supply risks with a rapid demand in the coming years. This risk will be substantial by 2030 and the demand can hit the supply need.

In order to ensure a sustainable supply of critical metals for future applications, recycling could be an essential solution. Direct, pyrometallurgy, and hydrometallurgy recycling methods have been extensively developed for LIBs,^{11,240,241} which can thus be translated to Na-ion

technology. Even though several encouraging achievements have been obtained in the field of NIBs^{242–245}, further studies are required to develop more sustainable recycling methods that can be applied to different types of materials.²⁴⁶ Furthermore, battery recyclability and planning for batteries' end of life (EOL) must be considered in the design step to minimize environmental and economic effects.^{247,248}

5.4. Main Sodium Cathode Categories - Oxides, Polyanions, and PBAs

Over the past 20 years, research for positive electrode materials in NIBs has been mainly centered around layered oxides, polyanions, and PBAs. The representative crystal structure of these materials and their general formulae are given in Figure 5.3(a). In this Figure, M is representative of the transition metals (TMs) in these structures and the most common TMs for each category are listed as well. In LIBs, there is a great interest focusing on high-Ni NMC-based layered oxides (LiNi_xMn_yCo_{1-x-y}O₂); nevertheless, there is no clear trend on which class of sodium cathode materials should be the main target for successful commercialization.

To gain insight on the most promising candidates for the next generation of sustainable NIBs cathode structures, one needs to hold a full picture of the current experimental results in the literature. Here, we summarized the performance of the 295 Na-ion half-cells using oxide, PBA, or polyanion as cathode materials. The upper cut-off voltage (V) versus the 1st discharge specific capacity (mAh·g⁻¹) and the capacity retention (%) versus energy density (Wh·kg⁻¹) are shown in Figure 5.3(b) and Figure 5.3(c), respectively. The size of the circle diameter represents the number of cycles.



Figure 5-3. The three main types of cathode materials for SIBs. (a) A representative crystal structure of oxide, polyanion (NASICON), and PBA. The performance of the 295 NIB system is presented as (b) Upper cut off voltage versus capacity, and (c) Capacity retention versus energy density.

Overall, we can observe that high energy densities do not lead to high capacity-retentions and long-term cycling. On average, layered oxides exhibit a higher specific capacity and energy density compared to polyanions and PBAs, owing in part to their lower molar mass, but they usually suffer from shorter lifetimes. It should be noted that most layered oxides possess a high electronic conductivity in the pristine or desodiated states, allowing them to exhibit excellent electrochemical performance even without any coatings. On the other hand, polyanions are usually electronic insulator due to the strong covalent bonds in the structure and thus carbon coating is widely used to help them achieving good electrochemical behaviors, especially at high current rates. In layered oxides, the layer exfoliation and high-volume expansion occur during cycling, leading to capacity loss and short lifetime. The strong covalent bonds in polyanions and PBAs result in a robust network that can support long-term cycling (Figure 5.3(c)).

It is important to note that the operating voltage, stability, and energy density of the oxides strongly depend on the structure, Na-content, and the nature of the transition metals present in the composition. Furthermore, most electrolytes reported in the literature utilize organic carbonate- or ether-based solvents, exhibiting an upper stable voltage at ~4.2-4.5 V vs. Na⁺/Na, which also limits the performance of cathode materials.

To achieve a compositional design of a sustainable cathode material, implementation of advanced tools such as machine learning on predictive models with descriptors such as crystal structure of materials, their surface characteristics, and their electrochemical performance is vital.^{249–253} Figure 5.4 summarizes the energy density and capacity retention of different sodium cathode materials depending on their crystal type, space group, and TM-content in the composition.

Layered oxides (Na_xMO₂) are generally classified by the Na crystallographic site and the number of the metal oxide sheets (MO₂) in the stacking sequence. In layered oxides, Na⁺ can reside in the prismatic (P) or octahedral (O) sites between MO₂ sheets, and Delmas et al.¹⁷⁵ suggested that the resulted structure should be called as P- or O-type. These characters are followed by an index indicating the number of MO₂ slabs required to generate a repeating unit. While O-type structure is exclusively encountered in Li_xMO₂, the large ionic radius of Na⁺ allows the stability of both O- and P-types in Na_xMO₂ with P2 and O3 are the two common ones.



Figure 5-4. Energy density (Wh·kg⁻¹) and capacity retention (%) for the three main types of cathode materials for the most common phases used in NIBs. The size of the dot represents number of cycles while the color indicates the type of metal.

In P2-Na_xMO₂ layered oxides, Mn, Fe, Ti, Ni, and Co are the most used TMs. Mn is the most frequent TM used in P2 oxides in the range of (0.5-1) thanks to its low cost, high abundance, and good electrochemical performance that can ensure high energy densities. Many Mn-rich layered oxides (Mn-content of 0.7–0.8) show high capacity-retention and high cycle life as the electroactivity of Mn can be activated in the average potential range with minimal degradation of

the active material. Therefore, Mn-containing layered oxides are usually considered as the main cathode material^{254,255} for NIBs while other substitutions can be implemented to further enhance their performance.

The presence of Ni can increase the operating voltage and thus the energy density; however, high voltages always lead to severe degradation processes. This leads to a limited Ni usage in the layer oxides (usually in the range of 0.1-0.3).^{256–265} Co can increase the intrinsic electronic conductivity of the materials and its usage in a higher amount is desired to optimize the cycling rate and capacity retention. However, our dataset shows that it will be at the cost of energy density.^{266–268}

Ti- and Fe-substitutions are also widely used. The presence of Ti usually lowers the voltage and energy density of the active materials,^{269,270} but its usage at low concentration helps to shift down the operating voltage to the electrochemical window of most currently available electrolytes and to lead to higher energy density and capacity retention.^{271–274} Fe-substitution in Na_xMO₂ usually increases the material's theoretical capacity thanks to the reversible activity of Fe⁴⁺/Fe³⁺ redox couple at high voltages in sodium layered oxides.^{275,276} Furthermore, a recent study has shown that the presence of Fe³⁺ also helps to obtain reversible anionic activity.²⁵⁶ However, a closer look showed us that higher Fe content can lead to lower capacity retention in a wide range of energy densities.^{277,278} It should be noted that the electrochemical performance of a material does not depend solely on one kind of ion substitution, it depends greatly on the presence of other cations in the structure and the mutual interaction between them. In general, P2-Na_xMO₂ can potentially enable higher rate capability due to the facile sodium ion mobility through the adjacent trigonal prismatic environment.^{181,182,279–281} This feature is unique to P2 sodium materials as a superior advantage compared to LIB cathodes. Similar analysis on O3-type oxide cathode materials shows that most materials reported in the literature contained an Mn-, Co-, or Ni- content with less than 0.5 ratios while Fe-content was usually in the range of 0.25–0.75. High Mn- and Co-content usually leads to higher energy density and capacity retention^{282,283} while high Fe- and Ni-content tends to result in lower energy density but higher capacity retention.^{284–289} In order to achieve a sustainable supply, Mn-based compositions should be the main focus for layered oxides, where their physical/electrochemical properties can be modulated through the incorporation of other metal ions. The choice of the metal substitution depends on the requirement of the applications, such as voltage, specific capacity, or cycle life.

