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Genetic nurture effects for alcohol use disorder

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Conflict of Interest

None

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Abstract

We tested whether aspects of the childhood/adolescent home environment mediate genetic risk for alcohol problems within families across generations. Parental relationship discord and parental divorce were the focal environments examined. The sample included participants of European Ancestry (N = 4806, 51% female) and African Ancestry (N = 1960, 52% female) from the high-risk Collaborative Study on the Genetics of Alcoholism. Alcohol outcomes in the child generation included lifetime criterion counts for DSM-5 Alcohol Use Disorder (AUD), lifetime maximum drinks in 24 hours, age at initiation of regular drinking, and age at first alcohol intoxication. Predictors in the parent generation included relationship discord, divorce, alcohol measures parallel to those in the child generation, and polygenic scores for alcohol problems. Parental polygenic scores were partitioned into alleles that were transmitted and nontransmitted to the child. The results from structural equation models were consistent with genetic nurture effects in European ancestry families. Exposure to parental relationship discord and parental divorce mediated, in part, the transmission of genetic risk for alcohol problems from parents to children to predict earlier ages regular drinking ($\beta_{indirect} = -0.018$ [-0.026, -0.011]) and intoxication ($\beta_{indirect}$ = -0.015 [-0.023, -0.008]), greater lifetime maximum drinks ($\beta_{indirect}$ = 0.006 [0.002, 0.01]) and more lifetime AUD criteria ($\beta_{indirect} = 0.011$ [0.006, 0.016]). In contrast, there was no evidence that parental alleles had indirect effects on offspring alcohol outcomes via parental relationship discord or divorce in the smaller number of families of African ancestry. In conclusion, parents transmit genetic risk for alcohol problems to their children not only directly, but also indirectly via genetically influenced aspects of the home environment. Further investigation of genetic nurture in non-European samples is needed.

Introduction

Family-based studies were critical to demonstrating the role of genetic factors in the intergenerational transmission of alcohol use disorder (AUD)¹ and provided the empirical foundation for subsequent gene identification efforts ranging from linkage to GWAS². The conventional understanding is that genetic risk is passed in families from parents to children through allele sharing. Yet, allele sharing represents only one potential mode of transmission of genetic risk in families. Indeed, robust evidence from twin and adoption studies indicate that genetic influences also operate "beyond the skin" to shape the environment³. Thus, in addition to direct allele transmission, parental genotypes may influence children's outcomes indirectly, via the family environment⁴. Here we examined two common family adversities, parental divorce and parental relationship discord, as mechanisms through which genetic risk for alcohol problems may be transmitted in families.

Parental divorce is common⁵, and 28.24% of American adults experience parental divorce or permanent non-marital parental separation prior to age 18⁶. Moreover, it is well recognized that many offspring are exposed to parental relationship discord even in the absence of parental divorce^{7–10}. Exposure to parental divorce and relationship discord are associated with AUD^{11–14} and related outcomes, including earlier age at first drink^{15–18} and higher

substance involvement and misuse^{19–21}. Divorce and relationship discord share genetic influences with alcohol problems^{22–24}. Thus, offspring exposed to parental divorce and relationship discord also inherit a genetic predisposition towards alcohol problems, an example of how genetic inheritance is also associated with environmental exposures²⁵.

In the present study, we used family-based data from an ancestrally diverse, high-risk sample to examine parental divorce and relationship discord as family adversities that mediate genetic risk for alcohol problems. We tested two pre-registered hypotheses²⁶.

- 1. Parental divorce and parental relationship discord will be associated with a range of risky alcohol-related outcomes in children: (a) earlier age at alcohol initiation; (b) earlier age at first intoxication (c) a higher reported number of maximum drinks consumed in 24 hours; and (d) endorsement of more of lifetime clinical criteria for alcohol use disorder.
- **2.** Parental divorce and parental relationship discord will mediate the transmission of genetic risk for alcohol problems from parents to children.

Parental divorce and relationship discord were examined separately in view of prior evidence that both parental marital status and the tenor of their relationship represent separate and unique influences on children's outcomes²⁷.

Methods

Sample

The sample for this study was drawn from the Collaborative Study on the Genetics of Alcoholism (COGA)^{17, 28, 29} (dbGaP Study Accession: phs000763.v1.p1). Probands (i.e., index individuals) were identified through alcohol treatment programs at seven U.S. sites. Probands and their families were invited to participate if the family was sufficiently large with two or more members in the COGA catchment areas. Comparison families were recruited from the same communities. The Institutional Review Boards at all data gathering sites approved this study, and written consent was obtained from all participants. Within the larger COGA sample, we selected parents and children (confirmed by genotyping) with relevant phenotypic and genome-wide genotypic data available. This was done separately by European ancestry (EA) and African ancestry (AA) groups, as defined by principal components in GWAS data calculated using SNPrelate³⁰, to avoid population stratification in the downstream analyses incorporating genome-wide polygenic scores³¹. Analytic sample sizes differed across the phenotypes of interest and ranged from 4321 to 4806 in EAs (51% female, $M_{\rm age}$ (SD) = 30.72 (9.81) years) and 1616 to 1960 in AAs (52% female, $M_{\rm age}$ (SD) = 29.04 (8.76) years) and are specified in the results.

