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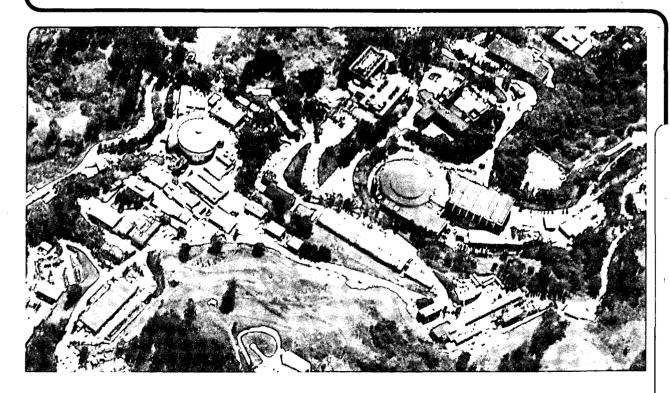
## Information and Computing Sciences Division

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Abbreviated Query Interpretation in Extended Entity-Relationship Oriented Databases

V.M. Markowitz and A. Shoshani

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# ABBREVIATED QUERY INTERPRETATION IN EXTENDED ENTITY-RELATIONSHIP ORIENTED DATABASES

Victor M. Markowitz and Arie Shoshani

Computing Science Research & Development
Information & Computing Sciences Division
Lawrence Berkeley Laboratory
1 Cyclotron Road
Berkeley, California 94720

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## ABBREVIATED QUERY INTERPRETATION IN EXTENDED ENTITY—RELATIONSHIP ORIENTED DATABASES \*

#### Victor M. Markowitz and Arie Shoshani

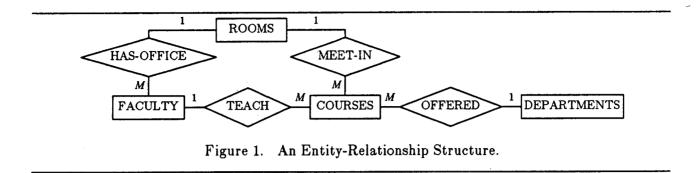
Computer Science Research Department
Information and Computing Sciences Division
Lawrence Berkeley Laboratory
1 Cyclotron Road, Berkeley, CA 94720

In order to express database queries, users are often required to understand large, complex database structures. It is important to relax this requirement by allowing users to express concise (abbreviated) queries, so that they can manage with partial, or even no knowledge of the database structure. Abbreviated queries involve only the specification of the objects that are relevant to the users. The main problem with abbreviated queries is to derive the corresponding full queries. In this paper we present a methodology to support the expression of abbreviated queries in Extended Entity-Relationship (EER) oriented relational databases, that is, relational databases whose schemas are translations of EER schemas. In EER-oriented databases, abbreviated queries can be represented by disconnected subgraphs of the corresponding EER diagrams. We propose a criterion for determining the connected subgraphs corresponding to such abbreviated queries. Our criterion is based on the robustness of the relational view corresponding to a connected subgraph of an EER diagram. View robustness expresses the capability of performing side-effect free updates via the view. We also investigate techniques that take advantage of specific properties of EER diagrams in order to reduce the complexity of finding the subgraphs that correspond to a given abbreviated query.

#### 1. INTRODUCTION

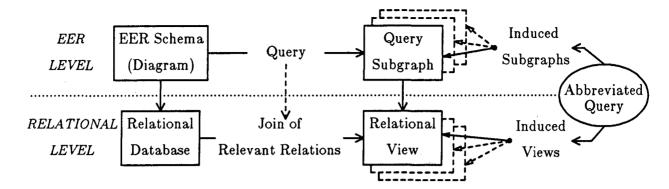
As database systems grow in complexity, it becomes increasingly difficult for users to understand and remember the database schema, and to formulate queries. The capability to write concise queries is a desirable goal, and is usually supported by special-purpose interfaces, such as Universal—Relation (UR) interfaces. Such interfaces attempt to free the user from specifying unnecessary details: users pose abbreviated queries, mentioning only the objects they are interested in, and the interface is able to interpret the query, that is, to find the necessary

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connections between the objects. Consider the following example. Suppose that DEPARTMENTS offer COURSES, COURSES are taught by FACULTY members and meet in certain ROOMS, and FACULTY members have offices in certain ROOMS, as represented by the entity-relationship structure of figure 1. A user who does not know, or remember, the details of such a structure may want to ask the query "how many FACULTY members over 40 work in the Computer Science DEPARTMENT". One would like an intelligent system to generate an equivalent full query that includes all the missing intermediate elements (for this example, TEACH, COURSES, and OFFERED) as well as the necessary expressions connecting them.

In section 2 we outline a methodology to support the expression of abbreviated queries in relational databases. Responding to relational queries usually requires combining information from multiple relations by joins. Determining what relations should be joined in response to an abbreviated relational query can be based on various forms of structural information, such as dependencies, relation connectivity implied by attribute names, etc. Our approach is based on the association of relational databases with Extended Entity-Relationship (EER) schemas, which requires the use of EER schemas in the design of relational databases. The structural richness and clarity of EER schemas makes them more appropriate to use as a source of information on various connections in the database. We take advantage of the graph representation of EER schemas, the EER diagrams. Thus, queries that are expressed over EER diagrams can be represented by connected subgraphs of EER diagrams, while abbreviated queries can be represented by disconnected subgraphs. The interpretation of abbreviated queries reduces to the problem of finding the most desirable connected subgraph (out of multiple possible subgraphs) that covers (spans) a given disconnected subgraph. Our approach can be summarized by the following diagram:



Given an EER schema (diagram), a mapping associates it with an EER-oriented relational database. Every query is represented by a subgraph of the EER diagram, called query-subgraph, which is associated with a relational view generated by joining the relevant relations in the corresponding relational database. For each abbreviated query there are one or more induced query-subgraphs and their associated views. We need to find the appropriate induced querysubgraphs and rank their plausibility in order to choose the query-subgraph with the highest plausibility. Our criterion for the most desirable query-subgraph is the robustness of the corresponding view, which is based on the capability of translating view-updates (i.e. updates expressed over views) into base-updates (i.e. updates expressed over base relations) so that the base-updates have no effect on views beyond the specified view-updates (are side-effect free). It is important to point out that there is no way of actually determining the query-subgraph that a user may prefer, because each subgraph has its own semantics, and the semantic intentions of the user are unknown to the system. What we provide is information for the user as to the robustness of his view; without any guidance from the user concerning the desired query-subgraph, it is reasonable to choose the query-subgraph with the highest robustness. In general, a system that supports abbreviated queries should not be limited to retrievals only, but should support updates as well. Accordingly, when an interpretation to an abbreviated query is selected the view robustness criterion indicates to the user what are the consequences of such a selection relative to the capability of performing view-updates.

In section 2, we also contrast our approach with the Universal—Relation (UR) approach. We discuss the restrictions imposed by the UR approach which make it impractical in the context of abbreviated query interpretation. Section 3 contains a brief review of the EER model and of the association of EER schemas with relational databases. In section 4 we introduce the concept of query-subgraph and develop the view robustness criterion employed by our methodology. In section 5 we examine techniques that take advantage of specific properties of EER diagrams in order to reduce the complexity of finding the query-subgraphs that correspond to a given

abbreviated query. We close the paper by summarizing the results and drawing some conclusions. We use in this paper some graph-theoretical concepts. Any textbook on graph theory (e.g. [5]) can provide the necessary background. Details concerning the mapping of EER diagrams into relational schemas are given in appendix A, and the formal aspects of the view robustness criterion are condensed in appendix B.