The second category of the cathodes is polyanions. The crystal structure of polyanions is quite rich and depends strongly on the nature and the interconnection between the polyhedral constituting the framework. The main space groups encountered in this class of materials are *C*2, *P*1, *P*2₁/*c*, *P*4₂, *Pn*, and *R*-3*c* (Figure 5.4). For NASICON-based materials, *R*-3*c* is the dominant space group; nevertheless, Na⁺ ordering can also occur with a symmetry reduction to monoclinic systems.²⁹⁰ Strong covalent bonds between polyhedral units enable this type of material to support long-term cycling with minimal structural degradation.

Figure 5.4 presents that V, Mn, and Fe are the widely used TMs in Na polyanions cathode materials. Fe/V-content is mainly used in the range of 0.5–1 thanks to their great feasibility to reside in the different polyanionic frameworks. Among them, V-based polyanions can enable high capacity and long-term cycling. While Mn, Ni, and Co dominate layered oxides research, their presence in polyanions is quite modest. This could be explained by their specific chemical and crystallochemical properties where Mn, Ni, and Co can hardly be incorporated in the polyanionic framework. Except few structures such as olivine (LiMPO4), Mn, Ni, and Co can only exist in

polyanionic frameworks at a minor portion (less than 0.5) thanks to the presence of other structural stabilizers. Some important examples of these compounds are $Na_xMV(PO_4)_3$ (M = Mn, Ni,).^{291,292}

The energy density of polyanions can be engineered by modulating the operating voltage by varying the nature of the electroactive ions or the counterpart anions. For example, incorporating fluorine (the most electronegative element) into some polyanion structures^{293,294} allowed an operating voltage up to 4 V vs Na⁺/Na with high energy densities. Despite this feature, the development of polyanion materials with low-cost and earth-abundant elements is necessary and is still an ongoing research challenge.^{295–298}

The third category of sodium cathodes is PBAs with the general formula of $A_x M_y [M'(CN)_6]_z \cdot nG$. PBAs structures include one or more transition metal ions (in M and M' sites) coordinated by CN⁻ ions to form hexacyano complex. The connection between $[M'(CN)_6]^{n-}$ units results in opened channels allowing a fast ionic diffusion process inside the structure. Their crystal structure can be indexed in the *Fm*-3*m*, *R*-3, or P2₁/*n* space group depending on the degree of distortion induced by the amount of Na⁺, water molecules, and the nature of the electroactive center. Most PBAs utilize abundant elements such as Mn and Fe, making this class of cathode materials one of the best price-to-performance ratios reported to data.^{224,299} Mn- and Fe-based PBAs can provide a wide range of energy densities (200–600 Wh·kg⁻¹) and capacity retentions over relatively high number of cycles in non-aqueous electrolytes (Figure 5.4).

The accessible specific capacity strongly depends on the stoichiometry of the structure and the initial Na⁺ concentration. Generally, the electrochemical cycling of the PBAs is categorized into two main classes: (i) only hexacyanometallate active group, and (ii) active M site TM as well as the hexacyanometallate active group.²²⁴ The class (ii) with higher electron transfer reactions is more favorable in practical batteries by enabling higher specific capacity. Manganese

hexacyanoferrate is the most well-known and commonly used PBA cathode material with two active sites of transition metals offering two electron transfers. Recently, cobalt hexacyanoferrate is also introduced as another type of the PBA cathode material with this property^{300,301}, yet low yield of synthesis considering the high cost of cobalt suppresses the large-scale applications. The number of the electrochemical active TM also affects the operating potentials. For example, an active polarized M site TM can tune the inductive effect on M'(CN)₆ leading to higher operating potentials. These cathodes with two electron transfers are considered among the highest energy density cathode materials with more than 150 mAh·g⁻¹ specific capacities in above 3 V operating voltages in NIBs.

One of the limiting factors in TM selection in PBAs is originated from the synthesis procedure to obtain a stable and insoluble PBA material. Most common bulk synthesis methods are from the reaction of a transition metal salt (M^{m+}) with a simple cyanide (CN^{-}) or with a hexacyanometallate salt ($[M'(CN)_6]^{n-}$). Although using the simple cyanide results in high yield production, but it limits the material to only one type of the transition metal resulting in lower specific capacity. On the other hand, utilization of hexacyanometallate salt is considered as a flexible and high yield method and is the main method used in the most patent documents using PBA cathode materials. One of the well-studied materials with this method is manganese hexacyanoferrate with sodium-rich initial composition enabling full two electron transfers.³⁰² Another synthesis method for PBAs is through the decomposition products of a hexacynometallate salt.^{303,304} This method leads to a highly crystalline and fine primary crystal grain size; however, it is not a suitable method for large batch production and scalability due to the required high temperature or pH and large quantity of HCN as the by-product.^{305,306}

Moreover, the long cycle-life of PBA materials is mainly limited by the electronic conductivity in the bulk of the active materials due to the limited reversible sodium intercalation into the bulk structure.³⁰⁷ This point highlights the importance of the size and morphology control in this type of materials. Many on-going researches have focused on the employment of multi-electroactive centers to enhance the stability and the electrochemical performance of this class of materials. For example, Moritomo et al.³⁰⁸ showed lower capacity loss by partial substitution of the Mn with Fe or Ni in manganese hexacyanoferrate.

In general, the energy density of polyanions and PBAs are not as high as those of layered oxides, which is a penalty of the high weight of the anionic part. However, the cyclability of polyanions and PBAs are greatly higher than oxides with the average number of cycles for oxides, PBA, and polyanions are 93, 257, and 686, respectively. The robustness of polyanions and PBAs helps them to find their place in applications where energy density is not the critical criterion, such as large-scale applications in grid storage or aqueous batteries.

Yet, the cyclability of NIBs is inferior to LIBs at the moment. This can be due to several factors such as (i) less advancement in design and structure of the sodium cathode materials, (ii) more sensitivity of the cathode materials to moisture and carbonates which results in more limitations in preparing and handling of the cathode materials, and (iii) limited electrolyte study and development in NIBs. Majority of the studies in NIBs are still in discovery stage with the focus on the synthesis and development of materials with different compositions and their electrochemical performance in limited time and conditions. Moreover, there is a very limited attention to the long cycling performance and the mitigation of the degradation mechanisms. In overall, as suggested, development of next generation of NIBs for large-scale production, require a comprehensive investigation with more than thousands of cycles.

It is important to note that to reach a sustainable design for NIBs, one needs to hold a full picture of all battery components including anode and electrolyte. As such, while the current work provides a practical framework to screen and design of the cathode structures, it is crucial to develop similar datasets with a focus on the anode and on the electrolyte.

5.5. Data Reporting Standard for NIBs

In the literature, P2- and O3-layered oxides have generally shown comparable energy densities and capacity retention, however, it is noteworthy that P2 materials have often been tested under more rigorous conditions compared with O3 (higher applied current densities, longer cycle numbers, and deep discharge). On the other hand, PBAs and polyanions have shown noticeably longer cyclability than layered oxides. Unfortunately, due to large variations in these testing conditions, it is difficult to accurately evaluate the overall performance across all materials.

