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A Connectionist Treatment of Grammar for Generation

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Abstract

Connectionist language generation promises better interaction between syntactic and lexical considerations and thus improved output quality. To realize this requires a connectionist treatment of grammar. This paper explains one way to do so. The basic idea is that constructions and their constituents are nodes in the same network that encodes world knowledge and lexical knowledge. The principal novelty is reliance on emergent properties. This makes it unnecessary to maké explicit syntactic choice or to build up representations of sentence structure. The scheme includes novel ways of handling constituency, word order and optional constituents; and a simple way to avoid the problems of instantiation and binding. Despite the novel approach, the syntactic knowledge used is expressed in a form similar to that often used in linguistics; this representation straightforwardly defines parts of the knowlege network. These ideas have been implemented in FIG, a 'flexible incremental generator.'

1 Introduction

A generator is faced with a great number of interdependent options: lexical, syntactic, and conceptual. To produce a good utterance a generator must arrive at a consistent set of choices. The best way to do this seems to be with explicit parallel consideration of all possible options and parallel computation of their interdependencies [Ward 89c]. This ensures that the relevant information is all available, something which is difficult for generators which make choices in sequence [Ward 89b].

For syntax, this implies considering many possible constructions at once. This technique appears to be

used by human speakers – analysis of speech errors suggests that even normal speech is the result of competing 'plans' [Baars 80]. [Stemberger 85] realized that, in particular, human speakers can be modeled as having many 'phrase structure units' being 'partially activated' simultaneously, and stressed the importance of emergents.

I have written an intrinsically parallel generator, 'FIG,' motivated by considerations of cognitive modeling [Ward 89c]. FIG is a structured (aka localist) connectionist system. Structured connectionism allows parallelism while making it relatively easy to build, explain, and debug the system. (A distributed connectionist system would have other advantages but would be harder to develop.)

This paper presents a new approach to grammar for language generation. The key innovation is reliance on emergent properties, instead of making explicit choices and doing explicit structure-building. Despite the novel approach to processing, there is a declarative representation for linguistic knowledge.

To see that this approach works for syntactically non-trivial examples, consider that FIG's outputs include: "once upon a time there lived an old man and an old woman," "one day the old man went into the hills to gather wood," "a big peach bobbed down towards an old woman from upstream," "an old woman gave a peach to an old man," and "Mary was killed;" and corresponding Japanese sentences: "mukashi mukashi aru tokoro ni ojiisan to obaasan ga sunde imashita," "aru hi ojiisan wa yama e shibakari ni ikimashita," "aru hi ojiisan wa yama e shibakari ni ikimashita," "aru hi ojiisan wa yama e shibakari ni ikimashita," "aru hi ojiisan wa yama e shibakari ni ikimashita," "aru hi ojiisan wa yama e shibakari ni ikimashita," "aru hi ojiisan wa meeri ni momo o agemashita," and "meeri o koroshimashita."

Section 2 overviews contrasting and related treatments of syntax in generation, Section 3 summarizes the FIG approach to generation, Section 4 presents a representation for grammatical knowledge, Section 5 describes how this knowledge is used, Section 6 discusses the implementation, and Section 7 suggests fu-

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ture research directions.

2 Previous Research

Problems of grammar for generation have received a fair amount of attention in AI. Of the work which is concerned with the details of language, almost all is based on syntactic mechanisms adopted from linguistic theories. Most linguistic grammars come complete with mechanisms: transformations, parse-tree traversals, unification, and so on. Yet these mechanisms are not intended to be computational models. They are typically inspired by the goal of explaining sentence structure, a goal probably originally due to linguistics' focus on grammaticality.

Independent of linguistic theories, the most common metaphor for generation is as a sequence of choices among alternatives. For example, a generator may chose among words for a concept, among ways to syntactically realize a constituent, and among concepts to bind to a slot. These decisions are generally made in a fairly fixed (and generally top-down) order, thus most generators are not easily parallelizable.

In FIG grammar is important but grammaticality is not. Grammar is a tool used in the process of expressing meaning, not a goal in itself. Structure building, structure mapping, and explicit syntactic choice are dispensed with. In FIG the structure of the output is emergent, and choices are also largely emergent. One advantage of relying on emergents is that there is no need to order choices [Ward 89b], and thus the process is naturally parallelizable.