#### 2. ABBREVIATED QUERY INTERPRETATION

We begin this section by discussing the inadequacy of the Universal-Relation (UR) approach to support the expression of abbreviated queries in relational databases. Then we explain our reason for choosing the Extended Entity-Relationship framework for abbreviated queries. Finally, we outline the criterion we use for evaluating the plausibility of the possible interpretations for abbreviated queries.

#### 2.1 Inadequacy of the Universal Relation Approach.

The best known methodologies for interpreting abbreviated relational queries are based on the Universal—Relation (UR) approach to the relational model (a survey is provided by [12]). UR schemas consist of unnamed sets of attributes, and the user can express queries by specifying the attributes of interest only, leaving the interpretation of the query, that is, the task of finding the relations to be joined, to the UR system. The UR approach generated many works that suggest ways of interpreting such abbreviated queries. The main problem of this approach is that it imposes certain design restrictions that result either in a limited modeling capability or in embedding the access paths into the attribute names. These restrictions are discussed below.

The UR approach is based on several assumptions which restrict the association of attributes with relation-schemes. In particular, the Unique Role Assumption (URA) [12] requires that any set of attributes can be associated by at most one relationship. In practical terms, this means that any two, or more, attributes must represent the same set of objects in every relation-scheme in which they appear together. For example, the relational schema of figure 2(ii) cannot represent the ER schema of figure 2(i) because the association of attribute set {CN,DN} with relations OFFER and TEACH implies under URA that the corresponding relationship-sets are not independent as represented by the ER schema of figure 2(i) (see [16] for details). The URA restriction can be overcome by renaming attributes, but attribute renaming leads to the proliferation of attribute names and the loss of semantic information [11]. The loss of semantic information can be resolved by keeping track of the attribute renamings [11]. However, as noted in

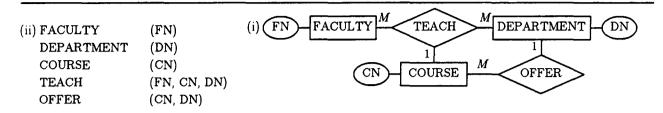


Figure 2. Relational Representation for an Entity-Relationship Schema.

[1], attribute renaming implies the embedding of access paths names, which the user supposedly does not know, into the attribute names. Thus, the structural semantics of the database is loaded onto the attributes alone. Consequently, the attribute naming becomes a critical problem, and the requirement to know and understand the semantic structure is replaced, for the user, by the requirement to understand and manage a names structure. This make UR databases very difficult to design and comprehend. Moreover, the original goal of providing the users with logical (i.e. structural) independence [12] cannot be achieved when renaming is necessary. A possible solution to this problem is discussed below in section 2.2.

#### 2.2 Extended Entity-Relationship Query Interfaces.

A more appropriate framework for expressing queries is offered by the Entity—Relationship (ER) model [2]. The main reason is that the ER model, like any other semantic model, provides a higher degree of logical independence than the relational model [6]. Thus, since in ER schemas the associations between entities are represented explicitly by relationships, queries based on the ER model can avoid the explicit expression of connections by joins [6]. We refer in this paper to a version of the extended ER (EER) model [18] which includes, in addition to the constructs of the basic ER model of [2], the generalization and full aggregation (allowing the involvement of relationships in other relationships) constructs.

Queries over an EER schema, which we shall call *EER queries*, can be viewed as selecting a subgraph of the EER diagram representing the EER schema [9]. Thus, an abbreviated EER query can be represented by disconnected, rather than connected, subgraphs. This can be viewed as a shortcut to the specification of a full query, which obviously simplifies the user's task of expressing queries. Thus, in the example mentioned in the introduction, namely "how many FACULTY members over 40 work in the Computer Science DEPARTMENT", one could take advantage of the information in the EER diagram (see figure 1) and generate the subgraph that includes the

intermediate entity-set COURSES as well as the relationships connecting them. We use the term query-subgraph to denote a connected subgraph of the EER diagram that represents a full (not abbreviated) EER query.

Relational databases associated with EER schemas are said to be *EER-oriented*. In this context a query-subgraph of the EER diagram represents all the joins necessary to evaluate the query in the underlying EER-oriented database. Conversely, given an abbreviated query over an EER-oriented database, the query can be interpreted by finding the corresponding subgraph in the EER diagram. In general, every abbreviated EER query may be associated with several query-subgraphs, depending on the complexity of the EER diagram. Naturally, we would like to select the *most plausible* query-subgraph. The criteria for plausibility we are proposing here is discussed in section 2.3 below.

Putting ER interfaces on top of relational databases for the purpose of abbreviated query expression and interpretation has been considered by several authors (e.g. [17], [21], [22]). Their goal, however, was to apply the UR approach for interpreting abbreviated queries expressed over ER interfaces. Obviously, what is needed in such a case is a translation of ER schemas into relational schemas that would take into account the UR assumptions. We have examined the implications of such a translation in [16] and found that most translations of ER schemas into relational schemas proposed in the literature do not satisfy the UR assumptions. Interestingly, [17] and [22] are not concerned with the details of such a translation. However, following the formalism developed in [16] it is not difficult to see that the translations they use do not satisfy the UR assumptions and therefore their approaches are imprecise. For example, following [22] (which employs the translation of [20], the relational schema of figure 3(ii) represents the ER diagram of figure 3(i). Assuming that this representation is correct, an ER abbreviated query that refers to entity-sets DEPARTMENT and COURSE corresponds to a relational abbreviated query that refers to attributes DN and CN. Following [13] (the UR approach adopted by [22]), the interpretation of this relational query is the projection on DN and CN of the union of two joins, of relations DEPARTMENT, WORK, FACULTY, TEACH, and COURSE, and of relations DEPARTMENT, ENROLL, STUDENT, TAKE, and COURSE, respectively. The union here is used because the two joins are supposed to produce tuples that represent relationships that have the same meaning, or in the words of [14], tuples of the same flavor. This condition, which the relational schema of figure 3(ii) satisfies, is expressed by the One Flavor Assumption (OFA) [14]. However, since distinct paths between two object-sets represent associations which have distinct meanings [9], the relational schema of figure 3(ii) under OFA distorts the semantics of the ER structure represented in figure

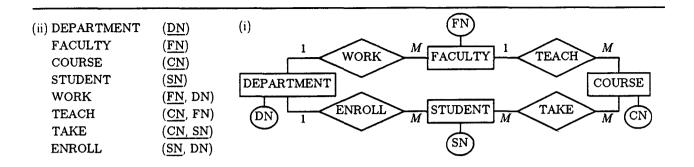


Figure 3. Relational Representation for an Entity-Relationship Schema (keys are underlined).

3(i). Consequently, the interpretation of the abbreviated relational query above is not valid for the ER query above. A methodology for applying the UR approach in the context of EER-oriented relational databases is proposed in [15].

#### 2.3 A Plausibility Criterion for Interpreting Abbreviated Queries.

The criterion used by most UR methodologies for query interpretation is to select relations that can be joined losslessly [12]. This approach is based on the conjecture that a join makes sense only if it is lossless [14]. Losslessness ensures that the view that is constructed by joining the selected relations does not contain tuples that cannot be in the universal relation which is presumed to be (the view) referred by the user. We propose below a criterion which, like losslessness, is based on an assumption concerning the view referred by the user. However, unlike losslessness, our criterion is not based on UR assumptions, so that we can avoid dealing with their complex implications.