As outlined in this manuscript, the sustainable design of the cathode materials is crucial for feasibility studies of next generation of sodium ion batteries. Our in-depth review demonstrated that there several topics that are not well explored in current NIB studies such as, (i) cathode degradation mechanism, (ii) cathode-electrolyte interphase (CEI) design, and (iii) SEI engineering. Despite their vital importance, there has been very limited studies on these topics that requires more in-deep fundamental investigations using advanced characterization techniques. Future studies on these can provide a better outlook on the next generation NIBs.

Commercialization and manufacturing of batteries are mostly not considered at laboratorylevel research. Investigations at cell-level are necessary for the materials level evaluations, however, scale-up needs investigation for optimal parameters and conditions at large-scale formats.³⁰⁹ Academia with laboratory-scale studies needs to be linked to the industry with largescale applications. This approach saves the extra costs in research and facilities and helps to facilitate the path towards manufacturing.



Figure 5-5. Statistical summary of reported data from 295 sodium-ion half-cells reported in the literature.

The statistical summary of reported data from 295 sodium-ion half-cells published in the literature is shown in **Figure 5.5**. The data shows that only about 37% of the studies reported the cathode loading (data varies between less than 1 mg/cm² (0.8 mg/cm^2) to 10 mg/cm²) and only less than 1% of them reported the electrolyte amount. It is also observed that about 69% of the studies reported the cell structure. Among these, the coin-cell type (CR2016 or CR2032) is the

main information stated while the coin-cell components are not commonly mentioned. Only about 2.8% of the total systems have reported details about coin-cell components.

To this end, it is necessary to have a standardized and transparent data reporting in the battery community. It is also important to develop a common set of testing protocols among NIB battery researchers and developers to regulate common testing parameters. The following information is vital in battery data reporting: areal capacity, cathode loading and composition, conductive agent and binder types and contents, electrolyte amount, cathode to anode ratio, separator type, cycle number, applied current density, operating temperature, and cell configuration. Similar sets of protocols have already been laid out by the Battery500 Consortium led by the US Office of Energy Efficiency & Renewable Energy for LIBs as it was followed in the work of Niu et al³¹⁰. These protocols mandate participating researchers c in order to facilitate the evaluation of LIBs. If applied to NIB research, it can serve to both create transparency across reports in the literature, as well as streamline resources toward the most urgent challenges faced by NIBs.

5.6. Conclusions

Manufacturing sustainable green and low-cost NIBs with high energy density based on earth-abundant elements can play a significant role in the next generation of energy storage systems. In order to establish a material design outlook for this goal, here we critically evaluated 295 research articles based on various cathode structures for NIBs, published in the past ten years. Given the importance of future material supply in such perspective, we evaluated main metal elements (Mn, Fe, Al, Ti, Ni, V, and Co) used in sodium cathode materials using the following metric: abundance in the earth's crust, global share reserves, side-effects of their mining methods, and their supply risk. Our perspective shows that Mn and Fe satisfy most promising criteria for sustainable designs.

While the recent studies show encouraging results of enhanced energy density and overall cycle performance in oxides, polyanions, and PBA cathode materials, cross analyzing all reported results suggest that higher energy density does not lead to higher capacity retention and cycle life in all cases. Considering this broad outlook suggests that a cathode metal needs to be tailored in detail for optimum capacity and electrochemical cell performance.

We acknowledge that this data set still has some limitations including the lack of data scalability from the half-cell to full-cell or consistent and coherent reporting of testing parameters. Thus, the analysis conducted here is not a universal standard for adoption but rather an example of a common database for NIBs which may be used to accelerate research efforts on this front. We believe such an effort would promote a more collaborative research environment, avoid unnecessary repetition of work, and benefit the entire battery community to advance the next generation of NIBs.

5.7. Acknowledgements

Chapter 5, in full, is a reprint of the material as it appears in the MRS Energy & Sustainability Journal. "Perspective: Design of Cathode Materials for Sustainable Sodium-ion Batteries" by Baharak Sayahpour, Hayley Hirsh, Saurabh Parab, Long Hoang Bao Nguyen, Minghao Zhang, Ying Shirley Meng 9.2 (2022): 183-197. The dissertation author was the primary investigator and author of this paper.

6. CHAPTER 6 – QUANTITATIVE ANALYSIS OF SODIUM METAL DEPOSITION AND INTERPHASE

6.1. Introduction

Recent increase in global energy consumption and environmental deterioration has accelerated the demand for clean energy storage systems. Currently, lithium-based energy storage technologies, such as lithium-ion batteries (LIBs), are known as leading technologies for a wide range of applications from small portable electronics to electric vehicles.³¹¹ This high demand of lithium resources and its increasing cost highlights the need for the exploration of alternatives/complementarities clean technologies to lithium-based systems.^{165,312} During the past decade, sodium-ion batteries (SIBs) have shown great promise for sustainable and cost-effective energy storage systems in grid-scale and transportation applications.^{13,20,21,226,313–315}

Despite advances in cathode materials for sodium-ion systems^{19–21}, development of a stable anode and electrolyte is still the key limiting factor in large-scale utilization of this battery technology.^{17,22,23} Unlike LIBs, graphite cannot be used as anode material for SIBs due to its incapability to intercalate Na⁺ into the structure.^{24,25} Only Na⁺ in the solvated state with diglyme (bis(2- methoxyethyl) ether) can be co-intercalated into graphite; however, the capacity of the reaction is too low that it can hardly find any practical applications.^{26,27} Hard carbon was introduced as a carbon-based negative electrode alternative to graphite; however, hard carbon is not an impeccable anode for SIBs due to several drawbacks: (i) relatively low specific capacity (~ 300 mAh·g⁻¹), (ii) high irreversible capacity loss due to Na trapping in the first sodiation, and (iii) poor understanding of the sodiation mechanism.^{28,29}

Among a wide range of possible anode materials for SIBs, sodium metal is the ultimate one thanks to its high theoretical specific capacity (1166 mAh·g⁻¹) and low reduction potential (- 2.73 V vs. standard hydrogen electrode) (Table 1.1).¹⁶ Nonetheless, there exist several challenges

hindering the large-scale utilization of sodium metal as a practical anode, mainly associated to its low melting point and high reactivity. Consequently, a considerable amount of electrolyte is consumed in the first cycle, leading to a significant solid electrolyte interphase (SEI) formation process as well as low initial columbic efficiency (ICE) and poor cyclability.^{61–63}

To overcome these challenges, several strategies have been proposed: (i) current collector modification by employing a porous three-dimensional structuring or an artificial coating that helps to lower the nucleation overpotential to enable a uniform electric field and ion-flux distribution on the electrode surface^{316–322}, (ii) electrolyte engineering to enable a stable and robust SEI layer that can enable a uniform sodium nucleation^{323–328}, and (iii) application of an artificial SEI layer as a protective coating on sodium surface^{329–333}. Whatever the nature of the utilized approach is, the key factor to enable sodium anode is the synergistic effect of the electrolyte, SEI, and sodium metal itself. It is vital to consider that electrolyte as the unique component with direct physical contact to all cell components requires to be particularly taken into account in the investigation of anode or cathode, separately.¹⁸

Applying stack pressure is another approach that has previously been discussed for lithium metal systems for a long time. Moly Energy Limited published a patent in 1985 on methods for making a battery that mentioned the stack pressure as a factor to control the preferable Li deposition.³³⁴ Later, Hirai et al. showed that Li dendrite formation in Li metal anode can be controlled by applying uniaxial pressure using a coin-cell setup which leads to improve ICE and life.335 cycle Recently, our group extensively established the concept of "Pressure-Morphology-Performance" correlation as a rational design for improving the performance of Li metal batteries.^{81,336–338}

Similar behavior is expected to be observed for all metal anodes; nonetheless, no systematic study has been reported for Na metal anode at the present time. An investigation on the effects of applied pressure can open another possibility to control the performance of Na metal to enable high energy density SIBs.