Measures

Alcohol use behaviors.—Measures in parents and their children were coded from the Semi-Structured Assessment for the Genetics of Alcoholism Interview (SSAGA)³². Age of initiation of regular drinking (Initiation) was the age at which participants reported first drinking regularly, defined as once a month for 6 months or more. Age of first intoxication (Intoxication) was the age at which participants first reported getting drunk,

defined as slurred speech or being unsteady on one's feet. Maximum drinks (MaxDrinks) was participants' report of the largest number of drinks consumed in a 24-hour period. AUD lifetime criterion counts (AUDSx) were defined by the Diagnostic and Statistical Manual 5 (DSM-5)³³. Those <23 years of age at their last assessment who did not endorse any AUD criteria were set to missing to ensure that participants had passed through the period of highest risk for onset of AUD before being classified as unaffected.

Parental divorce and offspring perceptions of parental relationship discord.—

Participants' retrospective reports of whether their parents were divorced/separated while growing up were coded from the SSAGA³². Participants also retrospectively reported on their perceptions of parental relationship discord³⁴, which included questions about the quality of their parents' marriage/relationship (a 4-point ordinal scale with response options of excellent, good, fair, or poor); whether their parents usually seemed to enjoy each other (yes or no); whether their parents often argued or fought in front of them (yes or no); whether either of their parents ever hit the other (yes or no); and how much conflict or tension there was in the household (an ordinal item with response options a lot, some, a little, or none). These questions were asked with respect to ages 6–13 for those administered the SSAGA-II (65% and 57% of the EA and AA samples, respectively), and ages 12–17 for those administered the SSAGA-IV (35% and 43% of the EA and AA samples, respectively).

Covariates.—Covariates included gender (coded male and female), age at last assessment, generational cohort (dummy coded using the following scheme from Bourdon et al.³⁵: silent [b. prior to 1946], baby boomer [b. 1946 to 1964], generation X [b. 1965 to 1980], millennial [b. 1981 to 1996]); and the first ten within-ancestry principal components. Principal components are often used to address population stratification in PRS analyses³⁶. Similar to other recent studies^{37–40} we used 10 ancestry principal components as covariates.

Alcohol problems polygenic scores.—Four different genotyping arrays were used: the Illumina 1M, Illumina OmniExpress 12VI, and Illumina 2.5M (Illumina, San Diego, CA), and Smokescreen (BioRealm LLC, Walnut, CA). Quality control and imputation procedures are described in Lai et al.²⁹. Imputed genotypes for the COGA parent-offspring trios, in combination with summary statistics from independent GWAS discovery samples, were used to construct the genome-wide polygenic risk scores using PRS-CSx⁴¹. This procedure uses ancestry-specific discovery sample GWAS weights, paired with linkage disequilibrium information from an ancestry-matched external reference panel, to estimate the posterior effect size for each SNP. Reference panels from the 1000 Genomes Phase III European or African subsamples were used for the EA and AA groups, respectively. For participants of European ancestry, the discovery sample consisted of a meta-analysis of DSM-IV alcohol dependence from the Psychiatric Genomics Consortium (COGA sample removed)⁴², AUDIT-P from the UKBiobank⁴³, and DSM-5 Alcohol Use Disorder from the Million Veteran Program in individuals of European ancestry⁴⁴. For participants of African ancestry, GWAS summary statistics were drawn from the meta-analyzed European ancestry summary statistics in tandem with the African ancestry GWAS summary statistics from analyses of DSM-IV alcohol dependence from the Psychiatric Genomics Consortium (COGA sample removed)⁴² and the African ancestry GWAS summary statistics from

analyses of Alcohol Use Disorder derived from ICD codes obtained from electronic health records from the Million Veteran Program⁴⁴. This approach was informed by evidence that combining African ancestry specific GWAS summary statistics with GWAS summary statistics available from a larger-scale European ancestry sample improves polygenic prediction in an African-ancestry target sample⁴¹. Following the calculation of the posterior effect sizes, additive polygenic scores were calculated for transmitted and nontransmitted alleles for mothers and fathers, separately. SNPs where parental origin was ambiguous (e.g., an offspring C/T SNP for parents who are C/T heterozygotes) were removed from the PRS calculation.

Analytic Plan

Parental relationship discord composite.—An exploratory factor analysis (EFA) on the five items related to children's perceptions of parental relationship discord was conducted to inform the calculation of the parental relationship discord composite. After establishing unidimensional factor structure (see Supplementary Information and Supplementary Tables 1 and 2), a composite measure was calculated by taking the prorated sum of the items for participants who responded to at least 3 items. Binary items were coded 0 or 1. Four-response ordinal items were rescaled to range between 0 and 1 (0, 0.33, 0.66, 1) so that ordinal and binary items were weighted similarly in the prorated sum.

Nature of nurture design.—We used an extended nature of nurture design^{45, 46}, depicted in Figure 1, to examine the mechanisms through which parental genotypes influence offspring outcomes. In this model, paternal (P) and maternal (M) genotypes are partitioned into those that are shared with offspring (i.e., transmitted alleles, T) and those that are not shared with offspring (i.e., nontransmitted alleles, NT). This cross-generational allele sharing information can be used to construct separate genome-wide polygenic scores that represent the portion of each parent's 'genetic loading' for a trait or disorder, such as AUDSx, that is directly transmitted to the offspring, and that which is indirectly transmitted to the offspring via the environment through a 'genetic nurture' pathway. We expanded this design to examine whether the parental divorce and relationship discord composite measures mediate both the effects of transmitted and nontransmitted alleles. In addition, we included matching parental alcohol use phenotypes as mediators of the intergenerational transmission of genetic risk.