When a user with no knowledge of the database structure poses an abbreviated query, he refers to some view that he assumes to be represented in the database. Accordingly, he would expect his view to behave as any base relation in the database. The major problem with views is the difficulty of mapping view—updates (that is, updates expressed over views) into updates of base relations. This problem has been studied extensively (e.g. [4], [7]). Side-effect freeness, meaning that the underlying updates must produce no effects beyond the intended update on the view, is generally accepted as ensuring the correctness of the view-update mapping. We propose the existence of a side-effect free view-update mapping as the selection criterion for query-subgraphs. We grade the view—robustness of the query-subgraphs as follows: the query-subgraph

is robust (rank 2) if it corresponds to a view that has a side-effect free update (delete and insert) mapping; weakly robust (rank 1) if it corresponds to a view that has a side-effect free delete mapping but no side-effect free insert mapping; and non robust (rank 0) if it corresponds to a view that has no side-effect free update mapping. Interestingly, it can be shown that for UR databases involving only functional dependencies, join losslessness is equivalent to the existence of a side-effect free delete mapping, that is, to view-robustness of rank 1. By using several ranks of view-robustness, our methodology determines a desirability factor for the possible subgraphs which can be associated with an abbreviated query. Consider the ER abbreviated query mentioned in the introduction, which refers to entity-sets FACULTY and DEPARTMENT of the ER diagram in figure 1. This query can be associated with two query-subgraphs, the subgraph induced by vertices FACULTY, TEACH, COURSES, OFFERED, and DEPARTMENTS, and the subgraph induced by vertices FACULTY, HAS-OFFICE, ROOM, MEET-IN, COURSES, OFFERED, and DEPARTMENTS, respectively. Following our selection criterion, the first subgraph has view-robustness 1, while the second subgraph has view-robustness 0.

In general, there is no way of selecting the most reasonable query-subgraph for a user whose semantic intentions are unknown. Our criterion only offers guidance by finding the subgraph corresponding to the most robust view, since in general one would expect his view to behave exactly as a base relation. Moreover, it stands to reason that if abbreviated retrievals are useful to a user, then abbreviated updates are useful too. It is in this context that the view-robustness criterion is particularly attractive. When an interpretation to a query is selected, the user is made aware of the consequences of such a selection relative to the capability of performing update operations. Consequently, even if we wish to use other heuristic criteria which may reflect the user's preference, we should include view-robustness as part of any strategy for selecting query-subgraphs. Such strategies are further discussed in section 4.

#### 3. EXTENDED ENTITY-RELATIONSHIP ORIENTED DATABASES

#### 3.1 The Extended Entity-Relationship Model.

The concepts of the basic Entity-Relationship (ER) model, (entity, relationship, entity—set, relationship—set, value—set, attribute, entity—identifier, weak entity-set, relationship cardinality, role) have been defined originally in [2] and have been repeatedly reviewed since then (e.g. see [18]). Entities and relationships are commonly called objects. For the sake of brevity, we omit the definitions of these concepts. Unlike the basic ER model of [2] the extended ER (EER) model we consider in this paper has two additional abstraction capabilities, generalization and full

aggregation, which are briefly reviewed below.

Generalization is an abstraction mechanism that views a set of entity-sets as a single generic entity-set. The inverse of generalization is called specialization. A specialization entity-set inherits all the attributes of any of its generic entity-sets, including the entity-identifier. An entity-set which is not specified as the specialization of any other entity-set is called a generalization—source. For the sake of simplicity we do not distinguish in this paper between different kinds of generalization such as those discussed in [6] and [18].

In the basic ER model the aggregation construct takes three forms: (i) the aggregation of a collection of attributes into an entity-set; (ii) the aggregation of a collection of attributes and the entity-identifiers of several existing entity-sets into a weak entity-set; and (iii) the aggregation of two or more entity-sets into a relationship-set. The basic ER model falls short of providing the full capability of aggregation by disallowing relationship-sets to be aggregated further. What is needed in order to provide this capability is simply to allow relationship-sets to associate any object-set, rather than only entity-sets.

An EER schema can be represented in a diagrammatic form called an EER diagram (EERD). An EER diagram is defined as a directed graph of the form  $G_{ER} = (V, H)$ , where V denotes the set of vertices and H denotes the set of directed edges. Our reason for preferring a directed, rather than the usual undirected, graph notation for EER diagrams is outlined below. The vertices of an EER diagram represent entity-sets, relationship-sets, and attributes. Entity-sets, relationship-sets, and attributes, are represented graphically by rectangles, diamonds, and ellipses, respectively. Every vertex is labeled by the name of the represented object-set or attribute. The directionality of edges allows the explicit representation not only of the interaction of the various object-sets, but also of their mutual existence dependencies. Thus, in an EER diagram there are directed edges (i) from relationship-sets to the object-sets they associate,

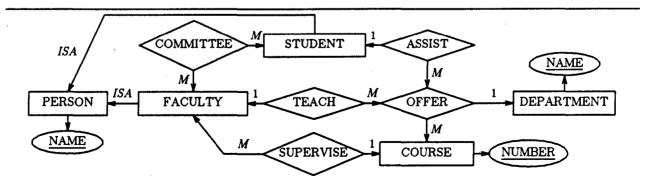


Figure 4. Extended Entity-Relationship Diagram Example (identifiers are underlined).

labeled by the corresponding cardinality, which is either 1 (one) or M (many), (ii) from weak entity-sets to the entity-sets on which they depend for identification, labeled ID; (iii) from specialization entity-sets to the corresponding generic entity-sets, labeled ISA; and (iv) from object-sets to their associated attributes. Note that the directionality of the edges is essential for the unambiguous representation of the generalization and aggregation constructs. An example of an EER diagram is shown in figure 4.

#### 3.2 EER-Oriented Relational Databases.

Relational databases associated with EER schemas are called EER-oriented databases. Relational schemas of EER-oriented databases are of the form  $(R, F \cup I)$ , where R denotes a set of relation-schemes, and F and I denote sets of functional and inclusion dependencies, respectively. Informally, object-sets are represented by relation-schemes, connections of object-sets are represented by inclusion dependencies, and entity-identifiers and relationship cardinalities are represented by functional (key) dependencies. As an example, we present in figure 5 the relational schema corresponding to the EER schema of figure 4. The translation of EER schemas into relational schemas and the necessary relational concepts are outlined in appendix A. Details concerning the relational representation of EER structures can be found in [16].

Relation-Schemes (underlined keys)		Inclusion Dependencies	
PERSON	(NAME)		
DEPARTMENT	(NAME)		
COURSE	(NUMBER)		•
FACULTY	(NAME)	FACULTY [NAME]	⊆ PERSON [NAME]
STUDENT	(NAME)	STUDENT [NAME]	⊆ PERSON [NAME]
OFFER	(C.NUMBER, D.NAME)	OFFER [C.NUMBER]	COURSE [NUMBER]
		OFFER [D.NAME]	⊆ DEPARTMENT [NAME]
SUPERVISE	(F.NAME, C.NUMBER)	SUPERVISE [C.NUMBER]	⊆ COURSE [NUMBER]
		SUPERVISE [F NAME]	⊆ FACULTY [NAME]
COMMITTEE	(F.NAME, S.NAME)	COMMITTEE [S.NAME]	⊆ STUDENT [NAME]
		COMMITTEE [F.NAME]	⊆ FACULTY [NAME]
TEACH	(C.NUMBER, F.NAME)	TEACH [C.NUMBER]	⊆ OFFER [C.NUMBER]
		TEACH [F.NAME]	⊆ FACULTY [NAME]
ASSIST	(C.NUMBER, S.NAME)	ASSIST [C.NUMBER]	⊆ OFFER [C.NUMBER]
	·	ASSIST [S.NAME]	⊆ STUDENT [NAME]
Abbreviations:	C-COURSE, D-DEPARTMENT, F-FACULTY, S-STUDENT		

Figure 5. Relational Schema Representing the EER Schema of Figure 4.