Previous connectionist research has in general not strayed far from traditional approaches to grammar. [Stolcke 89] directly implemented unification grammar. [Kalita and Shastri 87] implemented a standard symbolic generator [McDonald 1983]. Perhaps the most original connectionist generator is Gasser's CHIE [Gasser 88]. Yet even in CHIE there are choices (represented by neuron firings) and these happen sequentially, in order. The exact timing of firings seems crucial. CHIE freely uses winner-take-all subnetworks, which also cuts down on the amount of effective parallelism.

3 The FIG Approach to Generation

The task is generation of natural language from thoughts. (Most generators, presume that the input has been pre-processed by a 'what-to-say' component, and thus only need to take the 'message' and do some language-specific processing. I think this division of the task is unnecessary and unwise [Ward 88b].) Reduced to bare essentials, a generator's task is to get

from concepts (what the speaker wants to express) to words (what he can say). From this point of view, the key problem in generation is computing the relevance (pertinence) of a particular word, given the concepts to express. Syntactic and other knowledge mediates this computation of relevance. Therefore FIG is based on word choice – every other consideration is analyzed in terms of how it affects word choice.

Processing in FIG is done with spreading activation in a network. The basic FIG algorithm is:

- each node of the input conceptualization is a source of activation
- 2. activation flows through the network
- when the network settles, the most highly activated word is selected and emitted
- activation levels are updated to represent the new current state
- 5. steps 2 through 4 repeat until all of the input has been conveyed

An utterance is simply the result of successive word choices, thus FIG is incremental in a strong sense.

Activation represents relevance; flow of activation represents implications of relevance; and updating the activation of a node represents computing its relevance. The general direction of activation flow is from concepts to words, but nodes representing syntax 'redistribute' activation also, and feedback is pervasive.

The network must be designed so that, when it settles, the node which is most highly activated corresponds to the best next word. This paper discusses the design of the network structures which encode syntactic knowledge.

4 Knowledge of Syntax

FIG's treatment of syntax is based on Construction Grammar [Fillmore et al 89]. This approach describes the grammar of a language 'directly, in terms of a collection of grammatical constructions' [Fillmore 88]. The key characteristics here are that Construction Grammar is declarative and that the units of syntactic knowledge, namely constructions, are densely related to words, meaning, and each other.

The encodings of syntactic knowledge shown below are taken directly from FIG. These are for illustrative purposes only. I do not claim that these represent the facts of English, nor the best way to describe them in a grammar. The examples are intended simply to illustrate the representational tools and computational mechanisms available in FIG.

Figure 1 shows FIG's definition of noun-phr, representing a simplification of the English noun-phrase construction. This construction has three constituents:

```
(defp noun-phr
  (constituents (np-1
                        obl article ((article .8) (a-w .2) ))
                 (np-2)
                        opt
                             adjective ((adjective .6)))
                 (np-3)
                       obl
                                       ((noun .9))) ))
                          Figure 1: The English Noun-Phrase Construction
(defp qo-p
  (constituents (gp-1
                        obl go-w
                                    ((go-w .1)))
                 (qp-2
                        opt epart ((vparticle .6) (directionr .2)))
                 (qp-3
                       opt noun
                                  ((prep-phr .6) (destr .2)))
                 (qp-4
                                  ((purpose-clause .6) (purposer .2))) ))
                       opt verb
                          Figure 2: Representation of the Valence of "Go"
(defp ex-there
  (inhibit subj-pred passive)
  (constituents (et-1 obl therew ((therew .9)))
                 (et-2 obl verb
                                  ((verb .9)))
                 (et-3 obl noun
                                  ((noun .5)))
                    Figure 3: Representation of the Existential "There" Construction
(defw peachw
                               (expresses momoc)
                                                  (grapheme "peach")
                 (cat noun)
      (nebors (initial-phoner consnt-initial .5)) )
(defs noun
             (maximals (noun-phr .4)))
(defw go-w
              (cat verb)
                          (expresses ikuc) (valence (go-p .2))
      (grapheme (inf "go") (past "went") (pastp "gone") (presp "going")) )
(defc introductoryc (english (ex-there .1) (a-w .1)) )
(defr purposer (english (to2w .4) (purpose-clause .1))
      (japanese (ni-w .6)))
```

Figure 4: Some Knowledge Related to Constructions

np-1, np-2, and np-3. np-1 and np-3 are obligatory, np-2 is optional. Glossing over the details for the moment, the list at the end of the definitions specifies how to realize the constituent. For example, np-1 should be realized as an article, with the default being a-w (representing the word "a"), and so on.