Models were fit using the structural equation modeling package *OpenMx*⁴⁷ in R⁴⁸. A total of 16 models were tested: all combinations of 4 alcohol use phenotypes (AUDSx, MaxDrinks, Initiation, and Intoxication), 2 parental relationship mediators (parental relationship discord and divorce), and 2 ancestry groups (EA and AA).

Offspring alcohol use phenotypes were regressed on mediator variables, the transmitted component of parental polygenic scores, and a series of exogenous covariates (offspring sex, offspring age at last assessment, and offspring 10 within-ancestry principal components). Mediator variables were regressed on the transmitted and nontransmitted components of the parental polygenic scores and the covariates, except offspring sex. Offspring birth cohort was included as a covariate in the models examining parental marital discord as a mediator,

but excluded from models examining parental divorce because of problems with model convergence. Parental alcohol use phenotypes were regressed on the corresponding parental polygenic scores (maternal phenotype on maternal polygenic score and paternal phenotype on paternal polygenic score) and the exogenous covariates, except offspring sex. Parental relationship variables (i.e., divorce and discord in the respective models) were regressed on all parental polygenic scores.

Divorce was modeled using a single threshold, identified by fixing the mean to 0, variance to 1, and estimating the threshold. All other variables were treated as continuous and standardized to mean=0, SD=1. Indirect effects were calculated for all paths from parental polygenic scores to offspring alcohol use outcome through mediator variables, for a total of 8 indirect effects per model. Confidence intervals for indirect effects were calculated via bootstrapping with 1000 replications.

Results

Descriptive statistics and correlations for key study variables

Descriptive statistics for the key study variables are summarized in Table 1. EA offspring endorsed an average of 3.74 AUDSx and 20.47 MaxDrinks. Age in years at initiation of regular drinking was 17.14, and age at first intoxication was 16.15. Approximately 17% of the sample experienced parental divorce in childhood/adolescence. AA offspring endorsed an average of 2.61 AUDSx and 16.92 MaxDrinks. Average age at initiation of regular drinking was 18.05 and average age at first intoxication was 17.44 years. Approximately 33% of the sample experienced parental divorce in childhood/adolescence.

Zero-order correlations among key study variables are summarized in Supplementary Table 3. Pearson and polyserial correlations are presented for pairs of continuous variables and pairs where one variable is continuous and the other is binary, respectively. Selected effects are summarized here. In the EA sample, parental relationship discord was associated with more AUDSx (r= .18, 95% CI [0.15, 0.22]), higher MaxDrinks (r= 0.09, 95% CI [0.05, 0.12]), earlier Initiation (r= -0.07, 95% CI [-0.10, -0.03]) and earlier Intoxication (r= -0.16, 95% CI [-0.19, -0.12]). Parental divorce was associated with earlier Initiation (r= -0.30, 95% CI [-0.35, -0.24]) and earlier Intoxication (r= -0.17, 95% CI [-0.22, -0.12]), but was not significantly associated with AUDSx (r= -0.02, 95% CI [-0.07, 0.04]) nor MaxDrinks (r= 0.01, 95% CI [-0.04, 0.06]). Parental relationship discord was associated with parental divorce (r= 0.30, 95% CI [0.25, 0.35]).

In the EA sample, both transmitted and nontransmitted maternal alleles were associated with higher offspring AUDSx (r= 0.10, 95% CI [0.07, 0.13] and r= 0.04, 95% CI [0.01, 0.07]) and MaxDrinks (r= 0.07, 95% CI [0.03, 0.10] and r= 0.03, 95% CI [0.00, 0.06]), and offspring earlier age at first intoxication (r= -0.06, 95% CI [-0.09, -0.03] and r= -0.05, 95% CI [-0.08, -0.02]). Transmitted maternal alleles, but not nontransmitted maternal alleles, were associated with earlier age of offspring initiation (r= -0.03, 95% CI [-0.07, 0.00]). Both transmitted and nontransmitted paternal alleles were associated with higher offspring AUDSx (r= 0.11, 95% CI [0.07, 0.15] and r= 0.05, 95% CI [0.01, 0.09]) and MaxDrinks (r= 0.07, 95% CI [0.03, 0.11] and r= 0.04, 95% CI [0.01, 0.08]). Transmitted

paternal alleles, but not nontransmitted paternal alleles, were associated with earlier age at intoxication (r= -0.05, 95% CI [-0.09, -0.01]. Neither transmitted nor nontransmitted paternal alleles were associated with offspring age at initiation at a statistically significant level. Transmitted and nontransmitted maternal alleles (r= 0.07, 95% CI [0.03, 0.11] and r= 0.05, 95% CI [0.01, 0.09]) and paternal alleles (r= 0.07, 95% CI [0.03, 0.11] and r= 0.11, 95% CI [0.07, 0.15]) were associated with parental relationship discord. Nontransmitted maternal and paternal alleles were associated with divorce (r= 0.08, 95% CI [0.02, 0.13] and r= 0.13, 95% CI [0.07, 0.20]), but neither maternal nor paternal transmitted alleles were associated with divorce at a statistically significant level.