In this chapter, the effect of pressure on the morphology, the electrochemical performance, as well as the mechanism of capacity loss of Na metal anode in ether- and carbonate-based electrolytes is comprehensively investigated. For the first time, the sodium capacity usage and loss in the first cycle at different applied pressures was evaluated by titration gas chromatography. Interestingly, the obtained results indicate that SEI formation is main cause of capacity loss for Na metal anode; nonetheless, this phenomenon can be mitigated by applying a suitable pressure on the cell.

Through X-ray photoelectron spectroscopy (XPS), cryogenic scanning transmission electron microscopy (cryo-STEM), and cryogenic electron energy loss spectroscopy (cryo-EELS) a dense and uniform SEI layer with a dominant presence of organic species on the surface and inorganic species underneath is detected in ether-based electrolyte. On the other hand, the SEI layer in carbonate-based electrolytes is rather thick with a fluffy structure consisting of organic carbonyl and carboxyl species. Finally, a long-term cycling of Na||NaCrO₂ cell possessing a controlled amount Na metal is demonstrated with ether-based electrolytes. The cells exhibit excellent performance even at elevated temperatures. The great achievement reported in this study can pave the way in the development of high energy SIBs using Na metal anode.

6.2. Materials and Methods

Synthesis of $NaCrO_2$ Electrode Materials: NaCrO₂ (NCO) was synthesized from a stoichiometric ratio of Cr₂O₃ (99.97%, Alfa Aesar) and Na₂CO₃ (99.5%, Alfa Aesar). The mixture was pelletized and then calcinated under Ar at 900 °C for 10 h before being naturally cooled to room temperature. The purity of the powder was evaluated using X-ray diffraction (XRD) technique and showed as pure O3-type powder.

X-ray Photoelectron Spectroscopy (XPS): XPS was performed using an AXIS Supra by Kratos Analytica. XPS electrode samples were prepared inside an Ar-filled glovebox with < 0.1 ppm H₂O level. Unwashed samples were directly dried under vacuum before measurements. The XPS was operated using an Al anode source at 15 kV, scanning with a step size of 0.1 eV and 200 ms dwell time. The etching condition used was Ar⁺ mono mode, 5 keV voltage. The etching intervals was 60 s. XPS spectra was analyzed with CasaXPS software to identify the chemical composition on the surface of the electrodes.

Titration Gas Chromatography (TGC): TGC was performed using a Shimadzu GC instrument equipped with a BID detector and ultra-high purity Helium (99.999%) as the carrier gas. The samples were prepared in an Ar-filled glovebox with < 0.1 ppm H₂O level. Each sample was immediately transferred to a glass flask after disassembling and sealed using a septum under Ar. A 0.5 mL of ethanol was injected into the container to fully react with metallic sodium. After reaction completion, a 30 µL gas sample was taken from the container using a gastight Hamilton syringe and immediately injected into the GC. The amount of metallic sodium was quantified based on the amount of detected H₂ gas by the GC.

Cryogenic Focused Ion Beam Scanning Electron Microscopy (Cryo-FIB-SEM): The FIB-SEM was conducted on the FEI Scios Dual-beam microscopy; the discharged cells were disassembled in the Ar-filled glovebox after cycling. The samples were transferred to the FIB chamber via quick loader without any exposure to air. The electron beam operating voltage was 5 kV, and the stage was cooled with liquid nitrogen to -180 °C or below. Sample cross-sections were exposed using a 1 nA ion beam current and cleaned at 0.1 nA.

Cryogenic Scanning Transmission Electron Microscopy (STEM) and Electron Energy Loss Spectroscopy (EELS): The sample was mounted to an airtight cooling holder from Melbuild to eliminate any contaminations to the Na metal-containing samples and transferred to the TEM column directly. HR-TEM results were obtained on ThermoFisher Talos X200 equipped with a Gatan Oneview camera operated at 200 kV with low dose capability. The image was acquired with minimum beam damage at spot size 6 with a dose rate of ~200 electrons * Å⁻² * s⁻¹. The STEM-EELS data was collected through UltraFast DualEELS Spectrum Imaging detector with an exposure time of 0.02 s, and the dispersion energy was 0.25 eV per channel.

Electrochemical Impedance Spectroscopy (EIS): EIS was performed with an applied AC potential of 10 mV in the frequency range of 1 MHz to 0.01 Hz, using a Solartron 1260 impedance analyzer. The EIS measurements for each case were performed on the same coin cell in the three steps.

Electrochemical Tests: The electroplating was performed in a custom-made pressure setup with Aluminum foil (MTI Corp.) as the current collector, rolled sodium metal as the counter electrode (Sigma Aldrich, \geq 99%), and Celgard 2325 as the separator. The electrolytes were made using battery-grade sodium hexafluorophosphate (NaPF₆) salt from STREM Chemicals dissolved in dimethoxyethane (DME) from Sigma Aldrich (anhydrous, 99.5%), and in 1:1 wt.% ratio ethylene carbonate (EC) and dimethyl carbonate (DMC) solvent from GOTION (battery-grade). The molar concentration of NaPF₆ was kept constant at 1 M for all electrolytes. The sodium was plated at a current rate of 0.5 mA·cm⁻² for a total capacity of 1 mA·cm⁻² and was stripped at the same current rate with a cut-off voltage of 1 V.

The electrochemical performance of electroplated sodium versus NCO cathode was tested using CR2032 coin cells. The electroplated sodium initially was prepared in our custom-made pressure setup and then used as anode in the coin-cell setup. Pure O3-type NCO powder was synthesized using a solid-state synthesis method and used as the active material in cathode electrode. The cathode electrodes were prepared by casting the slurry (80 wt% NCO, 10 wt% super C65 conductive agent, and 10 wt% polyvinylidene fluoride (PVDF) binder) on Al foil and then dried overnight at 80°C under vacuum. The NCO theoretical capacity was considered as 120 mAh·cm⁻² in C-rate study. A controlled amount of 55 μ L of 1M NaPF₆ in DME was used as the electrolyte. Detailed summary information of the coin-cell testing specifications are presented in Table 6.2.

6.3. Results and Discussion

6.3.1. Impact of electrolyte compositions on ICE of Na metal anode

Current battery research depends heavily on the use of ether- and carbonate-based electrolytes. Some of the most used carbonate solvents are propylene carbonate (PC), ethylene carbonate (EC), dimethyl carbonate (DMC) or fluoroethylene carbonate (FEC), while dimethoxyethane (DME), diethylene glycol dimethyl ether (DEGDME/diglyme), and tetraethylene glycol dimethyl ether (TEGDME) are widely used ethers. A summary of some physical properties of common carbonate- and ether- based solvents are presented in Table 6.1.