#### 4. PLAUSIBILITY CRITERIA FOR QUERY SUBGRAPHS

The capability to express abbreviated relational queries has the goal of relieving users from knowing the logical structure of the database, that is, its partition into relations. Thus, abbreviated relational queries are phrased in terms of attributes only, without the specification of the joins connecting the relations in which they appear [12]. In EER-oriented relational databases abbreviated relational queries correspond to the specification of abbreviated EER queries, as discussed below.

#### 4.1 Query-Subgraphs.

An EER-query can be seen as selecting a connected subgraph of the EER diagram and associating predicate conditions with the diagram vertices [9]. We call such a subgraph a query-subgraph. Then, an abbreviated EER-query consists of the specification of (unconnected) EER diagram vertices and (possibly) edges, where an edge can be referenced by the role associated with it. An abbreviated EER-query implies a query-subgraph that spans all the vertices and edges referenced by the abbreviated query. For example, consider the EER schema represented in figure 4. If one wishes to know the faculty members in a certain department, one could just specify the entity-sets FACULTY and DEPARTMENT in the EER diagram of figure 4. In general there are multiple query-subgraphs that can be associated with some abbreviated query. For example, the subgraphs of figures 6(i), 6(ii) and 6(iii), are all valid query-subgraphs for the abbreviated query above. The obvious question is how would the system know to select the most

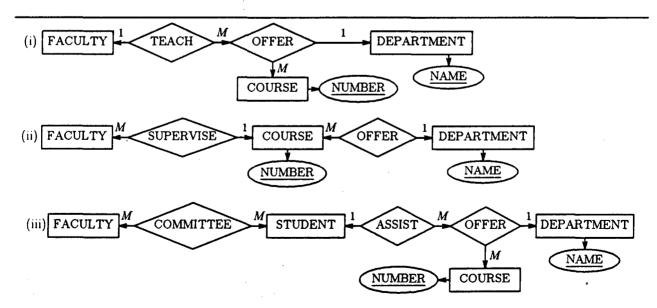


Figure 6. Query-Subgraphs of the Extended Entity-Relationship Diagram of Figure 4.

plausible query-subgraph. The issue of determining the plausibility of query-subgraphs is discussed in the following two subsections, while the complexity of finding the query-subgraphs for an abbreviated EER-query is examined in the next section.

#### 4.2 The View-Robustness Criterion.

Every query-subgraph is associated with a view which is constructed by joining all the relations corresponding to the object-sets represented in the query-subgraph, as defined below. Informally, the joins involved in the definition of this view follow the adjacency † of object-set vertices in the corresponding query-subgraph. The precise form of the join-expressions is given in appendix B.

**Definition 4.1** (Query-View). Let  $G_{ER}$  be an EER diagram and let  $(R, F \cup I)$  be the relational schema corresponding to  $G_{ER}$ . Let  $G'_{ER}$  (V', H') be a query-subgraph of  $G_{ER}$ , and let R' be the subset of relation-schemes of R that correspond to object-set vertices of V'. Then  $G'_{ER}$  is associated with a  $\underline{query-view}$ , defined as the join of the relations associated with the relation-schemes of R'.  $\square$ 

Our criterion for the plausibility of a query-subgraph is the robustness of its associated query-view, where robustness is based on the capability of performing updates via the query-view, that is, the capability of translating update requests over the query-view into unambiguous updates on the base relations.

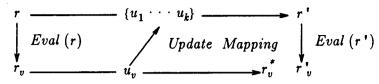
**Definition 4.2** (View-Robustness). Let  $(R, F \cup I)$  be the relational schema corresponding to EER diagram  $G_{ER}$ , and  $G_{ER}$  a query-subgraph of  $G_{ER}$ . Then the <u>view-robustness</u> of  $G_{ER}$  is defined as the robustness of the query-view associated with  $G_{ER}$ .  $\square$ 

The view-update problem, that is, how to map updates expressed over views into updates of base relations, has been studied extensively (e.g. [4], [7]). The following definition, adapted from [4], characterizes the basic correctness criteria for view-update mappings.

**Definition 4.3** (View-Update Mapping). Let  $r_v$  be a view relation derived from some database state r by an evaluation function Eval. Let  $u_v$  be an elementary update over  $r_v$ , and let  $\{u_1 \cdots u_k\}$  be the underlying updates to which  $u_v$  is mapped.

Let  $r_v' = u_v(r_v)$ ,  $r' = \{u_1 \cdot \cdot \cdot u_k\}(r)$ , and  $r'_v = Eval(r')$ , as shown below:

<sup>†</sup> Two vertices are said to be adjacent if they are connected by an edge.



Then  $\{u_1 \cdot \cdot \cdot u_k\}$  is said to

- (i) perform  $u_v = delete$  iff  $r'_v \subseteq r'_v$ ; and perform  $u_v = insert$  iff  $r'_v \subseteq r'_v$ ;
- (ii) exactly perform  $u_v$  (is <u>side-effect free</u>) iff  $r'_v = r'_v$ .

In other words, (i) states that the underlying updates produce the effect of the update on the view, and (ii) states that the underlying updates produce no side-effects beyond the update on the view. We propose to rank the robustness of a query-view by the existence of a side-effect free view-update mapping for this query-view. Thus, the *robustness* of a query-view is defined as follows:

- (2) robust the query-view has a side-effect free update (delete and insert) mapping;
- (1) weakly robust the query-view has a side-effect free delete mapping, but no side-effect free insert mapping; and
- (0) non robust the query-view has no side-effect free update mapping.

**Proposition 4.1.** Let  $(R, F \cup I)$  be the relational schema corresponding to an EER diagram  $G_{ER}$ . Let  $G'_{ER}$  (V', H') be a query-subgraph of  $G_{ER}$ , and let  $r_v$  be the query-view associated with  $G'_{ER}$  and having relation-scheme  $R_v(X_v)$ . Let  $\overline{V}'$  be the subset of vertices of V' that have indegree  $0^{\ddagger}$ , and let  $\overline{R}'$  be the subset of relation-schemes of R that correspond to the vertices of  $\overline{V}'$ . Then

- (i)  $r_v$  has a side-effect free insert mapping if for every relation-scheme  $R_i(X_i)$  of  $\overline{R}$ , the functional dependency  $R_v: X_i \to X_v$  holds in  $r_v$ ; and
- (ii)  $r_v$  has a side-effect free delete mapping if for at least one relation-scheme  $R_i(X_i)$  of  $\overline{R}$ , the functional dependency  $R_v: X_i \to X_v$  holds in  $r_v$ .  $\square$

The proof of proposition 4.1, outlined in appendix B, is based on results from [7] and [4]. Proposition 4.1 shows that the insert side-effect-freeness condition is stronger than (i.e. includes) the delete side-effect-freeness condition.