In the AA sample, parental relationship discord was associated with more AUDSx (r = .10, 95% CI [0.03, 0.17]), but was not significantly associated with MaxDrinks (r = 0.03, 95% CI [-0.04, 0.10]), age at Initiation (r = 0.06, 95% CI [-0.02, 0.14]) or age at Intoxication (r = -0.04, 95% CI [-0.11, 0.04]). Parental divorce was associated with earlier Initiation (r = -0.10, 95% CI [-0.19, -0.02]) and Intoxication (r = -0.10, 95% CI [-0.19, -0.01]), but was not significantly associated with AUDSx (r = -0.06, 95% CI [-0.15, 0.02]) nor MaxDrinks (r = 0.02, 95% CI [-0.05, 0.10]). Parental relationship discord was associated with parental divorce (r = 0.21, 95% CI [0.12, 0.31]).

In the AA sample, nontransmitted maternal alleles were associated with lower offspring AUDSx (r = -0.07, 95% CI [-0.13, -0.02]), and transmitted paternal alleles were associated with higher offspring AUDSx (r = 0.08, 95% CI [0.00, 0.16]) and earlier age at intoxication (r = -0.08, 95% CI [-0.16, 0.00]). No other associations were observed between transmitted or nontransmitted parental alleles and offspring AUDSx, MaxDrinks, age at initiation or age at intoxication. Neither maternal nor paternal transmitted nor nontransmitted alleles were associated with parental relationship discord nor divorce at a statistically significant level.

Nature of nurture modeling

We used an extended nature of nurture model to examine the pathways through which parental genotypes influenced offspring alcohol outcomes. All paths shown in Figure 1 were modeled simultaneously and the full results are presented in Supplementary Tables 4–7 for the AUDSx, MaxDrinks, Initiation, and Intoxication outcomes separately by ancestry. Descriptive statistics for each analytic sample are presented in Supplementary Tables 8–11. In what follows, we focus on the indirect pathways of primary interest: parental relationship discord and divorce as mediators of transmitted and nontransmitted parental and maternal alleles on offspring alcohol outcomes, and paternal and maternal alcohol use behaviors as mediators of the effects of transmitted and nontransmitted paternal and maternal alleles on offspring's matched alcohol outcomes.

Indirect genetic effects through parental relationship discord and divorce.

—Table 2 summarizes the indirect effects of transmitted and nontransmitted paternal and maternal alleles on offspring alcohol outcomes via parental relationship discord and divorce for each ancestry group, separately. These effects correspond to paths Tp.Yd × Yd.Yo, NTp.Yd × Yd.Yo, Tm.Ym × Ym.Yd, NTm.Yd × Yd.Yo in Figure 1. Among EA participants, there was evidence that nontransmitted paternal and transmitted

maternal alleles had indirect genetic effects on offspring AUDSx, MaxDrinks, Initiation, and Intoxication through parental relationship discord. Nontransmitted paternal and nontransmitted maternal alleles had indirect effects on offspring Initiation and Intoxication through parental divorce.

Among AA participants, there was no evidence that transmitted or nontransmitted parental alleles had indirect effects on offspring alcohol outcomes via parental relationship discord or divorce.

Indirect genetic effects through parental phenotypes.—Table 3 summarizes the indirect effects of transmitted and nontransmitted paternal and maternal alleles on offspring alcohol outcomes via parental alcohol use behaviors for each ancestry group, separately. These indirect effects correspond to paths $Tp.Yp \times Yp.Yo$, $NTp.Yp \times Yp.Yo$, $Tm.Ym \times Ym.Yo$, $NTm.Ym \times Ym.Yo$ in Figure 1 and were calculated for the parental relationship discord and parental divorce models separately. The pattern of results was largely the same across the parental discord and divorce models and are thus summarized holistically.

Among EA participants, there was evidence that both transmitted and nontransmitted alleles had indirect genetic effects on offspring AUDSx, Max Drinks, and Initiation through the corresponding parental alcohol phenotypes. For Intoxication, only maternal nontransmitted alleles had significant indirect effects on offspring Intoxication through maternal Intoxication.

Among AA participants, maternal (but not paternal) transmitted and nontransmitted alleles had significant indirect effects on offspring AUDSx mediated through maternal AUDSx. Maternal nontransmitted alleles had significant indirect effects on offspring MaxDrinks through maternal MaxDrinks. There was no evidence that parental genotypes had indirect effects on offspring Initiation or Intoxication through the corresponding parental alcohol phenotypes.

Discussion

Using extended nature of nurture methods, we examined parental relationship discord and parental divorce as mechanisms through which genetic risk for alcohol problems is transmitted in families. We comment on three key features of our findings. First, in European ancestry families, we found a pattern of effects consistent with our hypothesis that parental genotypes for alcohol problems impact a range of their children's alcohol use behaviors indirectly through the environment. Specifically, nontransmitted paternal alleles and transmitted maternal alleles for alcohol problems were associated with greater parental relationship discord, which in turn was associated with increases in offspring alcohol use disorder clinical criteria, and other indicators of potential problem use, including greater maximum drinks, and an earlier age at initiation and first alcohol intoxication. Parental divorce also mediated the effects of paternal and maternal nontransmitted alleles for alcohol problems on children's age at initiation and age at first intoxication, but not AUD clinical criterion counts nor maximum drinks.