		Chemical Structure	Viscosity (η) [cP at 25°C]	Dielectric Constant (ε at 25°C)	Melting Point (T _m) [°C]	Boiling Point (T _b) [°C]	Flash Point (<u>T</u> t) [°C]
Carbonate-based	PC	∖	2.53	64.92	-48.8	242	132
	EC		1.9	89.78	36.4	248	160
	EMC	~~~~	0.65	2.958	-53	110	
	DMC	↓ ↓	0.59	3.107	4.6	91	18
	DEC	~~~~	0.75	2.805	-74.3	126	31
Ether-based	DME	Me O Me	0.46	7.18	-58	84	0
	DEGDME	H ₃ CO ^O OCH ₃	1.06	7.4	-61	162	57
	TEGDME	H ₃ C ⁻⁰	3.39	7.53	-46	216	111

Table 6-1. The physical properties of the common carbonate- and ether- based solvents. Abbreviations: PC, EC, EMC, DMC, DEC, DME, DEGDME, TEGDME.

As these solvents possess different dielectric constant, viscosity, and chain length, the solvation energy and thus the reactivity of solvated Na⁺ would be different. In order to investigate the impact of solvents and salts on the ICE of Na metal anode, Na plating and stripping was performed with different solvent and salt combinations. Aluminum foil was used as the current collector for the Na plating/stripping experiment with rolled Na metal as the counter electrode. All the experiments were performed in the coin-cell configuration with an expected internal pressure of about 150 kPa. The obtained results reveal that ether-based electrolytes can enable high ICE (\sim 60–80%), regardless the nature of the salt.

On the other hand, the ICE in carbonate electrolytes is rather poor and an additive, e.g., FEC, is required to improve the performance of the cell. In a recent study on Li metal system, our group has reported that the irreversible capacity loss in the first cycle is mainly related to the dead

lithium metal due to the loss of contact with the current collector rather than SEI formation.⁸¹ These two contributions can be deconvoluted using TGC technique, in which the amount of dead metal can be quantified by measuring the amount of hydrogen gas released in the reaction with a proton source, such as water or ethanol, $M^0 + H^+ \rightarrow M^+ + \frac{1}{2} H_2$ (g).

One major difference in the case of sodium metal compared to lithium metal is the use of aluminum (Al) as the current collector instead of copper (Cu). Al is not reactive with sodium at the reduced potentials despite the case of lithium and is widely used as the current collector in sodium ion batteries due to its higher abundance (abundance in earth's crust: 8.23% for Al and 0.0068% for Cu)⁵⁹ and lower cost than Cu. However, Al cannot be used in TGC method with water as the solvent because of the formation of the hydrofluoric (HF) acid as a side reaction of water with NaPF₆ salt or possible SEI components, such as sodium fluoride (NaF), that further can generate H₂ gas in reaction with Al.⁸² Because of this matter, we utilized ethanol (EtOH) as the solvent in TGC study for the case of sodium metal.



Figure 6-1. The controlled TGC experiment on standard commercial powders showed no hydrogen generation. This test was performed using ethanol as the solvent.

Furthermore, a set of controlled experiments were performed on the available commercial sodium powder standards and salts to evaluate the possible formation of H_2 gas from the other sources. The results of these controlled tests are shown in Figure 6.1.

The TGC quantification after one cycle of plating and stripping reveals that SEI formation is the main cause (\sim 75–85%) of capacity loss for Na metal anode regardless of the solvents or salts (Figure 6.2), which is consistent with the discussions reported in previous studies.^{48,219,339}



Figure 6-2. Quantification of capacity usage and capacity loss using TGC method. Analysis of capacity usage and capacity loss are shown in (**a-b**) using 1 M NaPF₆ in different solvents; carbonate-based and ether-based, and (**c-d**) using 1 M of the different salts in EC:DMC and in DME.

This behavior is completely different to that of Li, in which dead metallic Li is the main source of capacity loss. The difference is the capacity loss mechanism agrees completely with the chemistry of Li and Na, in which Na is highly reactive that can react rapidly with the electrolyte components to form SEI.

Our previous studies showed that the applied pressure can play an essential role on the ICE value of Li metal anode, two representative electrolytes for the ether- and carbonate-based families, e.g. $1M \text{ NaPF}_6$ in DME and $1M \text{ NaPF}_6$ in EC:DMC (1:1), are here chosen to demonstrate the effects of pressure on the performance of Na metal.

6.3.2. Pressure effect on the morphology and capacity usage of plated Na metal anode

In order to investigate the impact of stacking pressure, Na plating and stripping under controlled uniaxial pressure were performed using our custom-made setup^{338,340} (shown un Figure 6.3) with the applied pressure varied in the range of 10–375 kPa. After one plating and stripping cycle, the Al current collector was recovered for TGC measurement. The obtained results show a correlation between the applied axial pressure with the ICE, in which an optimum applied pressure is required to maximize the ICE values (Figures 6.4(a-b)).



Figure 6-3. The schematic of the pressure setup and the cell components used in this study. The axial pressure was controlled using the load cell.

It should be mentioned that the increase of the uniaxial pressure above the optimal values did not help to improve the ICE. Interestingly, the optimum uniaxial stack pressure for ether-based electrolyte is lower than carbonate one, e.g., ~180 kPa vs. ~250 kPa (Figures 6.4(a–b)).



Figure 6-4. The first cycle columbic efficiency of sodium on Al foil under different applied axial pressure (a) in DME and (b) in EC:DMC electrolytes. Analysis of the capacity usage for applied axial pressures using TGC method are also shown. Cross-sectional cryo-FIB-SEM images of the platted Na at opt. pressures (c) in DME and (d) in EC:DMC.

The origin of this difference could be related to the physical and transport properties of the electrolytes, such as viscosity, solvation energy, and diffusion coefficient of Na⁺, as well as the morphology of the deposited sodium. Even at the optimum pressure, SEI formation is still the main cause of the capacity loss, which is the intrinsic property of Na metal due to its high reactivity.

The morphology of sodium metal deposited at the optimal pressure was evaluated using cryogenic focus ion beam scanning electron microscopy (cryo-FIB-SEM). Comparing to lithium, sodium is highly reactive and beam (thermal) sensitive. The beam sensitivity has been extensively discussed as one of the main limiting parameters in using electron microscopy for lithium.^{106,341,342} This extreme instability is more severe for sodium element with a lower melting point (97.7°C for sodium versus 180.5°C for lithium) and weaker atomic bonding than lithium.^{22,343}



Top view – Before Milling

Top and cross-sectional view – After Milling



Figure 6-5. The surface of sodium is unstable at room temperature. The images at room temperature are shown for (**a-b**) top-view before milling, (**c**) top-view after milling, and (**d**) cross-sectional view after milling. The sample is freshly cut commercial sodium metal.

Top view – Before Milling



Figure 6-6. The surface of sodium is stable at cryogenic temperature. The images at cryogenic temperature are shown for (**a-b**) top-view before milling, (**c**) top-view after milling, and (**d**) cross-sectional view after milling. The sample is freshly cut commercial sodium metal.