Figure 2 shows the construction for the case frame of the word "go." First comes go-w, for the word "go," which is obligatory. Next come (optionally): a verb-particle representing direction (as in "go away" or "go back home" or "go down to the lake"), a prepositional phrase to express the destination, and a purpose clause.

Figure 3 shows the representation of the existential "there" construction, as in "there was a poor cobbler." The 'inhibit' field indicates that this construction is incompatible with the passive construction and also with subj-pred, the construction responsible for the basic SVO ordering of English.

Figure 4 shows knowledge about when and where constructions are relevant. Constructions are associated with words. For example noun-phr is the 'maximal' of noun (actually, of all nouns), and go-p is the 'valence' (case frame) of go-w. These links encode knowledge about constructions and their heads; other relations between words and constructions are discussed in Section 5.5. Constructions are also associated with the meanings they can express. For example, ex-there is listed under the concept introductory, representing that this construction is appropriate for introducing some character into the story, and purposeclause is listed as a way to express the purposer relation. Constructions are also associated with other constructions. For example, the fourth constituent of gop subcategorizes for purpose-clause (Figure 2); and there are associations among incompatible constructions, for example the 'inhibit' link between ex-there and subj-pred (Figure 3).

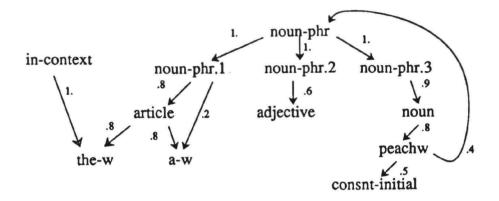


Figure 5: A Fragment of the Network

5 Using This Knowledge

While the above representations resemble those used by several modern linguistic theories, FIG uses them in a novel way.

5.1 Constructions in the Network

In FIG constructions and constituents are nodes in the knowledge network. Their activation levels represent their current relevance. Constructions receive activation from nodes linked to them, and transmit activation to other nodes. Figure 5 shows a fragment of FIG's network, where the numbers on the links are their weights. This is partially specified by the knowledge shown in the previous figures ¹. It is generally possible to give procedural interpretations to links. For example, the link from peachw to noun-phr 'advises' that: if you want to say a noun, you should 'consult' the knowledge in noun-phr.

Activation flow among the various nodes in the network provides, among other things, pervasive interaction among lexical choices and syntactic considerations. The rest of this section explains how this handles some basic functions of syntax.

5.2 Constituency

The links defined above suffice to handle constituency. Consider for example the fact that nouns need to be preceded by articles in this particular kind of noun phrase. Suppose that peachw is activated, perhaps because a peachc concept is in the input. Activation flows from peachw to noun-phr, from noun-phr to article, and from there to a-w and the-w, a-w also

receives activation via a direct link from noun-phr.

In this way the relevance of a noun increases the relevance rating of articles. Provided that other activation levels are appropriate, this will cause some article to become the most highly activated word, and thus be selected and emitted. Note that FIG does not first choose to say a noun, then an article; both 'decisions' are considered and made together, as activation levels settle.

If there are additional sources of activation (such as in-context) then the-w will receive more activation, and thus "the" will be output. Also, if the node vowel-initial is more highly activated than the node consntinitial, "an" instead of "a" will be output, thanks to the inflection mechanism (not described further).

There is also the question of specifying where a given concept should appear and what syntactic form it should take. This problem, subcategorization, is handled in FIG by simultaneously activating a concept and the syntactic form it should take. For example, the third constituent of go-p specifies that 'the direction of the going' be expressed as a 'verbal particle.' Activation will thus flow to an appropriate word node, such as downw, both via the concept filling the direction r slot and via the syntactic category vparticle. Thanks to this sort of activation flow, FIG tends to select and emit an appropriate word in an appropriate form [Ward 88a].

Syntactic considerations manifest themselves only through their effects on the activation levels of words. Syntax is never 'in control' of word choice ²; the syntactic structure of the result is emergent.