This pattern of effects is consistent with prior evidence that there are overlapping sets of genetic influences that contribute to alcohol problems, relationship discord, and divorce²², and indicates that even nontransmitted alleles influence offspring alcohol outcomes via parental relationship discord. It is notable that indirect genetic effects mediated through parental relationship discord were observed across the range of offspring alcohol use behaviors ranging from initiation to meeting clinical criteria. In contrast, indirect genetic effects mediated through parental divorce were observed for initiation and intoxication only. This suggests that while both parental relationship discord and divorce are involved in the pathways to alcohol use and misuse, there may be important differences in these factors in terms of risk for clinically significant alcohol use problems. This may reflect the more acute disruptions to parenting associated with divorce (e.g., lower parental monitoring) that facilitate adolescents' access to alcohol¹⁵ versus the potentially more pervasive effects of exposure to high levels of parental relationship discord⁷.

Second, our observations that parental relationship discord and divorce mediated genetic influences on a range of alcohol outcomes across generations were robust to another highly plausible mediational path through parental alcohol use behaviors. Extended twin family and adoption studies have repeatedly shown that parental alcohol use behaviors capture genetic and environmental risk for offspring⁴⁹. Using molecular genetic data, which permits the decomposition of parental genotypes into alleles that are transmitted and nontransmitted, we built on prior evidence from latent genetic studies to demonstrate that parents' own alcohol use behaviors represent a type of environmental inheritance. In European ancestry families, nontransmitted maternal and paternal alleles for alcohol problems were associated with parental AUD clinical criterion counts, which were in turn associated with a greater number of AUD criteria and maximum drinks in the child generation. Maternal age at first intoxication also mediated the effects of nontransmitted maternal alleles on children's age at first intoxication.

Third, our study is the first (to our knowledge) to include families of African ancestry in analyses of the environmental mechanisms that transmit genetic risk for alcohol problems across generations. We found a pattern of effects consistent with environmental inheritance whereby maternal AUD clinical criterion counts mediated the effects of nontransmitted maternal alleles on AUD clinical criterion counts and maximum drinks in the child generation. However, we did not find support for the hypothesis that parental relationship discord nor divorce mediated genetic influences on offspring alcohol outcomes in our subsample of African ancestry families. These null effects may be attributable to reduced statistical power due the smaller number of families in this subsample, and/or the limited predictive power of polygenic risk scores in African ancestry populations due to historic underrepresentation in genome-wide association studies. Consistent with this possibility, zero-order correlations indicated very few statistically significant associations between parental alleles and offspring alcohol outcomes, and no statistically significant associations between parental alleles and relationship discord and divorce. In view of these weak polygenic associations, and as with any nonsignificant result, we caution against overinterpreting our null indirect effects as evidence that parental relationship discord and divorce are not mechanisms through which genetic risk for alcohol problems is transmitted across generations in African ancestry families. Rather, the pattern of evidence observed

here highlights the challenges to partitioning already weak associations, and the need for larger sample sizes to establish a more robust genetic signal for alcohol problems in African ancestry populations. It also worth noting that others have documented racial/ethnic differences in the associations of alcohol use outcomes with a range of adverse childhood experiences^{19, 50}, further underscoring the importance of considering racial/ethnic differences in efforts to understand how genetic and environmental factors come together to influence alcohol problems. Accordingly, our findings should be considered as initial evidence, and clearly additional research on the intergenerational transmission of alcohol problems in diverse populations is warranted.

It is worth commenting on the magnitude of the observed effects. The variance accounted for by genome-wide polygenic scores for alcohol problems and related substance use behaviors remains very modest (< 1% of the variance)³⁹. We note that in genetic nurture analyses for tobacco use, Saunders et al.⁵¹ reported indirect effect sizes of a magnitude comparable to our findings for alcohol phenotypes. The modest predictive ability of the alcohol problems polygenic score also cautions against overinterpreting the parent-of-origin effects, particularly in view of the observation that the maternal and paternal effects were typically of the same magnitude and direction of effect.

Limitations

Our results should be interpreted within the context of the following limitations. First, COGA is a high-risk sample and findings may not generalize to other populations. Second, the measure of parental relationship discord is retrospective and reported from the child's perspective. Others report moderate correlations between children's and parents' perceptions of relationship discord⁵². Additionally, and as shown in Supplementary Table 12, mean parental relationship discord was higher among subjects who provided retrospective reports in SSAGA-II (age 6-13) compared to subjects who provided reports in SSAGA-IV (age 12–17), although the differences were modest (e.g., 1.74 vs. 1.19 in EAs, and 2.02 vs. 1.44 in AAs). Third, strict interpretations of mediated effects require that the predictor precedes the mediator in time. In this study, we modeled lifetime phenotypes and our results are not meant to be interpreted causally. Fourth, our conceptual model emphasizes a direction of influence from parents—offspring; however, we recognize that there are offspring effects on parents^{53, 54}. If the offspring phenotype directly influences the parental phenotype, transmitted parental alleles and non-transmitted parental alleles provide quantitatively different contributions to the parental phenotype. Transmitted and non-transmitted alleles (i.e., all parental alleles) influence the parental phenotype directly. Transmitted alleles would also influence the parental phenotype indirectly through the offspring phenotype (Tp.Yo × Yp.Yo and Tm.Yo × Ym.Yo in Figure 1). This indirect path is not accounted for in our model because parental phenotypes are not regressed on offspring phenotypes. As a result, any covariance between transmitted alleles and the parental phenotype that would be explained by this indirect relationship is represented in the direct path from transmitted parental alleles. This would inflate the path from transmitted parental alleles to the parental phenotype, without affecting the path from non-transmitted parental alleles to the parental phenotype. Thus, if children's effects on parents were a major confound, we might expect larger indirect genetic effects for transmitted compared to nontransmitted