Using the formalism developed in this section, one can show that the query-subgraphs of figure 6 have different robustness ranks: 2 (highest) for the query-subgraph of figure 6(i), 1 for the

<sup>&</sup>lt;sup>‡</sup> The indegree of a vertex is the number of edges incident to, and directed from, that vertex.

query-subgraph of figure 6(ii), and 0 (lowest) for the query-subgraph of figure 6(iii). For example, let  $r_1$  denote the query-view associated with the query-subgraph of figure 6(i);  $r_1$  is defined as the join of the relations associated with relation-schemes TEACH, FACULTY, OFFER, COURSE, and DEPARTMENT (see figure 5). Then subset  $\overline{R}$ ' of proposition 4.1 consists of relation-scheme TEACH; both conditions (i) and (ii) of proposition 4.1 are satisfied because the key-based inclusion dependencies involving TEACH ensure that each tuple in  $r_1$  corresponds to a unique tuple of any relation associated with TEACH. Consequently,  $r_1$  has a side-effect free update mapping. Similarly, let  $r_2$  denote the query-view associated with the query-subgraph of figure 6(ii);  $r_2$  is defined as the join of the relations associated with relation-schemes SUPERVISE, FACULTY, COURSE, OFFER, and DEPARTMENT (see figure 5). Then subset  $\overline{R}$ ' of proposition 4.1 consists of relation-schemes SUPERVISE and OFFER; condition (ii) of proposition 4.1 is satisfied because the join of the relations associated with SUPERVISE and OFFER, is on the key of OFFER, thus ensuring that each tuple in  $r_2$  corresponds to a unique tuple of any relation associated with SUPERVISE; condition (i) of proposition 4.1, however, is not satisfied because a tuple in  $r_2$  can correspond to more than one tuple of some relation associated with OFFER. Consequently,  $r_2$  has only a sideeffect free delete mapping.

Note that in this case it turns out that the most reasonable interpretation is indeed the one with the highest robustness (i.e. faculty members who teach courses offered by departments). The query-subgraph with robustness 1 is less reasonable (i.e. faculty members who supervise courses offered by departments). Finally, the query-subgraph with the lowest robustness is the least reasonable (i.e. faculty members who are on committees of graduate students who assist on courses offered by departments).

#### 4.3 Combining Plausibility Criteria.

Selection criteria are necessary only when multiple query-subgraphs can be associated with a given abbreviated EER-query. However, our view-robustness criterion does not guarantee the choice of a unique query-subgraph for a given abbreviated EER-query. Moreover, our criterion, and any other similar criterion as well, does not necessarily lead to the query-subgraph that a user has actually in mind. Consequently, it is important to combine the view-robustness criterion with other preference criteria. There are many heuristic criteria that can be used. An obvious one is to prefer the query-subgraph with the fewest number of object-set vertices. The rationale is that simpler structures are less confusing for the user to imagine. Another is some affinity criterion. An affinity criterion is by its very nature imprecise, since it is based on some semantic intuition as to what a preferred query-subgraph would be for a given abbreviated query.

One such criterion may be based, for example, on the usage frequency of the different parts of the database. Thus, suppose that we associate a counter with each edge of the EER diagram, and that we increment these counters each time the edge belongs to the query-subgraph associated with some (abbreviated) query. Over time these counters indicate the preference for certain paths in the EER diagram, namely the paths whose edges have higher counters. Then the affinity criterion will rank the possible query-subgraphs according to their overall usage frequency. We feel that while such an affinity criterion still cannot guarantee the user's preference, it is a reasonable criterion because it is based on the accumulated usage of the database.

For a strategy that employs different plausibility criteria, the generation of multiple query-subgraphs for a given abbreviated query, is essential. Suppose, for example, that the view-robustness criterion is used jointly with some affinity criterion. One strategy may assume that the robustness of the view associated with the query is the dominant criterion for the user. Thus, the type of the query (retrieval, update) should determine which subgraphs to consider. If the query is of type delete, for example, then only query-subgraphs of view-robustness 1 and 2 would be considered. These query-subgraphs will then be further analyzed according to the affinity criterion. Yet another strategy may assume that the affinity is well specified for the application, and should be the dominant criterion. Accordingly, the query-subgraphs will be selected on the basis of the affinity criterion, and then ranked according to their robustness.

#### 5. FINDING QUERY SUBGRAPHS FOR ABBREVIATED QUERIES

We discuss below the graph-theoretic aspects of finding query-subgraphs. First, we briefly review the graph-theoretic concepts needed in this section. Any textbook on graph theory, such as [5], can provide the necessary details.

#### 5.1 Graph Concepts.

Let G = (V, H) be a directed graph (digraph) with set of vertices V and set of directed edges H. The underlying undirected graph of a digraph results by ignoring the edge directions in the digraph. Let h denote a directed edge incident to vertices  $v_i$  and  $v_j$ . An undirected path from vertex  $v_{i_0}$  to vertex  $v_{i_m}$  is a sequence of alternating vertices and edges,  $v_{i_0} h_{j_1} v_{i_1} ... h_{j_m} v_{i_m}$ , such that  $h_{j_k}$  is incident to  $v_{i_{k-1}}$  and  $v_{i_k}$ ,  $1 \le k \le m$ ; if  $v_{i_0} \equiv v_{i_m}$  then the path is called a cycle. An undirected graph is called a tree iff it has no cycles and any edge added to it forms a cycle.

The subgraph induced by a subset of V, V', is denoted G(V') and is defined as follows:

G(V') = (V', H'), where  $H' = \{h \mid h \in H \text{ and } h \text{ is incident to vertices of } V'\}$ .

A spanning tree of a graph G is a subgraph of G that contains all the vertices of G and is a tree. If the edges of the graph are associated with lengths (weights) then the minimum spanning tree is the spanning tree with the minimum sum of edge lengths. Given a subset of the vertices of a graph G, W, a Steiner tree is a subgraph of G that contains all the vertices of W, is a tree, and whose sum of edge lengths is minimal.

Let G' = (V', H') and G'' = (V'', H'') denote two subgraphs of G, induced by V' and V'', respectively. Then G' and G'' are said to be adjacent iff  $V' \cap V'' \neq \emptyset$ ; and G is said to be the edge-disjoint union (ed-union) of G' and G'' iff  $V = V' \cup V''$ ,  $H = H' \cup H''$ , and  $H' \cap H'' = \emptyset$ .

A connected undirected graph can be partitioned into a ed-union of nonseparable components which are subgraphs that have only one vertex in common, called the separation vertex (for the underlying graph of the EER diagram in figure 7, for instance,  $G_a$  and  $G_b$  are two nonseparable components and vertex 4 is their separation vertex). All the paths between the vertices of two adjacent nonseparable components pass through their separation vertex. Let  $NS_1, \ldots, NS_m$ , be the nonseparable components of G = (V, H), and let  $s_1, \ldots, s_p$ , be its separating vertices. The superstructure of G,  $G^*$ , is an undirected graph constructed as follows: the vertices of  $G^*$  are  $s_1, \ldots, s_p$ , and  $ns_1, \ldots, ns_m$ , where vertex  $ns_j$  represents the nonseparable component  $NS_j$ ; and if  $s_i$  is a vertex of  $NS_j$  in G then there is an edge incident to  $s_i$  and  $ns_j$  in  $G^*$ .

#### 5.2 Finding Query-Subgraphs.

Given a set of EER elements, Q, we want to find the most plausible query-subgraph spanning Q. Solutions to this problem in the context of the basic ER model are proposed in [10] and [21]. Both [10] and [21] associate a weight with every edge of an ER diagram: in [10] the weight is an affinity probability for the vertices connected by the edge, and in [21] the weight is an estimated cost for traversing the edge. Then the most plausible query-subgraph is considered to be the Steiner tree corresponding to Q. It is worth noting that the main concern of [10] and [21] is to cope with the complexity of the Steiner tree problem, known to be NP-complete [5]. Thus, [21] proposes a linear time algorithm for a class of restricted ER diagrams, while [10] proposes an approximation algorithm for unrestricted ER diagrams. These approaches depend on subjective justifications for assigning weights and always produce a single query-subgraph.