¹The mapping from s-expressions to network structures is not always trivial. For example, the link from noun to peachw comes from the statement that peachw had 'cat' noun; and the link from peachw to noun-phr is inherited by peachw from the 'maximals' information on noun.

²Post hoc examination of FIG output might make one think, for example, 'this exhibits the choice of the existential-there construction.' In FIG there is indeed an inhibit link between the nodes exthere and subj-pred, and so when generating the network tends to reach a state where only one of these nodes is highly activated. The most highly activated construction can have a strong effect on word choices, which is why the appearance of syntactic choice arises.

5.3 Word Order

FIG is an incremental generator, that is, it selects and emits words one by one in order. At each time the activation level of a word must represent its current relevance. To this end, one job of constructions is to activate things which are currently syntactically appropriate. In FIG the current syntactic state is represented in the state of each construction node, namely, their activation levels and 'cursors.' The cursor of a construction points to the currently appropriate constituent and ensures that it is relatively highly activated. To be specific, the cursor gives the location of a 'mask' specifying the weights of the links from the construction to constituents. The mask specifies a weight of 1.0 for the constituent under the cursor, and for subsequent constituents a weight proportional to their closeness to the cursor 3. This is parallelism among constituents.

For example, if the cursor of noun-phr points to np-1, then articles will receive a large proportion of the activation of noun-phr. Thus, an article is likely to be the most highly activated word and therefore selected and emitted. After an article is emitted the cursor is advanced to np-2, and so on. Advancing cursors is described in Section 5.5.

In this way constructions 'shunt' activation to words which should appear early in the input. FIG has no central process which plans or manipulates word order. Each construction simply activates nodes which it 'thinks' currently are relevant. In this sense word order is emergent.

5.4 Optional Constituents

When building a noun-phrase a generator should emit an adjective if semantically appropriate, otherwise it should ignore that option and emit a noun next. FIG does this without additional mechanism.

To see this, suppose "the" has been emitted and the cursor of noun-phr is on its second constituent, np-2. As a result adjectives get activation, via np-2, and so to a lesser extent do nouns via np-3. There are two cases: If the input includes a concept linked (indirectly perhaps) to some adjective, that adjective will receive activation from it. In this case the adjective will receive more syntactic activation than any noun does, and hence have more total activation, so it will be selected next. If the input does not include any concept linked to an adjective, then a noun will have more activation than any adjective (since only the noun receives semantic activation also), and so a noun will be se-

lected next.

Most generators use some syntax-driven procedure to inspect semantics and decide explicitly whether or not to realize an optional constituent. In FIG, the decision to include or to omit an optional constituent (or adjunct) is emergent — if an adjective becomes highly activated it will be chosen, in the usual fashion, otherwise some other word, most likely a noun, will be.

5.5 Updating Constructions

Recall that FIG, after selecting and emitting a word, updates activation levels to represent the new state. In particular, it must advance the cursors of constructions as their constituents are completed ⁴. Why is a separate update mechanism necessary? Most generators simply choose a construction and then 'execute' it straightforwardly. However, in FIG no construction is ever 'in control.' For example, one construction may be strongly activating a verb, but activation from other constructions may 'interfere,' causing an adverbial, for example, to be emitted instead. Therefore, in FIG constructions need feedback on what words have been output.

The difference between obl and opt constituents is whether or not the update mechanism can skip over them. (Since, for example, if there are no adjectives, the cursor of noun-phr should not remain stuck forever at the second constituent.) More than one construction may get updated after a word is output. For example, emitting a noun may cause updates to both the prep-phr construction and the noun-phr construction. Constructions which are 'guiding' the output should be scored as more relevant, so the update process adds activation to those constructions whose cursors have changed. It also sets a floor under their activation levels. After the last constituent of a construction has been completed, the cursor is reset and the floor is removed.

This type of bottom-up influence on constructions models an important factor affecting the syntactic form of utterances. [Bock and Warren 85] has shown that people can realize, after emitting some words, that in order to continue they must use a certain construction, for example, a passive. Similarly, FIG may output some words without any syntactic plan, then, based on the words output, the update mechanism will activate appropriate constructions, and those constructions will henceforth help guide production.

³For unordered construction the weight on all constructionconstituent links is uniform and unchanging.

⁴Determining when a constituent is complete is not trivial. The current implementation uses a simple matching process, using the 'triggers' or each constituent (the third atoms in their descriptions).