alleles. However, the indirect genetic effects were largely of the same magnitude for transmitted and nontransmitted alleles, reducing this concern. Fifth, although we statistically controlled for the parents' matched alcohol phenotype in all analyses, we recognize that other unmeasured parental characteristics may also impact children's alcohol outcomes.

Conclusions

In a sample of families densely affected by alcohol use disorder, we found evidence for genetic nurture effects. Both transmitted and nontransmitted alleles were associated with increased risk of exposure to parental relationship discord and divorce, which were in turn associated with riskier alcohol outcomes ranging from earlier age at initiation to likelihood of developing alcohol use disorder symptoms. Genetic nurture effects were more pronounced in EA families than in AA families. The results underscore that the genetic risk for alcohol problems may be transmitted across generations "beyond the skin" through exposure to adverse family experiences.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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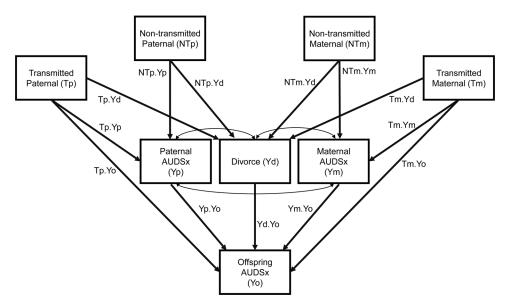


Figure 1. Illustrative extended nature of nurture model for AUDSx (DSM-5 Alcohol Use Disorder Criterion Count) depicting parental divorce and parental AUDSx as mediators of genetic risk across generations. Paternal and maternal genotypes are partitioned into those that are shared with the offspring (i.e., transmitted paternal and alleles, Tp and Tm, respectively) and those that are not shared with offspring (i.e., nontransmitted paternal and maternal alleles, NTp and NTm, respectively). Parental divorce (Yd), paternal AUDSx (Yp), and maternal AUDSx (Ym) are included as mediators, and the outcome is offspring AUDSx (Yo). Transmitted paternal alleles can have a direct effect on offspring AUDSx (Tp. Yo), or mediated (indirect) effects through paternal AUDSx (Tp.Yp × Yp.Yo) or parental divorce (Tp.Yd × Yd.Yo). Nontransmitted paternal alleles can have indirect effects through paternal AUDSx (NTp.Yp × Yp. Yo) or parental divorce (NTp. Yd × Yd. Yo). Corresponding paths exist for mothers, such that transmitted maternal alleles can have a direct effect on offspring AUDSx (Tm.Yo), or mediated (indirect) effects through maternal AUDSx (Tm.Ym × Ym.Yo) or parental divorce (Tm.Ym × Ym.Yd). Nontransmitted maternal alleles can have indirect effects through maternal AUDSx (NTm.Ym × Ym.Yo) or parental divorce (NTm.Yd × Yd.Yo). All paths are estimated simultaneously.

Thomas et al. Page 16

Table 1.Descriptive statistics for key study variables in European and African ancestry participants, overall sample

	EA (Total N = 4846)			AA (Total N = 2005)		
	N	% or M	lean (SD)	N	% or M	ean (SD)
Birth Cohort						
Silent	110	2	27%	21	1.0	05%
Baby Boomer	1495	30.	85%	447	22.	29%
GenX	1428	29	47%	569	28.	38%
Millenial	1813	37.	41%	968	48.	28%
Sex						
Male	2360	48.	70%	965	48.	13%
Female	2486	51.	30%	1040	51.	87%
Age	4846	30.72	(9.81)	2005	29.04	(8.76)
Parental Divorce						
No	3153	82.	76%	722	67.	10%
Yes	657	17.	24%	354	32.	90%
Parental Discord	3364	1.58	(1.47)	788	1.83	(1.49)
Offspring AUDSx	4617	3.74	(3.56)	1919	2.61	(3.23)
Maternal AUDSx	4549	1.94	(2.98)	1789	2.45	(3.44)
Paternal AUDSx	3542	4.28	(3.69)	957	4.65	(3.84)
Offspring MaxDrinks	4812	20.47	(16.94)	1990	16.92	(17.93)
Maternal MaxDrinks	4490	9.59	(9.88)	1697	11.55	(13.00)
Paternal MaxDrinks	3501	22.46	(16.70)	948	26.24	(24.10)
Offspring Initiation (years)	4456	17.14	(3.80)	1695	18.05	(4.02)
Maternal Initiation (years)	3555	22.6	(9.15)	1291	21.61	(7.33)
Paternal Initiation (years)	3330	19.22	(6.15)	862	19.21	(5.74)
Offspring Intoxication (years)	4560	16.15	(3.11)	1738	17.44	(3.67)
Maternal Intoxication (years)	3720	20.58	(8.00)	1307	20.23	(7.22)
Paternal Intoxication (years)	3334	17.62	(4.82)	870	17.87	(5.69)