The more appealing approach to the problem of finding the most plausible query-subgraph

spanning a set of EER elements Q, is to generate all the relevant query-subgraphs, evaluate their plausibilities, and select the query-subgraph with the highest plausibility. This approach does not depend on a specific plausibility criterion, and therefore could employ any criterion or a combination of different criteria, as discussed in section 4.3. Moreover, if the user does not accept the interpretation (query-subgraph) selected for a given abbreviated query, the other possible interpretations are already available for the user's inspection. Note that for acyclic graphs, the query-subgraph implied by an abbreviated query is unique and its generation is trivial: simply remove all vertices that are not in Q while preserving the connectivity of the subgraph. However, for arbitrary graphs the generation of all query-subgraphs can be impractical since it would require time exponential to the size of the graph (worst case of a variation of the Steiner tree problem).

For practical EER diagrams, however, the generation of query-subgraphs can be significantly simpler. First, we do not need to look at the entire EER diagram, but only at the subgraph induced by the subset of vertices representing object-sets; typically, the number of object-sets in an EER schema is smaller than the number of attributes by an order of magnitude. Furthermore, it is sufficient to look for query-subgraphs which have acyclic (tree) underlying graphs, since any (cyclic) query-subgraph can be constructed as a graph union of such acyclic subgraphs. Moreover, we observe that EER diagrams tend to have few undirected cycles and can be partitioned into several nonseparable components. For example, the EER diagram shown in figure 7,  $G_{ER}$ , can be partitioned into the ed-union of subgraphs  $G_a$ ,  $G_b$ ,  $G_c$ ,  $G_d$ ,  $G_e$ , and  $G_f$ ; vertices 4, 5, 7, 8, 9, 12, and 16 are separation vertices; and subgraphs  $G_a$ ,  $G_b$ ,  $G_d$ , and  $G_e$  correspond to nonseparable components of the underlying graph of  $G_{ER}$ , while subgraphs  $G_c$  and  $G_f$  are acyclic and can be further partitioned into nonseparable components.

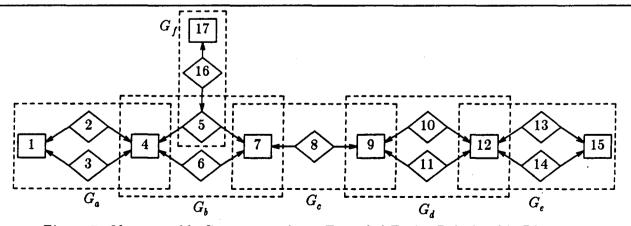


Figure 7. Nonseparable Components in an Extended Entity-Relationship Diagram.

The nonseparable components of an EER diagram can be determined by using a Depth-First Search oriented algorithm (see e.g. [5]). As noted in [5], the superstructure of an undirected graph is acyclic. This means that the undirected cycles in an EER diagram are local to (lie entirely in) the single nonseparable components (e.g. see the cycles of the EER diagram in figure 7). Then, given the set of object-sets referenced by an abbreviated query, Q', a query-subgraph G' can be decomposed into a ed-union of subgraphs,  $G'_i$ , where every subgraph  $G'_i$  is a subgraph of a nonseparable component. Consequently, (i) the acyclicity of the superstructure allows the removal of all the nonseparable components which intersect Q' in at most one separating vertex and which are not placed between nonseparable components that contain vertices of Q'; and (ii) the query-subgraph generation reduces to the generation of subgraphs within single nonseparable components of an EER diagram. Furthermore, for acyclic components, such as  $G_c$  and  $G_f$  in the EER diagram of figure 7, the query-subgraph generation is trivial.

Consider, for example, an abbreviated EER-query over the EER diagram of figure 7 that refers to vertices 4, 12, and 17. First, the subgraphs  $G_a$  and  $G_e$  can be removed since they satisfy condition (i) above. Next, observe that the query-subgraphs that are generated for this abbreviated query consist of subgraphs of  $G_b$ ,  $G_c$ ,  $G_d$ , and  $G_f$ , where every subgraph includes the separation vertices of the corresponding nonseparable component. Accordingly, vertices 4, 5, 7, 8, 9, 12, 16, and 17, must be included in any query-subgraph. Vertices 4, 5, and 7, can be covered by two different spanning trees of the underlying graph of  $G_b$ , and vertices 9 and 12 are connected by two different paths in the underlying graph of  $G_d$ . Consequently, there are four different query-subgraphs that can be associated with the abbreviated query above, namely the four possible ed-union combinations of the two spanning trees of  $G_b$ , the single spanning tree of  $G_c$ , the two spanning trees of  $G_d$ , and the single spanning tree of  $G_f$ .

The approach discussed above takes advantage of the characteristic structure of EER diagrams (few and localized cycles) in order to reduce the complexity of generating query-subgraphs. Further examination of real (large) EER diagrams is warranted to strengthen the observation of such properties.

#### 6. CONCLUSION

We have proposed a methodology for abbreviated query interpretation in EER-oriented relational databases. We have introduced a new criterion to rank the plausibility of the interpretations that can be associated with abbreviated queries, namely the existence of a side-effect free update mapping for the view implied by the query. We have discussed techniques that simplify the generation of the query-subgraphs for a given abbreviated query by taking advantage of certain properties of EER diagrams.

Although having a natural intuition, our methodology, like analogous UR methodologies, does not guarantee the selection of a single query-subgraph for a given abbreviated query. Furthermore, even when a single query-subgraph is found, we cannot guarantee that it agrees with the user's intended meaning. Therefore some strategy is needed for verifying whether the user's intentions have been satisfied, and for selecting from several query-subgraphs. Most UR methodologies simply union all the derived relations corresponding to the various query-subgraphs [12]. In EER-oriented databases such a strategy cannot be applied because multiple paths in these databases have distinct meanings (flavors) and therefore cannot be mixed without consulting the user. Moreover, while union is sometimes desirable, it is by no means the only reasonable choice. Indeed, selecting a single query-subgraph is more likely to be desired in most cases.

Another obvious strategy is to consult the user. In the extreme, the user can be shown all the alternative query-graphs, and chose the desirable one. If such a strategy is employed, then our methodology can order the choices according to the view-robustness. We have outlined some heuristic criteria that can be used in order to approximate the user's intentions. We have argued that any such criterion should be used in combination with our robustness criterion, because view-robustness is essential for ensuring the correctness of abbreviated update queries.

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#### APPENDIX A: MAPPING EER SCHEMAS INTO RELATIONAL SCHEMAS

In this appendix we present the mapping of EER schemas into relational schemas. First, we briefly review the relational concepts we use. Details can be found in any textbook (e.g. [19]) for the basic concepts and in [3] for the theory of inclusion dependencies. We use letters from the beginning of the alphabet to denote attributes and letters from the end of the alphabet to denote sets of attributes. A sequence of attributes (e.g. ABC) denotes the set containing these attributes and a sequence of sets (e.g. XY) denotes the union of these sets. We denote by t a tuple, and by t[W] the sub-tuple of t corresponding to the attributes of W.

A relational schema is a pair  $(R, \Delta)$  where R is a set of relation-schemes and  $\Delta$  is a set of dependencies over R. We consider relational schemas which are associated with set of dependencies  $\Delta = F \cup I$ , where F and I denote sets of functional and inclusion dependencies, respectively. A relation-scheme is a named set of attributes,  $R_i(X_i)$ , where  $R_i$  is the relation-scheme name and  $R_i$  denotes the associated set of attributes. Every attribute is assigned a domain, and every relation-scheme,  $R_i(X_i)$ , is assigned a relation (value),  $r_i$ . Two attributes are said to be compatible if they are associated with the same domain, and two sets of attributes, K and K, are said to be compatible iff there exists a one-to-one correspondence of compatible attributes between K and K.