Initial assessments and precautions on the necessity of using cryogenic condition for sodium metal imaging is discussed in Figures 6.5 and Figure 6.6.

The cross-sectional image of Na metal plated under optimum pressure in ether-based electrolyte shows a dense structure with no voids or porosity (Figure 6.4(c)). Similar morphology was also observed for deposited sodium in carbonate-based electrolyte under optimum pressure; however, the structure was less dense with the presence of small voids at certain areas (Figure 6.4(d)). The energy dispersive x-ray spectroscopy (EDS) elemental mappings on the cross-sectional cryo-FIB-SEM images are shown in Figure 6.7.


Figure 6-7. The cross-sectional cryogenic (**a**) FIB-SEM image of the deposited sodium in DME under 180 kPa and (**b**) the EDS elemental mapping in (**c**) Na, (**d**) Al, (**e**) C, (**f**) O regions. The cross-sectional cryogenic (**g**) FIB-SEM image of the deposited sodium in EC:DMC under 250 kPa and (**h**) the EDS mapping in (**i**) Na, (**j**) Al, (**k**) C, (**l**) O regions.

The top-view images of the platted sodium corresponding to Figure 6.4(c-d) are shown in

Figure 6.8.



Figure 6-8. Top-view cryogenic FIB-SEM images of platted sodium surface (**a**) in 1M NaPF₆ in DME electrolyte under 180 kPa uniaxial pressure and (**b**) in 1M NaPF₆ in EC:DMC (1:1) electrolyte under 250 kPa uniaxial pressure.

The cryo-FIB-SEM image of Na metal deposited at 10 kPa shows a dense sodium metal deposition in ether-based electrolyte, however, it shows a porous structure with whisker shape sodium deposition in the carbonate-based electrolyte (Figures 6.9), which agrees with the results reported by Hong et al.³⁴⁴ for the sodium platting without applied pressure.



Figure 6-9. Cross-sectional cryogenic FIB-SEM images of the platted sodium at 10 kPa uniaxial pressures (a) in 1M NaPF₆ in DME electrolyte and (b) in 1M NaPF₆ in EC:DMC (1:1) electrolyte. The sodium was platted at 0.5 mA/cm² for 0.1 mAh/cm² on Al foil.

Further at 10 kPa, thick sodium leftovers were visible after the stripping (Figure 6.10 for the case of 1M NaPF₆ in EC:DMC (1:1) and Figure 6.11 for the case of 1M NaPF₆ in DME) in carbonate-based electrolyte which agrees with the low ICE of the cell (<20%). At 250 kPa, less sodium leavings and more porous structure were observed after the stripping in agreement with higher ICE of the cell (<85%) (Figure 6.4). The porous network after stripping was a consequence of inhomogeneous SEI distribution in the plated Na layer. This porous structure and considerable residues at low pressures indicate a poor connection to Al current collector and further leads to the loss of the electronic conductive pathway.



Figure 6-10. Top-view and cross-sectional cryogenic FIB-SEM images of stripped sodium in 1M NaPF₆ in EC:DMC (1:1) electrolyte at (**a-b**) 10 kPa and (**c-d**) 250 kPa. The sodium was platted at 0.5 mA/cm² for 1 mAh/cm² on Al foil at 250 kPa uniaxial pressure and stripped to 1V at 0.5 mA/cm².



Figure 6-11. The cross-sectional cryogenic FIB-SEM images of the stripped sodium in $1M \text{ NaPF}_6$ in DME electrolyte under 180 kPa. The sodium was platted at 0.5 mA/cm² for 1 mAh/cm² on Al foil at 180 kPa uniaxial pressure and stripped to 1V at 0.5 mA/cm².

These observations indicate that applied uniaxial pressure greatly impacted the sodium nucleation and further facilitated the lateral growth on the surface of Al current collector (Figure 6.12, case of platted sodium at 10 kPa). One of the reasons for the difference in the morphology of the plated sodium at controlled pressure is the change of free energy stimulated by the compressive stress between nuclei and electrolyte/separator interface.³⁴⁵



Figure 6-12. Top-view cryogenic FIB-SEM images of platted sodium in 1M NaPF₆ in DME electrolyte under 10 kPa. The sodium was platted at 0.5 mA/cm^2 for 1 mAh/cm² on Al foil at 10 kPa uniaxial pressure.

The cross-sectional cryogenic FIB-SEM images of platted sodium under different applied uniaxial pressure are shown in Figure 6.13 for 1M NaPF₆ in DME and in Figure 6.14 for 1M NaPF₆ in EC:DMC (1:1).



Figure 6-13. Cross-sectional cryogenic FIB-SEM images of the platted sodium in 1M NaPF₆ in DME electrolyte under the uniaxial pressures of (**a**) 10 kPa, (**b**) 70 kPa, (**c**) 120 kPa, (**d**) 180 kPa, and (**e**) 250 kPa. The sodium was platted on Al foil at 0.5 mA/cm² for 0.1 mAh/cm² in (a) and for 1 mAh/cm² in (b-e). The scale bars are 5 μ m.



Figure 6-14. Cross-sectional cryogenic FIB-SEM images of the platted sodium in 1M NaPF₆ in EC:DMC electrolyte under the uniaxial pressures of (**a**) 10 kPa, (**b**) 70 kPa, (**c**) 120 kPa, (**d**) 180 kPa, (**e**) 250 kPa, and (**f**) 320 kPa. The sodium was platted on Al foil at 0.5 mA/cm² for 0.1 mAh/cm² in (a-b) and for 1 mAh/cm² in (c-f). The scale bars are 5 μ m.

Electrochemical impedance spectroscopy (EIS) was performed to grasp a better idea of the charge transfer resistance and Na⁺ diffusion in the first cycle under different stack pressures.^{346–348} EIS measurements were compared after the first platting and then the first stripping for both lowest (10 kPa) and optimum pressures (180 kPa for 1M NaPF₆ in DME and 250 kPa for 1M NaPF₆ in EC:DMC (1:1)). The results show a significant change in the charge transfer resistance under uniaxial applied pressure, implying a difference in the thickness and composition of the SEI layer. The Nyquist plots for the as assembled, after the 1st platting, and after the 1st stripping steps at 10 kPa and optimum pressures are shown in Figure 6.15.



Figure 6-15. EIS measurement of NaIIAl cells using both electrolytes at min. and opt. pressures at three stages. The data for DME is shown for (a) 10 kPa and (b) 180 kPa, and (c) compared in overall. The data for EC:DMC is shown for (d) 10 kPa and (e) 250 kPa, and (f) compared in overall.

The sharp increase of charge transfer resistance (from as-assembled to platted to striped) at 10 kPa in EC:DMC should be mainly due to the porous sodium deposition that makes a stronger barrier for charge transfer and can be better controlled at 250 kPa applied uniaxial pressure (Figure 6.15(f)). However, a distinct decrease in charge transfer resistance (from as-assembled to platted) in DME is more in line with the dense morphology of platted sodium. Further analysis of EIS data for Na⁺ diffusion demonstrates about 10^{5} – 10^{6} times better diffusion process in EC:DMC under optimum pressure versus about 10 times better in DME.



Figure 6-16. Change of ion diffusion under applied axial pressure. The results are shown for (a) 1 M NaPF₆ in DME and (b) 1 M NaPF₆ in EC:DMC (1:1) at the minimum and optimum pressures after the first plating and after the first stripping.