5.6 No Instantiation or Binding

Most generators employ special mechanisms for instantiation and binding. One thing these are used for is handling multiple copies, for example, several noun phrases, or several instances of "a" in a single sentence. A connectionist system must also address this issue. An example of a problem that might occur otherwise is: in the case where several words of a category are highly activated, a node linked to all of them would receive more activation than when only one such word were active. For example noun-phr might receive activation from many words of category noun.

For this reason FIG uses a special rule for activation received across inherited links: the maximum (not the sum) of these amounts is used. For example, this rule applies to the 'maximal' links from nouns to nounphr, which means that noun-phr effectively 'ignores' all but the most highly activated noun.

An earlier version of FIG handled this differently: by making copies of words and constructions. For example, it would make a copy of noun-phrase for each noun-expressible concept, and bind each copy to the appropriate concept, and to copies of a-w and the-w. Once I had started using instances and binding, it seemed natural to use those mechanisms for other problems (notably slots and cases). This approach worked, but it meshed so poorly with the basic activation-flow mechanism that I went back to a more pure spreading activation model. I conjecture that everything which seems to require 'instantiation' or 'binding' can be handled better by an appropriate refinement to the spreading activation mechanism.

5.7 Extended Example

This section describes how FIG produces "the old woman went to a stream to wash clothes." For this example the input is the set of nodes ikuc1, old-womanc1, sentakuc1, kawac1, and pastc. These nodes are linked to each other as follows: ikuc1's agentr is old-womanc1, its purposer is sentakuc1, and its destr is kawac1; and old-womanc1's pragroler is topicc. The concepts here have Japanese names because the input is the result of parsing the Japanese sentence "obaasan wa kawa e sentaku ni ikimashita," (old-woman TOPIC stream DEST wash-clothes PURPOSE go-POLITE-PAST).

Initially, each node of the input has 12 units of activation. Figure 6 shows the activation levels of selected nodes after activation flows, before any word is output. At this point the most highly activated word node is the-w, thus it will be selected and emitted first. The major source of activation for the-w is the

first constituent of noun-phr, np-1 (shown in capitals to indicate that it is the constituent currently under the cursor.) np-1 receives energy from noun-phr, noun-phr receives most of its activation from old-womanw, which receives activation from old-womanc1 and from noun. noun is activated, among other reasons, by the first constituent of subj-pred.

One construction not mentioned previously is backforep, the construction responsible for putting adverbials of time and place at the beginning of a sentence. This construction has no effect on this sentence, since there are no concepts present expressible in this way.

After "the" is emitted noun-phr becomes even more highly activated and its cursor is moved to np-2. The most highly activated word becomes old-womanw, largely due to activation from np-3.

After "old woman" is emitted noun-phr is reset – that is, the cursor is set back to np-1 and it thereby becomes ready to guide production of another noun phrase. Also, now the cursor on subj-pred advances to sp-2. As a result verbs, in particular go-w, become highly activated.

go-w is selected. Because paste has more activation than presente etc., go-w is inflected and emitted as "went." After this subj-pred's cursor advances to its third constituent. At the same time, go-p's cursor advances to its second constituent, thus it activates directional particles, although it happens that there is no semantic input to any such word. The most highly activated words are prepositions, due to activation from the first constituent of prep-phr, which in turn receives its energy from sp-3 and to a lesser extent from gp-3. Of the various prepositions, to1w receives the most activation from directionr, which also receives its activation from the third constituent of go-p.

After "to" is emitted, the cursor of prep-phr is advanced. The key path of activation flow is now from the second constituent of prep-phr to noun to streamw to noun-phr to article to a-w. Thus "a" is emitted.

Then the cursor of noun-phr advances and "stream" is emitted. It is "stream" rather than some other noun because streamw is linked to kawac, kawac fills a destination relation, and destination is listed in gp-3.

At this point the cursor of go-p is on gp-4. From this constituent activation flows to purpose-clause, and in due course "to" and "wash clothes" are emitted.

In this way FIG has produced: "the old woman went to a stream to wash clothes." All the nodes of the input having been expressed, FIG ends.