Note. Table includes participants included in any analysis. Available Ns for each measure are noted; percentages and means correspond to participants with non-missing values. Abbreviations: AUDSx = DSM-5 Alcohol Use Disorder Criterion Count, MaxDrinks = Maximum drinks consumed in 24 hours, Initiation = age at initiation of regular drinking, Intoxication = age at first intoxication.

Table 2.

Parental relationship discord and divorce as mediators of transmitted and nontransmitted paternal and maternal alleles on offspring alcohol outcomes in European and African ancestry families

		Parental rela	ntionship discord as media	ator	
		Transmitted _{Paternal} via discord β [95% CI]	Nontransmitted _{Paternal} via discord β [95% CI]	Transmitted _{Maternal} via discord β [95% CI]	Nontransmitted _{Materna} via discord β [95% CI]
	AUDSx	0.002 [-0.002, 0.006]	0.011 [0.006, 0.016]	0.007 [0.003, 0.011]	0.003 [-0.001, 0.006]
European Ancestry	MaxDrinks	0.002 [-0.001, 0.004]	$\begin{matrix} 0.006 \\ [0.002, 0.01] \end{matrix}$	0.003 [0.00, 0.005]	0.001 [-0.001, 0.003]
	Initiation	-0.002 [-0.005, 0.001]	-0.009 [-0.014, -0.005]	-0.005 $[-0.008, -0.002]$	-0.001 [-0.004, 0.002]
	Intoxication	-0.005 [-0.011, 0.00]	$\begin{array}{c} -0.016 \\ [-0.022, -0.01] \end{array}$	-0.009 [-0.014, -0.003]	-0.004 [-0.008, 0.001]
African Ancestry	AUDSx	0.005 [-0.004, 0.014]	0.001 [-0.004, 0.007]	-0.004 [-0.011, 0.003]	-0.001 [-0.006, 0.004]
	MaxDrinks	$ \begin{array}{c} -0.001 \\ [-0.007, 0.005] \end{array} $	0.00 [-0.004, 0.004]	0.00 [-0.004, 0.005]	0.00 [-0.003, 0.003]
	Initiation	0.009 [-0.003, 0.021]	0.003 [-0.005, 0.01]	-0.002 [-0.008, 0.004]	-0.001 [-0.008, 0.005]
	Intoxication	-0.001 [-0.009, 0.007]	0.00 [-0.005, 0.004]	0.00 [-0.003, 0.004]	0.00 [-0.003, 0.003]
		Paren	tal divorce as mediator		
		Transmitted _{Paternal} via divorce β [95% CI]	Nontransmitted _{Paternal} Via divorce β [95% CI]	Transmitted _{Maternal} via divorce β [95% CI]	Nontransmitted _{Materns} via divorce β [95% CI]
	AUDSx	0.00 [-0.002, 0.001]	0.001 [-0.008, 0.01]	0.00 [-0.002, 0.003]	0.00 [-0.003, 0.003]
European Ancestry African Ancestry	MaxDrinks	0.00 [-0.001, 0.002]	0.004 [-0.002, 0.01]	0.001 [-0.001, 0.003]	0.001 [-0.001, 0.004]
	Initiation	-0.003 [-0.01, 0.004]	-0.018 [-0.026, -0.011]	-0.005 [-0.01, 0.001]	-0.009 [-0.015, -0.002]
	Intoxication	-0.004 [-0.01, 0.003]	$\begin{array}{c} -0.015 \\ [-0.023, -0.008] \end{array}$	-0.002 [-0.007, 0.003]	-0.008 [-0.013, -0.002]
	AUDSx	-0.006 [-0.019, 0.007]	0.003 [-0.008, 0.013]	-0.002 [-0.011, 0.007]	-0.001 [-0.01, 0.008]
	MaxDrinks	-0.005 [-0.02, 0.009]	-0.004 [-0.017, 0.01]	-0.006 [-0.018, 0.005]	-0.003 [-0.013, 0.007]
	Initiation	0.00 [-0.005, 0.005]	0.00 [-0.004, 0.004]	0.00 [-0.003, 0.003]	0.00 [-0.003, 0.003]
	Intoxication	0.005 [-0.006, 0.016]	0 [-0.009, 0.01]	0.001 [-0.007, 0.008]	0.001 [-0.006, 0.008]

Notes. β estimates represent the mediated (indirect) effects of transmitted and nontransmitted paternal and maternal genotypes on offspring alcohol outcomes through parental relationship discord (top panel) and parental divorce (bottom panel). These mediated effects correspond to paths Tp.Yd \times Yd.Yo, NTp.Yd \times Yd.Yo, Tm.Ym \times Ym.Yd, NTm.Yd \times Yd.Yo depicted in Figure 1. **Bold** indicates p < 0.05. Abbreviations: AUDSx = DSM-5 Alcohol Use Disorder Criterion Count, MaxDrinks = Maximum drinks consumed in 24 hours, Initiation = age at initiation of regular drinking, Intoxication = age at first intoxication.