Let  $R_i(X_i)$  be a relation-scheme associated with relation  $r_i$ . A functional dependency over  $R_i$  is a statement of the form  $R_i$ :  $Y \rightarrow Z$ , where Y and and Z are subsets of  $X_i$ ;  $R_i$ :  $Y \rightarrow Z$  is satisfied by  $r_i$  iff for any two tuples of  $r_i$ , t and t', t[Y] = t'[Y] implies t[Z] = t'[Z]. Let  $R_i(X_i)$  and  $R_j(X_j)$  be two relation-schemes associated with relations  $\bar{r_i}$  and  $r_j$ , respectively. An inclusion dependency is a statement of the form  $R_i[Y] \subseteq R_j[Z]$ , where Y and Z are compatible subsets of  $X_i$  and  $X_j$ , respectively;  $R_i[Y] \subseteq R_j[Z]$  is satisfied by  $r_i$  and  $r_j$  iff  $r_i[Y] \subseteq r_j[Z]$ .

A key associated with  $R_i$  is a subset of  $X_i$ ,  $K_i$ , such that  $R_i: K_i \rightarrow X_i$  is satisfied by any  $r_i$  associated with  $R_i$  and there does not exist any proper subset of  $K_i$  which has this

property. A relation-scheme can be associated with several candidate keys from which one primary key is chosen. If  $R_i[Y] \subseteq R_j[Z]$  is an inclusion dependency and Z is the primary key of  $R_j$  then  $R_i[Y] \subseteq R_j[Z]$  is said to be key-based, and Y is called a foreign key of  $R_i$  referencing  $R_j$ , denoted  $FK_i$ .

The mapping of EER schemas into relational schemas, called REL, is specified below. REL is based on certain well—definedness properties of EER diagrams, such as, lack of directed cycles, uniqueness of generalization-sources for specializations, etc., which are discussed in [16]. The correctness of mappings such as REL, is also examined in [16]. Note that the relational attributes generated by REL are assigned names that are guaranteed to be unique only with respect to their relation-schemes.

Definition A.1. - REL: Mapping EER Schemas Into Relational Schemas.

Input: an EER schema associated with EER Diagram  $G_{ER} = (V, H)$ .

Output: a relational schema of the form  $(R, F \cup I)$ .

- (1) Value-Sets. Every value-set is mapped into a relational domain.
- (2) <u>Independent Entity—Sets</u>. Every independent entity-set,  $E_i$ , is mapped into a relation-scheme,  $R_i(X_i)$ , such that: (i)  $X_i$  is in a one-to-one correspondence with the EER attributes of  $E_i$ , (ii) every attribute  $A_{i_j}$  of  $X_i$  (a) is assigned the name of the EER attribute of  $E_i$  corresponding to  $A_i$ , and (b) is associated with the domain corresponding to the value-set of the EER attribute of  $E_i$  corresponding to  $A_i$ .

The subset of  $X_i$ ,  $K_i$ , which is in a one-to-one correspondence with the identifier of  $E_i$  is the (unique) key of  $R_i$ , and the unique functional dependency added to F is the key dependency  $R_i: K_i \rightarrow X_i$ .

(3) <u>Specialization Entity—Sets.</u> Let entity-set  $E_i$  be the specialization of entity-sets  $E_{i_j}$ ,  $1 \le j \le m$ , and  $E_s$  be the (unique) generalization-source of  $E_i$ . Let  $E_s$  correspond to relation-scheme  $R_s(Y_s)$  and entity-sets  $E_{i_j}$  correspond to relation-schemes  $R_{i_j}(Y_{i_j})$ ,  $1 \le j \le m$ , respectively. Then entity-set  $E_i$  is mapped into relation-scheme  $R_i(X_i)$  and inclusion dependencies  $R_i[FK_{i_j}] \subseteq R_{i_j}[K_{i_j}]$ ,  $1 \le j \le m$ , where  $X_i$  is the union of two disjoint sets of attributes,  $X_i^*$  and  $K_i$ , such that: (i)  $X_i^*$  is in a one-to-one correspondence with the EER attributes of  $E_i$ , where the correspondence is specified as in (2.ii) above; (ii) the (unique) key of  $R_i$ ,  $K_i$ , is equal to the key of  $R_s$ , and (iii) every foreign key  $FK_{i_j}$ ,  $1 \le j \le m$ , is equal to  $K_i$ .

The unique functional dependency added to F is the key dependency,  $R_i:K_i{\longrightarrow}X_i$  .

- (4) <u>Aggregation Object-Sets</u>. Let object-set  $O_i$  be the aggregation of object-sets  $O_{i_j}$ ,  $1 \le j \le m$ , and let object-sets  $O_{i_j}$  correspond to relation-schemes  $R_{i_j}(Y_{i_j})$ ,  $1 \le j \le m$ , respectively. Then object-set  $O_i$  is mapped into relation-scheme  $R_i(X_i)$  and inclusion dependencies  $R_i[FK_{i_j}] \subseteq R_{i_j}[K_{i_j}]$ ,  $1 \le j \le m$ , where  $X_i$  is the union of two disjoint sets of attributes,  $X_i^*$  and  $X_i^*$ , such that: (i)  $X_i^*$  is in a one-to-one correspondence with the EER attributes of  $O_i$ , where the correspondence is specified as in (2.ii) above;
  - (ii)  $K_{i_j}^m = \bigcup_{j=1}^m FK_{i_j}$ , is a set of foreign-key attributes, where every foreign-key  $FK_{i_j}$  is in a one-to-one correspondence with  $K_{i_j}$ ,  $1 \le j \le m$ , such that (a) every attribute of  $FK_{i_j}$  is assigned the name<sup>†</sup> of the corresponding attribute of  $K_{i_j}$ ; and (b) the domain associated with an attribute of  $FK_{i_j}$  is the domain of the corresponding attribute of  $K_{i_j}$ .

Let  $O_i$  be a <u>weak entity-set</u> and  $Z_i$  be the subset of  $X_i$  which is in a one-to-one correspondence with the identifier of  $E_i$ . Then the key of  $R_i$ ,  $K_i$ , is equal to  $Z_i X_i''$ , and the unique functional dependency added to F is the key dependency  $R_i: K_i \rightarrow X_i$ .

Let  $O_i$  be a <u>relationship—set</u>. If all the cardinalities of the object-sets involved in  $O_i$  are many, then the primary key of  $R_i$ ,  $K_i$ , is equal to  $X''_i$ , and the unique functional dependency added to F is the key dependency  $R_i: K_i \rightarrow X_i$ ; otherwise the primary key of  $R_i$ ,  $K_i$ , is equal to  $(X''_i - FK_{i_i})$ , where  $FK_{i_i}$  is the foreign—key that references the relation-scheme corresponding to an object-set that has cardinality one in  $O_i$ , and for every object-set  $O_{i_j}$  which has cardinality one in  $O_i$ , the functional dependency  $R_i: (X''_i - FK_{i_i}) \rightarrow FK_{i_j}$  is added to F.  $\square$ 

<sup>†</sup> For certain EER structures, it is necessary to prefix the names of such foreign key attributes either by the role of  $O_{i,j}$  in  $O_{i,j}$ , or (when the role is not specified) by the name of  $O_{i,j}$ ,  $1 \le j \le m$ . For details see [15].