The details of ion diffusion calculations and results are presented in Figure 6.16. In overall, lower charge transfer resistance and better ion diffusion were observed under stack pressure for both electrolytes, with a more significant effect in carbonate-based electrolyte. This observation may also be a result of the difference in the morphology of the plated Na and the SEI compositions.

6.3.3. Chemical composition of the SEI layer

Cryo-STEM, cryo-EELS, and depth profiling XPS were used to evaluate the SEI thickness and its chemical composition in both electrolytes. Depth profiling XPS was performed on the surface of the stripped sodium (after the first cycle) in both electrolytes, 1M NaPF₆ in DME and 1M NaPF₆ in EC:DMC (1:1) at the optimum pressures. The results at the core levels of carbon (C), sodium (Na), oxygen (O), fluorine (F), and phosphorus (P) are shown in Figure 6.17.

he survey spectra presented in Figure 6.18 show no other elements as contamination or impurities on the samples. The aluminum (Al) signal is generated from the current collector used in the plating/stripping experiment. The atomic ratio of each element through the depth of etching on the SEI layer is summarized in Figure 6.17(a) for 1M NaPF₆ in DME and in Figure 6.17(b) for 1M NaPF₆ in EC:DMC (1:1). The data for the first step (surface) and the last step (after five steps of etching with 60s duration of etching in each step) are shown in these figures.

Elemental evaluation in both electrolytes demonstrates a decrease in carbon content versus an increase in sodium content when moving away from the top layer of the SEI. In carbonatebased electrolyte, the presence of carbon-containing species in the SEI is more important than in ether-based one (~30% vs. ~12% for the top surface layer). More carbonyl and carboxyl species are detected in carbonate-based electrolyte, specifically at the surface of the SEI layer.



Figure 6-17. The surface characterization of SEI layer using the depth profiling XPS on the stripped sodium sample in C 1s, Na 1s, O 1s, F 1s, and P 2p regions. The atomic ratio of each element through the depth of etching is presented for the case of (a) 1M NaPF₆ in DME, and (b) 1M NaPF₆ in EC:DMC.

The presence of sodium species on the surface of SEI in carbonate-based electrolyte (~9%) is very limited compared to ether-based electrolyte (~21%). Na–O, Na–CO₃, Na–F, Na–PFO, and Na–PO₄ are Na species detected in both electrolytes; however, Na–CO₃ is dominant in carbonate-based electrolyte while Na–O, Na–F, Na–PFO, and Na–PO₄ share similar contributions in ether-based electrolyte. Additionally, fluorine and phosphorus are two other important species in the chemical composition of SEI layers. NaPF₆ salt is the only source that can provide P and F in both electrolytes; however, the atomic ratio of the species containing these elements are different when going through the depth of the SEI layer.

Similar amounts of fluorine were detected in the underneath layers of SEI in both electrolytes (~26% in ether- and ~29% in carbonate-based electrolyte). This content increases toward the surface of the SEI layer in ether-based electrolyte to 37% while decreases in carbonate-based electrolyte to 24%. Phosphorus content also shows higher content (~11%) closer to the surface of SEI layer in ether-based electrolyte with similar underneath content (~5-6%) in both electrolytes. In overall, the main species in fluorine and phosphorus regions are Na–F, Na–PO_xF_y, and POF₃, and PF₅. The Na–F and PF₅ species mainly originate from the direct salt decomposition of NaPF₆ through the dissociation reaction (NaPF₆ \rightarrow NaF +PF₅).

On the other hand, Na–PO_x F_y , –PO₄ and POF₃ are largely resulted from the decomposition of carbonyl and carboxyl groups from the solvent and the complete or incomplete hydrolysis reaction of the salt with water residues. Higher contribution of Na–F, Na–PO_x F_y , and PF₅ in SEI layer confirms a more favorable salt participation that is observed for ether-based electrolyte in sodium, fluorine, and phosphorus regions.

Moreover, despite the similar amounts of fluorine and oxygen in the inner layers of SEI in both electrolytes, the trend of the atomic ratio in these two elements is reversed. It is observed that fluorine increases while oxygen decreases toward the SEI surface in ether-based electrolyte and, in reverse; fluorine decreases and oxygen increases toward the SEI surface in carbonate-based electrolyte. The contribution of species in oxygen and fluorine regions is in good agreement with carbon and phosphorus regions.



Figure 6-18. XPS spectra at different depth of etching on the stripped sodium in the binding energy range of 1200-10 eV. The spectra show the presence of Na, C, F, O, and P bonding and there is no impurity from any other elements. The data is shown for (a) 1M NaPF₆ in DME electrolyte and (b) 1M NaPF₆ in EC:DMC electrolyte.

In order to further evaluate SEI structure and chemical compositions, cryo-STEM and cryo-EELS are also performed on the stripped sodium samples. The cryo-STEM images recorded on the stripped sodium under optimum pressures show a uniform and thin SEI layer (~25–30 nm) in DME (Figures 6.19a), which is completely different to a thick and fluffy SEI layer (~1500-2000 nm) in EC:DMC (Figures 6.19b).

This observation is also in line with the difference in the charge transfer valued obtained from EIS measurements. Thicker SEI layer in carbonate-based electrolyte causes a long diffusion pathway that leads to a slow diffusion kinetic for electroplating. On the other hand, the uniform and thin SEI layer in ether-based electrolyte shortens the diffusion pathway that is a favorable factor of the system to enable efficient Na plating process. The thickness and porosity of the SEI depends directly on the chemical composition of the layer as the components consisting of the SEI possess different stabilities, densities, and preferential growing directions of the lattice plane.

The polycrystalline nature of SEI components was analyzed by the selected area diffraction (SAED) pattern in both electrolytes (Figure 6.19(c) and Figure 6.19(d)). Crystalline Na₂CO₃, Na₃PO₄, NaF, NaOH/Na, and Na₂O were detected in the SEI structures.



Figure 6-19. The surface characterization of SEI layer on the stripped sodium sample. The cryo-TEM of the stripped sodium (**a**) in 1M NaPF₆ in DME, and (**b**) in 1M NaPF₆ in EC:DMC (1:1). The SAED patterns show the crystalline structure of SEI components are shown in (**c**) 1M NaPF₆ in DME, and (**d**) 1M NaPF₆ in EC:DMC (1:1).

EDS chemical mapping was also performed on the SEI structures. SEI elemental composition in ether-based electrolyte (Figure 6.20) and carbonate-based electrolyte (Figure 6.21) show dominant carbon on the surface while sodium was detected more in-depth. These data are in a well agreement with depth profiling XPS results discussed earlier.



Figure 6-20. The EDS elemental mapping on the stripped sodium in 1M NaPF₆ in DME. (a) HAADF image, and elemental composition scans in (b) Na, (c) C, (d) overlaid of Na and C, (e) O, (f) overlaid of Na and O, (g) F, and (h) P regions. The sodium was platted at 0.5 mA/cm² for 1 mAh/cm² on TEM grid at 180 kPa and stripped to 1V at 0.5 mA/cm².