Table 3.

Paternal and maternal alcohol use behaviors as mediators of transmitted and nontransmitted paternal and maternal alleles on offspring alcohol outcomes in European and African ancestry families

		ransmitted _{Paternal} ia paternal ALC β [95% CI]	Nontransmitted _{Paternal} via paternal ALC β [95% CI]	Transmitted _{Maternal} via maternal ALC β [95% CI]	Nontransmitted _{Maternal} via maternal ALC β [95% CI]
	AUDSx	0.007 [0.004, 0.011]	0.015 [0.009, 0.021]	0.009 [0.006, 0.013]	0.016 [0.011, 0.021]
European Ancestry	MaxDrinks	0.005 [0.002, 0.009]	0.01 [0.006, 0.014]	0.011 [0.007, 0.015]	0.012 [0.008, 0.017]
	Initiation	$\begin{array}{c} -0.004 \\ [-0.007, -0.0001] \end{array}$	$\begin{array}{c} -0.003 \\ [-0.005, -0.0002] \end{array}$	$\begin{array}{c} -0.003 \\ [-0.005, -0.0001] \end{array}$	$\begin{array}{c} -0.003 \\ [-0.006, -0.0002] \end{array}$
	Intoxication	-0.001 [-0.002, 0.00]	-0.002 [-0.004, 0.00]	0.00 [-0.002, 0.003]	$\begin{array}{c} -0.004 \\ [-0.007, -0.001] \end{array}$
	AUDSx	0.001 [-0.002, 0.005]	0.005 [-0.002, 0.011]	$\begin{array}{c} -0.005 \\ [-0.009, -0.001] \end{array}$	0.009 [0.003, 0.015]
African Ancestry	MaxDrinks	0.001 [-0.005, 0.008]	0.006 [-0.002, 0.014]	-0.001 [-0.004, 0.002]	0.008 [0.003, 0.013]
	Initiation	0.00 [-0.002, 0.002]	0.00 [-0.005, 0.005]	-0.002 [-0.006, 0.002]	0.002 [-0.002, 0.007]
	Intoxication	0.00 [-0.003, 0.003]	-0.002 [-0.012, 0.007]	0.001 [-0.003, 0.006]	-0.002 [-0.007, 0.003]
Genetic effects med	liated through	parental alcohol phen	otype (from parental divo	rce model)	
		Transmitted _{Paternal} via paternal ALC β [95% CI]	Nontransmitted _{Paternal} via paternal ALC β [95% CI]	Transmitted _{Maternal} via maternal ALC β [95% CI]	Nontransmitted _{Materns} via maternal ALC β [95% CI]
	AUDSx	0.011 [0.006, 0.016]	0.023 [0.016, 0.03]	0.009 [0.005, 0.012]	0.015 [0.009, 0.02]
European Ancestry	MaxDrinks	0.006	0.012	0.01 [0.006, 0.013]	$0.011 \\ [0.007, 0.015]$
		[0.003, 0.01]	[0.007, 0.016]	. / .	
	Initiation	-0.003 [-0.006, 0.001]	-0.002 [-0.005, 0.00]	0.00 [-0.002, 0.002]	0.00 [-0.002, 0.002]
	Initiation Intoxication	-0.003	-0.002	0.00	
		-0.003 [-0.006, 0.001]	-0.002 [-0.005, 0.00] -0.001	0.00 [-0.002, 0.002] 0.00	[-0.002, 0.002] - 0.002
	Intoxication	-0.003 [-0.006, 0.001] 0.00 [-0.002, 0.001]	-0.002 [-0.005, 0.00] -0.001 [-0.003, 0.001]	0.00 [-0.002, 0.002] 0.00 [-0.001, 0.002] - 0.004	[-0.002, 0.002] -0.002 [-0.004, 0.00] 0.007
African Ancestry	Intoxication AUDSx	-0.003 [-0.006, 0.001] 0.00 [-0.002, 0.001] 0.001 [-0.002, 0.004] 0.001	-0.002 [-0.005, 0.00] -0.001 [-0.003, 0.001] 0.003 [-0.004, 0.009]	0.00 [-0.002, 0.002] 0.00 [-0.001, 0.002] -0.004 [-0.007, 0.00]	[-0.002, 0.002] -0.002 [-0.004, 0.00] 0.007 [0.002, 0.012] 0.005

Notes. β estimates represent the mediated (indirect) effects of transmitted and nontransmitted paternal and maternal genotypes on offspring alcohol outcomes through the corresponding parental alcohol use behavior. These mediated effects correspond to paths Tp. Yp × Yp. Yo, NTp. Yp × Yp. Yo, Tm. Ym × Ym. Yo, NTm. Ym × Ym. Yo depicted in Figure 1. **Bold** indicates p < 0.05. Abbreviations: AUDSx = DSM-5 Alcohol Use Disorder Criterion Count, MaxDrinks = Maximum drinks consumed in 24 hours, Initiation = age at initiation of regular drinking, Intoxication = age at first alcohol intoxication.