#### APPENDIX B: SIDE-EFFECT FREE VIEW-UPDATES FOR JOIN-VIEWS

We present in this appendix the formal details concerning the conditions that guarantee side-effect free view-update mappings for query-views associated with query-subgraphs. An elementary update in a relational database consists of either deleting a tuple from a relation or inserting a tuple into a relation. Let  $r_i$  be a relation. We denote the deletion and insertion of a tuple t, from/into  $r_i$ , as  $delete(t, r_i)$  and  $insert(t, r_i)$ , respectively, and commonly as  $update(t, r_i)$ .

We use below the additional graph-theoretic concepts of reachability and root. Let G = (V, H) be a digraph. A vertex  $v_j$  of V is said to be reachable from another vertex  $v_i$  of V iff there exists a directed path from  $v_i$  to  $v_j$  in G. A vertex  $v_i$  is said to be a root in G iff for every  $v_j$  of V,  $v_j$  is reachable from  $v_i$ .

The correspondence of attributes for the joins of definitions B.1 and B.2 below is based on the adjacency of vertices in the EER diagram. We assume below that all the relational attributes have globally unique names in the relational schema, for instance by prefixing every attribute name by the associated relation name.

**Definition B.1** - (Rooted-Subgraph View). Let  $G_{ER}$  be an EER diagram and let  $G'_{ER} = (V', H')$  be a connected subgraph of  $G_{ER}$  with root  $O_i$ . Let  $(R, F \cup I)$  be the relational schema corresponding to  $G_{ER}$ , R' the subset of relation-schemes of R corresponding to the vertices of V', I' the subset of inclusion dependencies of I corresponding to the edges of H', and  $R_i(X_i)$  the relation-scheme of R corresponding to  $O_i$ .

Let S be a set of relation-schemes consisting initially of  $R_i(X_i)$ .

(i) The rooted-subgraph view associated with  $G_{ER}^*$  is denoted  $r_i^*$  and is defined as follows:

$$\begin{split} r_i^* &:= r_i \;,\;\; X_i^* := X_i \;; \quad \text{and} \\ &\underline{While} \; (\; S \neq R \;') \;\; \underline{Do} \\ &\quad \text{Choose} \;\; R_j(X_j) \in (R \;' - S) \;\; \text{such that} \;\; R_k[FK_{k_j}] \subseteq R_j[K_j] \in I \;' \; \text{and} \;\; R_k(X_k) \in S; \\ &\quad r_i^* := r_i^* \;\; \underset{FK_{k_j} = K_j}{\bowtie} \;\; r_j \;,\;\; X_i^* := X_i^* \cup X_j \;, \;\; \text{and} \;\; S := S \cup \{R_j\}. \\ &\quad EndDo \end{split}$$

(ii) The view-update mapping for the rooted-subgraph view associated with  $G'_{ER}$  is defined by mapping  $T'_{upd}$ , as follows:

$$T_{upd}(update(t, r_i^*)) \stackrel{\Delta}{=} update(t, r_i^*) \cup \{update(t', r_i) \mid R_i \in R', t' = t[X_i]\}. \square$$

**Lemma B.1.** Let  $r_i^*$  be a rooted-subgraph view defined as above, and t a tuple to be inserted/deleted into/from  $r_i^*$ . Then  $T'_{upd}(update(t, r_i^*))$  exactly performs  $update(t, r_i^*)$ .

Proof: Apply theorem 5-2 from [7]. □

**Definition B.2** - (Non-Rooted-Subgraph View). Let  $G_{ER}$  be an EER diagram and let  $G'_{ER}$  (V', H') be a connected subgraph of  $G_{ER}$ . Let  $\overline{V}$  be the subset of vertices of V' with indegree 0 in G'. Let  $(R, F \cup I)$  be the relational schema corresponding to  $G_{ER}$ , and let  $\overline{R}$  be the subset of relation-schemes of R that correspond to the vertices of  $\overline{V}$ . Every vertex of  $\overline{V}$ ,  $O_i$ , together with all the vertices of V' that are reachable from  $O_i$  induce a subgraph of  $G'_{ER}$  with root  $O_i$  which is associated with a rooted-subgraph view,  $r_i^*$ , specified as in definition B.1 above.

- (i) The non-rooted-subgraph view associated with  $G_{ER}$  is defined as follows:
  - (a) for some  $R_i(X_i) \in \overline{R}$ :  $r_n := r_i^*, X_n := X_i^*$ ; and
  - (b) for every  $R_j(X_j) \in (\overline{R}^* R_i)$ :  $r_v := r_v \bowtie r_j^*$  and  $X_v := X_v \cup X_j^*$ .
- (ii) The view-update mapping for the non-rooted-subgraph view associated with  $G'_{ER}$  is defined by mapping  $T''_{upd}$ , as follows:
  - (a)  $T_{upd}^{"}(insert(t, r_v)) \stackrel{\Delta}{=} insert(t, r_v) \cup \{insert(t', r_i') \mid R_i \in \overline{R}', t' = t[X_i']\};$
  - (b)  $T_{upd}^{"}(delete(t, r_v)) \stackrel{\Delta}{=} delete(t, r_v) \cup \{delete(t', r_j') \mid R_j \in Delete(r_v), t' = t[X_j']\},$

where  $Delete(r_v)$  is a nonempty subset of  $\overline{R}$ !  $\square$ 

**Lemma B.2.** Let  $r_v$  be a non-rooted-subgraph view defined as above, and t any tuple to be inserted/deleted into/from  $r_v$ . Then

- (i)  $T_{upd}^{"}(insert(t, r_v))$  exactly performs  $insert(t, r_v)$  if for every relation-scheme  $R_i(X_i)$  of  $\overline{R}$ , the functional dependency  $R_v: X_i \to X_v$  holds in  $r_v$ ; and
- (ii)  $T''_{upd}(delete(t, r_v))$  exactly performs  $delete(t, r_v)$  if for every relation-scheme  $R_i(X_i)$  of  $extit{Delete(r_v)}$  the functional dependency  $R_v: X_i \to X_v$  holds in  $r_v$ .

 $\underline{Proof}$ : apply theorems 4 and 5 of [4].  $\Box$ 

#### Proof of Proposition 4.1.

Every query-subgraph  $G'_{ER}$  is decomposed into a union of adjacent rooted subgraphs. Then the joins involved in the definition of  $r_v$  are ordered as follows: (i) for every subgraph of  $G'_{ER}$  which has a root, a rooted-subgraph view is defined; and (ii) all rooted-subgraph views are joined, which is equivalent to the definition of a non-rooted-subgraph view,  $r_v$ . The update mapping for  $r_v$  is defined as the composition of  $T'_{upd}$  and  $T''_{upd}$ . By lemma B.1 and B.2, the composition of  $T'_{upd}$  and  $T''_{upd}$  exactly performs  $update(t, r_v)$  when the conditions of proposition 4.1 are satisfied.  $\square$ 

Although we have applied results from [4] in order to prove proposition 4.1, the method proposed in [4] to verify the side-effect freeness of view-update mappings (constructing view graphs) is not necessary. Alternatively, the functional dependencies that hold in some query-view can be generated by using the well known derivation rules together with the following additional rule:  $R_i: X = Y$  implies  $R_i: X \to Y$  (for details and proofs see [8]).

LAWRENCE BERKELEY LABORATORY
TECHNICAL INFORMATION DEPARTMENT
1 CYCLOTRON ROAD
BERKELEY, CALIFORNIA 94720