A detailed analysis of the SEI chemical compositions will give a better understanding on the surface chemistry of the SEI and indications on the design of new electrolytes to enable Na metal anode.



Figure 6-21. The EDS elemental mapping on the stripped sodium in 1M NaPF₆ in EC:DMC (1:1). (a) HAADF image, and elemental composition scans in (b) Na, (c) C, (d) overlaid of Na and C, (e) O, (f) F, and (g) P regions. The sodium was platted at 0.5 mA/cm² for 1 mAh/cm² on TEM grid at 250 kPa uniaxial pressure and stripped to 1V at 0.5 mA/cm².

Through the cryo-STEM, cryo-EELS, and depth-profiling XPS measurements, a uniform and thin SEI layer was detected in ether-based electrolyte in comparison with a thick and fluffy structure in carbonate-based electrolyte. Overall, the SEI layer possesses more inorganic components (rich in sodium, fluorine, and oxygen) in ether-based electrolyte as opposed to more organic species (rich in carbon and oxygen) in carbonate-based electrolyte. As most inorganic SEI components, e.g., NaF and Na₂CO₃, crystallize in close-packed structures, a thin and dense SEI layer was observed in ether-based system. On the other hand, the organic components, e.g., esters, usually possess long organic chains that prevents the material from packing in a dense structure, and thus a fluffy and porous SEI layer was formed in carbonate-based electrolyte.

This idea is also supported by lower ionic conductivity of Na₂CO₃ (5.69×10^{-23} S/cm at 25°C) compared to Na₂O (1.47×10^{-12} S/cm at 25°C) and easier deformation of Na₂CO₃ due to lower Young and Shear Moduli (31.9 and 11.47 GPa for Na₂CO₃ vs. 76.34 and 31.2 GPa for Na₂O).

Coin Cell Specifications	
Cell type	CR2032
Cathode active material	NaCrO ₂ – 80wt%
Binder	PVDF – 10wt%
Conductive Agent	Super C65 – 10wt%
Counter electrode	Controlled amount of electroplated sodium
Separator	Celgard 2325
Electrolyte	1M NaPF ₆ in DME
Electrolyte amount	50 µL
Coin cell setup	0.5 mm thick SS spacer and one spring at the cathode side 0.5 mm thick SS space at the anode side
Voltage range	2-3.6 V
Active material loading	10-15 mg/cm ²
Applied current density	C/3 (based on 120 mAh/g theoretical capacity)

Table 6-2. Coin-cell testing specifications – Sodium-ion battery using sodium metal anode.

6.3.4. Electrochemical evaluation

Uniaxial pressure has been shown as a powerful knob to control the morphology of plated Na in organic electrolytes. Under the optimum pressure, a dense Na layer with a favorable composition, uniform and thin SEI layer can be obtained from 1M NaPF₆ in DME electrolyte. Such conditions might enable long-term cycling batteries with a controlled amount of Na metal anode. To demonstrate this concept, Na||1M NaPF₆ in DME||NaCrO₂ cells (Table 6.2) were assembled and tested at different conditions. The Na anode was electroplated on a carbon-coated aluminum under the optimum pressure of 180 kPa and the current rate of 0.5 mA·cm⁻² in 1M NaPF₆ in DME electrolyte using our custom-made pressure. Pure O3 NaCrO₂ (Figure 6.22) synthesized by a solid-state synthesis method³⁴⁹ was used as the active material in the cathode.



Figure 6-22. The XRD pattern shows the pure O3 phase of NaCrO₂ cathode material.

The amount of electroplated sodium is calculated to meet the sodium metal as 100% excess to cathode active material. The controlled electroplated sodium was then used as anode in a coincell with NCO cathode and DME-based electrolyte.

It should be noted that the applied pressure inside a coin-cell is about 150 kPa³³⁸ that is around the optimum value for sodium deposition in the ether-based electrolyte, and an ICE of above 80% should be obtained for Na metal anode in the coin-cell setup. The electrochemical performance of the cell over 500 cycles (at C/3 current rate) is presented in Figure 6.23. The cell shows an average columbic efficiency of 99.69% and capacity retention of 83.1%.



Figure 6-23. The electrochemical performance of the cell. The cells have controlled 100% excess sodium anode. (a) Discharge capacity (mAh/g) and columbic efficiency (%) versus numbers of cycles at the rate of C/3. (b) The representative voltage profiles.

At 40°C, the cell shows an ICE of 95.08% at C/3 and an average columbic efficiency of 99.68% with capacity retention of 96.29% (Figure 6.24).



Figure 6-24. The electrochemical performance of the cell. (a) Discharge capacity (mAh/g) and columbic efficiency (%) versus numbers of cycles at 40°C temperature at the rate of C/3. (b) The representative voltage profiles.

The electrochemical performance of this system was evaluated at different current rates (at C/10, C/5, C/3, C/2, 1C, and 2C) and the voltage profiles are presented in Figure 6.25. The obtained results indicate that the cells can operate at high current rates with negligible capacity loss.



Figure 6-25. The electrochemical performance of the cell. The cells have controlled 100% excess sodium anode. (a) The first cycle voltage profiles of cell at different C rates of C/10, C/5, C/3, C/2, 1C, and 2C. (b) Rate capability evaluation at room-temperature.

The self-discharge was also evaluated for the system. The details and the rate of selfdischarge is presented in Figure 6.26. The results show a sharp drop in the capacity in the first 10–20 days of the test and then this drop goes slower. An 11% of self-discharge rate observed in the first 30 days and the value was raised to about 17% after 90 days.



Figure 6-26. The electrochemical performance of the cell consisting of controlled electroplated sodium as the anode, NaCrO₂ as the cathode, and 1M NaPF₆ in DME as the electrolyte. The cells have controlled 100% excess sodium anode. (a) The self-discharge protocol. (b) The Self-discharge recovery of the cell at room-temperature.

6.4. Conclusions

In this study, the impact of uniaxial pressure on the growth of sodium metal in carbonateand ether-based electrolytes were carefully investigated. For each system, there exists an optimum pressure, at which the best ICE for the Na plating and stripping can be achieved. Regardless of the nature of the solvent, the optimum pressure for Na is much lower than Li, which could be attributed to the low Young's modulus of Na metal. As indicated by cryogenic FIB-SEM images, this improved performance was enabled through the formation of a dense electroplated Na layer at the optimum pressure.

Regardless of the applied pressure value, it has been elucidated that SEI formation was the main cause of capacity loss for Na metal anode, which is consistent with the high reactivity of Na

metal. Through the use of cryogenic TEM and depth profiling XPS, the SEI thickness and its chemical compositions have been shown to depends strongly on the type of salt and solvent employed in the electrolyte. Ether-based electrolytes will enable a thin and dense SEI while a fluffy and porous SEI is formed in carbonate-based ones. In order to enable Na metal anode for practical applications, two essential parameters must be taken into account: (i) uniaxial pressure which controls the thickness of the electroplated Na layer, and (ii) nature of the solvent and salt which has direct impact on the thickness and chemical compositions of the SEI layer. With this approach in mind, the performance of sodium ion batteries using a controlled amount of sodium metal anode was demonstrated. The system exhibits a long cycle life with excellent capacity retention and rate capability. Such findings contribute significantly to the practical development of the next generation of sodium ion technologies.

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