6 Implementation

PATTERNS		WORDS		CONCEPTS		
16.0	BACK-FOREP	21.3	THE-W	23.6	IKUC1	
	BF-1 bf-2 bf-3	21.0	A-W	19.7	OLD-WOMANC1	
4.9	NOUN-PHR	12.6	OLD-WOMANW	16.7	KAWAC1	
	NP-1 np-2 np-3	10.7	STREAMW	15.5	SENTAKUC1	
3.1	SUBJ-PRED	10.6	GO-W	12.0	PASTC	
	SP-1 sp-2 sp-3	8.6	RIVERW	9.5	CONSNT-INITIAL	
2.1	GO-P	5.5	WASH-CLOTHESW	6.1	VOWEL-INITIAL	
	GP-1 gp-2 gp-3 gp-4	3.4	TO2W	5.9	TOPICC	
1.8	PURPOSE-CLAUSE			0	OTHER	
	PC-1 pc-2 pc-3			16.0	TIMES	
0.3	PREP-PHR			3.8	ARTICLE	
	PP-1 pp-2			2.6	NOUN	
				1.8	AGENTR	

Figure 6: Activation Levels of Selected Nodes After Activation Flow

FIG currently has six types of nodes: concepts, relations, words, constructions, constituents, and instances of concepts. They are distinguished for clarity and efficiency but not for activation flow. The cursor update process and semantic update process (not described further) do, however, examine node types; and of course only words are selected and emitted.

Although links have been differentiated above in terms of their intended meaning, activation flows across all links in the same way, except: 1. there are english and japanese links; no activation flows across the ones for the language not in use, 2. the weights of links from constructions to constituents are modified by the mask according to the cursor, and 3. inhibit links transmit negative activation.

In accordance with the intuition that a word is not truly appropriate unless it is both syntactically and semantically appropriate, the activation level for words is given by the product (not the sum) of incoming syntactic and semantic activation. 'Syntactic activation' is activation received from constituents and syntactic categories.

Currently FIG has 284 nodes and about 600 links. Before each word choice, activation flows until the network settles down, with cutoff after 8 cycles. This takes about .15 seconds on average (simulating parallel activation flow on a Symbolics 3670), thus FIG outputs words faster than a human speaker.

The correct operation of FIG depends on having correct link weights. This is not a major problem. Many of the link weights are uniform. For example, all links from syntactic categories to their members have weight .8, all 'inhibit' links have weight .7, and so on. Many of the others have a rationale: for example, the link from np-1 to articles has relatively high weight because articles get very little activation from other sources. No single weight is meaningful; the way it

functions in context is. For example, the exact weight of the link from the first constituent of **subj-pred** to **noun** is not crucial, as long as the product of it and the weight on the **agentr** relation is appropriate.

Also crucial in generation is the flow of activation through the network structures encoding world-knowledge. World knowledge is also used when monitoring the output and updating activation levels. For details see [Ward 88a].

FIG is, of course, extensible. Adding new concepts, words or constructions is generally straightforward; they can be encoded by analogy to similar nodes, and usually the same link weights suffice. Occasionally new nodes and links interact in unforeseen ways with other knowledge in the system, causing other nodes to get too much or too little activation. In these cases it is necessary to debug the network. Sometimes trial-and-error experiments are required, but often the acceptable range of weights can be determined by examination. This is a kind of back-propagation by hand; it could doubtless be automated.

Besides just increasing the amount of knowledge in FIG's network, I would like to make it model human speech errors and to use its grammatical knowledge structures for parsing also.

7 Summary

FIG's treatment of syntax is connectionist and novel. It relies heavily on parallelism and emergents. It does not build up any syntactic structures, nor even make explicit syntactic choices. The only explicit choices needed are the successive choices of words. It is also novel in that the network representations of linguistic knowledge affect word choice and order directly, rather than just affecting a parse tree. Thus the implementation corresponds clearly and directly to the knowledge-level theory.

This treatment of syntax works well with the rest of FIG — all types of knowledge are well integrated and interact freely at run time. I have explained elsewhere how this is important for: accurate and flexible word choice [Ward 88a], producing natural-sounding output for machine translation [Ward 89a], and modeling the key aspects of the human language production process [Ward 89c].

This work is not traditional linguistics, artificial intelligence, or connectionism, but uses techniques from all three fields. I have presented a syntactic mechanism which is compatible with intuitions about syntactic knowledge and also with connectionist processing. I hope this will stimulate further work in empirical computational linguistics, modeling human language production, and building and useful parallel generation systems.

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